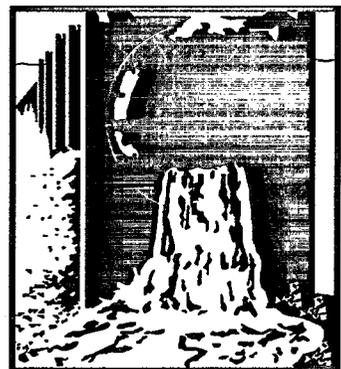
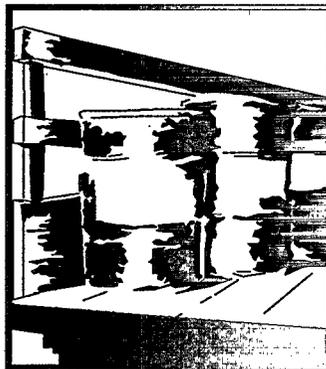
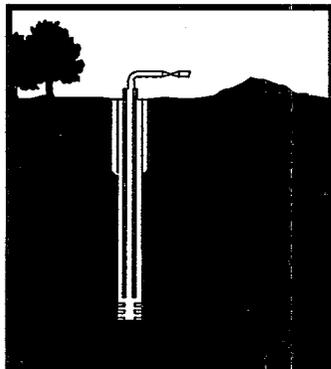
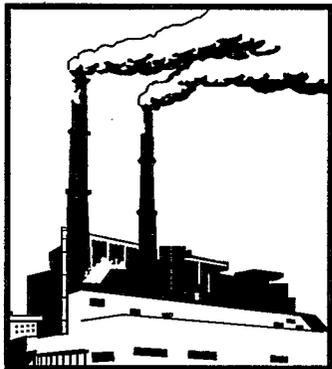




# Toxic Chemical Release Inventory Reporting Form R and Instructions

*Revised 1996 Version*



**Section 313**  
of the Emergency Planning and  
Community Right-to-Know Act  
(Title III of the Superfund Amendments  
and Reauthorization Act of 1986)



AFR96 now supports Windows. . .

# Submit ELECTRONICALLY!

## AFRWIN96 NOW FASTER, FRIENDLIER, AND MORE EFFICIENT

This year, AFR96 (Automated Form R) supports Windows 3.1 through Windows 95. AFR96 for DOS is also available.

### Try It! You'll Like It!

- ☐ AFR usage has increased from 13 percent in 1990 to 62 percent in 1995. AFR users are satisfied customers.

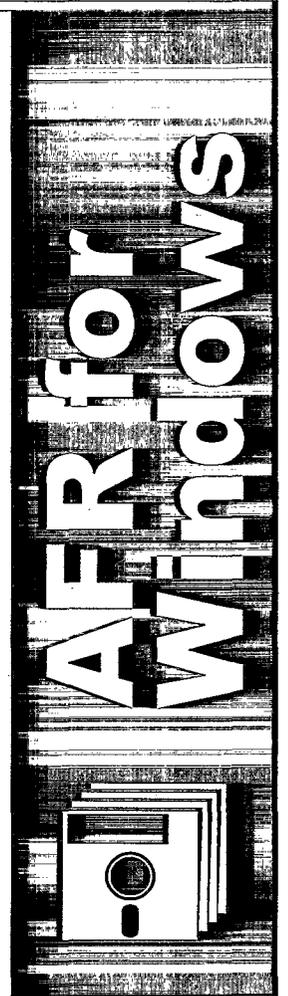
### AFR Helps You:

- ☐ Save time and money by eliminating photocopying and postage;
- ☐ Reduce tedious, laborious typing of data by using easy, accurate "online" data entry of your Form R information;
- ☐ Minimize keystrokes by using pick lists;
- ☐ Reduce errors (via error checking/online validation routines); and
- ☐ Dramatically reduce notices of technical error (NOTES).

**AFR for Windows software is included  
in this package.**

*AFR for DOS is available on request by calling (800) 490-9198.*

*(See next page for ordering instructions.)*





## SYSTEM REQUIREMENTS:

AFR for Windows is supplied on 3.5 inch 1.44MB diskettes in a compressed format.

IBM-Compatible CPU:	386, 486, or Pentium
Conventional Memory:	599K (plus 8MB RAM)
Hard Disk Space:	15MB
Operating Environment:	Microsoft Windows (Windows 3.1 or Windows 95)
Monitor:	VGA (16-color support) or SVGA (256-color support)
Printer:	Epson or compatible dot matrix printer using IBM-standard character set; Hewlett-Packard LaserJetIII or compatible laser printer

## INSTALLING AFR FOR WINDOWS:

AFR 96 for Windows (AFRWIN) diskettes now have installation procedures printed on the installation diskette.

We have provided detailed installation instructions on page iv of this book.

## PRINTING AFR FOR WINDOWS DOCUMENTATION:

After installing AFR we recommend that you print and read the AFRWIN "readme" file and User's Guide. These documents (listed below) will help you navigate your way through AFR. The files will appear as icons in your Windows program menu.

README.TXT	contains important information and last minute updates
AFRWIN.WPD	contains the AFRWIN User's Guide in WordPerfect 6.1
WINAFR.TXT	contains the AFRWIN User's Guide in an ASCII file for use in other text editors (if you do not have WordPerfect 6.1)

Windows 95 automatically opens the associated program and file when you click the file icon that appears in the Program menu. If you are using Windows 3.1:

1. Open Word Perfect or your favorite text editor.
2. Click on the File menu. Click "Open".
3. Go to the AFR directory on your hard drive and open the appropriate file.
4. Print the file following your text editor's instructions.

## ORDERING AFR FOR DOS:

AFR is also available for DOS users. To request your copy, contact:

Address: USEPA/NCEPI  
P.O. Box 42419  
Cincinnati, OH 45242-2419

Phone: (800) 490-9198

## WHEN TO USE FORM A (CERTIFICATION STATEMENT):

- You may use the Form A (Certification Statement) only if your facility qualifies for the Alternate Threshold; otherwise, use Form R.
- DO NOT submit both forms for the same chemical.

See page 50 for detailed information.

## VISIT US ON THE INTERNET:

Obtain AFR updates and other important information:

<http://www.epa.gov/opptintr/af96>

## FOR TECHNICAL ASSISTANCE:

This year, online help has been added for your convenience. You can also get technical assistance by calling the AFR User Support Hotline at: (703) 816-4434.





## INSTALLING AFR FOR WINDOWS:

AFR 96 for Windows (AFRWIN) comes with a standard Windows setup program that makes it easy to install on your computer. Follow these basic instructions:

1. Start Windows.
2. Windows 3.1 users: click "file menu" in Program Manager and click "run".  
Windows 95 users: click "start box" and click "run".
3. In the command line, type "a:setup.exe".
4. Click "OK", then click "OK" again. Follow online instructions.

## USING AFR FOR WINDOWS

Once you have installed AFR for Windows, follow the instructions below to start AFR:

1. Windows 3.1 Users:  
Start Windows. The Program Manager window is displayed.  
  
Windows 95 Users:  
Start Windows. Click the start button. Click Programs.
2. Windows 3.1 Users:  
Open the AFR window and double click the AFR Icon.  
  
Windows 95 Users:  
Click the AFR icon. Click the AFR program icon.
3. When the Welcome screen appears, click "OK" to precede.
4. Refer to your User's Manual for detailed instructions.

## Public Reporting Burden

Public reporting burden for this collection of information is estimated to average 43 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Chief, Information Policy Branch (PM-223), U.S. EPA, 401 M Street, SW, Washington, D.C. 20460, Attention: TRI Burden, and to the Office of Information and Regulatory Affairs, Office of Management and Budget Paperwork Reduction Project (2070-0093), Washington, D.C. 20603.

# Important Information for Reporting Year 1996

The following information updates or corrects the Form R and Instructions for 1996. No other changes or modifications have been made to the Form R or Instructions other than these listed here.

- The EPA has made some significant changes to the Form R. The 1996 Form R has been reduced to 5 pages, with all instructions for completing the Form R updated. The EPA has added two new data elements to Part II Section 5.4.; 5.4.1 Underground Injection on-site to Class I Wells and 5.4.2 Underground Injection on-site to Class II-V Wells. The EPA has also added two new data elements to Part II Section 5.5; 5.5.1A RCRA Subtitle C landfills and 5.5.1B Other landfills. Also, each previous reporter will receive a pre-printed first page of the 1996 Form R.
- Important changes and numbers have been added to the inside cover of the 1996 Form R and Instructions, for your convenience.
- Color tabs have been inserted into the 1996 Form R and Instructions to help you locate the different sections within the document.
- All references to reporting year 1996 and all other date related references have been changed to reflect the current reporting year. (i.e., reporting year 1995 has been changed to reporting year 1996; prior year 1994 was changed to prior year 1995, etc.) This change was made for both the Form R and the instructions.
- The back side of the pages of the Form R include a box stating "This page intentionally left blank". Please do not copy double-sided.
- Appendix A contains reporting instructions specific to Federal facilities who are required to report under Executive Order 12856. Further guidance for Federal facilities may be obtained from the EPCRA Hotline at 1-800-535-0202.
- The States and Regional contact list (Appendices F and G) have been updated.
- On November 30, 1994, EPA promulgated an alternate threshold for facilities with low total annual reportable amounts. This alternate threshold became effective starting with the 1995 reporting year. For additional information see pages 51-57 of Section D of these instructions.
- The Alternate Threshold provides eligible facilities with the option of submitting a simplified Form A on substitution of the full Form R report. The Form A has OMB # 2070-0143.
- The Toxic Chemical List (Table II) has been updated for Reporting Year 1996 to include changes to the list that occurred since last year. EPA has delisted two chemicals, diethyl phthalate and bis(2-ethylhexyl) adipate also known as di-(2-ethylhexyl) adipate. In addition, EPA modified the listing for hydrochloric acid which now has the modifier (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size). As a result of this modifier, non-aerosol forms of hydrochloric acid are no longer reportable. Also, EPA has reviewed the OSHA carcinogen status of the listed chemicals and updated the *de minimis* levels for a number of chemicals on the list. Carefully check for changes in the *de minimis* levels for any chemicals that your facility manufactures, processes, or otherwise uses.
- Use of NAs in Section 8: Not applicable, "NA," can now be used in Section 8.1 through 8.7 to indicate that the waste management activity is not occurring either on-site or off-site.



United States  
Environmental Protection  
Agency

# FORM R

## TOXIC CHEMICAL RELEASE INVENTORY REPORTING FORM

Section 313 of the Emergency Planning and Community  
Right-to-Know Act of 1986, also known as Title III of the Superfund  
Amendments and Reauthorization Act

<b>WHERE TO SEND COMPLETED FORMS:</b>	1. EPCRA Reporting Center P.O. Box 3348 Merrifield, VA 22116-3348 ATTN: TOXIC CHEMICAL RELEASE INVENTORY	2. APPROPRIATE STATE OFFICE (See instructions in Appendix F)	Enter "X" here if this is a revision
			For EPA use only

**IMPORTANT:** See instructions to determine when "Not Applicable (NA)" boxes should be checked.

### PART I. FACILITY IDENTIFICATION INFORMATION

**SECTION 1. REPORTING YEAR** 19 \_\_\_\_

### SECTION 2. TRADE SECRET INFORMATION

2.1	Are you claiming the toxic chemical identified on page 2 trade secret?	2.2	Is this copy <input type="checkbox"/> Sanitized <input type="checkbox"/> Unsanitized
	<input type="checkbox"/> Yes (Answer question 2.2; Attach substantiation forms) <input type="checkbox"/> No Do not answer 2.2; go to Section 3		(Answer only if "YES" in 2.1)

### SECTION 3. CERTIFICATION (Important: Read and sign after completing all form sections.)

I hereby certify that I have reviewed the attached documents and that, to the best of my knowledge and belief, the submitted information is true and complete and that the amounts and values in this report are accurate based on reasonable estimates using data available to the preparers of this report.

Name and official title of owner/operator or senior management official:	Signature:	Date signed:

### SECTION 4. FACILITY IDENTIFICATION

		TRI Facility ID Number
4.1	Facility or Establishment Name	Facility or Establishment Name or Mailing Address (if different from street address)
	Street	Mailing Address
	City/County/State/Zip Code	City/County/State/Zip Code

4.2	This report contains information for: (Important: check a or b; check c if applicable)	a. <input type="checkbox"/> An entire facility	b. <input type="checkbox"/> Part of a facility	c. <input type="checkbox"/> A Federal facility
-----	--	--	--	--

4.3	Technical Contact Name	Telephone Number (include area code)
-----	------------------------	--------------------------------------

4.4	Public Contact Name	Telephone Number (include area code)
-----	---------------------	--------------------------------------

4.5	SIC Code (s) (4 digits)	a.	b.	c.	d.	e.	f.
-----	-------------------------	----	----	----	----	----	----

4.6	Latitude	Degrees	Minutes	Seconds	Longitude	Degrees	Minutes	Seconds

4.7	Dun & Bradstreet Number(s) (9 digits)	4.8	EPA Identification Number(s) (RCRA I.D. No.) (12 characters)	4.9	Facility NPDES Permit Number(s) (9 characters)	4.10	Underground Injection Well Code (UIC) I.D. Number(s) (12 digits)
	a.		a.		a.		a.
	b.		b.		b.		b.

### SECTION 5. PARENT COMPANY INFORMATION

5.1	Name of Parent Company	<input type="checkbox"/> NA
5.2	Parent Company's Dun & Bradstreet Number	<input type="checkbox"/> NA (9 digits)

This side intentionally  
left blank.

Please do not copy  
double-sided!

**EPA FORM R  
PART II. CHEMICAL - SPECIFIC INFORMATION**

TRI FACILITY ID NUMBER
Toxic Chemical, Category, or Generic Name

**SECTION 1. TOXIC CHEMICAL IDENTITY**

(Important: DO NOT complete this section if you completed Section 2 below.)

<b>1.1</b>	<b>GAS NUMBER</b> (IMPORTANT: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)
<b>1.2</b>	Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)
<b>1.3</b>	Generic Chemical Name (Important: Complete only if Part I, Section 2.1 is checked "yes". Generic name must be structurally descriptive.)

**SECTION 2. MIXTURE COMPONENT IDENTITY**

(Important: DO NOT complete this section if you complete Section 1 above.)

<b>2.1</b>	Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)
------------	--

**SECTION 3. ACTIVITIES AND USES OF THE TOXIC CHEMICAL AT THE FACILITY**

(Important: Check all that apply.)

<b>3.1</b>	<b>Manufacture the toxic chemical:</b> a. <input type="checkbox"/> Produce b. <input type="checkbox"/> Import If produce or import: c. <input type="checkbox"/> For on-site use/processing d. <input type="checkbox"/> For sale/distribution e. <input type="checkbox"/> As a byproduct f. <input type="checkbox"/> As an impurity	<b>3.2</b>	<b>Process the toxic chemical:</b> a. <input type="checkbox"/> As a reactant b. <input type="checkbox"/> As a formulation component c. <input type="checkbox"/> As an article component d. <input type="checkbox"/> Repackaging	<b>3.3</b>	<b>Otherwise use the toxic chemical:</b> a. <input type="checkbox"/> As a chemical processing aid b. <input type="checkbox"/> As a manufacturing aid c. <input type="checkbox"/> Ancillary or other use
------------	--	------------	---	------------	--

**SECTION 4. MAXIMUM AMOUNT OF THE TOXIC CHEMICAL ON-SITE AT ANY TIME DURING THE CALENDAR YEAR**

<b>4.1</b>	<input type="text"/> (Enter two-digit code from instruction package.)
------------	---

**SECTION 5. QUANTITY OF THE TOXIC CHEMICAL ENTERING EACH ENVIRONMENTAL MEDIUM**

		A. Total Release (pounds/year)(enter range from instructions or estimate)	B. Basis of estimate (enter code)	C. % From Stormwater
<b>5.1</b>	Fugitive or non-point air emissions NA <input type="checkbox"/>			
<b>5.2</b>	Stack or point air emissions NA <input type="checkbox"/>			
<b>5.3</b>	Discharges to receiving streams or water bodies (enter one name per box)			
	Stream or Water Body Name			
<b>5.3.1</b>				
<b>5.3.2</b>				
<b>5.3.3</b>				
<b>5.4.1</b>	Underground Injection on-site to Class I Wells NA <input type="checkbox"/>			
<b>5.4.2</b>	Underground Injection on-site to Class II-V Wells NA <input type="checkbox"/>			

This side intentionally  
left blank.

Please do not copy  
double-sided!

**EPA FORM R**  
**PART II. CHEMICAL-SPECIFIC INFORMATION (CONTINUED)**

TRI FACILITY ID NUMBER

Toxic Chemical, Category, or Generic Name

**SECTION 5. QUANTITY OF THE TOXIC CHEMICAL ENTERING EACH ENVIRONMENTAL MEDIUM**

		NA	A. Total Release (pounds/year) (enter range code from instructions or estimate)	B. Basis of Estimate (enter code)
5.5	Disposal to land on-site			
5.5.1A	RCRA Subtitle C landfills	<input type="checkbox"/>		
5.5.1B	Other landfills	<input type="checkbox"/>		
5.5.2	Land treatment/application farming	<input type="checkbox"/>		
5.5.3	Surface impoundment	<input type="checkbox"/>		
5.5.4	Other disposal	<input type="checkbox"/>		

**SECTION 6. TRANSFERS OF THE TOXIC CHEMICAL IN WASTES TO OFF-SITE LOCATIONS**

**6.1 DISCHARGES TO PUBLICLY OWNED TREATMENT WORKS (POTWs)**

**6.1.A. Total Quantity Transferred to POTWs and Basis of Estimate**

6.1.A.1. Total Transfers (pounds/year) (enter range code or estimate)	6.1.A.2 Basis of Estimate (enter code)

6.1.B. _____	POTW Name				
POTW Address					
City		State		County	
Zip					
6.1.B. _____	POTW Name				
POTW Address					
City		State		County	
Zip					

If additional pages of Part II, Section 6.1 are attached, indicate the total number of pages in this box  and indicate which Part II, Section 6.1 page this is here  (example: 1,2,3, etc.)

**SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS**

6.2 _____	OFF-SITE EPA IDENTIFICATION NUMBER (RCRA ID NO.)						
Off-Site Location Name							
Off-Site Address							
City		State		County		Zip	
Is location under control of reporting facility or parent company? <input type="checkbox"/> Yes <input type="checkbox"/> No							

This side intentionally  
left blank.

Please do not copy  
double-sided!

**EPA FORM R**  
**PART II. CHEMICAL-SPECIFIC INFORMATION (CONTINUED)**

TRI FACILITY ID NUMBER
Toxic Chemical, Category, or Generic Name

**SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS (continued)**

A. Total Transfers (pounds/year) (enter range code or estimate)	B. Basis of Estimate (enter code)	C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)
1.	1.	1.M
2.	2.	2.M
3.	3.	3.M
4.	4.	4.M

6.2 OFF-SITE EPA IDENTIFICATION NUMBER (RCRA ID NO.)

Off-Site Location Name

Off-Site Address

City State County Zip

Is location under control of reporting facility or parent company?  Yes  No

A. Total Transfers (pound/year) (enter range code or estimate)	B. Basis of Estimate (enter code)	C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)
1.	1.	1.M
2.	2.	2.M
3.	3.	3.M
4.	4.	4.M

If additional pages of Part II, Section 6.2 are attached, indicate the total number of pages in this box  and indicate which Part II, Section 6.2 page this is, here.  (example: 1.2.3. etc.)

**SECTION 7A. ON-SITE WASTE TREATMENT METHODS AND EFFICIENCY**

Not Applicable (NA) - Check here if no on-site waste treatment is applied to any waste stream containing the toxic chemical or chemical category.

a. General Waste Stream (enter code)	b. Waste Treatment Method(s) Sequence [enter 3-character code(s)]	c. Range of Influent Concentration	d. Waste Treatment Efficiency Estimate	e. Based on Operating Data?		
7A.1a	7A.1b	7A.1c	7A.1d	7A.1e		
	1 <input type="checkbox"/> 2 <input type="checkbox"/>				%	Yes <input type="checkbox"/> No <input type="checkbox"/>
	3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/>					
7A.2a	7A.2b	7A.2c	7A.2d	7A.2e		
	1 <input type="checkbox"/> 2 <input type="checkbox"/>				%	Yes <input type="checkbox"/> No <input type="checkbox"/>
	3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/>					
7A.3a	7A.3b	7A.3c	7A.3d	7A.3e		
	1 <input type="checkbox"/> 2 <input type="checkbox"/>				%	Yes <input type="checkbox"/> No <input type="checkbox"/>
	3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/>					
7A.4a	7A.4b	7A.4c	7A.4d	7A.4e		
	1 <input type="checkbox"/> 2 <input type="checkbox"/>				%	Yes <input type="checkbox"/> No <input type="checkbox"/>
	3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/>					
7A.5a	7A.5b	7A.5c	7A.5d	7A.5e		
	1 <input type="checkbox"/> 2 <input type="checkbox"/>				%	Yes <input type="checkbox"/> No <input type="checkbox"/>
	3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/>					

This side intentionally  
left blank.

Please do not copy  
double-sided!

**EPA FORM R  
PART II. CHEMICAL-SPECIFIC INFORMATION (CONTINUED)**

TRI FACILITY ID NUMBER

Toxic Chemical, Category, or Generic Name

If additional copies of page 4 are attached, indicate the total number of pages in this box  and indicate which page 4 this is, here.  (example: 1,2,3, etc.)

**SECTION 7B. ON-SITE ENERGY RECOVERY PROCESSES**

Not Applicable (NA) - Check here if no on-site energy recovery is applied to any waste stream containing the toxic chemical or chemical category.

Energy Recovery Methods [enter 3-character code (s)]

1  2  3  4

**SECTION 7C. ON-SITE RECYCLING PROCESSES**

Not applicable (NA) - Check here if no on-site recycling is applied to any waste stream containing the toxic chemical or chemical category.

Recycling Methods [enter 3-character code(s)]

1  2  3  4  5   
6  7  8  9  10

**SECTION 8. SOURCE REDUCTION AND RECYCLING ACTIVITIES**

<i>All quantity estimates can be reported using up to two significant figures.</i>		Column A Prior Year (pounds/year)	Column B Current Reporting Year (pounds/year)	Column C Following Year (pounds/year)	Column D Second Following Year (pounds/year)
8.1	Quantity released *				
8.2	Quantity used for energy recovery on-site				
8.3	Quantity used for energy recovery off-site				
8.4	Quantity recycled on-site				
8.5	Quantity recycled off-site				
8.6	Quantity treated on-site				
8.7	Quantity treated off-site				
8.8	Quantity released to the environment as a result of remedial actions, catastrophic events, or one-time events not associated with production processes (pounds/year)				
8.9	Production ratio or activity index				
8.10	Did your facility engage in any source reduction activities for this chemical during the reporting year? If not, enter "NA" in Section 8.10.1 and answer Section 8.11.				
	Source Reduction Activities [enter code(s)]	Methods to Identify Activity (enter codes)			
8.10.1		a.	b.	c.	
8.10.2		a.	b.	c.	
8.10.3		a.	b.	c.	
8.10.4		a.	b.	c.	
8.11	Is additional optional information on source reduction, recycling, or pollution control activities included with this report? (Check one box)				YES <input type="checkbox"/> NO <input type="checkbox"/>

\* Report releases pursuant to EPCRA Section 329(8) including "any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment." Do not include any quantity treated on-site or off-site.

This side intentionally  
left blank.

Please do not copy  
double-sided!

# Toxic Release Inventory Reporting Form R and Instructions

## Table of Contents

EPA's TRI Automated Form R (AFR) Software for Reporting Year 1996.....i-v

### A. General Information

A.1	Who Must Submit this Form .....	1
A.2	How to Assemble a Complete Report.....	1
A.3	Trade Secret Claims.....	1
A.4	Recordkeeping.....	2
A.5	When the Report Must be Submitted.....	2
	A.5a How to Prepare a Voluntary Revision of a Previous Submission.....	2
	A.5b Where to Submit a Voluntary Revision of a Previous Submission.....	3
A.6	Where to Send the Form .....	3
A.7	How to Obtain Forms and Other Information.....	4

### B. How to Determine if Your Facility Must Submit EPA Form R

B.1	Full-Time Employee Determination.....	5
B.2	Primary SIC Code Determination.....	5
	B.2a Multi-Establishment Facilities.....	5
	B.2b Auxiliary Facilities.....	7
	B.2c Facility-Related Exemptions.....	7
B.3	Activity Determination.....	8
	B.3a Definitions of "Manufacture" "Process," and "Otherwise Use".....	8
	B.3b Activity Exemptions.....	9
	B.3c Activity Qualifiers.....	10
B.4	Threshold Determination.....	11
	B.4a How to Determine If Your Facility Has Exceeded Thresholds.....	11
	B.4b Mixtures and Trade Name Products.....	14

### C. Instructions for Completing EPA Form R

#### Part I. Facility Identification Information

##### Data Element

1.	Reporting Year.....	18
2.	Trade Secret Information.....	18
2.1	Are You Claiming the Chemical Identity on Page 1 Trade Secret?.....	18
2.2	If "Yes" in 2.1, Is This Copy Sanitized or Unsanitized?.....	18
3.	Certification.....	18
4.	Facility Identification.....	18
4.1	Facility Name and Location and TRI Facility Identification Number.....	18
4.2	Full or Partial Facility Indication.....	18
4.3	Technical Contact.....	19
4.4	Public Contact.....	19
4.5	Standard Industrial Classification (SIC) Code.....	19
4.6	Latitude and Longitude.....	19
4.7	Facility Dun and Bradstreet Number (s).....	19
4.8	EPA Identification Number (s).....	20
4.9	NPDES Permit Number (s).....	20
4.10	Underground Injection Well Code (UIC) Identification Number.....	20
5.	Parent Company Information.....	20
5.1	Name of Parent Company.....	20
5.2	Parent Company's Dun and Bradstreet Number.....	20

## Table of Contents

### Part II. Chemical-Specific Information

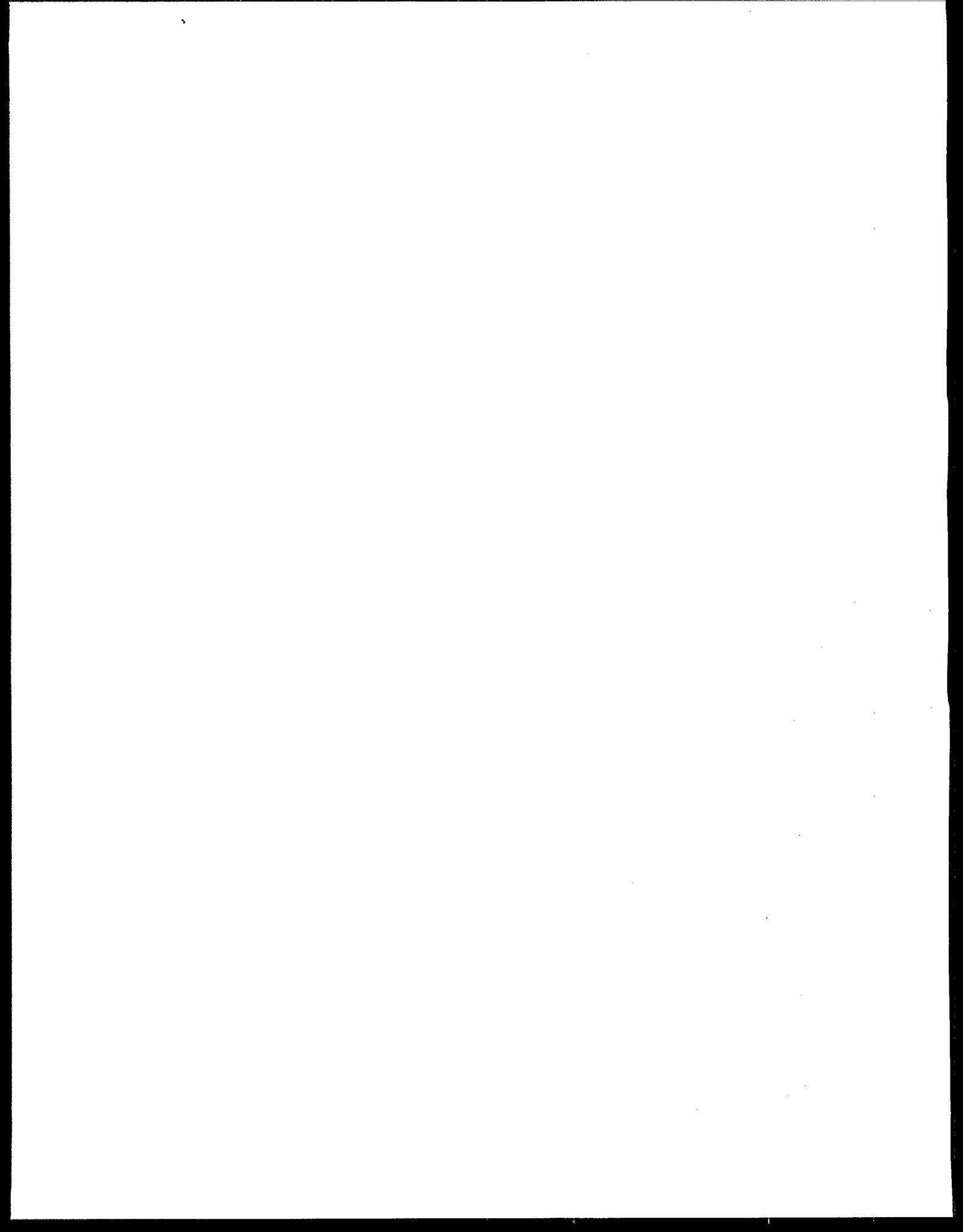
#### Data Element

1.	Toxic Chemical Identity.....	21
1.1	CAS Number .....	21
1.2	Toxic Chemical or Chemical Category Name .....	21
1.3	Generic Chemical Name .....	22
2.	Mixture Component Identity.....	22
2.1	Generic Chemical Name Provided by Supplier.....	22
3.	Activities and Uses of the Toxic Chemical at the Facility.....	22
3.1	Manufacture the Toxic Chemical.....	22
3.2	Process the Toxic Chemical.....	23
3.3	Otherwise Use the Toxic Chemical.....	23
4.	Maximum Amount of the Toxic Chemical On-Site at Any Time During the Calendar Year...23	
5.	Quantity of the Toxic Chemical Entering each Environmental Medium.....	24
5.1	Fugitive or Non-Point Air Emissions.....	25
5.2	Stack or Point Air Emissions.....	25
5.3	Discharges to Receiving Streams or Water Bodies.....	25
5.4.1	Underground Injection On-Site to Class I Wells.....	25
5.4.2	Underground Injection On-Site to Class II-V Wells.....	25
5.5	Disposal to Land On-Site.....	25
5.5.1A	RCRA Subtitle C Landfills.....	27
5.5.1B	Other Landfills.....	27
5.5.2	Land Treatment/Application Farming.....	27
5.5.3	Surface Impoundment.....	27
5.5.4	Other Disposal.....	27
5.A	Column A Total Release.....	27
5.B	Column B Basis of Estimate.....	29
5.C	Column C Percent From Stormwater.....	29
6.	Transfers of the Toxic Chemical in Wastes to Off-Site Locations.....	30
6.1	Discharges to Publicly Owned Treatment Works (POTW).....	32
6.1.A.1	Total Transfers.....	32
6.1.A.2	Basis of Estimate.....	32
6.2	Transfer to Other Off-Site Locations.....	32
6.2.A	Column A Total Transfers.....	33
6.2.B	Column B Basis of Estimate.....	35
6.2.C	Column C Type of Waste Treatment/Disposal/Recycling/Energy Recovery.....	35
7.	On-Site Waste Treatment, Energy Recovery and Recycling Methods'.....	37
7A	On-Site Waste Treatment Methods and Efficiency.....	37
7A.a	Column A General Waste Stream.....	37
7A.b	Column B Waste Treatment Method(s) Sequence.....	37
7A.c	Column C Range of Influent Concentration.....	39
7A.d	Column D Waste Treatment Efficiency Estimate.....	39
7A.e	Column E Based on Operating Data?.....	41
7B	On-Site Energy Recovery Processes.....	41
7C	On-Site Recycling Processes.....	42
8.	Source Reduction and Recycling Activities.....	43
<b>D.</b>	<b>How to Determine if your Facility Qualifies for the Alternate Threshold and is Eligible for Reporting on the Certification Statement</b>	
D.1	Alternate Threshold.....	51
D.2	What is the Form A (certification statement)?.....	51

# Toxic Release Inventory Reporting Form R and Instructions

## Table of Contents

D.3	What is the total annual reportable amount?.....	51
D.4	Recordkeeping.....	51
D.5	Multi-establishment facilities.....	51
D.6	Trade secrets.....	52
D.7	Metals and metal compounds.....	52
<b>E. Instructions for Completing EPA Alternate Threshold Certification Statement</b>		
<b>Part I. Facility Identification Information</b>		
1.	Reporting Year.....	53
2.	Trade Secret Information.....	53
2.1	Are you claiming the chemical identity on page 1 trade secret?.....	53
2.2	If "yes" in 2.1, is this copy sanitized or unsanitized?.....	53
3.	Certification.....	53
4.	Facility Identification.....	54
4.1	Facility Name, Location, and TRI Facility Identification Number.....	54
4.2	Federal Facility Designation.....	54
4.3	Technical Contact.....	54
4.4	Intentionally Left Blank for the Certification Statement.....	54
4.5	Standard Industrial Classification (SIC) Code.....	54
4.6	Latitude and Longitude.....	54
4.7	Dun and Bradstreet Number.....	55
4.8	EPA Identification Number.....	55
4.9	NPDES Permit Number.....	55
4.10	Underground Injection Well Code (UIC) Identification Number.....	55
5.	Parent Company Information.....	55
5.1	Name of Parent Company.....	55
5.2	Parent Company's Dun & Bradstreet Number.....	55
<b>Part II. Chemical Specific Information</b>		
1.	Toxic Chemical Identity.....	56
1.1	CAS Number.....	56
1.2	Toxic Chemical or Chemical Category Name.....	56
1.3	Generic Chemical Name.....	56
2.	Mixture Component Identity.....	57
2.1	Generic Chemical Name Provided by Supplier.....	57
Table I	SIC Codes 20-39.....	I-1
Table II	Section 313 Toxic Chemical List for Reporting Year 1995.....	II-1
Table III	State Abbreviations.....	III-1
Appendix A	Federal Facility Reporting Information.....	A.1
Appendix B	Reporting Codes for EPA Form R.....	B.1
Appendix C	Common Errors in Completing Form R Reports.....	C.1
Appendix D	Supplier Notification Requirements.....	D.1
Appendix E	How to Determine Latitude and Longitude From Topographic Maps.....	E.1
Appendix F	State Designated Section 313 Contacts.....	F.1
Appendix G	Section 313 EPA Regional Contacts.....	G.1
Appendix H	Section 313 Related Materials and Information Access.....	H.1



## A. General Information

Submission of EPA Form R, the Toxic Chemical Release Inventory (TRI) Reporting Form, is required by section 313 of the Emergency Planning and Community Right-to-Know Act (EPCRA, or Title III of the Superfund Amendments and Reauthorization Act of 1986), Public Law 99-499. The information contained in Form R constitutes a "report," and the submission of a report to the appropriate authorities constitutes "reporting."

Reporting is required to provide the public with information on the releases of listed toxic chemicals in their communities and to provide EPA with release information to assist the Agency in determining the need for future regulations. Facilities must report the quantities of both routine and accidental releases of listed toxic chemicals, as well as the maximum amount of the listed toxic chemical on-site during the calendar year and the amount contained in wastes transferred off-site.

The Pollution Prevention Act, passed into law in October, 1990 (Pub. L. 101-508), added reporting requirements to Form R. These requirements affect all facilities required to submit Form R under section 313 of EPCRA. The data was required beginning with reports for calendar year 1991.

A completed Form R must be submitted for each toxic chemical manufactured, processed, or otherwise used at each covered facility as described in the reporting rule in 40 CFR Part 372 (originally published February 16, 1988, in the Federal Register). These instructions supplement and elaborate on the requirements in the reporting rule. Together with the reporting rule, they constitute the reporting requirements. All references in these instructions are to sections in the reporting rule unless otherwise indicated.

### A.1 Who Must Submit this Form

Section 313 of EPCRA requires that reports be filed by owners and operators of facilities that meet all of the following criteria.

- The facility has 10 or more full-time employees; and
- The facility is included in Standard Industrial Classification (SIC) Codes 20 through 39; and
- The facility manufactures (defined to include importing), processes, or otherwise uses any listed toxic chemical in quantities greater than the established threshold in the course of a calendar year.

### A.2 How to Assemble a Complete Report

The Toxic Chemical Release Reporting Form, EPA Form R, consists of two parts:

- Part I, Facility Identification Information (page 1); and
- Part II, Chemical-Specific Information (pages 2-5).

Most of the information required in Part I of Form R can be completed, photocopied, and attached to each chemical-specific report. However, Part I of each Form R submitted must have an original signature on the certification statement and the trade secret designation must be entered as appropriate. Part II must be completed separately for each toxic chemical or chemical category. Because a complete Form R consists of at least 5 unique pages, any submission containing less than 5 unique pages is not a valid submission.

A complete report for any listed toxic chemical that is not claimed as a trade secret consists of the following completed parts:

- Part I with an original signature on the certification statement (section 2); and
- Part II (Note: Section 8 is mandatory).

Staple all 5 pages of each report together. If you check yes on Part II, Section 8.11, you may attach additional information on pollution prevention activities at your facility.

### A.3 Trade Secret Claims

For any toxic chemical whose identity is claimed as trade secret, you must submit to EPA two versions of the substantiation form as prescribed in 40 CFR Part 350, published July 29, 1988, in the Federal Register (53 FR 28772) as well as two versions of Form R. One set of forms, the "unsanitized" version, should provide the actual identity of the toxic chemical. The other set of forms, the "sanitized" version, should provide only a generic identity of the toxic chemical. If EPA deems the trade secret substantiation form valid, only the sanitized set of forms will be made available to the public.

Use the order form in this document to obtain copies of the rule and substantiation form. Further explanation of the trade secret provisions is provided in Part I, Sections 2.1 and 2.2, and Part II, Section 1.3, of the instructions.

In summary, a complete report to EPA for a toxic chemical claimed as a trade secret must include all of the following:

- A completed "unsanitized" version of a Form R report including the toxic chemical identity (staple the pages together);
- A sanitized version of a completed Form R report in which the toxic chemical identity items (Part II, Sections 1.1 and 1.2) have been left blank but in which a generic chemical name has been supplied (Part II, Section 1.3) (staple the pages together);
- A completed "unsanitized" version of a trade secret substantiation form (staple the pages together); and
- A sanitized version of a completed trade secret substantiation form (staple the pages together).

Securely fasten all four reports together.

Some states also require submission of both sanitized and unsanitized reports for toxic chemicals whose identity is claimed as a trade secret. Others require only a sanitized version. Facilities may jeopardize the trade secret status of a toxic chemical by submitting an unsanitized version of Form R to a state agency or Indian tribe that does not require unsanitized forms. You may identify an individual State's submission requirements by contacting the appropriate state-designated Section 313 contact (see Appendix F).

#### A.4 Recordkeeping

Sound recordkeeping practices are essential for accurate and efficient TRI reporting. It is in the facility's interest, as well as EPA's, to maintain records properly.

Facilities must keep a copy of each Form R report filed for at least three years from the date of submission. These reports will be of use in subsequent years when completing future Form R reports.

Facilities must also maintain those documents, calculations, worksheets, and other forms upon which they relied to gather information for prior Form R reports. In the event of a problem with data elements on a facility's Form R, EPA may request documentation from the facility that supports the information reported. In the future,

EPA may conduct data quality reviews of past Form R submissions. An essential component of this process involves reviewing a facility's records for accuracy and completeness.

A partial list of records, organized by year, that a facility should maintain include:

- Previous years' Form Rs;
- Section 313 Reporting Threshold Worksheets;
- Engineering calculations and other notes;
- Purchase records from suppliers;
- Inventory data;
- EPA (NPDES) permits and monitoring reports;
- EPCRA Section 312, Tier II Reports;
- Monitoring records;
- Flowmeter data;
- RCRA Hazardous Waste Generator's Report;
- Pretreatment reports filed by the facility with the local government;
- Invoices from waste management companies;
- Manufacturer's estimates of treatment efficiencies;
- RCRA Manifests; and
- Process diagrams that indicate emissions and other releases.

#### A.5 When the Report Must be Submitted

The report for any calendar year must be submitted on or before July 1 of the following year. Any voluntary revision to a report can be submitted anytime during the calendar year, for the current or any previous reporting year.

##### A.5a How to Prepare a Voluntary Revision of a Previous Submission

Voluntary revisions must be submitted by October 15th of the same year as the reporting deadline in order for the revised data to be included in the next TRI data release. Revisions should be submitted on a Form R identical to the version originally submitted to EPA for that reporting year. The Emergency Planning and Community Right-to-Know Information Hotline can help you identify the version of Form R used for each reporting year.

There are two options for making voluntary revisions:

The first is to submit a photocopy of your original Form R submission (from your file), with the corrections made in red ink. Re-sign and re-date the certification statement on page 1. For revisions to 1990 and earlier reporting year submissions, write the words "VOLUNTARY REVISION" on page 1 of the Form R. For revisions to 1991 and later reporting year submissions, on page 1 of the form, enter "X" in the space marked "Enter 'X' here if this is a revision."

The second option is to obtain a blank Form R for the reporting year affected by the correction (s). Complete all data elements on this Form, and circle with red ink those data elements that you have changed. Sign and date the certification statement on page 1. For revisions to 1990 and earlier reporting year submissions, write the words "VOLUNTARY REVISION" on page 1 of the Form R. For revision to 1991 and later reporting year submissions, on page 1 of the form, enter "X" in the space marked "Enter 'X' here if this is a revision."

If you submitted your Form R data on magnetic media, the EPA software allows you to revise your Form R data and submit your revisions on magnetic media as well. The documentation provided with the magnetic media submission software contains specific instructions, or you may call the magnetic media User Support Hotline at (703)816-4434. If you submitted your Form R data using software developed by an EPA approved Form R software developer, you must contact the software developer, to determine if the software you used allows for magnetic media revisions. Please be careful when submitting magnetic media revisions to resubmit only the revised submissions. Do not resubmit a diskette containing all of your original submissions if you are only revising one or a few of them.

### **A.5b Where to Submit a Voluntary Revision of a Previous Submission**

Revisions should be submitted to EPA and the appropriate state agency (or the designated official of an Indian tribe) to whom you submitted the original Form R (see Section A.6).

Please note: submissions for the next reporting year are NOT considered revisions of the previous year's data.

### **A.6 Where to Send the Form R**

Form R submissions must be sent to both EPA and the State (or the designated official of an Indian tribe). If a Form R is not received by both EPA and the State (or the designated official of an Indian tribe), the submitter is considered out of compliance and subject to enforcement action.

Send reports to EPA by regular or certified mail to:

EPCRA Reporting Center  
P.O. Box 3348  
Merrifield, VA 22116-3348  
Attn: Toxic Chemical Release Inventory

Overnight mail and hand-delivered submissions only should be addressed to:

EPCRA Reporting Center.  
c/o Computer Based Systems Inc.  
4301 N. Fairfax Dr.  
6th Floor, Suite 650  
Arlington, VA 22203  
(703) 816-4445

In addition, you must also send a copy of the report to the State in which the facility is located. ("State" also includes: the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, the Northern Mariana Islands, and any other territory or possession over which the U.S. has jurisdiction.) Refer to Appendix F for the appropriate State submission addresses.

Facilities located on Indian land should send a copy to the Chief Executive Officer of the applicable Indian tribe. Some tribes have entered into a cooperative agreement with States; in this case, Form R submissions should be sent to the entity designated in the cooperative agreement.

Submission of section 313 reports in magnetic media and computer-generated facsimile formats has been approved by EPA. EPA has developed a package called the "Toxic Chemical Release Inventory Reporting System". The easy-to-use diskettes come with complete instructions for their use (See "TRI Automated Form R (AFR) Software for Reporting Year 1996" and enclosed diskettes). It also provides prompts and messages to help you report according to EPA instructions. For copies of the diskette you may call the EPCRA Hotline.

Many firms are offering computer software to assist facilities in producing magnetic media submissions or computer-generated facsimiles of Form R reports. To ensure accuracy, EPA will only accept magnetic media submissions and computer-generated facsimiles that meet basic specifications established by EPA. To determine if the software offered by a firm meets these specifications, EPA reviews and approves all software upon request. Call the Emergency Planning and Community Right-to-Know Information Hotline to identify the software that has been approved by EPA for the current reporting year.

It should be noted, however, that some States may accept only hard copies of Form R. If this is the case, a magnetic media or computer-generated facsimile may be unacceptable.

#### **A.7 How to Obtain Forms and Other Information**

A copy of Form R is included in this booklet. Remove this form and produce as many photocopies as needed. Related guidance documents may be obtained from:

U.S. EPA/NCEPI  
P.O. Box 42419  
Cincinnati, OH 45242-2419  
(800) 490-9198  
Fax (513) 489-8695  
Internet:  
<http://www.epa.gov/ncepihom/index.html>

See Appendix H for the document request form and more information on available documents.

Questions about completing Form R may be directed to the Emergency Planning and Community Right-to-Know Information Hotline at the following address or telephone numbers.

Emergency Planning and Community  
Right-to-Know Information Hotline  
U.S. Environmental Protection Agency  
401 M St., SW (5101)  
Washington, DC 20460  
(800) 535-0202, (800) 424-9346 or (703) 412-9877;  
TDD# (800) 553-7672  
from 9:00 am - 6:00 pm Eastern Time  
(Mon.-Fri., except Federal Holidays)

EPA Regional Staff May also be of assistance. Refer to Appendix G for a list of EPA Regional Offices.

## B. How to Determine If your Facility Must Submit EPA Form R

(See figure 1 for more information)

### B.1 Full-Time Employee Determination

A "full-time employee," for purposes of section 313 reporting, is defined as 2,000 work hours per year. The number of full-time employees is dependent only upon the total number of hours worked by all employees for the facility during the calendar year and not the number of persons working. To determine the number of full-time employees working for your facility, add up the hours worked by all employees during the calendar year, including contract employees and sales and support staff working for the facility, and divide the total by 2,000 hours. In other words, if the total number of hours worked by all employees is 20,000 hours or more, your facility meets the ten employee threshold.

Examples include:

- A facility consists of 11 employees who each worked 1500 hours for the facility in a calendar year. Consequently, the total number of hours worked by all employees for the facility during the calendar year is 16,500 hours. The number of full-time employees for this facility is equal to 16,500 hours divided by 2,000 hours per full-time employee, or 8.3 full-time employees. Therefore, even though 11 persons worked for this facility during the calendar year, the number of hours worked is equivalent to 8.3 full-time employees. This facility does not meet the employee criteria and is not subject to section 313 reporting.
- Another facility consists of 6 workers and 3 sales staff. The 6 workers each worked 2,000 hours for the facility in the calendar year. The sales staff also each worked 2,000 hours in the calendar year although they may have been on the road half of the year. In addition, 5 contract employees were hired for a period during which each worked 400 hours for the facility. The total number of hours is equal to the time worked by the workers at the facility (12,000 hours), plus the time worked by the sales staff for the facility (6,000 hours), plus the time worked by the contract employees at the facility (2,000 hours), or 20,000 hours. Dividing the 20,000 hours by 2,000 yields 10 full-time employees. This facility has met the full time employee criteria and may be subject to reporting if the other criteria are met.

### B.2 Primary SIC Code Determination

Standard Industrial Classification (SIC) codes 20-39 are covered by the rule and are listed in Table 1. The first two digits of a 4-digit SIC code define a major business sector, while the last two digits denote a facility's specialty within the major sector. For a detailed description of 4-digit SIC codes, refer to the "Standard Industrial Classification Manual 1987." The facility should determine its own SIC code (s), based on its activities on-site, using the SIC Manual. State agencies and other organizations may assign SIC codes on a different basis than the one used by the SIC Manual. Therefore for purposes of TRI reporting, these state assigned codes should not be used if they differ from ones assigned using the SIC Manual.

The EPCRA Hotline can assist facilities with determining which SIC codes are assigned for specific business activities as referenced in the SIC Manual. Clothbound editions of the SIC Manual are available in most major libraries or may be ordered through the National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, (703) 487-4650. The access number for the clothbound manual is PB87-100012, and the price is \$30.00.

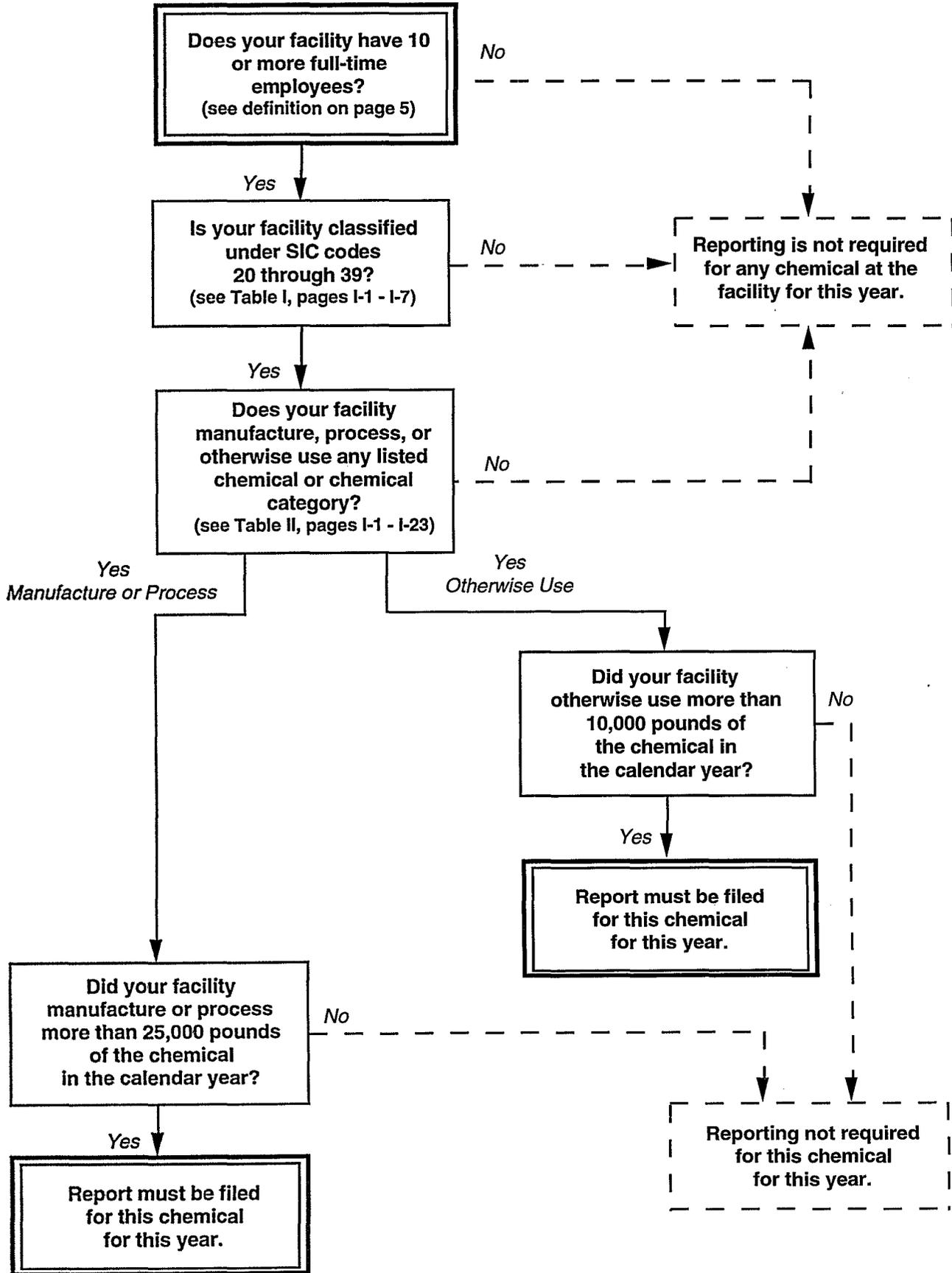
#### B.2.a Multi-Establishment Facilities

Your facility may include multiple establishments that have different SIC codes. If so, calculate the value of the products produced or shipped from each establishment within the facility and then use the following rule to determine if your facility meets the SIC code criterion:

- If the total value of the products shipped from or produced at establishments with primary SIC codes between 20 and 39 is greater than 50 percent of the value of the entire facility's products and services, the entire facility meets the SIC code criterion.
- If any one establishment with a primary SIC code between 20 and 39 produces or ships products whose value exceeds the value of products and services produced or shipped by any other establishment within the facility, the facility also meets the SIC code criterion.

Figure 1

# Determining Applicability of Section 313 Requirements



The value of production attributable to a particular establishment may be isolated by subtracting the product value obtained from other establishments within the same facility from the total product value of the facility. This procedure eliminates the potential for "double counting" production in situations where establishments are engaged in sequential production activities at a single facility.

Examples include:

- One establishment in a gold mining facility is engaged primarily in the exploration of gold deposits, developing mines, and mining gold. This establishment deploys several means to mine the gold, including crushing, grinding, gravity concentration, froth flotation, amalgamation, cyanidation, and the production of bullion at the mine and mill sites (these processes are classified under SIC code 1041). All of the ore discovered through this establishment is delivered to a second establishment which is primarily engaged in rolling, drawing, and extruding the gold for sale and distribution. The smelting establishment in the facility is classified under SIC code 3339. The facility could calculate the value of production for each establishment separately (both SIC code 1041 and 3339 having separate values). Alternatively, the facility could determine the value of the smelter operation by subtracting the value of the ore produced from the value of entire facility's production (Gross value of facility - SIC code 1041 value = Value for SIC code 3339).
- A food processing establishment in a facility processes crops grown at the facility in a separate establishment. The facility could base the value of the products of each establishment on the total production value of each establishment. Alternatively, the facility could first determine the value of the crops grown at the agricultural establishment, and then calculate the contribution of the food processing establishment by subtracting the crop value from the total value of the product shipped from the processing establishment (Value of product shipped from processing - crop value = value of processing establishment)

A covered multi-establishment facility must make toxic chemical threshold determinations and, if required, must report all relevant information about releases, source reduction, recycling, and waste treatment associated with

a listed toxic chemical for the entire facility, even from establishments that are not in SIC codes 20-39. EPA realizes, however, that certain establishments in a multi-establishment facility can be, for all practical purposes, separate business units. Therefore, individual establishments may report releases separately, provided that the total releases for the whole facility is represented by the sum of releases reported by the separate establishments and the compliance determination is based on the entire facility.

### B.2.b Auxiliary Facilities

An auxiliary facility is one that supports another facility's activities (e.g., research and development laboratories, warehouses, storage facilities, and waste-treatment facilities). An auxiliary facility can assume the SIC code of another covered facility if its primary function is to service that other covered facility's operations. Thus, a separate warehouse facility (i.e., one not located within the physical boundaries of a covered facility) may become a covered facility because it services a facility in SIC codes 20-39. Auxiliary facilities that are in SIC codes 20-39 are required to report if they meet the employee criterion and reporting thresholds for manufacture, process, or otherwise use. Auxiliary establishments that are part of a multi-establishment facility must be factored into threshold determinations for the facility as a whole.

### B.2.c Facility-Related Exemptions

**Laboratory Activities:** Listed toxic chemicals that are manufactured, processed, or otherwise used in laboratory activities at a covered facility under the direct supervision of a technically qualified individual do not have to be considered for threshold and release calculations. However, pilot plant scale and specialty chemical production do not qualify for this laboratory activities exemption.

**Property Owners:** You are not required to report if you merely own real estate on which a facility covered by this rule is located; that is, you have no other business interest in the operation of that facility (e.g., your company owns an industrial park). The operator of that facility, however, is subject to reporting requirements.

## B.3 Activity Determination

### B.3.a Definitions of "Manufacture," "Process," and "Otherwise Use"

**Manufacture:** The term "manufacture" means to produce, prepare, compound, or import a listed toxic chemical. (See Part II, Section 3.1 of these instructions for further clarification.)

Import is defined as causing the toxic chemical to be imported into the customs territory of the United States. If you order a listed toxic chemical (or a mixture containing the chemical) from a foreign supplier, then you have imported the chemical when that shipment arrives at your facility directly from a source outside of the United States. By ordering the chemical, you have "caused it to be imported," even though you may have used an import brokerage firm as an agent to obtain the toxic chemical.

The term manufacture also includes coincidental production of a toxic chemical (e.g., as a byproduct or impurity) as a result of the manufacture, processing, otherwise use, or treatment of other chemical substances. In the case of coincidental production of an impurity (i.e., a toxic chemical that remains in the product that is distributed in commerce), the de minimis limitation, discussed in Section B.4.b of these instructions, applies. The de minimis limitation does not apply to byproducts (e.g., a toxic chemical that is separated from a process stream and further processed or disposed). Certain listed toxic chemicals may be manufactured as a result of wastewater treatment or other treatment processes. For example, neutralization of acid wastewater can result in the coincidental manufacture of ammonium nitrate (solution).

#### Example 1: Coincidental Manufacture

Your company, a nitric acid manufacturer, uses aqueous ammonia in a waste treatment system to neutralize an acidic wastewater stream containing nitric acid. The reaction of ammonia and nitric acid produces a solution of ammonium nitrate. Ammonium nitrate is reportable under the nitrate compounds category and is manufactured as a byproduct. If the ammonium nitrate is produced in a quantity that exceeds the 25,000 pound manufacturing threshold, the facility must report under the nitrate compounds category.

The aqueous ammonia is considered to be otherwise used and 10% of the total aqueous ammonia would be counted towards the 10,000 pound use threshold. Reports for releases of ammonia must also include 10% of the total aqueous ammonia from the solution of ammonium nitrate (see the qualifier for the ammonia listing).

**Process:** The term "process" means the preparation of a listed toxic chemical, after its manufacture, for distribution in commerce. Processing is usually the intentional incorporation of a toxic chemical into a product (see Part II, Section 3.2 of these instructions for further clarification). Processing includes preparation of the toxic chemical in the same physical state or chemical form as that received by your facility, or preparation that produces a change in physical state or chemical form. The term also applies to the processing of a mixture or other trade name product (see Section B.4.b of these instructions) that contains a listed toxic chemical as one component.

#### Example 2: Typical Process and Manufacture Activities

- Your company receives toluene, a listed toxic chemical, from another facility, and reacts the toluene with air to form benzoic acid. Your company processes toluene and manufactures benzoic acid. Benzoic acid, however, is not a listed toxic chemical and thus does not trigger reporting requirements.
- Your facility combines toluene purchased from a supplier with various materials to form paint. Your facility processes toluene.
- Your company receives a nickel compound (nickel compounds is a listed toxic chemical category) as a bulk solid and performs various size-reduction operations (e.g., grinding) before packaging the compound in 50 pound bags. Your company processes the nickel compound.
- Your company receives a prepared mixture of resin and chopped fiber to be used in the injection molding of plastic products. The resin contains a listed toxic chemical that becomes incorporated into the plastic. Your facility processes the toxic chemical.

### Example 3: Otherwise Use

When your facility cleans equipment with toluene, you are otherwise using toluene. Your facility also separates two components of a mixture by dissolving one component in toluene, and subsequently recovers the toluene from the process for reuse or disposal. Your facility otherwise uses toluene.

**Otherwise Use:** The term "otherwise use" encompasses any activity involving a listed toxic chemical at a facility that does not fall under the definitions of "manufacture" or "process." A chemical that is otherwise used by a facility is not intentionally incorporated into a product distributed in commerce (see Part II, Section 3.3 of these Instructions for further clarification).

### B.3.b Activity Exemptions

**Use Exemptions.** Certain uses of listed toxic chemicals are specifically exempted:

- use as a structural component of the facility;
- use in routine janitorial or facility grounds maintenance;
- personal uses by employees or other persons;
- use of products containing toxic chemicals for the purpose of maintaining motor vehicles operated by the facility; or
- use of toxic chemicals contained in intake water (used for processing or non-contact cooling) or in intake air (used either as compressed air or for combustion).

**Article Exemptions.** Quantities of a listed toxic chemical contained in an article do not have to be factored into threshold or release determinations when that article is processed or otherwise used at your facility. An article is defined as a manufactured item that is formed to a specific shape or design during manufacture, that has end-use functions dependent in whole or in part upon its shape or design during end-use, and that does not release a toxic chemical under normal conditions of the processing or otherwise use of that item at the facility.

If the processing or otherwise use of similar articles results in a total release of less than 0.5 pounds of a toxic chemical in a calendar year to any environmental media, EPA will allow this release quantity to be rounded to zero, and the manufactured items remain exempt as articles. EPA requires facilities to round off and report all estimates to the nearest whole number. The 0.5-pound limit does not apply to each individual article, but applies to the sum of all releases from processing or otherwise use of like articles.

The article exemption applies to the normal processing or otherwise use of an article. It does not apply to the manufacture of an article. Toxic chemicals processed into articles produced at a facility must be factored into threshold and release determinations.

A closed item containing toxic chemicals (e.g., a transformer containing PCBs) that does not release the toxic chemicals during normal use is considered an article if a facility uses the item as intended and the toxic chemicals are not released. If a facility services the closed item (e.g., a transformer) by replacing the toxic chemicals, the toxic chemicals added during the reporting year must be counted in threshold and release calculations.

### Example 4: Article Exemption

- Lead that is incorporated into a lead acid battery is processed to manufacture the battery, and therefore must be counted toward threshold and release determinations. However, the use of the lead acid battery elsewhere in the facility does not have to be counted. Disposal of the battery after its use does not constitute a "release;" thus, the battery remains an article.
- Metal rods that are extruded into wire are not articles because their form changes during processing.
- If an item used in the facility is fragmented, the item is still an article if those fragments being discarded remain identifiable as the article (e.g., recognizable pieces of a cylinder, pieces of wire). For instance, an 8-foot piece of wire is broken into two 4-foot pieces of wire, without releasing any toxic chemicals. Each 4-foot piece is identifiable as a piece of wire; therefore, the article status for these pieces of wire remains intact.
- Toxic chemicals received in the form of pellets are not articles because the pellet form is simply a convenient form for further processing of the material.

When the processing or otherwise use of an item generates fumes, dust, filings, or grindings, the article exemption is not applicable. The toxic chemical(s) in the item must be counted toward the appropriate threshold determination, and the fumes, dust, filings, and grindings must be reported as releases or wastes. Scrap pieces that are recognizable as an article do not constitute a release.

### B.3.c Activity Qualifiers

Table II contains the list of individual toxic chemicals and categories of chemicals subject to 1996 calendar year reporting. Some of the toxic chemicals listed in Table II have parenthetical qualifiers listed next to them. A toxic chemical that is listed without a qualifier is subject to reporting in all forms in which it is manufactured, processed, and otherwise used.

**Fume or dust.** Three of the metals on the list (aluminum, vanadium, and zinc) contain the qualifier "fume or dust." Fume or dust refers to dry forms of these metals but does not refer to "wet" forms such as solutions or slurries. As explained in Section B.3.a of these instructions, the term manufacture includes the generation of a toxic chemical as a byproduct or impurity. In such cases, a facility should determine if, for example, it generated more than 25,000 pounds of aluminum fume or dust in 1994 as a result of its activities. If so, the facility must report that it manufactures "aluminum (fume or dust)." Similarly, there may be certain technologies in which one of these metals is processed in the form of a fume or dust to make other toxic chemicals or other products for distribution in commerce. In reporting releases, the facility would only report releases of the fume or dust.

EPA considers dusts to consist of solid particles generated by any mechanical processing of materials including crushing, grinding, rapid impact, handling, detonation, and decrepitation of organic and inorganic materials such as rock, ore, and metal. Dusts do not tend to flocculate, except under electrostatic forces. A fume is an airborne dispersion consisting of small solid particles created by condensation from a gaseous state, in distinction to a gas or vapor. Fumes arise from the heating of solids such as lead. The condensation is often accompanied by a chemical reaction, such as oxidation. Fumes flocculate and sometimes coalesce.

**Manufacturing qualifiers.** Two of the entries to the section 313 toxic chemical list contain a qualifier relating to manufacture. For isopropyl alcohol, the qualifier is "manufacturing — strong acid process." For saccharin,

the qualifier simply is "manufacturing." For isopropyl alcohol, the qualifier means that only facilities manufacturing isopropyl alcohol by the strong acid process are required to report. In the case of saccharin, only manufacturers of the toxic chemical are subject to the reporting requirements. A facility that processes or otherwise uses either toxic chemical would not be required to report for those toxic chemicals. In both cases, supplier notification does not apply because only manufacturers, not users, of the toxic chemical must report.

**Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing).** The qualifier for ammonia means that anhydrous forms of ammonia are 100 percent reportable and aqueous forms are limited to 10 percent of total aqueous ammonia. Therefore when determining threshold and releases and other waste management quantities all anhydrous ammonia is included but only 10 percent of total aqueous ammonia is included. Any evaporation of ammonia from aqueous ammonia solutions is considered anhydrous ammonia and should be included in threshold and release determinations.

**Sulfuric acid and Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size).** The qualifier for sulfuric acid and hydrochloric acid means that the only forms of this chemical that are reportable are aerosols. Aqueous solutions are not covered by this listing but any aerosols generated from aqueous solutions are covered.

**Nitrate compounds (water dissociable; reportable only when in aqueous solution).** The qualifier for the nitrate compounds category limits the reporting to nitrate compounds that dissociate in water, generating nitrate ion. For the purposes of threshold determinations the entire weight of the nitrate compound must be included in all calculations. For the purposes of reporting releases and other waste management quantities only the weight of the nitrate ion should be included in the calculations of these quantities.

**Phosphorus (yellow or white).** The listing for phosphorus is qualified by the term "yellow or white." This means that only manufacturing, processing, or otherwise use of phosphorus in the yellow or white chemical form triggers reporting. Conversely, manufacturing, processing, or otherwise use of "black" or "red" phosphorus does not trigger reporting. Supplier notification also applies only to distribution of yellow or white phosphorus.

**Asbestos (friable).** The listing for asbestos is qualified by the term "friable," referring to the physical characteristic of being able to be crumbled, pulverized, or reducible to a powder with hand pressure. Only manufacturing, processing, or otherwise use of asbestos in the friable form triggers reporting. Supplier notification applies only to distribution of mixtures or trade name products containing friable asbestos.

**Aluminum Oxide (fibrous forms).** The listing for aluminum oxide is qualified by the term "fibrous forms." Fibrous refers to a man-made form of aluminum oxide that is processed to produce strands or filaments which can be cut to various lengths depending on the application. Only manufacturing, processing, or otherwise use of aluminum oxide in the fibrous form triggers reporting. Supplier notification applies only to distribution of mixtures or trade name products containing fibrous forms of aluminum oxide.

## B.4 Threshold Determination

Section 313 reporting is required if threshold quantities are exceeded. Separate thresholds apply to the amount of the toxic chemical that is manufactured, processed, or otherwise used:

You must submit a report for any listed toxic chemical that is manufactured or processed at your facility in excess of the following threshold:

- 25,000 pounds during the course of a calendar year.

You must submit a report if the quantity of a listed toxic chemical that is otherwise used at your facility exceeds:

- 10,000 pounds during the course of a calendar year.

### B.4.a How to Determine If Your Facility Has Exceeded Thresholds

To determine whether your facility has exceeded a section 313 reporting threshold, compare quantities of listed toxic chemicals that you manufacture, process, or otherwise use to the respective thresholds for those activities. A worksheet is provided in Figure 2 to assist facilities in determining whether they exceed any of the reporting thresholds. This worksheet also provides a format for maintaining reporting facility records. Use of this worksheet is not required and the completed worksheet(s) should not accompany Form R reports submitted to EPA and the State.

Complete a separate worksheet for each section 313 toxic chemical or chemical category. Base your threshold determination for listed toxic chemicals with qualifiers only on the quantity of the toxic chemical satisfying the qualifier.

Use of the worksheet is divided into three steps:

Step 1 allows you to record the gross amount of the toxic chemical or chemical category involved in activities throughout the facility. Pure forms as well as the amounts of the toxic chemical or chemical category present in mixtures or trade name products must be considered. The types of activity (i.e., manufacturing, processing, or otherwise using) for which the toxic chemical is used must be identified because separate thresholds apply to each of these activities. A record of the information source(s) used should be kept. Possible information sources include purchase records, inventory data, and calculations by a process engineer. The data collected in Step 1 will be totaled for each activity to identify the overall amount of the toxic chemical or chemical category manufactured (including imported), processed, or otherwise used.

Step 2 allows you to identify uses of the toxic chemical or chemical category that were included in Step 1 but are exempt under section 313. Do not include in Step 2 exempt forms of the toxic chemical not included in the calculations in Step 1. For example, if freon contained in the building's air conditioners was not reported in Step 1, you would not include the amount as exempt in Step 2. Step 2 is intended for use when one form or use of the toxic chemical is exempt while other forms require reporting. Note the type of exemption for future reference. Also identify, if applicable, the fraction or percentage of the toxic chemical present that is exempt. Add the amounts in each activity to obtain a subtotal for exempted amounts of the toxic chemical or chemical categories at the facility.

Step 3 involves subtracting the result of Step 2 from the results of Step 1 for each activity. Compare this net sum to the applicable activity threshold. If the threshold is met or exceeded for any of the three activities, a facility must submit a Form R for that toxic chemical or chemical category. This worksheet should be retained in either case to document your determination for reporting or not reporting, but should not be submitted with the report. Do not sum quantities of the toxic chemical that are

Figure 2

OPTIONAL SECTION 313 REPORTING THRESHOLD WORKSHEET

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

Toxic Chemical or Chemical Category: \_\_\_\_\_

Prepared By: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

Step 1. Identify amounts of the toxic chemical manufactured, processed, or otherwise used.

Mixture Name or Other Identifier	Information Source	Percent by Weight	Total Weight (in lbs)	Amount of the Listed Toxic Chemical by Activity (in lbs.):		
				Manufactured	Processed	Otherwise Used
1.						
2.						
3.						
4.						
5.						
6.						
7.						
Subtotal:				(A) _____ lbs.	(B) _____ lbs.	(C) _____ lbs.

Step 2. Identify exempt forms of the toxic chemical that have been included in Step 1.

Mixture Name as Listed Above	Applicable Exemption	Note Fraction or Percent Exempt (if Applicable)	Exempt Amount of the Toxic Chemical from Above (in lbs.):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
5.					
6.					
7.					
Subtotal:			(A <sub>1</sub> ) _____ lbs.	(B <sub>1</sub> ) _____ lbs.	(C <sub>1</sub> ) _____ lbs.

Step 3. Calculate the amount subject to threshold:

(A - A<sub>1</sub>) \_\_\_\_\_ lbs.      (B - B<sub>1</sub>) \_\_\_\_\_ lbs.      (C - C<sub>1</sub>) \_\_\_\_\_ lbs.

Compare to thresholds for section 313 reporting.

25,000 lbs.

25,000 lbs.

10,000 lbs.

If any threshold is met, reporting is required for all activities. Do not submit this worksheet with Form R. Retain for your records.

manufactured, processed, and otherwise used at your facility, because each of these activities requires a separate threshold determination. For example, if in a calendar year you processed 20,000 pounds of a chemical and you otherwise used 6,000 pounds of that same toxic chemical, your facility has not met or exceeded any applicable threshold and thus is not required to report for that chemical.

You must submit a report if you exceed any threshold for any listed toxic chemical or chemical category. For example, if your facility processes 22,000 pounds of a listed toxic chemical and also otherwise uses 16,000 pounds of that same toxic chemical, it has exceeded the otherwise use threshold (10,000 pounds) and your facility must report even though it did not exceed the process threshold. However, in preparing your reports, you must consider all non-exempted activities and all releases of the toxic chemical from your facility, not just releases from the otherwise use activity.

Also note that threshold determinations are based upon the actual amounts of a toxic chemical manufactured, processed, or otherwise used over the course of the calendar year. The threshold determination may not relate to the amount of a toxic chemical brought on-site during the calendar year. For example, if a stockpile of 100,000 pounds of a toxic chemical is present on-site but only 20,000 pounds is applied to a process, only the 20,000 pounds processed is counted toward a threshold determination, not the entire 100,000 pounds of the stockpile.

### **Threshold Determinations for On-Site Reuse/Recycle Operations.**

Threshold determinations of listed toxic chemicals that are recycled or reused at the facility are based only on the amount of the toxic chemical that is added during the year, not the total volume in the system. For example, a facility operates a refrigeration unit that contains 15,000 pounds of anhydrous ammonia at the beginning of the year. The system is charged with 2,000 pounds of anhydrous ammonia during the year. The facility has therefore "otherwise used" only 2,000 pounds of the covered toxic chemical and is not required to report (unless there are other "otherwise use" activities of ammonia which, when taken together, exceed the reporting threshold). If, however, the whole refrigeration unit was recharged with 15,000 pounds of anhydrous ammonia during the year, the facility would exceed the otherwise use threshold, and be required to report.

This exemption does not apply to toxic chemicals "recycled" off-site and returned to a facility. Such toxic chemicals returned to a facility are treated as the equivalent of newly purchased material for purposes of section 313 threshold determinations.

### **Threshold Determinations for Ammonia.**

The listing for ammonia now includes the modifier "includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing". The qualifier for ammonia means that anhydrous forms of ammonia are 100 percent reportable and aqueous forms are limited to 10 percent of total aqueous ammonia. Therefore, when determining threshold quantities, 100 percent of anhydrous ammonia is included but only 10 percent of total aqueous ammonia is included. If any ammonia evaporates from aqueous ammonia solutions, 100 percent of the evaporated ammonia is included in threshold determinations.

For example, if a facility processes aqueous ammonia it has processed 100 percent of the aqueous ammonia in that solution. If the ammonia remains in solution, then 10% of the total aqueous ammonia is counted towards threshold. If there are any evaporative losses of anhydrous ammonia, then 100 percent of those losses must be counted towards the processing threshold. If the manufacturing, processing, or otherwise use threshold for the ammonia listing are exceeded, the facility must report 100 percent of these evaporative losses in Sections 5 and 8 of the Form R.

### **Threshold Determinations for Chemical Categories.**

A number of chemical compound categories are subject to reporting. See Table II for a listing of these toxic chemical categories. When reporting for one of these toxic chemical categories, all individual members of a category that are manufactured, processed, or otherwise used must be counted. However, threshold determinations must be made separately for each of the three activities. Do not include in these threshold determinations for a category any chemicals that are also specifically listed section 313 toxic chemicals (see Table II) or specific toxic chemicals that have been deleted from the category (e.g., a class of copper phthalocyanine compounds has been deleted from the copper compounds category). Specifically listed toxic chemicals are subject to their own, individual threshold determination.

Threshold determinations for metal-containing compounds present a special case. If, for example, your facility processes several different lead compounds, base your threshold determination on the total weight of all lead compounds processed. However, if your facility processes both the "parent" metal (lead) as well as one or more lead compounds, you must make threshold determinations for both because they are separately listed toxic chemicals. If your facility exceeds thresholds for both the

parent metal and compounds of that same metal, EPA allows you to file one combined report (e.g., one report for lead compounds, including lead) because the release information you will report in connection with metal compounds will be the total pounds of the parent metal released.

One other case involving metal compounds should be noted. Some metal compounds may contain more than one listed metal. For example, lead chromate is both a lead compound and a chromium compound. In such cases, if applicable thresholds are exceeded, you are required to file two separate reports, one for lead compounds and one for chromium compounds. Apply the total weight of the lead chromate to the threshold determinations for both lead compounds and chromium compounds. However, only the amount of each parent metal released (not the amount of the compound) would be reported on the appropriate sections of both Form Rs.

For the category nitrate compounds (water dissociable; reportable only when in aqueous solution), the entire weight of the nitrate compound is counted towards the threshold. A nitrate compound is covered by this listing only when in water and only if dissociated. As discussed under the release reporting section, only the weight of the nitrate ion is included in release reporting.

#### B.4.b Mixtures and Trade Name Products

Toxic chemicals contained in mixtures and trade name products must be factored into threshold and release determinations.

If your facility processed or otherwise used mixtures or trade name products during the calendar year, you are required to use the best information available to determine whether the components of a mixture are above the de minimis concentration and, therefore, must be included in threshold and release determinations. If you know that a mixture or trade name product contains a specific toxic chemical, combine the amount of the toxic chemical in the mixture or trade name product with other amounts of the same toxic chemical processed or otherwise used at your facility for threshold and release determinations. If you know that a mixture contains a toxic chemical but no concentration information is provided by the supplier, you do not have to consider the amount of the toxic chemical present in that mixture for purposes of threshold and release determinations.

Observe the following guidelines in estimating concentrations of toxic chemicals in mixtures when only limited information is available:

- If you know the lower and upper bound concentrations of a toxic chemical in a mixture, use the midpoint of these two concentrations for threshold determinations.
- If you know only the lower bound concentration, you should subtract out the percentages of any other known components to determine a reasonable upper bound concentration, and then determine a midpoint.
- If you have no information other than the lower bound concentration, calculate a midpoint assuming an upper bound concentration of 100 percent.
- If you only know the upper bound concentration, you must use it for threshold determinations.
- In cases where you only have a concentration range available, you should use the midpoint of the range extremes.

**De Minimis Exemption.** A listed toxic chemical does not have to be considered if it is present in a mixture at a concentration below a specified de minimis level. The de minimis level is 1.0 percent, or 0.1 percent if the toxic chemical meets the OSHA carcinogen standard. See Table II for the de minimis value associated with each listed toxic chemical. For mixtures that contain more than one member of a listed toxic chemical category, the de minimis level applies to the aggregate concentration of all such members and not to each individually. EPA included the de minimis exemption in the rule as a burden-reducing step, primarily because facilities are not likely to have information on the presence of a toxic chemical in a mixture or trade name product beyond that available in the product's MSDS. The de minimis levels are consistent with OSHA requirements for development of MSDS information concerning composition.

For threshold determinations, the de minimis exemption applies to:

- A listed toxic chemical in a mixture trade name product received by the facility that is processed or otherwise used by the facility.
- A listed toxic chemical manufactured during a process where the toxic chemical remains in a mixture or trade name product distributed in commerce.

### Example 5: Mixture and Trade Name Products

**Scenario #1:** Your facility uses 12,000 pounds of an industrial solvent (Solvent X) for equipment cleaning. The Material Safety Data Sheet (MSDS) for the solvent indicates that it contains at least 50 percent methyl ethyl ketone (MEK), a listed toxic chemical; however, it also states that the solvent contains 20 percent non-hazardous surfactants. This is the only MEK-containing chemical used at the facility.

Follow these steps to determine if the quantity of the toxic chemical in solvent X exceeds the threshold for otherwise use.

- 1) Determine a reasonable maximum concentration for the toxic chemical by subtracting out the non-hazardous surfactants (i.e.,  $100\% - 20\% = 80\%$ ).
- 2) Determine the midpoint between the known minimum (50%) and the reasonable maximum calculated above (i.e.,  $(80\% - 50\%) / 2 + 50\% = 65\%$ ).
- 3) Multiply total weight of Solvent X otherwise used by 65 percent (0.65).  
 $12,000 \text{ pounds} \times 0.65 = 7,800 \text{ pounds}$
- 4) Because the total amount of MEK otherwise used at the facility was less than the 10,000 pound otherwise use threshold, the facility is not required to file a Form R for MEK.

**Scenario #2:** Your facility otherwise used 15,000 pounds of Solvent Y to clean printed circuit boards. The MSDS for the solvent lists only that Solvent Y contains at least 80 percent of a listed toxic chemical which is only identified as chlorinated hydrocarbons.

Follow these steps to determine if the quantity of the toxic chemical in solvent exceeds the threshold for otherwise use.

- 1) Because the specific chemical is unknown, the Form R will be filed for "chlorinated hydrocarbons." This name will be entered into Part II, Section 2.1, "Mixture Component Identity." (Note: Because your supplier is claiming the toxic chemical identity a trade secret, you do not have to file substantiation forms.)
- 2) The upper bound limit is assumed to be 100 percent and the lower bound limit is known to be 80 percent. Using this information, the specific concentration is estimated to be 90 percent (i.e., the mid-point between upper and lower limits).  
 $(100\% + 80\%) / 2 = 90\%$
- 3) The total weight of Solvent Y is multiplied by 90 percent (0.90) when calculating for thresholds.  
 $15,000 \times 0.90 = 13,500$
- 4) Because the total amount of chlorinated hydrocarbons exceeds the 10,000 pound otherwise used threshold, you must file a Form R for this chemical.

The de minimis exemption does not apply to:

- A toxic chemical manufactured at the facility that does not remain in a product distributed by the facility. A threshold determination must be made on the annual quantity of the toxic chemical manufactured regardless of the concentration. For example, quantities of formaldehyde created as a result of waste treatment must be applied toward the threshold for "manufacture" of this toxic chemical, regardless of the concentration of this toxic chemical in the waste.

In general, when the de minimis exemption applies to threshold determinations and the concentration of the toxic chemical in the mixture is below the de minimis limitation, then you are not required to report releases associated with the processing or otherwise use of the toxic chemical in that mixture. Note that it is possible to meet the threshold for a toxic chemical on a facility-wide basis, but not be required to calculate releases from a particular process because that process involves only mixtures containing the toxic chemical below the de minimis level.

Application of the de minimis exemption to process streams must also be reviewed. Mixtures containing toxic chemicals can be added to a process or generated within a process. A facility is required to consider and report releases from the process once the de minimis concentration level has been exceeded. All releases of the toxic chemical from the process which occur after the de minimis exemption has been exceeded are then subject to reporting, regardless of whether or not the toxic chemical concentration later falls to a level below the de minimis exemption.

**Supplier Notification.** Beginning in 1989, suppliers of facilities in SIC codes 20-39 are required to develop and distribute a notice if the mixtures or trade name products they manufacture or process, and subsequently distribute, contain listed toxic chemicals. These notices are distributed to other companies in SIC codes 20-39 or to companies that sell or otherwise distribute the product to facilities in SIC codes 20-39. If a MSDS is not required for the mixture or trade name product, the notification must be in written form (i.e., letter). Otherwise, the notice must be incorporated into or attached to the MSDS for that product. The supplier notification requirement began with the first shipment of a product in 1989 and must accompany the first shipment each year thereafter. In addition, a new or revised notice must be sent if a change

occurs in the product which affects the weight percent of a listed toxic chemical or if it is discovered that a previous notice did not properly identify the toxic chemicals or the percentage by weight. For more information on supplier notification, see Appendix D.

If listed toxic chemical concentrations are equal to or above the de minimis cut-off level, your supplier must identify the specific components as they appear in Table II and provide their percentage composition by weight in the mixture or product. If your supplier maintains that the identity of a toxic chemical is a trade secret, a generic identity that is structurally descriptive must be supplied on the notice. A maximum concentration level must be provided if your supplier contends that chemical composition information is a trade secret. In either case, you do not need to make a trade secret claim on behalf of your supplier (unless you consider your use of the proprietary mixture a trade secret). On Form R, identify the toxic chemical you are reporting according to its generic name provided in the notification. (See the instructions for Part II, Section 2 for more information). If the listed toxic chemical is present below the de minimis level, no notification is required.

The following are specific instructions for completing each part of EPA Form R. The number designations of the parts and sections of these instructions correspond to those in Form R unless otherwise indicated.

**For all parts of Form R:**

1. Type or print information on the form in the units and format requested. Use black ink. (Using blue ink for the certification signature is suggested as a means of indicating its originality.)
2. All information on Form R is required.
3. Do not leave items in Parts I and II on Form R blank unless specifically directed to do so; if an item does not apply to you, enter not applicable, NA, in the space provided. If your information does not fill all the spaces provided for a type of information, enter NA, in the next blank space in the sequence.
4. Report releases, off-site transfers, and recycling activities to the nearest pound. Do not report fractions of pounds.

- 
5. Do not submit an incomplete form. The certification statement (Part I) specifies that the report is complete as submitted. See page 1 of these instructions for the definition of a complete submission.
  6. When completing additional pages for Part II of the form, number the additional information sequentially from the prior sections of the form.
  7. Indicate your TRI Facility Identification Number and the toxic chemical, toxic chemical category, or generically named toxic chemical on which you are reporting in the space provide in the top right corner of each page of Form R. Completion of this non-mandatory data element will greatly aid your internal recordkeeping and the quality of EPA's data entry process.

## C. Instructions for Completing EPA Form R

### Part I. Facility Identification Information

#### Section 1. Reporting Year

This is the calendar year to which the reported information applies, not the year in which you are submitting the report. Information for the 1996 reporting year must be submitted on or before July 1, 1997.

#### Section 2. Trade Secret Information

##### 2.1 Are you claiming the chemical identity on page 1 trade secret?

Answer this question only after you have completed the rest of the report. The specific identity of the toxic chemical being reported in Part II, Section 1, may be designated as a trade secret. If you are making a trade secret claim, mark "yes" and proceed to Section 2.2. Only check "yes" if it is your manufacturing, processing, or otherwise use of the toxic chemical whose identity is a trade secret. (See page 1 of these instructions for specific information on trade secrecy claims.) If you checked "no," proceed to Section 3; do not answer Section 2.2.

##### 2.2 If "yes" in 2.1, is this copy sanitized or unsanitized?

Answer this question only after you have completed the rest of the report. Check "sanitized" if this copy of the report is the public version which does not contain the toxic chemical identity but does contain a generic name in its place, and you have claimed the toxic chemical identity trade secret in Part I, Section 2.1. Otherwise, check "unsanitized."

#### Section 3. Certification

The certification statement must be signed by the owner or operator or a senior official with management responsibility for the person (or persons) completing the form. The owner, operator, or official must certify the accuracy and completeness of the information reported on the form by signing and dating the certification statement. Each report must contain an original signature. Print or type in the space provided the name and title of the person who signs the statement. This certification statement applies to all the information supplied on the form and should be signed only after the form has been completed.

#### Section 4. Facility Identification

##### 4.1 Facility Name, Location, and TRI Facility Identification Number

Enter the name of your facility (plant site name or appropriate facility designation), street address, mailing address, city, county, state, and zip code in the space provided. Do not use a post office box number as the street address. The street address provided should be the location where the toxic chemicals are manufactured, processed, or otherwise used. If your mailing address and street address are the same, enter NA in the space for the mailing address.

If you have submitted a Form R for previous reporting years, a TRI Facility Identification Number has been assigned to your facility. The TRI Facility Identification Number appears (with other facility-specific information) on a pre-printed page 1 of the Form R that is attached to the cover of this Toxic Chemical Release Inventory Instructions for 1996. Please do not destroy this page 1. When completing your Form R reports for 1996, you may use this pre-printed page one instead of filling out a new page one.

If your pre-printed page 1 is missing information required on Form R, insert that information in the appropriate box in Part I, Section 4.1. For example, if your pre-printed page 1 contains your street address and not your mailing address, enter your mailing address in the space provided.

If you do not have a pre-printed page 1, but know your TRI Facility Identification Number, complete Section 4. If you do not know your TRI Facility Identification Number, contact the EPCRA Hotline (see page 4).

Enter "NA" in the space for the TRI facility Identification number if this is your first submission of a Form R.

##### 4.2 Full or Partial Facility Indication

A covered facility must report all releases and source reduction and recycling activities of a listed toxic chemical if it meets a reporting threshold for that toxic chemical. However, if the facility is composed of several distinct establishments, EPA allows these establishments to submit separate reports for the toxic chemical as long as all releases of the toxic chemical from the entire facility are accounted for. Indicate in Section 4.2 whether your report is for the entire covered facility as a whole or for part of a covered facility.

Section 313 requires reports by "facilities," which are defined as "all buildings, equipment, structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or operated by the same person."

The SIC code system defines business "establishments" as "distinct and separate economic activities [that] are performed at a single physical location." Under section 372.30(c) of the reporting rule, you may submit a separate Form R for each establishment, or for groups of establishments in your facility, provided all releases and source reduction and recycling activities involving the toxic chemical from the entire facility are reported. This allows you the option of reporting separately on the activities involving a toxic chemical at each establishment, or group of establishments (e.g., part of a covered facility), rather than submitting a single Form R for that toxic chemical for the entire facility. However, if an establishment or group of establishments does not manufacture, process, or otherwise use or release a toxic chemical, you do not have to submit a report for that establishment or group of establishments. (See also Section B.2a of these instructions.)

### 4.3 Technical Contact

Enter the name and telephone number (including area code) of a technical representative whom EPA or State officials may contact for clarification of the information reported on Form R. This contact person does not have to be the same person who prepares the report or signs the certification statement and does not necessarily need to be someone at the location of the reporting facility; however, this person must be familiar with the details of the report so that he or she can answer questions about the information provided.

### 4.4 Public Contact

Enter the name and telephone number (including area code) of a person who can respond to questions from the public about the report. If you choose to designate the same person as both the technical and the public contact, you may enter "Same as Section 4.3" in this space. This contact person does not have to be the same person who prepares the report or signs the certification statement and does not necessarily need to be someone at the location of the reporting facility. If this space is left blank, the technical contact will be listed as the public contact in the TRI database.

### 4.5 Standard Industrial Classification (SIC) Code

Enter the appropriate 4-digit primary Standard Industrial Classification (SIC) code for your facility. Table I lists the SIC codes within the 20-39 range. If the report covers more than one establishment, enter the primary 4-digit SIC code for each establishment starting with the primary SIC code for the entire facility. You are required to enter SIC codes only for those establishments within the facilities that fall within SIC codes 20 to 39. If you do not know your SIC code, consult the 1987 SIC Manual (see pg. 5).

### 4.6 Latitude and Longitude

Enter the latitudinal and longitudinal coordinates of your facility. Sources of these data include EPA permits (e.g., NPDES permits), county property records, facility blueprints, and site plans. Instructions on how to determine these coordinates can be found in Appendix E. Enter only numerical data. Do not preface numbers with letters such as N or W to denote the hemisphere.

Latitude and longitude coordinates of your facility are very important for pinpointing the location of reporting facilities and are required elements on the Form R. EPA encourages facilities to make the best possible measurements when determining latitude and longitude. As with any other data field, missing, suspect, or incorrect data may generate a Notice of Technical Error to be issued to the facility. (See Appendix C: Common Errors in Completing Form R Reports).

### 4.7 Dun and Bradstreet Number

Enter the 9-digit number assigned by Dun and Bradstreet (D & B) for your facility or each establishment within your facility. These numbers code the facility for financial purposes. This number may be available from your facility's treasurer or financial officer. You can also obtain the numbers from your local Dun and Bradstreet office (check the telephone book White Pages). If a facility does not subscribe to the D & B service, a "support number" can be obtained from the Dun & Bradstreet center located in Allentown, Pennsylvania, at (610) 882-7748 (8:30 am to 8:00 pm, Eastern Time). If none of your establishments has been assigned a D & B number, enter not applicable, NA, in box (a). If only some of your establishments have been assigned Dun and Bradstreet numbers, enter those numbers in Part I, section 4.7.

#### **4.8 EPA Identification Number**

The EPA I.D. Number is a 12-character number assigned to facilities covered by hazardous waste regulations under the Resource Conservation and Recovery Act (RCRA). Facilities not covered by RCRA are not likely to have an assigned I.D. Number. If your facility is not required to have an I.D. Number, enter not applicable, NA, in box (a). If your facility has been assigned EPA Identification Numbers, you must enter those numbers in the spaces provided in Section 4.8.

#### **4.9 NPDES Permit Number**

Enter the numbers of any permits your facility holds under the National Pollutant Discharge Elimination System (NPDES) even if the permit(s) do not pertain to the toxic chemical being reported. This 9-character permit number is assigned to your facility by EPA or the State under the authority of the Clean Water Act. If your facility does not have a permit, enter not applicable, NA, in Section 4.9a.

#### **4.10 Underground Injection Well Code (UIC) Identification Number**

If your facility has a permit to inject a waste containing the toxic chemical into Class 1 deep wells, enter the 12-digit Underground Injection Well Code (UIC) identification number assigned by EPA or by the State under the authority of the Safe Drinking Water Act. If your facility does not hold such a permit(s), enter not applicable, NA, in Section 4.10a. You are only required to provide the UIC number for wells that receive the toxic chemical being reported.

### **Section 5. Parent Company Information**

You must provide information on your parent company. For purposes of Form R, a parent company is defined as the highest level company, located in the United States, that directly owns at least 50 percent of the voting stock of your company. If your facility is owned by a foreign entity, enter not applicable, NA, in this space. Corporate names should be treated as parent company names for companies with multiple facility sites. For example, the Bestchem Corporation is not owned or controlled by any other corporation but has sites throughout the country whose names begin with Bestchem. In this case, Bestchem Corporation would be listed as the parent company.

#### **5.1 Name of Parent Company**

Enter the name of the corporation or other business entity that is your ultimate US parent company. If your facility has no parent company, check the NA box.

#### **5.2 Parent Company's Dun & Bradstreet Number**

Enter the Dun and Bradstreet Number for your ultimate US parent company, if applicable. The number may be obtained from the treasurer or financial officer of the company. If your parent company does not have a Dun and Bradstreet number, check the NA box.

## Part II. Chemical Specific Information

In Part II, you are to report on:

- The toxic chemical being reported;
- The general uses and activities involving the toxic chemical at your facility;
- Releases of the toxic chemical from the facility to air, water, and land;
- Quantities of the toxic chemical transferred to off-site locations;
- Information for on-site and off-site waste treatment, energy recovery, disposal, and recycling of the toxic chemical; and
- Source reduction activities.

### Section 1. Toxic Chemical Identity

#### 1.1 CAS Number

Enter the Chemical Abstracts Service (CAS) registry number in Section 1.1 exactly as it appears in Table II for the chemical being reported. CAS numbers are cross-referenced with an alphabetical list of chemical names in Table II of these instructions. If you are reporting one of the toxic chemical categories in Table II (e.g., chromium compounds), enter the applicable category code in the CAS number space. Toxic chemical category codes are listed below and can also be found in Table II.

#### Toxic Chemical Category Codes

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N171	Ethylenebisdithiocarbamic acid, salts and esters, (EBDCs)
N230	Certain Glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds

N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes
N590	Polycyclic aromatic compounds
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine compounds
N760	Thallium compounds
N874	Warfarin and Salts
N982	Zinc compounds

If you are making a trade secret claim, you must report the CAS number or category code on your unsanitized Form R and unsanitized substantiation form. Do not include the CAS number or category code on your sanitized Form R or sanitized substantiation form.

#### 1.2 Toxic Chemical or Chemical Category Name

Enter the name of the toxic chemical or chemical category exactly as it appears in Table II. If the toxic chemical name is followed by a synonym in parentheses, report the chemical by the name that directly follows the CAS number (i.e., not the synonym). If the listed toxic chemical identity is actually a product trade name (e.g., dicofol), the 9th *Collective Index* name is listed below it in brackets. You may report either name in this case.

**Do not** list the name of a chemical that does not appear in Table II, such as individual members of a reportable toxic chemical category. For example, if you use silver nitrate, **do not** report silver nitrate with its CAS number. Report this chemical as "silver compounds" with its category code, N740.

If you are making a trade secret claim, you must report the specific toxic chemical identity on your unsanitized Form R and unsanitized substantiation form. Do not report the name of the toxic chemical on your sanitized Form R or sanitized substantiation form. Include a generic name in Part II, Section 1.3 of your sanitized Form R report.

EPA requests that the toxic chemical, chemical category, or generic name also be placed in the box marked "Chemical, Category, or Generic Name" in the upper right-hand corner on all pages of Form R. While this space is not a required data element, providing this information will help you in preparing a complete Form R report.

### 1.3 Generic Chemical Name

Complete Section 1.3 only if you are claiming the specific toxic chemical identity of the toxic chemical as a trade secret and have marked the trade secret block in Part I, Section 2.1 on page 1 of Form R. Enter a generic chemical name that is descriptive of the chemical structure. You must limit the generic name to seventy characters (e.g., numbers, letters, spaces, punctuation) or less. Do not enter mixture names in Section 1.3; see Section 2 below.

In-house plant codes and other substitute names that are not structurally descriptive of the toxic chemical identity being withheld as a trade secret are not acceptable as a generic name. The generic name must appear on both sanitized and unsanitized Form R's, and the name must be the same as that used on your substantiation forms.

### Section 2. Mixture Component Identity

Do not complete this section if you have completed Section 1 of Part II. Report the generic name provided to you by your supplier in this section if your supplier is claiming the chemical identity proprietary or trade secret. Do not answer "yes" in Part I, Section 2.1 on page 1 of the form if you complete this section. You do not need to supply trade secret substantiation forms for this toxic chemical because it is your supplier who is claiming the chemical identity a trade secret.

#### 2.1 Generic Chemical Name Provided by Supplier

Enter the generic chemical name in this section only if the following three conditions apply:

1. You determine that the mixture contains a listed toxic chemical but the only identity you have for that chemical is a generic name;
2. You know either the specific concentration of that toxic chemical component or a maximum or average concentration level; and
3. You multiply the concentration level by the total annual amount of the whole mixture processed or otherwise used and determine that you meet the process or otherwise use threshold for that single, generically identified mixture component.

#### Example 6: Mixture Containing Unidentified Toxic Chemical

Your facility uses 20,000 pounds of a solvent that your supplier has told you contains 80 percent "chlorinated aromatic," their generic name for a toxic chemical subject to reporting under section 313. You therefore know that you have used 16,000 pounds of some listed toxic chemical which exceeds the "otherwise use" threshold. You would file a Form R and enter the name "chlorinated aromatic" in the space provided in Part II, Section 2.

### Section 3. Activities and Uses of the Toxic Chemical at the Facility

Indicate whether the toxic chemical is manufactured (including imported), processed, or otherwise used at the facility and the general nature of such activities and uses at the facility during the calendar year (see example 7, pg. 24, and figure 3, pg. 26). Report activities that take place only at your facility, not activities that take place at other facilities involving your products. You must check all the boxes in this section that apply. If you are a manufacturer of the toxic chemical, you must check (a) and/or (b), and at least one of (c), (d), (e), or (f) in Section 3.1. Refer to the definitions of "manufacture," "process," and "otherwise use" in the general information section of these instructions or Part 40, Section 372.3 of the *Code of Federal Regulations* for additional explanations.

#### 3.1 Manufacture the Toxic Chemical

Persons who manufacture (including import) the toxic chemical must check at least one of the following:

- a. *Produce* - the toxic chemical is produced at the facility.
- b. *Import* - the toxic chemical is imported by the facility into the Customs Territory of the United States. (See Section B.3.a of these instructions for further clarification of import.)

And check at least one of the following:

- c. *For on-site use/processing* - the toxic chemical is produced or imported and then further processed or otherwise used at the same facility. If you check this block, you must also check at least one item in Part II, Section 3.2 or 3.3.

- d. *For sale/distribution* - the toxic chemical is produced or imported specifically for sale or distribution outside the manufacturing facility.
- e. *As a byproduct* - the toxic chemical is produced coincidentally during the production, processing, otherwise use, or disposal of another chemical substance or mixture and, following its production, is separated from that other chemical substance or mixture. Toxic chemicals produced and released as a result of waste treatment or disposal are also considered byproducts.
- f. *As an impurity* - the toxic chemical is produced coincidentally as a result of the manufacture, processing, or otherwise use of another chemical but is not separated and remains primarily in the mixture or product with that other chemical.

### 3.2 Process the Toxic Chemical (incorporative activities)

- a. *As a reactant* - A natural or synthetic toxic chemical used in chemical reactions for the manufacture of another chemical substance or of a product. Includes, but is not limited to, feedstocks, raw materials, intermediates, and initiators.
- b. *As a formulation component* - A toxic chemical added to a product (or product mixture) prior to further distribution of the product that acts as a performance enhancer during use of the product. Examples of toxic chemicals used in this capacity include, but are not limited to, additives, dyes, reaction diluents, initiators, solvents, inhibitors, emulsifiers, surfactants, lubricants, flame retardants, and rheological modifiers.
- c. *As an article component* - A toxic chemical that becomes an integral component of an article distributed for industrial, trade, or consumer use. One example is the pigment components of paint applied to a chair that is sold.
- d. *Repackaging* - Processing or preparation of a toxic chemical (or product mixture) for distribution in commerce in a different form, state, or quantity.

This includes, but is not limited to, the transfer of material from a bulk container, such as a tank truck to smaller containers such as cans or bottles.

### 3.3 Otherwise Use the Toxic Chemical (non-incorporative activities)

- a. *As a chemical processing aid* - A toxic chemical that is added to a reaction mixture to aid in the manufacture or synthesis of another chemical substance but is not intended to remain in or become part of the product or product mixture. Examples of such toxic chemicals include, but are not limited to, process solvents, catalysts, inhibitors, initiators, reaction terminators, and solution buffers.
- b. *As a manufacturing aid* - A toxic chemical that aids the manufacturing process but does not become part of the resulting product and is not added to the reaction mixture during the manufacture or synthesis of another chemical substance. Examples include, but are not limited to, process lubricants, metalworking fluids, coolants, refrigerants, and hydraulic fluids.
- c. *Ancillary or other use* - A toxic chemical that is used at a facility for purposes other than aiding chemical processing or manufacturing as described above. Examples include, but are not limited to, cleaners, degreasers, lubricants, fuels, and toxic chemicals used for treating wastes.

## Section 4. Maximum Amount of the Toxic Chemical On-Site at Any Time During the Calendar Year

For data element 4.1 of Part II, insert the code (see codes on next page) that indicates the maximum quantity of the toxic chemical (e.g., in storage tanks, process vessels, on-site shipping containers) at your facility at any time during the calendar year. If the toxic chemical was present at several locations within your facility, use the maximum total amount present at the entire facility at any one time.

Weight Range in Pounds		
Range Code	From...	To....
01	0	99
02	100	999
03	1,000	9,999
04	10,000	99,999
05	100,000	999,999
06	1,000,000	9,999,999
07	10,000,000	49,999,999
08	50,000,000	99,999,999
09	100,000,000	499,999,999
10	500,000,000	999,999,999
11	1 billion	more than 1 billion

## Section 5. Quantity of the Toxic Chemical Entering each Environmental Medium

In Section 5, you must account for the total aggregate releases of the toxic chemical to the environment from your facility for the calendar year.

Do not enter the values in Section 5 in gallons, tons, liters, or any measure other than pounds. You must also enter the values as whole numbers. Numbers following a decimal point are not acceptable.

Releases to the environment include emissions to the air, discharges to surface waters, and on-site releases to land and underground injection wells. If you have no releases to a particular media (e.g., stack air), you must check the "NA" box or enter zero; do not leave any part of Section 5 blank.

If the toxic chemical present at your facility was part of a mixture or trade name product, determine the maximum quantity of the toxic chemical present at the facility by calculating the weight percent of the toxic chemical only.

Do not include the weight of the entire mixture or trade name product. This data may be found in the Tier II form your facility may have prepared under Section 312 of EPCRA. See Part 40, Section 372.30(b) of the *Code of Federal Regulations* for further information on how to calculate the weight of the toxic chemical in the mixture or trade name product. For toxic chemical categories (e.g., nickel compounds), include all chemical compounds in the category when calculating the maximum amount, using the entire weight of each compound.

You are not required to count, as a release, quantities of a toxic chemical that are lost due to natural weathering or corrosion, normal/natural degradation of a product, or normal migration of a toxic chemical from a product. For example, amounts of a listed toxic chemical that migrate from plastic products in storage do not have to be counted in estimates of releases of that toxic chemical from the facility. Also, amounts of listed metal compounds (e.g., copper compounds) that are lost due to normal corrosion of process equipment do not have to be considered as releases of copper compounds from the facility.

### Example 7: Activities and Uses of Toxic Chemicals

In the example below, it is assumed that the threshold quantities for manufacture, process, or otherwise use (25,000 pounds, 25,000 pounds, and 10,000 pounds, respectively) have been exceeded and the reporting of listed toxic chemicals is therefore required.

Your facility manufactures diazomethane. Fifty percent is sold as a product. The remaining 50 percent is reacted with alpha-naphthylamine, forming N-methyl-alpha-naphthylamine and also producing nitrogen gas.

- Your company manufactures diazomethane, a listed toxic chemical, both for sale/distribution as a commercial product and for on-site use/processing as a feedstock in the N-methyl-alpha-naphthylamine production process. Because the diazomethane is a reactant, it is also processed. See Figure 3 for how this information would be reported in Part II, Section 3 of Form R.
- Your facility also processes alpha-naphthylamine, as a reactant to produce N-methyl-alpha-naphthylamine, a chemical not on the section 313 list.

All releases of the toxic chemical to the air must be classified as either point or non-point emissions, and included in the total quantity reported for these releases in Sections 5.1 and 5.2. Instructions for columns A, B, and C follow the discussions of Sections 5.1 through 5.5.

### **5.1 Fugitive or Non-Point Air Emissions**

Report the total of all releases of the toxic chemical to the air that **are not** released through stacks, vents, ducts, pipes, or any other confined air stream. You must include (1) fugitive equipment leaks from valves, pump seals, flanges, compressors, sampling connections, open-ended lines, etc.; (2) evaporative losses from surface impoundments and spills; (3) releases from building ventilation systems; and (4) any other fugitive or non-point air emissions. Engineering estimates and mass balance calculations (using purchase records, inventories, engineering knowledge or process specifications of the quantity of the toxic chemical entering product, hazardous waste manifests, or monitoring records) may be useful in estimating fugitive emissions.

### **5.2 Stack or Point Air Emissions**

Report the total of all releases of the toxic chemical to the air that occur through stacks, vents, ducts, pipes, or other confined air streams. You must include storage tank emissions. Air releases from air pollution control equipment would generally fall in this category. Monitoring data, engineering estimates, and mass balance calculations may help you to complete this section.

### **5.3 Discharges to Receiving Streams or Water Bodies**

In Section 5.3 you are to enter the name(s) of the stream(s) or water body(ies) to which your facility directly discharges the toxic chemical on which you are reporting. A total of three spaces are provided on page 2 of Form R. Enter the name of each receiving stream or surface water body to which the toxic chemical being reported is directly discharged. Report the name of the receiving stream or water body as it appears on the NPDES permit for the facility. If the stream is not covered by a permit, enter the name of the off-site stream or water body by which it is publicly known. Do not list a series of streams through which the toxic chemical flows. Be sure to include the receiving stream(s) or water body(ies) that receive stormwater runoff from your facility. Do not enter names of streams to which off-site treatment plants discharge. Enter "NA" in Section 5.3.1. if you do not discharge the listed toxic chemical to surface water bodies.

Enter the total annual amount of the toxic chemical released from all discharge points at the facility to each receiving stream or water body. Include process outfalls such as pipes and open trenches, releases from on-site wastewater treatment systems, and the contribution from stormwater runoff, if applicable (see instructions for column C below). Do not include discharges to a POTW or other off-site wastewater treatment facilities in this section. These off-site transfers must be reported in Part II, Section 6 of Form R.

Wastewater analyses and flowmeter data may provide the quantities you will need to complete this section.

Discharges of listed acids (e.g., hydrogen fluoride; nitric acid; and phosphoric acid;) may be reported as zero if the discharges have been neutralized to pH 6 or above. If wastewater containing a listed mineral acid is discharged below pH 6, then releases of the mineral acid must be reported. In this case, pH measurements may be used to estimate the amount of mineral acid released.

### **5.4.1 Underground Injection On-Site to Class I Wells**

Enter the total amount of the toxic chemical that was injected into Class I wells at the facility. Chemical analyses, injection rate meters, and RCRA Hazardous Waste Generator Reports are good sources for obtaining data that will be useful in completing this section. Check the Not Applicable "NA" box in Section 5.4.1 if you do not inject the reported toxic chemical into Class I underground wells.

### **5.4.2 Underground Injection On-Site to Class II-V Wells**

Enter the total amount of the toxic chemical that was injected into wells at the facility other than Class I wells. Chemical analyses and injection rate meters are good sources for obtaining data that will be useful in completing this section. Check the Not Applicable "NA" box in Section 5.4.2 if you do not inject the reported toxic chemical into Class II-V underground wells

### **5.5 Disposal to Land On-Site**

Five predefined subcategories for reporting quantities released to land within the boundaries of the facility are provided. Do not report land disposal at off-site locations in this section. Accident histories and spill records may be useful (e.g., release notification reports required under Section 304 of EPCRA and accident histories required under Section 112(r)(7)(B)(ii) of the Clean Air Act).

Figure 3

SECTION 1. TOXIC CHEMICAL IDENTITY		(Important: DO NOT complete this sections if you complete Section 2 below.)
1.1	CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.) 334-88-3	
1.2	Toxic Chemical or Chemical category Name (Important: Enter only one name exactly as it appears on the Section 313 list.) Diazomethane	
1.3	Generic Chemical Name (Important: Complete only if Part 1, Section 2.1 is checked "yes". Generic Name must be structurally descriptive.)	

SECTION 2. MIXTURE COMPONENT IDENTITY		(Important: DO NOT complete this section if you complete Section 1 above.)
2.1	Generic Chemical Name Provided by Supplier (Important: Maximum of 70 Characters, Including Numbers, letters, spaces, and punctuation.)	

SECTION 3. ACTIVITIES AND USES OF THE TOXIC CHEMICAL AT THE FACILITY					
(IMPORTANT: CHECK ALL THAT APPLY.)					
3.1	Manufacture the toxic chemical:	3.2	Process the toxic chemical:	3.3	Otherwise use the toxic chemical:
a.	<input checked="" type="checkbox"/> Produce    b. <input type="checkbox"/> Import				
If produce or import:					
c.	<input checked="" type="checkbox"/> For on-site use/processing	a.	<input checked="" type="checkbox"/> As a reactant	b.	<input type="checkbox"/> As a chemical processing aid
d.	<input checked="" type="checkbox"/> For sale/distribution	b.	<input type="checkbox"/> As a formulation component	b.	<input type="checkbox"/> As a manufacturing aid
e.	<input type="checkbox"/> As a byproduct	c.	<input type="checkbox"/> As an article component	c.	<input type="checkbox"/> Ancillary or other use
f.	<input type="checkbox"/> As an impurity	d.	<input type="checkbox"/> Repackaging		

**5.5.1A RCRA Subtitle C landfills** —Typically, the ultimate disposal method for hazardous wastes is landfilling in RCRA Subtitle C landfills. Enter the total amount of the toxic chemical that was placed in RCRA Subtitle C landfills. Leaks from landfills need not be reported as a release because the amount of the toxic chemical has already been reported as a release.

**5.5.1B Other landfills** — Enter the total amount of the toxic chemical that was placed in landfills other than RCRA Subtitle C landfills. Leaks from landfills need not be reported as a release because the amount of the toxic chemical has already been reported as a release.

**5.5.2 Land treatment/application farming** — Land treatment is a disposal method in which a waste containing a listed toxic chemical is applied onto or incorporated into soil. While this disposal method is considered a release to land, any volatilization of listed toxic chemicals into the air occurring during the disposal operation must be included in the total fugitive air releases reported in Part II, Section 5.1 of Form R.

**5.5.3 Surface impoundment** — A surface impoundment is a natural topographic depression, man-made excavation, or diked area formed primarily of earthen materials (although some may be lined with man-made materials), which is designed to hold an accumulation of liquid wastes or wastes containing free liquids. Examples of surface impoundments are holding, settling, storage, and elevation pits; ponds, and lagoons. If the pit, pond, or lagoon is intended for storage or holding without discharge, it would be considered to be a surface impoundment used as a final disposal method.

Quantities of the toxic chemical released to surface impoundments that are used merely as part of a wastewater treatment process generally must not be reported in this section. However, if the impoundment accumulates sludges containing the toxic chemical, you must include an estimate in this section unless the sludges are removed and otherwise disposed (in which case they should be reported under the appropriate section of the form). For the purposes of this reporting, storage tanks are not considered to be a type of disposal and are not to be reported in this section of Form R.

**5.5.4 Other Disposal**—Includes any amount of a listed toxic chemical released to land that does not fit the categories of landfills, land treatment, or surface impoundment. This other disposal would include any spills or leaks of listed toxic chemicals to land. For example,

2,000 pounds of benzene leaks from a underground pipeline into the land at a facility. Because the pipe was only a few feet from the surface at the erupt point, 30 percent of the benzene evaporates into the air. The 600 pounds released to the air would be reported as a fugitive air release (Part II, Section 5.1) and the remaining 1,400 pounds would be reported as a release to land, other disposal (Part II, Section 5.5.4).

## 5. Column A Total Release

Only on-site releases of the toxic chemical to the environment for the calendar year are to be reported in this section of Form R. The total releases from your facility **do not** include transfers or shipments of the toxic chemical from your facility for sale or distribution in commerce, or of wastes to other facilities for waste treatment, recycling, disposal, or energy recovery (see Part II, Section 6 of these Instructions). Both routine releases, such as fugitive air emissions, and accidental or non-routine releases, such as chemical spills, must be included in your estimate of the quantity released. EPA requires no more than two significant digits when reporting releases (e.g., 7,521 pounds would be reported as 7,500 pounds).

**Releases of Less Than 1,000 Pounds.** For total annual releases or off-site transfers of a toxic chemical from the facility of less than 1,000 pounds, the amount may be reported either as an estimate or by using the range codes that have been developed. The reporting range codes to be used are:

<u>Code</u>	<u>Range (pounds)</u>
A	1-10
B	11-499
C	500-999

Do not enter a range code and an estimate in the same box in column A. Total annual releases or off-site transfers of a toxic chemical from the facility of less than 1 pound may be reported in one of several ways. You should round the value to the nearest pound. If the estimate is 0.5 pounds or greater, you should either enter the range code "A" for "1-10" or enter "1" in column A. If the release is less than 0.5 pounds, you may round to zero and enter "0" in column A.

Note that total annual releases of less than 0.5 pounds from the processing or otherwise use of an article maintain the article status of that item. Thus, if the only releases you have are from processing an article, and such releases are less than 0.5 pounds per year, you are not required to submit a report for that toxic chemical. The 0.5

pound release determination does not apply to just a single article. It applies to the cumulative releases from the processing or otherwise use of the same type of article (e.g., sheet metal or plastic film) that occurs over the course of the calendar year.

**Zero Releases.** If you have no releases of a toxic chemical to a particular medium, report either NA, not applicable, or 0, as appropriate. Report NA only when there is no possibility a release could have occurred to a specific media or off-site location. If a release to a specific media or off-site location could have occurred, but either did not occur or the annual aggregate release was less than 0.5 pounds, report zero. However, if you report zero releases, a basis of estimate must be provided in column B.

For example, if nitric acid is involved in the facility's processing activities but the facility neutralizes the wastes to a pH of 6 or above, then the facility reports a 0 release for the toxic chemical. If the facility has no underground injection well, "NA" would be written in Part I, Section 4.10 and checked in Part II, Section 5.4.1 and 5.4.2 of Form R. Also, if the facility does not landfill the acidic waste, NA would be checked in Part II, Section 5.5.1.B of Form R.

**Releases of 1,000 Pounds or More.** For releases to any medium that amount to 1,000 pounds or more for the year, you must provide an estimate in pounds per year in column A. Any estimate provided in column A should be reported to no more than two significant figures. This estimate should be in whole numbers. Do not use decimal points.

**Calculating Releases.** To provide the release information required in column A in this section, you must use all readily available data (including relevant monitoring data and emissions measurements) collected at your facility to meet other regulatory requirements or as part of routine plant operations, to the extent you have such data for the toxic chemical.

When relevant monitoring data or emission measurements are not readily available, reasonable estimates of the amounts released must be made using published emission factors, material balance calculations, or engineering calculations. You may not use emission factors or calculations to estimate releases if more accurate data are available.

No additional monitoring or measurement of the quantities or concentrations of any toxic chemical released into the environment, or of the frequency of such releases, beyond that which is required under other provisions of law or regulation or as part of routine plant operations, is required for the purpose of completing Form R.

You must estimate, as accurately as possible, the quantity (in pounds) of the toxic chemical or chemical category that is released annually to each environmental medium. Include only the quantity of the toxic chemical in this estimate. If the toxic chemical present at your facility was part of a mixture or trade name product, calculate only the releases of the toxic chemical, not the other components of the mixture or trade name product. If you are only able to estimate the releases of the mixture or trade name product as a whole, you must assume that the release of the toxic chemical is proportional to its concentration in the mixture or trade name product. See Part 40, Section 372.30(b) of the *Code of Federal Regulations* for further information on how to calculate the concentration and weight of the toxic chemical in the mixture or trade name product.

If you are reporting a toxic chemical category listed in Table II of these instructions rather than a specific toxic chemical, you must combine the release data for all chemicals in the listed toxic chemical category (e.g., all glycol ethers or all chlorophenols) and report the aggregate amount for that toxic chemical category. Do not report releases of each individual toxic chemical in that category separately. For example, if your facility releases 3,000 pounds per year of 2-chlorophenol, 4,000 pounds per year of 3-chlorophenol, and 4,000 pounds per year of 4-chlorophenol to air as fugitive emissions, you should report that your facility releases 11,000 pounds per year of chlorophenols to air as fugitive emissions in Part II, Section 5.1.

For aqueous ammonia solution, releases should be reported based on 10% of total aqueous ammonia. Ammonia evaporating from aqueous ammonia solutions is considered to be anhydrous ammonia; therefore 100% of the anhydrous ammonia should be reported if it is released to the environment. For dissociable nitrate compounds, release estimates should be based on the weight of the nitrate only.

For metal compound categories (e.g., chromium compounds), report releases of only the parent metal. For example, a user of various inorganic chromium salts would report the total chromium released regardless of the chemical form (e.g., as the original salts, chromium oxide) and exclude any contribution to mass made by other species in the molecule.

## 5. Column B Basis of Estimate

For each release estimate, you are required to indicate the principal method used to determine the amount of release reported. You will enter a letter code that identifies the method that applies to the largest portion of the total estimated release quantity.

The codes are as follows:

- M- Estimate is based on monitoring data or measurements for the toxic chemical as transferred to an off-site facility.
- C- Estimate is based on mass balance calculations, such as calculation of the amount of the toxic chemical in wastes entering and leaving process equipment.
- E- Estimate is based on published emission factors, such as those relating release quantity to throughput or equipment type (e.g., air emission factors).
- O- Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a treatment, even if the composition of the waste before treatment was fully identified through monitoring data.

For example, if 40 percent of stack emissions of the reported toxic chemical were derived using monitoring data, 30 percent by mass balance, and 30 percent by emission factors, you would enter the code letter "M" for monitoring.

If the monitoring data, mass balance, or emission factor used to estimate the release is not specific to the toxic chemical being reported, the form should identify the estimate as based on engineering calculations or best engineering judgment.

If a mass balance calculation yields the flow rate of a waste, but the quantity of reported toxic chemical in the waste is based on solubility data, report "O" because "engineering calculations" were used as the basis of estimate of the quantity of the toxic chemical in the waste.

If the concentration of the toxic chemical in the waste was measured by monitoring equipment and the flow rate of the waste was determined by mass balance, then the primary basis of the estimate is "monitoring" (M). Even

though a mass balance calculation also contributed to the estimate, "monitoring" should be indicated because monitoring data was used to estimate the concentration of the waste.

Mass balance (C) should only be indicated if it is **directly** used to calculate the mass (weight) of toxic chemical released. Monitoring data should be indicated as the basis of estimate **only** if the toxic chemical concentration is measured in the waste being released into the environment. Monitoring data should **not** be indicated, for example, if the monitoring data relates to a concentration of the toxic chemical in other process streams within the facility.

It is important to realize that the accuracy and proficiency of release estimation will improve over time. However, submitters are not required to use new emission factors or estimation techniques to revise previous Form R submissions.

## 5. Column C Percent From Stormwater

This column relates only to Section 5.3 — discharges to receiving streams or water bodies. If your facility has monitoring data on the amount of the toxic chemical in stormwater runoff (including unchanneled runoff), you must include that quantity of the toxic chemical in your water release in column A **and** indicate the percentage of the total quantity (by weight) of the toxic chemical contributed by stormwater in column C (Section 5.3C).

If your facility has monitoring data on the toxic chemical and an estimate of flow rate, you must use this data to determine the percent stormwater.

If you have monitored stormwater but did not detect the toxic chemical, enter zero (0) in column C. If your facility has no stormwater monitoring data for the chemical, enter not applicable, "NA," in this space on the form.

If your facility does not have periodic measurements of stormwater releases of the toxic chemical, but has submitted chemical-specific monitoring data in permit applications, then these data must be used to calculate the percent contribution from stormwater. Rates of flow can be estimated by multiplying the annual amount of rainfall by the land area of the facility and then multiplying that figure by the runoff coefficient. The runoff coefficient represents the fraction of rainfall that does not seep into the ground but runs off as stormwater. The runoff coefficient is directly related to how the land in the drainage area is used. (See table on next page)

<u>Description of Land Area</u>	<u>Runoff Coefficient</u>
<b>Business</b>	
Downtown areas	0.70-0.95
Neighborhood areas	0.50-0.70
<b>Industrial</b>	
Light areas	0.50-0.80
Heavy areas	0.60-0.90
Railroad yard areas	0.20-0.40
Unimproved areas	0.10-0.30
<b>Streets</b>	
Asphaltic	0.70-0.95
Concrete	0.80-0.95
Brick	0.70-0.85
Drives and walks	0.70-0.85
Roofs	0.75-0.95
<b>Lawns: Sandy Soil</b>	
Flat, 2%	0.05-0.10
Average, 2-7%	0.10-0.15
Steep, 7%	0.15-0.20
<b>Lawns: Heavy Soil</b>	
Flat, 2%	0.13-0.17
Average, 2-7%	0.18-0.22
Steep, 7%	0.25-0.35

Choose the most appropriate runoff coefficient for your site or calculate a weighted-average coefficient, which takes into account different types of land use at your facility:

$$\text{Weighted-average runoff coefficient} = (\text{Area 1 \% of total})(C_1) + (\text{Area 2 \% of total})(C_2) + (\text{Area 3 \% of total})(C_3) + \dots + (\text{Area } i \% \text{ of total})(C_i)$$

where  $C_i$  = runoff coefficient for a specific land use of Area  $i$ .

## Section 6 Transfers of the Toxic Chemical in Wastes to Off-Site Locations

You must report in this section the total annual quantity of the toxic chemical in wastes sent to any off-site facility for the purposes of waste treatment, disposal, recycling, or energy recovery. Report the total amount of the toxic chemical transferred off-site after any on-site waste treatment, recycling, or removal is completed. Report zero for transfers of listed mineral acids if they have been neutralized to a pH of 6 or above prior to discharge to a Publicly Owned Treatment Works (POTW).

If you do not discharge wastewater containing the reported toxic chemical to a POTW, enter not applicable, NA, in the box for the POTW's name in Section 6.1.B. If you do not ship or transfer wastes containing the reported toxic chemical to other off-site locations, enter not applicable, NA, in the box for the off-site location's EPA Identification Number in Section 6.2.

**Important:** You must number the boxes for reporting the information for each POTW or other off-site location in Sections 6.1 and 6.2. In the upper left hand corner of each box, the section number is either 6.1.B. or 6.2.

If you report a transfer of the listed toxic chemical to one or more POTWs, number the boxes in Section 6.1.B as 6.1.B.1, 6.1.B.2, etc. If you transfer the listed toxic chemical to more than two POTWs, photocopy page 3 of Form R as many times as necessary and then number the boxes consecutively for each POTW. At the bottom of Section 6. you will find instructions for indicating the total number of page 3s that you are submitting as part of Form R, as well as indicating the sequence of those pages. For example, your facility transfers the reported toxic chemical in wastewaters to three POTWs. You would photocopy page 3 once, indicate at the bottom of each page 3 that there are a total of two page 3s and then indicate the first and second page 3. The boxes for the two POTWs on the first page 3 would be numbered 6.1.B.1 and 6.1.B.2, while the box for third POTW on the second page 3 would be numbered 6.1.B.3.

If you report a transfer of the listed toxic chemical to one or more other off-site locations, number the boxes in section 6.2 as 6.2.1, 6.2.2, etc. If you transfer the listed toxic chemical to more than two other off-site locations, photocopy page 4 of Form R as many times as necessary and then number the boxes consecutively for each off-site location. At the bottom of Section 6.2 you will find instructions for indicating the total number of page 4s that you are submitting as part of Form R as well as indicating the sequence of those pages. For example, your facility transfers the reported toxic chemical to three other off-site locations. You would photocopy page 4 once, indicate at the bottom of Section 6.2 on each page 4 that there are a total of two page 4s and then indicate the first and second page 4. The boxes for the two off-site locations on the first page 4 would be numbered 6.2.1 and 6.2.2, while the box for the third off-site location on the second page 4 would be numbered 6.2.3.

### Example 8: Stormwater Runoff

Your facility is located in a semi-arid region of the United States which has an annual precipitation (including snowfall) of 12 inches of rain. (Snowfall should be converted to the equivalent inches of rain; assume one foot of snow is equivalent to one inch of rain.) The total area covered by your facility is 42 acres (about 170,000 square meters or 1,829,520 square feet). The area of your facility is 50 percent unimproved area, 10 percent asphaltic streets, and 40 percent concrete pavement.

The total stormwater runoff from your facility is therefore calculated as follows:

<u>Land Use</u>	<u>% Total Area</u>	<u>Runoff Coefficient</u>
Unimproved area	50	0.20
Asphaltic streets	10	0.85
Concrete pavement	40	0.90

Weighted-average runoff coefficient = (50%) x (0.20) + (10%) x (0.85) + (40%) x (0.90) = 0.545

(Rainfall) x (land area) x (conversion factor) x (runoff coefficient) = stormwater runoff

$$(1 \text{ foot}) \times (1,829,520 \text{ ft}^2) \times (7.48 \text{ gal/ft}^3) \times (0.545) = 7,458,221 \text{ gallons/year}$$

Total stormwater runoff = 7.45 million gallons/year

Your stormwater monitoring data shows that the average concentration of zinc in the stormwater runoff from your facility from a biocide containing a zinc compound is 1.4 milligrams per liter. The total amount of zinc discharged to surface water through the plant wastewater discharge (non-stormwater) is 250 pounds per year. The total amount of zinc discharged with stormwater is:

$$(7,458,000 \text{ gallons stormwater}) \times (3.785 \text{ liters/gallon}) = 28,228,530 \text{ liters stormwater}$$

$$(28,228,530 \text{ liters stormwater}) \times (1.4 \text{ mg.zinc/liter}) = 31,519.9 \text{ grams zinc} = 87 \text{ pounds zinc.}$$

The total amount of zinc discharged from all sources of your facility is:

$$\begin{array}{r} 250 \text{ pounds zinc from wastewater discharged} \\ +87 \text{ pounds zinc from stormwater runoff} \\ \hline 337 \text{ pounds zinc total water discharged} \end{array}$$

Round to 340 pounds of zinc reported in section 5.3 column A on Form R

The percentage of zinc discharge through stormwater reported in section 5.3 column C on Form is:

$$87/337 \times 100 = 26\%$$

## 6.1 Discharges to Publicly Owned Treatment Works (POTW)

In Section 6.1.A, estimate the quantity of the reported toxic chemical transferred to all POTWs and the basis upon which the estimate was made. In Section 6.1.B, enter the name and address for each POTW to which your facility discharges wastewater containing the reported toxic chemical.

If you do not discharge wastewater containing the reported toxic chemical to a POTW, enter not applicable, NA, in the box for the POTW's name in Section 6.1.B.

### 6.1.A.1 Total Transfers

Enter the total amount, in pounds, of the reported toxic chemical that is contained in the wastewaters transferred to all POTWs. Do not enter the total poundage of the wastewaters. If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code. The following reporting range codes are to be used:

Code	Reporting Range (in pounds)
A	1-10
B	11-499
C	500-999

### 6.1.A.2 Basis of Estimate

You must identify the basis for your estimate of the total quantity of the reported toxic chemical in the wastewaters transferred to all POTWs. Enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

- M- Estimate is based on monitoring data or measurements for the toxic chemical as transferred to an off-site facility.
- C - Estimate is based on mass balance calculations, such as calculation of the amount of the toxic chemical in streams entering and leaving process equipment.
- E - Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).

- O - Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

If you transfer a toxic chemical to more than one POTW, you should report the basis of estimate that was used to determine the largest percentage of the toxic chemical that was transferred.

## 6.2 Transfers to Other Off-Site Locations

In Section 6.2 enter the EPA Identification Number, name, and address for each off-site location to which your facility ships or transfers wastes containing the reported toxic chemical for the purposes of waste treatment, disposal, recycling, or energy recovery. Also estimate the quantity of the reported toxic chemical transferred and the basis upon which the estimate was made. If appropriate, you must report multiple activities for each off-site location. For example, if your facility sends a reported toxic chemical in waste to an off-site location where some of the toxic chemical is to be recycled while the remainder of the quantity transferred is to be treated, you must report both the waste treatment and recycle activities, along with the quantity associated with each activity.

If your facility transfers a reported toxic chemical to an off-site location and that off-site location performs more than four activities on that chemical, provide the necessary information in Box 6.2.1 for the off-site facility and the first four activities. Provide the information on the remainder of the activities in Box 6.2.2 and provide again the off-site facility identification and location information.

If you do not ship or transfer wastes containing the reported toxic chemical to other off-site locations, enter not applicable, NA, in the box for the off-site location's EPA Identification Number (defined in 40 CFR 260.10 and therefore commonly referred to as the RCRA ID Number). This number may be found on the Uniform Hazardous Waste Manifest, which is required by RCRA regulations. If you ship or transfer wastes containing a toxic chemical and the off-site location does not have an EPA Identification Number (e.g., it does not accept RCRA hazardous wastes or the wastes in question are not classified as hazardous), enter NA in the box for the off-site location EPA Identification Number. If you ship or

transfer the reported toxic chemical in wastes to another country, enter the Federal Information Processing Standards (FIPS) code for that country in the county field of the address for the off-site facility. The most commonly used FIPS codes are listed below. To obtain a FIPS code for a country not listed here, contact the EPCRA Hotline.

The following is an abridged list of countries to which a U.S. facility might ship a listed toxic chemical.

<u>Country</u>	<u>Code</u>
Argentina	AR
Belgium	BE
Bolivia	BL
Brazil	BR
Canada	CA
Chile	CI
Columbia	CO
Costa Rica	CS
Cuba	CU
Ecuador	EC
El Salvador	ES
France	FR
Guatemala	GT
Honduras	HO
Ireland	EI
Italy	IT
Mexico	MX
Nicaragua	NU
Panama	PM
Paraguay	PA
Peru	PE
Portugal	PO
Spain	SP
Switzerland	SZ
United Kingdom	UK
Uruguay	UY
Venezuela	VE

**Note:** You must distinguish between incineration, which is always considered waste treatment, and combustion where energy is actually recovered. When the reported toxic chemical has a significant heat of combustion value, and is transferred to an off-site location for combustion in an industrial kiln, furnace, or boiler, report the quantity as used for the purposes of energy recovery. However, toxic chemicals with little or no heat of combustion value (e.g., metals, chlorofluorocarbons) must be reported as treated.

## 6.2 Column A Total Transfers

For each off-site location, enter the total amount, in pounds, of the toxic chemical that is contained in the waste transferred to that location. Do not enter the total poundage of the waste. If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code. The following reporting range codes are to be used:

<u>Code</u>	<u>Reporting Range (in pounds)</u>
A	1-10
B	11-499
C	500-999

If you transfer the toxic chemical in wastes to an off-site facility for distinct and multiple purposes, you must report those activities for each off-site location, along with the quantity of the reported toxic chemical associated with each activity. For example, your facility transfers a total of 15,000 pounds of toluene to an off-site location that will use 5,000 pounds for the purposes of energy recovery, enter 7,500 pounds into a recovery process, and dispose of the remaining 2,500 pounds. These quantities and the associated activity codes must be reported separately in Section 6.2. (See Figure 4 for a hypothetical Section 6.2 completed for two off-site location, one of which receives the transfer of 15,000 pounds of toluene as detailed.) If you need to report more than four off-site transfers (involving different waste management) to one location, continue reporting of these transfers by listing the same location in the next off-site location section.

Do not double or multiple count amounts transferred off-site. For example, when a reported toxic chemical is sent to an off-site facility for sequential activities and the specific quantities associated with each activity are unknown, report only a single quantity (the total quantity transferred to that off-site location) along with a single activity code. In such a case, report the activity applied to the majority of the reported toxic chemical sent off-site, not the ultimate disposition of the toxic chemical. For example, when a toxic chemical is first treated and then recovered with the majority of the toxic chemical being treated and only a fraction subsequently recovered, report the appropriate waste treatment activity along with the quantity.

### Example 9: Calculating Releases and Transfers

Your facility disposes of 14,000 pounds of lead chromate ( $\text{PbCrO}_4 \cdot \text{PbO}$ ) in an on-site landfill and transfers 16,000 pounds of lead selenite ( $\text{PbSeO}_4$ ) to an off-site land disposal facility. You would therefore be submitting three separate reports on the following: lead compounds, selenium compounds, and chromium compounds. However, the quantities you would be reporting would be the pounds of "parent" metal being released or transferred off-site. All quantities are based on mass balance calculations (See Section 5.B for information on Basis of Estimate and Section 6.C for waste treatment or disposal codes and information on transfers of toxic chemicals in wastes). You would calculate releases of lead, chromium, and selenium by first determining the percentage by weight of these metals in the materials you use as follows:

Lead Chromate ( $\text{PbCrO}_4 \cdot \text{PbO}$ ) -	Molecular weight	=	546.37
Lead 2 Pb -	Molecular weight	=	$207.2 \times 2 = 414.4$
Chromium 1 Cr -	Molecular weight	=	51.996

Lead chromate is therefore (% by weight)

$$\begin{aligned} (414.4/546.37) &= 75.85\% \text{ lead and} \\ (51.996/546.37) &= 9.52\% \text{ chromium} \end{aligned}$$

Lead Selenite ( $\text{PbSeO}_4$ )	Molecular weight	=	350.17
Lead 1 Pb	Molecular weight	=	207.2
Selenium 1 Se	Molecular weight	=	78.96

Lead selenite is therefore (% by weight)

$$\begin{aligned} (207.2/350.17) &= 59.17\% \text{ lead and} \\ (78.96/350.17) &= 22.55\% \text{ selenium.} \end{aligned}$$

The total pounds of lead, chromium, and selenium released or transferred from your facility are as follows:

#### Lead

Release:  $0.7585 \times 14,000 = 10,619$  pounds from lead chromate (round to 11,000 pounds)

Transfer:  $0.5917 \times 16,000 = 9,467$  pounds from lead selenite (round to 9,500 pounds)

#### Chromium

Release:  $0.0952 \times 14,000 = 1,333$  pounds from lead chromate (round to 1,300 pounds)

#### Selenium

Transfer:  $0.2255 \times 16,000 = 3,608$  pounds of selenium from lead selenite (round to 3,600 pounds)

## 6.2 Column B Basis of Estimate

You must identify the basis for your estimates of the quantities of the reported toxic chemical in wastes transferred to each off-site location. Enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

- M - Estimate is based on monitoring data or measurements for the toxic chemical as transferred to an off-site facility.
- C - Estimate is based on mass balance calculations, such as calculation of the amount of the toxic chemical in wastes entering and leaving process equipment.
- O - Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.
- E - Estimate is based on published emission factors, such as those relating release quantity to throughput or equipment type (e.g., air emission factors).

## 6.2 Column C Type of Waste Treatment/ Disposal/Recycling/ Energy Recovery

Enter one of the following codes to identify the type of waste treatment, disposal, recycling or energy recovery methods used by the off-site location for the reported toxic chemical. You must use more than one line and code for a single location when distinct quantities of the reported toxic chemical are subject to different waste treatment, purpose of waste treatment, disposal, recycling, or energy recovery.

You must distinguish between incineration, which is waste treatment, and legitimate energy recovery. In order for you to claim that a reported toxic chemical sent off-site is used for the purposes of energy recovery and not for waste treatment, the toxic chemical must have a heating value high enough to sustain combustion and must be combusted in an energy recovery unit such as an industrial boiler, furnace, or kiln. In a situation where the reported toxic chemical is in a waste that is combusted in an energy recovery unit, but the toxic chemical does not have a heating value high enough to sustain combustion, use code M54, Incineration/Insignificant Fuel Value, to indicate that the toxic chemical was incinerated in an energy recovery unit but did not contribute to the heating value of the waste (see Figure 4 for an example).

Applicable codes for Part II, Section 6.2, column C are:

### Disposal

- M10 Storage Only
- M71 Underground Injection
- M72 Landfill/Disposal Surface Impoundment
- M73 Land Treatment
- M79 Other Land Disposal
- M90 Other Off-Site Management
- M94 Transfer to Waste Broker-Disposal
- M99 Unknown

### Recycling

- M20 Solvents/Organics Recovery
- M24 Metals Recovery
- M26 Other Reuse or Recovery
- M28 Acid Regeneration
- M93 Transfer to Waste Broker-Recycling

### Waste Treatment

- M40 Solidification/Stabilization
- M50 Incineration/Thermal Treatment
- M54 Incineration/Insignificant Fuel Value
- M61 Wastewater Treatment (Excluding POTW)
- M69 Other Waste Treatment
- M95 Transfer to Waste Broker-Waste Treatment

### Energy Recovery

- M56 Energy Recovery
- M92 Transfer to Waste Broker-Energy Recovery

Figure 4  
Hypothetical Section 6.2 Completed for Two Off-site Locations

SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS			
6.2. 1	Off-site EPA Identification Number (RCRA ID No.) COD566162461		
Off-Site Location Name Acme Waste Services			
Street Address 5 Market Street			
City Releaseville		County Hill	
State OO	Zip Code 80461	Is location under control of reporting facility or parent company? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
A. Total Transfers (pounds/year) (enter range code or estimate)	B. Basis of Estimate (enter code)	C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)	
1. 5,000	1. O	1. M 56	
2. 7,500	2. C	2. M 20	
3. 2,500	3. O	3. M 72	
4. NA	4.	4. M	

This off-site location receives a transfer of 15,000 pounds of toluene (as discussed earlier) and will combust 5,000 pounds for the purposes of energy recovery, enter 7,500 pounds into a recovery process, and dispose of the remaining 2,500 pounds.

SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS			
6.2. 2	Off-site EPA Identification Number (RCRA ID No.) COD617725432		
Off-Site Location Name Combustion, Inc.			
Street Address 25 Facility Road			
City Dumfry		County Burns	
State OO	Zip Code 80500	Is location under control of reporting facility or parent company? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
A. Total Transfers (pounds/year) (enter range code or estimate)	B. Basis of Estimate (enter code)	C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)	
1. 12,500	1. O	1. M 54	
2. NA	2.	2. M	
3.	3.	3. M	
4.	4.	4. M	

This off-site location receives a transfer of 12,500 pounds of tetrachloroethylene (perchloroethylene) that is part of a waste that is combusted for the purposes of energy recovery in an industrial furnace. Note that the perchloroethylene is reported using code M54 to indicate that it is combusted in an energy recovery unit but it does not contribute to the heating value of the waste.

## Section 7 On-Site Waste Treatment, Energy Recovery and Recycling Methods

You must report in this section the methods of waste treatment, energy recovery, and recycling applied to the reported toxic chemical in wastes on-site. There are three separate sections for reporting such activities.

### Section 7A On-Site Waste Treatment Methods and Efficiency

In Section 7A, you must provide the following information if you treat the reported toxic chemical on-site:

- (a) the general waste stream types containing the toxic chemical being reported;
- (b) the waste treatment method(s) or sequence used on all waste streams containing the toxic chemical;
- (c) the range of concentration of the toxic chemicals in the influent to the waste treatment method;
- (d) the efficiency of each waste treatment method or waste treatment sequence in removing the toxic chemical; and
- (e) whether the waste treatment efficiency figure was based on actual operating data.

Use a separate line in Section 7A for each general waste stream type. Report only information about treatment of waste streams at your facility, not information about off-site waste treatment.

If you do not perform on-site treatment of waste streams containing the reported toxic chemical, check the Not Applicable (NA) box at the top of Section 7A.

### 7A Column A General Waste Stream

For each waste treatment method, indicate the type of waste stream containing the toxic chemical that is treated. Enter the letter code that corresponds to the general waste stream type:

- |   |  |
|---|--|
| A | Gaseous (gases, vapors, airborne particulates)       |
| W | Wastewater (aqueous waste)                           |
| L | Liquid waste streams (non-aqueous waste)             |
| S | Solid waste streams (including sludges and slurries) |

If a waste is a mixture of water and organic liquid and the organic content is less than 50 percent, report it as a wastewater (W). Slurries and sludges containing water must be reported as solid waste if they contain appreciable amounts of dissolved solids, or solids that may settle, such that the viscosity or density of the waste is considerably different from that of process wastewater.

### 7A Column B Waste Treatment Method(s) Sequence

Enter the appropriate code from the list below for each on-site waste treatment method used on a waste stream containing the toxic chemical, regardless of whether the waste treatment method actually removes the specific toxic chemical being reported. Waste treatment methods must be reported for each type of waste stream being treated (i.e., gaseous waste streams, aqueous waste streams, liquid non-aqueous waste streams, and solids). Except for the air emission treatment codes, the waste treatment codes are not restricted to any medium.

Waste streams containing the toxic chemical may have a single source or may be aggregates of many sources. For example, process water from several pieces of equipment at your facility may be combined prior to waste treatment. Report waste treatment methods that apply to the aggregate waste stream, as well as waste treatment methods that apply to individual waste streams. If your facility treats various wastewater streams containing the toxic chemical in different ways, the different waste treatment methods must be listed separately.

If your facility has several pieces of equipment performing a similar service in a waste treatment sequence, you may combine the reporting for such equipment. It is not necessary to enter four codes to cover four scrubber units, for example, if all four are treating waste streams of similar character (e.g., sulfuric acid mist emissions), have similar influent concentrations, and have similar removal efficiencies. If, however, any of these parameters differs from one unit to the next, each scrubber must be listed separately.

If your facility performs more than eight sequential waste treatment methods on a single general waste stream, continue listing the methods in the next row and renumber appropriately those waste treatment method code boxes you used to continue the sequence. For example, if the general waste stream in box 7A.1a had nine treatment methods applied to it, the ninth method would be indicated in the first method box for row 7A.2a. The numeral "1" would be crossed out, and a "9" would be inserted.

Treatment applied to any other general waste stream types would then be listed in the next empty row. In the scenario above, for instance, the second general waste stream would be reported in row 7A.3a. See Figure 5 for an example of a hypothetical Section 7A completed for a nine-step waste treatment process and a single waste treatment method.

If you need additional space to report under Section 7A, photocopy page 4 of Form R as many times as necessary. At the top of page 5 you will find instructions for indicating the total number of page 4s that you are submitting as part of Form R, as well as instructions for indicating the sequence of those pages.

#### Waste Treatment Codes

##### Air Emissions Treatment (applicable to gaseous waste streams only)

- A01 Flare
- A02 Condenser
- A03 Scrubber
- A04 Absorber
- A05 Electrostatic Precipitator
- A06 Mechanical Separation
- A07 Other Air Emission Treatment

##### Biological Treatment

- B11 Biological Treatment — Aerobic
- B21 Biological Treatment — Anaerobic
- B31 Biological Treatment — Facultative
- B99 Biological Treatment — Other

##### Chemical Treatment

- C01 Chemical Precipitation — Lime or Sodium Hydroxide
- C02 Chemical Precipitation — Sulfide
- C09 Chemical Precipitation — Other
- C11 Neutralization
- C21 Chromium Reduction
- C31 Complexed Metals Treatment (other than pH Adjustment)
- C41 Cyanide Oxidation — Alkaline Chlorination
- C42 Cyanide Oxidation — Electrochemical
- C43 Cyanide Oxidation — Other
- C44 General Oxidation (including Disinfection) — Chlorination

- C45 General Oxidation (including Disinfection) — Ozonation
- C46 General Oxidation (including Disinfection) — Other
- C99 Other Chemical Treatment

##### Incineration/Thermal Treatment

- F01 Liquid Injection
- F11 Rotary Kiln with Liquid Injection Unit
- F19 Other Rotary Kiln
- F31 Two Stage
- F41 Fixed Hearth
- F42 Multiple Hearth
- F51 Fluidized Bed
- F61 Infra-Red
- F71 Fume/Vapor
- F81 Pyrolytic Destructor
- F82 Wet Air Oxidation
- F83 Thermal Drying/Dewatering
- F99 Other Incineration/Thermal Treatment

##### Physical Treatment

- P01 Equalization
- P09 Other Blending
- P11 Settling/Clarification
- P12 Filtration
- P13 Sludge Dewatering (non-thermal)
- P14 Air Flotation
- P15 Oil Skimming
- P16 Emulsion Breaking — Thermal
- P17 Emulsion Breaking — Chemical
- P18 Emulsion Breaking — Other
- P19 Other Liquid Phase Separation
- P21 Adsorption — Carbon
- P22 Adsorption — Ion Exchange (other than for recovery/reuse)
- P23 Adsorption — Resin
- P29 Adsorption — Other
- P31 Reverse Osmosis (other than for recovery/reuse)
- P41 Stripping — Air
- P42 Stripping — Steam
- P49 Stripping — Other
- P51 Acid Leaching (other than for recovery/reuse)
- P61 Solvent Extraction (other than recovery/reuse)
- P99 Other Physical Treatment

## Solidification/Stabilization

- G01 Cement Processes (including Silicates)
- G09 Other Pozzolonic Processes (including Silicates)
- G11 Asphaltic Processes
- G21 Thermoplastic Techniques
- G99 Other Solidification Processes

## 7A Column C Range of Influent Concentration

The form requires an indication of the range of concentration of the toxic chemical in the waste stream (i.e., the influent) as it typically enters the waste treatment step or sequence. The concentration is based on the amount or mass of the toxic chemical in the waste stream as compared to the total amount or mass of the waste stream. Enter in the space provided one of the following code numbers corresponding to the concentration of the toxic chemical in the influent:

- 1 = Greater than 1 percent
- 2 = 100 parts per million (0.01 percent) to 1 percent (10,000 parts per million)
- 3 = 1 part per million to 100 parts per million
- 4 = 1 part per billion to 1 part per million
- 5 = Less than 1 part per billion

Note: Parts per million (ppm) is:

- o milligrams/kilogram (mass/mass) for solids and liquids;
- o cubic centimeters/cubic meter (volume/volume) for gases;
- o milligrams/liter for solutions or dispersions of the chemical in water; and
- o milligrams of chemical/kilogram of air for particulates in air.

If you have particulate concentrations (at standard temperature and pressure) as grains/cubic foot of air, multiply by 1766.6 to convert to parts per million; if in milligrams/cubic meter, multiply by 0.773 to obtain parts per million. These conversion factors are for standard conditions of 0°C (32°F) and 760 mmHg atmospheric pressure.

## 7A Column D Waste Treatment Efficiency Estimate

In the space provided, enter the number indicating the percentage of the toxic chemical removed from the waste stream through destruction, biological degradation, chemical conversion, or physical removal. The waste treatment efficiency (expressed as percent removal) represents the percentage of the toxic chemical destroyed or removed (based on amount or mass), not merely changes in volume or concentration of the toxic chemical in the waste stream. The efficiency, which can reflect the overall removal from sequential treatment methods applied to the general waste stream, refers only to the percent destruction, degradation, conversion, or removal of the listed toxic chemical from the waste stream, not the percent conversion or removal of other constituents in the waste stream. The efficiency also does not refer to the general efficiency of the treatment method for any waste stream. For some waste treatment methods, the percent removal will represent removal by several mechanisms, as in an aeration basin, where a toxic chemical may evaporate, be biodegraded, or be physically removed from the sludge.

Percent removal can be calculated as follows:

$$\frac{(I - E)}{I} \times 100, \text{ where}$$

I = amount of the toxic chemical in the influent waste stream (entering the waste treatment step or sequence) and

E = amount of the toxic chemical in the effluent waste stream (exiting the waste treatment step or sequence).

Calculate the amount of the toxic chemical in the influent waste stream by multiplying the concentration (by weight) of the toxic chemical in the waste stream by the total amount or weight of the waste stream. In most cases, the percent removal compares the treated effluent to the influent for the particular type of waste stream. For solidification of wastewater, the waste treatment efficiency can be reported as 100 percent if no volatile toxic chemicals were removed with the water or evaporated into the air. Percent removal does not apply to incineration because the waste stream, such as wastewater or liquids, may not exist in a comparable form after waste treatment and the purpose of incineration as a waste

Figure 5  
Hypothetical Section 7A

SECTION 7A. ON-SITE WASTE TREATMENT METHODS AND EFFICIENCY										
<input type="checkbox"/> Not Applicable (NA) - Check here if <u>no</u> on-site waste treatment is applied to any waste stream containing the toxic chemical or chemical category.										
a. General Waste Stream (enter code)	b. Waste Treatment Method(s) Sequence [enter 3-character code(s)]			c. Range of Influent Concentration	d. Waste Treatment Efficiency Estimate	e. Based on Operating Data?				
7A.1a	7A.1b	1	P12	2	P18	7A.1c	7A.1d	7A.1e		
W	3	P17	4	P61	5	P42	NA	%	Yes	No
	6	P21	7	B21	8	P11			<input type="checkbox"/>	<input type="checkbox"/>
7A.2a	7A.2b	9	C44	2	NA	7A.2c	7A.2d	7A.2e		
	3		4		5		1	99 %	Yes	No
	6		7		8				<input checked="" type="checkbox"/>	<input type="checkbox"/>
7A.3a	7A.3b	1	A01	2	NA	7A.3c	7A.3d	7A.3e		
A	3		4		5		1	91 %	Yes	No
	6		7		8				<input checked="" type="checkbox"/>	<input type="checkbox"/>

treatment is to destroy the toxic chemical by converting it to carbon dioxide and water. In cases where the toxic chemical is incinerated, the percent efficiency must be based on the amount of the toxic chemical destroyed or combusted, except for metals or metal compounds. In the cases where a metal or metal compound is incinerated, the efficiency is always zero for the parent metal.

Similarly, an efficiency of zero must be reported for any waste treatment method(s) (e.g., evaporation) that does not destroy, chemically convert, or physically remove the toxic chemical from the waste stream.

For metal compounds, the calculation of the reportable concentration and waste treatment efficiency must be based on the weight of the parent metal, not on the weight of the metal compounds. Metals are not destroyed, only physically removed or chemically converted from one form into another. The waste treatment efficiency reported must represent only physical removal of the parent metal from the waste stream (except for incineration), not the percent chemical conversion of the metal compound. If a listed waste treatment method converts but does not remove a metal (e.g., chromium reduction), the method must be reported with a waste treatment efficiency of zero.

Listed toxic chemicals that are strong mineral acids neutralized to a pH of 6 or above are considered treated at a 100 percent efficiency.

All data available at your facility must be used to calculate waste treatment efficiency and influent toxic chemical concentration. If data are lacking, estimates must be made using best engineering judgment or other methods.

### 7A Column E Based on Operating Data?

This column requires you to indicate "Yes" or "No" to whether the waste treatment efficiency estimate is based on actual operating data. For example, you would check "Yes" if the estimate is based on monitoring of influent and effluent wastes under typical operating conditions.

If the efficiency estimate is based on published data for similar processes or on equipment supplier's literature, or if you otherwise estimated either the influent or effluent waste comparison or the flow rate, check "No."

## Section 7B On-Site Energy Recovery Processes

In Section 7B, you must indicate the on-site energy recovery methods used on the reported toxic chemical. If you do not perform on-site energy recovery for the reported toxic chemical, check the Not Applicable (NA) box at the top of Section 7B.

### Example 10: Reporting On-Site Energy Recovery

One waste stream generated by your facility contains, among other chemicals, toluene and cadmium. Threshold quantities are exceeded for both of these toxic chemicals, and you would, therefore, submit two separate Form R reports. This waste stream is sent to an on-site industrial furnace which uses the heat generated in a thermal hydrocarbon cracking process at your facility. Because toluene has a significant heat value (17,440 BTU/pound) and the energy is recovered in an industrial furnace, the code "U02" would be reported in Section 7B for the Form R submitted for toluene.

However, as cadmium is a noncombustible metal and therefore does not contribute any heat value for energy recovery purposes, the combustion of cadmium in the industrial furnace is considered waste treatment, not energy recovery. You would report cadmium as entering a waste treatment step (i.e., incineration), in Section 7A, column b.

Only listed toxic chemicals that have a significant heating value and are combusted in an energy recovery unit such as an industrial furnace, kiln, or boiler, can be reported as combusted for energy recovery in this section. If a reported toxic chemical is incinerated on-site but does not contribute energy to the process (e.g., metals and chlorofluorocarbons), it must be considered waste treated on-site and reported in Section 7A. Energy recovery may take place only in one of the types of energy recovery equipment listed below.

### Energy Recovery Codes

U01	Industrial Kiln
U02	Industrial Furnace
U03	Industrial Boiler
U09	Other Energy Recovery Methods

---

If your facility uses more than one on-site energy recovery method for the reported toxic chemical list the methods used in descending order (greatest to least) based on the amount of the toxic chemical entering such methods.

## Section 7C On-Site Recycling Processes

In Section 7C, you must report the recycling methods used on the listed toxic chemical. If you do not conduct any on-site recycling of the reported toxic chemical, check the Not Applicable (NA) box at the top of Section 7C.

In this section, use the codes below to report only the recycling methods in place at your facility that are applied to the listed toxic chemical. Do not list any off-site recycling activities (Information about off-site recycling must be reported in Part II, Section 6, "Transfers of the Toxic Chemical in Wastes to Off-Site Locations").

### On-Site Recycling Codes

- R11 Solvents/Organics Recovery — Batch Still Distillation
- R12 Solvents/Organics Recovery — Thin-Film Evaporation
- R13 Solvents/Organics Recovery — Fractionation
- R14 Solvents/Organics Recovery — Solvent Extraction
- R19 Solvents/Organics Recovery — Other
- R21 Metals Recovery — Electrolytic
- R22 Metals Recovery — Ion Exchange
- R23 Metals Recovery — Acid Leaching
- R24 Metals Recovery — Reverse Osmosis
- R26 Metals Recovery — Solvent Extraction
- R27 Metals Recovery — High Temperature
- R28 Metals Recovery — Retorting
- R29 Metals Recovery — Secondary Smelting
- R30 Metals Recovery — Other
- R40 Acid Regeneration
- R99 Other Reuse or Recovery

If your facility uses more than one on-site recycling method for a toxic chemical, enter the codes in the space provided in descending order (greatest to least) of the volume of the reported toxic chemical recovered by each process. If your facility uses more than ten separate methods for recycling the reported toxic chemical on-site, then list the ten activities that recover the greatest amount of the toxic chemical (again, in descending order).

## Section 8 Source Reduction and Recycling Activities

This Section includes the data elements mandated by section 6607 of the Pollution Prevention Act of 1990 (PPA). Section 8 is a required section of Form R and must be completed.

In Section 8, you must provide information about source reduction and recycling activities related to the toxic chemical for which releases are being reported. For all appropriate questions, report only the quantity, in pounds, of the reported toxic chemical. Do not include the weight of water, soil, or other waste constituents. When reporting on the metal compound categories, report only the amount of the parent metal as you do when estimating release amounts. All amounts must be reported in whole numbers and up to two significant figures can be provided.

Section 8.1 through 8.9 must be completed for each toxic chemical. Section 8.10 must be completed only if a source reduction activity was newly implemented specifically (in whole or in part) for the reported toxic chemical during the reporting year. Section 8.11 allows you to indicate if you have attached additional optional information on source reduction, recycling, or pollution control activities implemented at any time at your facility.

Sections 8.1 through 8.7 require reporting of quantities for the current reporting year, the prior year, and quantities anticipated in both the first year immediately following the reporting year and the second year following the reporting year (future estimates).

Beginning with the 1995 reporting year, facilities can use applicable, "NA," in Sections 8.1 through 8.7 to indicate that there is no on-site or off-site recycling, energy recovery, treatment, disposal or release.

### Column A: Prior Year

Quantities for Sections 8.1 through 8.7 must be reported for the year immediately preceding the reporting year in column A. For reports due July 1, 1997 (reporting year 1996), the prior year is 1995. Information available at the facility that may be used to estimate the prior year's quantities include the prior year's Form R submission, supporting documentation, and recycling, energy recovery, or treatment operating logs or invoices.

### Column B: Current Reporting Year

Quantities for Sections 8.1 through 8.7 must be reported for the current reporting year (1996) in column B.

### Columns C and D: Following Year and Second Following Year

Quantities for Sections 8.1 through 8.7 must be estimated for 1997 and 1998. EPA expects reasonable future quantity estimates using a logical basis. Information available at the facility to estimate quantities of the chemical expected during these years include planned source reduction activities, market projections, expected contracts, anticipated new product lines, company growth projections, and production capacity figures. Respondents should take into account protections available for trade secrets as provided in EPCRA Section 322 (42 USC 11042).

### Relationship to Other Laws

The reporting categories for quantities recycled, treated, used for energy recovery, and disposed apply to completing Section 8 of Form R as well as to the rest of Form R. These categories are to be used only for TRI reporting. They are not intended for use in determining, under the Resource Conservation and Recovery Act

#### Example 11: Reporting Future Estimates

A pharmaceutical manufacturing facility uses a listed toxic chemical in the manufacture of a prescription drug. During the reporting year (1996), the company received approval from the Food and Drug Administration to begin marketing their product as an over-the-counter drug beginning in 1997. This approval is publicly known and does not constitute confidential business information. As a result of this expanded market, the company estimates that sales and subsequent production of this drug will increase their use of the reported toxic chemical by 30 percent per year for the two years following the reporting year. The facility treats the toxic chemical on-site and the quantity treated is directly proportional to production activity. The facility thus estimates the total quantity of the reported toxic chemical treated for the following year (1997) by adding 30 percent to the amount in column B (the amount for the current reporting year). The second following year (1998) figure can be calculated by adding an additional 30 percent to the amount reported in column C (the amount for the following year (1997) projection).

(RCRA) Subtitle C regulations, whether a secondary material is a waste when recycled. These definitions also do not apply to the information that may be submitted in the Biennial Report required under RCRA. In addition, these definitions do not imply any future redefinition of RCRA terms and do not affect EPA's RCRA authority or authority under any other statute administered by EPA.

Differences in terminology and reporting requirements for toxic chemicals reported on Form R and for hazardous wastes regulated under RCRA occur because EPCRA and the PPA focus on specific chemicals, while the RCRA regulations and the Biennial Report focus on waste streams which may include more than one chemical. For example, a RCRA hazardous waste containing a section 313 toxic chemical is recycled to recover certain constituents of that waste, but not the toxic chemical reported under EPCRA section 313. The toxic chemical simply passes through the recycling process and remains in the residual from the recycling process. While the waste may be considered recycled under RCRA, the toxic chemical constituent would be considered to be disposed for TRI purposes.

### Quantities Reportable in Sections 8.1 - 8.7

**8.1** Report releases pursuant to EPCRA Section 329(8) including "any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing [on-site or off-site] into the environment (including the abandonment of barrels, containers, and other closed receptacles)." Do not include any quantity treated on-site or reported as treated off-site in section 6, except for metals and metal compounds reported as sent off-site for solidification/stabilization or to POTWs..

**8.2 - 8.3** A toxic chemical or a mixture containing a toxic chemical that is used for energy recovery on-site or is sent off-site for energy recovery, unless it is a commercially available fuel. For the purposes of reporting on Form R, reportable on-site and off-site energy recovery is the combustion of a residual material containing a TRI toxic chemical when:

- (a) The combustion unit is integrated into an energy recovery system (i.e., industrial furnaces, industrial kilns, and boilers); and
- (b) The toxic chemical is combustible and has a heating value high enough to sustain combustion. Under RCRA this value is considered to be -5000 BTU.

**8.4 - 8.5** A toxic chemical or a mixture containing a toxic chemical that is recycled on-site or is sent off-site for recycling

**8.6 - 8.7** A toxic chemical (except for metals and metal compounds) or a mixture containing a toxic chemical that is treated on-site or is sent to a POTW or other off-site location for waste treatment.

A toxic chemical or a toxic chemical in a mixture that is a waste under RCRA must be reported in Sections 8.1 through 8.7.

### Avoid Double-Counting in Sections 8.1 Through 8.8

Section 8 of Form R uses data collected to complete Part II, Sections 5 through 7. For this reason, Section 8 should be completed last.

Do not double- or multiple-count quantities in Sections 8.1 through 8.7. The quantities reported in each of those sections must be mutually exclusive. Do not multiple-count quantities entering sequential reportable activities. For example, 5,000 pounds of toxic chemical enters a treatment operation. Three thousand pounds of the toxic chemical exits the treatment operation and then enters a recycling operation. Five hundred pounds of the toxic chemical are in residues from the recycling operation which is subsequently sent off-site for disposal. These quantities would be reported as follows in Section 8:

Section 8.1:	500 pounds disposed
Section 8.4:	2,500 pounds recycled
Section 8.6:	2,000 pounds treated (5,000 that initially entered - 3,000 that subsequently entered recycling)

*To report that 5,000 pounds were treated, 3,000 pounds were recycled, and that 500 pounds were sent off-site for disposal would result in over-counting the quantities of toxic chemical recycled, treated, and disposed by 3,500 pounds.*

Do not include in Sections 8.1 through 8.7 any quantities of the toxic chemical released into the environment due to remedial actions; catastrophic events such as earthquakes, fires, or floods; or unanticipated one-time events not associated with the production process such as tank ruptures or reactor explosions. These quantities should be reported in Section 8.8 only. For example, 10,000 pounds of diaminoanisole sulfate is released due to a catastrophic event and is subsequently treated

off-site. The 10,000 pounds is reported in Section 8.8, but the amount subsequently treated off-site is not reported in Section 8.7.

### **8.8 Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-Time Events Not Associated with Production Process**

In Section 8.8, enter the total quantity of toxic chemical released directly into the environment or sent off-site for recycling, waste treatment, energy recovery, or disposal during the reporting year due to any of the following events:

- (1) remedial actions,
- (2) catastrophic events such as earthquakes, fires, or floods; or
- (3) one-time events not associated with normal or routine production processes.

These quantities should not be included in Sections 8.1 through 8.7.

The purpose of this section is to separate quantities recycled, used for energy recovery, treated, or disposed that are associated with normal or routine production operations from those that are not. While all quantities released, recycled, treated, or disposed may ultimately be preventable, this section separates the quantities that are more likely to be reduced or eliminated by process-oriented source reduction activities from those

releases that are largely unpredictable and are less amenable to such source reduction activities. For example, spills that occur as a routine part of production operations and could be reduced or eliminated by improved handling, loading, or unloading procedures are included in the quantities reported in Section 8.1 through 8.7 as appropriate. A total loss of containment resulting from a tank rupture caused by a tornado would be included in the quantity reported in Section 8.8.

Similarly, the amount of a toxic chemical cleaned up from spills resulting from normal operations during the reporting year would be included in the quantities reported in Sections 8.1 through 8.7. However, the quantity of the reported toxic chemical generated from a remedial action (e.g., RCRA corrective action) to clean up the environmental contamination resulting from past practices should be reported in Section 8.8 because they cannot currently be addressed by source reduction methods. A remedial action for purposes of Section 8.8 is a waste cleanup (including RCRA and CERCLA operations) within the facility boundary. Most remedial activities involve collecting and treating contaminated material.

Also, releases caused by catastrophic events are to be incorporated into the quantity reported in Section 8.8. Such releases may be caused by natural disasters (e.g., hurricanes and earthquakes) or by large scale accidents (e.g., fires and explosions). These amounts are not included in the quantity reported in Sections 8.1 through 8.7 because such releases are generally unanticipated and cannot be addressed by routine process-oriented accident prevention techniques.

#### **Example 12: Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-Time Events Not Associated with Production Processes.**

A chemical manufacturer produces a toxic chemical in a reactor that operates at low pressure. The reactants and the toxic chemical product are piped in and out of the reactor at monitored and controlled temperatures. During normal operations, small amounts of fugitive emissions occur from the valves and flanges in the pipelines.

Due to a malfunction in the control panel (which is state-of-the-art and undergoes routine inspection and maintenance), the temperature and pressure in the reactor increase, the reactor ruptures, and the toxic chemical is released. Because the malfunction could not be anticipated and, therefore, could not be reasonably addressed by specific source reduction activities, the amount released is included in Section 8.8. In this case, much of the toxic chemical is released as a liquid and pools on the ground. It is estimated that 1,000 pounds of the toxic chemical pooled on the ground and was subsequently collected and sent off-site for treatment. In addition, it is estimated that another 200 pounds of the toxic chemical vaporized directly to the air from the rupture. The total amount reported in Section 8.8 is the 1,000 pounds that pooled on the ground (and subsequently sent off-site), plus the 200 pounds that vaporized into the air, a total of 1,200 pounds. The quantity sent off-site must also be reported in Section 6 (but not in Section 8.7) and the quantity that vaporized must be reported as a fugitive emission in Section 5 (but not in Section 8.1).

By checking your documentation for calculating estimates made for Part II, Section 5, "Releases of the Toxic Chemical to the Environment," you may be able to identify release amounts from the above sources. Emergency notifications under CERCLA and EPCRA as well as accident histories required under the Clean Air Act may provide useful information. You should also check facility incident reports and maintenance records to identify one-time or catastrophic events.

**Note:** While the information reported in Section 8.8 represents only remedial, catastrophic, or one-time events not associated with production processes, Section 5 of Form R (releases to the environment) and Section 6 (off-site transfers), must include all releases and transfers as appropriate, regardless of whether they arise from catastrophic, remedial, or routine process operations.

## 8.9 Production Ratio or Activity Index

For Section 8.9, you must provide a ratio of reporting year production to prior year production, or provide an "activity index" based on a variable other than production that is the primary influence on the quantity of the reported toxic chemical recycled, used for energy recovery, treated, or disposed. The ratio or index must be reported to the nearest tenths or hundredths place (e.g., one or two digits to the right of the decimal point). If the manufacture or use of the reported toxic chemical began during the current reporting year, enter not applicable, "NA," as the production ratio or activity index.

It is important to realize that if your facility reports more than one reported toxic chemical, the production ratio or activity index may vary for different chemicals. For facilities that manufacture reported toxic chemicals, the quantities of the toxic chemical(s) produced in the current and prior years provide a good basis for the ratio because that is the primary business activity associated with the reported toxic chemical(s). In most cases, the production ratio or activity index must be based on some variable of production or activity rather than on toxic chemical or material usage. Indices based on toxic chemical or material usage may reflect the effect of source reduction activities rather than changes in business activity. Toxic chemical or material usage is therefore not a basis to be used for the production ratio or activity index where the toxic chemical is "otherwise-used" (i.e., non-incorporative activities such as extraction solvents, metal degreasers, etc.).

### Example 13: Determining a Production Ratio

Your facility's only use of toluene is as a paint carrier for a painting operation. You painted 12,000 refrigerators in the current reporting year and 10,000 refrigerators during the preceding year. The production ratio for toluene in this case is 1.2 (12,000/10,000) because the number of refrigerators produced is the primary factor determining the quantity of toluene to be reported in Sections 8.1 through 8.7.

A facility manufactures inorganic pigments, including titanium dioxide. Hydrochloric acid is produced as a waste byproduct during the production process. An appropriate production ratio for hydrochloric acid is the annual titanium dioxide production, not the amount of byproduct generated. If the facility produced 20,000 pounds of titanium dioxide during the reporting year and 26,000 pounds in the preceding year, the production ratio would be 0.77 (20,000/26,000).

While several methods are available to the facility for determining this data element, the production ratio or activity index must be based on the variable that most directly affects the quantities of the toxic chemical recycled, used for energy recovery, treated, or disposed. Examples of methods available include:

- (1) Amount of toxic chemical manufactured in 1996 divided by the amount of toxic chemical manufactured in 1995; or
- (2) Amount of product produced in 1996 divided by the amount of product produced in 1995.

#### Example 14: Determining an Activity Index

Your facility manufactures organic dyes in a batch process. Different colors of dyes are manufactured, and between color changes, all equipment must be thoroughly cleaned with solvent containing glycol ethers to reduce color carryover. During the preceding year, the facility produced 2,000 pounds of yellow dye in January, 9,000 pounds of green dye for February through September, 2,000 pounds of red dye in November, and another 2,000 pounds of yellow dye in December. This adds up to a total of 15,000 pounds and four color changeovers. During the reporting year, the facility produced 10,000 pounds of green dye during the first half of the year and 10,000 pounds of red dye in the second half. If your facility uses glycol ethers in this cleaning process only, an activity index of 0.5 (based on two color changeovers for the reporting year divided by four changeovers for the preceding year) is more appropriate than a production ratio of 1.33 (based on 20,000 pounds of dye produced in the current year divided by 15,000 pounds in the preceding year). In this case, an activity index, rather than a production ratio, better reflects the factors that influence the amount of solvent recycled, used for energy recovery, treated, or disposed.

A facility that manufactures thermoplastic composite parts for aircraft uses toluene as a wipe solvent to clean molds. The solvent is stored in 55-gallon drums and is transferred to 1-gallon dispensers. The molds are cleaned on an as-needed basis that is not necessarily a function of the parts production rate. Operators cleaned 5,200 molds during the reporting year, but only cleaned 2,000 molds in the previous year. An activity index of 2.6 ( $5,200/2,000$ ) represents the activities involving toluene usage in the facility. If the molds were cleaned after 1,000 parts were manufactured, a production ratio would equal the activity index and either could be used as the basis for the index.

A facility manufactures surgical instruments and cleans the metal parts with 1,1,1-trichloroethane in a vapor degreaser. The degreasing unit is operated in a batch mode and the metal parts are cleaned according to an irregular schedule. The activity index can be based upon the total time the metal parts are in the degreasing operation. If the degreasing unit operated 3,900 hours during the reporting year and 3,000 hours the prior year, the activity index is 1.3 ( $3,900/3,000$ ).

#### Example 15: "NA" is Entered as the Production Ratio or Activity Index

Your facility began production of a microwidget during this reporting year. Perchloroethylene is used as a cleaning solvent for this operation and this is the only use of the toxic chemical in your facility. You would enter not applicable, "NA," in Section 8.9 because you have no basis of comparison in the prior year for the purposes of developing the activity index.

### Example 16: Determining the Production Ratio Based on a Weighted Average

At many facilities, a reported toxic chemical is used in more than one production process. In these cases, a production ratio or activity index can be estimated by weighting the production ratio for each process based on the respective contribution of each process to the quantity of the reported toxic chemical recycled, used for energy recovery, treated, or disposed.

Your facility paints bicycles with paint containing toluene. Sixteen thousand bicycles were produced in the reporting year and 14,500 were produced in the prior year. There were no significant design modifications that changed the total surface area to be painted for each bike. The bicycle production ratio is 1.1 (16,000/14,500). You estimate 12,500 pounds of toluene treated, recycled, used for energy recovery, or disposed as a result of bicycle production. Your facility also uses toluene as a solvent in a glue that is used to make components and add-on equipment for the bicycles. Thirteen thousand components were manufactured in the reporting year as compared to 15,000 during the prior year. The production ratio for the components using toluene is 0.87 (13,000/15,000). You estimate 1,000 pounds of toluene treated, recycled, used for energy recovery, or disposed as a result of components production. A production ratio can be calculated by weighting each of the production ratios based on the relative contribution each has to the quantities of toluene treated, recycled, used for energy recovery, or disposed during the reporting year (13,500 pounds). The production ratio is calculated as follows:

$$\text{Production ratio} = (12,500/13,500 \times 1.1) + (1,000/13,500 \times 0.87) = 1.08$$

### 8.10 Did Your Facility Engage in any Source Reduction Activities for this Chemical during the Reporting Year?

If your facility engaged in any source reduction activity for the reported toxic chemical during the reporting year, report the activity that was implemented and the method used to identify the opportunity for the activity implemented. If your facility did not engage in any source reduction activity for the reported toxic chemical, enter not applicable, "NA," in Section 8.10.1 and answer Section 8.11.

Source reduction means any practice which:

- Reduces the amount of any hazardous substance, pollutant, or contaminant entering any waste stream or otherwise released into the environment (including fugitive emissions) prior to recycling, treatment, or disposal; and
- Reduces the hazards to public health and the environment associated with the release of such substances, pollutants, or contaminants.

The term includes equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, and improvements in housekeeping, maintenance, training, or inventory control.

The term source reduction does not include any practice which alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity which itself is not integral to and necessary for the production of a product or the providing of a service.

Source reduction activities do not include recycling, treating, using for energy recovery, or disposing of a toxic chemical. Report in this section only the source reduction activities implemented to reduce or eliminate the quantities reported in Sections 8.1 through 8.7 — the focus of the section is only those activities that are applied to reduce routine or reasonably anticipated releases and quantities of the reported toxic chemical recycled, treated, used for energy recovery, or disposed. Do not report in this section any activities taken to reduce or eliminate the quantities reported in Section 8.8.

### Example 17: Source Reduction

A facility assembles and paints furniture. Both the glue used to assemble the furniture and the paints contain listed toxic chemicals. By examining the gluing process, the facility discovered that a new drum of glue is opened at the beginning of each shift, whether the old drum is empty or not. By adding a mechanism that prevents the drum from being changed before it is empty, the need for disposal of the glue is eliminated at the source. As a result, this activity is considered source reduction. The painting process at this facility generates a solvent waste which is collected and recovered. The recovered solvent is used to clean the painting equipment. The recycling activity does not reduce the amount of toxic chemical recycled, and therefore is not considered a source reduction activity.

#### Source Reduction Activities

You must enter in the first column of Section 8.10, "Source Reduction Activities," the appropriate code(s) indicating the type of actions taken to reduce the amount of the reported toxic chemical released (as reported in Section 8.1), used for energy recovery (as reported in Section 8.2), recycled (as reported in Section 8.4-8.5), or treated (as reported in Section 8.6-8.7). The list of codes below includes many, but not all, of the codes provided in the RCRA biennial report. Remember that source reduction activities include only those actions or techniques that reduce or eliminate the amounts of the toxic chemical reported in Section 8.1 through 8.7. Actions taken to recycle, treat, or dispose of the toxic chemical are not considered source reduction activities.

#### Source Reduction Activity Codes:

##### Good Operating Practices

- W13 Improved maintenance scheduling, recordkeeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W19 Other changes in operating practices

##### Inventory Control

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material — continue to use if still effective
- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labelling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes in inventory control

##### Spill and Leak Prevention

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems
- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other spill and leak prevention

##### Raw Material Modifications

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W49 Other raw material modifications

##### Process Modifications

- W51 Instituted recirculation within a process
- W52 Modified equipment, layout, or piping
- W53 Use of a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W58 Other process modifications

##### Cleaning and Degreasing

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units
- W64 Improved draining procedures

- W65 Redesigned parts racks to reduce dragout
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and degreasing modifications

**Surface Preparation and Finishing**

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications

**Product Modifications**

- W81 Changed product specifications
- W82 Modified design or composition of product
- W83 Modified packaging
- W89 Other product modifications

In columns a through c of Section 8.10, the "Methods to Identify Activity", you must enter one or more of the following code(s) that correspond to those internal and external method(s) or information sources you used to identify the possibility for a source reduction activity implementation at your facility. If more than three methods were used to identify the source reduction activity, enter only the three codes that contributed most to the decision to implement the activity.

**Methods to Identify Activity**

- T01 Internal pollution prevention opportunity audit(s)
- T02 External pollution prevention opportunity audit(s)
- T03 Materials balance audits
- T04 Participative team management
- T05 Employee recommendation (independent of a formal company program)
- T06 Employee recommendation (under a formal company program)
- T07 State government technical assistance program
- T08 Federal government technical assistance program
- T09 Trade association/industry technical assistance program
- T10 Vendor assistance
- T11 Other

**8.11 Is Additional Information on Source Reduction, Recycling, or Pollution Control Activities Included with this Report?**

Check "Yes" for this data element if you have attached to this report any additional optional information on source reduction, recycling, or pollution control activities you have implemented in the reporting year or in prior years for the reported toxic chemical. If you are not including additional information, check "No."

If you submit additional optional information, try to limit this information to one page that summarizes the source reduction, recycling, or pollution control activities. If there is a contact person at the facility, other than the technical or public contact provided in Part I, Section 4, the summary page should include that person's name and telephone number for individuals who wish to obtain further information about those activities. Also submit a copy of this additional information to the appropriate state agency as part of the Form R submittal to that agency.

# D. How to Determine if Your Facility Qualifies for the Alternate Threshold and is Eligible for Reporting on the Form A

## D.1 Alternate Threshold

On November 30, 1994, EPA published a final rule (59 FR 61488) that provides qualifying facilities a reduced reporting option. Eligible facilities wishing to take advantage of this reduced reporting option may report on a simplified two page form referred to as Form A and do not have to use Form R. The "TRI Alternate Threshold for Facilities with Low Annual Reportable Amounts," provides facilities otherwise meeting EPCRA section 313 reporting thresholds the option of reporting on Form A provided that they do not exceed 500 pounds for the total annual reportable amount (defined below) for that chemical, and that their amounts manufactured or processed or otherwise used do not exceed 1 million pounds. As with determining section 313 reporting thresholds, amounts manufactured, processed, or otherwise used are to be considered independently. This modification does not apply to forms being submitted on or before July 1, 1995 (covering the 1994 reporting year).

## D.2 What is the Form A (certification statement)?

The Form A, which is described as the "certification statement" in 59 FR 61488, is a simplified form of reporting and is intended as a means to reduce the compliance burden associated with EPCRA section 313. The Form A must be submitted on an annual basis for each eligible chemical. The information submitted on the Form A includes facility identification information and the chemical or chemical category identity. The information submitted on the Form A will appear in the TRI data base in the same manner that information submitted on Form R appears. An approved Form A and a magnetic version of reporting have been included in this 1996 Form and Instructions package.

## D.3 What is the total annual reportable amount?

For the purpose of this optional reporting modification, the annual reportable amount is equal to the combined total quantities released at the facility, disposed within the facility, treated at the facility (as represented by amounts destroyed or converted by treatment processes), recovered at the facility as a result of recycle operations, combusted for the purpose of energy recovery at the facility, and amounts transferred from the facility to off-site locations for the purpose of recycle, energy recovery, treatment, and/or disposal. These

volumes correspond to the sum of amounts reportable for data elements on EPA Form R (EPA Form 9350-1; Rev. 12/4/93) as Part II column B of section 8, data elements 8.1 (quantity released), 8.2 (quantity used for energy recovery on-site), 8.3 (quantity used for energy recovery off-site), 8.4 (quantity recycled on-site), 8.5 (quantity recycled off-site), 8.6 (quantity treated on-site), and 8.7 (quantity treated off-site).

## D.4 Recordkeeping

Each owner or operator who determines that they are eligible, and wishes to apply the alternate threshold to a particular chemical, must retain records substantiating this determination for a period of 3 years from the date of the submission of the Form A. These records must include sufficient documentation to support calculations as well as the calculations made by the facility that confirm their eligibility for each chemical for which the alternate threshold was applied.

A facility that fits within the category description, and manufactures, processes or otherwise uses no more than 1 million pounds of a listed toxic chemical annually, and whose owner/operator elects to take advantage of the alternate threshold is not considered an EPCRA section 313 covered facility for that chemical for the purpose of submitting a Form R. This determination may provide further regulatory relief from other federal or state regulations that apply to facilities on the basis of their EPCRA section 313 reporting status. A facility will need to reference other applicable regulations in order to determine if their actual requirements may be affected by this reporting modification.

## D.5 Multi-establishment facilities

For the purposes of using Form A, the facility must also make its determination based upon the entire facility's operations including all of its establishments (see 59 FR 61488 for greater detail). If the facility as a whole is able to take advantage of the alternate threshold, a single Form A is required. EPA can see no benefit in allowing a facility with multiple establishments to submit more than one Form A for each of the chemicals for which it is eligible. The eligibility to submit a Form A is made on a whole facility determination. Thus, all of the information necessary to make the determination has been assembled to the facility level. No other detail is required by the Form A and, therefore, no apparent benefit is provided to the facility in having it submit multiple statements containing duplicative information.

## D.6 Trade secrets

EPA is requiring that a facility submit a unique Form A for each chemical meeting the conditions of the alternate threshold. Facilities may assert a trade secrecy claim for a chemical identity on the Form A as on the Form R. Reports submitted on a per chemical basis protect against the disclosure of trade secrets. Form As with trade secrecy claims, like Form Rs with similar claims, will be separately handled upon receipt to protect against disclosure. Commingling trade secret chemical identities with non-trade secret chemical identities on the same submission increases the risk of disclosure. Processing techniques currently in place make handling of one form with more than one chemical difficult. Altering this process at present would more likely result in increased submission and transcription errors.

## D.7 Metals and metal compounds

For metal compounds, the category level of 500 pounds applies to the amount of parent metal waste that is reported on Form R, but the thresholds apply to the amount of metal compounds manufactured, processed, or otherwise used. For Form R reporting involving both listed parent metals and associated metal compounds, the one million pound alternate threshold must be applied separately to the listed parent metal and the associated metal compound(s). Threshold determinations must be made independently for each because they are separately listed toxic chemicals.

- If the threshold is exceeded for the listed parent metal but not the associated metal compounds, then the releases of metal reported on Form R for the parent metal should not include the releases from the metal compounds.
- If both the parent metal and the associated metal compounds exceed the alternate threshold, then the facility has the option of filing one Form R for both, using the metal compound name and reporting total releases based on parent metal content.

- If neither the parent metal nor the associated metal compounds exceed the alternate threshold, then the facility should file a Form A for each, since the reporting thresholds must be applied to each listed parent metal and each metal compound category. EPA believes it is appropriate to make this distinction between filing the Form R and Form A because the Form R accounts for amounts of metal released or otherwise managed and Form A verifies that the alternate threshold for each listed chemical or chemical category has not been exceeded.

Similarly, separate Form As should be submitted for all other listed chemicals even if EPA allows one Form R to be filed for two or more listed chemicals, e.g., o-xylene, p-xylene and xylene (mixed isomers). For example, if a facility processes in three separate process streams, xylene (mixed isomers), o-xylene, and p-xylene, and exceeds the conditions of the alternate threshold for each of these listed substances, the facility may combine the appropriate information on the o-xylene, p-xylene, and xylene (mixed isomers) into one Form R.

Facilities that process o-xylene, p-xylene, and xylene (mixed isomers) in separate process streams and do not exceed the conditions of the alternate threshold for one or more of the compounds, may submit a separate Form A for each of the forms of xylene meeting the alternate threshold and report on Form R for those forms that do not. Similar to reporting on the parent metals and metal compounds described above, facilities that separately process all forms of xylene with individual activity levels within the conditions of the alternate threshold should file a separate Form A for each form of xylene.

## E. Instructions for Completing EPA Alternate Threshold Form A

The following are specific instructions for completing each part of EPA Alternate Threshold Form A. All of the data elements that appear on the Alternate Threshold Form A are a subset of and are identical to those on Form R except for the content of the statement to be signed by an authorized individual. The number designations of the parts and sections of these instructions correspond to those in Form R unless otherwise indicated.

### For all parts of Form A:

1. Type or print information on the form in the format requested. Use black ink. (Using blue ink for the certification signature is suggested as a means of indicating its originality.)
2. All information on the Certification Statement is required.
3. Do not leave items in Parts I and II on the Certification Statement blank unless specifically directed to do so; if an item does not apply to you, enter not applicable, NA, in the space provided. If your information does not fill all the spaces provided for a type of information, enter NA, in the next blank space in the sequence.
4. Do not submit an incomplete form. The certification statement (Part I) specifies that the report is complete as submitted. See page 1 of these instructions for the definition of a complete submission.

### Part I. Facility Identification Information

#### Section 1. Reporting Year

This is the calendar year to which the reported information applies, not the year in which you are submitting the report. Information for the 1996 reporting year must be submitted on or before July 1, 1997.

#### Section 2. Trade Secret Information

##### 2.1 Are you claiming the chemical identity on page 1 trade secret?

Answer this question only after you have completed the rest of the report. The specific identity of the toxic chemical being reported in Part II, Section 1, may be designated as a trade secret. If you are making a trade secret claim, mark "yes" and proceed to Section 2.2. Only check "yes" if it is your manufacturing, processing, or otherwise use of the toxic chemical whose identity is a trade secret. (See page 1 of these instructions for specific information on trade secrecy claims.) If you checked "no," proceed to Section 3; do not answer Section 2.2.

##### 2.2 If "yes" in 2.1, is this copy sanitized or unsanitized?

Answer this question only after you have completed the rest of the report. Check "sanitized" if this copy of the report is the public version which does not contain the toxic chemical identity but does contain a generic name in its place, and you have claimed the toxic chemical identity trade secret in Part I, Section 2.1. Otherwise, check "unsanitized."

#### Section 3. Certification

The Form A must be signed by the owner or operator or a senior official with management responsibility for the person (or persons) completing the form. The owner, operator, or official must certify the accuracy and completeness of the information reported on the form by signing and dating the Form A. Each report must contain an original signature. Unlike the certification statement contained on Form R, the certification statement provided on the Alternate Threshold Form A pertains to the facility's eligibility of having meet the conditions as described in Section D or in the Federal Register 59 FR 61488 (November 30, 1994). Print or type in the space provided the name and title of the person who signs the statement. This certification statement applies to all the information supplied on the form and should be signed only after the form has been completed.

## Section 4. Facility Identification

### 4.1 Facility Name, Location, and TRI Facility Identification Number

Enter the name of your facility (plant site name or appropriate facility designation), street address, mailing address, city, county, state, and zip code in the space provided. Do not use a post office box number as the street address. The street address provided should be the location where the toxic chemicals are manufactured, processed, or otherwise used. If your mailing address and street address are the same, enter NA in the space for the mailing address.

If you have submitted a Form R for previous reporting years, a TRI Facility Identification Number has been assigned to your facility. If you cannot locate your TRI Facility Identification Number, please contact the Emergency Planning and Community Right-to-Know Information Hotline (see page 4).

Enter "NA" in the space for the TRI Facility Identification Number if your facility has never filed a Form A (certification statement) or a Form R. If you have previously submitted a Form A (certification statement) or a Form R, use the TRI Facility Identification Number that you have been assigned. If you previously submitted a Form A (certification statement) or a Form R, but do not know what it is, contact the EPCRA Hotline (see page 4).

### 4.2 Federal Facility Designation

On August 3, 1993, Executive Order 12856 was signed which directs federal facilities to comply with Right-To-Know Laws and Pollution Prevention Requirements. Please indicate in 4.2.C. if the reporting facility is a federal facility. If the reporting facility is not a federal facility, leave this space blank. Form R allows a facility to report multiple submissions for the same chemical, if the facility is composed of several distinct establishments. This data element provides the option of reporting full or partial facility information on Form R, however this is not applicable for those facilities taking advantage of the Alternate Threshold and using Form A. An explanation of this is provided in Section D.

### 4.3 Technical Contact

Enter the name and telephone number (including area code) of a technical representative whom EPA or State officials may contact for clarification of the information reported on Form A. This contact person does not have to be the same person who prepares the report or signs the Form A and does not necessarily need to be someone at the location of the reporting facility; however, this person must be familiar with the details of the report so that he or she can answer questions about the information provided.

### 4.4 Intentionally Left Blank for the Certification Statement

### 4.5 Standard Industrial Classification (SIC) Code

Enter the appropriate 4-digit primary Standard Industrial Classification (SIC) code for your facility. Table I lists the SIC codes within the 20-39 range. If the report covers more than one establishment, enter the primary 4-digit SIC code for each establishment starting with the primary SIC code for the entire facility. You are required to enter SIC codes only for those establishments within the facilities that fall within SIC codes 20 to 39. If you do not know your SIC code, consult the 1987 SIC Manual (see page 5).

### 4.6 Latitude and Longitude

Enter the latitudinal and longitudinal coordinates of your facility. Sources of these data include EPA permits (e.g., NPDES permits), county property records, facility blueprints, and site plans. Instructions on how to determine these coordinates can be found in Appendix E. Enter only numerical data. Do not preface numbers with letters such as N or W to denote the hemisphere. Latitude and longitude coordinates of your facility are very important for pinpointing the location of reporting facilities and are required elements on the Form A. EPA encourages facilities to make the best possible measurements when determining latitude and longitude. As with any other data field, missing, suspect, or incorrect data may generate a Notice of Technical Error to be issued to the facility. (See Appendix C: Common Errors in Completing Form R Reports).

#### **4.7 Dun and Bradstreet Number**

Enter the 9-digit number assigned by Dun and Bradstreet (D & B) for your facility or each establishment within your facility. These numbers code the facility for financial purposes. This number may be available from your facility's treasurer or financial officer. You can also obtain the numbers from your local Dun and Bradstreet office (check the telephone book *White Pages*). If a facility does not subscribe to the D & B service, a "support number" can be obtained from the Dun & Bradstreet center located in Allentown, Pennsylvania, at (215) 882-7748 (8:30 am to 8:00 pm, Eastern Time). If none of your establishments has been assigned a D & B number, enter not applicable, NA, in box (a). If only some of your establishments have been assigned Dun and Bradstreet numbers, enter those numbers in Part I, section 4.7.

#### **4.8 EPA Identification Number**

The EPA I.D. Number is a 12-character number assigned to facilities covered by hazardous waste regulations under the Resource Conservation and Recovery Act (RCRA). Facilities not covered by RCRA are not likely to have an assigned I.D. Number. If your facility is not required to have an I.D. Number, enter not applicable, NA, in box (a). If your facility has been assigned EPA Identification Numbers, you must enter those numbers in the spaces provided in Section 4.8.

#### **4.9 NPDES Permit Number**

Enter the numbers of any permits your facility holds under the National Pollutant Discharge Elimination System (NPDES) even if the permit(s) do not pertain to the toxic chemical being reported. This 9-character permit number is assigned to your facility by EPA or the State under the authority of the Clean Water Act. If your facility does not have a permit, enter not applicable, NA, in Section 4.9a.

#### **4.10 Underground Injection Well Code (UIC) Identification Number**

If your facility has a permit to inject a waste containing the toxic chemical into Class 1 deep wells, enter the 12-digit Underground Injection Well Code (UIC) identification number assigned by EPA or by the State under

the authority of the Safe Drinking Water Act. If your facility does not hold such a permit(s), enter not applicable, NA, in Section 4.10a. You are only required to provide the UIC number for wells that receive the toxic chemical being reported.

### **Section 5. Parent Company Information**

You must provide information on your parent company. For purposes of the Form A, a parent company is defined as the highest level company, located in the United States, that directly owns at least 50 percent of the voting stock of your company. If your facility is owned by a foreign entity, enter not applicable, NA, in this space. Corporate names should be treated as parent company names for companies with multiple facility sites. For example, the Bestchem Corporation is not owned or controlled by any other corporation but has sites throughout the country whose names begin with Bestchem. In this case, Bestchem Corporation would be listed as the parent company.

#### **5.1 Name of Parent Company**

Enter the name of the corporation or other business entity that is your ultimate US parent company. If your facility has no parent company, check the NA box.

#### **5.2 Parent Company's Dun & Bradstreet Number**

Enter the Dun and Bradstreet Number for your ultimate US parent company, if applicable. The number may be obtained from the treasurer or financial officer of the company. If your parent company does not have a Dun and Bradstreet number, check the NA box.

## Part II. Chemical Specific Information

Reporting on the Alternate Threshold Form A for metals, metal compounds, and mixed isomers differs somewhat from Form R reporting. Please refer to Section D for these guidelines.

### Section 1. Toxic Chemical Identity

#### 1.1 CAS Number

Enter the Chemical Abstracts Service (CAS) registry number in Section 1.1 exactly as it appears in Table II for the chemical being reported. CAS numbers are cross-referenced with an alphabetical list of chemical names in Table II of these instructions. If you are reporting one of the toxic chemical categories in Table II (e.g., chromium compounds), enter the applicable category code in the CAS number space. Toxic chemical category codes are listed below and can also be found in Table II.

#### Toxic Chemical Category Codes

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N171	Ethylenebisdithiocarbamic acid, salts and esters, (EBDCS)
N230	Certain Glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds
N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes
N590	Polycyclic aromatic compounds
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts
N760	Thallium compounds
N874	Warfarin and salts
N982	Zinc compounds

If you are making a trade secret claim, you must report the CAS number or category code on your unsanitized Form A and unsanitized substantiation form. Do not include the CAS number or category code on your sanitized Form A or sanitized substantiation form.

#### 1.2 Toxic Chemical or Chemical Category Name

Enter the name of the toxic chemical or chemical category exactly as it appears in Table II. If the toxic chemical name is followed by a synonym in (parentheses), report the chemical by the name that directly follows the CAS number (i.e., not the synonym). If the listed toxic chemical identity is actually a product trade name (e.g., dicofol), the 9th Collective Index name is listed below it in brackets. You may report either name in this case.

Do not list the name of a chemical that does not appear in Table II, such as individual members of a reportable toxic chemical category. For example, if you use silver nitrate, do not report silver nitrate with its CAS number. Report this chemical as "silver compounds" with its category code, N740.

If you are making a trade secret claim, you must report the specific toxic chemical identity on your unsanitized Form A and unsanitized substantiation form. Do not report the name of the toxic chemical on your sanitized Form A or sanitized substantiation form. Include a generic name in Part II, Section 1.3 of your sanitized Form A.

#### 1.3 Generic Chemical Name

Complete Section 1.3 only if you are claiming the specific toxic chemical identity of the toxic chemical as a trade secret and have marked the trade secret block in Part I, Section 2.1 on page 1 of Form R. Enter a generic chemical name that is descriptive of the chemical structure. You must limit the generic name to seventy characters (e.g., numbers, letters, spaces, punctuation) or less. Do not enter mixture names in Section 1.3; see Section 2 on next page.

---

In-house plant codes and other substitute names that are not structurally descriptive of the toxic chemical identity being withheld as a trade secret are not acceptable as a generic name. The generic name must appear on both sanitized and unsanitized Form A, and the name must be the same as that used on your substantiation forms.

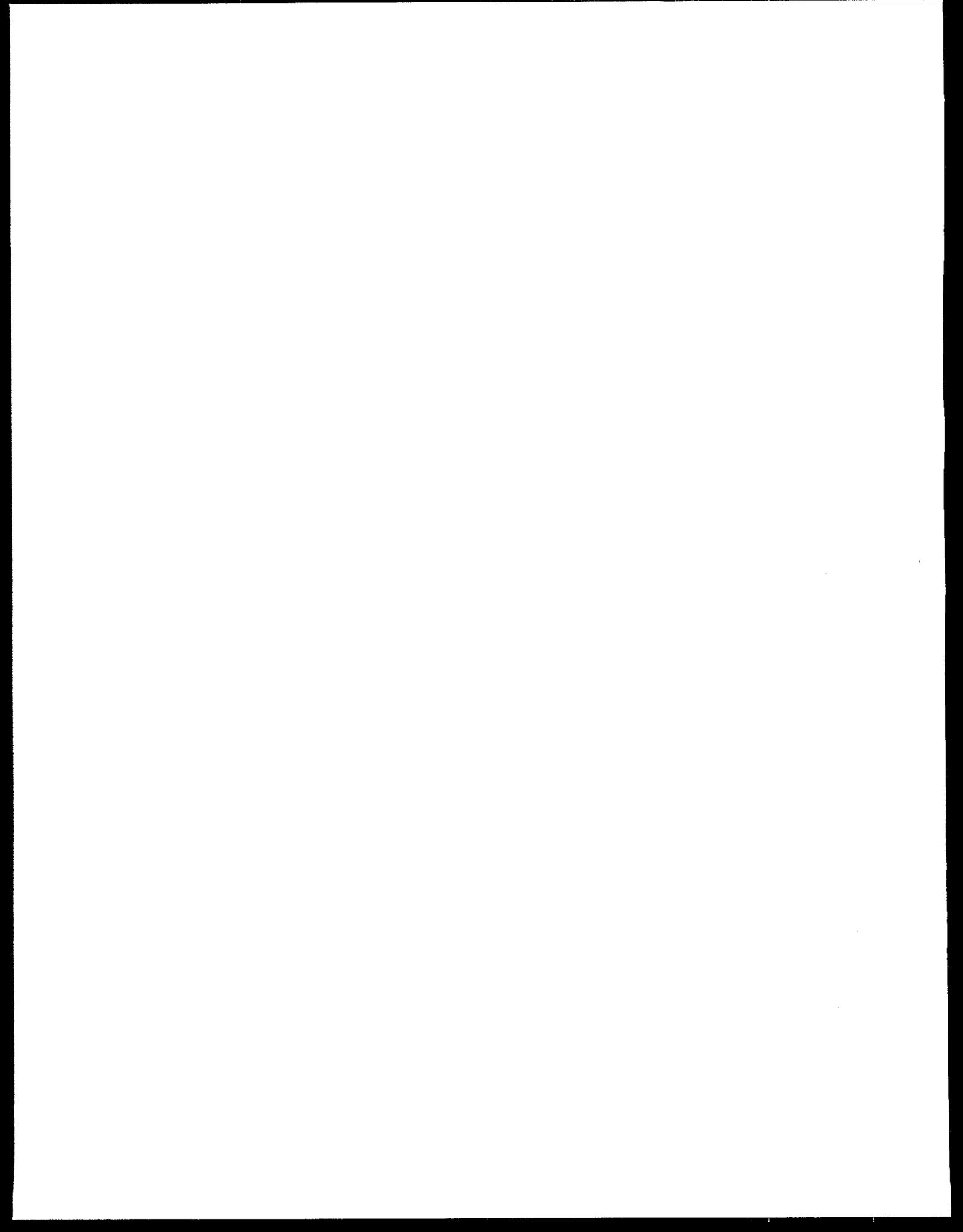
## **Section 2. Mixture Component Identity**

Do not complete this section if you have completed Section 1 of Part II. Report the generic name provided to you by your supplier in this section if your supplier is claiming the chemical identity proprietary or trade secret. Do not answer "yes" in Part I, Section 2.1 on page 1 of the form if you complete this section. You do not need to supply trade secret substantiation forms for this toxic chemical because it is your supplier who is claiming the chemical identity a trade secret.

### **2.1 Generic Chemical Name Provided by Supplier**

Enter the generic chemical name in this section only if the following three conditions apply:

1. You determine that the mixture contains a listed toxic chemical but the only identity you have for that chemical is a generic name;
2. You know either the specific concentration of that toxic chemical component or a maximum or average concentration level; and
3. You multiply the concentration level by the total annual amount of the whole mixture processed or otherwise used and determine that you meet the process or otherwise use threshold for that single, generically identified mixture component.





United States Environmental Protection Agency

TOXIC CHEMICAL RELEASE INVENTORY FORM A

WHERE TO SEND THIS STATEMENT:

1. EPCRA Reporting Center P.O. Box 3348 Merrifield, VA 22116-3348 ATTN: TOXIC CHEMICAL RELEASE INVENTORY

2. APPROPRIATE STATE OFFICE (See instructions in Appendix F)

Enter "X" here if this is a revision

PART I. FACILITY IDENTIFICATION INFORMATION

SECTION 1. REPORTING YEAR 19 \_\_\_\_

SECTION 2. TRADE SECRET INFORMATION 2.1 Are you claiming the toxic chemical identified on page 2 trade secret? 2.2 If you answered yes in 2.1, is this copy: Sanitized Unsanitized

SECTION 3. CERTIFICATION (Important: Please read and sign after completing the statement.)

I hereby certify that to the best of my knowledge and belief, for the toxic chemical listed in this statement, the annual reportable amount, as defined in 40 CFR 372.27(a), did not exceed 500 pounds for this reporting year and that the chemical was manufactured, processed, or otherwise used in an amount not exceeding 1 million pounds during this reporting year.

Name and official title of owner/operator or senior management official Signature Date Signed

SECTION 4. FACILITY IDENTIFICATION

4.1 Facility or Establishment Name TRI Facility ID Number Mailing Address (if different from street address) City State Zip Code Street Address City County State Zip Code

4.2 This report contains information for: (Important: check c if applicable; a and b have been intentionally left blank) c. A Federal facility

4.3 Technical Contact Name Telephone Number (include area code)

This side intentionally  
left blank.

Please do not copy  
double-sided!



United States Environmental Protection Agency

TOXIC CHEMICAL RELEASE INVENTORY FORM A

SECTION 4. FACILITY IDENTIFICATION (Continued)

4.4	Intentionally left blank						
4.5	SIC Code (4-digit)	a.	b.	c.	d.	e.	f.
4.6	Latitude and Longitude	Latitude			Longitude		
		Degrees	Minutes	Seconds	Degrees	Minutes	Seconds
4.7	Dun & Bradstreet Number(s) (9 digits)					a.	
						b.	
4.8	EPA Identification Number(s) (RCRA I.D. No.) (12 characters)					a.	
						b.	
4.9	Facility NPDES Permit Number(s) (9 characters)					a.	
						b.	
4.10	Underground Injection Well Code (UIC) I.D. Number(s) (12 digits)					a.	
						b.	

SECTION 5. PARENT COMPANY INFORMATION

5.1	Name of Parent Company	
	<input type="checkbox"/> NA	
5.2	Parent Company's Dun & Bradstreet Number	
	<input type="checkbox"/> NA	(9 digits)

PART II. CHEMICAL IDENTIFICATION

SECTION 1. TOXIC CHEMICAL IDENTITY

1.1	CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)
1.2	Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)
1.3	Generic Chemical Name (Important: Complete <b>only</b> if Part I, Section 2.1 is checked "yes." Generic Name must be structurally descriptive.)

SECTION 2. MIXTURE COMPONENT IDENTITY

(Important: DO NOT complete this section if you complete Section 1 above.)

2.1	Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)

This side intentionally  
left blank.

Please do not copy  
double-sided!

**TABLE I. SIC CODES 20-39****20 Food and Kindred Products**

2011 Meat packing plants  
2013 Sausages and other prepared meat products  
2015 Poultry slaughtering and processing  
2021 Creamery butter  
2022 Natural, processed, and imitation cheese  
2023 Dry, condensed, and evaporated dairy products  
2024 Ice cream and frozen desserts  
2026 Fluid milk  
2032 Canned specialties  
2033 Canned fruits, vegetables, preserves, jams, and jellies  
2034 Dried and dehydrated fruits, vegetables, and soup mixes  
2035 Pickled fruits and vegetables, vegetable sauces and seasonings, and salad dressings  
2037 Frozen fruits, fruit juices, and vegetables  
2038 Frozen specialties, n.e.c.\*  
2041 Flour and other grain mill products  
2043 Cereal breakfast foods  
2044 Rice milling  
2045 Prepared flour mixes and doughs  
2046 Wet corn milling  
2047 Dog and cat food  
2048 Prepared feeds and feed ingredients for animals and fowls, except dogs and cats  
2051 Bread and other bakery products, except cookies and crackers  
2052 Cookies and crackers  
2053 Frozen bakery products, except bread  
2061 Cane sugar, except refining  
2062 Cane sugar refining  
2063 Beet sugar  
2064 Candy and other confectionery products.  
2066 Chocolate and cocoa products  
2067 Chewing gum  
2068 Salted and roasted nuts and seeds  
2074 Cottonseed oil mills  
2075 Soybean oil mills  
2076 Vegetable oil mills, n.e.c.\*  
2077 Animal and marine fats and oils  
2079 Shortening, table oils, margarine, and other edible fats and oils, n.e.c.\*  
2082 Malt beverages  
2083 Malt  
2084 Wines, brandy, and brandy spirits  
2085 Distilled and blended liquors

2086 Bottled and canned soft drinks and carbonated waters  
2087 Flavoring extracts and flavoring syrups, n.e.c.\*  
2091 Canned and cured fish and seafoods  
2092 Prepared fresh or frozen fish and seafoods  
2095 Roasted coffee  
2096 Potato chips, corn chips, and similar snacks  
2097 Manufactured ice  
2098 Macaroni, spaghetti, vermicelli, and noodles  
2099 Food preparations, n.e.c.\*

**21 Tobacco Products**

2111 Cigarettes  
2121 Cigars  
2131 Chewing and smoking tobacco and snuff  
2141 Tobacco stemming and redrying

**22 Textile Mill Products**

2211 Broadwoven fabric mills, cotton  
2221 Broadwoven fabric mills, manmade fiber, and silk  
2231 Broadwoven fabric mills, wool (including dyeing and finishing)  
2241 Narrow fabric and other smallwares mills: cotton, wool, silk, and manmade fiber  
2251 Women's full length and knee length hosiery, except socks  
2252 Hosiery, n.e.c.\*  
2253 Knit outerwear mills  
2254 Knit underwear and nightwear mills  
2257 Weft knit fabric mills  
2258 Lace and warp knit fabric mills  
2259 Knitting mills, n.e.c.\*  
2261 Finishers of broadwoven fabrics of cotton  
2262 Finishers of broadwoven fabrics of manmade fiber and silk  
2269 Finishers of textiles, n.e.c.\*  
2273 Carpets and rugs  
2281 Yarn spinning mills  
2282 Yarn texturizing, throwing, twisting, and winding mills  
2284 Thread mills  
2295 Coated fabrics, not rubberized  
2296 Tire cord and fabrics  
2297 Nonwoven fabrics  
2298 Cordage and twine  
2299 Textile goods, n.e.c.\*

\*"Not elsewhere classified" indicated by "n.e.c."

## 23 Apparel and Other Finished Products made from Fabrics and Other Similar Materials

- 2311 Men's and boys' suits, coats, and overcoats
- 2321 Men's and boys' shirts, except work shirts
- 2322 Men's and boys' underwear and nightwear
- 2323 Men's and boys' neckwear
- 2325 Men's and boys' separate trousers and slacks
- 2326 Men's and boys' work clothing
- 2329 Men's and boys' clothing, n.e.c.\*
- 2331 Women's, misses', and juniors' blouses and shirts
- 2335 Women's, misses', and juniors' dresses
- 2337 Women's, misses', and juniors' suits, skirts, and coats
- 2339 Women's, misses', and juniors', outerwear, n.e.c.\*
- 2341 Women's, misses', children's, and infants' underwear and nightwear
- 2342 Brassieres, girdles, and allied garments
- 2353 Hats, caps, and millinery
- 2361 Girls', children's and infants' dresses, blouses, and shirts
- 2369 Girls', children's and infants' outerwear, n.e.c.\*
- 2371 Fur goods
- 2381 Dress and work gloves, except knit and all leather
- 2384 Robes and dressing gowns
- 2385 Waterproof outerwear
- 2386 Leather and sheep lined clothing
- 2387 Apparel belts
- 2389 Apparel and accessories, n.e.c.\*
- 2391 Curtains and draperies
- 2392 Housefurnishings, except curtains and draperies
- 2393 Textile bags
- 2394 Canvas and related products
- 2395 Pleating, decorative and novelty stitching, and tucking for the trade
- 2396 Automotive trimmings, apparel findings, and related products
- 2397 Schiffli machine embroideries
- 2399 Fabricated textile products, n.e.c.\*

## 24 Lumber and Wood Products, Except Furniture

- 2411 Logging
- 2421 Sawmills and planing mills, general
- 2426 Hardwood dimension and flooring mills

- 2429 Special product sawmills, n.e.c.\*
- 2431 Millwork
- 2434 Wood kitchen cabinets
- 2435 Hardwood veneer and plywood
- 2436 Softwood veneer and plywood
- 2439 Structural wood members, n.e.c.\*
- 2441 Nailed and lock corner wood boxes and shook
- 2448 Wood pallets and skids
- 2449 Wood containers, n.e.c.\*
- 2451 Mobile homes
- 2452 Prefabricated wood buildings and components
- 2491 Wood preserving
- 2493 Reconstituted wood products
- 2499 Wood products, n.e.c.\*

## 25 Furniture and Fixtures

- 2511 Wood household furniture, except upholstered
- 2512 Wood household furniture, upholstered
- 2514 Metal household furniture
- 2515 Mattresses, foundations, and convertible beds
- 2517 Wood television, radio, phonograph, and sewing machine cabinets
- 2519 Household furniture, n.e.c.\*
- 2521 Wood office furniture
- 2522 Office furniture, except wood
- 2531 Public building and related furniture
- 2541 Wood office and store fixtures, partitions, shelving, and lockers
- 2542 Office and store fixtures, partitions, shelving, and lockers, except wood
- 2591 Drapery hardware and window blinds and shades
- 2599 Furniture and fixtures, n.e.c.\*

## 26 Paper and Allied Products

- 2611 Pulp mills
- 2621 Paper mills
- 2631 Paperboard mills
- 2652 Setup paperboard boxes
- 2653 Corrugated and solid fiber boxes
- 2655 Fiber cans, tubes, drums, and similar products
- 2656 Sanitary food containers, except folding
- 2657 Folding paperboard boxes, including sanitary
- 2671 Packaging paper and plastics film, coated and laminated
- 2672 Coated and laminated paper, n.e.c.\*
- 2673 Plastics, foil, and coated paper bags
- 2674 Uncoated paper and multiwall bags
- 2675 Die-cut paper and paperboard and cardboard

- 2676 Sanitary paper products
- 2677 Envelopes
- 2678 Stationery tablets, and related products
- 2679 Converted paper and paperboard products, n.e.c.\*

## 27 Printing, Publishing, and Allied Industries

- 2711 Newspapers: publishing, or publishing and printing
- 2721 Periodicals: publishing, or publishing and printing
- 2731 Books: publishing, or publishing and printing
- 2732 Book printing
- 2741 Miscellaneous publishing
- 2752 Commercial printing, lithographic
- 2754 Commercial printing, gravure
- 2759 Commercial printing, n.e.c.\*
- 2761 Manifold business forms
- 2771 Greeting cards
- 2782 Blankbooks, looseleaf binders and devices
- 2789 Bookbinding and related work
- 2791 Typesetting
- 2796 Platemaking and related services

## 28 Chemicals and Allied Products

- 2812 Alkalies and chlorine
- 2813 Industrial gases
- 2816 Inorganic pigments
- 2819 Industrial inorganic chemicals, n.e.c.\*
- 2821 Plastics materials, synthetic resins, and non-vulcanizable elastomers
- 2822 Synthetic rubber (vulcanizable elastomers)
- 2823 Cellulosic manmade fibers
- 2824 Manmade organic fibers, except cellulosic
- 2833 Medicinal chemicals and botanical products
- 2834 Pharmaceutical preparations
- 2835 In vitro and in vivo diagnostic substances
- 2836 Biological products, except diagnostic substances
- 2841 Soap and other detergents, except specialty cleaners
- 2842 Specialty cleaning, polishing, and sanitation preparations
- 2843 Surface active agents, finishing agents, sulfonated oils, and assistants
- 2844 Perfumes, cosmetics, and other toilet preparations

- 2851 Paints, varnishes, lacquers, enamels, and allied products
- 2861 Gum and wood chemicals
- 2865 Cyclic organic crudes and intermediates, and organic dyes and pigments
- 2869 Industrial organic chemicals, n.e.c.\*
- 2873 Nitrogenous fertilizers
- 2874 Phosphatic fertilizers
- 2875 Fertilizers, mixing only
- 2879 Pesticides and agricultural chemicals, n.e.c.\*
- 2891 Adhesives and sealants
- 2892 Explosives
- 2893 Printing ink
- 2895 Carbon black
- 2899 Chemicals and chemical preparations, n.e.c.\*

## 29 Petroleum Refining and Related Industries

- 2911 Petroleum refining
- 2951 Asphalt paving mixtures and blocks
- 2952 Asphalt felts and coatings
- 2992 Lubricating oils and greases
- 2999 Products of petroleum and coal, n.e.c.\*

## 30 Rubber and Miscellaneous Plastics Products

- 3011 Tires and inner tubes
- 3021 Rubber and plastics footwear
- 3052 Rubber and plastics hose and belting
- 3053 Gaskets, packing, and sealing devices
- 3061 Molded, extruded, and lathecut mechanical rubber products
- 3069 Fabricated rubber products, n.e.c.\*
- 3081 Unsupported plastics film and sheet
- 3082 Unsupported plastics profile shapes
- 3083 Laminated plastics plate, sheet, and profile shapes
- 3084 Plastics pipe
- 3085 Plastics bottles
- 3086 Plastics foam products
- 3087 Custom compounding of purchased plastics resins
- 3088 Plastics plumbing fixtures
- 3089 Plastics products, n.e.c.\*

### 31 Leather and Leather Products

- 3111 Leather tanning and finishing
- 3131 Boot and shoe cut stock and findings
- 3142 House slippers
- 3143 Men's footwear, except athletic
- 3144 Women's footwear, except athletic
- 3149 Footwear, except rubber, n.e.c.\*
- 3151 Leather gloves and mittens
- 3161 Luggage
- 3171 Women's handbags and purses
- 3172 Personal leather goods, except women's handbags and purses
- 3199 Leather goods, n.e.c.\*

### 32 Stone, Clay, Glass and Concrete Products

- 3211 Flat glass
- 3221 Glass containers
- 3229 Pressed and blown glass and glassware, n.e.c.\*
- 3231 Glass products, made of purchased glass
- 3241 Cement, hydraulic
- 3251 Brick and structural clay tile
- 3253 Ceramic wall and floor tile
- 3255 Clay refractories
- 3259 Structural clay products, n.e.c.\*
- 3261 Vitreous china plumbing fixtures and china and earthenware fittings and bathroom accessories
- 3262 Vitreous china table and kitchen articles
- 3263 Fine earthenware (whiteware) table and kitchen articles
- 3264 Porcelain electrical supplies
- 3269 Pottery products, n.e.c.\*
- 3271 Concrete block and brick
- 3272 Concrete products, except block and brick
- 3273 Ready mixed concrete
- 3274 Lime
- 3275 Gypsum products
- 3281 Cut stone and stone products
- 3291 Abrasive products
- 3292 Asbestos products
- 3295 Minerals and earths, ground or otherwise treated
- 3296 Mineral wool
- 3297 Nonclay refractories
- 3299 Nonmetallic mineral products, n.e.c.\*

### 33 Primary Metal Industries

- 3312 Steel works, blast furnaces (including coke ovens), and rolling mills
- 3313 Electrometallurgical products, except steel
- 3315 Steel wiredrawing and steel nails and spikes
- 3316 Cold-rolled steel sheet, strip, and bars
- 3317 Steel pipe and tubes
- 3321 Gray and ductile iron foundries
- 3322 Malleable iron foundries
- 3324 Steel investment foundries
- 3325 Steel foundries, n.e.c.\*
- 3331 Primary smelting and refining of copper
- 3334 Primary production of aluminum
- 3339 Primary smelting and refining of nonferrous metals, except copper and aluminum
- 3341 Secondary smelting and refining of nonferrous metals
- 3351 Rolling, drawing, and extruding of copper
- 3353 Aluminum sheet, plate, and foil
- 3354 Aluminum extruded products
- 3355 Aluminum rolling and drawing, n.e.c.\*
- 3356 Rolling, drawing, and extruding of nonferrous metals, except copper and aluminum
- 3357 Drawing and insulating of nonferrous wire
- 3363 Aluminum die-castings
- 3364 Nonferrous die-castings, except aluminum
- 3365 Aluminum foundries
- 3366 Copper foundries
- 3369 Nonferrous foundries, except aluminum and copper
- 3398 Metal heat treating
- 3399 Primary metal products, n.e.c.\*

### 34 Fabricated Metal Products, except Machinery and Transportation Equipment

- 3411 Metal cans
- 3412 Metal shipping barrels, drums, kegs, and pails
- 3421 Cutlery
- 3423 Hand and edge tools, except machine tools and handsaws
- 3425 Handsaws and saw blades
- 3429 Hardware, n.e.c.\*
- 3431 Enameled iron and metal sanitary ware
- 3432 Plumbing fixture fittings and trim
- 3433 Heating equipment, except electric and warm air furnaces
- 3441 Fabricated structural metal
- 3442 Metal doors, sash, frames, molding, and trim
- 3443 Fabricated plate work (boiler shops)

3444 Sheet metal work  
 3446 Architectural and ornamental metal work  
 3448 Prefabricated metal buildings and components  
 3449 Miscellaneous structural metal work  
 3451 Screw machine products  
 3452 Bolts, nuts, screws, rivets, and washers  
 3462 Iron and steel forgings  
 3463 Nonferrous forgings  
 3465 Automotive stampings  
 3468 Crowns and closures  
 3469 Metal stampings, n.e.c.\*  
 3471 Electroplating, plating, polishing, anodizing, and coloring  
 3479 Coating, engraving and allied services, n.e.c.\*  
 3482 Small arms ammunition  
 3483 Ammunition, except for small arms  
 3484 Small arms  
 3489 Ordnance and accessories, n.e.c.\*  
 3491 Industrial valves  
 3492 Fluid power valves and hose fittings  
 3493 Steel springs, except wire  
 3494 Valves and pipe fittings, n.e.c.\*  
 3495 Wire springs  
 3496 Miscellaneous fabricated wire products  
 3497 Metal foil and leaf  
 3498 Fabricated pipe and pipe fittings  
 3499 Fabricated metal products, n.e.c.\*

### 35 Industrial and Commercial Machinery and Computer Equipment

3511 Steam, gas and hydraulic turbines, and turbine generator set units  
 3519 Internal combustion engines, n.e.c.\*  
 3523 Farm machinery and equipment  
 3524 Lawn and garden tractors and home lawn and garden equipment  
 3531 Construction machinery and equipment  
 3532 Mining machinery and equipment, except oil and gas field machinery and equipment  
 3533 Oil and gas field machinery and equipment  
 3534 Elevators and moving stairways  
 3535 Conveyors and conveying equipment  
 3536 Overhead traveling cranes, hoists, and monorail systems  
 3537 Industrial trucks, tractors, trailers, and stackers  
 3541 Machine tools, metal cutting types  
 3542 Machine tools, metal forming types  
 3543 Industrial patterns  
 3544 Special dies and tools, die sets, jigs and fixtures, and industrial molds

3545 Cutting tools, machine tool accessories, and machinists' measuring devices  
 3546 Power driven handtools  
 3547 Rolling mill machinery and equipment  
 3548 Electric and gas welding and soldering equipment  
 3549 Metalworking machinery, n.e.c.\*  
 3552 Textile machinery  
 3553 Woodworking machinery  
 3554 Paper industries machinery  
 3555 Printing trades machinery and equipment  
 3556 Food products machinery  
 3559 Special industry machinery, n.e.c.\*  
 3561 Pumps and pumping equipment  
 3562 Ball and roller bearings  
 3563 Air and gas compressors  
 3564 Industrial and commercial fans and blowers and air purification equipment  
 3565 Packaging equipment  
 3566 Speed changers, industrial high speed drives, and gears  
 3567 Industrial process furnaces and ovens  
 3568 Mechanical power transmission equipment, n.e.c.\*  
 3569 General industrial machinery and equipment, n.e.c.\*  
 3571 Electronic computers  
 3572 Computer storage devices  
 3575 Computer terminals  
 3577 Computer peripheral equipment, n.e.c.\*  
 3578 Calculating and accounting machines, except electronic computers  
 3579 Office machines, n.e.c.\*  
 3581 Automatic vending machines  
 3582 Commercial laundry, drycleaning, and pressing machines  
 3585 Air conditioning and warm air heating equipment and commercial and industrial refrigeration equipment  
 3586 Measuring and dispensing pumps  
 3589 Service industry machinery, n.e.c.\*  
 3592 Carburetors, pistons, piston rings, and valves  
 3593 Fluid power cylinders and actuators  
 3594 Fluid power pumps and motors  
 3596 Scales and balances, except laboratory  
 3599 Industrial and commercial machinery and equipment, n.e.c.\*

## 36 Electronic and Other Electrical Equipment and Components, Except Computer Equipment

- 3612 Power, distribution, and specialty transformers
- 3613 Switchgear and switchboard apparatus
- 3621 Motors and generators
- 3624 Carbon and graphite products
- 3625 Relays and industrial controls
- 3629 Electrical industrial appliances, n.e.c.\*
- 3631 Household cooking equipment
- 3632 Household refrigerators and home and farm freezers
- 3633 Household laundry equipment
- 3634 Electrical housewares and fans
- 3635 Household vacuum cleaners
- 3639 Household appliances, n.e.c.\*
- 3641 Electric lampbulbs and tubes
- 3643 Current carrying wiring devices
- 3644 Noncurrent carrying wiring devices
- 3645 Residential electric lighting fixtures
- 3646 Commercial, industrial, and institutional electric lighting fixtures
- 3647 Vehicular lighting equipment
- 3648 Lighting equipment, n.e.c.\*
- 3651 Household audio and video equipment
- 3652 Phonograph records and pre-recorded audio tapes and disks
- 3661 Telephone and telegraph apparatus
- 3663 Radio and television broadcasting and communications equipment
- 3669 Communications equipment, n.e.c.\*
- 3671 Electron tubes
- 3672 Printed circuit boards
- 3674 Semiconductors and related devices
- 3675 Electronic capacitors
- 3676 Electronic resistors
- 3677 Electronic coils, transformers, and other inductors
- 3678 Electronic connectors
- 3679 Electronic components, n.e.c.\*
- 3691 Storage batteries
- 3692 Primary batteries, dry and wet
- 3694 Electric equipment for internal combustion engines
- 3695 Magnetic and optical recording media
- 3699 Electrical machinery, equipment, and supplies, n.e.c.\*

## 37 Transportation Equipment

- 3711 Motor vehicles and passenger car bodies
- 3713 Truck and bus bodies
- 3714 Motor vehicle parts and accessories
- 3715 Truck trailers
- 3716 Motor homes
- 3721 Aircraft
- 3724 Aircraft engines and engine parts
- 3728 Aircraft parts and auxiliary equipment, n.e.c.\*
- 3731 Ship building and repairing
- 3732 Boat building and repairing
- 3743 Railroad equipment
- 3751 Motorcycles, bicycles and parts
- 3761 Guided missiles and space vehicles
- 3764 Guided missile and space vehicle propulsion units and propulsion unit parts
- 3769 Guided missile and space vehicle parts and auxiliary equipment, n.e.c.\*
- 3792 Travel trailers and campers
- 3795 Tanks and tank components
- 3799 Transportation equipment, n.e.c.\*

## 38 Measuring, Analyzing, and Controlling Instruments; Photographic, Medical and Optical Goods; Watches and Clocks

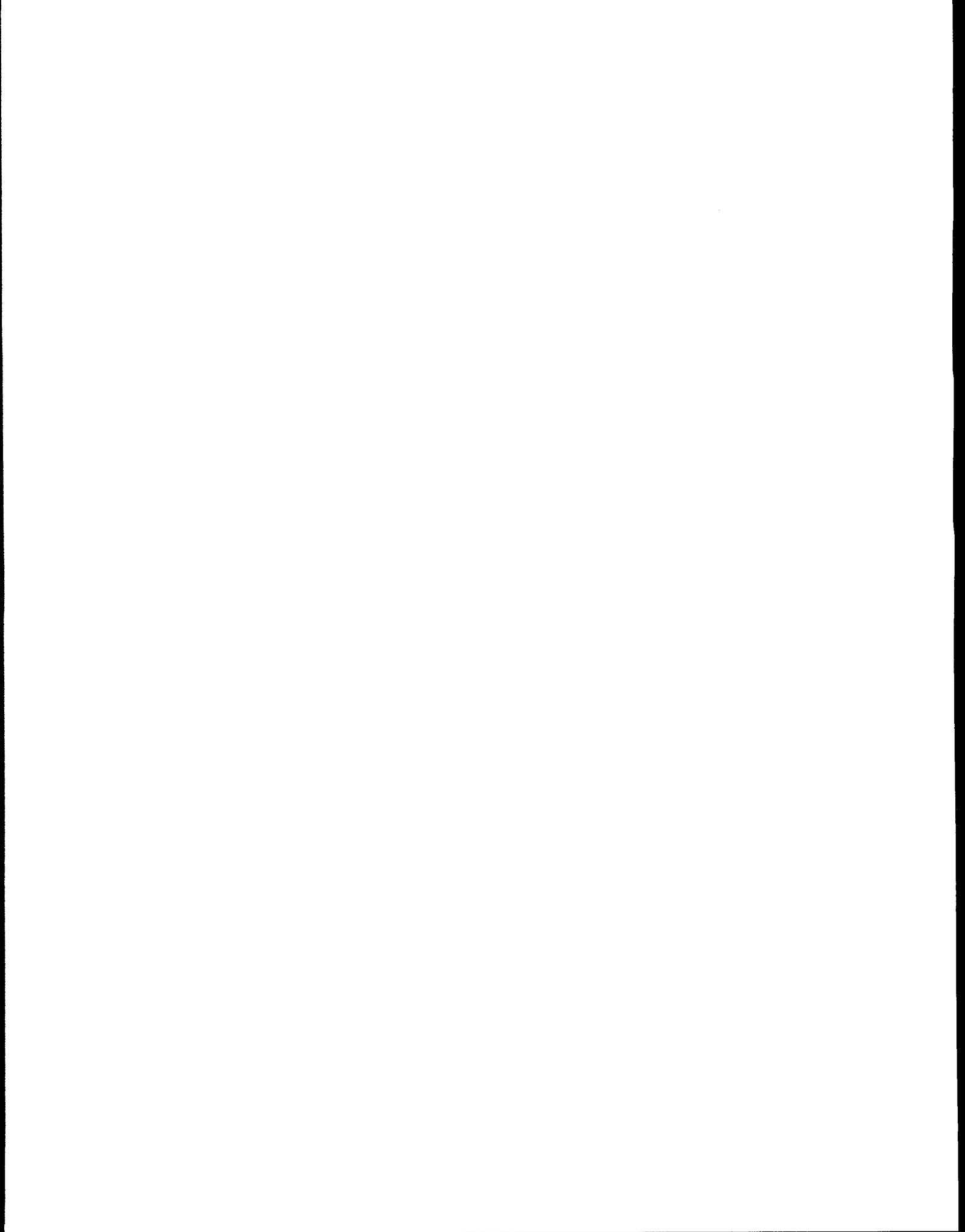
- 3812 Search, detection, navigation, guidance, aeronautical, and nautical systems and instruments
- 3821 Laboratory apparatus and furniture
- 3822 Automatic controls for regulating residential and commercial environments and appliances
- 3823 Industrial instruments for measurement, display, and control of process variables; and related products
- 3824 Totalizing fluid meters and counting devices
- 3825 Instruments for measuring and testing of electricity and electrical signals
- 3826 Laboratory analytical instruments
- 3827 Optical instruments and lenses
- 3829 Measuring and controlling devices, n.e.c.\*
- 3841 Surgical and medical instruments and apparatus
- 3842 Orthopedic, prosthetic, and surgical appliances and supplies
- 3843 Dental equipment and supplies
- 3844 X-ray apparatus and tubes and related irradiation apparatus

- 
- 3845 Electromedical and electrotherapeutic apparatus
  - 3851 Ophthalmic goods
  - 3861 Photographic equipment and supplies
  - 3873 Watches, clocks, clockwork operated devices, and parts

### **39 Miscellaneous Manufacturing Industries**

- 3911 Jewelry, precious metal
- 3914 Silverware, plated ware, and stainless steel ware
- 3915 Jewelers' findings and materials, and lapidary work
- 3931 Musical instruments
- 3942 Dolls and stuffed toys
- 3944 Games, toys and children's vehicles; except dolls and bicycles
- 3949 Sporting and athletic goods, n.e.c.\*
- 3951 Pens, mechanical pencils, and parts
- 3952 Lead pencils, crayons, and artists' materials
- 3953 Marking devices
- 3955 Carbon paper and inked ribbons
- 3961 Costume jewelry and costume novelties, except precious metal
- 3965 Fasteners, buttons, needles, and pins
- 3991 Brooms and brushes
- 3993 Signs and advertising specialties
- 3995 Burial caskets
- 3996 Linoleum, asphalted-felt-base, and other hard surface floor coverings, n.e.c.\*
- 3999 Manufacturing industries, n.e.c.\*

\*"Not elsewhere classified" indicated by "n.e.c."



## TABLE II. SECTION 313 TOXIC CHEMICAL LIST FOR REPORTING YEAR 1996 (including Toxic Chemical Categories)

Specific toxic chemicals with CAS Numbers are listed in alphabetical order on the next page. A list of the same chemicals in CAS Number order begins at the end of the alphabetical list of toxic chemicals. Covered chemical categories follow.

Certain toxic chemicals listed in Table II have parenthetical "qualifiers." These qualifiers indicate that these toxic chemicals are subject to the section 313 reporting requirements if manufactured, processed, or otherwise used in a specific form or when a certain activity is performed. The following chemicals are reportable only if they are manufactured, processed, or otherwise used in the specific form(s) listed below:

<u>Chemical</u>	<u>CAS Number</u>	<u>Qualifier</u>
Aluminum (fume or dust)	7429-90-5	<u>Only</u> if it is in a fume or dust form.
Aluminum oxide (fibrous forms)	1344-28-1	<u>Only</u> if it is a fibrous form.
Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	7664-41-7	<u>Only</u> 10 percent of aqueous forms. 100 percent of anhydrous forms.
Asbestos (friable)	1332-21-4	<u>Only</u> if it is a friable form.
Hydrochloric acid (acid aerolsols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7647-01-0	<u>Only</u> if it is an aerosol form as defined.
Phosphorus (yellow or white)	7723-14-0	<u>Only</u> if it is a yellow or white form.
Sulfuric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7664-93-9	<u>Only</u> if it is an aerosol form as defined.
Vanadium (fume or dust)	7440-62-2	<u>Only</u> if it is in a fume or dust form.
Zinc (fume or dust)	7440-66-6	<u>Only</u> if it is in a fume or dust form.

The qualifier for the following two chemicals is based on the chemical activity rather than the form of the chemical. These chemicals are subject to EPCRA section 313 reporting requirements only when the indicated activity is performed.

<u>Chemical</u>	<u>CAS Number</u>	<u>Qualifier</u>
Isopropyl alcohol (manufacturing - strong acid process, no supplier notification)	67-63-0	<u>Only</u> if it is being manufactured by the strong process.
Saccharin (manufacturing, no supplier notification)	81-07-2	<u>Only</u> if it is being manufactured.

There are no supplier notification requirements for isopropyl alcohol and saccharin since the processors and users of these chemicals are not required to report. Manufacturers of these chemicals do not need to notify their customers that these are reportable EPCRA section 313 chemicals.

[Note: Chemicals may be added to or deleted from the list. The Emergency Planning and Community Right-to-Know Information Hotline, (800) 535-0202, (800) 424-9346 or (703) 412-9877, will provide up-to-date information on the status of these changes. See section B.4.b of the instructions for more information on the de minimis values listed below.]

a. Alphabetical List of TRI Chemicals

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
71751-41-2	Abamectin [Avermectin B1]	1.0	7664-41-7	Ammonia	1.0
30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0		(includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	
75-07-0	Acetaldehyde	0.1			
60-35-5	Acetamide	0.1			
75-05-8	Acetonitrile	1.0			
98-86-2	Acetophenone	1.0	101-05-3	Anilazine	1.0
53-96-3	2-Acetylaminofluorene	0.1		[4,6-Dichloro-N-(2-chlorophenyl)- 1,3,5-triazin-2-amine]	
62476-59-9	Acifluorfen, sodium salt [5-(2-Chloro-4-(trifluoromethyl)- phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0	62-53-3	Aniline	1.0
			90-04-0	o-Anisidine	0.1
107-02-8	Acrolein	1.0	104-94-9	p-Anisidine	1.0
79-06-1	Acrylamide	0.1	134-29-2	o-Anisidine hydrochloride	0.1
79-10-7	Acrylic acid	1.0	120-12-7	Anthracene	1.0
107-13-1	Acrylonitrile	0.1	7440-36-0	Antimony	1.0
15972-60-8	Alachlor	1.0	7440-38-2	Arsenic	0.1
116-06-3	Aldicarb	1.0	1332-21-4	Asbestos (friable)	0.1
309-00-2	Aldrin [1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a, 5,8,8a-hexahydro-(1.alpha., 4.alpha.,4a.beta.,5.alpha.,8.alpha., 8a.beta.)-]	1.0	1912-24-9	Atrazine (6-Chloro-N-ethyl-N'-(1- methylethyl)-1,3,5-triazine-2,4- diamine)	0.1
			7440-39-3	Barium	1.0
28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethron]	1.0	22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4- ol methylcarbamate]	1.0
			1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4- (trifluoromethyl)- benzenamine)	1.0
107-18-6	Allyl alcohol	1.0			
107-11-9	Allylamine	1.0			
107-05-1	Allyl chloride	1.0	17804-35-2	Benomyl	1.0
7429-90-5	Aluminum (fume or dust)	1.0	98-87-3	Benzal chloride	1.0
20859-73-8	Aluminum phosphide	1.0	55-21-0	Benzamide	1.0
1344-28-1	Aluminum oxide (fibrous forms)	1.0	71-43-2	Benzene	0.1
834-12-8	Ametryn (N-Ethyl-N'-(1-methylethyl)-6- (methylthio)-1,3,5,-triazine- 2,4-diamine)	1.0	92-87-5	Benzidine	0.1
			98-07-7	Benzoic trichloride (Benzotrachloride)	0.1
117-79-3	2-Aminoanthraquinone	0.1	98-88-4	Benzoyl chloride	1.0
60-09-3	4-Aminoazobenzene	0.1	94-36-0	Benzoyl peroxide	1.0
92-67-1	4-Aminobiphenyl	0.1	100-44-7	Benzyl chloride	1.0
82-28-0	1-Amino-2- methylantraquinone	0.1	7440-41-7	Beryllium	0.1
			82657-04-3	Bifenthrin	1.0
33089-61-1	Amitraz	1.0	92-52-4	Biphenyl	1.0
61-82-5	Amitrole	0.1	111-91-1	Bis(2-chloroethoxy) methane	1.0
			111-44-4	Bis(2-chloroethyl) ether	0.1

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
542-88-1	Bis(chloromethyl) ether	1.0	56-23-5	Carbon tetrachloride	0.1
108-60-1	Bis(2-chloro-1-methylethyl)-ether	1.0	463-58-1	Carbonyl sulfide	1.0
56-35-9	Bis(tributyltin) oxide	1.0	5234-68-4	Carboxin	1.0
10294-34-5	Boron trichloride	1.0		(5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiazin-3-carboxamide)	
7637-07-2	Boron trifluoride	1.0	120-80-9	Catechol	1.0
314-40-9	Bromacil	1.0	2439-01-2	Chinomethionat	1.0
	(5-Bromo-6-methyl-3-(1-methylpropyl)-2,4-(1H,3H)-pyrimidinedione)			(6-Methyl-1,3-dithiolo[4,5-b]quinoxalin-2-one)	
53404-19-6	Bromacil, lithium salt	1.0	133-90-4	Chloramben	1.0
	(2,4-(1H,3H)-Pyrimidinedione, 5-bromo-6-methyl-3-(1-methylpropyl), lithium salt)			[Benzoic acid, 3-amino-2,5-dichloro-]	
7726-95-6	Bromine	1.0	57-74-9	Chlordane	0.1
35691-65-7	1-Bromo-1-(bromomethyl)-1,3-propanedicarbonitrile	1.0		[4,7-Methanoindan, 1,2,3,4,5,6,7,8,8-octachloro-2,3,3a,4,7,7a-hexahydro-]	
353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0	115-28-6	Chlorendic acid	0.1
75-25-2	Bromoform	1.0	90982-32-4	Chlorimuron ethyl	1.0
	(Tribromomethane)			(Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2-yl)-carbonyl]-amino]sulfonyl]benzoate)	
74-83-9	Bromomethane (Methyl bromide)	1.0	7782-50-5	Chlorine	1.0
52-51-7	2-Bromo-2-nitropropane-1,3-diol (Bronopol)	1.0	10049-04-4	Chlorine dioxide	1.0
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0	79-11-8	Chloroacetic acid	1.0
			532-27-4	2-Chloroacetophenone	1.0
1689-84-5	Bromoxynil	1.0	4080-31-3	1-(3-Chloroallyl)-3,5,7-triazol-1-azoniaadamantane chloride	1.0
	(3,5-Dibromo-4-hydroxybenzonitrile)			p-Chloroaniline	0.1
1689-99-2	Bromoxynil octanoate	1.0	106-47-8	Chlorobenzene	1.0
	(Octanoic acid, 2,6-dibromo-4-cyanophenylester)		108-90-7	Chlorobenzilate	1.0
			510-15-6	[Benzeneacetic acid, 4-chloro-.alpha.- (4-chlorophenyl)-.alpha.-hydroxy-, ethyl ester]	
357-57-3	Brucine	1.0		1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0
106-99-0	1,3-Butadiene	0.1	75-68-3	Chlorodifluoromethane (HCFC-22)	1.0
141-32-2	Butyl acrylate	1.0		Chloroethane (Ethyl chloride)	1.0
71-36-3	n-Butyl alcohol	1.0	75-45-6	Chloroform	0.1
78-92-2	sec-Butyl alcohol	1.0		Chloromethane (Methyl chloride)	1.0
75-65-0	tert-Butyl alcohol	1.0	75-00-3	Chloromethyl methyl ether	0.1
106-88-7	1,2-Butylene oxide	1.0	67-66-3	3-Chloro-2-methyl-1-propene	0.1
123-72-8	Butyraldehyde	1.0	74-87-3	p-Chlorophenyl isocyanate	1.0
7440-43-9	Cadmium	0.1		Chloropicrin	1.0
156-62-7	Calcium cyanamide	1.0	126-99-8	Chloroprene	1.0
133-06-2	Captan	1.0	542-76-7	3-Chloropropionitrile	1.0
	[1H-Isoindole-1,3(2H)-dione, 3a,4,7,7a-tetrahydro-2-[(trichloromethyl)thio]-]		63938-10-3	Chlorotetrafluoroethane	1.0
63-25-2	Carbaryl [1-Naphthalenol, methylcarbamate]	1.0			
1563-66-2	Carbofuran	1.0			
75-15-0	Carbon disulfide	1.0			

\*C.I. means "Color Index"

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0	135-20-6	Cupferron [Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	0.1
2837-89-0	2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	1.0	21725-46-2	Cyanazine	1.0
1897-45-6	Chlorothalonil [1,3-Benzenedicarbonitrile, 2,4,5,6-tetrachloro-]	1.0	1134-23-2	Cycloate	1.0
95-69-2	p-Chloro-o-toluidine	0.1	110-82-7	Cyclohexane	1.0
75-88-7	2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1.0	108-93-0	Cyclohexanol	1.0
75-72-9	Chlorotrifluoromethane (CFC-13)	1.0	68359-37-5	Cyfluthrin (3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl) methyl ester)	1.0
460-35-5	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0	68085-85-8	Cyhalothrin (3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl) methyl ester)	1.0
5598-13-0	Chlorpyrifos methyl (O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate)	1.0	94-75-7	2,4-D [Acetic acid, (2,4-dichlorophenoxy)-]	0.1
64902-72-3	Chlorsulfuron (2-Chloro-N-[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)-amino]carbonyl) benzenesulfonamide)	1.0	533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)	1.0
7440-47-3	Chromium	1.0	53404-60-7	Dazomet, sodium salt (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium)	1.0
4680-78-8	C.I. Acid Green 3	1.0	94-82-6	2,4-DB	1.0
6459-94-5	C.I. Acid Red 114	0.1	1929-73-3	2,4-D butoxyethyl ester	0.1
569-64-2	C.I. Basic Green 4	1.0	94-80-4	2,4-D butyl ester	0.1
989-38-8	C.I. Basic Red 1	1.0	2971-38-2	2,4-D chlorocrotyl ester	0.1
1937-37-7	C.I. Direct Black 38	0.1	1163-19-5	Decabromodiphenyl oxide	1.0
2602-46-2	C.I. Direct Blue 6	0.1	13684-56-5	Desmedipham	1.0
28407-37-6	C.I. Direct Blue 218	1.0	1928-43-4	2,4-D 2-ethylhexyl ester	0.1
16071-86-6	C.I. Direct Brown 95	0.1	53404-37-8	2,4-D 2-ethyl-4-methylpentyl ester	0.1
2832-40-8	C.I. Disperse Yellow 3	1.0	2303-16-4	Diallate [Carbamothioic acid, bis(1-methylethyl)-S-(2,3-dichloro-2-propenyl) ester]	1.0
3761-53-3	C.I. Food Red 5	0.1	615-05-4	2,4-Diaminoanisole	0.1
81-88-9	C.I. Food Red 15	1.0	39156-41-7	2,4-Diaminoanisole sulfate	0.1
3118-97-6	C.I. Solvent Orange 7	1.0	101-80-4	4,4'-Diaminodiphenyl ether	0.1
97-56-3	C.I. Solvent Yellow 3	1.0	95-80-7	2,4-Diaminotoluene	0.1
842-07-9	C.I. Solvent Yellow 14	1.0	25376-45-8	Diaminotoluene (mixed isomers)	0.1
492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1	333-41-5	Diazinon	1.0
128-66-5	C.I. Vat Yellow 4	1.0	334-88-3	Diazomethane	1.0
7440-48-4	Cobalt	0.1	132-64-9	Dibenzofuran	1.0
7440-50-8	Copper	1.0			
8001-58-9	Creosote	0.1			
120-71-8	p-Cresidine	0.1			
108-39-4	m-Cresol	1.0			
95-48-7	o-Cresol	1.0			
106-44-5	p-Cresol	1.0			
1319-77-3	Cresol (mixed isomers)	1.0			
4170-30-3	Crotonaldehyde	1.0			
98-82-8	Cumene	1.0			
80-15-9	Cumene hydroperoxide	1.0			

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1	128903-21-9	2,2-Dichloro-1,1,1,3,3,3-pentafluoropropane (HCFC-225aa)	1.0
106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1	422-48-0	2,3-Dichloro-1,1,1,2,3,3-pentafluoropropane (HCFC-225ba)	1.0
124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0	422-56-0	3,3-Dichloro-1,1,1,2,2,2-pentafluoropropane (HCFC-225ca)	1.0
84-74-2	Dibutyl phthalate	1.0	97-23-4	Dichlorophene (2,2'-Methylenebis(4-chlorophenol))	1.0
1918-00-9	Dicamba (3,6-Dichloro-2-methoxybenzoic acid)	1.0	120-83-2	2,4-Dichlorophenol	1.0
99-30-9	Dichloran (2,6-Dichloro-4-nitroaniline)	1.0	78-87-5	1,2-Dichloropropane	1.0
95-50-1	1,2-Dichlorobenzene	1.0	10061-02-6	trans-1,3-Dichloropropene	0.1
541-73-1	1,3-Dichlorobenzene	1.0	78-88-6	2,3-Dichloropropene	1.0
106-46-7	1,4-Dichlorobenzene	0.1	542-75-6	1,3-Dichloropropylene	0.1
25321-22-6	Dichlorobenzene (mixed isomers)	0.1	76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0
91-94-1	3,3'-Dichlorobenzidine	0.1	34077-87-7	Dichlorotrifluoroethane	1.0
612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1	90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0
64969-34-2	3,3'-Dichlorobenzidine sulfate	0.1	812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0
75-27-4	Dichlorobromomethane	1.0	354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0
764-41-0	1,4-Dichloro-2-butene	1.0	306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0
110-57-6	trans-1,4-Dichloro-2-butene	1.0	62-73-7	Dichlorvos	0.1
1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0	51338-27-3	[Phosphoric acid, 2-dichloroethenyl dimethyl ester]	1.0
75-71-8	Dichlorodifluoromethane (CFC-12)	1.0	115-32-2	Diclofop methyl (2-[4-(2,4-Dichlorophenoxy)phenoxy]propanoic acid, methyl ester)	1.0
107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1		Dicofol	1.0
540-59-0	1,2-Dichloroethylene	1.0		[Benzenemethanol, 4-chloro-.alpha.-4- (chlorophenyl)-.alpha.-(trichloromethyl)-]	1.0
1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0	77-73-6	Dicyclopentadiene	1.0
75-43-4	Dichlorofluoromethane (HCFC-21)	1.0	1464-53-5	Diepoxybutane	0.1
75-09-2	Dichloromethane (Methylene chloride)	0.1	111-42-2	Diethanolamine	1.0
127564-92-5	Dichloropentafluoropropane	1.0	38727-55-8	Diethyl ethyl	1.0
13474-88-9	1,1-Dichloro-1,2,2,3,3,3-pentafluoropropane (HCFC-225cc)	1.0	117-81-7	Di(2-ethylhexyl) phthalate (DEHP)	0.1
111512-56-2	1,1-Dichloro-1,2,3,3,3-pentafluoropropane (HCFC-225eb)	1.0	64-67-5	Diethyl sulfate	0.1
422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0	35367-38-5	Diflubenzuron	1.0
431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0	101-90-6	Diglycidyl resorcinol ether	0.1
507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0	94-58-6	Dihydrosafrole	0.1
136013-79-1	1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	1.0	55290-64-7	Dimethipin (2,3-Dihydro-5,6-dimethyl-1,4-dithiin 1,1,4,4-tetraoxide)	1.0
			60-51-5	Dimethoate	1.0
			119-90-4	3,3'-Dimethoxybenzidine	0.1

\*C.I. means "Color Index"

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride	0.1	94-11-1	2,4-D isopropyl ester	0.1
	(o-Dianisidine dihydrochloride)		541-53-7	2,4-Dithiobiuret	1.0
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride	0.1	330-54-1	Diuron	1.0
	(o-Dianisidine hydrochloride)		2439-10-3	Dodine (Dodecylguanidine monoacetate)	1.0
124-40-3	Dimethylamine	1.0	120-36-5	2,4-DP	0.1
2300-66-5	Dimethylamine dicamba	1.0	1320-18-9	2,4-D propylene glycol butyl ether ester	0.1
60-11-7	4-Dimethylaminoazobenzene	0.1	2702-72-9	2,4-D sodium salt	0.1
121-69-7	N,N-Dimethylaniline	1.0	106-89-8	Epichlorohydrin	0.1
119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1	13194-48-4	Ethoprop (Phosphorodithioic acid O-ethyl S,S-dipropyl ester)	1.0
612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1	110-80-5	2-Ethoxyethanol	1.0
			140-88-5	Ethyl acrylate	0.1
41766-75-0	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	0.1	100-41-4	Ethylbenzene	1.0
			541-41-3	Ethyl chloroformate	1.0
79-44-7	Dimethylcarbonyl chloride	0.1	759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0
2524-03-0	Dimethyl chlorothiophosphate	1.0	74-85-1	Ethylene	1.0
68-12-2	N,N-Dimethylformamide	0.1	107-21-1	Ethylene glycol	1.0
57-14-7	1,1-Dimethylhydrazine	0.1	151-56-4	Ethyleneimine (Aziridine)	0.1
105-67-9	2,4-Dimethylphenol	1.0	75-21-8	Ethylene oxide	0.1
576-26-1	2,6-Dimethylphenol	1.0	96-45-7	Ethylene thiourea	0.1
131-11-3	Dimethyl phthalate	1.0	75-34-3	Ethylidene dichloride	1.0
77-78-1	Dimethyl sulfate	0.1	52-85-7	Famphur	1.0
99-65-0	m-Dinitrobenzene	1.0	60168-88-9	Fenarimol	1.0
528-29-0	o-Dinitrobenzene	1.0		(.alpha.-(2-Chlorophenyl)-.alpha.-(4-chlorophenyl)-5-pyrimidine-methanol)	
100-25-4	p-Dinitrobenzene	1.0	13356-08-6	Fenbutatin oxide	1.0
88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0		(Hexakis(2-methyl-2-phenylpropyl)distannoxane)	
534-52-1	4,6-Dinitro-o-cresol	1.0	66441-23-4	Fenoxaprop ethyl	1.0
51-28-5	2,4-Dinitrophenol	1.0		(2-(4-((6-Chloro-2-benzoxazolylen)-oxy)phenoxy)propanoic acid, ethyl ester)	
121-14-2	2,4-Dinitrotoluene	1.0	72490-01-8	Fenoxycarb	1.0
606-20-2	2,6-Dinitrotoluene	1.0		(2-(4-Phenoxyphenoxy)-ethylcarbamic acid ethyl ester)	
25321-14-6	Dinitrotoluene (mixed isomers)	1.0	39515-41-8	Fenpropathrin	1.0
39300-45-3	Dinocap	1.0		(2,2,3,3-Tetramethylcyclopropane carboxylic acid cyano(3-phenoxyphenyl)methyl ester)	
123-91-1	1,4-Dioxane	0.1	55-38-9	Fenthion	1.0
957-51-7	Diphenamid	1.0		(O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl] ester, phosphorothioic acid)	
122-39-4	Diphenylamine	1.0			
122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1			
2164-07-0	Dipotassium endothall (7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid, dipotassium salt)	1.0			
136-45-8	Dipropyl isocinchomeronate	1.0			
138-93-2	Disodium cyanodithioimidocarbonate	1.0			

CAS Number	Chemical Name	De Minimis		CAS Number	Chemical Name	De Minimis Concentration
		Concentration	Concentration			
51630-58-1	Fenvalerate (4-Chloro-alpha-(1-methylethyl)benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester)	1.0		302-01-2	Hydrazine	0.1
				10034-93-2	Hydrazine sulfate	0.1
14484-64-1	Ferbam (Tris(dimethylcarbamodithioato-S,S')iron)	1.0		7647-01-0	Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0
69806-50-4	Fluazifop butyl (2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester)	1.0		74-90-8	Hydrogen cyanide	1.0
				7664-39-3	Hydrogen fluoride	1.0
2164-17-2	Fluometuron [Urea, N,N-dimethyl-N'-[3-(trifluoromethyl)phenyl]-]	1.0		123-31-9	Hydroquinone	1.0
				35554-44-0	Imazalil (1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole)	1.0
7782-41-4	Fluorine	1.0		55406-53-6	3-Iodo-2-propynyl butylcarbamate	1.0
51-21-8	Fluorouracil (5-Fluorouracil)	1.0		13463-40-6	Iron pentacarbonyl	1.0
69409-94-5	Fluvalinate (N-[2-Chloro-4-(trifluoromethyl)phenyl]-DL-valine (+)-cyano(3-henoxyphenyl)-methyl ester)	1.0		78-84-2	Isobutyraldehyde	1.0
				465-73-6	Isodrin	1.0
133-07-3	Folpet	1.0		25311-71-1	Isofenphos (2-[[[Ethoxyl[(1-methylethyl)amino]-phosphinothioyl]oxy]-benzoic acid 1-methylethyl ester)	1.0
72178-02-0	Fomesafen (5-(2-Chloro-4-(trifluoromethyl)phenoxy)-N-methylsulfonyl-2-nitrobenzamide)	1.0		67-63-0	Isopropyl alcohol (manufacturing-strong acid process, no supplier notification)	1.0
				80-05-7	4,4'-Isopropylidenediphenol	1.0
50-00-0	Formaldehyde	0.1		120-58-1	Isosafrole	1.0
64-18-6	Formic acid	1.0		77501-63-4	Lactofen (Benzoic acid, (5-2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitro-2-ethoxy-1-methyl-2-oxoethyl ester)	1.0
76-13-1	Freon 113 [Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]	1.0				
76-44-8	Heptachlor [1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]	0.1		7439-92-1	Lead	0.1
				58-89-9	Lindane [Cyclohexane, 1,2,3,4,5,6-hexachloro-, (1.alpha.,2.alpha.,3.beta.,4.alpha.,5.alpha.,6.beta.)-]	0.1
118-74-1	Hexachlorobenzene	0.1				
87-68-3	Hexachloro-1,3-butadiene	1.0		330-55-2	Linuron	1.0
319-84-6	alpha-Hexachlorocyclohexane	1.0		554-13-2	Lithium carbonate	1.0
				121-75-5	Malathion	1.0
77-47-4	Hexachlorocyclopentadiene	1.0		108-31-6	Maleic anhydride	1.0
67-72-1	Hexachloroethane	1.0		109-77-3	Malononitrile	1.0
1335-87-1	Hexachloronaphthalene	1.0		12427-38-2	Maneb [Carbamodithioic acid, 1,2-ethanediy]bis-, manganese complex]	1.0
70-30-4	Hexachlorophene	1.0				
680-31-9	Hexamethylphosphoramide	0.1		7439-96-5	Manganese	1.0
110-54-3	n-Hexane	1.0		93-65-2	Mecoprop	0.1
51235-04-2	Hexazinone	1.0		149-30-4	2-Mercaptobenzothiazole (MBT)	1.0
67485-29-4	Hydramethylnon (Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-propenylidene] hydrazone)	1.0		7439-97-6	Mercury	1.0
				150-50-5	Merphos	1.0
				126-98-7	Methacrylonitrile	1.0
				137-42-8	Metham sodium (Sodium methylthiocarbamate)	1.0

\*C.I. means "Color Index"

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
67-56-1	Methanol	1.0	88671-89-0	Myclobutanil	1.0
20354-26-1	Methazole	1.0		(.alpha.-Butyl-.alpha.- (4-chlorophenyl)-1H-1,2,4-triazole- 1-propanenitrile)	
2032-65-7	Methiocarb	1.0	142-59-6	Nabam	1.0
94-74-6	Methoxone	0.1	300-76-5	Naled	1.0
	((4-Chloro-2-methylphenoxy) acetic acid) (MCPA)		91-20-3	Naphthalene	1.0
3653-48-3	Methoxone sodium salt	0.1	134-32-7	alpha-Naphthylamine	0.1
	((4-Chloro-2-methylphenoxy) acetate sodium salt)		91-59-8	beta-Naphthylamine	0.1
72-43-5	Methoxychlor	1.0	7440-02-0	Nickel	0.1
	[Benzene, 1,1'-(2,2,2-trichloro- ethylidene)bis[4-methoxy-]]		1929-82-4	Nitrapyrin	1.0
109-86-4	2-Methoxyethanol	1.0	7697-37-2	(2-Chloro-6-(trichloromethyl)- pyridine)	
96-33-3	Methyl acrylate	1.0	139-13-9	Nitric acid	1.0
1634-04-4	Methyl tert-butyl ether	1.0	100-01-6	Nitrioltriacetic acid	0.1
79-22-1	Methyl chlorocarbonate	1.0	99-59-2	p-Nitroaniline	1.0
101-14-4	4,4'-Methylenebis(2- chloroaniline) (MBOCA)	0.1	98-95-3	5-Nitro-o-anisidine	1.0
101-61-1	4,4'-Methylenebis(N,N- dimethyl)benzenamine	0.1	92-93-3	Nitrobenzene	1.0
74-95-3	Methylene bromide	1.0	1836-75-5	4-Nitrobiphenyl	0.1
101-77-9	4,4'-Methylenedianiline	0.1		Nitrofen	0.1
78-93-3	Methyl ethyl ketone	1.0		[Benzene, 2,4-dichloro-1-(4- nitrophenoxy)-]	
60-34-4	Methyl hydrazine	1.0	51-75-2	Nitrogen mustard	0.1
74-88-4	Methyl iodide	1.0		[2-Chloro-N-(2-chloroethyl)-N- methylethanamine]	
108-10-1	Methyl isobutyl ketone	1.0	55-63-0	Nitroglycerin	1.0
624-83-9	Methyl isocyanate	1.0	88-75-5	2-Nitrophenol	1.0
556-61-6	Methyl isothiocyanate	1.0	100-02-7	4-Nitrophenol	1.0
	(Isothiocyanatomethane)		79-46-9	2-Nitropropane	0.1
75-86-5	2-Methylactonitrile	1.0	924-16-3	N-Nitrosodi-n-butylamine	0.1
80-62-6	Methyl methacrylate	1.0	55-18-5	N-Nitrosodiethylamine	0.1
924-42-5	N-Methylolacrylamide	1.0	62-75-9	N-Nitrosodimethylamine	0.1
298-00-0	Methyl parathion	1.0	86-30-6	N-Nitrosodiphenylamine	1.0
109-06-8	2-Methylpyridine	1.0	156-10-5	p-Nitrosodiphenylamine	1.0
872-50-4	N-Methyl-2-pyrrolidone	1.0	621-64-7	N-Nitrosodi-n-propylamine	0.1
9006-42-2	Metiram	1.0	759-73-9	N-Nitroso-N-ethylurea	0.1
21087-64-9	Metribuzin	1.0	684-93-5	N-Nitroso-N-methylurea	0.1
7786-34-7	Mevinphos	1.0	4549-40-0	N-Nitrosomethylvinylamine	0.1
90-94-8	Michler's ketone	0.1	59-89-2	N-Nitrosomorpholine	0.1
2212-67-1	Molinate	1.0	16543-55-8	N-Nitrosornicotine	0.1
	(1H-Azepine-1-carbothioic acid, hexahydro-S-ethyl ester)		100-75-4	N-Nitrosopiperidine	0.1
1313-27-5	Molybdenum trioxide	1.0	99-55-8	5-Nitro-o-toluidine	1.0
76-15-3	Monochloropenta- fluoroethane (CFC-115)	1.0	27314-13-2	Norflurazon	1.0
150-68-5	Monuron	1.0		(4-Chloro-5-(methylamino)-2-[3- (trifluoromethyl)phenyl]-3(2H)- pyridazinone)	
505-60-2	Mustard gas	0.1	2234-13-1	Octachloronaphthalene	1.0
	[Ethane, 1,1'-thiobis[2-chloro-]]		19044-88-3	Oryzalin	1.0
			20816-12-0	(4-(Dipropylamino)-3,5-dinitro- benzene sulfonamide)	
				Osmium tetroxide	1.0

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
301-12-2	Oxydemeton methyl (S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid)	1.0	1918-02-1	Picloram	1.0
19666-30-9	Oxydiazon (3-[2,4-Dichloro-5-(1-methylethoxy)phenyl]-5-(1,1-dimethylethyl)-1,3,4-oxadiazol-2(3H)-one)	1.0	88-89-1	Picric acid	1.0
42874-03-3	Oxyfluorfen	1.0	51-03-6	Piperonyl butoxide	1.0
10028-15-6	Ozone	1.0	29232-93-7	Pirimiphos methyl (O-(2-(Diethylamino)-6-methyl-4-pyrimidinyl)-O,O-dimethylphosphorothioate)	1.0
123-63-7	Paraldehyde	1.0	1336-36-3	Polychlorinated biphenyls (PCBs)	0.1
1910-42-5	Paraquat dichloride	1.0	7758-01-2	Potassium bromate	0.1
56-38-2	Parathion [Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl)ester]	1.0	128-03-0	Potassium dimethyldithiocarbamate	1.0
1114-71-2	Pebulate (Butylethylcarbamothioic acid S-propyl ester)	1.0	137-41-7	Potassium N-methyldithiocarbamate	1.0
40487-42-1	Pendimethalin (N-(1-Ethylpropyl)-3,4-dimethyl-2,6-dinitrobenzenamine)	1.0	41198-08-7	Profenofos (O-(4-Bromo-2-chlorophenyl)-O-ethyl-S-propyl phosphorothioate)	1.0
76-01-7	Pentachloroethane	1.0	7287-19-6	Prometryn (N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine)	1.0
87-86-5	Pentachlorophenol (PCP)	0.1	23950-58-5	Pronamide	1.0
57-33-0	Pentobarbital sodium	1.0	1918-16-7	Propachlor (2-Chloro-N-(1-methylethyl)-N-phenylacetamide)	1.0
79-21-0	Peracetic acid	1.0	1120-71-4	Propane sultone	0.1
594-42-3	Perchloromethyl mercaptan	1.0	709-98-8	Propanil (N-(3,4-Dichlorophenyl)propanamide)	1.0
52645-53-1	Permethrin (3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, (3-phenoxyphenyl)methyl ester)	1.0	2312-35-8	Propargite	1.0
85-01-8	Phenanthrene	1.0	107-19-7	Propargyl alcohol	1.0
108-95-2	Phenol	1.0	31218-83-4	Propetamphos (3-[(Ethylamino)methoxyphosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester)	1.0
26002-80-2	Phenothrin (2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (3-phenoxyphenyl)methyl ester)	1.0	60207-90-1	Propiconazole (1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]-methyl-1H-1,2,4-triazole)	1.0
95-54-5	1,2-Phenylenediamine	1.0	57-57-8	beta-Propiolactone	0.1
108-45-2	1,3-Phenylenediamine	1.0	123-38-6	Propionaldehyde	1.0
106-50-3	p-Phenylenediamine	1.0	114-26-1	Propoxur [Phenol, 2-(1-methylethoxy)-, methylcarbamate]	1.0
615-28-1	1,2-Phenylenediamine dihydrochloride	1.0	115-07-1	Propylene (Propene)	1.0
624-18-0	1,4-Phenylenediamine dihydrochloride	1.0	75-55-8	Propyleneimine	0.1
90-43-7	2-Phenylphenol	1.0	75-56-9	Propylene oxide	0.1
57-41-0	Phenytol	0.1	110-86-1	Pyridine	1.0
75-44-5	Phosgene	1.0	91-22-5	Quinoline	1.0
7803-51-2	Phosphine	1.0	106-51-4	Quinone	1.0
7664-38-2	Phosphoric acid	1.0	82-68-8	Quintozene (Pentachloronitrobenzene)	1.0
7723-14-0	Phosphorus (yellow or white)	1.0			
85-44-9	Phthalic anhydride	1.0			

\*C.I. means "Color Index"

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
76578-14-8	Quizalofop-ethyl	1.0	127-18-4	Tetrachloroethylene	0.1
	[2-[4-[(6-Chloro-2-quinoxalinyloxy]phenoxy]propanoic acid ethyl ester]		354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0
10453-86-8	Resmethrin	1.0	354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0
	([5-(Phenylmethyl)-3-furanyl]-methyl-2,2-dimethyl-3-(2-methyl-1-propenyl) cyclopropane carboxylate])		961-11-5	Tetrachlorvinphos	1.0
81-07-2	Saccharin (manufacturing, no supplier notification)	0.1	64-75-5	[Phosphoric acid, 2-chloro-1-(2,4,5-trichlorophenyl) ethenyl dimethyl ester]	
94-59-7	Safrole	0.1	7696-12-0	Tetracycline hydrochloride	1.0
7782-49-2	Selenium	1.0		Tetramethrin	1.0
74051-80-2	Sethoxydim	1.0		(2,2-Dimethyl-3-(2-methyl-1-propenyl) cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester)	
	(2-[1-(Ethoxyimino)butyl]-5-[2-(ethylthio)propyl]-3-hydroxyl-2-cyclohexen-1-one)		7440-28-0	Thallium	1.0
7440-22-4	Silver	1.0	148-79-8	Thiabendazole	1.0
122-34-9	Simazine	1.0		(2-(4-Thiazolyl)-1H-benzimidazole)	
26628-22-8	Sodium azide	1.0	62-55-5	Thioacetamide	0.1
1982-69-0	Sodium dicamba	1.0	28249-77-6	Thiobencarb	1.0
	(3,6-Dichloro-2-methoxybenzoic acid, sodium salt)			(Carbamic acid, diethylthio-, S-(p-chlorobenzyl)ester)	
128-04-1	Sodium dimethyldithiocarbamate	1.0	139-65-1	4,4'-Thiodianiline	0.1
62-74-8	Sodium fluoroacetate	1.0	59669-26-0	Thiodicarb	1.0
7632-00-0	Sodium nitrite	1.0	23564-06-9	Thiophanate ethyl	1.0
131-52-2	Sodium pentachlorophenate	1.0		([1,2-Phenylenebis-(iminocarbonothioyl)]biscarbamic acid diethylester)	
132-27-4	Sodium o-phenylphenoxide	0.1	23564-05-8	Thiophanate-methyl	1.0
100-42-5	Styrene	0.1	79-19-6	Thiosemicarbazide	1.0
96-09-3	Styrene oxide	0.1	62-56-6	Thiourea	0.1
7664-93-9	Sulfuric acid	1.0	137-26-8	Thiram	1.0
	(acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)		1314-20-1	Thorium dioxide	1.0
2699-79-8	Sulfuryl fluoride (Vikane)	1.0	7550-45-0	Titanium tetrachloride	1.0
35400-43-2	Sulprofos	1.0	108-88-3	Toluene	1.0
	(O-Ethyl O-[4-(methylthio)phenyl]phosphorodithioic acid S-propylester)		584-84-9	Toluene-2,4-diisocyanate	0.1
34014-18-1	Tebuthiuron	1.0	91-08-7	Toluene-2,6-diisocyanate	0.1
	(N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea)		26471-62-5	Toluene diisocyanate (mixed isomers)	0.1
3383-96-8	Temephos	1.0	95-53-4	o-Toluidine	0.1
5902-51-2	Terbacil	1.0	636-21-5	o-Toluidine hydrochloride	0.1
	(5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione)		8001-35-2	Toxaphene	0.1
630-20-6	1,1,1,2-Tetrachloroethane	1.0	43121-43-3	Triadimefon	1.0
79-34-5	1,1,2,2-Tetrachloroethane	1.0		(1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H-1,2,4-triazol-1-yl)-2-butanone)	
			2303-17-5	Triallate	1.0

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
68-76-8	Triaziquone	1.0	108-05-4	Vinyl acetate	0.1
	[2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]		593-60-2	Vinyl bromide	0.1
101200-48-0	Tribenuron methyl	1.0	75-01-4	Vinyl chloride	0.1
	(2-((((4-Methoxy-6-methyl-1,3,5-triazin-2-yl)-methylamino)-carbonyl)amino)sulfonyl)-, methyl ester)		75-35-4	Vinylidene chloride	1.0
1983-10-4	Tributyltin fluoride	1.0	108-38-3	m-Xylene	1.0
2155-70-6	Tributyltin methacrylate	1.0	95-47-6	o-Xylene	1.0
78-48-8	S,S,S-Tributyltrithio-phosphate (DEF)	1.0	106-42-3	p-Xylene	1.0
			1330-20-7	Xylene (mixed isomers)	1.0
52-68-6	Trichlorfon	1.0	87-62-7	2,6-Xylidine	0.1
	[Phosphonic acid, (2,2,2-trichloro-1-hydroxyethyl) dimethyl ester]		7440-66-6	Zinc (fume or dust)	1.0
76-02-8	Trichloroacetyl chloride	1.0	12122-67-7	Zineb	1.0
120-82-1	1,2,4-Trichlorobenzene	1.0		[Carbamodithioic acid, 1,2-ethanediy]bis-, zinc complex]	
71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0			
79-00-5	1,1,2-Trichloroethane	1.0			
79-01-6	Trichloroethylene	0.1			
75-69-4	Trichlorofluoromethane (CFC-11)	1.0			
95-95-4	2,4,5-Trichlorophenol	1.0			
88-06-2	2,4,6-Trichlorophenol	0.1			
96-18-4	1,2,3-Trichloropropane	0.1			
57213-69-1	Triclopyr triethylammonium salt	1.0			
121-44-8	Triethylamine	1.0			
1582-09-8	Trifluralin	1.0			
	[Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]				
26644-46-2	Triforine	1.0			
	(N,N'-[1,4-Piperazinediyl]bis-(2,2,2-trichloroethylidene)] bisformamide)				
95-63-6	1,2,4-Trimethylbenzene	1.0			
2655-15-4	2,3,5-Trimethylphenyl methylcarbamate	1.0			
639-58-7	Triphenyltin chloride	1.0			
76-87-9	Triphenyltin hydroxide	1.0			
126-72-7	Tris(2,3-dibromopropyl) phosphate	0.1			
72-57-1	Trypan blue	0.1			
51-79-6	Urethane (Ethyl carbamate)	0.1			
7440-62-2	Vanadium (fume or dust)	1.0			
50471-44-8	Vinclozolin	1.0			
	(3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazolidinedione)				

**b. CAS Numbered List of TRI Chemicals**

CAS Number	Chemical Name	DeMinimis Concentration	CAS Number	Chemical Name	DeMinimis Concentration
50-00-0	Formaldehyde	0.1	62-73-7	Dichlorvos	0.1
51-03-6	Piperonyl butoxide	1.0		[Phosphoric acid, 2-dichloroethenyl dimethyl ester]	
51-21-8	Fluorouracil (5-Fluorouracil)	1.0	62-74-8	Sodium fluoroacetate	1.0
51-28-5	2,4-Dinitrophenol	1.0	62-75-9	N-Nitrosodimethylamine	0.1
51-75-2	Nitrogen mustard [2-Chloro-N-(2-chloroethyl)-N-methylethanamine]	0.1	63-25-2	Carbaryl	1.0
51-79-6	Urethane (Ethyl carbamate)	0.1	64-18-6	[1-Naphthalenol, methylcarbamate]	
52-51-7	2-Bromo-2-nitropropane-1,3-diol (Bronopol)	1.0	64-67-5	Formic acid	1.0
52-68-6	Trichlorfon [Phosphonic acid, (2,2,2-trichloro-1-hydroxyethyl) dimethyl ester]	1.0	64-75-5	Diethyl sulfate	0.1
52-85-7	Famphur	1.0	67-56-1	Tetracycline hydrochloride	1.0
53-96-3	2-Acetylaminofluorene	0.1	67-63-0	Methanol	1.0
55-18-5	N-Nitrosodiethylamine	0.1		Isopropyl alcohol (manufacturing-strong acid process, no supplier notification)	1.0
55-21-0	Benzamide	1.0	67-66-3	Chloroform	0.1
55-38-9	Fenthion (O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl] ester, phosphorothioic acid)	1.0	67-72-1	Hexachloroethane	1.0
55-63-0	Nitroglycerin	1.0	68-12-2	N,N-Dimethylformamide	0.1
56-23-5	Carbon tetrachloride	0.1	68-76-8	Triaziquone	1.0
56-35-9	Bis(tributyltin) oxide	1.0		[2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]	
56-38-2	Parathion [Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl) ester]	1.0	70-30-4	Hexachlorophene	1.0
57-14-7	1,1-Dimethylhydrazine	0.1	71-36-3	n-Butyl alcohol	1.0
57-33-0	Pentobarbital sodium	1.0	71-43-2	Benzene	0.1
57-41-0	Phenytoin	0.1	71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0
57-57-8	beta-Propiolactone	0.1	72-43-5	Methoxychlor	1.0
57-74-9	Chlordane [4,7-Methanoindan, 1,2,4,5,6,7,8,8-octachloro-2,3,3a,4,7,7a-hexahydro-]	0.1		[Benzene, 1,1'-(2,2,2-trichloroethylidene)bis[4-methoxy-]]	
58-89-9	Lindane [Cyclohexane, 1,2,3,4,5,6-hexachloro-(1.alpha.,2.alpha.,3.beta.,4.alpha.,5.alpha.,6.beta.)-]	0.1	72-57-1	Trypan blue	0.1
59-89-2	N-Nitrosomorpholine	0.1	74-83-9	Bromomethane (Methyl bromide)	1.0
60-09-3	4-Aminoazobenzene	0.1	74-85-1	Ethylene	1.0
60-11-7	4-Dimethylaminoazobenzene	0.1	74-87-3	Chloromethane (Methyl chloride)	1.0
60-34-4	Methyl hydrazine	1.0	74-88-4	Methyl iodide	1.0
60-35-5	Acetamide	0.1	74-90-8	Hydrogen cyanide	1.0
60-51-5	Dimethoate	1.0	74-95-3	Methylene bromide	1.0
61-82-5	Amitrole	0.1	75-00-3	Chloroethane (Ethyl chloride)	1.0
62-53-3	Aniline	1.0	75-01-4	Vinyl chloride	0.1
62-55-5	Thioacetamide	0.1	75-05-8	Acetonitrile	1.0
62-56-6	Thiourea	0.1	75-07-0	Acetaldehyde	0.1
			75-09-2	Dichloromethane (Methylene chloride)	0.1
			75-15-0	Carbon disulfide	1.0
			75-21-8	Ethylene oxide	0.1
			75-25-2	Bromoform (Tribromomethane)	1.0
			75-27-4	Dichlorobromomethane	1.0
			75-34-3	Ethylidene dichloride	1.0
			75-35-4	Vinylidene chloride	1.0
			75-43-4	Dichlorofluoromethane (HCFC-21)	1.0
			75-44-5	Phosgene	1.0

CAS Number	Chemical Name	DeMinimis Concentration	CAS Number	Chemical Name	DeMinimis Concentration
75-45-6	Chlorodifluoromethane (HCFC-22)	1.0	79-34-5	1,1,2,2-Tetrachloroethane	1.0
75-55-8	Propyleneimine	0.1	79-44-7	Dimethylcarbanyl chloride	0.1
75-56-9	Propylene oxide	0.1	79-46-9	2-Nitropropane	0.1
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0	80-05-7	4,4'-Isopropylidenediphenol	1.0
75-65-0	tert-Butyl alcohol	1.0	80-15-9	Cumene hydroperoxide	1.0
75-68-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0	80-62-6	Methyl methacrylate	1.0
75-69-4	Trichlorofluoromethane (CFC-11)	1.0	81-07-2	Saccharin (manufacturing, no supplier notification)	0.1
75-71-8	Dichlorodifluoromethane (CFC-12)	1.0	81-88-9	C.I. Food Red 15	
75-72-9	Chlorotrifluoromethane (CFC-13)	1.0	82-28-0	1-Amino-2-methylantraquinone	0.1
75-86-5	2-Methylacetonitrile	1.0	82-68-8	Quintozene (Pentachloronitrobenzene)	1.0
75-88-7	2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1.0	84-74-2	Dibutyl phthalate	1.0
76-01-7	Pentachloroethane	1.0	85-01-8	Phenanthrene	1.0
76-02-8	Trichloroacetyl chloride	1.0	85-44-9	Phthalic anhydride	1.0
76-06-2	Chloropicrin	1.0	86-30-6	N-Nitrosodiphenylamine	1.0
76-13-1	Freon 113 [Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]	1.0	87-62-7	2,6-Xylidine	0.1
76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0	87-68-3	Hexachloro-1,3-butadiene	1.0
76-15-3	Monochloropentafluoroethane (CFC-115)	1.0	87-86-5	Pentachlorophenol (PCP)	0.1
76-44-8	Heptachlor [1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]	0.1	88-06-2	2,4,6-Trichlorophenol	0.1
76-87-9	Triphenyltin hydroxide	1.0	88-75-5	2-Nitrophenol	1.0
77-47-4	Hexachlorocyclopentadiene	1.0	88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0
77-73-6	Dicyclopentadiene	1.0	88-89-1	Picric acid	1.0
77-78-1	Dimethyl sulfate	0.1	90-04-0	o-Anisidine	0.1
78-48-8	S,S,S-Tributyltrithiophosphate (DEF)	1.0	90-43-7	2-Phenylphenol	1.0
78-84-2	Isobutyraldehyde	1.0	90-94-8	Michler's ketone	0.1
78-87-5	1,2-Dichloropropane	1.0	91-08-7	Toluene-2,6-diisocyanate	0.1
78-88-6	2,3-Dichloropropene	1.0	91-20-3	Naphthalene	1.0
78-92-2	sec-Butyl alcohol	1.0	91-22-5	Quinoline	1.0
78-93-3	Methyl ethyl ketone	1.0	91-59-8	beta-Naphthylamine	0.1
79-00-5	1,1,2-Trichloroethane	1.0	91-94-1	3,3'-Dichlorobenzidine	0.1
79-01-6	Trichloroethylene	0.1	92-52-4	Biphenyl	1.0
79-06-1	Acrylamide	0.1	92-67-1	4-Aminobiphenyl	0.1
79-10-7	Acrylic acid	1.0	92-87-5	Benzidine	0.1
79-11-8	Chloroacetic acid	1.0	92-93-3	4-Nitrobiphenyl	0.1
79-19-6	Thiosemicarbazide	1.0	93-65-2	Mecoprop	0.1
79-21-0	Peracetic acid	1.0	94-11-1	2,4-D isopropyl ester	0.1
79-22-1	Methyl chlorocarbonate	1.0	94-36-0	Benzoyl peroxide	1.0
			94-58-6	Dihydrosafrole	0.1
			94-59-7	Safrole	0.1
			94-74-6	Methoxone ((4-Chloro-2-methylphenoxy) acetic acid) (MCPA)	0.1
			94-75-7	2,4-D [Acetic acid, (2,4-dichlorophenoxy)-]	0.1
			94-80-4	2,4-D butyl ester	0.1

\*C.I. means "Color Index"

CAS Number	Chemical Name	DeMinimis Concentration	CAS Number	Chemical Name	DeMinimis Concentration
94-82-6	2,4-DB	1.0	104-94-9	p-Anisidine	1.0
95-47-6	o-Xylene	1.0	105-67-9	2,4-Dimethylphenol	1.0
95-48-7	o-Cresol	1.0	106-42-3	p-Xylene	1.0
95-50-1	1,2-Dichlorobenzene	1.0	106-44-5	p-Cresol	1.0
95-53-4	o-Toluidine	0.1	106-46-7	1,4-Dichlorobenzene	0.1
95-54-5	1,2-Phenylenediamine	1.0	106-47-8	p-Chloroaniline	0.1
95-63-6	1,2,4-Trimethylbenzene	1.0	106-50-3	p-Phenylenediamine	1.0
95-69-2	p-Chloro-o-toluidine	0.1	106-51-4	Quinone	1.0
95-80-7	2,4-Diaminotoluene	0.1	106-88-7	1,2-Butylene oxide	1.0
95-95-4	2,4,5-Trichlorophenol	1.0	106-89-8	Epichlorohydrin	0.1
96-09-3	Styrene oxide	0.1	106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1	106-99-0	1,3-Butadiene	0.1
96-18-4	1,2,3-Trichloropropane	0.1	107-02-8	Acrolein	1.0
96-33-3	Methyl acrylate	1.0	107-05-1	Allyl chloride	1.0
96-45-7	Ethylene thiourea	0.1	107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1
97-23-4	Dichlorophene (2,2'-Methylenebis(4-chlorophenol))	1.0	107-11-9	Allylamine	1.0
97-56-3	C.I. Solvent Yellow 3	1.0	107-13-1	Acrylonitrile	0.1
98-07-7	Benzoic trichloride (Benzotrichloride)	0.1	107-18-6	Allyl alcohol	1.0
98-82-8	Cumene	1.0	107-19-7	Propargyl alcohol	1.0
98-86-2	Acetophenone	1.0	107-21-1	Ethylene glycol	1.0
98-87-3	Benzal chloride	1.0	107-30-2	Chloromethyl methyl ether	0.1
98-88-4	Benzoyl chloride	1.0	108-05-4	Vinyl acetate	0.1
98-95-3	Nitrobenzene	1.0	108-10-1	Methyl isobutyl ketone	1.0
99-30-9	Dichloran (2,6-Dichloro-4- nitroaniline)	1.0	108-31-6	Maleic anhydride	1.0
99-55-8	5-Nitro-o-toluidine	1.0	108-38-3	m-Xylene	1.0
99-59-2	5-Nitro-o-anisidine	1.0	108-39-4	m-Cresol	1.0
99-65-0	m-Dinitrobenzene	1.0	108-45-2	1,3-Phenylenediamine	1.0
100-01-6	p-Nitroaniline	1.0	108-60-1	Bis(2-chloro-1-methylethyl) ether	1.0
100-02-7	4-Nitrophenol	1.0	108-88-3	Toluene	1.0
100-25-4	p-Dinitrobenzene	1.0	108-90-7	Chlorobenzene	1.0
100-41-4	Ethylbenzene	1.0	108-93-0	Cyclohexanol	1.0
100-42-5	Styrene	0.1	108-95-2	Phenol	1.0
100-44-7	Benzyl chloride	1.0	109-06-8	2-Methylpyridine	1.0
100-75-4	N-Nitrosopiperidine	0.1	109-77-3	Malononitrile	1.0
101-05-3	Anilazine [4,6-Dichloro-N-(2-chlorophenyl)- 1,3,5-triazin-2-amine]	1.0	109-86-4	2-Methoxyethanol	1.0
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	0.1	110-54-3	n-Hexane	1.0
101-61-1	4,4'-Methylenebis(N,N- dimethyl)benzenamine	0.1	110-57-6	trans-1,4-Dichloro-2-butene	1.0
101-77-9	4,4'-Methylenedianiline	0.1	110-80-5	2-Ethoxyethanol	1.0
101-80-4	4,4'-Diaminodiphenyl ether	0.1	110-82-7	Cyclohexane	1.0
101-90-6	Diglycidyl resorcinol ether	0.1	110-86-1	Pyridine	1.0
104-12-1	p-Chlorophenyl isocyanate	1.0	111-42-2	Diethanolamine	1.0
			111-44-4	Bis(2-chloroethyl) ether	1.0
			111-91-1	Bis(2-chloroethoxy) methane	1.0
			114-26-1	Propoxur [Phenol, 2-(1-methylethoxy)-, methylcarbamate]	1.0
			115-07-1	Propylene (Propene)	1.0
			115-28-6	Chlorendic acid	0.1

CAS Number	Chemical Name	DeMinimis Concentration	CAS Number	Chemical Name	DeMinimis Concentration
115-32-2	Dicofol [Benzenemethanol, 4-chloro-.alpha.- -4-(chlorophenyl)-.alpha.- (trichloromethyl)-]	1.0	134-29-2	o-Anisidine hydrochloride	0.1
116-06-3	Aldicarb	1.0	134-32-7	alpha-Naphthylamine	0.1
117-79-3	2-Aminoanthraquinone	0.1	135-20-6	Cupferron [Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	0.1
117-81-7	Di(2-ethylhexyl) phthalate (DEHP)	0.1	136-45-8	Dipropyl isocinchomeronate	1.0
118-74-1	Hexachlorobenzene	0.1	137-26-8	Thiram	1.0
119-90-4	3,3'-Dimethoxybenzidine	0.1	137-41-7	Potassium N-methyldithio- carbamate	1.0
119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1	137-42-8	Metham sodium (Sodium methyldithiocarbamate)	1.0
120-12-7	Anthracene	1.0	138-93-2	Disodium cyanodithioimido- carbonate	1.0
120-36-5	2,4-DP	0.1	139-13-9	Nitrilotriacetic acid	0.1
120-58-1	Isosafrole	1.0	139-65-1	4,4'-Thiodianiline	0.1
120-71-8	p-Cresidine	0.1	140-88-5	Ethyl acrylate	0.1
120-80-9	Catechol	1.0	141-32-2	Butyl acrylate	1.0
120-82-1	1,2,4-Trichlorobenzene	1.0	142-59-6	Nabam	1.0
120-83-2	2,4-Dichlorophenol	1.0	148-79-8	Thiabendazole (2-(4-Thiazolyl)-1H-benzimidazole)	1.0
121-14-2	2,4-Dinitrotoluene	1.0	149-30-4	2-Mercaptobenzothiazole (MBT)	1.0
121-44-8	Triethylamine	1.0	150-50-5	Merphos	1.0
121-69-7	N,N-Dimethylaniline	1.0	150-68-5	Monuron	1.0
121-75-5	Malathion	1.0	151-56-4	Ethyleneimine (Aziridine)	0.1
122-34-9	Simazine	1.0	156-10-5	p-Nitrosodiphenylamine	1.0
122-39-4	Diphenylamine	1.0	156-62-7	Calcium cyanamide	1.0
122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1	298-00-0	Methyl parathion	1.0
123-31-9	Hydroquinone	1.0	300-76-5	Naled	1.0
123-38-6	Propionaldehyde	1.0	301-12-2	Oxydemeton methyl (S-(2-(Ethylsulfinyl)ethyl) O,O- dimethyl ester phosphorothioic acid)	1.0
123-63-7	Paraldehyde	1.0	302-01-2	Hydrazine	0.1
123-72-8	Butyraldehyde	1.0	306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0
123-91-1	1,4-Dioxane	0.1	309-00-2	Aldrin [1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a- hexahydro-(1.alpha.,4.alpha.,4a.beta., 5.alpha.,8.alpha.,8a.beta.)-]	1.0
124-40-3	Dimethylamine	1.0	314-40-9	Bromacil (5-Bromo-6-methyl-3-(1-methyl- propyl)-2,4-(1H,3H)-pyrimidine- dione)	1.0
124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0	319-84-6	alpha-Hexachlorocyclohexane	1.0
126-72-7	Tris(2,3-dibromopropyl) phosphate	0.1	330-54-1	Diuron	1.0
126-98-7	Methacrylonitrile	1.0	330-55-2	Linuron	1.0
126-99-8	Chloroprene	1.0	333-41-5	Diazinon	1.0
127-18-4	Tetrachloroethylene (Perchloroethylene)	0.1	334-88-3	Diazomethane	1.0
128-03-0	Potassium dimethyldithiocarbamate	1.0	353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0
128-04-1	Sodium dimethyldithiocarbamate	1.0			
128-66-5	C.I. Vat Yellow 4	1.0			
131-11-3	Dimethyl phthalate	1.0			
131-52-2	Sodium pentachlorophenate	1.0			
132-27-4	Sodium o-phenylphenoxide	0.1			
132-64-9	Dibenzofuran	1.0			
133-06-2	Captan [1H-Isoindole-1,3(2H)-dione, 3a, 4,7,7a-tetrahydro-2- [(trichloromethyl)thio]-]	1.0			
133-07-3	Folpet	1.0			
133-90-4	Chloramben [Benzoic acid, 3-amino-2,5-dichloro-]	1.0			

\*C.I. means "Color Index"

CAS Number	Chemical Name	DeMinimis Concentration	CAS Number	Chemical Name	DeMinimis Concentration
354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0	584-84-9	Toluene-2,4-diisocyanate	0.1
354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0	593-60-2	Vinyl bromide	0.1
354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0	594-42-3	Perchloromethyl mercaptan	1.0
354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0	606-20-2	2,6-Dinitrotoluene	1.0
357-57-3	Brucine	1.0	612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1
422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0	612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1
422-48-0	2,3-Dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	1.0	615-05-4	2,4-Diaminoanisole	0.1
422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	1.0	615-28-1	1,2-Phenylenediamine dihydrochloride	1.0
431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0	621-64-7	N-Nitrosodi-n-propylamine	0.1
460-35-5	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0	624-18-0	1,4-Phenylenediamine dihydrochloride	1.0
463-58-1	Carbonyl sulfide	1.0	624-83-9	Methyl isocyanate	1.0
465-73-6	Isodrin	1.0	630-20-6	1,1,1,2-Tetrachloroethane	1.0
492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1	636-21-5	o-Toluidine hydrochloride	0.1
505-60-2	Mustard gas [Ethane, 1,1'-thiobis[2-chloro-]]	0.1	639-58-7	Triphenyltin chloride	1.0
507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0	680-31-9	Hexamethylphosphoramide	0.1
510-15-6	Chlorobenzilate [Benzeneacetic acid, 4-chloro-.alpha.-(4-chlorophenyl)-.alpha.-hydroxy-, ethyl ester]	1.0	684-93-5	N-Nitroso-N-methylurea	0.1
528-29-0	o-Dinitrobenzene	1.0	709-98-8	Propanil (N-(3,4-Dichlorophenyl)propanamide)	1.0
532-27-4	2-Chloroacetophenone	1.0	759-73-9	N-Nitroso-N-ethylurea	0.1
533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)	1.0	759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0
534-52-1	4,6-Dinitro-o-cresol	1.0	764-41-0	1,4-Dichloro-2-butene	1.0
540-59-0	1,2-Dichloroethylene	1.0	812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0
541-41-3	Ethyl chloroformate	1.0	834-12-8	Ametryn (N-Ethyl-N'-(1-methylethyl)-6-(methylthio)-1,3,5,-triazine-2,4-diamine)	1.0
541-53-7	2,4-Dithiobiuret	1.0	842-07-9	C.I. Solvent Yellow 14	1.0
541-73-1	1,3-Dichlorobenzene	1.0	872-50-4	N-Methyl-2-pyrrolidone	1.0
542-75-6	1,3-Dichloropropylene	0.1	924-16-3	N-Nitrosodi-n-butylamine	0.1
542-76-7	3-Chloropropionitrile	1.0	924-42-5	N-Methylolacrylamide	1.0
542-88-1	Bis(chloromethyl) ether	0.1	957-51-7	Diphenamid	1.0
554-13-2	Lithium carbonate	1.0	961-11-5	Tetrachlorvinphos [Phosphoric acid, 2-chloro-1-(2,4,5-trichlorophenyl)ethenyl dimethyl ester]	1.0
556-61-6	Methyl isothiocyanate (Isothiocyanatomethane)	1.0	989-38-8	C.I. Basic Red 1	1.0
563-47-3	3-Chloro-2-methyl-1-propene	0.1	1114-71-2	Pebulate (Butylethylcarbamothioic acid S-propyl ester)	1.0
569-64-2	C.I. Basic Green 4	1.0	1120-71-4	Propane sultone	0.1
576-26-1	2,6-Dimethylphenol	1.0	1134-23-2	Cycloate	1.0
			1163-19-5	Decabromodiphenyl oxide	1.0
			1313-27-5	Molybdenum trioxide	1.0
			1314-20-1	Thorium dioxide	1.0

CAS Number	Chemical Name	DeMinimis Concentration	CAS Number	Chemical Name	DeMinimis Concentration
1319-77-3	Cresol (mixed isomers)	1.0	1982-69-0	Sodium dicamba (3,6-Dichloro-2-methoxybenzoic acid, sodium salt)	1.0
1320-18-9	2,4-D propylene glycol butyl ether ester	0.1	1983-10-4	Tributyltin fluoride	1.0
1330-20-7	Xylene (mixed isomers)	1.0	2032-65-7	Methiocarb	1.0
1332-21-4	Asbestos (friable)	0.1	2155-70-6	Tributyltin methacrylate	1.0
1335-87-1	Hexachloronaphthalene	1.0	2164-07-0	Dipotassium endothall (7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid, dipotassium salt)	1.0
1336-36-3	Polychlorinated biphenyls (PCBs)	0.1	2164-17-2	Fluometuron [Urea, N,N-dimethyl-N'-[3-(trifluoromethyl)phenyl]-]	1.0
1344-28-1	Aluminum oxide (fibrous forms)	1.0	2212-67-1	Molinate (1H-Azepine-1-carbothioic acid, hexahydro-S-ethyl ester)	1.0
1464-53-5	Diepoxybutane	0.1	2234-13-1	Octachloronaphthalene	1.0
1563-66-2	Carbofuran	1.0	2300-66-5	Dimethylamine dicamba	1.0
1582-09-8	Trifluralin [Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]	1.0	2303-16-4	Diallate [Carbamothioic acid, bis(1-methyl-ethyl)-S-(2,3-dichloro-2-propenyl) ester]	1.0
1634-04-4	Methyl tert-butyl ether	1.0	2303-17-5	Triallate	1.0
1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0	2312-35-8	Propargite	1.0
1689-84-5	Bromoxynil (3,5-Dibromo-4-hydroxybenzonitrile)	1.0	2439-01-2	Chinomethionat (6-Methyl-1,3-dithiolo[4,5-b]-quinoxalin-2-one)	1.0
1689-99-2	Bromoxynil octanoate (Octanoic acid, 2,6-dibromo-4-cyanophenyl ester)	1.0	2439-10-3	Dodine (Dodecylguanidine monoacetate)	1.0
1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0	2524-03-0	Dimethyl chlorothiophosphate	1.0
1836-75-5	Nitrofen [Benzene, 2,4-dichloro-1-(4-nitrophenoxy)-]	0.1	2602-46-2	C.I. Direct Blue 6	0.1
1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4-(trifluoromethyl)benzenamine)	1.0	2655-15-4	2,3,5-Trimethylphenyl methyl carbamate	1.0
1897-45-6	Chlorothalonil [1,3-Benzenedicarbonitrile, 2,4,5,6-tetrachloro-]	1.0	2699-79-8	Sulfuryl fluoride (Vikane)	1.0
1910-42-5	Paraquat dichloride	1.0	2702-72-9	2,4-D sodium salt	0.1
1912-24-9	Atrazine (6-Chloro-N-ethyl-N'-(1-methyl-ethyl)-1,3,5-triazine-2,4-diamine)	0.1	2832-40-8	C.I. Disperse Yellow 3	1.0
1918-00-9	Dicamba (3,6-Dichloro-2-methoxybenzoic acid)	1.0	2837-89-0	2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	1.0
1918-02-1	Picloram	1.0	2971-38-2	2,4-D Chlorocrotyl ester	0.1
1918-16-7	Propachlor (2-Chloro-N-(1-methylethyl)-N-phenylacetamide)	1.0	3118-97-6	C.I. Solvent Orange 7	1.0
1928-43-4	2,4-D 2-ethylhexyl ester	0.1	3383-96-8	Temephos	1.0
1929-73-3	2,4-D butoxyethyl ester	0.1	3653-48-3	Methoxone sodium salt ((4-Chloro-2-methylphenoxy) acetate sodium salt)	0.1
1929-82-4	Nitrapyrin (2-Chloro-6-(trichloromethyl)-pyridine)	1.0	3761-53-3	C.I. Food Red 5	0.1
1937-37-7	C.I. Direct Black 38	0.1	4080-31-3	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	1.0
			4170-30-3	Crotonaldehyde	1.0
			4549-40-0	N-Nitrosomethylvinylamine	0.1
			4680-78-8	C.I. Acid Green 3	1.0

\*C.I. means "Color Index"

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
5234-68-4	Carboxin (5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiin-3-carboxamide)	1.0	7696-12-0	Tetramethrin (2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isindol-2-yl)methyl ester)	1.0
5598-13-0	Chlorpyrifos methyl (O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate)	1.0	7697-37-2	Nitric acid	1.0
5902-51-2	Terbacil (5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione)	1.0	7723-14-0	Phosphorus (yellow or white)	1.0
6459-94-5	C.I. Acid Red 114	0.1	7726-95-6	Bromine	1.0
7287-19-6	Prometryn (N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine)	1.0	7758-01-2	Potassium bromate	0.1
7429-90-5	Aluminum (fume or dust)	1.0	7782-41-4	Fluorine	1.0
7439-92-1	Lead	0.1	7782-49-2	Selenium	1.0
7439-96-5	Manganese	1.0	7782-50-5	Chlorine	1.0
7439-97-6	Mercury	1.0	7786-34-7	Mevinphos	1.0
7440-02-0	Nickel	0.1	7803-51-2	Phosphine	1.0
7440-22-4	Silver	1.0	8001-35-2	Toxaphene	0.1
7440-28-0	Thallium	1.0	8001-58-9	Creosote	0.1
7440-36-0	Antimony	1.0	9006-42-2	Metiram	1.0
7440-38-2	Arsenic	0.1	10028-15-6	Ozone	1.0
7440-39-3	Barium	1.0	10034-93-2	Hydrazine sulfate	0.1
7440-41-7	Beryllium	0.1	10049-04-4	Chlorine dioxide	1.0
7440-43-9	Cadmium	0.1	10061-02-6	trans-1,3-Dichloropropene	0.1
7440-47-3	Chromium	1.0	10294-34-5	Boron trichloride	1.0
7440-48-4	Cobalt	0.1	10453-86-8	Resmethrin ([5-(Phenylmethyl)-3-furyl]methyl-2,2-dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylate])	1.0
7440-50-8	Copper	1.0	12122-67-7	Zineb [Carbamodithioic acid, 1,2-ethanediybis-, zinc complex]	1.0
7440-62-2	Vanadium (fume or dust)	1.0	12427-38-2	Maneb [Carbamodithioic acid, 1,2-ethanediybis-, manganese complex]	1.0
7440-66-6	Zinc (fume or dust)	1.0	13194-48-4	Ethoprop (Phosphorodithioic acid O-ethyl S,S-dipropyl ester)	1.0
7550-45-0	Titanium tetrachloride	1.0	13356-08-6	Fenbutatin oxide (Hexakis(2-methyl-2-phenylpropyl)distannoxane)	1.0
7632-00-0	Sodium nitrite	1.0	13463-40-6	Iron pentacarbonyl	1.0
7637-07-2	Boron trifluoride	1.0	13474-88-9	1,1-Dichloro-1,2,2,3,3-pentafluoropropane (HCFC-225cc)	1.0
7647-01-0	Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0	13684-56-5	Desmedipham	1.0
7664-38-2	Phosphoric acid	1.0	14484-64-1	Ferbam (Tris(dimethylcarbamodithioato-S,S')iron)	1.0
7664-39-3	Hydrogen fluoride	1.0	15972-60-8	Alachlor	1.0
7664-41-7	Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	1.0	16071-86-6	C.I. Direct Brown 95	0.1
7664-93-9	Sulfuric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0	16543-55-8	N-Nitrosomnicotine	0.1
			17804-35-2	Benomyl	1.0

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
19044-88-3	Oryzalin (4-(Dipropylamino)-3,5-dinitrobenzenesulfonamide)	1.0	28249-77-6	Thiobencarb (Carbamic acid, diethylthio-, S-(p-chlorobenzyl)ester)	1.0
19666-30-9	Oxydiazon (3-[2,4-Dichloro-5-(1-methylethoxy)phenyl]-5-(1,1-dimethylethyl)-1,3,4-oxadiazol-2(3H)-one)	1.0	28407-37-6	C.I. Direct Blue 218	1.0
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	0.1	29232-93-7	Pirimiphos methyl (O-(2-(Diethylamino)-6-methyl-4-pyrimidinyl)-O,O-dimethyl phosphorothioate)	1.0
20354-26-1	Methazole (2-(3,4-Dichlorophenyl)-4-methyl-1,2,4-oxadiazolidine-3,5-dione)	1.0	30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0
20816-12-0	Osmium tetroxide	1.0	31218-83-4	Propetamphos (3-[(Ethylamino)methoxy phosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester)	1.0
20859-73-8	Aluminum phosphide	1.0	33089-61-1	Amitraz	1.0
21087-64-9	Metribuzin	1.0	34014-18-1	Tebuthiuron (N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea)	1.0
21725-46-2	Cyanazine	1.0	34077-87-7	Dichlorotrifluoroethane	1.0
22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4-ol methylcarbamate]	1.0	35367-38-5	Diffubenzuron	1.0
23564-05-8	Thiophanatemethyl	1.0	35400-43-2	Sulprofos (O-Ethyl O-[4-(methylthio)phenyl]-phosphorodithioic acid S-propyl ester)	1.0
23564-06-9	Thiophanate ethyl ([1,2-Phenylenebis-(iminocarbonothioyl)]biscarbamic acid diethyl ester)	1.0	35554-44-0	Imazalil (1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole)	1.0
23950-58-5	Pronamide	1.0	35691-65-7	1-Bromo-1-(bromomethyl)-1,3-propanedicarbonitrile	1.0
25311-71-1	Isofenphos (2-[[Ethoxyl[(1-methylethyl)-amino]phosphinothioyl]oxy]benzoic acid 1-methylethyl ester)	1.0	38727-55-8	Diethatyl ethyl	1.0
25321-14-6	Dinitrotoluene (mixed isomers)	1.0	39156-41-7	2,4-Diaminoanisole sulfate	0.1
25321-22-6	Dichlorobenzene (mixed isomers)	0.1	39300-45-3	Dinocap	1.0
25376-45-8	Diaminotoluene (mixed isomers)	0.1	39515-41-8	Fenpropathrin (2,2,3,3-Tetramethylcyclopropane carboxylic acid cyano(3-phenoxyphenyl)methyl ester)	1.0
26002-80-2	Phenothrin (2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (3-phenoxyphenyl)methyl ester)	1.0	40487-42-1	Pendimethalin (N-(1-Ethylpropyl)-3,4-dimethyl-2,6-dinitrobenzenamine)	1.0
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1	41198-08-7	Profenofos (O-(4-Bromo-2-chlorophenyl)-O-ethyl-S-propyl-phosphorothioate)	1.0
26628-22-8	Sodium azide	1.0	41766-75-0	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	0.1
26644-46-2	Triforine (N,N'-[1,4-Piperazinediyl]bis(2,2,2-trichloroethylidene)]bisformamide)	1.0	42874-03-3	Oxyfluorfen	1.0
27314-13-2	Norflurazon (4-Chloro-5-(methylamino)-2-[3-(trifluoromethyl)phenyl]-3(2H)-pyridazinone)	1.0	43121-43-3	Triadimefon (1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H-1,2,4-triazol-1-yl)-2-butanone)	1.0
28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethrine]	1.0			

\*C.I. means "Color Index"

CAS Number	Chemical Name	DeMinimis Concentration	CAS Number	Chemical Name	DeMinimis Concentration
50471-44-8	Vinclozolin (3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazo-lidinedione)	1.0	66441-23-4	Fenoxaprop ethyl (2-(4-((6-Chloro-2-benzoxazolyl)-oxy)phenoxy)propanoic acid, ethyl ester)	1.0
51235-04-2	Hexazinone	1.0	67485-29-4	Hydramethylnon (Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-2-propenyldene]hydrazone)	1.0
51338-27-3	Diclofop methyl (2-[4-(2,4-Dichlorophenoxy)-phenoxy]propanoic acid, methyl ester)	1.0	68085-85-8	Cyhalothrin (3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-Dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl) methyl ester)	1.0
51630-58-1	Fenvalerate (4-Chloro-alpha-(1-methylethyl)-benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester)	1.0	68359-37-5	Cyfluthrin (3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl)methyl ester)	1.0
52645-53-1	Permethrin (3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropane carboxylic acid, (3-phenoxyphenyl)methyl ester)	1.0	69409-94-5	Fluvalinate (N-[2-Chloro-4-(trifluoromethyl)-phenyl]-DL-valine(+)-cyano(3-phenoxyphenyl)methyl ester)	1.0
53404-19-6	Bromacil, lithium salt (2,4-(1H,3H)-Pyrimidinedione, 5-bromo-6-methyl-3-(1-methylpropyl), lithium salt)	1.0	69806-50-4	Fluazifop butyl (2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester)	1.0
53404-37-8	2,4-D 2-ethyl-4-methylpentyl ester	0.1	71751-41-2	Abamectin [Avermectin B1]	1.0
53404-60-7	Dazomet, sodium salt (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium)	1.0	72178-02-0	Fomesafen (5-(2-Chloro-4-(trifluoromethyl)-phenoxy)-N-methylsulfonyl)-2-nitrobenzamide)	1.0
55290-64-7	Dimethipin (2,3-Dihydro-5,6-dimethyl-1,4-dithiin 1,1,4,4-tetraoxide)	1.0	72490-01-8	Fenoxycarb (2-(4-Phenoxyphenoxy)-ethyl-carbamic acid ethyl ester)	1.0
55406-53-6	3-Iodo-2-propynyl butylcarbamate	1.0	74051-80-2	Sethoxydim (2-[1-(Ethoxyimino)butyl]-5-[2-(ethylthio)propyl]-3-hydroxyl-2-cyclohexen-1-one)	1.0
57213-69-1	Triclopyr triethylammonium salt	1.0	76578-14-8	Quizalofop-ethyl (2-[4-[(6-Chloro-2-quinoxalinyloxy]phenoxy]propanoic acid ethyl ester)	1.0
59669-26-0	Thiodicarb	1.0	77501-63-4	Lactofen (Benzoic acid, (5-2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitro-2-ethoxy-1-methyl-2-oxoethyl ester)	1.0
60168-88-9	Fenarimol (alpha-(2-Chlorophenyl)-.alpha.-4-chlorophenyl)-5-pyrimidine-methanol)	1.0	82657-04-3	Bifenthrin	1.0
60207-90-1	Propiconazole (1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]-methyl-1H-1,2,4-triazole)	1.0			
62476-59-9	Acifluorfen, sodium salt [5-(2-Chloro-4-(trifluoromethyl)-phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0			
63938-10-3	Chlorotetrafluoroethane	1.0			
64902-72-3	Chlorsulfuron (2-Chloro-N-[[4-methoxy-6-methyl-1,3,5-triazin-2-yl]amino]carbonyl]benzenesulfonamide)	1.0			
64969-34-2	3,3'-Dichlorobenzidine sulfate	0.1			

CAS Number	Chemical Name	De Minimis Concentration
88671-89-0	Myclobutanil (.alpha.-Butyl-.alpha.-(4-chlorophenyl)-1H-1,2,4-triazole-1-propanenitrile)	1.0
90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0
90982-32-4	Chlorimuron ethyl (Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2-yl)-carbonyl]-amino]sulfonyl]benzoate)	1.0
101200-48-0	Tribenuron methyl (2-((((4-Methoxy-6-methyl-1,3,5-triazin-2-yl)-methylamino)carbonyl)amino)sulfonyl)-, methyl ester)	1.0
111512-56-2	1,1-Dichloro-1,2,3,3,3-pentafluoropropane (HCFC-225eb)	1.0
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1
127564-92-5	Dichloropentafluoropropane	1.0
128903-21-9	2,2-Dichloro-1,1,1,3,3-pentafluoropropane (HCFC-225aa)	1.0
136013-79-1	1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	1.0

### c. Chemical Categories

Section 313 requires reporting on the toxic chemical categories listed below, in addition to the specific toxic chemicals listed above.

The metal compounds listed below, unless otherwise specified, are defined as including any unique chemical substance that contains the named metal (i.e., antimony, nickel, etc.) as part of that chemical's structure.

Toxic chemical categories are subject to the 1 percent *de minimis* concentration unless the substance involved meets the definition of an OSHA carcinogen in which case the 0.1 percent *de minimis* concentration applies. The *de minimis* concentration for each category is provided in parentheses.

#### Antimony Compounds (1.0)

*Includes any unique chemical substance that contains antimony as part of that chemical's infra structure.*

#### Arsenic Compounds (inorganic compounds: 0.1; organic compounds: 1.0)

*Includes any unique chemical substance that contains arsenic as part of that chemical's infrastructure.*

#### Barium Compounds (1.0)

*Includes any unique chemical substance that contains barium as part of that chemical's infrastructure. This category does not include: Barium sulfate CAS Number 7727-43-7*

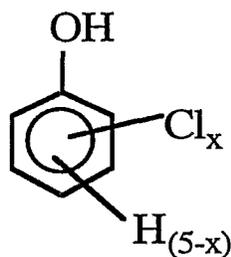
#### Beryllium Compounds (0.1)

*Includes any unique chemical substance that contains beryllium as part of that chemical's infrastructure.*

#### Cadmium Compounds (0.1)

*Includes any unique chemical substance that contains cadmium as part of that chemical's infrastructure.*

#### Chlorophenols (0.1)



Where  $x = 1$  to  $5$

**Chromium Compounds (chromium VI compounds: 0.1; chromium III compounds: 1.0)**

*Includes any unique chemical substance that contains chromium as part of that chemical's infrastructure.*

**Cobalt Compounds (0.1)**

*Includes any unique chemical substance that contains cobalt as part of that chemical's infrastructure.*

**Copper Compounds (1.0)**

*Includes any unique chemical substance that contains copper as part of that chemical's infrastructure.*

*This category does not include copper phthalocyanine compounds that are substituted with only hydrogen, and/or chlorine, and/or bromine.*

**Cyanide Compounds (1.0)**

*$X^+CN^-$  where  $X = H^+$  or any other group where a formal dissociation may occur. For example  $KCN$  or  $Ca(CN)_2$*

**Diisocyanates (1.0)**

This category includes only those chemicals listed below.

38661-72-2	1,3-Bis(methylisocyanate) - cyclohexane
10347-54-3	1,4-Bis(methylisocyanate)- cyclohexane
2556-36-7	1,4-Cyclohexane diisocyanate
134190-37-7	Diethyldiisocyanatobenzene
4128-73-8	4,4'-Diisocyanatodiphenyl ether
75790-87-3	2,4'-Diisocyanatodiphenyl sulfide
91-93-0	3,3'-Dimethoxybenzidine-4,4'- diisocyanate
91-97-4	3,3'-Dimethyl-4,4'-diphenylene

139-25-3	diisocyanate
	3,3'-Dimethyldiphenylmethane-4,4'-diisocyanate
822-06-0	Hexamethylene-1,6-diisocyanate
4098-71-9	Isophorone diisocyanate
75790-84-0	4-Methyldiphenylmethane-3,4-diisocyanate
5124-30-1	1,1-Methylene bis(4-isocyanatocyclohexane)
101-68-8	Methylene bis(phenylisocyanate) (MDI)
3173-72-6	1,5-Naphthalene diisocyanate
123-61-5	1,3-Phenylene diisocyanate
104-49-4	1,4-Phenylene diisocyanate
9016-87-9	Polymeric diphenylmethane diisocyanate
16938-22-0	2,2,4-Trimethylhexamethylene diisocyanate
15646-96-5	2,4,4-Trimethylhexamethylene diisocyanate

**Ethylenebisdithiocarbamic acid, salts and esters (EBDCs) (1.0)**

*Includes any unique chemical substance that contains and EBDC or an EBDC salt as part of that chemical's infrastructure.*

**Certain Glycol Ethers (1.0)**

$R-(OCH_2CH_2)_n-OR'$   
 Where  $n = 1, 2, \text{ or } 3$   
 $R = \text{alkyl C7 or less; or}$   
 $R = \text{phenyl or alkyl substituted phenyl;}$   
 $R' = H, \text{ or alkyl C7 or less; or}$   
 $OR'$  consisting of carboxylic acid ester, sulfate, phosphate, nitrate, or sulfonate.

**Lead Compounds (inorganic compounds: 0.1; organic compounds 1.0)**

*Includes any unique chemical substance that contains lead as part of that chemical's infrastructure.*

**Manganese Compounds (1.0)**

*Includes any unique chemical substance that contains manganese as part of that chemical's infrastructure.*

**Mercury Compounds (1.0)**

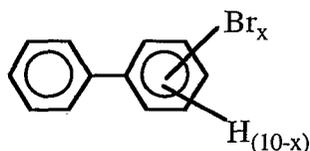
*Includes any unique chemical substance that contains mercury as part of that chemical's infrastructure.*

**Nickel Compounds (0.1)**

*Includes any unique chemical substance that contains nickel as part of that chemical's infrastructure.*

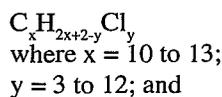
**Nicotine and salts (1.0)**

*Includes any unique chemical substance that contains nicotine or a nicotine salt as part of that chemical's infrastructure.*

**Nitrate compounds (water dissociable; reportable only when in aqueous solution) (1.0)****Polybrominated Biphenyls (PBBs) (0.1)**

Where  $x = 1$  to  $10$

**Polychlorinated alkanes (C10 to C13) (1.0, except for those members of the category that have an average chain length of 12 carbons and contain an average chlorine content of 60 percent by weight which are subject to the 0.1 percent *de minimis*)**



the average chlorine content ranges from 40 - 70% with the limiting molecular formulas  $C_{10}H_{19}Cl_3$  and  $C_{13}H_{16}Cl_{12}$

**Polycyclic aromatic compounds (PACs) (0.1 except for benzo(a)phenanthrene and dibenzo(a,e)fluoranthene which are subject to the 1.0 percent *de minimis*)**

This category includes only those chemicals listed below.

56-55-3	Benz(a)anthracene
205-99-2	Benzo(b)fluoranthene
205-82-3	Benzo(j)fluoranthene
207-08-9	Benzo(k)fluoranthene

189-55-9	Benzo(rst)pentaphene
218-01-9	Benzo(a)phenanthrene
50-32-8	Benzo(a)pyrene
226-36-8	Dibenz(a,h)acridine
224-42-0	Dibenz(a,j)acridine
53-70-3	Dibenzo(a,h)anthracene
194-59-2	7H-Dibenzo(c,g)carbazole
5385-75-1	Dibenzo(a,e)fluoranthene
192-65-4	Dibenzo(a,e)pyrene
189-64-0	Dibenzo(a,h)pyrene
191-30-0	Dibenzo(a,l)pyrene
57-97-6	7,12-Dimethylbenz(a)anthracene
193-39-5	Indeno[1,2,3-cd]pyrene
3697-24-3	5-Methylchrysene
5522-43-0	1-Nitropyrene

**Selenium Compounds (1.0)**

*Includes any unique chemical substance that contains selenium part of that chemical's infrastructure.*

**Silver Compounds (1.0)**

*Includes any unique chemical substance that contains silver part of that chemical's infrastructure.*

**Strychnine and salts (1.0)**

*Includes any unique chemical substance that contains strychnine or a strychnine salt as part of that chemical's infrastructure.*

**Thallium Compounds (1.0)**

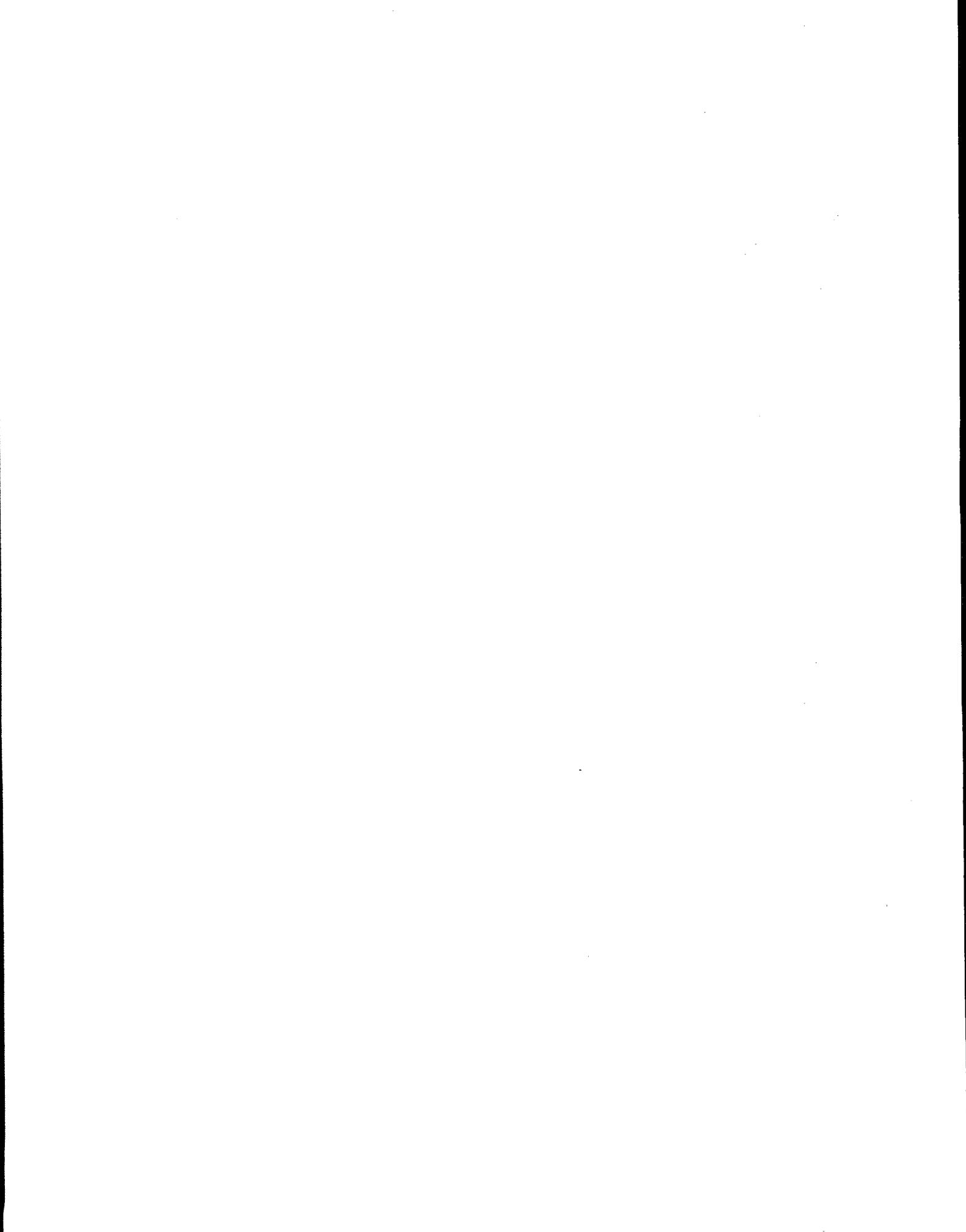
*Includes any unique chemical substance that contains thallium as part of that chemical's infrastructure.*

**Warfarin and salts (1.0)**

*Includes any unique chemical substance that contains warfarin or a warfarin salt as part of that chemical's infrastructure.*

**Zinc Compounds (1.0)**

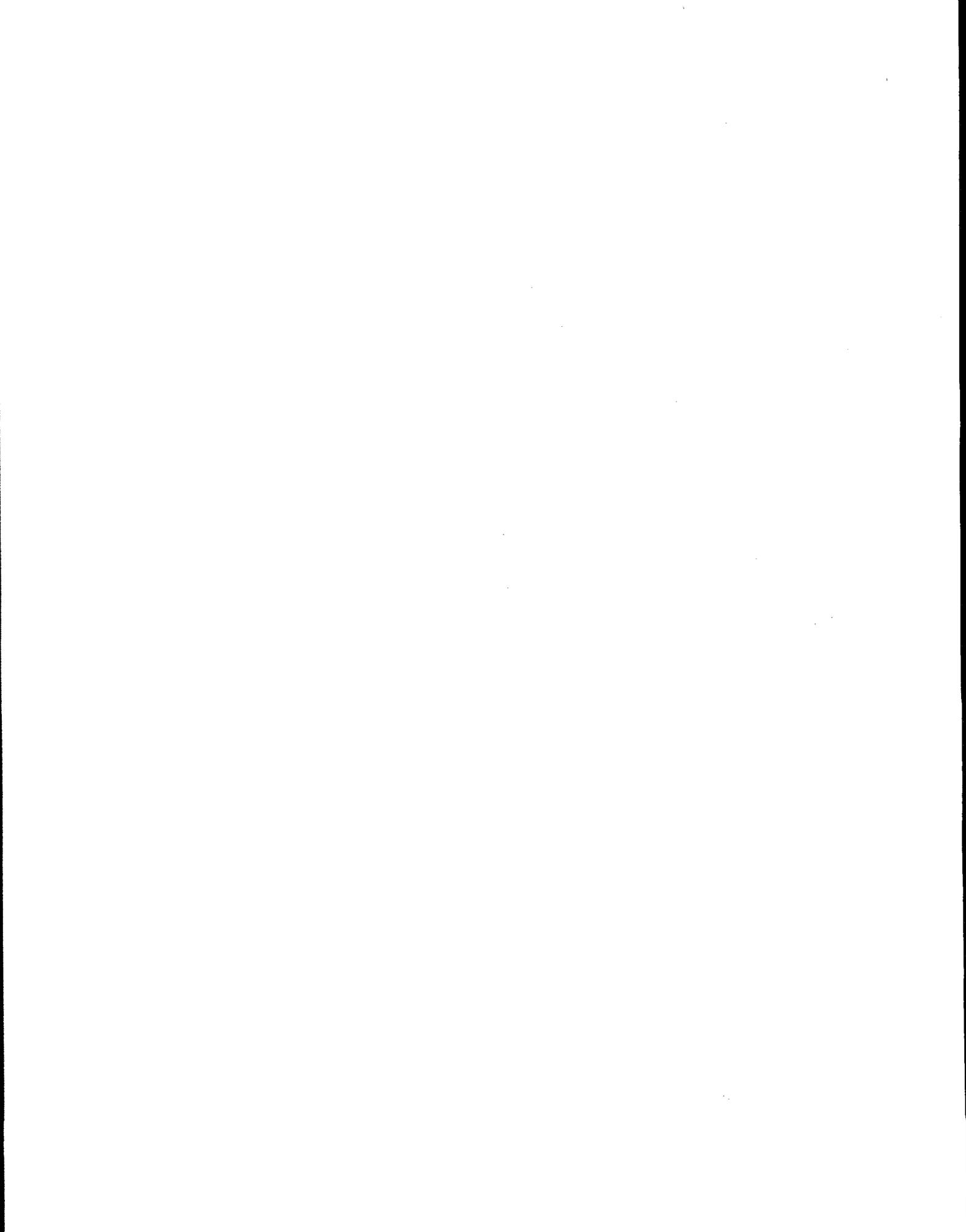
*Includes any unique chemical substance that contains zinc as part of that chemical's infrastructure.*



## TABLE III. STATE ABBREVIATIONS

---

Alabama	AL	Montana	MT
Alaska	AK	Nebraska	NE
American Samoa	AS	Neveda	NV
Arizona	AZ	New Hampshire	NH
Arkansas	AR	New Jersey	NJ
California	CA	New Mexico	NM
Colorado	CO	New York	NY
Connecticut	CT	North Carolina	NC
Delaware	DE	North Dakota	ND
District of Columbia	DC	Commonwealth of Northern	
Florida	FL	Mariana Islands	MP
Georgia	GA	Ohio	OH
Guam	GU	Oklahoma	OK
Hawaii	HI	Oregon	OR
Idaho	ID	Pennsylvania	PA
Illinois	IL	Puerto Rico	PR
Indiana	IN	Rhode Island	RI
Iowa	IA	South Carolina	SC
Kansas	KS	South Dakota	SD
Kentucky	KY	Tennessee	TN
Louisiana	LA	Texas	TX
Maine	ME	Utah	UT
Marshall Islands	MH	Vermont	VT
Maryland	MD	Virginia	VA
Massachusetts	MA	Virgin Islands	VI
Michigan	MI	Washington	WA
Minnesota	MN	West Virginia	WV
Mississippi	MS	Wisconsin	WI
Missouri	MO	Wyoming	WY



# APPENDIX A. FEDERAL FACILITY REPORTING INFORMATION

## Special Instructions for TRI Federal Facility Reporting

### Why Do Federal Facilities Need to Report?

EO 12856, Pollution Prevention and Right-to-Know Reporting, requires federal agencies to comply with the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) and the Pollution Prevention Act of 1990 (PPA). By Executive Order, federal facilities must report Toxic Release Inventory (TRI) data, pursuant to the Emergency Planning and Community Right-to-Know Act of 1986, Section 313, to EPA beginning with calendar year 1994 data. TRI submissions are due to EPA on July 1 of the year following each reporting (calendar) year.

### Identifying Federal Facility Reports

Federal facility reports are identified as federal by several indicators on the form. The facility name and parent company name are critical indicators and must be reported as described below. Another critical indicator is the federal facility report box. Federal facilities only should check this box (Form R page 2, block 4.2c) to indicate that the report is from a federal agency for a federal facility. Federal facilities should also complete the partial or complete facility blocks (Form R page 2, block 4.2a and 4.2b) as appropriate. If you are a federal facility reporting for the first time, write "new" in the TRI Facility ID (TRIFID) box, even if a contractor has reported for your facility in the past. The contractor will retain the original TRIFID. You will be assigned a new TRIFID the first time you report.

### The "Double Counting" Problem

As structured, the law and the executive order require both regulated industries and the federal government to report TRI data, sometimes for the same site. In order to prevent duplicate data in the TRI database, which could result in "double counting" data for some chemicals and locations, EPA must be able to identify and distinguish the "Government Owned Contractor Operated" (GOCO) reports submitted by the federal contractor from the federal reports which contain data for the same site. To accomplish this, federal facility reports must be accompanied by either 1) exact copies (paper or electronic) of all contractor TRI reports included in the totals reported by the federal facility, or 2) a cover letter which includes a list of the facility contractors which submit TRI reports to EPA, identifying each contractor by name, TRI technical contact, and TRI facility name and address.

## Magnetic Media Reporting

EPA encourages all federal facilities and GOCO facilities to report using either EPA's Magnetic Media reporting software, or one of the commercially available packages. If the GOCO also submits its reports on magnetic media to EPA and to the federal facility, the federal facility may submit magnetic media copies of their GOCO TRI reports to EPA. Magnetic media reports must be accompanied by a cover letter which includes:

- the required Form R certification statement;
- a list of the chemicals reported on the federal facility's disk; and
- a list, identifying the contractor(s) by name and by TRIFID number if they have an assigned TRIFID number, and the chemicals they reported (which are on the contractors' attachment disk(s))

### How to Report Your Facility Name

Facility name is a critical data element. It is used by EPA to create the TRI facility ID number, which is a unique number designed to identify a facility site. The facility name and TRIFID number are used by all TRI data users to link data from a single site across multiple reporting years. Each federal facility will be assigned a new TRIFID number when the federal report is entered into the Toxic Release Inventory system for the first time. This TRIFID number, generated when the first report is entered into the Toxic Release Inventory System, will be included in future reporting packages sent to federal facilities, and should be used by federal facilities in all future reports.

Federal facilities should report their facility name on page 1 of the Form Rs (Section 4.1), as shown in the following example:

U.S. DOE Savannah River Site

It is very important that the agency name appear first, followed by the specific plant or site name.

Federal facility GOCOs should report their names as shown in the following example:

U.S. DOE Savannah River Site - Westinghouse Operations.

## How to Report Your Standard Industrial Classification (SIC) Code

Federal facilities should report the SIC Code which most closely represents the activities taking place at the site. Additional guidance on determining your SIC code is provided in the Form R and Instructions booklet. The table on the next page contains Public Administration SIC codes 91-97 covering executive, legislative, judicial, administrative and regulatory activities of the Federal government. Government-owned and operated business establishments are classified in Major SIC groups 01-89 according to the activity in which they are engaged. For example, a Veterans Hospital would be classified in Group 806 - Hospitals.

## How to Report Your "Parent Company" Name

Federal facilities should report their parent company name on page 2 of the Form R's (Section 5.1) by reporting their complete Department or Agency name, as shown in the following example:

U.S. Department of Energy

Block 5.2, Parent Company's Dun & Bradstreet Number, should be marked NA.

GOCOs should not report a federal department or agency name as their parent company. A federal name in the parent company name field will classify the report as federal, and the GOCO may be identified as a non-reporter.

## How to Revise Your Data After It Has Been Submitted

Any TRI Form R submitter may voluntarily revise their submission if they find errors after their reports have been sent to EPA. If a federal facility receives a copy of a revision from a GOCO, the facility should revise the federal report, and submit the revised report to EPA and the appropriate state along with an exact copy of the GOCO's revision. If the revision is to a hardcopy report, the facility should photocopy the original form, use a red pen to mark out the incorrect value and write in the corrected value. The revised report should be submitted to EPA, with an "X" in the revision block on page 1 of the Form R. If the revision is to a diskette, a new diskette should be submitted, containing the data only for the revised submission, not all the chemicals originally reported. The cover letter must indicate that the submission is a revision.

## National Security Data

DO NOT SUBMIT NATIONAL SECURITY DATA TO THE EPCRA REPORTING CENTER. National security data are handled through a separate process. Facilities should consult the Guidance for Implementing Executive Order 12856 documents or call the EPCRA Hotline if their Form R submission involves a national security data claim.

## Who Should Sign Federal Form R Reports?

Federal Form R reports must be signed by the senior federal employee on-site. If no federal employee is on-site, federal Form R reports must be signed by the senior federal employee with management responsibility for the site. Federal Form R reports must be signed by a federal employee. Contractor employee signatures are not considered valid on federal reports.

## More Help is Available!

Federal facilities may call EPA's EPCRA Hotline at 1-800-535-0202 to ask specific questions concerning how to submit their Form R reports.

---

**Standard Industrial Classification  
Codes 91-97**

**Division J- Public Administration**

**91 Executive, Legislative, and General  
Government, Except Finance**

- 9111 Executive Offices
- 9121 Legislative Bodies
- 9131 Executive and Legislative Offices Combined
- 9199 General Government, Not Elsewhere Classified

**92 Justice, Public Order, and Safety**

- 9211 Courts
- 9221 Police Protection
- 9222 Legal Counsel and Prosecution
- 9223 Correctional Institutions
- 9224 Fire Protection
- 9229 Public Order and Safety, Not Elsewhere Classified

**93 Public Finance, Taxation, and Monetary  
Policy**

- 9311 Public Finance, Taxation, and Monetary Policy

**94 Administration of Human Resource  
Programs**

- 9411 Administration of Educational Programs
- 9431 Administration of Public Health Programs
- 9441 Administration of Social, Human Resource and  
Income Maintenance Programs
- 9451 Administration of Veterans' Affairs, Except Health  
and Insurance

**95 Administration of Environmental  
Quality and Housing Programs**

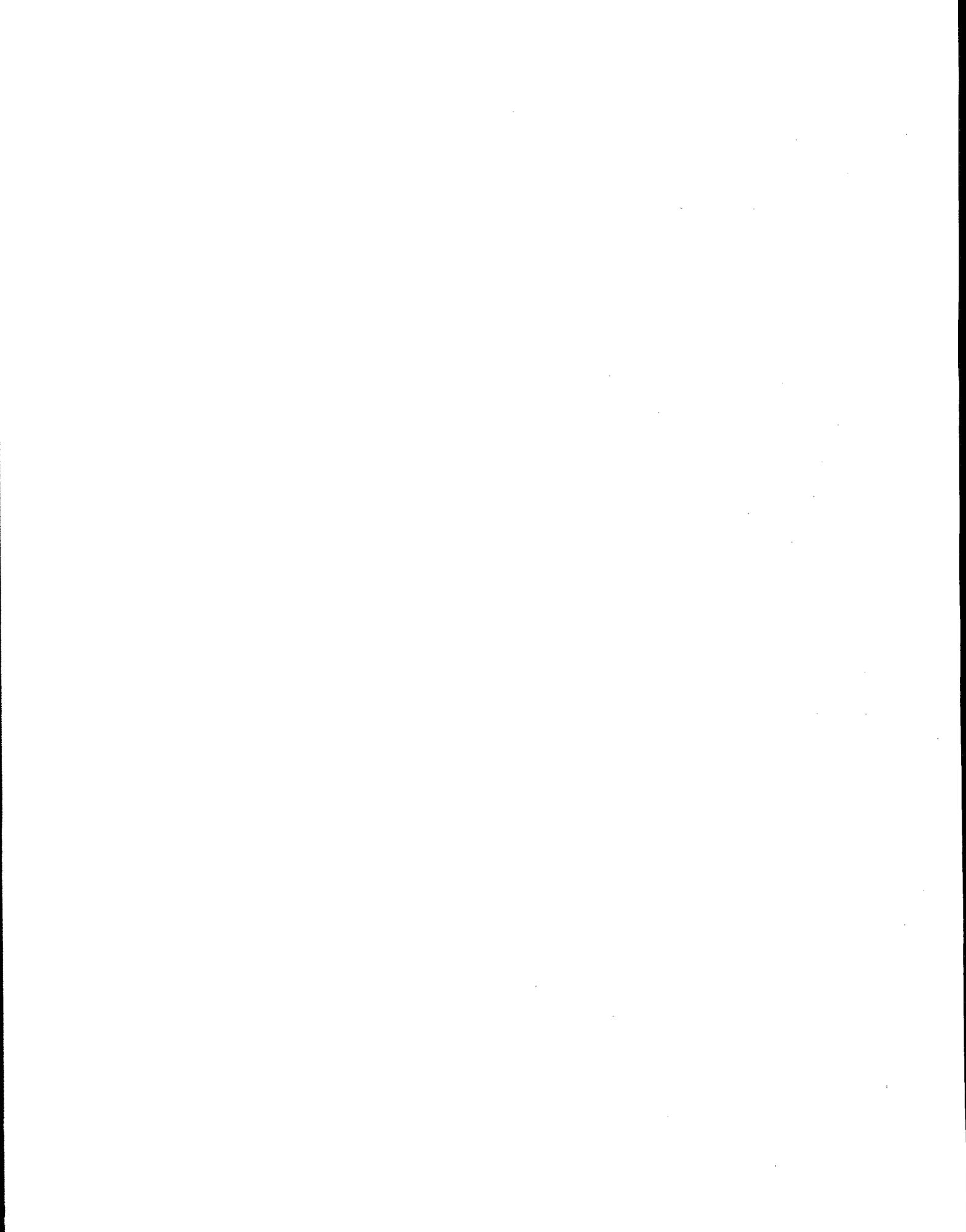
- 9511 Air and Water Resource and Solid Waste Manage-  
ment
- 9512 Land, Mineral, Wildlife, and Forest Conservation
- 9531 Administration of Housing Programs
- 9532 Administration of Urban Planning and Commu-  
nity and Rural Development

**96 Administration of Economic  
Programs**

- 9611 Administration of General Economic Programs
- 9621 Regulation and Administration of Transporta-  
tion Programs
- 9631 Regulation and Administration of Communica-  
tions, Electric, Gas, and Other Utilities
- 9641 Regulation of Agricultural Marketing and Com-  
modities
- 9651 Regulation, Licensing, and Inspection of Miscella-  
neous Commercial Sectors
- 9661 Space Research and Technology

**97 National Security and International  
Affairs**

- 9711 National Security
- 9721 International Affairs



# APPENDIX B. REPORTING CODES FOR EPA FORM R

## Part II, Section 1.1 - CAS Number

### Toxic Chemical Category Codes

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Clorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N171	Ethylenebisdithiocarbamic acid, salts and esters(EBDCs)
N230	Glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds
N575	Polybrominated biphenyls (PBBs)
N583	Polchlorinated alkanes
N590	Polycyclic aromatic compounds
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts
N760	Thallium compounds
N874	Warfarin and salts
N982	Zinc compounds

## Part II, Section 4 - Maximum Amount of the Toxic Chemical On-Site at Any Time During the Calendar Year

### Weight Range in Pounds

<u>Range Code</u>	<u>From...</u>	<u>To....</u>
01	0	99
02	100	999
03	1,000	9,999
04	10,000	99,999
05	100,000	999,999
06	1,000,000	9,999,999
07	10,000,000	49,999,999
08	50,000,000	99,999,999
09	100,000,000	499,999,999
10	500,000,000	999,999,999
11	1 billion	more than 1 billion

## Part II, Section 5 - Releases of the Toxic Chemical to the Environment On-Site and Part II, Section 6 - Transfers of the Toxic Chemical in Waste Streams to Off-Site Locations

### Total Release or Transfer

<u>Code</u>	<u>Range (lbs)</u>
A	1-10
B	11-499
C	500-999

### Basis of Estimate

- M: Estimate is based on monitoring data or measurements for the toxic chemical as transferred to an off-site facility.
- C: Estimate is based on mass balance calculations, such as calculation of the amount of the toxic chemical in waste streams entering and leaving process equipment.
- E: Estimate is based on published emission factors, such as those relating release quantity to throughput or equipment type (e.g., air emission factors).
- O: Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas or best engineering judgment.) This would include applying an estimated removal efficiency to a waste stream, even if the composition of the waste stream before treatment was fully characterized by monitoring data.

## Part II, Section 6 - Transfers of the Toxic Chemical in Waste Streams to Off-Site Locations

### Type of Waste Treatment/Disposal/Recycling/Energy Recovery

- M10 Storage Only
- M20 Solvents/Organics Recovery
- M24 Metals Recovery
- M26 Other Reuse or Recovery
- M28 Acid Regeneration
- M40 Solidification/Stabilization
- M50 Incineration/Thermal Treatment
- M54 Incineration/Insignificant Fuel Value
- M56 Energy Recovery
- M61 Wastewater Treatment (Excluding POTW)
- M69 Other Waste Treatment
- M71 Underground Injection
- M72 Landfill/Disposal Surface Impoundment

- M73 Land Treatment
- M79 Other Land Disposal
- M90 Other Off-Site Management
- M92 Transfer to Waste Broker -- Energy Recovery
- M93 Transfer to Waste Broker -- Recycling
- M94 Transfer to Waste Broker -- Disposal
- M95 Transfer to Waste Broker -- Waste Treatment
- M99 Unknown

**Federal Information Processing Standards (FIPS) Codes for Transfers of the Toxic Chemical to Other Countries**

This is an abridged list of countries to which a U.S. facility might ship a listed toxic chemical. For a complete listing of FIPS codes, consult your local library. To obtain a FIPS code for a country not listed, contact the EPCRA Hotline.

<u>Country</u>	<u>Code</u>
Argentina	AR
Belgium	BE
Bolivia	BL
Brazil	BR
Canada	CA
Chile	CI
Columbia	CO
Costa Rica	CS
Cuba	CU
Ecuador	EC
El Salvador	ES
France	FR
Guatemala	GT
Honduras	HO
Ireland	EI
Italy	IT
Mexico	MX
Nicaragua	NU
Panama	PM
Paraguay	PA
Peru	PE
Portugal	PO
Spain	SP
Switzerland	SZ
United Kingdom	UK
Uruguay	UY
Venezuela	VE

**Part II, Section 7A - Waste Treatment Methods and Efficiency**

**General Waste Stream**

- A Gaseous (gases, vapors, airborne particulates)
- W Wastewater (aqueous waste)

- L Liquid waste streams (non-aqueous waste)
- S Solid waste streams (including sludges and slurries)

**Waste Treatment Methods**

**Air Emissions Treatment**

- A01 Flare
- A02 Condenser
- A03 Scrubber
- A04 Absorber
- A05 Electrostatic Precipitator
- A06 Mechanical Separation
- A07 Other Air Emission Treatment

**Biological Treatment**

- B11 Biological Treatment -- Aerobic
- B21 Biological Treatment -- Anaerobic
- B31 Biological Treatment -- Facultative
- B99 Biological Treatment -- Other

**Chemical Treatment**

- C01 Chemical Precipitation -- Lime or Sodium Hydroxide
- C02 Chemical Precipitation -- Sulfide
- C09 Chemical Precipitation -- Other
- C11 Neutralization
- C21 Chromium Reduction
- C31 Complexed Metals Treatment (other than pH Adjustment)
- C41 Cyanide Oxidation -- Alkaline Chlorination
- C42 Cyanide Oxidation -- Electrochemical
- C43 Cyanide Oxidation -- Other
- C44 General Oxidation (including Disinfection) -- Chlorination
- C45 General Oxidation (including Disinfection) -- Ozonation
- C46 General Oxidation (including Disinfection) -- Other
- C99 Other Chemical Treatment

**Incineration/Thermal Treatment**

- F01 Liquid Injection
- F11 Rotary Kiln with Liquid Injection Unit
- F19 Other Rotary Kiln
- F31 Two Stage
- F41 Fixed Hearth
- F42 Multiple Hearth
- F51 Fluidized Bed
- F61 Infra-Red
- F71 Fume/Vapor

- F81 Pyrolytic Destructor
- F82 Wet Air Oxidation
- F83 Thermal Drying/Dewatering
- F99 Other Incineration/Thermal Treatment

**Physical Treatment**

- P01 Equalization
- P09 Other Blending
- P11 Settling/Clarification
- P12 Filtration
- P13 Sludge Dewatering (non-thermal)
- P14 Air Flotation
- P15 Oil Skimming
- P16 Emulsion Breaking -- Thermal
- P17 Emulsion Breaking -- Chemical
- P18 Emulsion Breaking -- Other
- P19 Other Liquid Phase Separation
- P21 Adsorption -- Carbon
- P22 Adsorption -- Ion Exchange (other than for recovery/reuse)
- P23 Adsorption -- Resin
- P29 Adsorption -- Other
- P31 Reverse Osmosis (other than for recovery/reuse)
- P41 Stripping -- Air
- P42 Stripping -- Steam
- P49 Stripping -- Other
- P51 Acid Leaching (other than for recovery/reuse)
- P61 Solvent Extraction (other than recovery/reuse)
- P99 Other Physical Treatment

**Solidification/Stabilization**

- G01 Cement Processes (including Silicates)
- G09 Other Pozzolonic Processes (including Silicates)
- G11 Asphaltic Processes
- G21 Thermoplastic Techniques
- G99 Other Solidification Processes

Range of Influent Concentration

- 1 = Greater than 1 percent
- 2 = 100 parts per million (0.01 percent) to 1 percent (10,000 parts per million)
- 3 = 1 part per million to 100 parts per million
- 4 = 1 part per billion to 1 part per million
- 5 = Less than 1 part per billion

[Note: Parts per million (ppm) is milligrams/kilogram (mass/mass) for solids and liquids; cubic centimeters/cubic meter (volume/volume) for gases; milligrams/liter for solutions or dispersions of the chemical in

water; and milligrams of chemical/kilogram of air for particulates in air. If you have particulate concentrations (at standard temperature and pressure) as grains/cubic foot of air, multiply by 1766.6 to convert to parts per million; if in milligrams/cubic meters, multiply by 0.773 to obtain parts per million. Factors are for standard conditions of 0°C (32°F) and 760 mmHg atmospheric pressure.]

**Part II, Section 7B - On-Site Energy Recovery Processes**

- U01 Industrial Kiln
- U02 Industrial Furnace
- U03 Industrial Boiler
- U09 Other Energy Recovery Methods

**Part II, Section 7C - On-Site Recycling Processes**

- R11 Solvents/Organics Recovery -- Batch Still Distillation
- R12 Solvents/Organics Recovery -- Thin-Film Evaporation
- R13 Solvents/Organics Recovery -- Fractionation
- R14 Solvents/Organics Recovery -- Solvent Extraction
- R19 Solvents/Organics Recovery -- Other
- R21 Metals Recovery -- Electrolytic
- R22 Metals Recovery -- Ion Exchange
- R23 Metals Recovery -- Acid Leaching
- R24 Metals Recovery -- Reverse Osmosis
- R26 Metals Recovery -- Solvent Extraction
- R27 Metals Recovery -- High Temperature
- R28 Metals Recovery -- Retorting
- R29 Metals Recovery -- Secondary Smelting
- R30 Metals Recovery -- Other
- R40 Acid Regeneration
- R99 Other Reuse or Recovery

**Part II, Section 8.10 - Source Reduction Activity Codes**

Good Operating Practices

- W13 Improved maintenance scheduling, recordkeeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W19 Other changes in operating practices

**Inventory Control**

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material -- continue to use if still effective
- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labelling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes in inventory control

**Spill and Leak Prevention**

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems
- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other spill and leak prevention

**Raw Material Modifications**

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W49 Other raw material modifications

**Process Modifications**

- W51 Instituted recirculation within a process
- W52 Modified equipment, layout, or piping
- W53 Use of a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W58 Other process modifications

**Cleaning and Degreasing**

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units

- W64 Improved draining procedures
- W65 Redesigned parts racks to reduce dragout
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and degreasing modifications

**Surface Preparation and Finishing**

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications

**Product Modifications**

- W81 Changed product specifications
- W82 Modified design or composition
- W83 Modified packaging
- W89 Other product modifications

**Part II, Section 8.10 - Methods Used to Identify Source Reduction Activities**

For each source reduction activity, enter up to three of the following codes that correspond to the method(s) used to identify that activity which contributed most to the decision to implement that activity.

- T01 Internal Pollution Prevention Opportunity Audit(s)
- T02 External Pollution Prevention Opportunity Audit(s)
- T03 Materials Balance Audits
- T04 Participative Team Management
- T05 Employee Recommendation (independent of a formal company program)
- T06 Employee Recommendation (under a formal company program)
- T07 State Government Technical Assistance Program
- T08 Federal Government Technical Assistance Program
- T09 Trade Association/Industry Technical Assistance Program
- T10 Vendor Assistance
- T11 Other

## APPENDIX C. COMMON ERRORS IN COMPLETING FORM R REPORTS

The common errors in complying with section 313 and completing Form R occur in three areas: Threshold determination errors, errors completing the Form R and release estimation errors. These errors result in omission of required toxic chemical reports, inaccurate data entered into the TRI database, prevention of report data being entered into the database, and the underestimation or overestimation of quantities of toxic chemical reported.

Some errors on the Form R do not allow the data to be processed. These type of errors are usually facility identification/location errors, chemical identification errors, missing pages, invalid Form R, magnetic disk processing errors, or more than one chemical reported per Form R. EPA will issue a Notice of Significant Error and/or a Notice of Noncompliance to facilities with these types of errors. The notice will indicate that the Form R cannot be further processed and entered into the TRI database and that changes must be submitted to EPA by a certain date or further enforcement actions may be taken.

For other form completion errors, including missing required data or erroneous data, the facility will be issued a Notice of Technical Error by EPA. This notice will explain the nature of the error and will require that corrections be returned to EPA by a certain date. These type of errors usually involve, for example, the use of invalid codes, missing required data or obvious errors such as incorrect latitude/longitude or facility identification numbers. Other errors include incomplete off-site information and not reporting Section 5 and 6 quantities in the appropriate fields in Section 8 and vice versa.

EPA may initiate an inspection to review the activities at a facility involving reportable toxic chemicals. If, as a result of the inspection, EPA determines that the facility should have submitted a Form R, then EPA may take enforcement action against the facility, which may involve the subsequent assessment of fines. Errors which result in non-reporting violations include incorrect threshold determination, misapplying exemptions, and overlooking activity involving a reportable chemical.

Facilities should also keep copies of submitted Form R reports and all documentation used to complete the report. The documentation should include calculations for threshold determinations, the basis of exemptions applied, and the estimation techniques and data used for all quantities reported on the Form R.

### Form R Completion Errors

- Invalid chemical identification on page 2.** The CAS number and the chemical name reported on page 2 must exactly match the listed section 313 CAS number and toxic chemical name. The toxic chemical category code must exactly match the listed category code in Appendix B. A generic chemical name should only be provided if you are claiming the section 313 chemical identity as a trade secret. Toxic chemical names and CAS numbers should be taken directly from the section 313 toxic chemical list (Table II). Mixture names are to be entered in Part II, Section 2 only if the supplier is claiming the identity of the toxic chemical trade secret and that is the sole identification. Mixture names that include the name or CAS number of one or more section 313 toxic chemical(s) are not valid uses of the mixture name field.
- Missing certification signature.** An original certification signature must appear on page 1 of every Form R submitted to EPA.
- Incomplete forms.** A complete Form R report for any toxic chemical or toxic chemical category consists of at least five unique pages stapled together. EPA cannot enter into the database data from a package which contains only one page 1, but several page 2's, 3's, 4's, 5's, etc. These are considered incomplete submissions.
- Maximum amount on-site left blank.** In a surprising number of Form R submissions, Part II, Section 4 on page 2 is left blank. The appropriate code is required in this field.
- Invalid Forms.** Be sure to use the correct version of the form for the reporting year in question. You cannot use forms provided for reporting years 1987-1990 to report data for years 1991 and beyond.

❑ **"Questionable" entries, such as:**

- Missing or incorrect ZIP codes;
- Missing county names;
- Invalid SIC codes;
- Missing or invalid Dun and Bradstreet numbers;
- Incomplete off-site and POTW information (e.g., missing city name)

Incorrect entries such as these may require corrections to be made by the facility. If amounts are reported in units other than pounds (e.g., metric) or with exponential numbers, EPA may require a revision of the Form R to be submitted.

❑ **Incorrect latitude and longitude coordinates.** Latitude and longitude coordinates are important data on the Form R. These coordinates must be determined using the correct map and correct measuring techniques and reported in degrees, minutes, and seconds. For additional guidance, see Appendix E.

❑ **Incorrect completion of trade secret information.** The response to trade secret questions in Section I.2 and Section II.1.3 of a Form R must be consistent. If trade secrecy is indicated, a sanitized Form R and two trade secret substantiations (one sanitized) must be submitted in the same package as the trade secret Form R. Leave Section II.1.3 blank if no trade secret claim is being made

❑ **Revisions not identified.** Revisions to previously submitted data may be provided to EPA by making corrections in red ink on a completed copy of the Form R originally submitted; if a revision is made for reporting year 1991 or later, mark an "X" in the space marked "Enter 'X' here if this is a revision" on page 1; provide an original signature and new date, and send the completed form to the EPCRA Reporting Center. You must also send a copy of the revision to the appropriate State agency. Revisions to data submitted using magnetic media must be submitted with a newly signed cover letter.

❑ **Duplicate submissions not identified.** Facilities sometimes send multiple copies of the same Form R to insure that EPA received a copy. Duplicate submissions must be identified by printing the word "DUPLICATE" in red ink at

the top of page 1. Failure to clearly identify a duplicate report may result in the duplicate appearance of the data in the TRI database.

❑ **Failure to report waste treatment.** Waste treatment methods used to treat waste streams containing toxic chemicals, and the efficiencies of these methods, must be reported on Form R. Information must be entered for all waste streams, even if the waste treatment does not affect the toxic chemical. If no waste treatment is performed on waste streams containing the toxic chemical, the box marked "Not Applicable" in Part II, Section 7A must be checked on the Form R. Following the instructions for reporting waste treatment methods for more than eight treatment methods for a single waste stream.

❑ **Incorrect reporting of waste treatment methods.** The type of waste stream, influent concentration, and waste treatment method for each waste stream is required to be reported on Form R using specific codes, along with the waste treatment efficiency expressed as percent of removal. Invalid or missing treatment codes or missing efficiency data are common errors in Section 7A.

❑ **Reporting for delisted chemicals.** Form R reports for delisted chemicals or other non-listed chemicals are not required. EPA identifies such reports as nonreportable and notifies the facility that these reports are not required and will not be included as part of the TRI database.

❑ **Reporting discharges of mineral acids after neutralization.** When a waste stream containing a mineral acid is neutralized to a pH of 6 or above, the mineral acid is considered 100 percent neutralized. As a result, the release of a neutralized acid discharge should be reported on Form R as zero.

❑ **Not completing all sections of Form R.** Every section of Form R must contain data or at least one "NA".

❑ **Duplicate quantities in Part II, Sections 5 and Part II, Section 6.** A facility's discharge to a receiving stream should not also be reported as a transfer to a POTW and vice versa. Releases to on-site landfills should not also be reported as an off-site transfer to landfill and vice versa.

- ❑ **Documentation.** Any information used to complete the Form R must be clearly documented in facility records and be available for viewing by EPA upon request. Failure to provide proper documentation if requested by EPA may result in an enforcement action. This documentation should not be submitted with the Form R, but must be maintained by the submitting facility for three years.
- ❑ **Toxic chemical activity overlooked.** Many facilities believe that because the section 313 reporting requirement pertains to manufacturers, only the use of toxic chemicals in manufacturing processes must be examined. **Any activity** involving the manufacture, process, or otherwise use of a listed toxic chemical must be included in a threshold determination. For example, waste treatment operations otherwise use toxic chemicals to treat waste streams and may coincidentally manufacture another listed toxic chemical as a result of the waste treatment reaction. Other commonly overlooked activities include importation of chemicals, generation of waste byproducts, reaction intermediates, and the use of chemicals for cleaning of equipment. Failure to correctly identify all uses of toxic chemicals at your facility may result in the omission of a required Form R.
- ❑ **Misclassification of a toxic chemical activity.** Failure to correctly classify a toxic chemical activity may result in an incorrect threshold determination. As a result, a Form R may not be submitted when one is required. "Manufacture" means to produce, prepare, compound, or import a listed toxic chemical. "Process" means the preparation of a listed toxic chemical after its manufacture, which incorporates the toxic chemical into the final product, for distribution in commerce. "Otherwise use" encompasses any use of a listed toxic chemical that does not fall under the terms "manufacture" or "process." For example, solvents in paint applied to a manufactured product are often misclassified as processed, instead of otherwise used. Because the solvents are not intentionally incorporated into the final product, the solvent is being otherwise used, not processed. Failure to submit a Form R because of an incorrect threshold determination resulting from a misclassification of a toxic chemical activity may result in an enforcement action.
- ❑ **Toxic chemical in mixtures.** When the toxic chemical being reported is a component in a mixture, report only the weight of the toxic chemical in waste. Refer to Section B.4.b of the instructions for calculating the weight of a toxic chemical in a mixture.
- ❑ **Incorrect interpretation of an exemption clause.** Only toxic chemicals meeting every condition of an exemption clause may be omitted from the reporting requirements. For additional guidance on the scope of the section 313 exemptions and specific examples, see the *Toxic Chemical Release Inventory Questions and Answers* document, which includes "Directive #1: Article Exemption." For example, only processing or otherwise use of an article is exempt. Incorrectly assuming that the manufacture of an article is exempt will result in incorrectly omitting toxic chemicals which are required to be included in a threshold determination.
- ❑ **Misinterpretation of the toxic chemical list.** Each individually listed toxic chemical subject to section 313 reporting requirements has a specific Chemical Abstract Service (CAS) registry number or toxic chemical category code associated with it. All information available at the facility, such as MSDSs and the *Common Synonyms for Section 313 Chemicals* document, must be used to identify the listed toxic chemicals being reported.
- ❑ **Failure to consider a listed toxic chemical qualifier.** Aluminum, vanadium, and zinc are qualified as "fume or dust." Isopropyl alcohol and saccharin have manufacturing qualifiers. Phosphorus is qualified as yellow or white. Asbestos is qualified as friable. Aluminum oxide is qualified as fibrous forms. Sulfuric Acid and hydrochloric acid are qualified as aerosol. **Only** toxic chemicals in the form specified in the qualifier require reporting under section 313 and should be reported on Form R with the appropriate qualifier in parentheses. For example, isopropyl alcohol is listed on the toxic chemical list with the qualifier "manufacturing-strong acid process, no supplier notification." The only facilities that should be reporting this toxic chemical are those that manufacture isopropyl alcohol by the strong acid process. If it is manufactured by another process, or simply processed or otherwise used, you are not required to report it.

- ❑ **Incorrectly interpreting threshold definition.** Thresholds for section 313 are based on the amount of toxic chemicals manufactured, processed, or otherwise used at the facility over the course of a calendar year. The thresholds are not based on the amount stored on-site at any one time or the amount released to the environment.
- ❑ **Reporting zero air emissions of a VOC.** Volatile organic chemicals (VOCs) are substances which readily evaporate at room temperature. As a result, when using these toxic chemicals in an open tank, a painting or degreasing operation, or similar open operations, air emissions will occur. Only in special cases with completely closed systems may no emission to air occur.
- ❑ **Reporting Chemical Category Codes in Section 1.1.** Beginning with the 1991 reporting year, EPA has assigned alphanumeric category codes to the chemical categories for the purposes of reporting in Section 1.1, the CAS number field. If you are completing a Form R for a chemical category, you must provide the appropriate code for that category in Section 1.1. The category codes can be found in the instructions for Section 1.1; Table II, "Section 313 Toxic Chemical List;" and Appendix B, "Reporting Codes for EPA Form R."
- ❑ **Reporting transfers to POTWs.** When wastewater containing a listed mineral acid is neutralized to a pH of 6 or greater before being transferred to a POTW, the transfer estimate should be reported as zero. It is incorrect to enter "NA" (Not Applicable), in such a situation.
- ❑ **Reporting other off-site transfers.** Beginning with the 1991 reporting year, transfers off-site for the purposes of recycling or combustion for energy recovery are to be reported in Section 6.2. Any quantities reported in Sections 8.5, 8.3, 8.7, and 8.1 as sent off-site for recycling, energy recovery, treatment, or disposal, respectively, must also be reported in Section 6.2 along with the receiving location and appropriate off-site waste management code..
- ❑ **Reporting on-site energy recovery methods in Section 7B.** When a quantity is reported in Section 8.2 as combusted for energy recovery on-site, the type of energy recovery system used must be reported in Section 7B, and vice versa.

When a quantity is reported in Section 8.4 as recycled on-site, the type of recycling method must be reported in Section 7C, and vice versa.

- ❑ **Reporting quantities in Section 8.** This section is mandatory; do not leave any box in Section 8 entirely blank. If your facility does not generate any waste or does not engage in source reduction or recycling activities enter zero or "NA" as appropriate. It is incorrect to use range codes to report quantities in Section 8. Range codes can be used only in Sections 5 and 6 of Form R. It is incorrect to use the codes used in Section 4 (for reporting the maximum amount of the reported toxic chemical on-site) to report quantities in Section 8.

Columns C and D, the future year projections for questions 8.1 through 8.7, must be completed. EPA expects a reasonable estimate for the future year projections. Not applicable, "NA" can be used in columns A, B, C, and D to indicate that the reported toxic chemical will not undergo a specific activity such as treatment.

- ❑ **Quantities reported in Sections 8.1 through 8.7 must be mutually exclusive and additive.** This means that quantities of the reported toxic chemical should not be double-counted in Sections 8.1 through 8.7. Some facilities submitting Form Rs have reported the same quantity of a toxic chemical as both treated and recycled on-site. Some double-counting errors have been due to confusion over the differences in how on-site treatment of a toxic chemical is reported in Section 7A as compared to Section 8.6. In Section 7A, information on the treatment of waste streams containing the toxic chemical is reported, along with the percent efficiency in terms of destruction or removal of the toxic chemical from each waste stream. In Section 8.6, only the quantity of the toxic chemical actually destroyed by the treatment processes reported in Section 7A is reported to avoid double-counting within Sections 8.1 through 8.7.

For example, a facility submits a Form R for nickel compounds. The facility treats wastewater containing the nickel compounds and removes the nickel with a 99 percent efficiency. The facility then further reclaims the nickel and makes it available for further use in its manufac-

turing processes. In completing Form R, the facility should report the treatment of the wastewater with a 99 percent efficiency for the removal of the nickel in Section 7A, the method of recovery for the nickel in Section 7C, and only the amount of nickel made available for further use after reclamation as a quantity recycled on-site in Section 8.4. Any quantities released on-site or disposed off-site, including releases from either treatment or recycling activities, should be reported in Section 8.1. The facility should not report the quantity of nickel removed from the wastewaters as a quantity treated on-site in Section 8.6 because reporting the same quantity as both treated and recycled on-site incorrectly reports the nickel as destroyed and overestimates the amount of total nickel managed in waste.

- **Quantities reported in Sections 8.1 through 8.7 must not be reported in Section 8.8 and vice versa.** Amounts in Section 8.1-8.7 are associated with normal or routine generations while the amount in Section 8.8 is not.

For example, 10,000 pounds of a toxic chemical is spilled due to a catastrophic storage tank rupture during the reporting year. Of the total 10,000 pounds, 2,500 pounds volatilized and were released directly to the air and the remaining 7,500 pounds were collected and sent off-site for treatment. The total 10,000 pounds would be reported in Section 8.8. The 2,500 pound release to air would be reported in Section 5.1 as a fugitive emission, but it would not be reported in Section 8.1. The 7,500 pound transferred off-site for treatment would be reported in Section 6.2, but it would not be reported in Section 8.7.

- **Reporting toxic chemicals in RCRA wastes.** Any time a toxic chemical is contained in a waste that is identified under RCRA, the waste is associated with routine production-related activities, and that chemical is recycled, combusted for energy recovery, treated, or disposed either on or off-site, then that quantity of the toxic chemical must be included in the quantities reported in Sections 8.1 through 8.7.
- **Reporting quantities in Section 8.1, "Quantity released."** Quantities of the toxic chemical that are released on-site and reported in Section 5 of the form should also be included in Section 8.1.

Also, quantities of the toxic chemical transferred off-site for the purposes of disposal and reported in Section 6.2 should also be included in Section 8.1.

A facility must include in Section 8.1 the following quantities of the toxic chemical that are released on-site, or sent off-site for disposal that are not associated with a catastrophic or non-production related activity.

Quantities released directly to the environment and disposed on-site

- Fugitive or non-point air emissions (Section 5.1)
  - Stack or point air emissions (Section 5.2)
  - Discharges to receiving streams or water bodies (Section 5.3)
  - Underground injections on-site to Class I Wells (Section 5.4.1)
  - Underground injections on-site to Class II-V Wells (Section 5.4.2)
  - Release to land on-site (Section 5.5)
  - RCRA Subtitle C landfills (Section 5.5.1A)
  - Other landfills (Section 5.5.1B)
  - land treatment/application farming (Section 5.5.2)
  - surface impoundment (Section 5.5.3)
  - other disposal (Section 5.5.4)
- Include in these quantities any releases from any on-site treatment, recycling, or energy recovery activities.

Quantities disposed off-site

These are quantities that are reported in Section 6.2 and associated with the following codes:

- M10 Storage Only;
- M71 Underground Injection;
- M72 Landfill/Disposal Surface Impoundment;
- M73 Land Treatment;
- M79 Other Land Disposal
- M90 Other Off-Site Management;
- M94 Transfer to Waste Broker--Disposal; and
- M99 Unknown.

- **Do not include in Section 8.1 any of the following quantities:**
  - Releases to the environment on-site from remedial actions, catastrophic events, or one-time events not associated with production processes (these quantities are reported in Section 8.8 only).

• Quantities transferred off-site for disposal from remedial actions, catastrophic events, or one-time events not associated with production processes (these quantities are reported in Section 8.8 only).

□ **Reporting quantities in Section 8.2, "Quantity used for energy recovery on-site."** A quantity must be reported in Section 8.2 for the current (reporting) year when a method of on-site energy recovery is reported in Section 7B, and vice versa. An error facilities make when completing Form R is to report the methods of energy recovery used on-site in Section 7B but not report any quantity associated with those methods. Another error is to report a quantity in Section 8.2 if the combustion of the toxic chemical took place in a system that did not recover energy (e.g. an incinerator). It is also incorrect to report a quantity of the toxic chemical as combusted for energy recovery if the toxic chemical does not have a BTU (British Thermal Unit) value high enough to sustain combustion. Examples of toxic chemicals that do not have heating values high enough to sustain combustion include metals, CFCs, and halons.

Do not include in Section 8.2 any quantities of the toxic chemical associated with non-production related activities, such as catastrophic releases and remedial actions, or other one-time events not associated with routine production practices, that were combusted for energy recovery on-site.

□ **Reporting quantities in Section 8.3, "Quantity used for energy recovery off-site."** As in Section 8.2, it is an error to report a quantity in this section if the off-site combustion of the toxic chemical took place in a system that did not recover energy (e.g. an incinerator). It is also incorrect to report a quantity of the toxic chemical as sent off-site for the purposes of energy recovery if the toxic chemical does not have a BTU (British Thermal Unit) value high enough to sustain combustion. Examples of toxic chemicals that do not have heating values high enough to sustain combustion include metals, CFCs, and halons. It is an error to not include quantities in Section 8.3 that are reported in Section 6.2 as transferred off-site for the purposes of combustion for energy recovery using the following codes:

M56 Energy Recovery; and  
M92 Transfer to Waste Broker-Energy Recovery.

Do not include in Section 8.3 any quantities of the toxic chemical associated with non-production related activities such as catastrophic releases and remedial actions, or other one-time events not associated with routine production practices, that were sent off-site for the purposes of combustion for energy recovery (these quantities are reported in Section 8.8 only).

□ **Reporting quantities in Section 8.4, "Quantity recycled on-site."** A quantity must be reported in Section 8.4 for the current (reporting) year when a method of on-site recycling is reported in Section 7C, and vice versa. An error facilities make when completing Form R is to report the methods of recycling used on-site in Section 7C but not report any quantity recovered using those methods. In addition, only the amount of the chemical that was actually recovered is to be reported in Section 8.4.

Do not include in Section 8.4 any quantities of the toxic chemical associated with non-production related activities such as catastrophic releases and remedial actions, or other one-time events not associated with routine production practices, that were recycled on-site.

□ **Reporting quantities in Section 8.5, "Quantity recycled off-site."** It is an error to not include quantities in Section 8.5 that are reported in Section 6.2 as transferred off-site for the purposes of recycling using the following codes:

M20 Solvents/Organics recovery;  
M24 Metals recovery;  
M26 Other reuse or recovery;  
M28 Acid regeneration; and  
M93 Transfer to Waste Broker--Recycling.

Do not report in Section 8.5 the quantity actually recycled at the off-site facility -- facilities should report the quantity that was sent off-site for the purposes of recycling. Do not include in Section 8.5 any quantities of the toxic chemical associated with non-production related activities such as catastrophic releases and remedial actions, or other one-time events not associated with routine production practices, that were sent off-site for the purposes of recycling (these quantities are reported in Section 8.8 only).

- **Reporting quantities in Section 8.6, "Quantity treated on-site."** Quantities may not always have to be reported in Section 8.6 when Section 7A is completed. This is because the information reported in Sections 7A and 8.6 is different. Only the quantity of the toxic chemical actually destroyed during on-site treatment is reported in Section 8.6. Section 7A contains treatment data for physical removal and/or destruction of the toxic chemical as well as information on treatment methods used on the wastestream containing the toxic chemical regardless of its effect on the toxic chemical. If a quantity is reported in Section 8.6, Section 7A must be completed. For example, a facility may treat wastewaters containing a toxic chemical by physically removing the toxic chemical and then disposing of it on-site. The treatment of the wastewaters would be reported in Section 7A, with an efficiency estimate based on the amount of the toxic chemical removed from the wastewaters. The quantity of the toxic chemical removed would be reported as disposed in Section 8.1, not as treated in Section 8.6. If some of the toxic chemical is destroyed during treatment, the facility would report only the amount of the toxic chemical actually destroyed during treatment in Section 8.6 and the amount ultimately disposed in Section 8.1 in order to avoid double-counting the same quantity in Section 8.

Do not include in Section 8.6 any quantities of the toxic chemical associated with non-production related activities such as catastrophic releases and remedial actions, or other one-time events not associated with routine production practices, that were treated on-site.

- **Reporting quantities in Section 8.7, "Quantity treated off-site."** It is an error to not include quantities in Section 8.7 that are reported in Section 6.2 as transferred off-site for the purposes of treatment and using the following codes:

M50 Incineration/Thermal treatment;  
M54 Incineration/Insignificant Fuel Value;  
M61 Wastewater treatment (excluding POTW);  
M69 Other waste treatment; and  
M95 Transfer to Waste Broker-Waste Treatment.

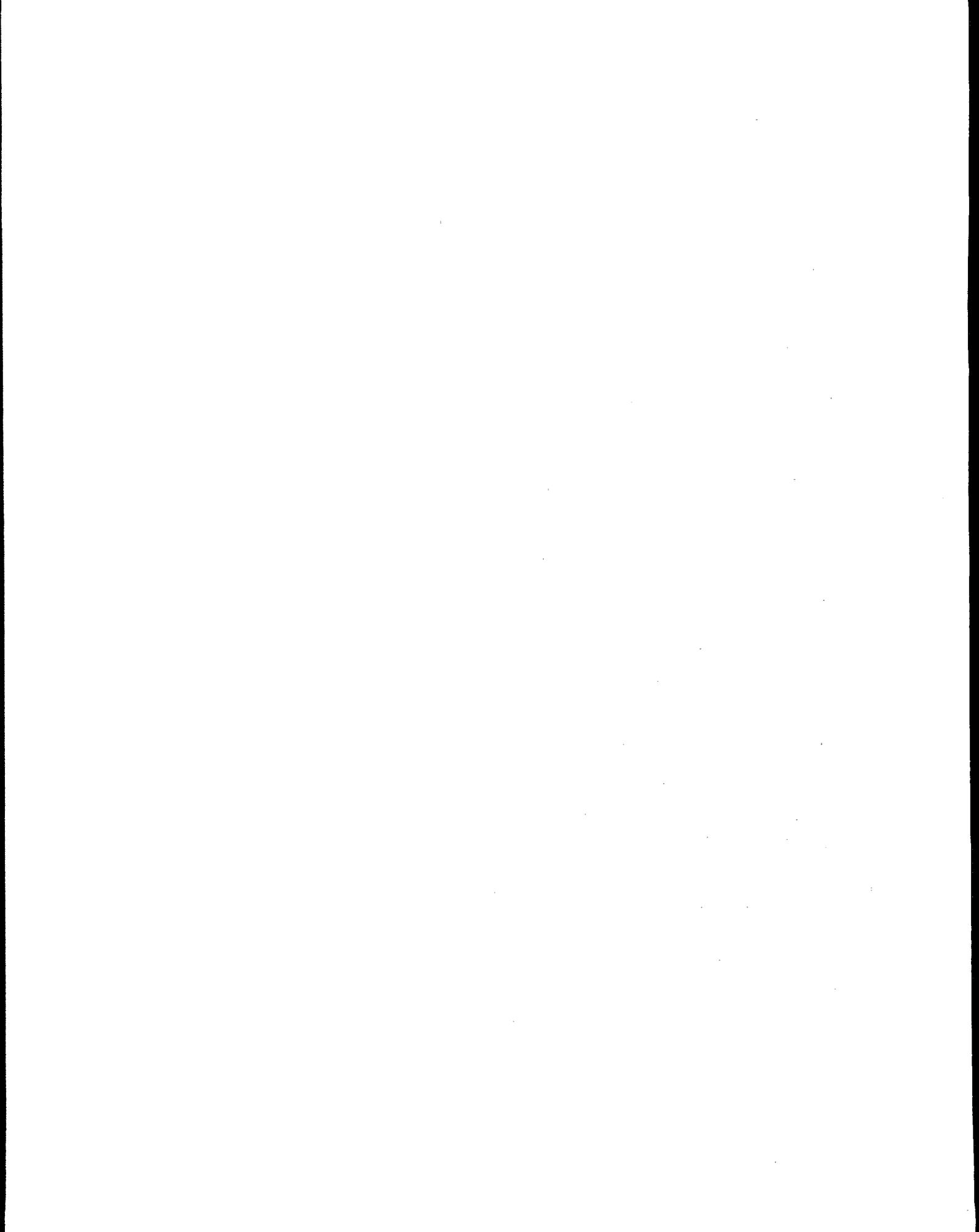
In addition to those quantities, facilities should include any quantity that is transferred to a POTW (as reported in Section 6.1) in Section 8.7, except for metals and metal compounds.

Do not include in Section 8.7 any quantities of the toxic chemical associated with non-production related activities such as catastrophic releases and remedial actions, or other one-time events not associated with routine production practices, that were sent off-site for the purposes of treatment or discharged to a POTW (these quantities are reported in Section 8.8 only).

- **Reporting quantities in Section 8.8, "Quantity released to the environment as a result of remedial actions, catastrophic events, or one-time events not associated with production processes."** Report in Section 8.8 those quantities associated with non-production related activities such as catastrophic releases and remedial actions, as well as other one-time events not associated with routine production practices, that were released to the environment on-site, or transferred off-site for the purposes of recycling, energy recovery, treatment or disposal. Quantities include in Section 8.8 must not be also reported in Sections 8.1 through 8.7.

- **Reporting the production ratio in Section 8.9.** A production ratio or activity index represents the current year's production compared with that of the previous year. The comparison (current RY/previous RY) must be provided in Section 8.9. Zeros, and negative numbers are not acceptable, "NA" (Not Applicable), should be used only when the reported toxic chemical was not manufactured, processed, or otherwise used in the year prior to the reporting year.

- **Reporting source reduction activities in Section 8.10.** It is an error to report a source reduction activity in Section 8.10 and not report at least one method used to identify that activity and vice versa.



# APPENDIX D. SUPPLIER NOTIFICATION REQUIREMENTS

Because manufacturers reporting under section 313 must know the toxic chemical composition of the products they use to be able to accurately calculate releases, EPA requires some suppliers of mixtures or trade name products containing one or more of the listed section 313 toxic chemicals to notify their customers. This requirement has been in effect since January 1, 1989.

This appendix explains which suppliers must notify their customers, who must be notified, what form the notice must take, and when it must be sent.

## Who Must Supply Notification

You are covered by the section 313 supplier notification requirements if you own or operate a facility which meets all of the following criteria:

- (1) Your facility is in Standard Industrial Classification (SIC) codes 20-39 (see Table I);
- (2) You manufacture, import, or process a listed toxic chemical; and
- (3) You sell or otherwise distribute a mixture or trade name product containing the toxic chemical to either:
  - A facility in SIC Codes 20-39.
  - A facility that then sells the same mixture or trade name product to a firm in SIC codes 20-39.

**Note that you may be covered by the supplier notification rules even if you are not covered by the section 313 release reporting requirements.** For example, even if you have fewer than 10 full-time employees or do not manufacture or process any of the toxic chemicals in sufficient quantities to trigger the release reporting requirements, you may still be required to notify certain customers.

## Who Must Be Notified

For each mixture or trade name product that contains a listed toxic chemical, you will have to notify all customers in SIC codes 20-39 or distributors who in turn sell that product to facilities in SIC codes 20-39. Unless you know otherwise, you should assume that the chain of distribution includes facilities in SIC codes 20-39. (The notification

is limited to SIC codes 20-39 facilities and their suppliers because only facilities in those SIC codes may be required to report releases under section 313.)

An example would be if you sold a lacquer containing toluene to distributors who then sell the product to other manufacturers. The distributors are not in SIC codes 20-39, but because they sell the product to companies in SIC codes 20-39, they must be notified so that they may pass the notice along to their customers, as required.

The language of the supplier notification requirements covers mixtures or trade name products that are sold or otherwise distributed. The "otherwise distributed" language applies to intra-company transfers. However, if the company has developed an internal communications procedure that alerts their other facilities to the presence and content of covered toxic chemicals in their products, then EPA would accept this.

## Supplier Notification Must Include the Following Information:

- (1) A statement that the mixture or trade name product contains a toxic chemical or chemicals subject to the reporting requirements of EPCRA section 313 (40 CFR 372);
- (2) The name of each toxic chemical and the associated Chemical Abstracts Service (CAS) registry number of each chemical if applicable. (CAS numbers are not used for chemical categories, since they can represent several individual toxic chemicals.)
- (3) The percentage, by weight, of each toxic chemical (or all toxic chemicals within a listed category) contained in the mixture or trade name product.

For example, if a mixture contains a chemical (i.e., 12 percent zinc oxide) that is a member of a reportable toxic chemical category (i.e., zinc compounds), the notification must indicate that the mixture contains a zinc compound at 12 percent by weight. Supplying only the weight percent of the parent metal (zinc) does not fulfill the requirement. The customer must be told the weight percent of the entire compound within a listed toxic chemical category present in the mixture.

## How the Notification Must Be Made

The required notification must be provided at least annually in writing. Acceptable forms of notice include letters, product labeling, and product literature distributed to customers. If you are required to prepare and distribute a Material Safety Data Sheet (MSDS) for the mixture under the Occupational Safety and Health Act (OSHA) Hazard Communication Standard, your section 313 notification must be attached to the MSDS or the MSDS must be modified to include the required information. (A sample letter and recommended text for inclusion in an MSDS appear at the end of this appendix.)

You must make it clear to your customers that any copies or redistribution of the MSDS or other form of notification must include the section 313 notice. In other words, your customers should understand their requirement to include the section 313 notification if they give your MSDS to their customers.

## When Notification Must Be Provided

In general, you must notify each customer receiving a mixture or trade name product containing a listed toxic chemical with the first shipment of each calendar year. You may send the notice with subsequent shipments as well, but it is required that you send it with the first shipment each year. Once customers have been provided with an MSDS containing the section 313 information, you may refer to the MSDS by a written letter in subsequent years (as long as the MSDS is current).

If EPA adds toxic chemicals to the section 313 list, and your products contain the newly listed toxic chemicals, notify your customers with the first shipment made during the next calendar year following EPA's final decision to add the chemical to the list. For example, if EPA adds chemical ABC to the list in September 1997, supplier notification for chemical ABC would have begun with the first shipment in 1998.

You must send a new or revised notice to your customers if you:

- (1) Change a mixture or trade name product by adding, removing, or changing the percentage by weight of a listed toxic chemical.
- (2) Discover that your previous notification did not properly identify the toxic chemicals in the mixture or correctly indicate the percentage by weight.

In these cases, you must:

- (1) Supply a new or revised notification within 30 days of a change in the product or the discovery of misidentified toxic chemical(s) in the mixture or incorrect percentages by weight; and
- (2) Identify in the notification the prior shipments of the mixture or product in that calendar year to which the new notification applies (e.g., if the revised notification is made on August 12, indicate which shipments were affected during the period January 1 - August 12).

## When Notifications Are Not Required

Supplier notification is not required for a "pure" toxic chemical unless a trade name is used. The identity of the toxic chemical will be known based on label information.

You are not required to make a "negative declaration." That is, you are not required to indicate that a product contains no section 313 toxic chemicals.

If your mixture or trade name product contains one of the listed toxic chemicals, you are not required to notify your customers if:

- (1) Your mixture or trade name product contains the toxic chemical in percentages by weight of less than the following levels (These are known as *de minimis* levels):

- 0.1 percent if the toxic chemical is defined as an "OSHA carcinogen";
- 1 percent for other toxic chemicals.

*De minimis* levels for each toxic chemical and chemical category are listed Table II.

- (2) Your mixture or trade name product is one of the following:

- An article that does not release a listed toxic chemical under normal conditions of processing or otherwise use.
- Foods, drugs, cosmetics, pesticides, alcoholic beverages, tobacco, or tobacco products packaged for distribution to the general public.

- Any consumer product, as the term is defined in the Consumer Product Safety Act, packaged for distribution to the general public. For example, if you mix or package one-gallon cans of paint designed for use by the general public, notification is not required.

- (3) Your mixture or trade name product is contained in a waste stream being sent off-site for waste treatment or disposal.

### Trade Secrets

Chemical suppliers may consider the chemical name or the specific concentration of a section 313 toxic chemical in a mixture or trade name product to be a trade secret. If you consider the:

- (1) Specific identity of a toxic chemical to be a trade secret, the notice must contain a generic chemical name that is descriptive of the structure of that toxic chemical. For example, decabromodiphenyl oxide could be described as a halogenated aromatic.
- (2) Specific percentage by weight of a toxic chemical in the mixture or trade name product to be a trade secret, your notice must contain a statement that the toxic chemical is present at a concentration that does not exceed a specified upper bound. For example, if a mixture contains 12 percent toluene and you consider the percentage a trade secret, the notification may state that the mixture contains toluene at no more than 15 percent by weight. The upper bound value chosen must be no larger than necessary to adequately protect the trade secret.

If you claim this information to be trade secret, you must have documentation that provides the basis for your claim.

### Recordkeeping Requirements

You are required to keep records for three years of the following:

- (1) Notifications sent to recipients of your mixture or trade name product;
- (2) Explanations of why a notification was considered necessary and all supporting materials used to develop the notice;
- (3) If claiming a specific toxic chemical identity a trade secret, why the toxic chemical identity is considered a trade secret and the appropriateness of the generic chemical name provided in the notification; and
- (4) If claiming a specific concentration a trade secret, explanations of why a specific concentration is considered a trade secret and the basis for the upper bound concentration limit.

This information must be readily available for inspection by EPA.

---

## Sample Notification Letter

January 2, 1997

Mr. Edward Burke  
Furniture Company of North Carolina  
1000 Main Street  
Anytown, North Carolina 99999

Dear Mr. Burke:

The purpose of this letter is to inform you that a product that we sell to you, Furniture Lacquer KXZ-1390, contains 20 percent toluene (Chemical Abstracts Service (CAS) number 108-88-3) and 15 percent zinc compounds. We are required to notify you of the presence of toluene and zinc compounds in the product under section 313 of the Emergency Planning and Community Right-to-Know Act of 1986. This law requires certain manufacturers to report on annual emissions of specified toxic chemicals and chemical categories.

If you are unsure whether or not you are subject to the reporting requirements of Section 313, or need more information, call EPA's Emergency Planning and Community Right-To-Know Information Hotline at (800) 535-0202. Your other suppliers should also be notifying you if section 313 toxic chemicals are in the mixtures and trade name products they sell to you.

Please also note that if you repackage or otherwise redistribute this product to industrial customers, a notice similar to this one should be sent to those customers.

Sincerely,

Axel Leaf  
Sales Manager  
Furniture Products

## Sample Notification on an MSDS

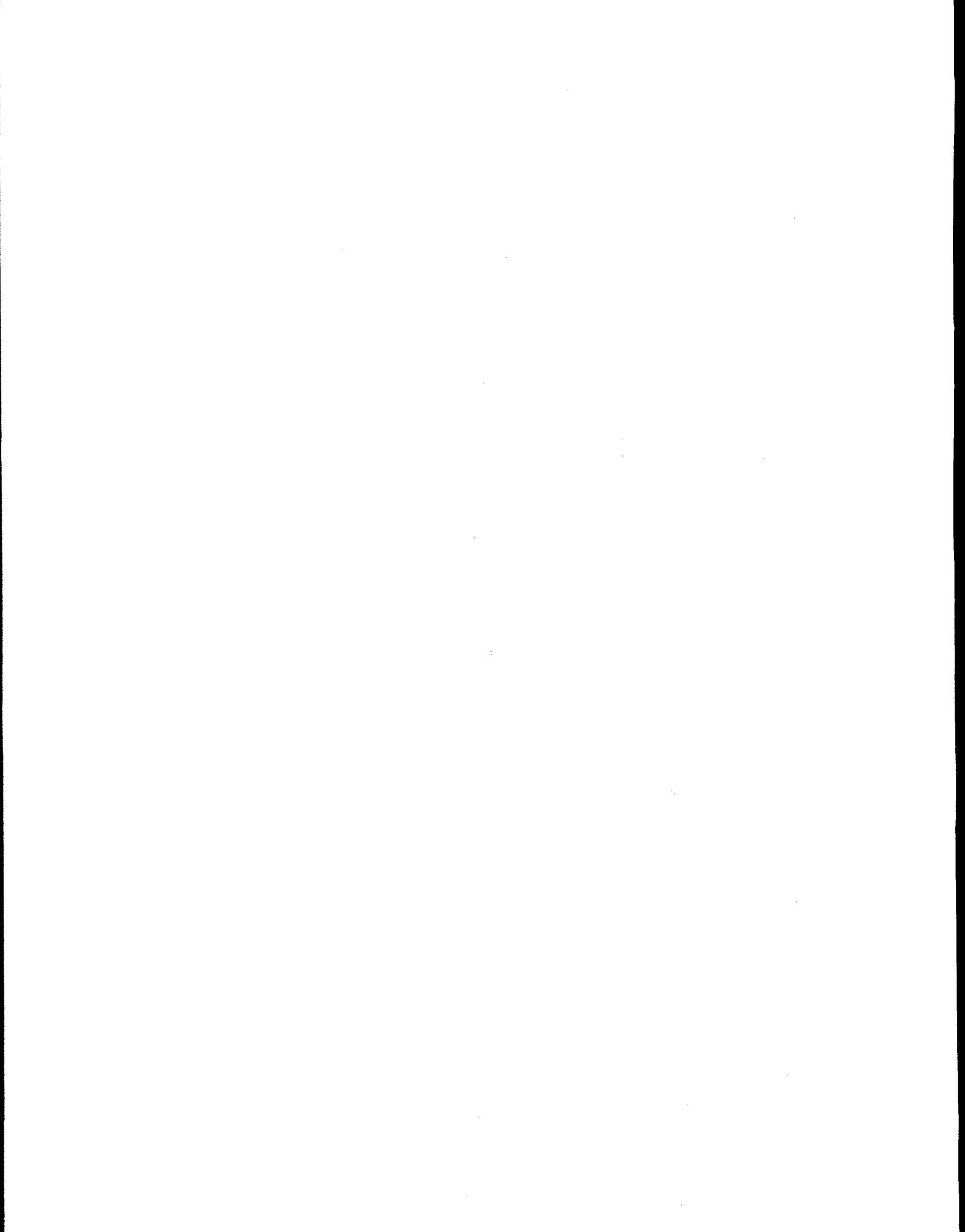
### Section 313 Supplier Notification

This product contains the following toxic chemicals subject to the reporting requirements of section 313 of the Emergency Planning and Community Right-To-Know Act of 1986 (40 CFR 372):

<u>CAS #</u>	<u>Chemical Name</u>	<u>Percent by Weight</u>
108-88-3	Toluene	20%
NA	Zinc Compounds	15%

This information should be included in all MSDSs that are copied and distributed for this material.

Material Safety Data Sheet



# APPENDIX E. HOW TO DETERMINE LATITUDE AND LONGITUDE FROM TOPOGRAPHIC MAPS

Latitude and longitude coordinates of reporting facilities are very important for pinpointing facility location and are a required data element on Form R. As such, EPA is encouraging facilities to make the best possible measurements when determining latitude and longitude. As with any other data element, missing, suspect, or incorrect data may result in EPA issuing a Notice of Technical Error to the facility.

Latitude is the distance north or south of the equator. Longitude is the distance east or west of the prime meridian (Greenwich, England). Latitude and longitude are measured in degrees, minutes, and seconds.

$$60'' \text{ (seconds)} = 1' \text{ (minute)}$$
$$60' \text{ (minutes)} = 1^\circ \text{ (degree)}$$

The most important tool available for determining latitude and longitude for your facility is the U.S. Geological Survey (USGS) topographic quadrangle map. These maps are published in varying degrees of detail. The most detailed version of the topographic quadrangle map is in 7.5 x 7.5 minute increments with a scale of 1:24000 (i.e., one inch on the map represents 2,000 feet). Detailed topographic quadrangle maps are also available in 7.5 x 15 minute increments with a scale of 1:25000 (i.e., one inch on the map represents approximately four miles). It is very important that latitude and longitude measurements be made from one of the detailed maps described above. Otherwise, measurements will not accurately reflect the location of your facility and could be identified as an error on your Form R submission.

In order to identify the detailed topographic quadrangle map in which your facility is located, the USGS has published an index and a catalog of topographic maps available for each state. Both the index and the catalog are available in many libraries or free of charge from the Distribution Branch of the USGS (address on following page). The *Index to Topographic and Other Map Coverage* helps you to identify the most detailed map in which your facility is located. To identify the most detailed map, follow these simple steps on how to use the index:

- (1) The beginning of each index contains a map of the state, broken into numbered quadrangular sections. The numbered quadrangular sections are called general areas of interest. **Identify** the numbered section in which your facility is located.

- (2) The subsequent pages of the index contain detailed maps of each general area of interest, in numerical order. **Identify** the detailed map corresponding to the numbered general area of interest identified in Step 1.
- (3) Within this detailed map, **identify** the smaller quadrangular area in which your facility is located. This smaller quadrangular section is the specific area of interest. Record first the letter then the number coordinate for your specific area of interest (e.g., E4).
- (4) Using the chart found on the same page as the detailed map of the general area of interest, **record** the name of the specific area of interest in which your facility is located, identified by the letter and number coordinates (e.g., Richmond).

The name of the specific area of interest and its corresponding letter and number coordinates identify the most detailed topographic quadrangle map in which your facility is located. To identify the map reference code and file number necessary to order this map, follow these simple steps for using the *Catalog of Topographic and Other Published Maps* for the state in which your facility is located:

- (5) The beginning of the catalog explains the meaning of the reference code. On the pages following this explanation, there are charts listed alphabetically by the name of the specific area of interest with corresponding file numbers and map reference codes. Using the name of the specific area of interest recorded in Step 4, **identify** the file number and map reference code from the chart for the map in which your facility is located (e.g., file number 00692, map reference code 37977-E4-TF-024-00).
- (6) Use the file number and map reference code to obtain the specific topographic quadrangle map in which your facility is located.

These detailed topographic quadrangle maps are available in many libraries or for purchase from the Distribution Branch of the USGS and from private map dealers. The *Catalog of Topographic and Other Published Maps* contains a list of map depository libraries and topographic map dealers for each state covered in the catalog.

To purchase a topographic quadrangle map from the USGS, you must send a written request to the Distribution Branch of the USGS, containing the file number, map reference code, the name of the city, state and zip code in which your facility is located, payment of \$4.00 per map sheet and a handling charge of \$3.50 for each order mailed.

The Distribution Branch of the USGS can be reached at:

**Distribution Branch of the USGS**  
 P.O. Box 25286  
 Denver Federal Center  
 Denver, CO 80225  
 (303) 202-4700

**ALLOW 5 WEEKS FOR DELIVERY**

In addition, you may purchase a topographic quadrangle map from the USGS through a USGS Public Inquiry Office. The Public Inquiry Offices are listed for each state on the inside back cover of the *Catalog of Topographic and Other Published Maps*.

If you need help in determining your latitude and longitude, once you have the necessary map, the National Cartographic Information Center can provide assistance:

Western states: (303) 202-4200  
 Eastern states: (314) 341-0851

Please call in advance of the section 313 reporting deadline to avoid unnecessary delays.

## Determining Your Facility's Latitude and Longitude

(See diagram next page.)

Once you have obtained the correct map for your facility:

- (1) Mark the location of your facility on the map with a point. If your facility is large, choose a point central to the production activities of the facility. If certain structures in your facility are represented on the map, mark one of the structures with a point.
- (2) Construct a small rectangle around the point with fine pencil lines connecting the nearest 2 1/2' or 5' graticules. Graticules are intersections of latitude and longitude lines that are marked on the map edge, and appear as black crosses at four points in the interior of the map.

- (3) Read and record the latitude and longitude (in degrees, minutes, and seconds) for the southeast corner of the small quadrangle drawn in step two. The latitude and longitude are printed at the edges of the map.

- (4) To determine the increment of latitude above the latitude line recorded in step 3,

- position the map so that you face west;
- place the ruler in approximately a north-south alignment, with the "0" on the latitude line recorded in step 3 with the ruler edge intersecting the point.

Without moving the ruler, read and record:

- the measurement from the latitude line to the desired point (the point distance);
- the measurement from the latitude line to the north line of the small quadrangle (the total distance).

Determine the number of seconds to be added to the latitude recorded in step 3 by using the ratio:

$$\frac{\text{Point distance}}{\text{Total distance}} \times 150'' = \text{increment of latitude between lines}$$

[Note: 150" is the number of seconds of arc for the side of the small quadrangle on a 7.5' map. If you are using a 15' map, the multiplication factor is 300" instead of 150" since each graticule is 5' of latitude or longitude.]

For example:

$$\begin{array}{rcl} \text{Point distance} & = & 99.5 \\ \text{Total distance} & = & 192.0 \\ \hline \frac{99.5}{192.0} \times 150'' & = & 77.7'' \\ & = & 01'17.7'' \end{array}$$

$$(60'' = 1'; 77.7'' = 60'' + 17.7'' = 01' 17.7'')$$

$$\begin{array}{rcl} \text{Latitude in step 3} & & 32^{\circ}17'30'' \\ \text{Increment} & & + 01'17.7'' \\ \hline \text{Latitude of point} & & 32^{\circ}18'47.7'' \end{array}$$

$$\text{to the nearest second} = 32^{\circ}18'48''$$

(5) To determine the increment of longitude west of the longitude line recorded in step 3,

- position the map so that you face **south**;
- place the ruler in approximately an east-west alignment with the "0" on the longitude line recorded in step 3 with the ruler edge intersecting the point.

Without moving the ruler, read and record:

- the measurement from the longitude line to the desired point (the point distance);
- the measurement from the longitude line to the west line of the small quadrangle (the total distance).

$\frac{\text{Point distance}}{\text{Total distance}} \times 150'' = \text{increment of longitude between lines}$

For example:

Point distance = 65.0  
Total distance = 149.9

$$\frac{65.0}{149.9} \times 150'' = 65'' = 01'05''$$

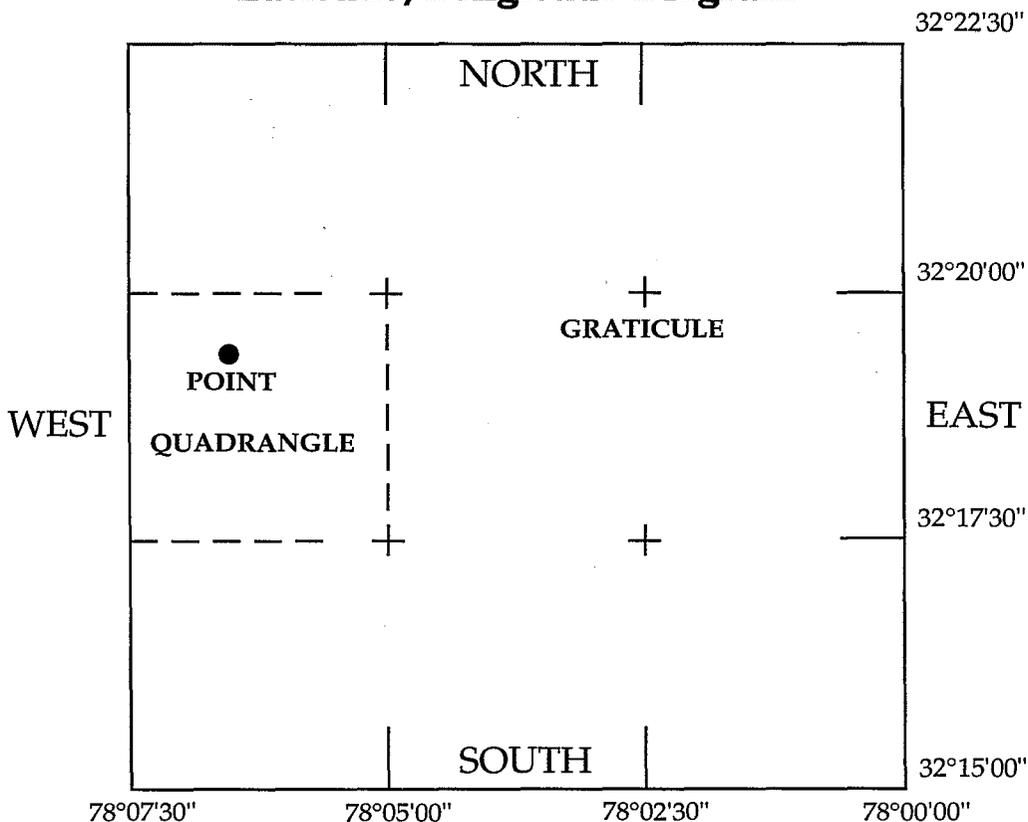
(60'' = 1'; 65'' = 60'' + 05'' = 01'05'')

Longitude in step 3	78°05'00"
Increment	+ 01'05"
<u>Longitude of point</u>	<u>78°06'05"</u>

to the nearest second = 78°06'05"

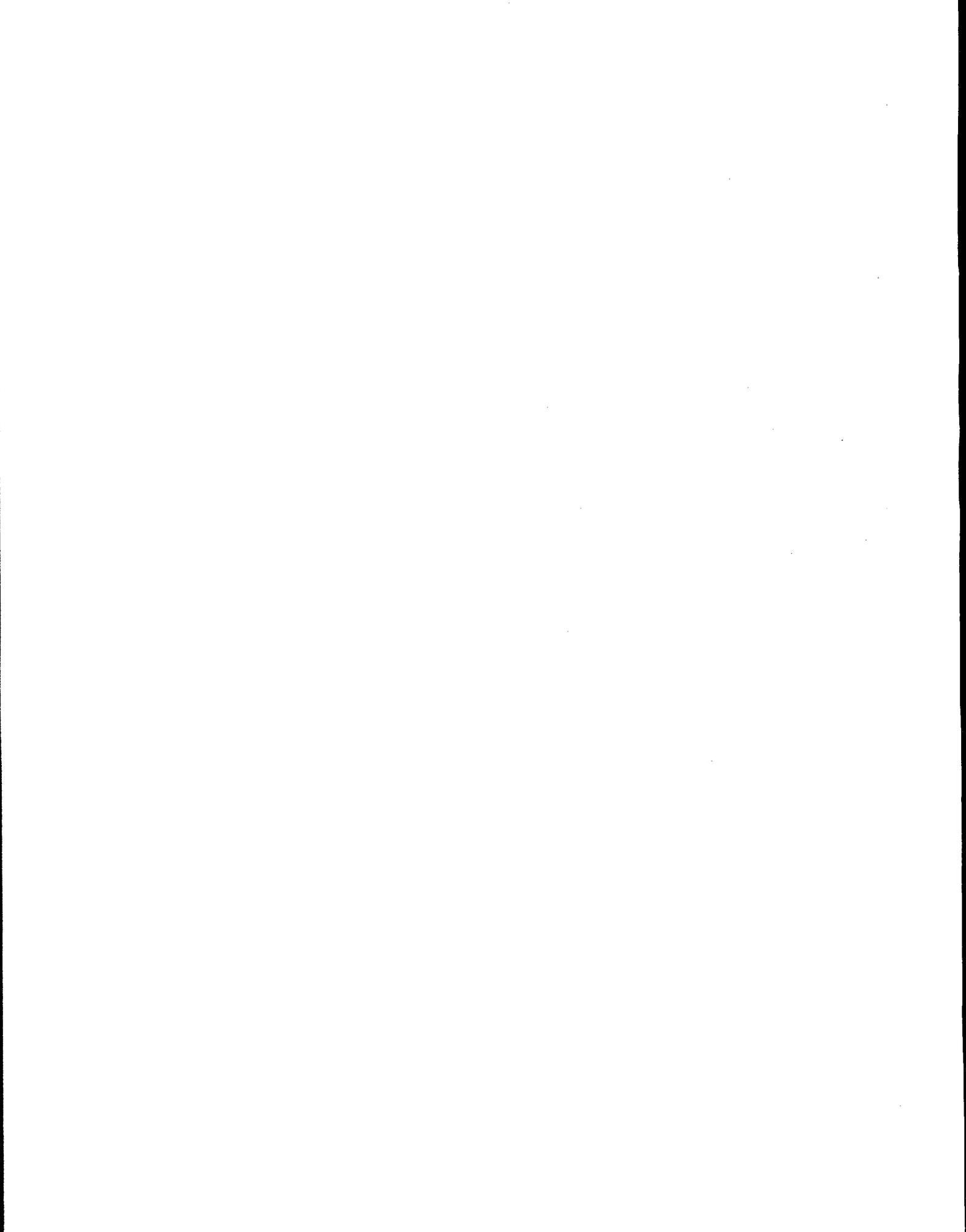
Determine the number of seconds to be added to the longitude recorded in step 3 by using the ratio:

### Latitude/Longitude Diagram



Point: Latitude 32°18'48" North, Longitude 78°06'05" West

Note: This diagram is based on a USGS 7.5 Minute Series Topographic Map. It is not drawn to scale.



# APPENDIX F. STATE DESIGNATED SECTION 313 CONTACTS

**Note:** Use the appropriate address for submission of Form R reports to your State. In addition, many States have additional state reporting requirements. Check with your State contact on any State requirements.

## Alabama

Mr. Edward Poolos  
Alabama Emergency Response Commission  
Alabama Department of Environmental Management  
1751 Congressman W.L. Dickinson Drive  
Montgomery, AL 36109  
(334) 260-2717  
eft@adma.state.al.us

## Alaska

Ms. Camille Stephens  
Department of Environmental Conservation  
Pollution Prevention Office  
410 Willoughby Avenue, Suite 105  
Juneau, AK 99801-1795  
(907) 465-5220

## American Samoa

Pati Faiai  
American Samoa EPA  
American Samoa Government  
Office of the Governor  
Pago Pago, AS 96799  
International Number (684) 633-2304

## Arizona

Mr. Daniel Roe, Executive Director  
Arizona Emergency Response Commission  
Division of Emergency Management  
5636 East McDowell Road  
Phoenix, AZ 85008  
(602) 231-6346

Ms. Bill Quinn  
Arizona Department of Environmental Quality  
Pollution Prevention Unit Manager  
3033 N. Central  
Phoenix, AZ 85012  
(602) 207-4203

## Arkansas

Mr. John Ward  
Arkansas Department of Pollution Control and Ecology  
P.O. Box 8913  
8001 National Drive  
Little Rock, AR 72209-8913  
(501) 562-7444

## California

Mr. Stephen Hanna  
California Environmental Protection Agency  
Assistant for Environmental Information  
555 Capitol Mall  
Suite 235  
Sacramento, CA 95814  
(916) 324-9924  
shanna@hw1.ca.hw.net.gov

## Colorado

Ms. Tamera Vanhorn  
Colorado Emergency Planning Commission  
Colorado Department of Public Health and Environment  
4300 Cherry Creek Drive South  
Denver, CO 80222-1530  
(303) 692-3017  
tamera.van@state.co.us

## Commonwealth of Northern Mariana Islands

Mr. Frank Russell Meecham, III  
Division of Environmental Quality  
Commonwealth of the Northern Mariana Islands  
Doctor Torres Hospital  
P.O. Box 1304  
Saipan, MP 96950  
International Number (670) 234-6984

## Connecticut

Mr. Joseph Pulaski  
Department of Environmental Protection  
SERC Administrator  
C/O Waste Management  
79 Elm St.  
Hartford, CT 06106-5127  
(860)424-3373

## Delaware

Mr. David Fees  
Department of Natural Resources and  
Environmental Control  
Division of Air and Waste Management  
89 King's Highway  
P.O. Box 1401  
Dover, DE 19903  
(302) 739-4791  
www.state.de.us/gov/agency

## District of Columbia

Ms. Michele Penick  
Environmental Planning Specialist  
Emergency Response Commission for Title III  
2000 14th Street, NW, 8th Floor  
Washington, DC 20009  
(202) 673-2101 (ext. 3159)

**Florida**

Mr. Sam Brackett  
State Emergency Response Commission  
Florida Department of Community Affairs  
2555 Shumard Oak Blvd.  
Tallahassee, FL 32399-2100  
(904) 413-9928  
In Florida: 800-635-7179  
[www.state.fl.us/comaff/dca.html](http://www.state.fl.us/comaff/dca.html)

**Georgia**

Mr. Burt Langley  
Georgia Emergency Response Commission  
7 Martin Luther King Drive  
Room 139  
Atlanta, GA 30334  
(404) 656-6905

**Guam**

Mr. Fred Castro  
Guam EPA  
D-107 Harmon Plaza  
130 Rojas Street  
Harmon, GU 96911  
International Number (671) 646-8863

**Hawaii**

Ms. Marsha Mealey  
Hawaii State Emergency Response Commission  
Hawaii State Department of Health  
P.O. Box 3378  
919 Ala Moana Blvd., Room 206  
Honolulu, HI 96814  
(808) 586-4694

**Idaho**

Ms. Margaret Ballard, Chief of Staff  
Idaho Emergency Response Commission  
4040 Guard Street  
Gowen Field  
P.O. Box 83720  
Boise, ID 83720-3401  
(208) 334-3263

**Illinois**

Mr. Joe Goodner  
Illinois EPA  
Office of Chemical Safety  
Emergency Planning Unit  
P.O. Box 19276  
2200 Churchill Road  
Springfield, IL 62794-9276  
(217) 785-0830  
[epa8538@epa.st.il.us](mailto:epa8538@epa.st.il.us)

**Indiana**

Ms. Paula Smith  
Indiana Department of Environmental Management  
Office of Pollution Prevention Technical Assistance  
100 North Senate Ave. (N-1355)  
P.O. Box 6015  
Indianapolis, IN 46206-6015  
(use complete address on all mail and deliveries)  
(317) 232-8172  
[psmit@opn.dem.st.in.us](mailto:psmit@opn.dem.st.in.us)

**Iowa**

Mr. Pete Hamlin  
Bureau Chief of Air Quality  
Department of Natural Resources  
Wallace Office Bldg.  
7900 Hickman Rd., Suite I  
Urbandale, IA 50322  
(515) 281-8852

**Kansas**

Mr. Jon Flint  
Kansas Emergency Response Commission  
Right-to-Know Program  
J Street and 2 North  
Forbes Field Building 283  
Topeka, KS 66620  
(913) 296-1690

**Kentucky**

Mr. Alex Barber  
Ms. Gayla Steward  
Kentucky Department for Environmental Protection  
14 Reilly Road  
Frankfort, KY 40601-1132  
(502) 564-2150  
[barber@inrpath.nr.state.ky.us](mailto:barber@inrpath.nr.state.ky.us)

**Louisiana**

Ms. Linda Brown  
Department of Environmental Quality  
Office of Secretary  
P.O. Box 82263  
7290 Bluebonnet Road  
Baton Rouge, LA 70884-2263  
(504) 765-0737  
[lindab@deq.st.la.us](mailto:lindab@deq.st.la.us)

**Maine**

Ms. Rayna Leibowitz  
State Emergency Response Commission  
State House Station Number 72  
Augusta, ME 04333  
(207) 287-4080  
In Maine: (800) 452-8735  
[rayna.b.leibowitz@st.me.us](mailto:rayna.b.leibowitz@st.me.us)

**Maryland**

Ms. Patricia Williams  
State Emergency Response Commission  
Maryland Department of the Environment  
Toxics Inventory Program  
2500 Broening Highway  
Baltimore, MD 21224  
(410) 631-3800

**Massachusetts**

Mr. William T. Panos  
Massachusetts Department of Environmental Protection  
Bureau of Waste Prevention  
1 Winter Street  
Boston, MA 02108  
(617) 574-6820  
wpanos@st.ma.us

**Michigan**

Mr. Robert Jackson, Chief  
Grants and Information  
State Emergency Response Commission  
Department of Environmental Quality  
Assistance Division  
333 S. Capitol  
P.O. Box 30457  
Lansing, MI 48933  
(517)373-2731

**certified mail only:**

SARA Title III  
333 S. Capitol  
Town CTR, 2nd Floor  
Lansing, MI 48909  
(517)373-8481

**Minnesota**

Mr. John Chikkala  
Minnesota Emergency Response Commission  
B5 State Capitol Building  
75 Constitution Ave.  
St Paul, MN 55155  
(612) 282-5396

**Mississippi**

Mr. John David Burns  
Mississippi Emergency Response Commission  
Mississippi Emergency Management Agency  
P.O. Box 4501  
Jackson, MS 39296-4501

**certified mail only:**

1410 Riverside Drive  
Jackson, MS 39202  
(601) 960-9000

**Missouri**

Mr. Eugene Nickel  
Missouri Department of Natural Resources  
Technical Assistant Program  
P.O. Box 176  
Jefferson City, MO 65102  
(573) 526-6627

**certified mail only:**

Missouri Department of Natural Resources  
1659 B East Elm Street  
Jefferson City, MO 65101  
(314) 526-3901 or  
(314) 526-3371  
<http://www.state.mo.st.us/dnr/deq/tap/hometap.htm>

**Montana**

Mr. Tom Ellerhoff  
Montana Emergency Response Commission  
Environmental Sciences Division  
Department of Health & Environmental Sciences  
Capitol Station  
Cogswell Building C-108  
P.O. Box 200901  
Helena, MT 59620-0901  
(406) 444-1374

**Nebraska**

Mr. Mike Mallory, Coordinator  
State of Nebraska Department of Environmental Quality  
P.O. Box 98922  
Lincoln, NE 68509-8922

**certified mail only:**

1200 N Street, Suite 400  
Lincoln, NE 68509  
(402) 471-4230

**Nevada**

Ms. Alene Coulson  
Division of Environmental Protection  
333 West Nye Lane  
Carson City, NV 89706-0866  
(702) 687-5872

**New Hampshire**

Mr. Leland Kimball  
New Hampshire Office of Emergency  
Management Agency  
Title III Program  
State Office Park South  
107 Pleasant Street  
Concord, NH 03301-3809  
(603) 271-2231

---

**New Jersey**

Mr. Andrew Opperman  
Department of Environmental Protection  
Division of Environmental Safety, Health, and  
Analytical Programs  
SARA Title III Section 313  
Bureau of Chemical Release Information & Prevention  
(CN-405)  
Trenton, NJ 08625  
(609) 984-3219  
aopperman@dep.state.nj.us

**New Mexico**

Mr. Max Johnson, Coordinator  
New Mexico Emergency Response Commission  
Chemical Safety Office, Emergency Management Bureau  
P.O. Box 1628  
Santa Fe, NM 87504-1628

**certified mail only:**

4491 Cerrillos Road  
Santa Fe, NM 87505  
(505) 827-9223

**New York**

Mr. Sitansu Ghosh  
New York Emergency Response Commission  
New York State Department Of Environmental  
Conservation  
Bureau of Spill Prevention and Response  
50 Wolf Road/Room 340  
Albany, NY 12233-3510  
(518) 457-4107

**North Carolina**

Ms. Emily Kilpatrick  
North Carolina Emergency Response Commission  
North Carolina Division of Emergency Management  
116 West Jones Street  
Raleigh, NC 27603-1335  
(919) 733-3865

**North Dakota**

Mr. Robert Johnston  
North Dakota Emergency Response Commission  
Division of Emergency Management  
P.O. Box 5511  
Bismarck, ND 58506-5511

**certified mail only:**

Fraine Barracks Road, Building 35  
Bismarck, ND 58506-5511  
(701) 328-2111

**Ohio**

Ms. Cindy DeWulf  
Ohio EPA  
Division of Air Pollution Control  
P.O. Box 1049  
1800 Watermark Drive  
Columbus, OH 43216-1049  
(614) 644-4830

**Oklahoma**

Ms. Monty Elder  
Department of Environmental Quality Support Services  
1000 N.E. 10th Street  
Oklahoma City, OK 73117-1212  
(405) 271-1400 ext. 192  
monty.elder@oklaoss.st.ok.us

**Oregon**

Mr. Bob Albers  
Oregon Emergency Response Commission  
c/o State Fire Marshall  
4760 Portland Road, Northeast  
Salem, OR 97305-1760  
(503) 378-3473 (ext. 262)

**Pennsylvania**

Mr. Thomas J. Ward, Jr.  
Pennsylvania Emergency Management Council  
Bureau of Worker and Community Right-to-Know  
Room 1503  
Labor and Industry Building  
7th & Forster Streets  
Harrisburg, PA 17120  
(717) 783-2071

**Puerto Rico**

Mr. Genaro Toress  
Director of Superfund and Emergency Division  
Title III-SARA Section 313  
Puerto Rico Environmental Quality Board  
Sernades Junco Station  
P.O. Box 11488  
Santurce, PR 00910

**certified mail only:**

Environmental Quality Board  
Emergency Response and Remedial Office  
National Plaza #431  
Ponce de Leon Avenue  
Hato Rey, PR 00917  
International Number (809) 766-8056

**Rhode Island**

Ms. Martha Delaney Mulcahey  
Rhode Island Department of Environmental  
Management  
Division of Air Resources  
291 Promenade Street  
Providence, RI 02908-5767  
Attn: Toxic Release Inventory  
(401) 277-2808

**South Carolina**

Mr. Michael Juras  
Toxic Release Inventory  
SC Department of Health and  
Environmental Control  
2600 Bull Street  
Columbia, SC 29201  
(803) 734-7236  
jurasms@columb31.dhec.state.sc.us

**South Dakota**

Ms. Lee Ann Smith, TRI Coordinator  
South Dakota Department of Environment and  
Natural Resources  
523 East Capitol  
Pierre, SD 57501-3181  
(605) 773-3296  
leeanns@denr.st.sd.us

**Tennessee**

Ms. Betty Eaves  
Director, Tennessee Emergency Management Agency  
3041 Sidco Drive  
Nashville, TN 37204  
(615) 741-2986  
1-800-262-3300 (in Tennessee)  
1-800-258-3300 (out of state)

**Texas**

Ms. Becky Kurka  
Office of Pollution Prevention and Recycling  
Texas Natural Resource Conservation Commission  
P.O. Box 13087 (MC-112)  
Austin, TX 78711-3087  
(512) 239-3100  
bkurka@tnrcc.state.tx.us

certified mail only:  
(MC-112)  
Park 35 Circle E  
Austin, TX 78753

**Utah**

Utah Hazardous Chemical Emergency Response  
Commission  
Utah Department of Environmental Quality  
Division of Environmental Response and  
Remediation  
P.O. Box 14484  
168 North 1950 West, 1st Floor  
Salt Lake City, UT 84116-4840  
(801) 536-4100

**Vermont**

Mr. Gary Gulka  
Pollution Prevention Section  
103 S. Main St.  
Westbury, VT 05671-0411  
(802) 241-3626

**Virginia**

Ms. Cathy Harris  
Virginia Emergency Response Council  
c/o Virginia Dept. of Environmental Quality  
P.O. Box 10009  
Richmond, VA 23240-0009  
(804)698-4408 or 4489  
<http://www.deq.date.va.us.clharris>

**certified mail only:**

Virginia Department of Environmental Quality  
SARA Title III Program  
9th Floor, 629 E. Main St.  
Richmond, VA 23219  
(804) 762-4489

**Virgin Islands**

Mr. Ben Nazario  
Department of Planning and Natural Resources  
U.S. Virgin Islands Emergency Response Commission  
Title III  
Nisky Center, Suite 231  
Charlotte Amalie  
St. Thomas, VI 00802  
(809) 773-0565 (St. Croix)  
(808) 774-3320 (St. Thomas)

---

Washington  
Ms. Idell Hansen  
CRTK Unit  
Department of Ecology  
P.O. Box 47659  
Olympia, WA 98504-7659  
(360-)407-6727 or (800)633-7585  
ihan461@ecy.wa.gov

Federal Express or UPS mail only:  
Department of Ecology  
300 Desmond Drive  
Lacey, WA 98503

West Virginia  
Mr. Carl L. Bradford, Director  
West Virginia Emergency Response Commission  
West Virginia Office of Emergency Services  
Main Capital Building 1, Room EB-80  
Charleston, WV 25305-0360  
(304) 558-5380

Wisconsin  
Department of Natural Resources  
101 South Webster  
P.O. Box 7921  
Madison, WI 53707  
Attn: Mr. Wes Taylor, Toxics Coordinator  
(608) 266-9255  
taylow@dnr.state.wi.us

Wyoming  
Chairman, Mr. Mike Davis  
Wyoming Emergency Response Commission  
Wyoming Emergency Management Agency  
Department of Environmental Quality  
P.O. Box 1709  
5500 Bishp Blvd.  
Cheyenne, WY 82009  
(307) 777-4900

Notes:

- (1) If an Indian tribe has chosen to act independently of a state for the purpose of section 313 reporting, facilities located within that Indian community should report to the tribalSERC, or until the SERC is established, the Chief Executive Officer of the Indian tribe, as well as to EPA;
- (2) Facilities located within the Territories of the Pacific should send a report to the Chief Administrator of the appropriate territory, as well as to EPA.

# APPENDIX G. SECTION 313 EPA REGIONAL CONTACTS

---

## Region 1

Pesticides & Toxics Branch  
USEPA Region 1 (SPT)  
Assistance & Pollution Prevention Office  
One Congress Street  
Boston, MA 02203  
(617) 565-3240

Connecticut, Massachusetts, Maine,  
New Hampshire, Rhode Island, Vermont

## Region 2

Pesticides & Toxics Branch  
USEPA Region 2 (MS-105)  
2890 Woodbridge Avenue, Building 10  
Edison, NJ 08837-3679  
(908) 906-6890

New Jersey, New York, Puerto Rico, Virgin Islands

## Region 3

Toxics & Pesticides Branch  
USEPA Region 3 (3AT31)  
841 Chestnut Street Bldg.  
Philadelphia, PA 19107  
(215) 566-2072

Delaware, Maryland, Pennsylvania, Virginia,  
West Virginia, District of Columbia

## Region 4

Pesticides & Toxics Branch  
EPCRA Unit A  
USEPA Region 4  
Atlanta Federal Center  
100 Alabama St., S.W.  
Atlanta, GA 30303-3104  
(404) 347-2904

Alabama, Florida, Georgia, Kentucky, Mississippi,  
North Carolina, South Carolina, Tennessee

## Region 5

Pesticides & Toxic Substances Branch  
USEPA Region 5 (DRT-14J)  
77 West Jackson Blvd.  
Chicago, IL 60604  
(312) 886-6219

Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin

## Region 6

Pesticides & Toxic Substances Branch  
USEPA Region 6 (6PDT)  
1445 Ross Avenue  
Suite 1200  
Dallas, TX 75202-2733  
(214) 655-8013

Arkansas, Louisiana, New Mexico, Oklahoma, Texas

## Region 7

Toxics & Pesticides Branch (TOPE)  
USEPA Region 7  
726 Minnesota Avenue  
Kansas City, KS 66101  
(913) 551-7020

Iowa, Kansas, Missouri, Nebraska

## Region 8

Toxic Substances Branch  
USEPA Region 8 (8ENF-T)  
999 18th Street, Suite 500  
Denver, CO 80202-2466  
(303) 312-6419

Colorado, Montana, North Dakota, South Dakota,  
Utah, Wyoming

---

**Region 9**

Pesticides & Toxics Branch  
USEPA Region 9 (CMD-4-2)  
75 Hawthorne Street  
San Francisco, CA 94105  
(415) 744-1128

Arizona, California, Hawaii, Nevada, American  
Samoa, Guam, Commonwealth of the Northern  
Mariana Islands

**Region 10**

Pesticides & Toxic Substances Branch  
USEPA Region 10 (WCM-128)  
1200 Sixth Avenue  
Seattle, WA 98101  
(206) 553-4016

Alaska, Idaho, Oregon, Washington

# APPENDIX H. SECTION 313 RELATED MATERIALS AND INFORMATION ACCESS

To receive a copy of any of the section 313 documents listed below, check the box(es) next to the desired document(s). There is no charge for any of these documents. Be sure to type or clearly print your full mailing address in the space provided on this form. Send this request form/or call toll-free 1-800-490-9198:

U.S. EPA/NCEPI  
P.O. Box 42419  
Cincinnati, OH 45242-2419  
(800) 490-9198  
Fax: (513)489-8695  
Internet:  
<http://www.epa.gov/ncepihom/index.html>

**40 CFR 372, Toxic Chemical Release Reporting; Community Right-to-Know; Final Rule**

A reprint of the final section 313 rule as it appeared in the Federal Register (FR) February 16, 1988 (53 FR 4500) (OTSFR 021688).

**Toxic Chemical Release Inventory Reporting Form R and Instructions for 1996, March 1997 (EPA 745-K-97-001)**

**Consolidated List of Chemicals Subject to Reporting Under the Act (Title III List of Lists) (EPA 740-R-95-001)**

A consolidated list of specific chemicals covered by the Emergency Planning and Community Right-to-Know Act. The list contains the chemical name, CAS Registry Number, and reporting requirement(s) to which the chemical is subject.

**The Emergency Planning and Community Right-to-Know Act: Section 313 Release Reporting Requirements, August, 1995 (EPA 745/K-95-052)**

This brochure alerts businesses to their reporting obligations under section 313 and assists in determining whether their facility is required to report. The brochure contains the EPA regional contacts, the list of section 313 toxic chemicals and a description of the Standard Industrial Classification (SIC) codes subject to section 313.

**Supplier Notification Requirements (EPA 560/4-91-006)**

This pamphlet assists chemical suppliers who may be subject to the supplier notification requirements under section 313 of EPCRA. The pamphlet explains the supplier notification requirements, gives examples of situations which require notification, describes the trade secret provision, and contains a sample notification.

**Trade Secrets Rule and Form (53 FR 28772)**  
A reprint of the final rule that appeared in the *Federal Register* of July 29, 1988. This rule implements the trade secrets provision of the Emergency Planning and Community Right-to-Know Act (section 322) and includes a copy of the trade secret substantiation form.

**Common Synonyms for Chemicals Listed Under Section 313 of the Emergency Planning and Community Right-to-Know Act (EPA 745-R-95-008)**

This glossary contains chemical names and their synonyms for substances covered by the reporting requirements of EPCRA, section 313. The glossary was developed to aid in determining whether a facility manufactures, processes, or uses a chemical subject to section 313 report-

**Executive Order 12856 - Federal Compliance with Right-to-Know Laws and Pollution Prevention Requirements: Questions and Answers (EPA 745-R-95-011)**

This document assists Federal facilities to comply with Executive Order 12856. This information has been compiled by EPA from questions received from Federal facilities. This document is intended for the exclusive use of Federal facilities in complying with sections 302, 303, 304, 311, 312, and 313 of the Emergency Planning and Community Right-to-Know Act (EPCRA) of 1986 and the Pollution Prevention Act of 1990, as directed by the Executive Order.

- ❑ **Toxics Release Inventory: Reporting Modifications Beginning with 1995 Reporting Year February 1995 (EPA 745-R-95-009)**
- ❑ **1995 Toxics Release Inventory Public Data Release State Fact Sheets (EPA 745-F-97-001)**

The fact sheets in this document summarize the basic 1995 Toxics Release Inventory (TRI) data for each state. This document is designed as a companion volume to EPA's 1995 Toxic Release Inventory Public Data Release (EPA 745-R-97-005), a more detailed examination of TRI data for 1995 and previous years.

- ❑ **1995 Toxics Release Inventory Public Data Release (EPA 745-R-97-005)**

This publication summarizes TRI data submitted for reporting year 1995: where, how much, and which types of chemicals are being released into the environment and it provides comparisons to TRI submissions for earlier years. Extensive tables itemize releases and transfers by media, chemicals, location and industry.

Similar reports for 1987-1994 are available for sale from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20420-9325 (202-512-1800).

#### Industry Specific Technical Guidance Documents

EPA has developed a group of smaller, individual guidance documents that target activities in industries who primarily process or otherwise use the listed toxic chemicals.

- ❑ **Monofilament Fiber Manufacture, January 1988 (EPA 560-4-88-004a)**
- ❑ **Printing Operations, January 1988 (EPA 560-4-88-004b)**

- ❑ **Electrodeposition of Organic Coatings, January 1988 (EPA 560-4-88-004c)**
- ❑ **Spray Application of Organic Coatings, January 1988 (EPA 560-4-88-004d)**
- ❑ **Semiconductor Manufacture, January 1988 (EPA 560-4-88-004e)**
- ❑ **Formulation of Aqueous Solutions, March 1988 (EPA 560-4-88-004f)**
- ❑ **Electroplating Operations, January 1988 (EPA 560-4-88-004g)**
- ❑ **Textile Dyeing, February 1988 (EPA 560-4-88-004h)**
- ❑ **Presswood & Laminated Wood Products Manufacturing, March 1988 (EPA 560-4-88-004i)**
- ❑ **Roller, Knife, and Gravure Coating Operations, February 1988 (EPA 560-4-88-004j)**
- ❑ **Paper and Paperboard Production, February 1988 (EPA 560-4-88-004k)**
- ❑ **Leather Tanning and Finishing Processes, February 1988 (EPA 560-4-88-004l)**
- ❑ **Wood Preserving, February 1988 (EPA 560-4-88-004p)**
- ❑ **Rubber Production and Compounding, March 1988 (EPA 560-4-88-004q)**
- ❑ **Estimating Releases and Waste Treatment Efficiencies, December 1987 (EPA 560-4-88-002)**
- ❑ **Section 313 Reporting: Issue Paper Classification and Guidance for the Metal Fabrication Industry, January 1990 (EPA 745-B-90-100)**
- ❑ **Section 313 Emergency Planning Community Right-to-Know Act Guidance for Food Processors, June 1990 (EPA 560-4-90-014)**

---

### Chemical Specific Guidance Documents

EPA has developed a group of guidance documents specific to individual chemicals and chemical categories.

- Toxic Release Inventory**  
**List of Toxic Chemicals within the Polychlorinated Alkanes Category and Guidance for Reporting**, February 1995 (EPA 745-R-95-001)
- Toxic Release Inventory**  
**List of Toxic of Chemicals within the Water Dissociable Nitrate Compounds Category and Guidance for Reporting** May, 1996 (EPA 745-R-96-004)
- Toxic Release Inventory**  
**List of Toxic of Chemicals within the Polycyclic Aromatic Compounds Category** February 1995 (EPA 745-R-95-003)
- Toxic Release Inventory**  
**List of Toxic Chemicals within the Nicotine and Salts Category and Guidance for Reporting**, February 1995 (EPA 745-R-95-004)
- Toxic Release Inventory**  
**List of Toxic Chemicals within the Strychnine and Salts Category and Guidance for Reporting**, February 1995 (EPA 745-R-95-005)
- Toxic Release Inventory**  
**List of Toxic Chemicals within the Glycol Ethers Category and Guidance for Reporting**, May 1995 (EPA 745-R-95-006)
- Emergency Planning and Community Right-to-Know Section 313: List of Toxic Chemicals within the Chlorophenols Category**, November 1994 (EPA 745-B-95-004)
- Emergency Planning and Community Right-to-Know Section 313: Guidance for Reporting Aqueous Ammonia**, July 1995 (EPA 745-R-95-012)
- Emergency Planning and Community Right-to-Know Section 313: List of Toxic Chemicals**, September, 1996 (EPA 745-B-96-002)

Please type mailing address here (Do not attach business cards)

Name/Title \_\_\_\_\_

Company Name \_\_\_\_\_

Mail Stop \_\_\_\_\_

Street Address \_\_\_\_\_

P.O. Box \_\_\_\_\_

City/State/Zip Code \_\_\_\_\_

## OTHER RELEVANT SECTION 313 MATERIALS

### Toxic Release Inventory — On-line Database

A computerized on-line database of the toxic release inventory data is available through the National Library of Medicine's (NLM) TOXNET on-line system 24 hours a day. Other NLM files on TOXNET can provide supporting information in such areas as health hazards and emergency handling of toxic chemicals. Information on accessing the TOXNET system is available from: TRI Representative, Specialized Information Services, National Library of Medicine, 8600 Rockville Pike, Bethesda, MD 20894, (301) 496-6531, average cost of \$18.00 per hour.

RTK-Net is an online network concerned with environmental issues, in particular, matters arising from the passage of the right-to-know provisions embodied in the EPCRA legislation. RTK-net was established by two non-profit organizations (Unison Institute and OMB Watch) to provide access to TRI, link TRI with other environmental data, and exchange information among public interest groups. RTK-Net is a full-service center providing free dial in access privileges to government and industry as well, more complete database services, training and technical support, e-mail, and electronic conferences pertaining to issues such as health, activism, and environmental justice. For more information contact RTK-Net, 1742 Connecticut Ave., NW, Washington, DC 20009-1146 or phone 202-797-7200. You can register on-line by modem at 202-234-8570, parameters 8,n,1, and log in as "public".

### Toxics Release Inventory - CD-ROM

The CD-ROM contains the complete Toxic Release Inventory since 1987, as well as Chemical Factsheets containing health and environmental effects information for TRI chemicals. User-friendly software provides the capability to search data by facility, location, chemical, SIC code, and many other access points. Other features allow flexibility in printing standard and custom reports, data downloading, and calculating releases for search sets (for example, calculate average air releases for all pulp and paper manufacturers). The same disc is available from GPO and NTIS, although prices differ:

From GPO (Superintendent of Documents, U.S. Government Printing Office, P.O. Box 371954, Pittsburgh, PA 15250-7954):

1987-1994 - S/N 055-000-00556-7, \$43.00.

From NTIS (5285 Port Royal Road, Springfield, VA 22161, 703-487-4650):

1987-1994 - PB96-503 214, \$45.00.

### Toxic Release Inventory (by State) - Diskettes

Diskettes containing frequently used data elements from TRI are available on diskette in dBase and Lotus formats. Accompanying documentation describes section 313 reporting requirements, and instructions for loading into dBase and Lotus software. dBase and Lotus software are not included. Diskettes from GPO and NTIS are the same, although the pricing formula differs between agencies. Prices and order numbers shown are for the 1993 disks. Earlier years are also available. The same data can be downloaded or ordered on disk from the GPO Federal Bulletin Board. Call GPO User Support at 202-512-1530 for more information.

From GPO (Superintendent of Documents, U.S. Government Printing Office, Attn: Electronic Products, P.O. Box 37082, Washington, DC 20013-7082 (202-512-1530)

Individual state (number of disks per state vary):

3.50" disk - \$15/disk

From NTIS (5285 Port Royal Road, Springfield, VA 22161, 703-487-4780):

Lotus & dBase formats.  
1987 to 1992 Data available.  
contact NTIS for price quote.

### Toxic Release Inventory-Magnetic Tapes and Cartridge

Magnetic tapes contain the complete Toxic Release Inventory for 1993. Accompanying manual includes brief overviews of Section 313 reporting requirements, a sample Form R, lists of regional and states contacts and tape layout information. The same tapes are available from GPO and NTIS, although prices differ. Updated versions are also available for earlier years.

From GPO (Superintendent of Documents, U.S. Government Printing Office, Attn: Electronic Products, P.O. Box 37082, Washington, DC 20013-7082 (202-512-1530)

6250 (BPI) Density: \$390.

From NTIS (5285 Port Royal Road, Springfield, VA 22161, 703-487-4650):

1600 or 6250 (BPI) Density or 3480 cartridge:  
\$820. (PB95 - 503876)

**Toxic Release Inventory 1994: Reporting  
Facilities Names and Addresses — Magnetic Tape**

Note: Magnetic Tapes will not be produced for 1994 and later reporting years.

**Section 313 Roadmaps Database — Diskette**

A database of sources of information on the toxic chemicals listed in section 313. The database, created in 1988 and updated in 1990, is intended to assist users of the Toxics Release Inventory data in performing exposure and risk assessments of these toxic chemicals. The Roadmaps system displays information, including the section 313 toxic chemicals' health and environmental effects, the applicability of federal, state, and local regulations, and monitoring data. Available from: National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, (703) 487-4650, Document Number: PB92-501972, \$212.00.

**Consolidated List of Chemicals Subject to  
Reporting Under the Act (Title III List of Lists), June  
1994**

Available as an IBM compatible disk from: The National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, (703) 487-4650, Document Number: PB95-503165, \$97.00.

**The Toxic Release Inventory: Meeting the Challenge  
(April 1988)**

This 19 minute videotape explains the toxic release reporting requirements for plant facility managers and others. State governments, local Chambers of Commerce, labor organizations, public interest groups, universities, and others may also find the video program useful and informative.

3/4 inch = \$30.75; Beta = \$22.95; VHS = \$22.00.

To purchase, write or call:

Color Film Corporation  
Video Division  
770 Connecticut Avenue  
Norwalk, CT 06854  
(800) 882-1120

**Chemicals in Your Community, A Citizen's Guide to  
the Emergency Planning and Community Right-to-  
Know Act, September 1988 (OSWER-88-002)**

This booklet is intended to provide a general overview of the EPCRA requirements and benefits for all audiences. Part I of the booklet describes the provisions of EPCRA and Part II describes more fully the authorities and responsibilities of the groups of people affected by the law. Available through written request at no charge from:

Emergency Planning and Community  
Right-to-Know Information Hotline  
Mailcode: 5101  
401 M Street, SW  
Washington, DC 20460  
Hotline 1-800-535-0202

**POLLUTION PREVENTION  
INFORMATION**

An up-to-date source of information on pollution prevention is the Enviro\$en\$e System, a computerized information network. Enviro\$en\$e includes a directory of representatives from Federal, State, and local governments; current news on pollution prevention activities; program summaries for government agencies, public interest groups, academic institutions, trade associations, and industry; a data base of industry case studies; a calendar of conferences, training seminars, and workshops; and specialized bulletin boards dedicated to various topics. Enviro\$en\$e can be accessed in two ways:

1) Bulletin Board-modem:  
(703)908-2092, Parameters: 8,n,l settings: ansi or v+100  
user support: (703)908-2007.

2) World Wide Web-internet:  
<http://es.inel.gov/>  
under heading "EPA P<sub>2</sub> and other initiatives"

---

The Pollution Prevention Information Clearinghouse (PPIC) was established as part of EPA's response to the Pollution Prevention Act of 1990, which directed the Agency to compile information, including a database, on management, technical, and operational approaches to source reduction. PPIC provides information to the public and industries involved in conservation of natural resources and in reduction or elimination of pollutants in facilities, workplaces, and communities.

To request EPA information on pollution prevention or obtain factsheets on pollution prevention from various state programs call the PPIC reference and referral service at 202-260-1023, or fax a request to 202-260-0178, or write to:

PPIC  
Mail Code 3404  
401 M St., SW  
Washington, DC 20460