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6	Toxicological Reviews of Cyanobacterial
7	Toxins: Cylindrospermopsin
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1 2 3

ii

1			TABLE OF CONTENTS	
2				
3				_
4				<u>Page</u>
5	1.107		DI EQ	
6	LIST	OF TA	BLES	V
7			JUKES	V1
8	LIS	OF AC	KONYMS	V11
9 10		FACE	CONTRIDUTORS AND DEVIEWERS	VIII
10		NOWI	EDGMENTS	IX v
11	ACF			Λ
12	1	INTR	RODUCTION	1
14	1.			1
15	2	CHE	MICAL AND PHYSICAL INFORMATION	3
16	2.	CIIL		
17	3.	TOX	ICOKINETICS	4
18		3.1.	ABSORPTION	4
19		3.2.	DISTRIBUTION	4
20		3.3.	METABOLISM	5
21		3.4.	ELIMINATION	5
22		3.5.	PHYSIOLOGICALLY BASED TOXICOKINETIC MODELS	6
23				
24	4.	HAZ	ARD IDENTIFICATION	7
25		4.1.	STUDIES IN HUMANS - EPIDEMIOLOGY, CASE REPORTS,	
26			CLINICAL CONTROLS	7
27		4.2.	ACUTE, SHORT-TERM, SUBCHRONIC AND CHRONIC	
28			STUDIES AND CANCER BIOASSAYS IN ANIMALS - ORAL	_
29			AND INHALATION	8
30			4.2.1. Oral Exposure	9
31			4.2.1.1. Acute Studies	9
32			4.2.1.2. Short-Term Studies	10
33			4.2.1.3. Subchronic Studies	11
34 25			4.2.2. Inholation European	13
35 26		12	4.2.2. Innalation Exposure	13
30 27		4.3.	NHALATION	12
31 20		11		13
20 20		4.4.	1 / 1 Effects by Derenteral Exposure	14
39 40			4.4.1.1 Studies of Purified Cylindrospermonsin	14
40 41			4.4.1.2 Cell Extract Studies	14
42			4 4 2 Immunotoxicity	15
43			4.4.3. Tumor Initiation	
44			4.4.4. Genotoxicity	

1			TA	ABLE OF CONTENTS cont.	
2					
4				<u>P</u>	<u>age</u>
5		15		ATA AND OTHED STUDIES IN SUDDODT OF	
6		4.3.	THE MODE OF M	ATA AND UTHER STUDIES IN SUPPORT OF	16
/			1 THE MODE OF AU		.10
8			4.5.1. Liver Toxic	ity	10
9			4.5.2. Kidney Tox	with DNA and DNA	10
10			4.5.5. Interactions $4.5.4.$ Structure A	with DINA and KINA	20. 20
11		16	4.5.4. SHUCHIE-AG	EVALUATION OF MAJOP NONCANCEP	20
12		4.0.	SININESIS AND	EVALUATION OF MAJOR NONCANCER	21
13			4.6.1 Oral		21
14			462 Inhalation		
16			463 Mode of Ac	tion Information	23
17		47	WEIGHT-OF-EVII	DENCE EVALUATION AND CANCER	
18			CHARACTERIZA'	ΓΙΟΝ	24
19			4.7.1. Summary of	Overall Weight-of-Evidence	24
20			4.7.2. Synthesis of	Human, Animal and Other Supporting Evidence	24
21		4.8.	SUSCEPTIBLE PC	PULATIONS AND LIFE STAGES	24
22			4.8.1. Possible Ch	ildhood Susceptibility	24
23			4.8.2. Possible Ger	nder Differences	25
24			4.8.3. Other Possil	ble Susceptible Populations	25
25	_				
26	5.	DOSE	RESPONSE ASSES	SSMENTS	26
27		5.1.	NARRATIVE DES	CRIPTION OF THE EXTENT OF THE	•
28		5.0	DATABASE		26
29		5.2.	ORAL REFERENC	$E DOSE (RID) \dots N 1$	26
30			5.2.1. Data Consid	ered in Deriving Reference values	26
31			5.2.2. Acute Durat	1011	20
32 22			J.Z.Z.1. Clic Do	tionale and Justification	27
22 24			523 Short-Term	Duration	27
34			5.2.3. Short-Term	Duration	
36			5.2.5.1. Cin	tionale and Justification	27
37			524 Subchronic	Duration	
38			5.2.4.1. Cho	bice of Principal Study and Critical Effect – with	,
39			Ra	tionale and Justification	27
40			5.2.4.2. Me	thods of Analysis – Including Models (PBPK, MBD, etc.).	28
41			5.2.4.3. RfI	Derivation – Including Application of UFs	28
42			5.2.5. Chronic Dur	ration	32
43			5.2.5.1. Cho	pice of Principal Study and Critical Effect – with	
44			Ra	tionale and Justification	32
45			5.2.6. Route-to-Ro	oute Extrapolation	33
46		5.3.	INHALATION RE	FERENCE CONCENTRATION (RfC)	33
47		5.4.	CANCER ASSESS	MENT	33

iv

	TABLE OF CONTENTS cont.	
		Page
6.	MAJOR CONCLUSIONS IN THE CHARACTERIZATION OF HAZARD	
	AND DOSE RESPONSE	34
	6.1. HUMAN HAZARD POTENTIAL	34
	6.2. DOSE RESPONSE	34
7.	REFERENCES	35
	6. 7.	TABLE OF CONTENTS cont.         6.       MAJOR CONCLUSIONS IN THE CHARACTERIZATION OF HAZARD AND DOSE RESPONSE         6.1.       HUMAN HAZARD POTENTIAL         6.2.       DOSE RESPONSE         7.       REFERENCES

1		LIST OF TABLES	
2			
3			
4	<u>No.</u>	<u>Title</u> <u>P</u>	'age
5			
6	4-1	Tumor Initiating Activity of C. raciborskii Extracts	16
7			
8	4-2	Summary Results of Major Oral Toxicity Studies of Pure	
9		Cylindrospermopsin in Experimental Animals	22
10			
11	5-1	Relative Kidney Weights in Mice Exposed to Purified	
12		Cylindrospermopsin for 11 Weeks	29
13			
14	5-2	Summary of Benchmark Dose Modeling (Relative Kidney Weight)	30

	LIST OF FIGURES	
<u>No.</u>	<u>Title</u> <u>F</u>	<u>age</u>
2-1	Chemical Structure of Cylindrospermopsin	3
5-1	Linear Model Fit to Relative Kidney Weight Data (High Dose Group Dropped)	31
	<u>No.</u> 2-1 5-1	LIST OF FIGURES         No. <u>Title</u> <u>F</u> 2-1       Chemical Structure of Cylindrospermopsin       E         5-1       Linear Model Fit to Relative Kidney Weight Data (High Dose Group Dropped)

1 2		LIST OF ABBREVIATIONS
3 4	AIC	Aikake's Information Criteria
5	BMD	Benchmark dose
6	BMDL	Statistical lower confidence limit on the benchmark dose
7	BMDS	Benchmark dose software
8	BMR	Benchmark response
9	CYP450	Cytochrome P-450
10	DMSO	Dimethyl sulfoxide
11	EPA	Environmental Protection Agency
12	GFR	Glomerular filtration rate
13	HPLC	High performance liquid chromatography
14	i.p.	Intraperitoneal
15	$LD_{50}$	Dose lethal to 50% of the population
16	LOAEL	Lowest-observed-adverse-effect level
17	NOAEL	No-observed-adverse-effect level
18	PBPK	Physiologically based pharmacokinetic
19	POD	Point of departure
20	RfC	Reference concentration
21	RfD	Reference dose
22	ROS	Reactive oxygen species
23	THP	Tamm-Harsfall protein
24	TPA	O-Tetradecanoylphorbol 13-acetate
25	UF	Uncertainty factor

PREFACE
The Safe Drinking Water Act (SDWA), as amended in 1996, requires the Environmental
Protection Agency (EPA) to publish a list of contaminants that, at the time of publication, are not
subject to any proposed or promulgated national primary drinking water regulations, are known
or anticipated to occur in public water systems, and may require regulations under SDWA. This
list, known as the Contaminant Candidate List (CCL), was first published in 1998 and then again
in 2005. The 1998 and 2005 CCLs include "cyanobacteria (blue-green algae), other freshwater
algae, and their toxins" as microbial contaminants.
In 2001, a meeting was held among EPA, researchers from the drinking water industry,
academia and government agencies with expertise in the area of fresh water algae and their
toxins. The goal of this meeting was to convene a panel of scientists to assist in identifying a
target list of algal toxins that are likely to pose a health risk in source and finished waters of the
drinking water utilities in the U.S. Toxin selection was based on four criteria: health effects,
occurrence in the United States, susceptibility to drinking water treatment and toxin stability.
Cylindrospermopsin was identified at this meeting as being a toxin of high priority based on
those criteria.
The National Center for Environmental Assessment has prepared this Toxicological
Review of Cyanobacterial Toxins: Cylindrospermopsin as one in a series of dose-response
assessments to support the health assessment of unregulated contaminants on the CCL. The
purpose of this document is to compile and evaluate the available data regarding
cylindrospermopsin toxicity to aid the Office of Water in regulatory decision making. It is not
intended to be a comprehensive treatise on the chemical or toxicological nature of
cylindrospermopsin.
In Section 6, Major Conclusions in the Characterization of Hazard and Dose Response,
EPA has characterized its overall confidence in the quantitative and qualitative aspects of the
hazard and dose response by addressing knowledge gaps, uncertainties, quality of data and
scientific controversies. The discussion is intended to convey the limitations of the assessment

and to aid and guide the Office of Water in the ensuing steps of the human health risk assessment
 of cylindrospermopsin.

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## 4 ACKNOWLEDGMENTS

### **1. INTRODUCTION**

This toxicological review presents background and justification for hazard and dose response assessments of cylindrospermopsin. U.S. Environmental Protection Agency (EPA)
 toxicological reviews may include oral reference doses (RfD) and inhalation reference
 concentrations (RfC) for chronic and less-than-lifetime exposure durations and a carcinogenicity
 assessment.

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The RfD and RfC provide quantitative information for use in risk assessments for health 10 effects known or assumed to be produced through a nonlinear (possibly threshold) mode of 11 action. These reference values are defined as an estimate of an exposure, designated by duration 12 and route, to the human population (including susceptible subgroups), that is likely to be without 13 an appreciable risk of adverse effects. Reference values may be derived for acute (<24 hours), 14 short-term (up to 30 days), subchronic (up to 10% of average lifespan) and chronic (up to 15 lifetime) exposures, all considered to be continuous exposures throughout the duration specified. 16 A reference value is derived from a BMDL (a statistical lower confidence limit on the 17 benchmark dose), a no-observed-adverse-effect level (NOAEL), lowest-observed-adverse-effect 18 level (LOAEL) or other suitable point of departure with uncertainty/variability factors applied to 19 reflect limitations of the data used. The RfD is expressed in units of mg/kg-day, and the RfC in 20 units of  $mg/m^3$ . 21

22

The carcinogenicity assessment provides information on the carcinogenic hazard 23 potential of the substance in question and quantitative estimates of risk from oral exposure and 24 inhalation exposure. The information includes a weight-of-evidence judgment of the likelihood 25 that the agent is a human carcinogen and the conditions under which the carcinogenic effects 26 may be expressed. Quantitative risk estimates are presented in three ways. The *slope factor* is 27 the result of application of a low-dose extrapolation procedure and is presented as the risk per 28 mg/kg-day. The *unit risk* is the quantitative estimate in terms of either risk per µg/L drinking 29 water or risk per  $\mu g/m^3$  air breathed. Another form in which risk is presented is a drinking water 30 or air concentration providing cancer risks of 1 in 10,000; 1 in 100,000; or 1 in 1,000,000. 31 32

Development of these hazard identification and dose-response assessments for 33 cylindrospermopsin has followed the general guidelines for risk assessment as set forth by the 34 National Research Council (NRC, 1983). EPA guidelines that were used in the development of 35 this assessment include the following: Guidelines for the Health Risk Assessment of Chemical 36 Mixtures (U.S. EPA, 1986a), Guidelines for Mutagenicity Risk Assessment (U.S. EPA, 1986b), 37 Guidelines for Developmental Toxicity Risk Assessment (U.S. EPA, 1991), Guidelines for 38 Reproductive Toxicity Risk Assessment (U.S. EPA, 1996), Guidelines for Neurotoxicity Risk 39 40 Assessment (U.S. EPA, 1998a), Guidelines for Carcinogen Assessment (U.S. EPA, 2005a), Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens 41 (U.S. EPA, 2005b), Recommendations for and Documentation of Biological Values for Use in 42 Risk Assessment (U.S. EPA, 1988), (proposed) Interim Policy for Particle Size and Limit 43 Concentration Issues in Inhalation Toxicity (U.S. EPA, 1994a), Methods for Derivation of 44 Inhalation Reference Concentrations and Application of Inhalation Dosimetry (U.S. EPA, 45 1994b), Use of the Benchmark Dose Approach in Health Risk Assessment (U.S. EPA, 1995), 46

Science Policy Council Handbook: Peer Review (U.S. EPA, 1998b, 2000a), Science Policy 1 Council Handbook: Risk Characterization (U.S. EPA, 2000b), Benchmark Dose Technical 2 Guidance Document (U.S. EPA, 2000c) and Supplementary Guidance for Conducting Health 3 4 Risk Assessment of Chemical Mixtures (U.S. EPA, 2000d) and A Review of the Reference Dose and Reference Concentration Processes (U.S. EPA, 2002). 5 6 7 Literature searches were conducted for studies relevant to the derivation of toxicity and 8 carcinogenicity values for cylindrospermopsin. The following databases were searched: MEDLINE (PubMed), TOXLINE, BIOSIS, CANCERLIT, TSCATS, CCRIS, DART/ETIC, 9

10 EMIC, GENETOX, HSDB and RTECS. The relevant literature was reviewed through May

11 2006.

#### 2. CHEMICAL AND PHYSICAL INFORMATION

3 4 Cylindrospermopsin is a naturally occurring toxin produced by particular strains of Cylindrospermopsis raciborskii and at least four other freshwater cyanobacterial species, 5 including Umezakia natans, Aphanizomenon ovalisporum, Anabaena bergii and Raphidiopsis 6 curvata (Fastner et al., 2003). The chemical structure of cylindrospermopsin was not elucidated 7 8 until 1992. It consists of a tricyclic guanidine moiety combined with hydroxymethyluracil (Figure 2-1) (Humpage and Falconer, 2003; Ohtani et al., 1992), has a molecular formula of 9 C<sub>15</sub>H<sub>21</sub>N<sub>5</sub>O<sub>7</sub>S and a molecular weight of 415.43 (Lewis, 2000). It is zwitterionic (i.e., a dipolar 10 ion with localized positive and negative charges) (Ohtani et al., 1992). Deoxycylindro-11 spermopsin, an analog of cylindrospermopsin in which the hydroxyl group on the uracil bridge 12 (C-7) has been removed, has been isolated from C. raciborskii and R. curvata (Li et al., 2001; 13 Norris et al., 1999). Another structural variant of cylindrospermopsin, 7-epicylindrospermopsin, 14 was isolated from A. ovalisporum (Banker et al., 2000). 15 16



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Figure 2-1. Chemical Structure of Cylindrospermopsin\*

\* Conformations of steriocenters within the structure are indicated as either R or S. The numbers 7 and 12 indicate
 carbon positions for identification purposes.

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Cylindrospermopsin is a white powder that is highly soluble in water (Ohtani et al., 1992;
Sigma, 2006). It is also soluble in dimethylsulfoxide (DMSO) and methanol (Sigma, 2006).
Cylindrospermopsin is chemically stable in sunlight, at high temperatures and through a wide
range of pH values (Chiswell et al., 1999). Additional chemical and physical property data are
not available in the open literature for cylindrospermopsin (HSDB, 2006; Lewis, 2000; O'Neil,

3

30 2001). This substance is produced on a small scale for research purposes (Sigma, 2006).

#### **3. TOXICOKINETICS**

#### **3.1. ABSORPTION**

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No quantitative data were located regarding the rate or extent of absorption of
cylindrospermopsin in humans or animals following oral, inhalation or dermal exposure.
Absorption of cylindrospermopsin from the gastrointestinal tract of mice is demonstrated by the
induction of hepatic and other systemic effects in 14-day and 11-week oral toxicity studies of
pure cylindrospermopsin (Humpage and Falconer, 2003; Shaw et al., 2000, 2001) (see Section
4.2.1).

#### 13 **3.2. DISTRIBUTION**

14 No information was located regarding the tissue distribution of cylindrospermopsin 15 following oral, inhalation or dermal exposure. The distribution and elimination of 16 intraperitoneally (i.p.) administered <sup>14</sup>C-cylindrospermopsin (>95% pure; extracted and purified 17 from lyophilized C. raciborskii cells) in normal saline was studied in male Quackenbush mice in 18 a series of experiments using sublethal and lethal dose levels of the chemical (Norris et al., 19 2001). In one experiment, four mice were given a single sublethal dose of 0.1 mg/kg, and urine 20 and feces were collected for the following 48 hours. Most of the <sup>14</sup>C was eliminated in the urine 21 and feces, as discussed in Section 3.4. Analysis of liver, kidneys and spleen at 48 hours showed 22 mean  $^{14}$ C recovery of 13.1% of the dose in the liver and <1% in the other tissues. Total recovery 23 of radiolabel was 85-90% of the administered dose in each of the four mice. 24

The second experiment reported by Norris et al. (2001) included 12 mice administered a 26 single 0.2 mg/kg dose of <sup>14</sup>C-cylindrospermopsin, which is the approximate median lethal i.p. dose (Norris et al., 2001). <sup>14</sup>C content was determined in the urine and feces in all animals after 27 28 29 12 and 24 hours, and in the liver, kidneys and spleen in five mice that were euthanized after 5-6 days due to toxicity (effects not specified) and after 7 days in the surviving 7 mice that had no 30 signs of toxicity. Most of the  $^{14}$ C was eliminated in the urine and feces, as discussed in Section 31 3.4. The overall mean recoveries of  ${}^{14}$ C in the liver, kidneys and spleen after 5-7 days were 2.1, 32 0.15 and <0.1% of the dose, respectively. Comparison of data from four mice with signs of 33 toxicity and four mice without signs of toxicity showed no clear relationship between toxicity 34 and patterns of tissue distribution, although a trend toward decreased liver retention in the 35 surviving mice was suggested. 36

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Norris et al. (2001) reported a third experiment, in which excretion and tissue distribution were assessed in four mice that were given a 0.2 mg/kg i.p. dose of <sup>14</sup>C-cylindrospermopsin and evaluated after 6 hours (Norris et al., 2001). <sup>14</sup>C was detected in all tissues that were examined (liver, kidney, heart, lung, spleen, blood and bile), but occurred predominantly in the liver and kidneys (20.6 and 4.3% of the dose, respectively). Approximately 60% of the administered dose of <sup>14</sup>C was eliminated in the urine and feces (see Section 3.4).

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### **3.3. METABOLISM**

The distribution and elimination of i.p administered <sup>14</sup>C-cylindrospermopsin (>95% pure; 3 4 extracted and purified from lyophilized C. raciborskii cells) in saline was studied in a series of mouse experiments (Norris et al., 2001), as detailed in Sections 3.2 and 3.4. Urine, fecal, liver 5 and kidney samples from these studies were extracted with methanol to precipitate proteins, and 6 the  ${}^{14}C$  in the supernatant was fractionated using high performance liquid chromatography 7 8 (HPLC) for the detection of metabolites. No attempt was made to fractionate or otherwise identify the <sup>14</sup>C in the protein precipitate. Analysis of methanol extracts of urine samples 9 collected for 12 hours following a single dose of 0.1 mg/kg (4 mice) or 0.2 mg/kg (12 mice) 10 suggested that a large part (72%) of the excreted <sup>14</sup>C was present as cylindrospermopsin (as 11 determined by retention times). Some ( $\sim 23.5\%$ ) of the urinary <sup>14</sup>C was detected in protein 12 precipitated by the methanol, suggesting the presence of a protein-bound metabolite. The 13 authors did not indicate whether the level of protein in the urine was normal or abnormal. Most 14 (94.3%) of the <sup>14</sup>C in an aqueous extract of the feces had the same retention time as 15 cylindrospermopsin, but only one mouse dosed with 0.2 mg/kg was tested. Analysis of liver 16 tissue showed the presence of  ${}^{14}C$  in both methanol extract and protein precipitate. When 17 fractionated by HLPC, the extracted <sup>14</sup>C had the same elution characteristics seen in some of the 18 urine methanol extracts, suggesting the presence of the same metabolite. The authors could not 19 rule out the possibility that the non-extractable <sup>14</sup>C in the liver was protein-bound 20 cylindrospermopsin itself, although the evidence for metabolic activation of cylindrospermopsin 21 in other studies (Runnegar et al., 1995; Shaw et al., 2000) suggested that it might also be a 22 metabolite. The methanol-extractable metabolite was not found in kidney tissue. No 23 identification of metabolites was performed. 24

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There is evidence indicating that the hepatic cytochrome P-450 (CYP450) enzyme 26 system is involved in the metabolism and toxicity of cylindrospermopsin. As discussed in 27 Section 4.5.1, pretreatment of hepatocytes with known inhibitors of CYP450 diminished the in 28 *vitro* cytotoxicity of cylindrospermopsin (Froscio et al., 2003; Runnegar et al., 1995). Similarly, 29 pretreatment of mice with a CYP450 inhibitor protected against the acute lethality of 30 cylindrospermopsin (Norris et al., 2002). Additionally, a main target of cylindrospermopsin 31 32 toxicity is the periacinar region of the liver, which is where CYP450-mediated xenobiotic metabolism occurs (Shaw et al., 2000, 2001). 33

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## 3.4. ELIMINATION

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No information was located regarding the elimination of cylindrospermopsin following 37 oral, inhalation or dermal exposure. The elimination of i.p administered <sup>14</sup>C-cylindrospermopsin 38 (>95% pure; extracted and purified from lyophilized C. raciborskii cells) in saline was studied in 39 male Quackenbush mice in a series of experiments using sublethal and lethal dose levels of the 40 chemical (Norris et al., 2001). In one experiment, four mice were given a single sublethal dose 41 of 0.1 mg/kg, and urine and feces were collected for the following 48 hours. The mean 42 cumulative excretion of <sup>14</sup>C in the first 12 hours after dosing was 62.8% of the administered dose 43 in the urine and 15.5% in the feces. There was little additional excretion of  ${}^{14}$ C in either the 44 urine or feces following 12 additional hours. The 15.5% mean fecal excretion value reflects a 45 very high fecal excretion in one of the four animals (nearly 60% of the dose compared to <5% in 46

the other mice); the authors considered the possibility that the high value in the one animal resulted from the injection entering the upper gastrointestinal tract, but concluded that this possibility was unlikely given the injection technique used, the recovery of 4.7% of the injected dose in the liver after 48 hours and a similarly high fecal excretion of <sup>14</sup>C in another animal in the third experiment in this study (discussed below). Total mean recovery in the urine, feces, liver, kidneys and spleen was 85-90% of the <sup>14</sup>C dose in each of the four mice.

7

8 The second experiment reported by Norris et al. (2001) included 12 mice administered a single 0.2 mg/kg dose of <sup>14</sup>C-cylindrospermopsin, which is the approximate median lethal i.p. 9 dose (Norris et al., 2001). Five of the 12 dosed animals died within 5-6 days (signs of toxicity 10 not reported). <sup>14</sup>C content was determined in the urine and feces in all animals after 12 and 24 11 hours. Results were similar to those obtained with a sublethal dose (reported above), except that 12 there was some continued urinary and fecal excretion over the second 12 hours of the monitoring 13 period. The mean cumulative urinary and fecal excretion of <sup>14</sup>C was 66.0 and 5.7% of the dose 14 within 12 hours, and 68.4 and 8.5% of the dose within 24 hours, respectively. The mean total 15 recovery in the urine and feces after 24 hours was 76.9% of the administered dose. The overall 16 mean recoveries of  ${}^{14}$ C in the liver, kidneys and spleen after 5-7 days were 2.1, 0.15 and <0.1% 17 of the administered dose, respectively. Comparison of data from four mice with signs of toxicity 18 and four mice without signs of toxicity showed no clear relationship between toxicity and 19 20 patterns of excretion, although trends toward increased urinary excretion and decreased fecal excretion in surviving mice were suggested. 21

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Norris et al. (2001) reported a third experiment in which four mice were given a 0.2 mg/kg i.p. dose of <sup>14</sup>C-cylindrospermopsin and evaluated for 6 hours (Norris et al., 2001). The mean cumulative urinary and fecal excretion of <sup>14</sup>C after 6 hours was 48.2 and 11.9% of the administered dose, respectively. One of the four mice showed more than 40% of the dose in the feces (additional data not reported).

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## 29 **3.5. PHYSIOLOGICALLY BASED TOXICOKINETIC MODELS**

No physiologically based toxicokinetic models have been developed forcylindrospermopsin.

#### 4. HAZARD IDENTIFICATION

## 4 4.1. STUDIES IN HUMANS - EPIDEMIOLOGY, CASE REPORTS, CLINICAL 5 CONTROLS

An outbreak of a hepatoenteritis-like illness occurred in 148 residents of aboriginal 7 8 descent in the Palm Island community in Queensland, Australia, in 1979 (Blyth, 1980; Griffiths and Saker, 2003). The total number of people exposed was not reported. Of the 148 cases, 138 9 were children (mean age 8.4 years, range 2-16 years, 41% male and 59% female) and 10 were 10 adults (sex and age not reported). The majority of the cases in the outbreak, called the "Palm 11 Island mystery disease," required hospitalization. The clinical symptoms included fever, 12 headache, vomiting, profuse bloody diarrhea, hepatomegaly and renal damage as indicated by 13 loss of water, electrolytes, proteins, ketones and carbohydrates. Many of the individuals required 14 intravenous therapy for electrolyte imbalance and, in some cases, for hypovolemic and acidotic 15 shock. The findings may indicate increased susceptibility of children unless the 138 children 16 were from the households of the 10 adults (not indicated) or if there was differential exposure 17 between the children and the adults (not indicated); the child:adult ratio is approximately 14:1. 18 A few days prior to the outbreak, the major drinking water supply for the island, Solomon Dam 19 reservoir, had been treated with unreported levels of copper sulfate to control a dense algal 20 bloom; only households connected to the reservoir were affected by the illness. Retrospective 21 analyses, including epidemiological and ecological assessments, implicated the predominant 22 cyanobacterial species in the reservoir, C. raciborskii, as the likely source of the illness (Griffiths 23 and Saker, 2003; Hawkins et al., 1985). Intraperitoneal injection of cell extracts of C. raciborskii 24 from the reservoir caused damage to the liver, kidneys and other organs in mice (Hawkins et al., 25 1985), and the toxin was later identified as cylindrospermopsin (Ohtani et al., 1992). Some 26 symptoms of acute oral exposure to high concentrations of copper sulfate, including headache, 27 nausea, vomiting and diarrhea (HSDB, 2006), are similar to those observed during the outbreak. 28 29 The only information that was located regarding a potential role of the copper sulfate treatment in the outbreak is an indication that its algalcidal mode of action, cell lysis, could have 30 contributed to the release of cylindrospermopsin and other cellular toxins into the water 31 32 (Griffiths and Saker, 2003).

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Hayman (1992) investigated reports of disease (sometimes called "Barcoo fever") in the Australian outback dating back as far as 1887. He concluded that the reported symptoms were similar to those of the Palm Island mystery disease and that they might have been caused by exposure to *C. raciborskii*. No additional information was located regarding effects in humans known or suspected to be associated with exposure to cylindrospermopsin.

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An outbreak of acute liver failure occurred in patients at a renal dialysis clinic in Caruaru,
Brazil (Carmichael et al., 2001). Following routine hemodialysis treatment during a week in
February 1996, 116 of 131 patients experienced headache, eye pain, blurred vision, nausea and
vomiting. Subsequently, 100 of the affected patients developed acute liver failure and, of these,
76 died. Analysis of the clinic's water treatment system (samples of carbon, sand and
cation/anion exchange resin from in-house filters) for microcystins and cylindrospermopsin
showed the presence of both cyanotoxins. Analyses of blood sera and liver samples revealed

- 1 microcystins, but not cylindrospermopsin, although the method used to extract
- 2 cylindrospermopsin from these samples may have been inadequate. Based on a comparison of
- 3 victims' symptoms and liver pathology using animal studies of microcystins and
- 4 cylindrospermopsin, it was concluded that the major contributing factor to death of the dialysis
- 5 patients was intravenous exposure to microcystins.

The skin irritant potential of cylindrospermosin was evaluated using skin-patch testing in 7 8 humans (Pilotto et al., 2004). Both whole and lysed preparations of laboratory-grown C. raciborskii cells were applied to the skin of 50 adult volunteers using adhesive patches divided 9 into 10 individual filter pad-containing chambers. Each volunteer was exposed to one patch for 10 whole cells and one patch for lysed cells with each patch containing six cell concentrations, two 11 positive controls (1 and 5% solutions of sodium lauryl sulfate), and two negative controls 12 (culture media and an empty patch). The concentrations (densities) of cells were consistent with 13 those found in C. raciborskii-containing water bodies used for recreational water activities. 14 Patches were removed after 24 hours and erythematous reactions were graded as 0 (no reaction 15 or erythema), 1 (minimal or very weak spotty erythema), 2 (mild diffuse erythema), 3 (moderate 16 diffuse erythema) or 4 (severe diffuse erythema with edema) by a dermatologist blinded to the 17 cell type and concentration. The distribution of clinical gradings by patch type (control/active), 18 cell type and cell concentration was assessed using logistic regression modeling. Due to a 19 relatively small number of high-level gradings, each observation was dichotomized into no 20 reaction (grade 0) and a positive reaction (1, 2, 3 or 4) prior to modeling. The subjects were 21 more likely to have skin reactions to the active patches than to the negative control patches for 22 both whole cells (odds ratio (OR) = 2.13, 95% confidence interval (CI) 1.79-4.21, p<0.001) and 23 lysed cells (OR = 3.41, 95% CI 2.00-5.84, p<0.001). The mean percentages of subjects having a 24 reaction were 20% (95% CI 15-31%) for all subjects (n=50) and 11% (95% CI 6-18%) for 25 subjects not reacting to negative controls (n=39). The irritation was mild and resolved within 24 26 to 72 hours. There was no evidence of a statistically significant increasing dose-response 27 relationship between skin reactions and increasing cell concentrations for either whole or lysed 28 cells, although there was a slight reduction in response with increasing cell concentration for the 29 whole cells (OR = 0.966, 95% CI 0.936-0.997, p = 0.03). Additionally, there was no evidence 30 for a threshold effect (i.e., a particular concentration above which there were frequent or strong 31 32 reactions).

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# 4.2. ACUTE, SHORT-TERM, SUBCHRONIC AND CHRONIC STUDIES AND CANCER BIOASSAYS IN ANIMALS - ORAL AND INHALATION

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Toxicity studies in animals have been performed using pure cylindrospermopsin isolated 37 and purified from cell extracts of C. raciborskii or other cylindrospermopsin-producing 38 cyanobacteria. Studies have also been performed in which the administered material consisted of 39 whole cell extracts, lyophilized (freeze-dried) cells in suspension and cell-free extracts of 40 sonicated freeze-dried cells. These studies are included in this report because they contribute 41 salient information to the overall toxicological database for cylindrospermopsin. However, due 42 to confounding factors discussed below, the studies of cell extracts are not useful for dose-43 response assessment of cylindrospermopsin and are considered supplemental information for 44 45 hazard identification.

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Most of the cell extract studies were performed using laboratory cultures of 1 2 cyanobacterial cells, but there is no clear means of predicting the cylindrospermopsin content in a particular extract. Studies with cylindrospermopsin and other cyanobacterial toxins indicate 3 4 that growth conditions can significantly contribute to the level of toxin produced by a given species and strain, and that toxin concentration can also vary depending on the method used to 5 produce a material for toxicological testing (Chiswell et al., 1999; WHO, 1999). The 6 extracellular fraction of cylindrospermopsin can sometimes exceed the intracellular fraction 7 8 (Griffiths and Saker, 2003). For example, at different stages of a C. raciborskii bloom, extracellular cylindrospermopsin ranged from 19 to 98% of the total amount in water (Chiswell 9 et al., 1999). Similarly, during a bloom of A. ovalisporum, >85% of the cylindrospermopsin was 10 extracellular (Shaw et al., 1999). In these studies, intracellular concentration of 11 cylindrospermopsin was determined by taking the difference between the concentration in a 12 sample of filtered water and the concentration in a sample of water that was frozen to release the 13 toxin contained in the cells. Extracts obtained by removing intact cells may or may not contain 14 toxin or may have variable amounts of toxin. For example, Falconer et al. (1999) found that the 15 cylindrospermopsin content in four different batches of cell-free extracts of C. raciborskii varied 16 from 1.3 to 5.4 mg/g extract. Additionally, cell extracts containing cylindrospermopsin can also 17 contain other potentially toxic substances. The 24-hour i.p. LD<sub>50</sub> (dose lethal to 50% of the 18 population) of purified cylindrospermopsin in male CH3 mice was 2.1 mg/kg (Ohtani et al., 19 1992), whereas the value for a cell extract in male Swiss mice was 0.29 mg/kg (Hawkins et al., 20 1997), nearly an order of magnitude lower. Hawkins et al. (1997) proposed that the difference in 21 potency could reflect the presence of other toxins in the cell extract that were not present in the 22 purified cylindrospermopsin (see Section 4.4.1). 23 24

#### 4.2.1. Oral Exposure

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## 4.2.1.1. Acute Studies

## 4.2.1.1.1. Studies of Purified Cylindrospermopsin

No information regarding the acute oral toxicity of purified cylindrospermopsin was identified in the materials reviewed for this document.

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## 4.2.1.1.2. Cell Extract Studies

Twelve male MF1 mice were administered a saline suspension of freeze-dried C. 36 raciborskii cells (strains PHAWT/M or PHAWT/1) by gavage in single reported doses ranging 37 from 4.4 to 8.3 mg/kg (cylindrospermopsin-equivalent), and observed for the following 8 days 38 (Seawright et al., 1999). The following dose levels were tested (one mouse per level except as 39 noted): 4.4, 5.3, 5.7 (two mice), 5.8, 6.2, 6.5, 6.7, 6.8, 6.9, 8.0 and 8.3 mg/kg; there was no 40 control group. Eight of the 12 mice died. The lowest lethal dose was 4.4 mg/kg, the highest 41 nonlethal dose was 6.9 mg/kg and the average lethal dose was approximately 6 mg/kg. Deaths 42 occurred 2-6 days after treatment, and histological examinations showed effects that included 43 fatty liver with periacinar coagulative necrosis, acute renal tubular necrosis, atrophy of the 44 lymphoid tissue of the spleen and thymus, subepicardial and myocardial hemorrhages in the 45

heart and ulceration of the esophageal section of the gastric mucosa. Some of the animals also
 developed thrombohemorrhagic lesions in one or both eye orbits.

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4 An aqueous suspension of a cell-free extract of freeze-dried and sonicated C. raciborskii cells (strain AWT 205) was administered to an unspecified number of male Swiss mice in a 5 single gavage dose of 1400 mg extract/kg (Falconer et al., 1999). The cylindrospermopsin 6 content of the extract was not specified, but ranged from 1.3 to 5.4 mg/g extract in concurrent i.p. 7 8 experiments, indicating that the cylindrospermopsin-equivalent gavage dose was likely in the range of 1.8-7.6 mg/kg. This dose level was not fatal, but caused severe liver and kidney 9 pathology. Histological changes were not detailed, but patterns of damage were reported to be 10 similar to those observed following i.p. administration (see Section 4.4.1). Additional 11 information on the design and results of the oral study were not provided. 12 13 Another gavage study reported that the minimum lethal dose of a saline extract of freeze-14

Another gavage study reported that the minimum lethal dose of a saline extract of freezedried *C. raciborskii* cells (strain AWT 205) in Swiss mice was 2500 mg extract/kg (Falconer and Humpage, 2001). Based on a reported cylindrospermopsin content of 5.5 mg/g extract, the equivalent dose of cylindrospermopsin was 13.8 mg/kg.

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Groups of four Quackenbush mice were administered a cell-free extract of freeze-dried 19 and sonicated C. raciborskii cells (strain AWT 205) in water in a single gavage dose of 0, 1, 2, 4, 20 6 or 8 mg cylindrospermopsin/kg and observed for the following 7 days (Shaw et al., 2000, 21 2001). All animals were evaluated for gross pathological and histological (liver, kidney, spleen, 22 heart, lungs and thymus) changes. Hepatic effects were observed at all dose levels, as shown by 23 foamy hepatocellular cytoplasmic changes at 1 and 2 mg/kg, lipid infiltration with some 24 hepatocyte necrosis in the periacinar region at 4 mg/kg, and uniformly pale and mottled livers 25 with lipid infiltration throughout and cell necrosis mainly in the periacinar region at 6 mg/kg. 26 Mortality occurred in 2/4 mice at 6 mg/kg (in 5 days) and 4/4 mice at 8 mg/kg (in 24-48 hours). 27 Additional information on the experimental design and results was not reported. 28

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## 4.2.1.2. Short-Term Studies

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## 4.2.1.2.1. Studies of Purified Cylindrospermopsin

Groups of four Quackenbush mice were administered purified cylindrospermopsin by 34 daily gavage for 14 days (Shaw et al., 2000, 2001). The cylindrospermopsin was purified (purity 35 not reported) from an extract of freeze-dried C. raciborskii cells (strain AWT 205). All animals 36 were evaluated for gross pathological and histological (liver, kidney, spleen, heart, lungs and 37 thymus) changes. The authors identified the following effect levels: a NOAEL of 0.05 mg 38 cylindrospermopsin/kg-day and a LOAEL of 0.15 mg cylindrospermopsin/kg-day for lipid 39 infiltration in the liver, and a NOAEL of 0.3 mg cylindrospermopsin/kg-day (highest tested dose) 40 for lymphophagocytosis in the spleen. Additional information on the experimental design and 41 results was not reported. 42

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#### 4.2.1.2.2. Cell Extract Studies

3 Groups of four Quackenbush mice were administered an aqueous cell-free extract of 4 freeze-dried and sonicated C. raciborskii cells (strain AWT 205) by daily gavage for 14 days (Shaw et al., 2000, 2001). All animals were evaluated for gross pathological and histological 5 (liver, kidney, spleen, heart, lungs and thymus) changes. The authors identified the following 6 effect levels: a NOAEL of 0.05 mg cylindrospermopsin/kg-day and a LOAEL of 0.15 mg 7 8 cylindrospermopsin/kg-day for lipid infiltration in the liver, and a LOAEL of 0.05 mg cylindrospermopsin/kg-day for lymphophagocytosis in the spleen. Additional information on the 9 experimental design and results was not reported. 10

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#### 4.2.1.2.3. Other Studies

Six Quackenbush mice and two Wistar rats were exposed for 21 days to drinking water
containing 800 µg/L cylindrospermopsin (Shaw et al., 2000, 2001). The water was "sourced"
from a dammed impoundment. The reported approximate daily dose based on water
consumption was 0.2 mg cylindrospermopsin/kg-day in both species. Gross pathological and
histological (liver, kidney, spleen, heart, lungs and thymus) examinations showed no effects,
indicating that 0.2 mg/kg-day was a NOAEL in the rats and mice. Additional information on the
experimental design and results was not reported.

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#### 4.2.1.3. Subchronic Studies

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#### 4.2.1.3.1. Studies of Purified Cylindrospermopsin

Groups of male Swiss albino mice (10 per dose, 6 in the highest dose group) were 26 administered purified cylindrospermopsin in water by gavage in doses of 0, 30, 60, 120 or 240 27 µg/kg-day for 11 weeks (Humpage and Falconer, 2003). The cylindrospermopsin was purified 28 29 (purity not reported) from an extract of freeze-dried C. raciborskii cells (strain AWT 205). Endpoints monitored throughout the study included food and water consumption and body 30 weight. A clinical examination that focused on physiological and behavioral signs of toxicity 31 was conducted after 9 weeks of exposure. Hematology (all animals; red cell counts, hemoglobin, 32 packed cell volume, and white cell total and differential counts), serum chemistry (five 33 mice/group except all six mice at the high dose; total protein, albumin, globulin, glucose, 34 creatinine, urea, total bilirubin, total bile acids, cholesterol, triglycerides, sodium, potassium, 35 calcium, bicarbonate, creatinine kinase, alanine and aspartate aminotransferases [ALT and AST, 36 respectively], and alkaline phosphatase) and urine (five mice/group excluding high dose; specific 37 gravity, protein, glucose, ketones, creatinine, sodium, potassium, chloride, calcium, bicarbonate, 38 phosphate, pH, volume and presence of blood ) evaluations were performed near or at the end of 39 40 the treatment period. Postmortem examinations included organ weights (liver, spleen, kidneys, adrenal glands, heart, testis, epididymis and brain) and comprehensive histological evaluations. 41 The histological examinations were conducted in accordance with Organization for Economic 42 43 Cooperation and Development recommendations and performed on the following tissues: liver, kidney, heart, lungs, thymus, thyroid, trachea, salivary glands, adrenal glands, epididymis, testis, 44 prostate, gall bladder, esophagus, stomach, duodenum/small intestine, large intestine, pancreas, 45

1 spleen, urinary bladder, eves, lymph nodes, aorta, cerebrum, cerebellum, spinal cord (cervical, thoracic and lumbar) and peripheral nerve. 2

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4 No deaths were reported. The mean final body weight was 7-15% higher than controls in all dose groups, but the increases were not dose-related and were statistically significant only at 5 30 and 60 µg/kg-day (Humpage and Falconer, 2003). There were no significant changes in food 6 consumption; however, water intake was significantly reduced in all dose groups (data not 7 reported). Relative kidney weight was increased in a significant, dose-related manner beginning 8 at 60 µg/kg-day (12-23% greater than controls), while relative liver weight was significantly 9 increased only at the high dose of 240 µg/kg-day (13% greater than controls). Information on 10 absolute kidney and liver weights was not reported. Absolute testis weights were significantly 11 increased at >60  $\mu$ g/kg-day (data not reported), but these changes were not significant when 12 normalized to body weight. The hematology, serum chemistry and urine evaluations showed no 13 clear exposure-related changes in any endpoint (including serum indicators of liver injury), 14 except for significant decreases in urine protein concentrations (g/mmol creatinine) at >120 15 µg/kg-day and urine specific gravity at 240 µg/kg-day (data presented graphically). The 16 postmortem examinations showed "minor increases in histopathological damage to the liver" at 17 18  $>120 \mu g/kg$ -day and proximal renal tubular damage at 240  $\mu g/kg$ -day, but additional information regarding the type, severity and incidences of the liver and kidney lesions was not reported. 19 20 21 Cylindrospermopsin is known to inhibit protein synthesis in the liver (see Section 4.5.1). Serum albumin, a major product of liver protein synthesis, was not decreased in this study (Humpage and Falconer, 2003), but the most sensitive effects, decreased urinary protein at >120 suppressed protein synthesis. As hypothesized by the authors, the decrease in urinary protein is consistent with decreased availability of protein and the increase in kidney weight may reflect a compensatory hyperplasia, such that the kidney, as a protein-synthesizing organ, is stimulated to grow in an attempt to maintain homeostasis in response to a chemically-induced decrease in

22 23  $\mu$ g/kg-day and increased relative kidney weight at >60  $\mu$ g/kg-day, are both potential indicators of 24 25 26 27 28 protein synthesis. Information supporting the hypothesis that the decrease in urinary protein 29 excretion reflects a specific effect of cylindrospermopsin on protein synthesis, as well as the 30 possibility that it reflects a functional change in the nephron, is discussed in Section 4.5.2. 31 Because the renal effects observed by Humpage and Falconer (2003) are consistent with a known 32 mode of action of cylindrospermopsin, and plausibly represent part of the progression of effects 33 leading to toxicity (Section 4.5.2), they are considered to be adverse. This study, therefore, 34 35 identifies a NOAEL and LOAEL of 30 and 60 µg/kg-day, respectively.

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## 4.2.1.3.2. Cell Extract Studies

38 39 Groups of male Swiss albino mice (10 per dose except 12 controls and 5 at high-dose) were exposed to a cell-free extract of sonicated and frozen C. raciborskii cells (strain AWT 205) 40 in the drinking water at reported cylindrospermopsin doses of 0, 216, 432 or 657 µg/kg-day for 41 10 weeks (doses based on actual water consumption) (Humpage and Falconer, 2003). Food and 42 water consumption and body weight were measured throughout the study. Urinalyses (12 43 unspecified parameters) were performed after 5 and 10 weeks. Serum chemistry (15 unspecified 44 parameters) evaluations and examinations of unspecified major organs (organ weight, gross 45

pathology and histopathology) were performed at the end of the exposure period. Hematology
 was not evaluated.

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Final body weights were significantly reduced at 432 and 657  $\mu$ g/kg-day (9 and 7% less 4 than controls, respectively), and relative liver and kidney weights were significantly increased in 5 a dose-related manner at 216-657 µg/kg-day (27-47 and 30-43% greater than controls, 6 respectively). Other statistically significant effects included increased serum total bilirubin at 7  $>216 \mu g/kg$ -day, decreased serum total bile acids at  $>216 \mu g/kg$ -day and decreased urine protein 8 concentration (g/mmol creatinine) at >432  $\mu$ g/kg-day. There were no clear exposure-related 9 changes in any other serum or urine endpoints and no additional indicators of liver or kidney 10 injury. Results of the postmortem pathology examinations were not reported. The low dose of 11 216 µg/kg-day is a LOAEL for this study, based on increased relative liver and kidney weights, 12 increased serum bilirubin and decreased serum bile acids. An increase in serum bilirubin is 13 14 indicative of liver dysfunction or bile duct blockage as it reflects the ability of the liver to take up, process and secrete bilirubin into the bile. Serum bile acids can be decreased due to an 15 inhibition of bile acid synthesis or an interference with bile acid resorption in the gastrointestinal 16 tract; bile acids are synthesized from cholesterol in the liver, conjugated, excreted in the bile and 17 resorbed in the ileum. 18 19 Quackenbush mice were administered drinking water containing a cell-free extract of 20 freeze-dried and sonicated C. raciborskii cells (strain AWT 205) for 90 days (Shaw et al., 2000, 21 2001). Gross pathological and histological (liver, kidney, spleen, heart, lungs and thymus) 22 examinations showed no effects at dose levels as high as 0.15 mg cylindrospermopsin/kg-day 23 (the highest tested dose), indicating that a NOAEL of 0.15 mg/kg-day was identified. Additional 24 information on the experimental design and results was not reported. The 0.15 mg/kg-day 25 NOAEL in Quackenbush mice is only slightly below the 216 µg/kg-day (0.22 mg/kg-day) 26

LOAEL for liver and kidney effects in the 10-week study with Swiss mice summarized above
(Humpage and Falconer, 2003); however, the LOAEL is based on different measured endpoints
(liver and kidney weights, serum bilirubin and serum bile acids) than the NOAEL
(histopathology).

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35 36 4.2.1.4. Chronic Studies

No information regarding the chronic oral toxicity of cylindrospermopsin was identified in the materials reviewed for this document.

- **4.2.2. Inhalation Exposure**
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No information regarding the inhalation toxicity of cylindrospermopsin was identified in the materials reviewed for this document.

- 40 41
- 42 4.3. REPRODUCTIVE/DEVELOPMENTAL STUDIES ORAL AND INHALATION
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   44 No information regarding the reproductive or developmental toxicity of
   45 cylindrospermopsin was identified in the materials reviewed for this document.
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#### 4.4. OTHER STUDIES

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## 4.4.1. Effects By Parenteral Exposure.

## 4.4.1.1. Studies of Purified Cylindrospermopsin

Acute lethality values have been determined for cylindrospermopsin purified from 7 8 extracts of cultured C. raciborskii or U. natans cells (Ohtani et al., 1992; Shaw et al., 2000, 2001; Terao et al., 1994). In male CH3 mice, 24-hour and 5- to 6-day LD<sub>50</sub> values of 2.1 and 0.2 9 mg/kg, respectively, have been reported for a single i.p. dose of purified cylindrospermopsin 10 (purity not reported) (Ohtani et al., 1992). Another study found that a single 0.2 mg/kg i.p. dose 11 of purified cylindrospermopsin (purity not reported) caused 50% moribundity after 31 hours in 12 Quackenbush mice (Shaw et al., 2000, 2001). The main pathological findings in the moribund 13 animals were lipid infiltration and cell necrosis in the liver. Terao et al. (1994) also found that 14 the liver was the main target of toxicity in male ICR mice administered a single 0.2 mg/kg i.p. 15 dose of purified cylindrospermopsin (purity not reported), although treatment-related lesions 16 were additionally noted in the thymus, kidney and heart. A time series of ultrastructural tissue 17 examinations indicated four sequential phases of liver changes: inhibition of protein synthesis, 18 membrane proliferation, fat droplet accumulation and cell death. 19

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#### 4.4.1.2. Cell Extract Studies

The results of acute i.p. studies of extracts of freeze-dried and sonicated C. raciborskii 23 cells are generally similar to those of the i.p. studies of purified cylindrospermopsin. A single 24 0.2 mg/kg cylindrospermopsin-equivalent dose caused 50% moribundity in Quackenbush mice 25 after 98 hours (Shaw et al., 2000, 2001). Other single-dose LD<sub>50</sub> values, expressed as 26 cylindrospermopsin-equivalent doses, included 24-hour and 7-day values of 0.29 and 0.18 27 mg/kg, respectively, in male Swiss mice (Hawkins et al., 1997). This 24-hour LD<sub>50</sub> was lower 28 than the 24-hour i.p. LD<sub>50</sub> of 2.1 mg/kg for purified cylindrospermopsin in mice (Ohtani et al., 29 1992), leading the authors to suggest that the extract contained more than one toxin. The liver 30 was the main target organ in the extract studies, although lesions also occurred in other tissues, 31 32 including kidney, adrenal gland, lung and intestine (Hawkins et al., 1985, 1997; Shaw et al., 2000, 2001). 33

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A single dose i.p. LD<sub>50</sub> value of 64 mg freeze-dried culture/kg was determined in mice 35 observed for 24 hours (Hawkins et al., 1985). Falconer et al. (1999) assessed the acute lethality 36 and liver and kidney effects of four different batches of cell-free extracts of sonicated freeze-37 dried C. raciborskii cells in male Swiss albino mice treated by single i.p. injection. Reported 38 24-hour and 7-day LD<sub>50</sub> values for the four batches were 50-110 and 20-65 mg extract/kg, 39 respectively. The cylindrospermopsin content in the four batches varied from 1.3 to 5.4 mg/g 40 extract, indicating that the cylindrospermopsin-equivalent  $LD_{50}$  values were 0.07-0.6 mg/kg 41 (24-hour) and 0.03-0.4 mg/kg (7-day). Liver damage was characterized by cellular vacuolation, 42 intercellular spaces and darker nuclear and cytoplasmic staining. Kidney damage included 43 proximal tubule epithelial necrosis and presence of proteinaceous material in the distal tubules. 44 45 There was no clear correlation between cylindrospermopsin batch concentration and the  $LD_{50}$ 

values or severity of liver or kidney lesions, leading the study authors to suggest that more than
one toxin was present in the extract.

### 4.4.2. Immunotoxicity

5 No information was located regarding effects of cylindrospermopsin on immune 6 function, although immune system tissues appear to be a target of short-term, high-level 7 8 exposures. Massive necrosis of lymphocytes occurred in the cortical layer of the thymus of male ICR mice given a single 0.2 mg/kg i.p. dose of cylindrospermopsin purified (purity not reported) 9 from cultured U. natans cells (Terao et al., 1994). Effects observed in MF1 mice administered a 10 single gavage dose of a suspension of freeze-dried C. raciborskii cells, in the lethal dose range of 11 4.4-8.3 mg cylindrospermopsin/kg, included atrophy in lymphoid tissue of the spleen (follicular 12 lymphocyte loss due to lymphophagocytosis) and thymus (degeneration and necrosis of cortical 13 lymphocytes) (Seawright et al., 1999). These effects were considered by the study authors to be 14 normal responses of the immune system to the stress of severe intoxication. Lympho-15 phagocytosis was observed in the spleen of Quackenbush mice exposed to a cell-free extract of 16 freeze-dried and sonicated C. raciborskii cells by gavage at a nonlethal dose level of 0.05 mg 17

18 cylindrospermopsin/kg-day for 14 days (Shaw et al., 2000, 2001).

#### 20 4.4.3. Tumor Initiation

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The tumor initiating activity of cylindrospermopsin was tested in male Swiss mice using 22 O-tetradecanoylphorbol 13-acetate (TPA) as the promoter (Falconer and Humpage, 2001). Mice 23 were administered a gavage dose of saline (27 mice) or 500 mg/kg of a saline extract of freeze-24 dried C. raciborskii cells (strain AWT 205) (34 mice) every other week for three doses. Other 25 groups received a single dose of 1500 mg extract/kg (14 mice) or two doses of 1500 mg 26 extract/kg separated by 2 weeks (17 mice). Most (70%) of the 2 x 1500 mg extract/kg group 27 died within 1 week of the second dose, leaving five survivors for use in the rest of the study. 28 Based on a reported cylindrospermopsin content of 5.5 mg/g extract, the cylindrospermopsin-29 equivalent doses in the 500 and 1500 mg extract/kg groups were 2.75 and 8.25 mg/kg, 30 respectively. Two weeks after the final dose, the saline and 500 mg extract/kg groups were 31 divided into subgroups of 13-18 mice that were fed liquid food containing TPA dissolved in 32 DMSO, or food containing DMSO alone, for 24 hours twice weekly for 30 weeks. All of the 33 mice in both 1500 mg extract/kg groups were similarly exposed to TPA-containing liquid food 34 (no 1500 mg/kg mice were exposed to food containing DMSO alone). Histological examinations 35 of the liver, kidneys, spleen and grossly abnormal organs were performed on all groups at the 36 end of the 30-week promotion period. Neoplastic changes were found in none of the 27 control 37 mice and in a total of 5 cylindrospermopsin-treated mice, a difference that was not statistically 38 significant. There was no pattern to the neoplasic changes, as they occurred in different animals, 39 target organs and treatment groups, as detailed in Table 4-1. 40

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Table 4-1. Tumor Initiating Activity of C. raciborskii Extracts							
Oral Treatment (mg extract/kg)	Number of Mice	Histological Finding*					
Saline/DMSO	14	No neoplasia observed					
Saline/TPA	13	No neoplasia observed					
3 x 500/DMSO	18	1 hepatocellular carcinoma 1 lymphoma					
3 x 500/TPA	16	No neoplasia observed					
1 x 1500/TPA	14	2 hepatocellular dysplastic foci 1 fibroblastic osteosarcoma					
2 x 1500/TPA	5	No neoplasia observed					

\* All findings were in different animals

Source: Falconer and Humpage (2001)

## 4.4.4. Genotoxicity

8 Purified cylindrospermopsin caused an increase in the frequency of micronuclei in the 9 human lymphoblastoid cell line WIL2-NS (Humpage et al., 2000). Both centromere-positive and centromere-negative micronuclei were induced, suggesting that whole chromosome loss, as 10 well as DNA strand breaks, contributed to the in vitro cytogenetic damage. DNA strand 11 breakage was also observed in the liver of Balb/c mice following a single 0.2 mg/kg i.p. dose of 12 purified cylindrospermopsin (Shen et al., 2002). Covalent binding of cylindrospermopsin or a 13 metabolite to DNA (adduct not identified) was detected in the liver of Quackenbush mice given a 14 single i.p. injection of a cell-free extract of C. raciborskii (dose levels not reported) (Shaw et al., 15 2000). Purified cylindrospermopsin caused cell growth inhibition and altered cell morphology, 16 but no apoptosis or DNA strand breaks, in Chinese hamster ovary K1 cells in vitro (Fessard and 17 18 Bernard, 2003).

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# 4.5. MECHANISTIC DATA AND OTHER STUDIES IN SUPPORT OF THE MODE OF ACTION

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## 4.5.1. Liver Toxicity

The liver is widely regarded as the main target of cylindrospermopsin toxicity, and consequently, most mechanistic studies have assessed hepatic endpoints. The specific mechanism for the liver toxicity is not clearly understood, although it has generally been considered to involve cylindrospermopsin-induced inhibition of protein synthesis.

1 Cylindrospermopsin was shown to be a potent inhibitor of protein synthesis in an *in vitro* rabbit reticulocyte globin synthesis assay (Terao et al., 1994). Ultrastructural liver changes in mice 2 treated with a single 0.2 mg/kg i.p. dose of purified cylindrospermopsin had features in common 3 4 with those dosed with the protein synthesis inhibitor cycloheximide, particularly detachment of ribosomes from the rough endoplasmic reticulum, suggesting that protein synthesis inhibition 5 plays a role in cylindrospermopsin hepatotoxicity in vivo (Terao et al., 1994). However, unlike 6 the liver in the cycloheximide-dosed mice, the liver of those treated with cylindrospermopsin 7 8 showed membrane proliferation, fat droplet accumulation and reduced amount of total P450 in microsomes, indicating that mechanisms other than protein synthesis inhibition must also 9 10 contribute to cylindrospermopsin toxicity.

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Cylindrospermopsin-induced depletion of mouse hepatic glutathione was demonstrated in 12 vivo (Norris et al., 2002), although the study authors did not consider the effect to be of sufficient 13 magnitude to represent the primary mechanism of cylindrospermopsin toxicity. 14 Cylindrospermopsin also caused decreased glutathione levels, as well as decreased synthesis of 15 glutathione and protein, in cultured rat hepatocytes (Runnegar et al., 1994, 1995, 2002). 16 Inhibition of glutathione synthesis was the predominant mechanism for the reduction in 17 glutathione; other mechanisms, including increased consumption of glutathione, increased 18 formation of oxidized glutathione, increased glutathione efflux, hidden forms of glutathione, 19 decreased glutathione precursor availability and decreased cellular ATP were effectively ruled 20 out (Runnegar et al., 1995). Glutathione depletion occurred at non-toxic cylindrospermopsin 21 concentrations and preceded the onset of observable toxicity at higher concentrations (Runnegar 22 et al., 1994). Pretreatment with the CYP450 inhibitor, α-naphthoflavone, partially protected 23 against cytotoxicity and cellular glutathione depletion, indicating involvement of the CYP450 24 enzyme system in cylindrospermopsin metabolism and that one or more metabolites might be 25 more active than the parent compound in inhibiting glutathione synthesis (Runnegar et al., 1995). 26 *In vitro* studies in mouse hepatocytes provided no indication that reductions in glutathione levels 27 by cylindrospermopsin led to increased levels of reactive oxygen species (ROS) (Humpage et al., 28 29 2005). 30

Cylindrospermopsin induced time- and concentration-dependent toxicity and inhibition of 31 protein synthesis in hepatocytes isolated from male Swiss mice (Froscio et al., 2003). The 32 broad-spectrum CYP450 inhibitors proadifen (SKF525A) and ketoconazole diminished the 33 induction of cytotoxicity by cylindrospermopsin, but did not diminish the inhibition of protein 34 synthesis. These findings suggest that the cytotoxic effects of cylindrospermopsin might be 35 linked more to CYP450-mediated bioactivation than to inhibition of protein synthesis by the 36 parent compound. Similarly, pretreatment of male Quackenbush mice with the broad-spectrum 37 CYP450 inhibitor piperonyl butoxide protected against the acute lethality of cylindrospermopsin 38 (Norris et al., 2002). In a study using inhibitors of specific CYP450 isoforms, furafylline 39 (CYP1A2) and omeprazole (CYP3A4 and CYP2C19) protected against cylindrospermopsin 40 cytotoxicity in an in vitro mouse hepatocyte system; unspecified inhibitors of CYPs 2A6, 2D6 41 and 2E1 were not found to be cytoprotective (Humpage et al., 2005). Additional support for the 42 involvement of CYP450 in the hepatotoxicity of cylindrospermopsin is the finding that liver 43 histopathology is mainly induced in the region (periacinar) where CYP450-catalyzed xenobiotic 44 45 metabolism occurs (Shaw et al., 2000, 2001).

#### 4.5.2. Kidney Toxicity

2 3 No studies were located that specifically investigated the involvement of protein 4 synthesis inhibition or other modes of action in cylindrospermopsin-induced toxicity in the kidney or other non-hepatic target tissues (e.g., spleen and thymus). As detailed in Section 5 4.2.1.3.1, the kidney was the most sensitive target in mice that were exposed to 6 cylindrospermopsin by daily gavage for 11 weeks (Humpage and Falconer, 2003). Renal effects 7 in the mice included increased relative kidney weight at  $>60 \mu g/kg$ -day, decreased urinary 8 9 protein at >120 µg/kg-day and decreased urine specific gravity and proximal renal tubular lesions at 240 µg/kg-day. The authors hypothesized that the decrease in urinary protein is 10 consistent with decreased availability of protein and that the increase in kidney weight may 11 reflect a compensatory hyperplasia, such that the kidney, as a protein-synthesizing organ, is 12 stimulated to grow in an attempt to maintain homeostasis in response to a cylindrospermopsin-13 related decrease in protein synthesis. Information supporting the hypothesis that the decrease in 14 urinary protein excretion reflects a specific effect of cylindrospermopsin on protein synthesis, as 15 well as the possibility that it reflects a functional change in the nephron, is discussed below. 16 Also discussed is evidence suggesting a dose-severity progression of kidney effects. 17

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19 Potential mechanisms for a decrease in urinary protein include a decrease in glomerular filtration (i.e., filtered load) of protein, an increase in resorption of filtered protein and a decrease 20 in secretion of nephrogenic protein. A decrease in glomerular filtration of protein (e.g., ug 21 protein/day) could result from a decrease in serum protein concentration or a decrease in 22 glomerular filtration rate (mL/day, GFR). The predominant serum protein in urine of healthy 23 animals (e.g., mice, rats and humans) is albumin (~50% of serum proteins in urine). In the 24 Humpage and Falconer (2003) study, serum albumin concentration increased in mice exposed to 25 26 cylindrospermopsin, and serum creatinine (a marker of GFR) apparently was unchanged; it was measured but not discussed in the results. Therefore, it is unlikely that glomerular filtration of 27 serum proteins decreased in response to cylindrospermopsin (if a change occurred, it is likely to 28 have been an increase in the rate of filtration of albumin). Furthermore, serum proteins normally 29 account for approximately 15% of total urinary protein (Pesce and First, 1979). The decrease in 30 urinary excretion of protein observed in Humpage and Falconer (2003) was substantially larger 31 32 than this (~50%), indicating that the decrease in urinary protein cannot derive solely from a 33 decrease in excretion (i.e., glomerular filtration) of serum proteins.

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No information is presented in Humage and Falconer (2003) that would allow an assessment of tubular resorption of filtered protein (e.g., plasma-to-urine clearance of protein, excretion of low-molecular weight proteins such as  $\beta_{2\mu}$ globulin or retinal binding protein).

39 In healthy mammals, the dominant protein in urine ( $\sim$ 50%) is the nephrogenic Tamm-Horsfall protein (THP, uromucoid) (Bachmann et al., 1991, 2005). In the absence of a decrease 40 in filtration or increased resorption of filtered serum protein, the substantial decrease in urinary 41 42 protein (i.e., ~50%) observed by Humpage and Falconer (2003) would almost certainly have to involve decreased excretion of THP, since it is the predominant protein in urine. Although there 43 are numerous possible mechanisms for an acute change in THP excretion (Bachman et al., 1991), 44 long-term maintenance of lower (i.e., steady-state) rate of urinary excretion of THP requires a 45 decreased rate of synthesis of THP (Bachman et al., 1991, 2005; Schoel and Pfleiderer, 1987). 46

1 THP is synthesized exclusively in the thick ascending limb of the loop of Henle (TAL); therefore, a sustained change in THP excretion is likely to reflect a functional change in this 2 region of the nephron. Increases and decreases in THP have been observed in various kidney 3 4 diseases, and in association with experimental treatments that induce hypertrophy of the TAL, including increased dietary protein (Bachmann et al., 1991). Depletion of THP from the kidney 5 may, in itself, be adverse. Mice deficient in THP (i.e., THP knockout mice) display impaired 6 urine concentrating ability, up-regulation of distal nephron electrolyte transport proteins and 7 8 increased susceptibility to urinary tract infections (Bachmann et al., 2005; Bates et al., 2004). The decrease in urine specific gravity in animals exposed to cylindrospermopsin in the Humpage 9 and Falconer (2003) study may be indicative of impaired urine concentrating ability and, 10 possibly, related to impaired function of the TAL (i.e., impairment of transport activity in this 11 region of the nephron impairs urine concentrating ability) and/or decreased synthesis of THP. 12 13 Additional kidney effects in the Humpage and Falconer (2003) mouse study included 14 proximal renal tubular damage (type and severity of lesions not reported) at the high dose. 15 Clinical effects in the Palm Island outbreak in which humans apparently ingested drinking water 16 containing elevated levels of cylindrospermopsin included renal damage, as indicated by loss of 17 water, electrolytes, proteins, ketones and carbohydrates (Blyth, 1980; Griffiths and Saker, 2003) 18 (Section 4.1). Proteinuria would be expected with proximal tubular damage, as this is the site of 19 resorption of filtered protein. Proteinuria was not observed by Humpage and Falconer (2003), 20 but information on the type and severity of the tubular damage was not reported. Proteinuria did 21

occur in the humans, although other mechanisms could have caused it (e.g., glomerular injury will produce high molecular weight proteinuria). The evidence for proximal tubular damage and functional impairment (e.g., proteinuria, glucosuria) together strengthen the argument that the kidney is a target of cylindrospermopsin and, when considered with decreased protein excretion at lower doses, suggests a dose-severity progression.

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#### 28 **4.5.3. Interactions with DNA and RNA**

29 Based on structural characteristics of cylindrospermopsin (its nucleoside structure and 30 potentially reactive guanidine and sulfate groups), it has been speculated that cylindrospermopsin 31 may exert its toxic effects via pathways that include reactions with DNA and/or RNA (see 32 Humpage et al., 2000; Shen et al., 2002). Covalent binding between DNA and 33 cylindrospermopsin, or a metabolite, occurred in mouse liver in vivo (Shaw et al., 2000). DNA 34 adducts were detected, but not identified, using the <sup>32</sup>P-postlabeling assay; this involved 35 extraction of the DNA, hydrolysis into individual nucleotides, labeling of the nucleotides using 36 <sup>32</sup>P-ATP, separation of adducted nucleotides using two-dimensional thin layer chromatography 37 and visualization of adduct spots by autoradiography. Cylindrospermopsin also induced DNA 38 strand breakage in mouse liver in vivo (Shen et al., 2002) and increases in micronuclei occurred 39 in treated binucleated cells of the WIL2-NS lymphoblastoid cell-line (Humpage et al., 2000). 40 Two mechanisms were suggested for causing the cytogenetic damage: one at the level of DNA to 41 induce strand breaks and the other at the level of kinetochore/spindle function to induce loss of 42 whole chromosomes (Humpage et al., 2000; Shen et al., 2002). The broad-spectrum CYP450 43 inhibitors omeprazole and SKF525A inhibited cylindrospermopsin-induced DNA damage in 44 primary cultured mouse hepatocytes at subcytotoxic concentrations, suggesting that CYP-derived 45

metabolites are responsible for cylindrospermopsin genotoxicity and that genotoxicity is a
 primary effect of the chemical (Humpage et al., 2005).

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4 Cylindrospermopsin-induced up-regulation of the tissue transglutaminase (tTGase) gene was demonstrated in liver RNA of Balb/c mice following i.p. injection of a single 100 µg/kg 5 dose of cylindrospermopsin (Shen et al., 2003). tTGase is a unique member of the TGase (EC 6 2.3.2.13) family that catalyzes the post-translational modification of proteins via  $Ca^{2+}$ -dependent 7 cross-linking reactions (Shen et al., 2003). The up-regulation of tTGase can lead to liver injury 8 (Grenard et al., 2001; Mirza et al., 1997), and has been implicated in diverse biological 9 processes, such as induction of apoptosis (Piacentini et al., 2002; Zhang et al., 1995), cell death 10 and differentiation (Shen et al., 2003; Fesus et al., 1987) and adhesion and morphological 11 changes of cells (Shen et al., 2003; Akimov and Belkin, 2001). 12 13

## 14 **4.5.4. Structure-Activity Relationships**

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Natural cylindrospermopsin, synthetic (racemic) cylindrospermopsin and selected 16 synthetically-produced cylindrospermopsin structural analogues were assessed for effects on 17 protein synthesis in both the rabbit reticulocyte lysate system and cultured rat hepatocytes 18 (Runnegar et al., 2002). No significant differences were observed in levels of protein synthesis 19 inhibition elicited by natural cylindrospermopsin and its diol analogue, indicating that the sulfate 20 group might not be a necessary component of cylindrospermopsin-induced protein synthesis 21 inhibition. Additionally, the orientation of the hydroxyl group at C7 in the carbon bridge does 22 not appear to be important, since the C7 epimer of cylindrospermopsin and its corresponding diol 23 exhibited protein synthesis inhibition similar to that elicited by synthetic (racemic) 24 cylindrospermopsin. The cyclopentyl ring and the methyl and hydroxyl groups on the adjacent 25 hexvl ring may be important structural features, because the analogue lacking these features was 26 27 500-1000-fold less effective in the inhibition of protein synthesis.

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The uracil portion of cylindrospermopsin appears to play an important role in cylindrospermopsin toxicity. Banker et al. (2001) found that the acute lethality of cylindrospermopsin to mice was eliminated by chlorination or partial cleavage of the uracil moiety (resulting in 5-chloro-cylindrospermopsin and cylindrospermic acid, respectively), as shown by a 5-day i.p. LD<sub>50</sub> value of 0.2 mg/kg for cylindrospermopsin and 10-day i.p. LD<sub>50</sub> values of >10 mg/kg for 5-chloro-cylindrospermopsin and >10 mg/kg for cylindrospermic acid.

Deoxycylindrospermopsin, an analogue of cylindrospermopsin isolated and purified from *C. raciborskii*, was tested for toxicity in male white Quackenbush mice treated by i.p. injection (Norris et al., 1999). Deoxycylindrospermopsin did not appear to be toxic during 5 days following administration of a 0.8 mg/kg dose, whereas Ohtani et al. (1992) reported a 5- to 6-day i.p. LD<sub>50</sub> value of 0.2 mg/kg for cylindrospermopsin in male CD3 mice. Although this comparison suggests that deoxycylindrospermopsin is significantly less toxic than cylindrospermopsin, differences in study designs (e.g., the use of different strains of mice) could

43 have contributed to the difference in toxicity.

#### 4.6. SYNTHESIS AND EVALUATION OF MAJOR NONCANCER EFFECTS

#### 4.6.1. Oral

5 Information on the health effects of cylindrospermopsin in humans is limited to 6 observations on the Australian Palm Island poisoning incident that involved acute and/or short-7 term drinking water exposure to *C. raciborskii*, a non-infectious cyanobacterium (Blyth, 1980; 8 Griffiths and Saker, 2003). The clinical picture of the illness is well-defined and includes fever, 9 headache, vomiting, bloody diarrhea, hepatomegaly and kidney damage with loss of water, 10 electrolytes and protein, but no data are available on exposure levels of cylindrospermopsin that 11 induced these effects.

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The preponderance of information on noncancer effects of cylindrospermopsin in animals 13 is available from oral and i.p. administration studies in mice that were exposed to purified 14 compound or extracts of C. raciborskii cells. These studies indicate that the liver and kidneys 15 are main targets of toxicity and that cylindrospermopsin also causes significant lesions in other 16 organs, particularly the spleen and thymus. Considering both animal and human kidney data, the 17 evidence suggests a dose-severity progression of renal effects ranging from decreased protein 18 synthesis at low doses to functional impairment at high doses. The cell extract studies provide 19 limited dose-response information for cylindrospermopsin because concentrations vary between 20 cultures and strains and, in some cases, may contain other toxins, as discussed in the introduction 21 to Section 4.2. The available oral toxicity studies of purified cylindrospermopsin are 22 23 summarized in Table 4-2.

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No studies have been performed assessing the acute oral toxicity of purified cylindrospermopsin. Studies in which mice were administered single gavage doses of suspensions or cell-free extracts of *C. raciborskii* cells at near-lethal to lethal levels found severe damage to the liver (fatty and necrotic changes), kidneys (acute tubular necrosis), spleen and thymus (atrophy of lymphoid tissue), heart (hemorrhages) and gastric mucosa (ulceration of the esophageal section) (Falconer et al., 1999; Seawright et al., 1999; Shaw et al., 2000, 2001).

- A limited amount of information on the short-term oral toxicity of cylindrospermopsin is available from inadequately reported 14- and 21-day studies.
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Histological examinations of small numbers of mice that were administered daily gavage 35 doses of purified cylindrospermopsin for 14 days showed effects in the liver (fatty infiltration) 36 and spleen (lymphophagocytosis) (Shaw et al., 2000, 2001). Fatty infiltration in the liver was the 37 more sensitive effect based on a reported NOAEL of 0.05 mg/kg-day and LOAEL of 0.15 38 mg/kg-day. Small numbers of mice and rats were exposed to cylindrospermopsin for 21 days in 39 drinking water from a dammed impoundment at a reported approximate dose of 0.2 mg/kg-day 40 (Shaw et al., 2000, 2001). No histopathological changes were noted, indicating a NOAEL of 0.2 41 mg/kg-day in drinking water. The adequacy of the 14- and 21-day effect levels cannot be 42 assessed due to a lack of any additional reported information on the design and results of these 43 studies. 44

Table 4-2. Summary Results of Oral Toxicity Studies of Pure Cylindrospermopsin in Experimental Animals*								
Species	Sex	Average Daily Dose (mg/kg-day)	Exposure Duration	NOAEL (mg/kg-day)	LOAEL (mg/kg-day)	Responses	Comments	Reference
Acute Ex	posure	2						
No suitab	le acut	e studies are av	ailable					
Short-Te	erm Ex	posure						
Mouse	NR	0.05, 0.15, 0.3 (gavage)	14 days	0.05	0.15	Lipid infiltration in liver.	Low confidence in NOAEL and LOAEL. A full report of this study has not been published; this table provides essentially all available information on experimental design and results.	Shaw et al., 2000, 2001
Subchro	nic Exp	oosure						
Mouse	М	0, 0.03, 0.06, 0.12, 0.24 (drinking water)	11 weeks	0.03	0.06	Increased relative kidney weight with decreased urinary protein at ≥0.12 mg/kg-day.	Well-designed study with endpoints that included food and water consumption, body weight, clinical signs, hematology, serum chemistry, urinalysis, organ weights (eight organs) and histology (comprehensive). Ten mice/level (six in high dose group).	Humpage and Falconer, 2003
Chronic	Chronic Exposure							
No suitab	No suitable chronic studies are available.							

\* Oral studies using suspensions or cell-free extracts of *C. raciborskii* cells are discussed in Section 4.2.1. NR = Not reported

A comprehensive subchronic toxicity study was conducted in which mice were exposed 1 to five dose levels of purified cylindrospermopsin (0, 30, 60, 120 or 240  $\mu$ g/kg) by daily gavage 2 for 11 weeks (Humpage and Falconer, 2003). Histopathological effects were observed in the 3 liver at >120 µg/kg-day ("minor increases in histopathological damage") and kidneys at 240 4 µg/kg-day (proximal tubular damage), but no other information on the lesions, including 5 incidence data, was reported. There were no changes in liver weight at doses below 240 6 µg/kg-day or serum indices of liver damage (e.g., serum ALT, AST and alkaline phosphatase) in 7 any of the dose groups. Relative kidney weight was increased at  $>60 \mu g/kg$ -day and urine 8 9 protein was decreased at >120  $\mu$ g/kg-day. These effects are considered to be adverse because they are consistent with a known mode of action of cylindrospermopsin (inhibition of protein 10 synthesis) and represent part of the spectrum of effects leading to toxicity, as discussed in 11 12 Section 4.5.2. Based on the increase in kidney weight, the subchronic NOAEL and LOAEL values are 30 and 60 µg/kg-day, respectively. 13 14 No information was located regarding the chronic toxicity, neurotoxicity or 15 developmental/reproductive toxicity of cylindrospermopsin. 16 17 18 4.6.2. Inhalation 19 20 No information was located regarding the inhalation toxicity of cylindrospermopsin. 21 22 4.6.3. Mode of Action Information The liver and kidneys appear to be the main targets of cylindrospermopsin toxicity. The mechanism for liver toxicity is incompletely characterized, but involves inhibition of protein synthesis (Froscio et al., 2003; Terao et al., 1994). Available evidence indicates that the protein synthesis inhibition is not decreased by broad-spectrum CYP450 inhibitors, suggesting that it is mediated by the parent compound (Froscio et al., 2003). Hepatocytotoxicity occurs at higher levels of cylindrospermopsin and appears to be CYP450-dependent, indicating the involvement of metabolites and other mechanisms (Froscio et al., 2003; Humpage et al., 2005; Norris et al.,

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24 25 26 27 28 29 30 2002). Studies specifically investigating the inhibition of protein synthesis in the kidneys are not 31 available, although the results of the 11-week oral toxicity study in mice (Humpage and 32 Falconer, 2003) are consistent with an inhibition of protein synthesis. Effects in this study 33 included decreased urinary protein and, at a higher dose, proximal renal tubular lesions. As 34 discussed in Section 4.5.2, the decrease in urinary protein excretion at low doses could reflect a 35 specific effect of cylindrospermopsin on protein synthesis or, possibly, a functional change in the 36 nephron. The proximal renal tubular damage in mice (Humpage and Falconer, 2003), as well as 37 the clinical findings of renal insufficiency in the Palm Island human poisoning incident (Blyth, 38 39 1980; Griffiths and Saker, 2003), suggest that cytotoxic mechanisms may predominate in the kidney at higher doses. 40

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Genotoxic effects of cylindrospermopsin include DNA adduction and strand breakage in 42 mouse liver (Shaw et al., 2000; Shen et al., 2002) and micronuclei formation in a lymphoblastoid 43 cell line (Humpage et al., 2000). Broad spectrum CYP450 inhibitors inhibited 44

cylindrospermopsin-induced DNA damage in mouse hepatocytes at sub-cytotoxic concentrations 45

(Humpage et al., 2005), suggesting that metabolites are responsible for cylindrospermopsin
 genotoxicity and that genotoxicity is a primary effect of the chemical.

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4.7. WEIGHT-OF-EVIDENCE EVALUATION AND CANCER CHARACTERIZATION

## 4.7.1. Summary of Overall Weight-of-Evidence

9 No information is available on the carcinogenicity of cylindrospermopsin in humans, and 10 no cancer studies of purified cylindrospermopsin have been conducted in animals. A test of an extract of C. raciborskii cells suggests that cylindrospermopsin has no tumor initiating activity in 11 mice (Falconer and Humpage, 2001). A limited amount of data indicate that cylindrospermopsin 12 or a metabolite can covalently bind to DNA (Shaw et al., 2000) and cause cytogenetic damage, 13 as shown by induction of micronuclei (Humpage et al., 2000) and DNA strand breakage (Shen et 14 al., 2002). In accordance with the Guidelines for Carcinogen Risk Assessment (U.S. EPA, 15 2005a), the weight of evidence descriptor for the carcinogenic hazard potential of 16 cylindrospermopsin is "Inadequate Information to Assess Carcinogenic Potential." 17

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## 4.7.2. Synthesis of Human, Animal and Other Supporting Evidence 20

No information was located regarding the carcinogenicity of purified cylindrospermopsin in humans or animals. There was no indication that cylindrospermopsin had tumor initiating activity in a test in which mice were administered a cell-free extract of freeze-dried *C*. *raciborskii* cells by gavage followed by oral exposure to the tumor promoter TPA (Falconer and Humpage, 2001).

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The nucleotide structure of cylindrospermopsin, as well as the presence of potentially 27 reactive guanidine and sulfate groups, suggests the possibility of interference with DNA and/or 28 RNA synthesis and induction of mutations. Covalent binding between DNA and 29 cylindrospermopsin (or a metabolite) (Shaw et al., 2000, 2001) and DNA strand breakage (Shen 30 et al., 2002) have been demonstrated in mouse liver, and micronuclei were induced in human 31 WIL2-NS lymphoblasts (Humpage et al., 2000). Although the available data indicate that DNA 32 strand breakage could be a key mechanism for cylindrospermopsin-induced cytogenetic damage 33 (Humpage et al., 2000; Shen et al., 2002), insufficient data are available to speculate on the 34 carcinogenic potential of cylindrospermopsin. 35

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## 37 **4.8.** SUSCEPTIBLE POPULATIONS AND LIFE STAGES

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## 39 **4.8.1. Possible Childhood Susceptibility**

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As discussed in Section 4.1, cylindrospermopsin has been implicated in the Palm Island outbreak of a hepatoenteritis-like illness in 148 Australians (Blyth, 1980; Griffiths and Saker, 2003). Of the 148 cases, 138 were children (mean age 8.4 years, range 2-16 years, 41% male and 59% female) and 10 were adults (sex and age not reported). There are no reported indications that the 138 children were from the households of the 10 adults or that the children and adults received different exposures, suggesting a possible increased sensitivity of children
 (the child:adult ratio is approximately 14:1).

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## 4.8.2. Possible Gender Differences

There is no information on possible gender differences in the disposition of, or response to, cylindrospermopsin.

## 9 **4.8.3.** Other Possible Susceptible Populations

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No data were located regarding populations that might be unusually susceptible to cylindrospermopsin. It is conceivable that individuals with liver and/or kidney disease might be more susceptible than the general population because of compromised detoxification mechanisms in the liver and impaired excretory mechanisms in the kidney.
### 5. DOSE-RESPONSE ASSESSMENTS

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#### 5.1. NARRATIVE DESCRIPTION OF THE EXTENT OF THE DATABASE

- Studies on the absorption, tissue distribution, metabolism and elimination of 6 cylindrospermopsin following oral, inhalation or dermal exposure have not been performed. 7 8 Gastrointestinal absorption of cylindrospermopsin is indicated by the induction of systemic effects in oral toxicity studies. Studies in which cylindrospermopsin was administered to mice 9 by acute i.p. injection indicate that it is largely distributed to the liver and rapidly eliminated in 10 the urine as unmetabolized compound. The liver and kidneys are the main targets of 11 cylindrospermopsin toxicity. Possible modes of action include inhibition of protein synthesis, 12 CYP450-mediated bioactivation to a reactive intermediate and covalent binding between parent 13 compound or a metabolite and DNA and/or RNA. 14
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The only information on the toxicity of cylindrospermopsin in humans is from reports of 16 a poisoning outbreak that is attributed to the consumption of drinking water containing toxin-17 producing C. raciborskii. Although the clinical picture of this hepatoenteritis-like illness is well 18 defined and includes bloody diarrhea, swollen liver and impaired kidney function, there are no 19 data on exposure levels that induced these effects. 20

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22 Most of the available data on the toxicity of cylindrospermopsin in animals are available from oral and i.p. studies that tested purified compound or extracts of C. raciborskii cells. These 23 studies are generally consistent in indicating that cylindrospermopsin causes lesions in the liver 24 and other organs, particularly the kidneys, spleen and thymus. The cell extract studies are not 25 useful for dose-response assessment of cylindrospermopsin due to the confounding factors 26 discussed in the introduction to Section 4.2. The database on oral toxicity of pure 27 cylindrospermopsin is limited by a small number of studies and insufficient reporting. No 28 studies have been performed assessing the acute oral toxicity of pure cylindrospermopsin. Data 29 on the short-term oral toxicity of pure compound are available from inadequately reported 30 14-day gavage and 21-day drinking water studies in mice and rats. The reports of these studies 31 identify NOAELs and LOAELs for histopathology, but the adequacy of these effect levels 32 cannot be verified due to a virtual lack of any additional information on the experimental designs 33 and results. Data on the subchronic oral toxicity of pure cylindrospermopsin are available from a 34 well-designed and reported 11-week study in mice that provides a suitable basis for derivation of 35 a subchronic oral RfD value. No chronic toxicity, reproductive toxicity, developmental toxicity 36 or carcinogenicity studies of pure cylindrospermopsin have been performed. 37 38 39

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No information is available on the inhalation toxicity of cylindrospermopsin.

#### 5.2. **ORAL REFERENCE DOSE (RfD)** 41

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#### 43 **5.2.1.** Data Considered in Deriving Reference Values

45 Data considered in deriving oral RfDs for each duration of exposure are summarized in Table 4-1 (Section 4.6.1). 46

### 5.2.2. Acute Duration

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### 5.2.2.1. Choice of Principal Study and Critical Effect - with Rationale and Justification

6 Derivation of an acute oral RfD for cylindrospermopsin is precluded by insufficient data. 7 The only information on the toxicity of cylindrospermopsin in humans is the outbreak of a 8 hepatoenteritis-like illness that is attributed to the consumption of drinking water containing C. raciborskii (Blyth, 1980; Griffiths and Saker, 2003; Hawkins et al., 1985; Ohtani et al., 1992). 9 Although the clinical picture of the illness is well defined, measured or estimated exposure levels 10 have not been reported. No acute oral toxicity studies of purified cylindrospermopsin have been 11 performed in animals. Single-dose studies of suspensions or cell-free extracts of C. raciborskii 12 cells were conducted in mice, but only near-lethal to lethal dose levels were tested (Falconer et 13 al., 1999; Seawright et al., 1999; Shaw et al., 2000, 2001). 14

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#### 5.2.3. Short-Term Duration 16

### 5.2.3.1. Choice of Principal Study and Critical Effect - with Rationale and Justification

20 Derivation of a short-term oral RfD for cylindrospermopsin is precluded by insufficient 21 data. The only information relevant to the short-term toxicity of cylindrospermopsin in humans 22 is qualitative data on the outbreak of the hepatoenteritis-like illness that is attributed to the 23 consumption of drinking water containing C. raciborskii (Blyth, 1980; Griffiths and Saker, 2003; 24 Hawkins et al., 1985; Ohtani et al., 1992). As discussed in Sections 4.2.1.2 and 4.5.1, a limited 25 amount of information is available on the short-term oral toxicity of cylindrospermopsin from 26 poorly reported 14-day gavage and 21-day drinking water studies (Shaw et al., 2000, 2001). The 27 14-day study reported a NOAEL of 0.05 mg/kg-day and LOAEL of 0.15 mg/kg-day for liver 28 29 fatty infiltration in mice, and the 21-day study reported a free-standing NOAEL of 0.2 mg/kg-day for histopathology in mice and rats. The appropriateness of these effect levels cannot 30 be assessed due to inadequate information on the design and results of the studies. 31

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- 33 5.2.4. Subchronic Duration
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### 5.2.4.1. Choice of Principal Study and Critical Effect - with Rationale and Justification

The comprehensive 11-week subchronic study in mice (Humpage and Falconer, 2003), 38 detailed in Section 4.2.1.3, is the only subchronic study of purified cylindrospermopsin and 39 provides a suitable basis for RfD derivation. The LOAEL was 60 µg/kg-day for increased 40 relative kidney weight. At 120 µg/kg-day, there was a significant decrease in urinary protein 41 concentration and minor histopathological changes in the liver. Decreased urinary protein and 42 increased relative kidney weight are both potential indicators of suppressed protein synthesis, a 43 known mode of action of cylindrospermopsin. The decrease in urinary protein is consistent with 44 decreased availability of protein and the increase in kidney weight may reflect a compensatory 45 hyperplasia, such that the kidney, as a protein-synthesizing organ, is stimulated to grow in an 46

attempt to maintain homeostasis in face of a chemical-related decrease in protein synthesis (Humpage and Falconer, 2003). Information supporting this hypothesis, as well as the possibility that the decrease in urinary protein excretion reflects a functional change in the nephron, is discussed in Section 4.5.2. Because the changes are consistent with a known mode of action and represent part of the progression of effects leading to toxicity, they are considered to be adverse and indicate that the LOAEL and NOAEL are 60 and 30  $\mu$ g/kg-day, respectively.

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### 5.2.4.2. Methods of Analysis - Including Models (PBPK, BMD, etc.)

A point of departure (POD) can be determined using the kidney weight data and BMD modeling, but BMD analysis of the urinary protein and histopathology data is precluded by insufficient data. In particular, the urinary protein data are limited by inadequate reporting (mean concentrations and errors are conveyed in a bar graph with no numerical values specifically reported, no indication if the error bars represent standard deviation or standard error, and no indication of numbers of animals) and the pathology findings are limited by a lack of incidence data.

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In accordance with current BMD technical guidance (U.S. EPA, 2000c), available 18 continuous-variable models in the EPA Benchmark Dose Software (BMDS version 1.3.2; linear, 19 polynomial, power and Hill models) were fit to the data for changes in mean relative kidney 20 weight shown in Table 5-1. Statistical tests in the BMDS showed that variance was 21 homogeneous across dose groups. BMDs and BMDLs were calculated using 1 standard 22 deviation above the control mean as the benchmark response level (BMR), while assuming 23 homogenous variance across groups. Using data from all dose groups, an adequate fit to the data 24 was obtained with the Hill model (Table 5-2), but the BMDS was not able to compute a BMDL. 25 After dropping the high dose group, there were insufficient degrees of freedom remaining to fit 26 27 the Hill model, but the linear model adequately fit the data and produced an estimated BMD of 43.1 µg/kg-day and BMDL of 33.1 µg/kg-day. The two-degree polynomial and power models 28 29 defaulted to the same linear model, albeit with lower p-value and/or higher Aikake's Information Criteria (AIC) due to the greater number of parameters in these models. The BMD modeling 30 results are summarized in Table 5-2 and detailed in Appendix A, and the fit of the linear model 31 to the data is shown in Figure 5-1. The BMDL of 33.1 µg/kg-day is similar to the 30 µg/kg-day 32 NOAEL for increased kidney weight and is used as the POD for the RfD. 33

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### **5.2.4.3. RfD Derivation - Including Application of UFs**

The BMDL of 33.1  $\mu$ g/kg-day for increased relative kidney weight was used as the point of departure (POD) for the subchronic RfD. Dividing the BMDL of 33.1  $\mu$ g/kg-day by a composite uncertainty factor (UF) of 1000 results in a subchronic RfD for cylindrospermopsin of 3x10<sup>-5</sup> mg/kg-day.

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Table 5-1. Relative Kidney Weights in Mice Exposed to Purified Cylindrospermopsin for 11 Weeks						
	Oral Dose (µg/kg-day)					
	0	30	60	120	240	
Relative kidney weight (mean <u>+</u> standard deviation)	$1.48 \pm 0.10$ (10) <sup>a</sup>	$1.57 \pm 0.14$ (10)	$1.66 \pm 0.16^{b}$ (9)	$1.82 \pm 0.12^{\circ}$ (9)	$1.78 \pm 0.17^{c}$ (6)	

<sup>a</sup> Values in parentheses are the number of animals evaluated in each group <sup>b</sup> Statistically significant difference from controls (p<0.05) <sup>c</sup> Statistically significant difference from controls (p<0.001) 

Source: Humpage and Falconer (2003) 

Table 5-2. Summary of Benchmark Dose Modeling (Relative Kidney Weight) <sup>a</sup>						
Model Fit to Means	df	p-Value for Model Fit	AIC for Fitted Model	BMD (µg/kg-day)	BMDL (µg/kg-day)	
Relative kidney weight, all dose groups (p=0.59 for test of homogenous variance, indicating assumption of homogenous variance is appropriate)						
Linear	3	0.01	-120.85	106.07	76.56	
2-Degree polynomial (pos betas)	2	0.003	-120.85	106.07	76.56	
Power (power >=1)	2	0.003	-116.85	106.07	76.56	
Hill (power >=1)	1	0.21	-124.74	43.19 <sup>b</sup>	NA <sup>c</sup>	
Relative kidney weight, high dose group dropped (p=0.52 for test of homogenous variance, indicating assumption of homogenous variance is appropriate)						
Linear	2	0.98	-116.47	43.90	33.07	
2-Degree polynomial (pos betas)	1	0.84	-116.47	43.90	33.07	
Power (power >=1)	1	0.84	-112.47	43.90	33.07	
Hill (power >=1)	0	NA <sup>d</sup>	-110.51	41.20	21.72	

<sup>a</sup> Modeling conducted assuming homogenous variance and using BMR of 1 standard deviation <sup>b</sup> Optimum BMD may not have been found (i.e. bad completion code in the BMDS optimization 

routine) <sup>c</sup> BMDL computation failed <sup>d</sup> The Chi-Square test for fit is not valid due to insufficient degrees of freedom (df) 

NA = not available



Figure 5-1. Linear Model Fit to Relative Kidney Weight Data (High Dose Group Dropped) Source: Humpage and Falconer (2003)

31 DRAFT: DO NOT CITE OR QUOTE

1	1 <b>Subchronic RfD</b> = $BMDL \div UF$	
2	$2 = 33.1 \mu\text{g/kg-day}$	÷ 1000
3	3 = 0.00003  mg/kg	day or 3x10 <sup>-5</sup> mg/kg-day
4	4	
5 6	5 The composite UF of 1000 includes a factor of 10 for 6 of 10 to account for interindividual variability in the human p	interspecies extrapolation, a factor oppulation and a factor of 10 for
7 8	<ul><li>7 database limitations, as follows.</li><li>8</li></ul>	
9 10 11 12	<ul> <li>A default 10-fold UF is used to account for the intersy</li> <li>from laboratory animals to humans. No information in</li> <li>purified cylindrospermopsin in humans, and no data or</li> <li>animals and humans in the disposition of ingested cylindrospectation</li> </ul>	pecies variability in extrapolating s available on the toxicity of on toxicokinetic differences between indrospermopsin are available.
13 14 15 16 17 18	<ul> <li>A 10-fold UF is used to account for variation in sensi because there is insufficient information on the degre gender, age, health status or genetic makeup might va to, ingested cylindrospermopsin. As discussed in Sec outbreak of a hepatoenteritis-like illness (Blyth, 1980 a possible increased sensitivity of children to cylindrometerity</li> </ul>	tivity within human populations e to which humans of varying ry in the disposition of, or response tion 4.1, data from the Palm Island ; Griffiths and Saker, 2003) suggest ospermopsin.
19 20 21 22 23	<ul> <li>A 10-fold UF is used to account for deficiencies in th on the longer-term toxicity of cylindrospermopsin in deficiencies include a lack of particular kinds of anim cylindrospermopsin, including a chronic study, subch species and reproductive and developmental toxicity</li> </ul>	e database. There is no information humans. Other database al studies on purified ronic or chronic studies in a second studies.
24 25 26 27	<ul> <li>The NOAEL/LOAEL approach and an UF of 1000 w</li> <li>mg/kg-day due to the similarity of the NOAEL and BMDL fe</li> <li>33.1 μg/kg-day, respectively).</li> </ul>	ould also yield an RfD of 0.00003 or increased kidney weight (30 and
27 28 29	<ul> <li>5.2.5. Chronic Duration</li> </ul>	
30 31 32	<ul> <li>5.2.5.1. Choice of Principal Study and Critical Eff</li> <li>Justification</li> </ul>	ect - with Rationale and
33	33 Derivation of a chronic oral RfD for cylindrospermor	sin is precluded by insufficient
34	34 data. No information is available on the chronic toxicity of c	ylindrospermopsin by any route of
35	35 exposure. The 11-week study (Humpage and Falconer, 2003	) used to derive the subchronic RfD
36	36 was considered for use in the derivation of a chronic RfD; ho	wever, this approach was rejected
37	37 due to the lack of information on the potential progression of	cylindrospermopsin-induced
38	38 adverse effects with increased exposure duration. The use of	the POD from the 11-week
39	39 subchronic study for the derivation of a chronic RfD would r	equire the application of a
40	40 subchronic-to-chronic UF to account for the uncertainties inv	olved in extrapolating across
41	41 exposure durations. The application of a full subchronic-to-c	hronic UF of 10, along with UFs of
42 43	<ul> <li>10 in three other areas of uncertainty (interspecies UF, intras</li> <li>result in a total composite uncertainty factor of 10,000. A co</li> </ul>	pecies UF, database UF), would mposite uncertainty factor of this

magnitude suggests that the database is insufficient to support the derivation of an RfD for
 chronic exposure; therefore, no chronic oral RfD is derived.

# 4 5.2.6. Route-to-Route Extrapolation

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Derivation of acute, short-term, and chronic RfD values for cylindrospermopsin by routeto-route extrapolation could not be considered due to a lack of inhalation data.

### 9 5.3. INHALATION REFERENCE CONCENTRATION (RfC)

No information is available on the toxicity of inhaled cylindrospermopsin.

### 13 5.4. CANCER ASSESSMENT

No dose-response or other information is available regarding the carcinogenicity of purecylindrospermopsin.

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### 6. MAJOR CONCLUSIONS IN THE CHARACTERIZATION OF HAZARD AND DOSE RESPONSE

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### 6.1. HUMAN HAZARD POTENTIAL

Cylindrospermopsin is a naturally occurring chemical produced by *Cylindrospermopsis* 7 8 (particularly C. raciborskii) and at least four other genera of freshwater cyanobacteria. Toxicokinetic studies of cylindrospermopsin have not been performed using natural routes of 9 exposure, but oral toxicity studies show that it is absorbed from the gastrointestinal tract, and i.p. 10 toxicokinetic studies indicate that it is mainly distributed to the liver and excreted in the urine as 11 unmetabolized compound. Main targets of cylindrospermopsin toxicity include the liver and 12 kidneys, and possible modes of action include inhibition of protein synthesis, bioactivation to a 13 reactive intermediate and covalent binding of parent compound or a metabolite to DNA and/or 14 RNA. 15

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17 The main information on the toxicity of cylindrospermopsin in humans is from qualitative reports of a hepatoenteritis-like illness that is attributed to the acute or short-term 18 consumption of drinking water containing C. raciborskii. The database on oral toxicity of 19 purified cylindrospermopsin in animals is limited by a small number of studies and insufficient 20 reporting. No studies have been performed assessing the acute oral toxicity of pure 21 cylindrospermopsin. Information on short-term oral toxicity is available from inadequately 22 reported 14- and 21-day studies in mice and rats. Data on the subchronic oral toxicity of pure 23 cylindrospermopsin are available from a comprehensive 11-week study that identified NOAELs 24 and LOAELs for kidney and liver effects in mice. No chronic toxicity, reproductive toxicity, 25 developmental toxicity or carcinogenicity studies of cylindrospermopsin have been conducted. 26 Testing following inhalation has not been performed. 27

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# 6.2. DOSE RESPONSE

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Kidney effects data in the 11-week toxicity study (Humpage and Falconer, 2003) provide 31 a suitable basis for deriving a subchronic oral RfD. The most sensitive effect in this study was 32 increased relative kidney weight; decreased urinary protein and minor histopathological damage 33 to the liver occurred at the next highest dose. As discussed in Section 4.5.2, increased kidney 34 weight and decreased urinary protein are consistent with suppressed protein synthesis, a known 35 mode of action of cylindrospermopsin, and represent part of the progression of effects leading to 36 toxicity. Based on a BMDL of 33.1 µg/kg-day for increased relative kidney weight in mice, a 37 subchronic RfD of  $3 \times 10^{-5}$  mg/kg-day was derived by dividing the BMDL by a UF of 1000. The 38 39 UF comprises component factors of 10 for interspecies extrapolation, 10 for interindividual variability and 10 for database deficiencies. Acute, short-term and chronic oral RfDs could not 40 41 be derived due to inadequate data. Inhalation RfC derivation is precluded by the lack of data for this route of exposure. There is inadequate information to evaluate the carcinogenicity of 42 cylindrospermopsin. 43

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## APPENDIX A

# BENCHMARK DOSE MODELING RESULTS FOR CYLINDROSPERMOPSIN

### Part I.

Humpage and Falconer 2003 male mice treated with purified cylindrospermopsin rel kidney wt Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 22:04:04 2005
BMDS MODEL RUN
The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ...
Dependent variable = MEAN Independent variable = dose rho is set to 0 Signs of the polynomial coefficients are not restricted

Total number of dose groups = 5 Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008

A constant variance model is fit

Default Initial Parameter Values
 alpha = 0.018741
 rho = 0 Specified
 beta\_0 = 1.551
 beta\_1 = 0.00123333

#### Parameter Estimates

			95.0% Wald Confi	dence Interval
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
alpha	0.0215477	0.00459399	0.0125437	0.0305518
beta_0	1.54205	0.0311925	1.48091	1.60318
beta_1	0.00138389	0.000287871	0.000819671	0.00194811

Asymptotic Correlation Matrix of Parameter Estimates

		6	alpha	a be	ta_0		beta_1
alpha			1	1 2.1e	-009	1.	.2e-010
beta_0		2.10	e-009	9	1		-0.7
beta_1		1.20	e-010	0	-0.7		1
Table	of	Data	and	Estimated	Values	of	Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	1.48	0.1	1.54	0.147	-1.34
30	10	1.57	0.14	1.58	0.147	-0.292
60	9	1.66	0.16	1.63	0.147	0.714
120	9	1.82	0.12	1.71	0.147	2.29
240	б	1.78	0.17	1.87	0.147	-1.57

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma^2
Model A2: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma(i)^2

Model R: Yi = Mu + e(i) $Var{e(i)} = Sigma^2$ 

#### Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	68.148702	6	-124.297404
A2	69.554943	10	-119.109885
fitted	62.424690	2	-120.849381
R	52.631671	2	-101.263343

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	33.8465	8	<.0001
Test 2	2.81248	4	0.5897
Test 3	11.448	3	0.009534

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here

The p-value for	Test	3 is less th	1an .05.	You may war	ıt			
to try a								
different model								
Benchmark Dose	Compu	utation						
Specified effec	t =	1						
Risk Type	=	Estimated	standard	deviations	from	the	control	mean
Confidence leve	1 =	0.95						
BM	D =	106.072						
BMD	L =	76.5569						





22:04 05/12 2005

\_\_\_\_\_ Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 22:27:06 2005 \_\_\_\_\_ BMDS MODEL RUN The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ... Dependent variable = MEAN Independent variable = dose rho is set to 0 The polynomial coefficients are restricted to be positive A constant variance model is fit Total number of dose groups = 5Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008

> Default Initial Parameter Values alpha = 0.018741 rho = 0 Specified beta\_0 = 1.46523 beta\_1 = 0 beta\_2 = 0

#### Parameter Estimates

-----

			95.0% Wald Conf	idence Interval
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
alpha	0.0215477	0.00459399	0.0125437	0.0305518
beta_0	1.54205	0.0311925	1.48091	1.60318
beta_1	0.00138389	0.000287871	0.000819671	0.00194811
beta_2	0	NA		

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	1.4e-010	7.2e-011
beta_0	1.4e-010	1	-0.7
beta_1	7.2e-011	-0.7	1

The following parameter(s) have been estimated at a boundary point or have been specified. Correlations are not computed:

beta\_2

Table of Data and Estimated Values of Interest

Dose Res.	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
-						
0	10	1.48	0.1	1.54	0.147	-1.34
30	10	1.57	0.14	1.58	0.147	-0.292
60	9	1.66	0.16	1.63	0.147	0.714
120	9	1.82	0.12	1.71	0.147	2.29
240	6	1.78	0.17	1.87	0.147	-1.57

Model Descriptions for likelihoods calculated

Model	A1:	Yij	=	Mu(i) +	e(ij)
		Var{e(ij)}	=	Sigma^2	

Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2

Model R: Yi = Mu + e(i) $Var{e(i)} = Sigma^2$ 

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	68.148702	б	-124.297404
A2	69.554943	10	-119.109885
fitted	62.424690	2	-120.849381
R	52.631671	2	-101.263343

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (A1 vs. fitted) Tests of Interest Test -2\*log(Likelihood Ratio) Test df p-value

Test	1	33.8465	8	<.0001
Test	2	2.81248	4	0.5897
Test	3	11.448	2	0.003267

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here The p-value for Test 3 is less than .05. You may want to try a different model Benchmark Dose Computation Specified effect = 1 Estimated standard deviations from the control mean Risk Type = Confidence level = 0.95 BMD = 106.072 BMDL = 76.5569



#### Polynomial Model with 0.95 Confidence Level

S	Parameter Values	Default Initial
	0.018741	alpha =
Specified	0 S <u>r</u>	rho =
	1.48	control =
	0.0132605	slope =
	0.612843	power =

### Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	control	slope	power
alpha	1	-1	NA	NA	NA
rho	-1	1	NA	NA	NA
control	NA	NA	NA	NA	NA
slope	NA	NA	NA	NA	NA
power	NA	NA	NA	NA	NA

NA - This parameter's variance has been estimated at zero.

#### Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0215477	0.0399798
rho	0	3.81654
control	1.54205	0.0380886
slope	0.00138389	0.00299534
power	1	0.337065

#### Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	1.48	0.1	1.54	0.147	-0.423
30	10	1.57	0.14	1.58	0.147	-0.0924
60	9	1.66	0.16	1.63	0.147	0.238
120	9	1.82	0.12	1.71	0.147	0.762
240	б	1.78	0.17	1.87	0.147	-0.642

Model Descriptions for likelihoods calculated

Model Al: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij)Var{e(ij)} = Sigma(i)^2 Model R: Yi = Mu + e(i) $Var{e(i)} = Sigma^2$ Likelihoods of Interest Model Log(likelihood)  $\mathsf{DF}$ AIC A1 68.148702 6 -124.297404 A2 69.554943 10 -119.109885 fitted 62.424690 4 -116.849381 R 52.631671 2 -101.263343 Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (Al vs. fitted) Tests of Interest Test -2\*log(Likelihood Ratio) df p-value 8 Test 1 33.8465 <.00001 Test 2 2.81248 4 0.5897 Test 3 11.448 2 0.003267 The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here The p-value for Test 3 is less than .05. You may want to try a different model Benchmark Dose Computation Specified effect = 1 Risk Type = Estimated standard deviations from the control mean Confidence level = 0.95 BMD = 106.072 BMDL = 76.5569



Power Model with 0.95 Confidence Level

Parameter Val	les
0.0183633	
0	Specified
1.48	
0.34	
0.959904	
63.3333	
	Parameter Valu 0.0183633 0 1.48 0.34 0.959904 63.3333

#### Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	intercept	v	n	k
alpha	1	0	0	0	0	0
rho	0	1	0	0	0	0
intercept	0	0	1	0	0	0
v	0	0	0	1	0	0
n	0	0	0	0	1	0
k	0	0	0	0	0	1

#### Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0172084	1
rho	0	1
intercept	1.4859	1
v	0.33478	1
n	2.46401	1
k	51.624	1

#### Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
-						
0	10	1.48	0.1	1.49	0.131	-0.0449
30	10	1.57	0.14	1.56	0.131	0.11
60	9	1.66	0.16	1.68	0.131	-0.183
120	9	1.82	0.12	1.78	0.131	0.279
240	6	1.78	0.17	1.81	0.131	-0.253

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij)Var{e(ij)} = Sigma(i)^2 Yi = Mu + e(i) Model R:  $Var{e(i)} = Sigma^2$ Likelihoods of Interest Model Log(likelihood) DF AIC A1 68.148702 6 -124.297404 69.554943 67.371885 52.631671 A2 10 -119.109885 fitted 5 -124.743770 R 2 -101.263343 Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (Al vs A2) Test 3: Does the Model for the Mean Fit (Al vs. fitted) Tests of Interest -2\*log(Likelihood Ratio) Test df Test p-value Test 1 8 33.8465 <.0001 Test 2 4 2.81248 0.5897 Test 3 1.55363 1 0.2126 The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data Benchmark Dose Computation Specified effect = 1 Risk Type = Estimated standard deviations from the control mean Confidence level = 0.95 BMD = 43.1891 Warning: optimum may not have been found. Bad completion code in Optimization routine. BMDL computation failed.



22:29 05/12 2005

### Part II.

Humpage and Falconer 2003 male mice treated with purified cylindrospermopsin rel kidney wt drop high dose group

\_\_\_\_\_ Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 22:31:50 2005 \_\_\_\_\_ BMDS MODEL RUN The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ... Dependent variable = MEAN Independent variable = dose rho is set to 0 The polynomial coefficients are restricted to be positive A constant variance model is fit Total number of dose groups = 4Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008 Default Initial Parameter Values

fault Initial Parameter Values alpha = 0.0172471 rho = 0 Specified beta\_0 = 1.484 beta\_1 = 0.00282857

#### Parameter Estimates

			95.0% Wald Confidence Interval				
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit			
alpha	0.0154483	0.00354407	0.008502	0.0223945			
beta_0	1.4838	0.0306522	1.42373	1.54388			
beta_1	0.00283099	0.000456935	0.00193541	0.00372656			

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	-1.7e-010	1.4e-010
beta_0	-1.7e-010	1	-0.75
beta_1	1.4e-010	-0.75	1

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	1.48	0.1	1.48	0.124	-0.0968
30 60 120	10 9 9	1.57 1.66 1.82	0.14 0.16 0.12	1.57 1.65 1.82	0.124 0.124 0.124	0.0323 0.153 -0.085

#### Table of Data and Estimated Values of Interest

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2

Model R: Yi = Mu + e(i)Var $\{e(i)\}$  = Sigma<sup>2</sup>

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	60.255446	5	-110.510893
A2	61.376237	8	-106.752474
fitted	60.234922	2	-116.469843
R	46.462327	2	-88.924654

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (Al vs. fitted) Tests of Interest -2\*log(Likelihood Ratio) Test df Test p-value Test 1 29.8278 6 <.0001 Test 2 2.24158 3 0.5238 Test 3 0.0410496 2 0.9797

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data Benchmark Dose Computation Specified effect = 1 Risk Type Estimated standard deviations from the control mean = Confidence level = 0.95 43.9038 BMD = 33.0684 BMDL =

### Linear Model with 0.95 Confidence Level



22:31 05/12 2005

\_\_\_\_\_ Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 22:33:17 2005 \_\_\_\_\_ BMDS MODEL RUN The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ... Dependent variable = MEAN Independent variable = dose rho is set to 0 The polynomial coefficients are restricted to be positive A constant variance model is fit Total number of dose groups = 4Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008 Default Initial Parameter Values alpha = 0.0172471 rho = 0 Specified rho = 0 beta\_0 = 1.47945

 $beta_1 = 0.00314242$ 

beta\_2 =

#### Parameter Estimates

0

		95.0% Wald Confidence Interval					
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit			
alpha	0.0154483	0.00354407	0.008502	0.0223945			
beta_0	1.4838	0.0306522	1.42373	1.54388			
beta_1	0.00283099	0.000456935	0.00193541	0.00372656			
beta_2	0	NA					

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	4.9e-011	9.5e-011
beta_0	4.9e-011	1	-0.75
beta_1	9.5e-011	-0.75	1

The following parameter(s) have been estimated at a boundary point or have been specified. Correlations are not computed:

beta\_2

Table of Data and Estimated Values of Interest

Dose Res.	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
-						
0	10	1.48	0.1	1.48	0.124	-0.0968
30	10	1.57	0.14	1.57	0.124	0.0323
60	9	1.66	0.16	1.65	0.124	0.153
120	9	1.82	0.12	1.82	0.124	-0.085

Model Descriptions for likelihoods calculated

Model	A1:	Yij Var{e(ij)}	= =	Mu(i) + e(ij) Sigma^2
Model	A2:	Yij Var{e(ij)}	=	Mu(i) + e(ij) Sigma(i)^2

Model R: Yi = Mu + e(i)Var $\{e(i)\}$  = Sigma<sup>2</sup>

#### Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	60.255446	5	-110.510893
A2	61.376237	8	-106.752474
fitted	60.234922	2	-116.469843
R	46.462327	2	-88.924654

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (A1 vs. fitted)
## Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	29.8278	6	<.0001
Test 2	2.24158	3	0.5238
Test 3	0.0410496	1	0.8394

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here

The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data

Benchmark Dose Computation Specified effect =

Risk Type = Estimated standard deviations from the control mean

1

Confidence level = 0.95 BMD = 43.9038

BMDL = 33.0684



Polynomial Model with 0.95 Confidence Level

lues	Parameter Val	Default Initial
	0.0172471	alpha =
Specified	0	rho =
	1.48	control =
	0.00345163	slope =
	0.958769	power =

## Asymptotic Correlation Matrix of Parameter Estimates

power	slope	control	rho	alpha	
0.29	-0.29	0.25	-0.99	1	alpha
-0.28	0.29	-0.25	1	-0.99	rho
0.66	-0.71	1	-0.25	0.25	control
-1	1	-0.71	0.29	-0.29	slope
1	-1	0.66	-0.28	0.29	power

#### Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0154483	0.0279175
rho	0	3.65432
control	1.4838	0.0410106
slope	0.00283099	0.00517499
power	1	0.372848

## Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	1.48	0.1	1.48	0.124	-0.0306
30	10	1.57	0.14	1.57	0.124	0.0102
60	9	1.66	0.16	1.65	0.124	0.051
120	9	1.82	0.12	1.82	0.124	-0.0283

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2 Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2 Model R: Yi = Mu + e(i) $Var{e(i)} = Sigma^2$ 

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	60.255446	5	-110.510893
A2	61.376237	8	-106.752474
fitted	60.234922	4	-112.469843
R	46.462327	2	-88.924654

Test 1: Does response and/or variances differ among dose levels (A2 vs. R)

Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	df	p-value
Test 1	29.8278	6	<.00001
Test 2	2.24158	3	0.5238
Test 3	0.0410496	1	0.8394

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here

The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data

1

Benchmark Dose Computation Specified effect =

Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 43.9038

BMDL = 33.0684



Power Model with 0.95 Confidence Level

Default Initial	Parameter Val	ues
alpha =	0.0163951	
rho =	0	Specified
intercept =	1.48	
v =	0.34	
n =	0.68364	
k =	63.3333	

## Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	intercept	v	n	k
alpha	1	0	0	0	0	0
rho	0	1	0	0	0	0
intercept	0	0	1	0	0	0
v	0	0	0	1	0	0
n	0	0	0	0	1	0
k	0	0	0	0	0	1

#### Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0154316	1
rho	0	1
intercept	1.48	1
v	1.62004	1
n	1.08746	1
k	406.089	1

#### Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	1.48	0.1	1.48	0.124	-2.33e-007
30	10	1.57	0.14	1.57	0.124	-4.42e-007
60	9	1.66	0.16	1.66	0.124	8.2e-007
120	9	1.82	0.12	1.82	0.124	-1.25e-008

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2 Model A2: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma(i)^2
Model R: Yi = Mu + e(i)

 $Var{e(i)} = Sigma^2$ 

Warning: Likelihood for fitted model larger than the Likelihood for model A1.

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	60.255446	5	-110.510893
A2	61.376237	8	-106.752474
fitted	60.255447	5	-110.510893
R	46.462327	2	-88.924654

Test 1: Does response and/or variances differ among dose levels
 (A2 vs. R)
Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	29.8278	б	<.0001
Test 2	2.24158	3	0.5238
Test 3	-4.58279e-007	0	NA

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here

NA - Degrees of freedom for Test 3 are less than or equal to 0. The Chi-Square test for fit is not valid

Benchmark Dose Computation Specified effect = 1

Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 41.1966

BMDL = 21.722



Hill Model with 0.95 Confidence Level

22:34 05/12 2005

## Part III.

Humpage and Falconer 2003 male mice treated with purified cylindrospermopsin urinary protein levels Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 23:15:09 2005
BMDS MODEL RUN
The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ...
Dependent variable = MEAN Independent variable = dose rho is set to 0 Signs of the polynomial coefficients are not restricted A constant variance model is fit

Total number of dose groups = 5 Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values
 alpha = 0.0467949
 rho = 0 Specified
 beta\_0 = 4.00125
 beta\_1 = -0.0114583

#### Parameter Estimates

			95.0% Wald Confide	nce Interval
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
alpha	0.109717	0.0233918	0.0638701	0.155564
beta_0	4.03293	0.070386	3.89498	4.17088
beta_1	-0.0120086	0.000649584	-0.0132818	-0.0107354

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	2.5e-009	9.1e-010
beta_0	2.5e-009	1	-0.7
beta_1	9.1e-010	-0.7	1

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
-						
0	10	4.25	0.3	4.03	0.331	2.07
30	10	3.7	0.25	3.67	0.331	0.261
60	9	3.25	0.05	3.31	0.331	-0.565
120	9	2.15	0.2	2.59	0.331	-4
240	6	1.5	0.15	1.15	0.331	2.58

Table of Data and Estimated Values of Interest

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma^2
Model A2: Yij = Mu(i) + e(ij)

 $Var\{e(ij)\} = Sigma(i)^2$ 

Model R: Yi = Mu + e(i)Var{e(i)} = Sigma<sup>2</sup>

Test 3

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	48.017412	б	-84.034824
A2	59.392540	10	-98.785081
fitted	26.616696	2	-49.233392
R	-21.651321	2	47.302642

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (Al vs. fitted) Tests of Interest Test -2\*log(Likelihood Ratio) Test df p-value Test 1 162.088 8 <.0001 Test 2 22.7503 4 0.000142

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

42.8014

3

<.0001

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model The p-value for Test 3 is less than .05. You may want to try a different model Benchmark Dose Computation Specified effect = 1 Risk Type = Estimated standard deviations from the control mean Confidence level = 0.95 BMD = 27.5832 BMDL = 22.9758



Linear Model with 0.95 Confidence Level

Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 23:16:16 2005

Dependent variable = MEAN
Independent variable = dose
Signs of the polynomial coefficients are not restricted
The variance is to be modeled as Var(i) = alpha\*mean(i)^rho

Total number of dose groups = 5 Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008

> Default Initial Parameter Values alpha = 0.0467949 rho = 0 beta\_0 = 4.00125 beta\_1 = -0.0114583

#### Parameter Estimates

			95.0% Wald Confider	ice Interval				
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit				
alpha	0.0612302	0.0136561	0.0344646	0.0879957				
rho	-0.214753	0.0359694	-0.285252	-0.144255				
beta_0	4.26301	0.0535233	4.15811	4.36791				
beta_1	-0.0177625	0.00084855	-0.0194257	-0.0160994				

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	beta_0	beta_1
alpha	1	0.12	0.11	-0.18
rho	0.12	1	-0.18	0.29
beta_0	0.11	-0.18	1	-0.75
beta_1	-0.18	0.29	-0.75	1

Dose Res.	Ν	Obs Mean	Obs Std Dev	v Est Mean	Est Std Dev	Chi^2
-						
0	10	4.25	0.3	4.26	0.212	-0.194
30	10	3.7	0.25	3.73	0.215	-0.444
60	9	3.25	0.05	3.2	0.218	0.724
120	9	2.15	0.2	2.13	0.228	0.243
240	б	1.5	0.15 4	1.95e-008	1.51	2.44

## Table of Data and Estimated Values of Interest

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2 Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2 Model A3: Yij = Mu(i) + e(ij) Var{e(ij)} = alpha\*(Mu(i))^rho Model R: Yi = Mu + e(i) Var{e(i)} = Sigma^2

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	48.017412	б	-84.034824
A2	59.392540	10	-98.785081
A3	49.799962	7	-85.599924
fitted	33.618701	4	-59.237401
R	-21.651321	2	47.302642

#### Explanation of Tests

Does response and/or varia	nces diffe	er among Dose
(A2 vs. R)		
Are Variances Homogeneous?	(Al vs A2	2)
Are variances adequately m	odeled? (A	A2 vs. A3)
Does the Model for the Mea	n Fit? (A3	3 vs. fitted)
Tests of Intere	st	
-2*log(Likelihood Ratio)	Test df	p-value
162.088	8	<.0001
22.7503	4	0.000142
19.1852	3	0.0002503
32.3625	3	<.0001
	Does response and/or varia (A2 vs. R) Are Variances Homogeneous? Are variances adequately m Does the Model for the Mea Tests of Intere -2*log(Likelihood Ratio) 162.088 22.7503 19.1852 32.3625	Does response and/or variances diffe (A2 vs. R) Are Variances Homogeneous? (A1 vs A2 Are variances adequately modeled? (A Does the Model for the Mean Fit? (A3 Tests of Interest -2*log(Likelihood Ratio) Test df 162.088 8 22.7503 4 19.1852 3 32.3625 3

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels It seems appropriate to model the data The p-value for Test 2 is less than .05. A non-homogeneous variance model appears to be appropriate The p-value for Test 3 is less than .05. You may want to consider a different variance model The p-value for Test 4 is less than .05. You may want to try a different model Benchmark Dose Computation Specified effect = 1 Estimated standard deviations from the control mean Risk Type = Confidence level = 0.95 BMD = 11.9223

BMDL computation failed.



## Linear Model with 0.95 Confidence Level

# Default Initial Parameter Values alpha = 0.0467949 rho = 0 Specified beta\_0 = 4.23439 beta\_1 = -0.0148331 beta\_2 = -5.51541e-005 beta\_3 = 2.89474e-007

#### Parameter Estimates

		95	5.0% Wald Confidence	Interval
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
alpha	0.0424211	0.0090442	0.0246948	0.0601474
beta_0	4.23509	0.0633648	4.1109	4.35929
beta_1	-0.0149779	0.00335279	-0.0215492	-0.00840654
beta_2	-5.33342e-005	4.06738e-005	-0.000133053	2.63851e-005
beta_3	2.84322e-007	1.17673e-007	5.36875e-008	5.14956e-007

## Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1	beta_2	beta_3
alpha	1	-1.8e-008	-4.3e-009	1.3e-009	1.5e-009
beta_0	-1.8e-008	1	-0.71	0.55	-0.48
beta_1	-4.3e-009	-0.71	1	-0.96	0.91
beta_2	1.3e-009	0.55	-0.96	1	-0.99
beta_3	1.5e-009	-0.48	0.91	-0.99	1

## Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
-						
0	10	4.25	0.3	4.24	0.206	0.229
30	10	3.7	0.25	3.75	0.206	-0.698
60	9	3.25	0.05	3.21	0.206	0.643
120	9	2.15	0.2	2.16	0.206	-0.161
240	б	1.5	0.15	1.5	0.206	0.0141

## Model Descriptions for likelihoods calculated

Model	A1:	Yij	=	Mu(i) + e(ij)
		Var{e(ij)}	=	Sigma^2
Model	A2:	Yij	=	Mu(i) + e(ij)
		Var{e(ij)}	=	Sigma(i)^2
Model	R:	Yi	=	Mu + e(i)
		Var{e(i)}	=	Sigma^2

## Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	48.017412	6	-84.034824
A2	59.392540	10	-98.785081
fitted	47.522415	4	-87.044831
R	-21.651321	2	47.302642

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (A1 vs. fitted) Tests of Interest Test -2\*log(Likelihood Ratio) Test df p-value Test 1 162.088 8 <.0001 22.7503 4 0.000142 Test 2 Test 3 0.989994 1 0.3197 The p-value for Test 1 is less than .05. There appears to be a

difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model

The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data

Benchmark Dose Computation Specified effect =

Risk Type = Estimated standard deviations from the control mean

1

Confidence level = 0.95

BMD = 13.1764

BMDL = 9.7619



Polynomial Model with 0.95 Confidence Level

Parameter Estimates

			95.0% Wald Confidence Interval			
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit		
alpha	0.109717	0.0233918	0.0638701	0.155564		
beta_0	4.03293	0.070386	3.89498	4.17088		
beta_1	-0.0120086	0.000649584	-0.0132818	-0.0107354		
beta_2	0	NA				
beta 3	0	NA				

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	-1.2e-007	8.2e-008
beta_0	-1.2e-007	1	-0.7
beta 1	8.2e-008	-0.7	1

The following parameter(s) have been estimated at a boundary point or have been specified. Correlations are not computed:

beta\_2 beta\_3

Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
-						
0	10	4.25	0.3	4.03	0.331	2.07
30	10	3.7	0.25	3.67	0.331	0.261
60	9	3.25	0.05	3.31	0.331	-0.565
120	9	2.15	0.2	2.59	0.331	-4
240	6	1.5	0.15	1.15	0.331	2.58
Model	Descrip	tions for li	kelihoods calcu	ulated		

Model A1: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij)  $Var{e(ij)} = Sigma(i)^2$ Yi = Mu + e(i)Model R:  $Var{e(i)} = Sigma^2$ Likelihoods of Interest Model Log(likelihood) DF AIC -84.034824 A1 48.017412 б A2 59.392540 10 -98.785081 fitted 26.616696 2 -49.233392 R -21.651321 2 47.302642 Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (Al vs. fitted) Tests of Interest Test -2\*log(Likelihood Ratio) Test df p-value <.0001 162.088 8 Test 1 Test 2 22.7503 4 0.000142 Test 3 42.8014 1 <.0001 The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model The p-value for Test 3 is less than .05. You may want to try a different model Benchmark Dose Computation Specified effect = 1 Estimated standard deviations from the control mean Risk Type = Confidence level = 0.95 BMD = 27.5832 BMDL = 22.9758



## Polynomial Model with 0.95 Confidence Level

#### Parameter Estimates

		95.0	)% Wald Confidence I	nterval
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
alpha	0.0480497	0.0102442	0.0279713	0.068128
beta_0	4.30818	0.0592565	4.19204	4.42432
beta_1	-0.0223839	0.00144605	-0.0252181	-0.0195497
beta_2	4.40376e-005	5.86023e-006	3.25518e-005	5.55235e-005

#### Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1	beta_2
alpha	1	3.3e-009	-6.2e-010	-7.7e-009
beta_0	3.3e-009	1	-0.75	0.62
beta_1	-6.2e-010	-0.75	1	-0.95
beta_2	-7.7e-009	0.62	-0.95	1

#### Table of Data and Estimated Values of Interest

i^2
-0.839
0.342
1.73
-1.45
0.306

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2 Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2 Model R: Yi = Mu + e(i) Var{e(i)} = Sigma^2

## Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	48.017412	6	-84.034824
A2	59.392540	10	-98.785081
fitted	44.781449	3	-83.562899
R	-21.651321	2	47.302642

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (A1 vs. fitted) Tests of Interest Test -2\*log(Likelihood Ratio) Test df p-value Test 1 162.088 8 <.0001 22.7503 4 0.000142 Test 2 Test 3 6.47193 2 0.03932 The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the

dose levels. It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model

The p-value for Test 3 is less than .05. You may want to try a different model

1

Benchmark Dose Computation Specified effect =

Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 9.98916

BMDL = 8.24167



Polynomial Model with 0.95 Confidence Level

Default Initial Parameter Values alpha = 0.0467949 rho = 0 Specified beta\_0 = 4.31231 beta\_1 = -0.0224959 beta 2 = 0

Parameter Estimates

			95.0% Wald Confide	ence Interval
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
alpha	0.109717	0.0233918	0.0638701	0.155564
beta_0	4.03293	0.070386	3.89498	4.17088
beta_1	-0.0120086	0.000649584	-0.0132818	-0.0107354
beta_2	0	NA		

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	1.4e-008	2.1e-009
beta_0	1.4e-008	1	-0.7
beta 1	2.1e-009	-0.7	1

The following parameter(s) have been estimated at a boundary point or have been specified. Correlations are not computed:

beta\_2

Table of Data and Estimated Values of Interest

Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
10	4.25	0.3	4.03	0.331	2.07
10	3.7	0.25	3.67	0.331	0.261
9	3.25	0.05	3.31	0.331	-0.565
9	2.15	0.2	2.59	0.331	-4
б	1.5	0.15	1.15	0.331	2.58
	N  10 10 9 9 6	N Obs Mean  10 4.25 10 3.7 9 3.25 9 2.15 6 1.5	N         Obs Mean         Obs Std Dev           10         4.25         0.3           10         3.7         0.25           9         3.25         0.05           9         2.15         0.2           6         1.5         0.15	N         Obs         Mean         Obs         Std         Dev         Est         Mean           10         4.25         0.3         4.03	N         Obs         Mean         Obs         Std         Dev         Est         Mean         Est         Std         Dev           10         4.25         0.3         4.03         0.331         0.331           10         3.7         0.25         3.67         0.331           9         3.25         0.05         3.31         0.331           9         2.15         0.2         2.59         0.331           6         1.5         0.15         1.15         0.331

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2 Yi = Mu + e(i)Model R:  $Var{e(i)} = Sigma^2$ Likelihoods of Interest Model Log(likelihood)  $\mathsf{DF}$ AIC A1 48.017412 б -84.034824 A2 59.392540 10 -98.785081 fitted 26.616696 2 -49.233392 R -21.651321 2 47.302642 Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (Al vs. fitted) Tests of Interest -2\*log(Likelihood Ratio) Test df Test p-value <.0001 162.088 8 Test 1 0.000142 Test 2 22.7503 4 42.8014 Test 3 2 <.0001 The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model The p-value for Test 3 is less than .05. You may want to try a different model Benchmark Dose Computation Specified effect = 1 Risk Type = Estimated standard deviations from the control mean Confidence level = 0.95 BMD = 27.5832 BMDL = 22.9758



## Polynomial Model with 0.95 Confidence Level

Default	Initia	al	Parameter	Val	ues
	alpha	=	0.04679	949	
	rho	=		0	Specified
CC	ontrol	=	4.	25	
	slope	=	-340.9	972	
	power	=	-0.8794	196	

## Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	control	slope	power
alpha	NA	NA	NA	NA	NA
rho	NA	NA	NA	NA	NA
control	NA	NA	NA	NA	NA
slope	NA	NA	NA	NA	NA
power	NA	NA	NA	NA	NA

NA - This parameter's variance has been estimated at zero.

#### Parameter Estimates

Estimate	Std. Err.
0.109717	0.114352
0	0.935809
4.03293	0.129954
-0.0120086	0.0133934
1	0.202697
	Estimate 0.109717 0 4.03293 -0.0120086 1

Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	4.25	0.3	4.03	0.331	0.655
30	10	3.7	0.25	3.67	0.331	0.0825
60	9	3.25	0.05	3.31	0.331	-0.188
120	9	2.15	0.2	2.59	0.331	-1.33
240	6	1.5	0.15	1.15	0.331	1.05

Model Descriptions for likelihoods calculated

Model Al: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2	: Yij Var{e(ij)}	= Mu(i) + e(ij = Sigma(i)^2	j)		
Model R	: Yi Var{e(i)}	= Mu + e(i) = Sigma^2			
		Likelihoods c	of Interest		
	Model A1 A2 fitted R	Log(likelihoo 48.017412 59.392540 26.616696 -21.651321	od) DF 6 10 4 2	AIC -84.034824 -98.785081 -45.233392 47.302642	
Test 1:	Does respons (A2 vs. R)	e and/or varia	ances diffe	er among dose le	evels
Test 2: Test 3:	Are Variance Does the Mod	s Homogeneous el for the Mea	(Al vs A2) an Fit (Al	vs. fitted)	
	Т	ests of Intere	est		
Test	-2*log(Like	lihood Ratio)	df	p-value	
Test 1 Test 2 Test 3		162.088 22.7503 42.8014	8 4 2	<.00001 0.000142 <.00001	
The p-valu difference It seems a	ue for Test 1 e between res appropriate t	is less than ponse and/or v o model the da	.05. Ther variances a ata	e appears to be mong the dose l	e a Levels.
The p-valu non-homoge	ue for Test 2 eneous varian	is less than ce model	.05. Cons	ider running a	
The p-valu different	ue for Test 3 model	is less than	.05. You	may want to try	/ a
Benchmarl Specified	k Dose Comput effect =	ation 1			
Risk Type	=	Estimated sta	andard devi	ations from the	e control mean
Confidence	e level =	0.95			
	BMD =	27.5832			
	BMDL =	22.9758			



#### Power Model with 0.95 Confidence Level

Default Initial	Parameter Val	ues
alpha =	0.0467949	
rho =	0	Specified
control =	4.25	
slope =	-0.0336166	
power =	0.803617	

## Asymptotic Correlation Matrix of Parameter Estimates

power	slope	control	rho	alpha	
0.6	0.57	-0.22	-0.97	1	alpha
-0.62	-0.58	0.22	1	-0.97	rho
-0.6	-0.67	1	0.22	-0.22	control
0.99	1	-0.67	-0.58	0.57	slope
1	0.99	-0.6	-0.62	0.6	power

#### Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0696661	0.0661961
rho	0	0.846718
control	4.30267	0.0817494
slope	-0.0726613	0.0255026
power	0.676841	0.0658079

## Table of Data and Estimated Values of Interest

Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
10	4.25	0.3	4.3	0.264	-0.2
10	3.7	0.25	3.58	0.264	0.468
9	3.25	0.05	3.14	0.264	0.41
9	2.15	0.2	2.45	0.264	-1.12
6	1.5	0.15	1.34	0.264	0.623
	N  10 10 9 9 6	N Obs Mean  10 4.25 10 3.7 9 3.25 9 2.15 6 1.5	N         Obs Mean         Obs Std Dev                10         4.25         0.3           10         3.7         0.25           9         3.25         0.05           9         2.15         0.2           6         1.5         0.15	N         Obs Mean         Obs Std Dev         Est Mean           10         4.25         0.3         4.3           10         3.7         0.25         3.58           9         3.25         0.05         3.14           9         2.15         0.2         2.45           6         1.5         0.15         1.34	N         Obs Mean         Obs Std Dev         Est Mean         Est Std Dev           10         4.25         0.3         4.3         0.264           10         3.7         0.25         3.58         0.264           9         3.25         0.05         3.14         0.264           9         2.15         0.2         2.45         0.264           6         1.5         0.15         1.34         0.264

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma^2
Model A2: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma(i)^2

Model R: Yi = Mu + e(i) $Var{e(i)} = Sigma^2$ 

#### Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	48.017412	б	-84.034824
A2	59.392540	10	-98.785081
fitted	36.608912	4	-65.217824
R	-21.651321	2	47.302642

Test 1: Does response and/or variances differ among dose levels (A2 vs. R)

Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

#### Tests of Interest

Test	-2*log(Likelihood Ratio)	df	p-value
Test 1	1 162.088	8	<.00001
Test 2	2 22.7503	4	0.000142
Test 3	3 22.817	2	1.11e-005

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model

1

Benchmark Dose Computation Specified effect =

Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 6.72481

BMDL = 3.97902



Power Model with 0.95 Confidence Level

5	Parameter Values	Default Initial
	0.0263306	alpha =
specified	0 S1	rho =
	4.25	intercept =
	-2.75	v =
	2.08208	n =
	80.4545	k =

# Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	intercept	v	n	k
alpha	1	0	0	0	0	0
rho	0	1	0	0	0	0
intercept	0	0	1	0	0	0
v	0	0	0	1	0	0
n	0	0	0	0	1	0
k	0	0	0	0	0	1

#### Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0466116	1
rho	0	1
intercept	4.22518	1
v	-3.35427	1
n	1.63976	1
k	94.2147	1

## Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	4.25	0.3	4.23	0.216	0.115
30	10	3.7	0.25	3.78	0.216	-0.369
60	9	3.25	0.05	3.14	0.216	0.502
120	9	2.15	0.2	2.22	0.216	-0.323
240	6	1.5	0.15	1.47	0.216	0.156

Model Descriptions for likelihoods calculated

Model	A1:	Yij Var{e(ij)}	=	Mu(i) + e(ij) Sigma^2
Model	A2:	Yij Var{e(ij)}	=	Mu(i) + e(ij) Sigma(i)^2
Model	R:	Yi Var{e(i)}	=	Mu + e(i) Sigma^2

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	48.017412	6	-84.034824
A2	59.392540	10	-98.785081
fitted	45.449933	5	-80.899866
R	-21.651321	2	47.302642

Test 1: Does response and/or variances differ among dose levels
 (A2 vs. R)
Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (Al vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	162.088	8	<.0001
Test 2	22.7503	4	0.000142
Test 3	5.13496	1	0.02345

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model

The p-value for Test 3 is less than .05. You may want to try a different model  $% \left[ {\left[ {{{\rm{Test}}} \right]_{\rm{Test}}} \right]$ 

1

Benchmark Dose Computation Specified effect =

Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 18.4161

BMDL = 12.8257


Hill Model with 0.95 Confidence Level

23:22 05/12 2005

## Part IV.

Humpage and Falconer 2003 male mice treated with purified cylindrospermopsin urinary protein levels drop high dose Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 23:24:32 2005
BMDS MODEL RUN
The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ...
Dependent variable = MEAN Independent variable = dose rho is set to 0 Signs of the polynomial coefficients are not restricted

Total number of dose groups = 4 Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008

A constant variance model is fit

Default Initial Parameter Values
 alpha = 0.0503676
 rho = 0 Specified
 beta\_0 = 4.25
 beta\_1 = -0.017381

#### Parameter Estimates

			95.0% Wald Confid	ence Interval
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
alpha	0.0457633	0.0104988	0.025186	0.0663406
beta_0	4.24885	0.052757	4.14545	4.35225
beta_1	-0.017373	0.000786454	-0.0189144	-0.0158316

	alpha	beta_0	beta_1
alpha	1	2.2e-009	1e-009
beta_0	2.2e-009	1	-0.75
beta_1	1e-009	-0.75	1

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	4.25	0.3	4.25	0.214	0.017
30	10	3.7	0.25	3.73	0.214	-0.409
60 120	9 9	3.25 2.15	0.05 0.2	3.21 2.16	0.214 0.214	0.611 -0.198

## Table of Data and Estimated Values of Interest

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2

Model R: Yi = Mu + e(i)Var $\{e(i)\}$  = Sigma<sup>2</sup>

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
Al	39.893006	5	-69.786011
A2	50.462856	8	-84.925712
fitted	39.601194	2	-75.202387
R	-10.831438	2	25.662876

Test 1: Does response and/or variances differ among dose levels (A2 vs. R) Test 2: Are Variances Homogeneous (A1 vs A2) Test 3: Does the Model for the Mean Fit (A1 vs. fitted) Tests of Interest Test -2\*log(Likelihood Ratio) Test df p-value Test 1 122.589 6 <.0001

TCDC T	122 <b>.</b> 309	0	1.0001
Test 2	21.1397	3	<.0001
Test 3	0.583624	2	0.7469

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for T running a non-hom	Test 2 Nogeneo	is less th ous variand	nan .05. ce model	Consider				
The p-value for T chosen appears to	Cest 3 adequ	is greaten ately desc	r than .09 cribe the	5. The mode data	el			
Benchmark Dose ( Specified effect	Computa =	tion 1						
Risk Type	=	Estimated	standard	deviations	from	the	control	mean
Confidence level	=	0.95						
BMD	=	12.3135						
BMDL	=	10.1957						





23:24 05/12 2005

\_\_\_\_\_ Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 23:26:08 2005 \_\_\_\_\_ BMDS MODEL RUN The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ... Dependent variable = MEAN Independent variable = dose rho is set to 0 Signs of the polynomial coefficients are not restricted A constant variance model is fit Total number of dose groups = 4 Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008 Default Initial Parameter Values alpha = 0.0503676 Specified

rho = 0 Spe beta\_0 = 4.23636 beta\_1 = -0.0164394 beta\_2 = -7.57576e-006

#### Parameter Estimates

95.0% Wald Confidence Interva				
Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit	
0.0456423	0.0104711	0.0251194	0.0661652	
4.23685	0.0648402	4.10977	4.36394	
-0.016519	0.00280362	-0.022014	-0.011024	
-6.92416e-006	2.18203e-005	5 -4.96911e-005	3.58428e-005	
	Estimate 0.0456423 4.23685 -0.016519 -6.92416e-006	9 Estimate Std. Err. 0.0456423 0.0104711 4.23685 0.0648402 -0.016519 0.00280362 -6.92416e-006 2.18203e-005	95.0% Wald Confiden           Estimate         Std. Err. Lower Conf. Limit           0.0456423         0.0104711         0.0251194           4.23685         0.0648402         4.10977           -0.016519         0.00280362         -0.022014           -6.92416e-006         2.18203e-005         -4.96911e-005	

	alpha	beta_0	beta_1	beta_2
alpha	1	1.2e-010	-1.4e-013	1.1e-010
beta_0	1.2e-010	1	-0.73	0.58
beta_1	-1.4e-013	-0.73	1	-0.96
beta_2	1.1e-010	0.58	-0.96	1

Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
10	4.25	0.3	4.24	0.214	0.195
10	3.7	0.25	3.74	0.214	-0.519
9	3.25	0.05	3.22	0.214	0.41
9	2.15	0.2	2.15	0.214	-0.0684
	N  10 10 9 9	N Obs Mean  10 4.25 10 3.7 9 3.25 9 2.15	N         Obs Mean         Obs Std Dev                10         4.25         0.3           10         3.7         0.25           9         3.25         0.05           9         2.15         0.2	N         Obs Mean         Obs Std Dev         Est Mean           10         4.25         0.3         4.24           10         3.7         0.25         3.74           9         3.25         0.05         3.22           9         2.15         0.2         2.15	N         Obs Mean         Obs Std Dev         Est Mean         Est Std Dev           10         4.25         0.3         4.24         0.214           10         3.7         0.25         3.74         0.214           9         3.25         0.05         3.22         0.214           9         2.15         0.2         2.15         0.214

## Table of Data and Estimated Values of Interest

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2

Model R: Yi = Mu + e(i)Var $\{e(i)\}$  = Sigma<sup>2</sup>

Likelihoods of Interest

Log(likelihood)	DF	AIC
39.893006	5	-69.786011
50.462856	8	-84.925712
39.651475	3	-73.302950
-10.831438	2	25.662876
	Log(likelihood) 39.893006 50.462856 39.651475 -10.831438	Log(likelihood) DF 39.893006 5 50.462856 8 39.651475 3 -10.831438 2

Test 1: Does response and/or variances differ among dose levels (A2 vs. R)

Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (Al vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	122.589	6	<.0001
Test 2	21.1397	3	<.0001
Test 3	0.483061	1	0.487

The p-value for Test 1 is less than .05. There appears to be adifference between response and/or variances among the dose levels.It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data Benchmark Dose Computation Specified effect = 1

Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 12.8637

BMDL = 9.74645





\_\_\_\_\_ Polynomial Model. Revision: 2.2 Date: 9/12/2002 Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 23:27:07 2005 \_\_\_\_\_ BMDS MODEL RUN The form of the response function is: Y[dose] = beta\_0 + beta\_1\*dose + beta\_2\*dose^2 + ... Dependent variable = MEAN Independent variable = dose rho is set to 0 The polynomial coefficients are restricted to be negative A constant variance model is fit Total number of dose groups = 4Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008 Default Initial Parameter Values alpha = 0.0503676 rho = 0 beta\_0 = 4.23636 Specified

beta\_0 = -0.0164394beta\_1 = -7.57576e-006

#### Parameter Estimates

		95.0% Wald Confidence In				
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit		
alpha	0.0456423	0.0104711	0.0251194	0.0661652		
beta_0	4.23685	0.0648402	4.10977	4.36394		
beta_1	-0.016519	0.00280362	-0.022014	-0.011024		
beta_2	-6.92416e-006	2.18203e-005	-4.96911e-005	3.58428e-005		

	alpha	beta_0	beta_1	beta_2
alpha	1	1.2e-010	-1.4e-013	1.1e-010
beta_0	1.2e-010	1	-0.73	0.58
beta_1	-1.4e-013	-0.73	1	-0.96
beta_2	1.1e-010	0.58	-0.96	1

Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
10	4.25	0.3	4.24	0.214	0.195
10	3.7	0.25	3.74	0.214	-0.519
9	3.25	0.05	3.22	0.214	0.41
9	2.15	0.2	2.15	0.214	-0.0684
	N  10 10 9 9	N Obs Mean  10 4.25 10 3.7 9 3.25 9 2.15	N         Obs Mean         Obs Std Dev                10         4.25         0.3           10         3.7         0.25           9         3.25         0.05           9         2.15         0.2	N         Obs Mean         Obs Std Dev         Est Mean           10         4.25         0.3         4.24           10         3.7         0.25         3.74           9         3.25         0.05         3.22           9         2.15         0.2         2.15	N         Obs Mean         Obs Std Dev         Est Mean         Est Std Dev           10         4.25         0.3         4.24         0.214           10         3.7         0.25         3.74         0.214           9         3.25         0.05         3.22         0.214           9         2.15         0.2         2.15         0.214

## Table of Data and Estimated Values of Interest

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma^2

Model A2: Yij = Mu(i) + e(ij) Var{e(ij)} = Sigma(i)^2

Model R: Yi = Mu + e(i)Var $\{e(i)\}$  = Sigma<sup>2</sup>

Likelihoods of Interest

Log(likelihood)	DF	AIC
39.893006	5	-69.786011
50.462856	8	-84.925712
39.651475	3	-73.302950
-10.831438	2	25.662876
	Log(likelihood) 39.893006 50.462856 39.651475 -10.831438	Log(likelihood) DF 39.893006 5 50.462856 8 39.651475 3 -10.831438 2

Test 1: Does response and/or variances differ among dose levels (A2 vs. R)

Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	122.589	б	<.0001
Test 2	21.1397	3	<.0001
Test 3	0.483061	1	0.487

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data

Benchmark Dose C Specified effect	Computa =	ation 1						
Risk Type	=	Estimated	standard	deviations	from	the	control	mean
Confidence level	=	0.95						
BMD	=	12.8637						
BMDL	=	10.2295						

# Polynomial Model with 0.95 Confidence Level



Power Model. \$Revision: 2.1 \$ \$Date: 2000/10/11 20:57:36 \$ Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 23:27:43 2005 BMDS MODEL RUN The form of the response function is:

Y[dose] = control + slope \* dose^power Dependent variable = MEAN Independent variable = dose rho is set to 0

The power is restricted to be greater than or equal to 1 A constant variance model is fit

Total number of dose groups = 4 Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008

> Default Initial Parameter Values alpha = 0.0503676 rho = 0 Specified control = 4.25 slope = -22.4349 power = -0.494765

power	slope	control	rho	alpha	
-0.014	-0.0042	-0.041	-0.98	1	alpha
0.014	0.0043	0.042	1	-0.98	rho
-0.62	-0.67	1	0.042	-0.041	control
1	1	-0.67	0.0043	-0.0042	slope
1	1	-0.62	0.014	-0.014	power

## Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0457244	0.0552045
rho	0	0.931145
control	4.24139	0.0671298
slope	-0.0159238	0.00783392
power	1.01795	0.100882

Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0 30 60 120	10 10 9 9	4.25 3.7 3.25 2.15	0.3 0.25 0.05 0.2	4.24 3.73 3.21 2.16	0.214 0.214 0.214 0.214 0.214	0.0403 -0.157 0.172 -0.0426

Model Descriptions for likelihoods calculated

Model A1: Va:	Yij = 1 r{e(ij)} = 1	Mu(i) + e(ij) Sigma^2			
Model A2: Va:	Yij = 1 r{e(ij)} = 1	Mu(i) + e(ij) Sigma(i)^2			
Model R: Va	$Yi = I$ $ar\{e(i)\} = i$	Mu + e(i) Sigma^2			
	L	ikelihoods of Ir	nterest		
Ma fi Test 1: Doe (A2 Test 2: Are Test 3: Doe	odel L A1 A2 tted R s response vs. R) Variances I s the Model	og(likelihood) 39.893006 50.462856 39.617353 -10.831438 and/or variances Homogeneous (A1 for the Mean Fi	DF 5 8 4 2 s differ vs A2) it (A1 vs	AIC -69.786011 -84.925712 -71.234706 25.662876 among dose leve	èls
	Tes	ts of Interest			
Test -2	*log(Likeli	hood Ratio)	df	p-value	
Test 1 Test 2 Test 3	1 2 0.	22.589 1.1397 551305	6 3 9 1	<.00001 9.847e-005 0.4578	

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model

The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data

Benchmark Dose ( Specified effect	Computa =	tion 1						
Risk Type	=	Estimated	standard	deviations	from	the	control	mean
Confidence level	=	0.95						
BMD	=	12.8275						
BMDL	=	10.2065						

# Power Model with 0.95 Confidence Level



23:27 05/12 2005

Hill Model. \$Revision: 2.1 \$ \$Date: 2000/10/11 21:21:23 \$ Input Data File: C:\BMDS\DATA\CYLINDRO.(d) Gnuplot Plotting File: C:\BMDS\DATA\CYLINDRO.plt Thu May 12 23:28:26 2005

BMDS MODEL RUN

The form of the response function is: Y[dose] = intercept + v\*dose^n/(k^n + dose^n) Dependent variable = MEAN Independent variable = dose

rho is set to 0 Power parameter restricted to be greater than 1 A constant variance model is fit

Total number of dose groups = 4 Total number of records with missing values = 0 Maximum number of iterations = 250 Relative Function Convergence has been set to: 1e-008 Parameter Convergence has been set to: 1e-008

> Default Initial Parameter Values alpha = 0.0273861 rho = 0 Specified intercept = 4.25 v = -2.1 n = 1.7744 k = 62.7273

	alpha	rho	intercept	v	n	k
alpha	1	0	0	0	0	0
rho	0	1	0	0	0	0
intercept	0	0	1	0	0	0
v	0	0	0	1	0	0
n	0	0	0	0	1	0
k	0	0	0	0	0	1

### Parameter Estimates

Variable	Estimate	Std. Err.
alpha	0.0457348	1
rho	0	1
intercept	4.24125	1
v	-461.591	1
n	1.02098	1
k	23703.4	1

Table of Data and Estimated Values of Interest

Dose Res.	Ν	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
-						
0	10	4.25	0.3	4.24	0.214	0.0409
30	10	3.7	0.25	3.73	0.214	-0.159
60	9	3.25	0.05	3.21	0.214	0.174
120	9	2.15	0.2	2.16	0.214	-0.0428

Model Descriptions for likelihoods calculated

Model A1: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma^2
Model A2: Yij = Mu(i) + e(ij)
Var{e(ij)} = Sigma(i)^2
Model R: Yi = Mu + e(i)
Var{e(i)} = Sigma^2

Degrees of freedom for Test A1 vs fitted <= 0

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	39.893006	5	-69.786011
A2	50.462856	8	-84.925712
fitted	39.613009	5	-69.226018
R	-10.831438	2	25.662876

Tests of Interest

Test -2\*log(Likelihood Ratio) Test df p-value

Test 1 122.589 6 <.0001 Test 2 21.1397 3 <.0001 Test 3 0.559993 0 NA The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model NA - Degrees of freedom for Test 3 are less than or equal to 0. The Chi-Square test for fit is not valid Benchmark Dose Computation Specified effect = 1 Risk Type = Estimated standard deviations from the control mean Confidence level = 0.95 BMD = 12.8644 Warning: optimum may not have been found. Bad completion code in

BMDL computation failed.

Optimization routine.



23:28 05/12 2005