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6 Toxicological Reviews of Cyanobacterial
7 Toxins:
8 Microcystins LR, RR, YR and LA
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LIST OF ACRONYMS

1		
2		
3		
4	AFB1	Aflatoxin B1
5	ALP	Alkaline phosphatase
6	ALT	Alanine aminotransferase
7	AST	Aspartate aminotransferase
8	BMD	Benchmark dose
9	BMDL	Benchmark dose, lower confidence limit
10	BMDS	Benchmark dose software
11	BMR	Benchmark response
12	BUN	Blood urea nitrogen
13	CI	Confidence interval
14	DEN	Diethylnitrosamine
15	ELISA	Enzyme-linked immunosorbent assay
16	EPA	Environmental Protection Agency
17	ESR	Electron spin resonance
18	FETAX	Frog Embryo Teratogenicity Assay-Xenopus
19	GD	Gestation day
20	GFR	Glomerular filtration rate
21	GGT	γ -Glutamyltransferase
22	GST-P	Glutathione S-transferase (placental form)
23	HPLC	High pressure liquid chromatography
24	i.p.	Intraperitoneal
25	i.v.	Intravenous
26	LC ₅₀	Concentration lethal to 50% of population
27	LD ₅₀	Dose lethal to 50% of population
28	LDH	Lactate dehydrogenase
29	LOAEL	Lowest-observed-adverse-effect level
30	MAPK	Mitogen-activated protein kinase
31	MCAR	Microcystin-AR

1	MCLA	Microcystin-LA
2	MCLR	Microcystin-LR
3	MCRR	Microcystin-RR
4	MCYM	Microcystin-YM
5	MCYR	Microcystin-YR
6	MPT	Mitochondrial permeability transition
7	MW	Molecular weight
8	NMR	Nuclear magnetic resonance
9	NOAEL	No-observed-adverse-effect level
10	OATP	Organic acid transport protein
11	PAS	Periodic acid-Schiff
12	POD	Point of departure
13	PP1	Protein phosphatase 1
14	PP2A	Protein phosphatase 2A
15	RfC	Reference concentration
16	RfD	Reference dose
17	ROS	Reactive oxygen species
18	RVR	Renal vascular rate
19	SDH	Sorbitol dehydrogenase
20	SRR	Standardized rate ratio
21	TDI	Tolerable Daily Intake
22	TEF	Toxicity equivalency factor
23	TUNEL	Terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick end-labeling
24	UF	Uncertainty factor
25	VAF	Virus antibody free
26	WHO	World Health Organization

1
2
3
4 **PREFACE**

5 The Safe Drinking Water Act (SDWA), as amended in 1996, requires the Environmental
6 Protection Agency (EPA) to publish a list of contaminants which, at the time of publication, are
7 not subject to any proposed or promulgated national primary drinking water regulations, that are
8 known or anticipated to occur in public water systems, and which may require regulations under
9 SDWA. This list, known as the Contaminant Candidate List (CCL), was first published in 1998
10 and then again in 2005. The 1998 and 2005 CCLs include “cyanobacteria (blue-green algae),
11 other freshwater algae, and their toxins” as microbial contaminants.

12 In 2001, a meeting was held among EPA, researchers from the drinking water industry,
13 academia and government agencies with expertise in the area of fresh water algae and their
14 toxins. The goal of this meeting was to convene a panel of scientists to assist in identifying a
15 target list of algal toxins that are likely to pose a health risk in source and finished waters of the
16 drinking water utilities in the U.S. Toxin selection was based on four criteria: health effects,
17 occurrence in the United States, susceptibility to drinking water treatment and toxin stability.
18 Microcystins were identified at this meeting as being toxins of high priority based on those
19 criteria.
20

21 The National Center for Environmental Assessment has prepared this Toxicological
22 Review of Cyanobacterial Toxins: Microcystins (LR, RR, YR and LA) as one in a series of dose-
23 response assessments to support the health assessment of unregulated contaminants on the CCL.
24 The purpose of this document is to compile and evaluate the available data regarding
25 microcystin toxicity to aid the Office of Water in regulatory decision making. It is not intended
26 to be a comprehensive treatise on the chemical or toxicological nature of microcystins.
27

28 In Section 6, Major Conclusions in the Characterization of Hazard and Dose Response,
29 EPA has characterized its overall confidence in the quantitative and qualitative aspects of the
30 hazard and dose response by addressing knowledge gaps, uncertainties, quality of data and
31 scientific controversies. The discussion is intended to convey the limitations of the assessment
32 and to aid and guide the Office of Water in the ensuing steps of the human health risk assessment
33 of microcystins.

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1. INTRODUCTION

This toxicological review presents background and justification for hazard and dose-response assessments of microcystins LR, RR, YR and LA. U.S. Environmental Protection Agency (EPA) toxicological reviews may include oral reference dose (RfD) and inhalation reference concentration (RfC) values for chronic and less-than-lifetime exposure durations and a carcinogenicity assessment.

The RfD and RfC provide quantitative information for use in risk assessments for health effects known or assumed to be produced through a nonlinear (possibly threshold) mode of action. These reference values are defined as an estimate of an exposure, designated by duration and route, to the human population (including susceptible subgroups), that is likely to be without an appreciable risk of adverse effects. Reference values may be derived for acute (≤ 24 hours), short-term (up to 30 days), subchronic (up to 10% of average lifespan) and chronic (up to lifetime) exposures, all considered to be continuous exposures throughout the duration specified. A reference value is derived from a BMDL (a statistical lower confidence limit on the benchmark dose), a no-observed-adverse effect level (NOAEL), lowest-observed-adverse-effect level (LOAEL) or other suitable point of departure with uncertainty/variability factors applied to reflect limitations of the data used. The RfD is expressed in units of mg/kg-day, and the RfC in units of mg/m³.

The carcinogenicity assessment provides information on the carcinogenic hazard potential of the substance in question and quantitative estimates of risk from oral exposure and inhalation exposure. The information includes a weight-of-evidence judgment of the likelihood that the agent is a human carcinogen and the conditions under which the carcinogenic effects may be expressed. Quantitative risk estimates are presented in three ways. The *slope factor* is the result of application of a low-dose extrapolation procedure and is presented as the risk per mg/kg-day. The *unit risk* is the quantitative estimate in terms of either risk per $\mu\text{g/L}$ drinking water or risk per $\mu\text{g/m}^3$ air breathed. Another form in which risk is presented is a drinking water or air concentration providing cancer risks of 1 in 10,000; 1 in 100,000; or 1 in 1,000,000.

Development of these hazard identification and dose-response assessments for microcystins has followed the general guidelines for risk assessment as set forth by the National Research Council (1983). EPA guidelines that were used in the development of this assessment include the following: *Guidelines for the Health Risk Assessment of Chemical Mixtures* (U.S. EPA, 1986a), *Guidelines for Mutagenicity Risk Assessment* (U.S. EPA, 1986b), *Guidelines for Developmental Toxicity Risk Assessment* (U.S. EPA, 1991), *Guidelines for Reproductive Toxicity Risk Assessment* (U.S. EPA, 1996), *Guidelines for Neurotoxicity Risk Assessment* (U.S. EPA, 1998a), *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), *Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens* (U.S. EPA, 2005b), *Recommendations for and Documentation of Biological Values for Use in Risk Assessment* (U.S. EPA, 1988), (proposed) *Interim Policy for Particle Size and Limit Concentration Issues in Inhalation Toxicity* (U.S. EPA, 1994a), *Methods for Derivation of Inhalation Reference Concentrations and Application of Inhalation Dosimetry* (U.S. EPA, 1994b), *Use of the Benchmark Dose Approach in Health Risk Assessment* (U.S. EPA, 1995), *Science Policy Council*

1 *Handbook: Peer Review* (U.S. EPA, 1998b, 2000a, 2005c), *Science Policy Council Handbook:*
2 *Risk Characterization* (U.S. EPA, 2000b), *Benchmark Dose Technical Guidance Document*
3 (U.S. EPA, 2000c), *Supplemental Guidance for Conducting Health Risk Assessment of Chemical*
4 *Mixtures* (U.S. EPA, 2000d) and *A Review of the Reference Dose and Reference Concentration*
5 *Processes* (U.S. EPA, 2002).

6
7 Microcystins are a group of at least 80 naturally occurring hepatotoxins produced by
8 freshwater cyanobacteria (blue-green algae) including *Microcystis*, *Anabaena*, *Nodularia*,
9 *Nostoc* and *Oscillatoria* (Duy et al., 2000). Microcystins were first isolated from cyanobacterial
10 extracts in the 1980s (WHO, 1999).

11
12 Much of the toxicological research on microcystins has focused on a single congener,
13 microcystin-LR (MCLR). In addition to MCLR, this report focuses on three other major
14 microcystin congeners: microcystin-YR, microcystin-RR and microcystin-LA (abbreviated as
15 MCYR, MCRR and MCLA throughout this document). Literature searches were conducted for
16 studies relevant to the derivation of toxicity and carcinogenicity values for these four
17 microcystin congeners. The following databases were searched: MEDLINE (PubMed),
18 TOXLINE, BIOSIS, CANCERLIT, TSCATS, CCRIS, DART/ETIC, EMIC, GENETOX, HSDB
19 and RTECS. The relevant literature was reviewed through May 2006.

2. CHEMICAL AND PHYSICAL INFORMATION

Microcystins are monocyclic heptapeptide toxins produced by a number of cyanobacterial species, including members of *Microcystis*, *Anabaena*, *Nodularia*, *Nostoc* and *Oscillatoria* (Duy et al., 2000). At least 80 microcystin congeners have been identified. A general structure for microcystins is shown in Figure 2-1.

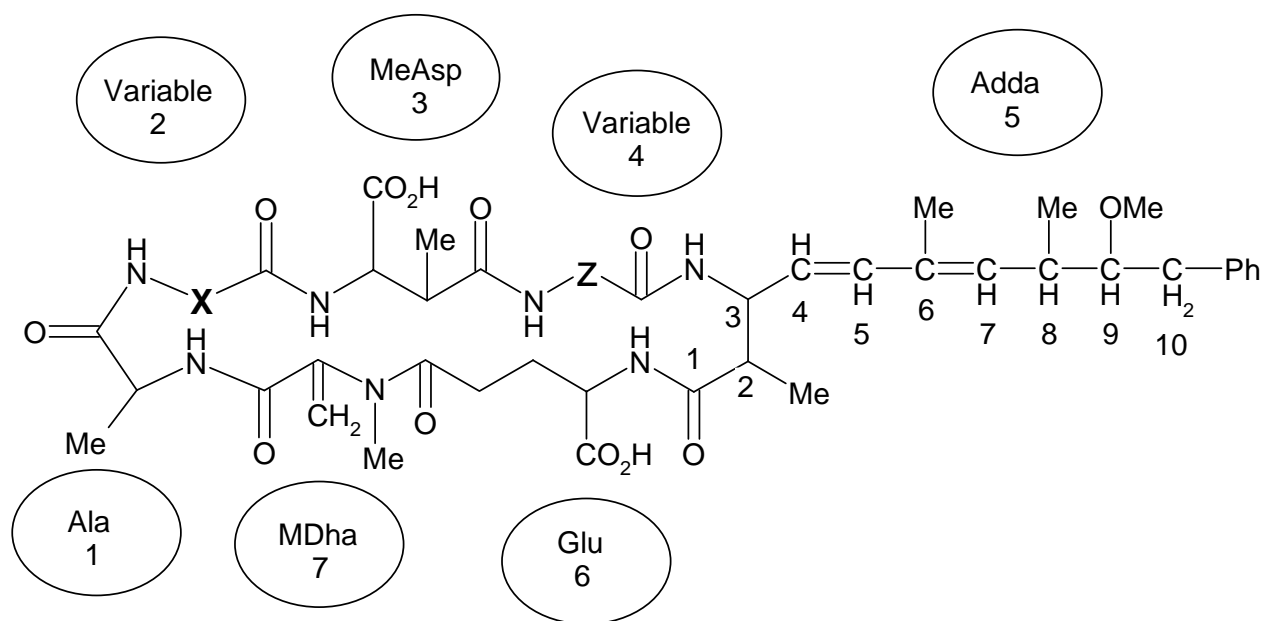


Figure 2-1. General Structure of Microcystins

Microcystins are monocyclic heptapeptides consisting of D-alanine (Ala); two variable amino acids (at positions X and Z in Figure 2-1); D-β-methylaspartic acid (MeAsp); (2S,3S,8S,9S)-3-amino-9-methoxy-2,6,8-trimethyl-10-phenyldeca-4,6-dienoic acid (Adda); iso-linked D-glutamic acid (Glu) and N-methyl dehydroalanine (MDha). Structural variations occur in all seven of the amino acid peptides, but most commonly in the L-amino acids at positions X and Z in Figure 2-1 (shown as “variable” amino acids in the figure). The most common L-amino acids at position X are leucine (L), arginine (R) and tyrosine (Y), while those at position Z are arginine (R) and alanine (A). The congeners take their names from the L-amino acids in these positions. For example, the microcystin congener with leucine in the X position and arginine in the Z position is microcystin-LR.

Little information on the chemical and physical properties of microcystins was located. Duy et al. (2000) provided the most thorough (albeit general) review of the properties of microcystins. The microcystins identified to date have molecular weights (MWs) ranging from 900 to 1200. Microcystins are nonvolatile and generally quite hydrophilic, although a few have lipophilic properties. Microcystins are soluble in water, ethanol and methanol, and insoluble in

1 acetone, ether, chloroform and benzene. Laboratory studies show microcystins to be stable
2 under changes in temperature and pH. Microcystins are stable in sunlight; however, in the
3 presence of pigments (type unspecified) and sunlight, microcystins can be decomposed or
4 isomerized (Duy et al., 2000). Limited information suggests that microcystins can
5 bioaccumulate in aquatic organisms; these toxins have been measured in a number of fish and
6 aquatic invertebrates.

3. TOXICOKINETICS

The available information on toxicokinetics of microcystins is primarily focused on exposure via injection routes, either intravenous or intraperitoneal. Few data are available on the oral and inhalation exposure routes. No data on the absorption, metabolism or elimination of microcystins after *in vivo* oral or inhalation exposure in humans or animals were located in the literature. Toxicokinetic data available from parenteral routes of exposure are of uncertain relevance to oral and inhalation exposure routes. The database on microcystins does not contain any toxicokinetic models for microcystins.

A number of studies on the toxicokinetics of microcystins have used ³H-dihydro-MCLR (usually produced by reduction of the MDha moiety in MCLR with tritiated sodium borohydride) as a test material. While there are similarities between the organ distribution, hepatocellular uptake and clinical syndrome after exposure to dihydroMCLR and MCLR (Meriluoto et al., 1990), there are differences in the binding of these compounds to molecular targets. Craig et al. (1996) showed that, while dihydroMCLA was capable of inactivating protein phosphatase 2Ac through a rapid binding mechanism, it did not subsequently form a covalent bond with PP2Ac, while MCLA did. Further information on the structural requirements for microcystin toxicity is provided in Section 4.4.9. Potential differences in the binding of dihydro-microcystin analogs dictates that caution be exercised in generalizing toxicokinetic information derived using these compounds to microcystins as a group. In particular, information on the subcellular localization of dihydromicrocystins may not be applicable to microcystins containing an intact MDha residue.

3.1. ABSORPTION

Pulmonary absorption of MCLR (purified from a bloom sample) was rapid following intratracheal instillation in mice (Ito et al., 2001). Immunostaining of the lung occurred within 5 minutes, followed by a lag period of 60 minutes before staining was observed in the liver. Based on the positive immunostaining of alveoli, it was concluded that absorption occurred at the alveoli. The lethal dose level for intratracheal injection was similar to a lethal dose for intraperitoneal (i.p.) injection (i.e., both about 100 µg/kg). The authors reported that the lungs were not affected by microcystin administration, but it is unclear whether a detailed histopathological evaluation of the lungs was conducted in addition to the immunostaining.

The occurrence of hepatotoxicity and lethality following oral exposure to microcystins (see Section 4.2) is evidence of oral absorption of the toxin. However, quantitative assessments of oral absorption were not located. Ito et al. (1997a) qualitatively studied the oral absorption and distribution of MCLR (purified from a bloom sample) following gavage dosing in mice (500 µg/kg). Immunostaining techniques indicated that MCLR was absorbed primarily in the small intestine, although some absorption did occur in the stomach. Erosion was observed in the surface epithelial cells and in the lamina propria of the small intestine villi. Erosion may facilitate uptake of the toxin into the bloodstream.

1 The oral bioavailability of MCLR was indirectly studied in *in situ* experiments using
2 isolated intestinal loops of rats (Dahlem et al., 1989). Rats given an infusion of MCLR (>95%
3 pure by high pressure liquid chromatography (HPLC) with UV detection) into the ileum showed
4 clinical signs (i.e., labored breathing and circulatory shock) and evidence of liver toxicity within
5 6 hours of a single 5 mg/kg dose. Liver toxicity was assessed as an increase in the liver-to-body
6 weight ratio and the presence of gross and histopathological liver lesions characteristic of
7 microcystin toxicity (i.e., enlarged livers, hepatocyte rounding and disassociation, hemorrhage).
8 Infusion of a similar dose into a jejunal loop produced a lower degree of liver toxicity, as
9 compared to the ileal loop infusion. These results suggest that there could be site-specificity in
10 intestinal absorption of MCLR; however, differences in absorptive surface area were not taken
11 into account in the experiment. *In vitro* experiments reported in this publication indicated that
12 cholestyramine, a bile acid sequestrant, bound MCLR, and the presence of cholestyramine in the
13 ileal loop infusion significantly reduced MCLR liver toxicity (Dahlem et al., 1989).

14
15 Oral absorption of ³H-dihydromicrocystin was also demonstrated using ileal loop
16 exposure in swine (Stotts et al., 1997a,b). In the exposed swine, the maximum blood
17 concentration of the toxin occurred 90 minutes after dosing.

18 19 **3.2. DISTRIBUTION**

20
21 The distribution of microcystins is limited due to the poor ability of these toxins to cross
22 cell membranes. Microcystins are primarily taken up into the liver by the multispecific active
23 transport system for bile acids. Once inside the cell, these toxins bind covalently to cytosolic
24 proteins, resulting in retention in the liver. The cytosolic proteins bound by microcystins have
25 been identified as the protein phosphatase enzymes (PP1 and PP2A). It should be noted that
26 dihydromicrocystin analogs do not appear to form covalent bonds with PP1 and PP2A, although
27 they are able to rapidly bind and inactivate the enzymes (Craig et al., 1996). Binding to and
28 inhibition of these phosphatase enzymes are directly related to the mechanism of toxicity for
29 microcystins and are further discussed in Section 4.4.7.3. This section will describe the overall
30 organ distribution, cellular uptake, subcellular localization and protein binding of microcystins.

31 32 **3.2.1. Organ Distribution**

33
34 The organ distribution of a ¹²⁵I-labelled heptapeptide toxin (MW 1019) isolated from
35 *Microcystis aeruginosa* (while not identified by the study authors as such, probably because the
36 toxin had not yet been named, this is assumed to be a microcystin) was investigated in female
37 rats following intravenous (i.v.) administration (Falconer et al., 1986; Runnegar et al., 1986).
38 The heptapeptide toxin was purified by HPLC prior to reaction with ¹²⁵I in the presence of NaI
39 and lactoperoxidase. Labelling of the toxin was confirmed by HPLC and mouse bioassay. The
40 highest tissue concentrations of microcystins were detected in the liver and kidney. After 30
41 minutes, 21.7% of the administered dose was present in the liver, 5.6% was present in the
42 kidneys, 7% remained in the gut contents, and 0.9% was cleared in the urine (Falconer et al.,
43 1986). The balance of the administered dose was not reported; however, the authors reported
44 that no significant accumulation was observed in other organs or tissues.

1 Brooks and Codd (1987) reported extensive liver uptake following i.p. injection of 125
2 $\mu\text{g}/\text{kg}$ of a ^{14}C -labelled toxin extracted from *M. aeruginosa* strain 7820 (assumed to be a
3 microcystin) in mice. Seventy percent of the radiolabel was found in the liver after 1 minute,
4 increasing to almost 90% after 3 hours. Radiolabel was also found in the lungs, kidneys, heart,
5 large intestine, ileum and spleen.

6
7 The distribution of ^3H -MCLR (>95% pure) was evaluated following i.p. injection of a
8 sublethal (45 $\mu\text{g}/\text{kg}$) or lethal (101 $\mu\text{g}/\text{kg}$) dose in mice (Robinson et al., 1989). The tissue
9 distribution of radiolabel was similar after injection of either a lethal or a sublethal dose. Liver
10 accumulation reached a maximal value of 60% by 60 minutes. For the 101 $\mu\text{g}/\text{kg}$ dose, the liver,
11 intestine and kidney contained 56, 7 and 0.9% of the radiolabel, respectively. Heart, spleen, lung
12 and skeletal muscle each contained less than 1% of the radiolabel.

13
14 Immunostaining methods were used to evaluate the organ distribution following
15 intratracheal instillation of MCLR purified from a bloom sample (Ito et al., 2001). Following
16 instillation of a lethal dose (100 $\mu\text{g}/\text{kg}$), the lung, liver, small intestine and kidney were
17 positively stained for MCLR. Intense staining was observed in the lung by 5 minutes post-
18 instillation, followed by the kidney (10 minutes), the small intestine (45 minutes) and the liver
19 (60 minutes). After approximately 90 minutes, bleeding began around the hepatic central vein.
20 The authors described the pathological changes in the liver as essentially the same as those seen
21 following oral or i.p. injection exposure routes. Intratracheal instillation of a sublethal dose (50
22 $\mu\text{g}/\text{kg}$) resulted in immunostaining of the lung, liver, kidney, cecum and large intestine (Ito et al.,
23 2001). No discernable pathological changes were observed at this dose level. Ito et al. (2002)
24 synthesized glutathione and cysteine conjugates of microcystin-LR and administered them by
25 intratracheal instillation in mice. These conjugates are, according to the authors, known
26 metabolites of microcystins. The metabolites were demonstrated to be less toxic than the parent
27 compound (lethal doses were about 12-fold higher than the MCLR lethal dose) and were
28 distributed primarily to the kidney and intestine, as opposed to the liver.

29
30 The distribution of MCLR (purified from a bloom sample) following oral gavage
31 administration to mice (500 $\mu\text{g}/\text{kg}$) was investigated using immunostaining methods (Ito et al.,
32 1997a). MCLR was detected in large amounts in the villi of the small intestine. Erosion of the
33 villi was observed, which may have enhanced absorption of the toxin into the bloodstream.
34 MCLR was also present in the blood plasma, liver, lungs, kidneys and heart.

35
36 The distribution of ^3H -dihydroMCLR in mice was shown to differ for the oral and i.p.
37 injection routes of exposure (Nishiwaki et al., 1994). Intraperitoneal injection of ^3H -
38 dihydroMCLR resulted in rapid and continuous uptake in the liver, with approximately 72% of
39 the administered dose present in the liver after 1 hour. The ^3H -dihydroMCLR was synthesized
40 by reduction of N-methyldehydroalanine from microcystin-LR. Small amounts of radiolabel
41 were found in the small intestine (1.4%), kidney and gallbladder (0.5%), lungs (0.4%) and
42 stomach (0.3%) following i.p. injection. Oral administration of ^3H -dihydroMCLR resulted in
43 much lower concentrations in the liver, with less than 1% of the administered dose found in the
44 liver at either 6 hours or 6 days post administration. ^3H -DihydroMCLR is rapidly distributed to
45 the liver of swine following i.v. injection or ileal loop infusion (Stotts et al., 1997a,b). Smaller
46 amounts were distributed to the kidneys, lungs, heart, ileum and spleen.

1
2 MCLR was not found in the milk of dairy cattle that were exposed to *M. aeruginosa* cells
3 via drinking water (Orr et al., 2001) or ingestion of gelatin capsule containing the cells (Feitz et
4 al., 2002).

5 6 **3.2.2. Cellular Uptake**

7
8 The cellular uptake of ³H-dihydroMCLR was evaluated using primary rat hepatocytes in
9 suspension and in isolated perfused rat liver (Eriksson et al., 1990a; Hooser et al., 1991a). The
10 uptake (as measured by scintillation counting of washed cells) of a mixture of unlabelled MCLR
11 and ³H-dihydroMCLR was shown to be specific for freshly isolated rat hepatocytes (Eriksson et
12 al., 1990a). Uptake was negligible in human hepatocarcinoma cells (Hep G2), mouse fibroblast
13 (NIH-3T3) and human neuroblastoma cells (SH-SY5Y). The uptake of ³H-dihydroMCLR was
14 also shown to be inhibited by bile acid transport inhibitors such as antamanide,
15 sulfobromophthalein and rifampicin, and by the bile salts cholate and taurocholate (by
16 competing for the bile acid transporter).

17
18 The uptake of ³H-dihydroMCLR was demonstrated to be rapid for the first 5-10 minutes,
19 followed by a plateau, in both rat hepatocyte suspensions and the isolated perfused rat liver
20 (Hooser et al., 1991a). Uptake was measured as radioactivity in fractionated cells versus
21 radioactivity in medium. The uptake of ³H-dihydroMCLR was inhibited by incubation of
22 suspended rat hepatocytes at 0°C, suggesting the involvement of an energy-dependent process
23 (Hooser et al., 1991a). Uptake was also inhibited by preincubation of hepatocytes with
24 rifampicin, presumably via competitive inhibition of the bile acid transporter (Hooser et al.,
25 1991a).

26
27 Many studies have demonstrated that inhibition of microcystin uptake at the bile acid
28 transporter reduces or eliminates the liver toxicity observed following *in vitro* or *in vivo*
29 exposures (Runnegar et al., 1981, 1995a; Runnegar and Falconer, 1982; Hermansky et al.,
30 1990a,b; Thompson and Pace, 1992). The human organic acid transport protein (OATP) was
31 shown to mediate the transport of ³H-microcystin (type not specified) in *Xenopus laevis* oocytes,
32 and this uptake was inhibited by sulfobromophthalein and taurocholate. This transport protein is
33 found in the human brain and may be related to the acute neurotoxicity seen in hemodialysis
34 patients exposed to microcystins (see Section 4.4.5.1).

35
36 Runnegar et al. (1991) studied the influence of dose level and exposure time on the
37 uptake of ¹²⁵I-microcystin-YM in isolated rat hepatocytes (measured as radioactivity in
38 centrifuged cell pellet). Hepatocyte uptake was initially rapid with a plateau in the uptake rate
39 observed after 10 minutes. The initial uptake rate (in the first minute of exposure) increased
40 with increasing concentration, but cumulative uptake ceased at a dose that resulted in plasma
41 membrane blebbing.

42
43 Microcystin-YM uptake by isolated rat hepatocytes was temperature-dependent and was
44 inhibited 70-80% by the addition of sodium deoxycholate or sulfobromophthalein (Runnegar et
45 al., 1995b). This provides evidence to indicate that microcystin uptake occurs by carrier
46 mediated transport, most likely via the bile acid transporter. Pretreatment of mice with bile acid

1 transporter inhibitors (cyclosporine A, rifamycin, trypan blue and trypan red) abolished
2 microcystin toxicity, suggesting limited or no uptake of microcystins (Runnegar et al., 1995b).
3 Further, *in vitro* preincubation of hepatocytes with bile acids or bile acid transport inhibitors
4 (taurocholate, trypan blue, cholate, sulfobromophthalein, cyclosporine A, trypan red and
5 rifamycin) each decreased the uptake of microcystin-YM, as measured by assays for protein
6 phosphatase inhibition in cell lysates (Runnegar et al., 1995b). Pretreatment with protein
7 phosphatase inhibitors (i.e., microcystin-YM and calyculin A) also resulted in the inhibition of
8 both microcystin-YM uptake and protein phosphatase inhibition, suggesting that the bile acid
9 transporter is itself regulated by serine/threonine phosphorylation.

10
11 Many cell types and established cell lines, including both rodent and some human cells,
12 have been evaluated for potential susceptibility to microcystin uptake and toxicity. Primary
13 isolated hepatocytes have been shown to be the most sensitive to cytotoxicity, due to the
14 presence of the organic ion/bile acid transport system (Eriksson et al., 1990b). In addition,
15 primary cultures of liver cells cease to express these bile acid transport proteins after 2-3 days of
16 being maintained in culture. Therefore, established liver cell lines are generally not useful for
17 evaluating microcystin toxicity (Eriksson and Golman, 1993; Heinze et al., 2001). Chong et al.
18 (2000) evaluated microcystin toxicity in eight permanent cell lines (including rodent, primate
19 and human cell lines), only two of which (human oral epidermoid carcinoma KB cells and rat
20 Reuber H35 hepatoma H-4-II-E cells) showed cytotoxicity following MCLR exposure. The
21 toxic response in these cells was most evident if MCLR was added when the cells were seeded.
22 Established monolayers were more resistant to microcystin toxicity. Mechanistic studies that
23 evaluate organ and cell type specificity for microcystins are further discussed in Section 4.4.7.1.

24 25 **3.2.3. Subcellular Localization and Cytosolic Protein Binding**

26
27 Tissue distribution was evaluated in mice given i.v. injection of a sublethal dose of
28 ³H-MCLR (Robinson et al., 1991a). The liver contained approximately 67% of the radiolabel by
29 60 minutes, and the amount of hepatic radioactivity did not change throughout the 6-day study
30 period, despite urinary and fecal elimination of 24% of the administered dose. The subcellular
31 distribution of radioactivity in the liver demonstrated that approximately 70% of the hepatic
32 radiolabel was present in the cytosol. *In vitro* experiments showed that radiolabeled microcystin
33 in the liver was bound to high molecular weight cytosolic proteins (Robinson et al., 1991b). The
34 nature of the binding was demonstrated to be covalent, saturable and specific for a protein with a
35 molecular weight of approximately 40,000. Binding was inhibited by okadaic acid (a potent
36 inhibitor of serine/threonine phosphatases [1 and 2A]), suggesting that the target protein is
37 protein phosphatase 1 or 2A. A discussion of protein phosphatase binding and inhibition by
38 microcystins is provided under mechanistic studies in Section 4.4.7.3, below. Binding proteins
39 for MCLR were found in cytosol derived from several different organs, suggesting that liver
40 specificity is not due to limited distribution of target proteins. Covalent binding to hepatic
41 proteins may be responsible for the long retention of microcystins in the liver. Lin and Chu
42 (1994) evaluated the kinetics of MCLR distribution in serum and liver cytosol derived from
43 mice. Uptake of pure MCLR, as analyzed by direct competitive enzyme-linked immunosorbent
44 assay (ELISA), into the serum was shown to be rapid following an i.p. injection of 35 µg/kg
45 (sublethal dose). The toxin reached a maximum concentration in the serum by 2 hours and in
46 liver cytosol by 12 hours post-injection. MCLR was shown to be bound to liver cytosolic

1 proteins and the kinetics of binding were correlated with inhibition of protein phosphatase 2A
2 activity. The maximum decrease in enzyme activity was observed 6-12 hours following
3 injection.

4
5 Pace et al. (1991) demonstrated significant accumulation of ³H-MCLR in isolated
6 perfused liver despite a low overall extraction ratio (16% in liver, 79% in perfusate). In the
7 liver, radiolabel corresponding to MCLR (15%) and a more polar metabolite (85%) was
8 primarily found in the cytosolic fraction.

9
10 The subcellular distribution of ³H-dihydroMCLR was evaluated using primary rat
11 hepatocytes in suspension and the isolated perfused rat liver (Hooser et al., 1991a).
12 ³H-dihydroMCLR was primarily localized in the cytosolic fraction in both the hepatocytes and
13 liver. In the hepatocytes, precipitation with trichloroacetic acid indicated that approximately
14 50% of the ³H-dihydroMCLR was found as free toxin, while the remaining 50% was bound to
15 cytosolic proteins. Since little of the radiolabel was in the insoluble pellet containing insoluble
16 actin and other elements, the authors suggested that ³H-dihydroMCLR did not bind significantly
17 to actin or other cytoskeletal proteins (Hooser et al., 1991a).

18
19 The subcellular protein binding of ³H-dihydroMCLR was evaluated in rat liver
20 homogenates (Toivola et al., 1994). Most of the radiolabeled toxin (80%) was bound to
21 cytosolic proteins. ³H-dihydroMCLR was shown to bind both protein phosphatase 1 (PP1) and
22 protein phosphatase 2A (PP2A); however, PP2A was detected primarily in the cytosol, while
23 PP1 was found in the mitochondrial and post-mitochondrial particulate fraction (membrane
24 proteins). The binding of microcystins to PP1 and PP2A and the inhibition of protein
25 phosphatase activity is further discussed in Section 4.4.7.3 (Mechanistic Studies).

26
27 Limited information in humans exposed to microcystins intravenously via dialysate
28 indicates that a large proportion of microcystins in the blood are bound to proteins. Hilborn et
29 al. (2005) compared two techniques for measuring microcystin in the serum of six patients. Use
30 of ELISA, which detects free microcystins, resulted in serum microcystin concentrations ranging
31 from 8 to 51% of the concentrations obtained using gas chromatography/mass spectrometry
32 (GC/MS) detection of 2-methyl-3-methoxy-4-phenylbutyric acid (MMPB, which is derived from
33 both free and protein-bound microcystins by chemical oxidation). These results indicate that
34 microcystins are bound to proteins in human blood, and that analysis for microcystins using
35 ELISA techniques may underestimate total blood concentrations.

36 37 **3.3. METABOLISM**

38
39 Urinary and fecal metabolites of MCLR were analyzed in samples collected 6 and 12
40 hours following i.v. injection of a sublethal dose of ³H-MCLR in mice (Robinson et al., 1991a).
41 Approximately 60% of the radiolabel in both the urine and the feces was associated with the
42 parent compound. MCLR was metabolized in liver cytosol preparations to a product that binds
43 to a high molecular weight cytosolic protein (Robinson et al., 1991b). The parent compound
44 also binds to this protein, which has been suggested to be the catalytic subunit of protein
45 phosphatase 2A. In isolated perfused rat liver, binding of both the parent toxin (³H-MCLR) and
46 a more polar metabolite to cytosolic proteins was also demonstrated (Pace et al., 1991). Polar

1 metabolites accounted for 65-85% of the hepatic cytosol radiolabel. Metabolites of MCLR were
2 not further characterized in these studies.

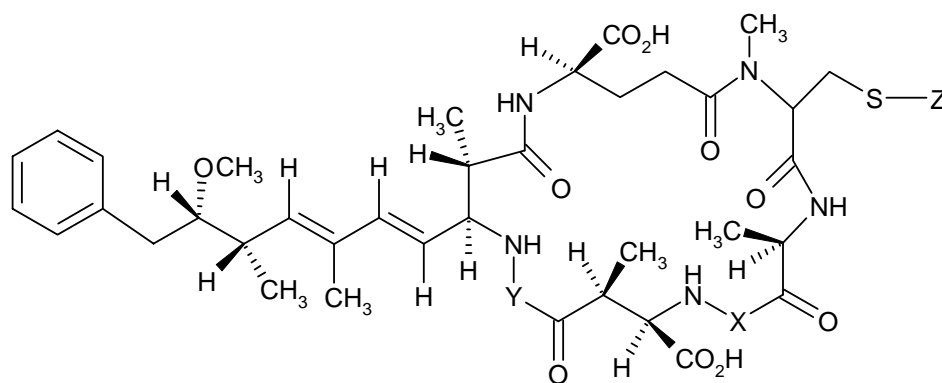
3
4 ³H-Dihydromicrocystin is not extensively metabolized in swine liver after i.v. injection
5 or ileal loop exposure, and is primarily present in hepatic tissues as the parent compound (Stotts
6 et al., 1997a,b).

7
8 Administration of 125 µg/kg of *Microcystis* toxin 7820 to mice resulted in decreased
9 levels of cytochrome b5 and cytochrome P450 (Brooks and Codd, 1987). Pretreatment of mice
10 with microsomal enzyme (mixed function oxidase) inducers (β-naphthoflavone,
11 3-methylcholanthrene and phenobarbital) was shown to eliminate this effect on hepatic enzymes
12 and to extend survival and reduce liver toxicity (i.e., changes in liver weight). In an *in vitro*
13 study using mouse liver microsomes, cytochrome P450 associated enzyme activity (i.e.,
14 metabolism of aminopyrene and p-nitrophenol) was not altered by an unidentified toxin isolated
15 from *M. aeruginosa* (assumed to be a microcystin; Cote et al., 1986).

16
17 The hepatic metabolism of MCRR and MCLR (purified from blooms) was studied
18 following i.p. injection in mice and rats (Kondo et al., 1996). Glutathione and cysteine
19 conjugates were identified at 3 and 24 hours in both mouse and rat livers. Structural
20 modification of the 3-amino-9-methoxy-2,6,8,-trimethyl-10-phenyldeca-4,6-dienoic acid (Adda)
21 and methyldehydroalanine (MDha) moieties of the toxins was indicated. Figure 3-1 shows the
22 glutathione and cysteine conjugates of microcystins.

23
24 Kondo et al. (1992) demonstrated that glutathione and cysteine conjugates of MCLR and
25 MCYR were less toxic than the parent compounds based on LD₅₀ estimates, but were still
26 significantly toxic (LD₅₀ values ranged from 217 to 630 µg/kg in mice). Glutathione and
27 cysteine conjugates of MCLR were shown to inhibit protein phosphatases 1 and 2A *in vitro* to
28 the same degree as MCLR; however, these metabolites were primarily distributed to the kidney
29 and intestine following intratracheal instillation in mice (Ito et al., 2002). This result suggests
30 that the lower toxicity of glutathione and cysteine conjugates may be related to distribution to
31 excretory organs and elimination of metabolites *in vivo*. Metcalf et al. (2000) also demonstrated
32 that microcystin conjugates with glutathione, cysteine-glycine and cysteine were less toxic in the
33 mouse bioassay; however, these conjugates were also shown to be weaker inhibitors of protein
34 phosphatases 1 and 2A *in vitro*. Takenaka (2001) illustrated that glutathione conjugates of
35 MCLR are formed by glutathione S-transferase enzymes found in both rat liver cytosol and
36 microsomes.

1



	X	Y
Microcystin LR	Leu	Arg
Microcystin YR	Tyr	Arg
Microcystin RR	Arg	Arg

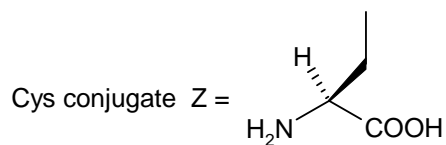
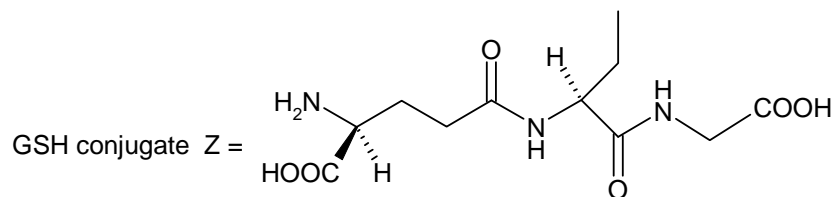


Figure 3-1. Structures of GSH and Cys Conjugates of Microcystins LR, YR and RR (Kondo et al., 1992)

2
3
4
5
6
7
8
9
10
11

1 Several studies have demonstrated an increase in hepatic glutathione levels following
2 exposure to microcystins (Ding et al., 2000a; Bouaïcha and Maatouk, 2004; Gehringer et al.,
3 2004). MCLR was shown to induce the *de novo* synthesis of glutathione in mice exposed to a
4 toxic sublethal dose (75% of the LD₅₀) (Gehringer et al., 2004). Increased transcription of
5 glutathione-S-transferase was also demonstrated in this study.
6

7 **3.4. ELIMINATION**

8

9 Limited information on the elimination of microcystins from the human body is available
10 from follow-up of dialysis patients exposed to microcystins intravenously (see Section 4.4.5.1
11 for further detail). In two separate incidents in Brazil (one in Caruaru, one in Rio de Janeiro),
12 microcystins were detected in patients' serum more than 50 days after documented exposure
13 (Hilborn et al., 2005; Soares et al., 2006).
14

15 The excretion of microcystins was investigated in female rats (Falconer et al., 1986).
16 The blood half-life was measured following i.v. administration of a ¹²⁵I-labelled heptapeptide
17 toxin extracted from *M. aeruginosa* (MW 1019, assumed to be a microcystin). A biphasic blood
18 elimination curve was demonstrated, with the first component having a half-life of 2.1 minutes
19 and the second component having a half-life of 42 minutes. After 120 minutes, 9.4% of the
20 administered dose was present in the intestinal contents and 2.9% was present in the urine,
21 suggesting that biliary excretion plays a significant role in elimination of microcystins. Biliary
22 excretion was also demonstrated in isolated perfused rat liver (Pace et al., 1991). In the bile
23 collected 10-20 minutes after toxin exposure, 78% of the radiolabel was associated with the
24 parent toxin, while the remaining radiolabel was associated with more polar metabolites.
25

26 MCLR excretion was also evaluated in mice (Robinson et al., 1991a). A biexponential
27 plasma elimination curve was observed following i.v. injection of a sublethal dose of ³H-MCLR.
28 Plasma half-lives of 0.8 and 6.9 minutes were reported for the first and second phase of
29 elimination, respectively. Approximately 24% of the administered dose was eliminated in the
30 urine (9%) and feces (15%) throughout the 6-day study period. Approximately 60% of the
31 excreted microcystin, measured at 6 and 12 hours following injection, was present as the parent
32 compound.
33

34 Ito et al. (1997a) demonstrated that MCLR is secreted in the mucous of goblet cells from
35 both the small and large intestine of mice following administration by oral gavage (500 µg/kg).
36 MCLR was not detected in urine in this study.
37

38 Stotts et al. (1997a,b) evaluated the toxicokinetics of ³H-dihydroMCLR in swine
39 following i.v. injection and ileal loop exposure. Elimination of ³H-dihydromicrocystin was rapid
40 and followed a biphasic pattern, suggesting that the liver rapidly removes the toxin from the
41 blood. Clearance from the blood is slower at higher dose levels, presumably due to the liver
42 toxicity and circulatory shock observed at high doses. ³H-Dihydromicrocystin was detected in
43 the bile as early as 30 minutes after i.v. injection. Following ileal loop exposure, the
44 concentration of toxin was consistently higher in the portal venous blood as compared to
45 peripheral blood. This suggests that first pass metabolism may play a role in the clearance of
46 dihydroMCLR.

1
2
3
4

3.5. PHYSIOLOGICALLY-BASED TOXICOKINETIC MODELS

No physiologically based toxicokinetic models have been developed for microcystins.

1
2
3
4 **4. HAZARD IDENTIFICATION**

5 **4.1. STUDIES IN HUMANS – EPIDEMIOLOGY, CASE REPORTS, CLINICAL**
6 **CONTROLS**

7 A number of case reports and epidemiological investigations have examined the
8 relationship between human exposure to cyanobacteria and various health endpoints. In all of
9 these studies, humans were exposed to blooms of cyanobacteria in environmental settings. As a
10 result, the potential for co-exposure to multiple cyanobacterial toxins and/or other
11 microorganisms or compounds to contribute to observed toxicity cannot be ruled out.

12
13 **4.1.1. Oral Exposure**

14
15 **4.1.1.1. Short-Term Studies and Case Reports**

16
17 Dillenberg and Dehnel (1960) reported on a series of animal poisonings and human
18 exposures to cyanobacterial blooms in various lakes of Saskatchewan, Canada during the
19 previous year. Several cases of individual or group human exposures during recreational
20 activities were reported. In general, the symptoms were gastrointestinal in nature, including
21 nausea, stomach pain and diarrhea; headache and muscle weakness were also reported. Stool
22 samples from three of the victims showed evidence of cyanobacteria (*Microcystis* and
23 *Anabaena*). In addition, water samples from the lakes in which the victims had been swimming
24 showed cyanobacteria. At the time of this report, cyanobacterial toxins had not been fully
25 characterized. Thus, no data on the nature or quantity of toxins in the affected waters were
26 provided.

27
28 Billings (1981) reported a series of outbreaks of human illness potentially associated with
29 exposure to cyanobacteria in two Pennsylvania lakes. Swimmers in both lakes reported
30 symptoms, including headache, abdominal cramping, nausea, vomiting, diarrhea, hay fever-like
31 symptoms, ear aches, eye irritation, sore throat, sneezing, runny nose and swollen lips within a
32 few hours of swimming in the waters. Investigation by the state Departments of Environmental
33 Resources and Health served to rule out common bacterial, protozoal and viral agents in the
34 outbreaks. In the second lake, a bloom of *Anabaena* was identified. Indirect evidence (rapid
35 onset of symptoms, absence of other potential causative agents and consistency with previous
36 reports of health effects after exposure to cyanobacteria) led the investigators to postulate a role
37 for exposure to *Anabaena* in the health outcomes.

38
39 Turner et al. (1990) reported a similar type of outbreak among army recruits who had
40 consumed reservoir water during canoe exercises. Detailed case reports were presented for two
41 recruits. Both 16-year-old recruits presented with several days' history of malaise, sore throat,
42 blistering around the mouth, dry cough, pleuritic pain and abdominal pain. One also had
43 experienced vomiting and diarrhea. Physical examination revealed fever, left basal pulmonary
44 consolidation (pneumonia) and abdominal tenderness in both patients. Blood tests revealed low
45 platelet counts in both patients. Both were tested for a variety of pathogens, including
46 *Leptospira*, *Legionella*, *Chlamydia*, *Coxiella*, *Mycoplasma* and influenza and adenovirus, all

1 with negative result. The authors reported similar symptoms (sore throat, headache, abdominal
2 pain, dry cough, diarrhea, vomiting and blistered mouth) in 16 additional soldiers who had taken
3 part in the canoe exercises. The reservoir contained a bloom of cyanobacteria, primarily *M.*
4 *aeruginosa*. Further, a sample of the bloom taken the day after the patients were admitted into
5 the hospital showed microcystins to be present, including MCLR. High levels of *Escherichia*
6 *coli* were also found in reservoir water sampled 2 weeks later. The authors suggested that
7 microcystin exposure may have had a role in the pulmonary consolidation and low platelet count
8 of the two patients, citing evidence from studies in mice (the authors cited Falconer et al., 1981
9 and Slatkin et al., 1983).

10
11 Teixeira et al. (1993) characterized an epidemic of gastroenteritis, primarily among
12 children, in the vicinity of the Itaparica Dam in Bahia, Brazil. The authors collected data on the
13 incidence of treatments for diarrhea between February and May of 1988. Timing of 1118 cases
14 of diarrhea in local health units was recorded, showing a spike in the incidence of gastroenteritis
15 coinciding with the flooding of the Itaparica Dam reservoir. Most (about 70%) of the cases
16 involved children under the age of 5 years. Additional data were collected on the age, residence,
17 symptoms, foods consumed, source of drinking water and travel for 76 patients given outpatient
18 treatment for diarrhea. Fecal, blood and urine samples were collected from these patients and
19 analyzed for chemical and biological contaminants (i.e., bacteriologic, virologic, cholinesterase,
20 heavy metals). In addition, water samples were analyzed for chemical and biological
21 contaminants (i.e., organophosphates, carbamates, heavy metals, fecal coliform). Analysis of
22 biological samples showed no contaminants. Untreated water samples showed high levels of
23 fecal coliform, but samples of treated water did not contain significant levels. Untreated water
24 samples also revealed high counts (1104-9755 units per mL) of *Anabaena* and *Microcystis* cells,
25 4-32 times the World Health Organization (WHO) maximum acceptable cell count for untreated
26 water (300 units/mL at the time). No data were provided on levels of cyanobacteria in the
27 treated water. It is not clear from the publication whether affected persons were exposed to
28 treated or untreated water. This study does not provide information on health effects of
29 microcystin exposure, but provides some circumstantial evidence for gastrointestinal effects
30 from exposure to cyanobacteria.

31
32 A case control study investigated the incidence of gastrointestinal and dermatological
33 symptoms among persons exposed to Murray River water (Australia) (el Saadi and Cameron,
34 1993; el Saadi et al., 1995). Physicians in 8 of 11 towns along the Murray River participated in
35 the study, recruiting 102 gastrointestinal and 86 dermatological cases between January and
36 March, 1992. Gastrointestinal cases were patients with abdominal pain, vomiting or diarrhea;
37 dermatological cases had rash, itching or blistering of the mouth. Control patients (132) were
38 selected as the next patient entering the office after each case, when possible. For each study
39 participant, age, sex, primary source of drinking and domestic water (rain/spring, untreated river
40 water or chlorinated river water from a town supply) and recreational water contact (none, river
41 or lake contact, or other, such as pool contact) during the previous week were recorded. River
42 water samples were collected and cyanobacteria identified and quantified. *Anabaena*,
43 *Aphanizomenon* and *Planktothrix* were the most common cyanobacteria identified in the
44 samples; small numbers of *M. aeruginosa* were infrequently identified. Both univariate and
45 multivariate analysis of the data showed the odds of having gastrointestinal symptoms to be
46 raised in persons drinking chlorinated river water or using untreated river water for domestic

1 purposes. Likewise, both types of statistical analyses showed increased odds of having
2 dermatological symptoms for persons less than 20 years of age and for persons using untreated
3 river water for domestic purposes. The proportion of patients with gastrointestinal symptoms
4 and the proportion with dermatological symptoms both correlated with mean weekly log
5 cyanobacterial cell count in the river, although the correlation was statistically significant only
6 for gastrointestinal symptoms. However, when upper and lower reaches of the river were
7 analyzed separately, nonsignificant correlations were observed.

8
9 No data on cyanobacterial toxins in the river water were provided. The symptoms
10 reported in this study cannot be readily attributed to any particular toxin (if they are indeed
11 associated with toxin exposure rather than exposure to the living cyanobacterial cells) due to the
12 absence of toxin analyses, as well as the identification of genera with potential to produce
13 multiple toxins. In addition, the potential for other microbial or chemical contaminants in the
14 untreated river water was not evaluated in this study.

15
16 Pilotto et al. (1997) conducted a prospective study of gastrointestinal and dermatological
17 symptoms among people exposed to cyanobacteria at water recreation sites in Australia. Study
18 participants were individuals 6 years of age and older who were present at one of several water
19 bodies that were both used for recreational purposes and expected to have algal blooms during
20 the summer. Interviewers visited these sites on several Sundays and invited all individuals to
21 participate. Participants completed a questionnaire to evaluate health status and the nature and
22 duration of water-contact activities. In addition, subjects were asked whether they had
23 symptoms or recreational water contact in the 5 days prior to study initiation, in order to control
24 for the effects of prior health conditions and prior exposure on study findings. Five hundred and
25 fourteen persons had either pre-existing symptoms or water contact in the days prior to initial
26 interview. Participants were contacted by telephone 2 and 7 days later, at which time the
27 occurrence of diarrhea, flu-like symptoms, skin rashes, mouth ulcers, fevers or eye or ear
28 infections in the intervening time was recorded. Water samples for cyanobacterial cell count and
29 toxin analysis were collected at 10 a.m. and 2 p.m. on the day of initial interview. Toxin
30 presence was assessed by mouse bioassay (i.p. injection of 500 mg freeze-dried cells/kg body
31 weight).

32
33 Of 1029 persons invited to participate, 921 persons participated in the study (Pilotto et
34 al., 1997). Interviewers were able to contact 845 of these persons by telephone 2 days after
35 initial interview, and 852 persons 7 days later. No differences in the reporting of gastrointestinal
36 and dermatological symptoms were found between those who had water contact and those
37 without water contact (on the day of the initial interview) when contacted 2 days later (Pilotto et
38 al., 1997). However, when subjects with water contact or symptoms prior to initial interview
39 were excluded, a significant trend to increasing symptom occurrence with duration of exposure
40 was observed among persons contacted 7 days after initial interview. Cyanobacterial cell count
41 showed some correlation with symptom occurrence, but presence/absence of hepatotoxins did
42 not. The authors postulated that any association between symptoms and exposure resulted from
43 the allergenic nature of the cells rather than exposure to toxins.

44 Pilotto et al. (1999) evaluated the relationship between cyanobacterial exposure and
45 perinatal outcomes in an ecological study conducted in Australia. Cyanobacterial monitoring

1 data (cell counts) were collected from raw drinking water supplies in 156 towns. Perinatal
2 outcome data were obtained from several registries (for calendar years 1992-1994) and the
3 following variables assessed: premature birth (≤ 36 weeks), low birth weight (< 2.5 kg), very low
4 birth weight (< 1.5 kg) and congenital defects (at least one). Maternal residence at birth was used
5 to assess exposure based on cyanobacterial cell counts. Exposure was assessed at various
6 gestational periods, either as the proportion of time with cyanobacterial exposure (proportion of
7 weeks with non-zero levels) or average alert level (alert level 1 = < 2000 cells/mL; alert level 2 =
8 2000-15,000 cells/mL; alert level 3 = $< 15,000$ cells/mL). Data on 32,700 births were collected,
9 although the numbers with exposure data in different gestational periods varied. A significant
10 difference in the incidence of low birth weight and very low birth weight babies was observed
11 between mothers with and without cyanobacterial exposure during the first trimester. Very low
12 birth weight incidences increased with increasing exposure to cyanobacteria (as measured by the
13 proportion of first trimester with non-zero cyanobacterial cell counts). At the highest exposure
14 category (exposure to cyanobacteria during 100% of first trimester), the odds ratio (OR) was
15 1.42 (95% confidence interval [CI] = 1.00-2.02). When exposure was assessed as the average
16 alert level (cell concentration interval), there was a significant increase in congenital defects at
17 the highest average alert level of 2.5-3.0 (OR = 2.03, 95% CI = 1.37-3.01), but the trend was not
18 significant. This study had a number of limitations, including a lack of individual exposure data
19 and lack of data on cyanobacteria or toxins in the finished water (after various treatment
20 processes). Further, because the measure of exposure was cyanobacteria rather than toxin, it is
21 difficult to interpret this study in the context of microcystin effects.

22
23 Falconer et al. (1983) compared the hepatic enzyme levels in patients served by a public
24 water supply contaminated with a bloom of *M. aeruginosa* with levels in patients living in areas
25 served by other water supplies. Enzymes assessed in the study were γ -glutamyltransferase
26 (GGT), aspartate aminotransferase (AST), alanine aminotransferase (ALT) and alkaline
27 phosphatase (ALP). The study population consisted of all patients served by a single hospital
28 laboratory and referred for liver function tests before, during and after a bloom of *M. aeruginosa*
29 in the Malpas Dam reservoir of Australia. Patients were classified either as residents of the city
30 of Armidale, which uses the reservoir for drinking water supply, or residents of neighboring
31 towns with independent water supplies. Liver function test results within each comparison group
32 were further sorted by date into three categories: testing during the 5 weeks before the first signs
33 of the bloom appeared, testing during the 3-week bloom or the 2 weeks following copper sulfate
34 treatment of the bloom (identified as the high-risk time interval due to the cell lysis and
35 subsequent toxin release) or testing during the 5 weeks that followed.

36
37 Results of plasma enzyme analyses were compared before, during and after the bloom
38 among residents of Armidale and surrounding areas (Falconer et al., 1983). Analysis of variance
39 was used to assess differences in enzyme levels between comparison groups and between times
40 within comparison groups. Results of the statistical analysis indicated a significant rise in GGT
41 levels in residents of Armidale during the bloom period. ALT levels in Armidale residents
42 increased during the bloom period, but the change was not statistically significant.

43
44 The authors noted substantial variability in enzyme levels, attributing this finding to the
45 imprecise method of selecting study participants (Falconer et al., 1983). It should be noted that
46 several of the enzyme measurements for the referent population were associated with one

1 individual requiring repeat analysis for chronic kidney disease. Alcoholism, which can increase
2 GGT levels, was reported to occur at about the same proportion (7-10%) in the groups assessed
3 before and during the bloom, although it was substantially lower in the post-bloom group of
4 Armidale residents. The authors concluded that the change in GGT among Armidale residents
5 before and during the bloom period might potentially be associated with exposure to *M.*
6 *aeruginosa*.

7 8 **4.1.1.2. Long-Term Studies and Epidemiological Studies**

9
10 Zhou et al. (2002) conducted a retrospective cohort analysis of colorectal cancer and
11 exposure to microcystins in drinking water in a Chinese province in which an association had
12 been reported previously (Jiao et al., 1985; Chen et al., 1994). Cases of primary colorectal
13 adenocarcinoma between 1977 and 1996 from eight randomly selected towns within Haining
14 City of Zhejiang Province were used as the study population. Cases were identified using the
15 local cancer registry and independently verified by two pathologists. Drinking water source used
16 longest during the lifetime was used as a surrogate measure of exposure to microcystins.
17 Information on drinking water source was obtained by interview of patients or family members
18 of deceased cases. In each of the eight towns, 10 water sources (3 rivers, 3 ponds, 2 wells and 2
19 taps) were randomly selected and sampled for microcystins twice in each of the months of June
20 through September (total of eight samples from each source). Water samples were analyzed for
21 microcystins by ELISA; the authors did not specify the targeted congeners. The authors do not
22 specify the nature of the “tap” water sources, but the text implies that the tap water derives from
23 one or more treatment plants.

24
25 The average incidence rate of colorectal cancer across all of the study areas was
26 8.37/100,000 per year. The incidence rate was compared among the four different water sources,
27 with well water users serving as the referent population. Compared with the incidence among
28 well water users, the colorectal cancer incidence rates among users of the other water sources
29 were significantly increased. Tap water use was associated with a relative risk of 1.88, while
30 river and pond water use were both associated with a relative risk over 7.0. There was no
31 difference in colorectal cancer incidence between river and pond water users. The authors
32 suggested that exposure to trihalomethane compounds might account for the increase in
33 incidence among tap water users. Table 4-1 shows the incidence rate, relative risk and 95% CIs
34 for these exposure comparisons.

35
36 Microcystins were detected at concentrations exceeding 50 pg/mL (considered by the
37 authors to be the limit for positive detection) only in river and pond water, and the average
38 concentrations in these sources were substantially higher (30- to 50-fold) than well or tap water.
39 A similar proportion (about 25%) of the residents in each of the eight towns used river and pond
40 water for drinking water, allowing an analysis comparing the average microcystin concentration
41 in river and pond water in each town with the incidence rate by town. This analysis showed a
42 strong correlation between incidence rate and concentration of microcystin (Spearman
43 correlation

Table 4-1. Relative Risk of Colorectal Cancer and Microcystin Concentration by Drinking Water Source (Zhou et al., 2002)						
Water Source	Colorectal Cancer Incidence Rate per 100,000	Relative Risk of Colorectal Cancer	95% CI	Number of Microcystin Samples >50 pg/mL	Mean Microcystin Concentration (pg/mL)	Maximum Microcystin Concentration (pg/mL)
Well water	3.61	-	-	0/ 12	0.73	9.13
Tap water	6.77	1.88	1.39-2.54	0/ 17	4.85	11.34
River water	28.5	7.94	6.11-10.31	25/ 69	141.08	1083.43
Pond water	27.76	7.7	5.75-10.30	6/ 35	106.19	1937.94

1 coefficient = 0.88, $p < 0.01$). Figure 4-1 shows the relationship between colorectal cancer
2 incidence and average microcystin concentration.

3
4 This study provides suggestive evidence for an association between microcystin exposure
5 and colorectal cancer. It is also consistent with earlier reports of an association between
6 drinking river or pond water and incidence of colorectal cancer in the Zhejiang Province of
7 China (Jiao et al., 1985; Chen et al., 1994; studies published in Chinese and not translated for
8 this review). However, because demographic information was not provided in the report, it is
9 not clear whether dietary, genetic and lifestyle factors associated with colorectal cancer have
10 been adequately controlled in the analysis. Further, other potential biological and chemical
11 contaminants in the river and pond water were not considered.

12
13 Several epidemiological studies have examined the relationship between drinking water
14 source (well, river, pond or ditch) and liver cancer in Haimen City, Jian-Su Province, China, an
15 area with an elevated hepatocarcinoma incidence (Yu, 1989; Yu et al., 1989). These studies,
16 published in Chinese and not translated for this review, showed an increased risk of primary liver
17 cancer associated with consumption of pond or ditch water (Ueno et al., 1996). According to
18 Health Canada (2002), Yu (1989) showed that consumption of pond or ditch water was
19 associated with an 8-fold increase in liver cancer incidence when compared with well water
20 consumption. Health Canada (2002) reported that a larger study of 65 counties in China, also
21 published in Chinese (Chen et al., 1991), showed the opposite; that consumption of deep well
22 water was associated with an increased risk of liver cancer.

23
24 Ueno et al. (1996) conducted a survey of microcystin content in drinking water supplies
25 in Haimen City to test the hypothesis that microcystins in surface drinking water supplies could
26 contribute to the higher incidence of liver cancer. Microcystins were measured by ELISA in
27 shallow and deep wells, as well as in ponds/ditches and river waters. The authors did not
28 indicate which congeners were targeted by the ELISA. Occurrence of microcystins was higher
29 in pond/ditch water (17% reported as positive with concentration > 50 pg/mL) and river water
30 (32% positive) samples than in shallow wells (4% positive) or deep wells (no detections > 50
31 pg/mL). Further, microcystin concentrations averaged across the drinking water types were
32 different, averaging 101, 160 and 68 pg/mL in pond/ditch, river and shallow well samples,
33 respectively. These data, while suggestive, do not directly associate exposure to microcystins
34 and liver cancer, since individual exposures were not measured or estimated, and other
35 biological or chemical contaminants in the surface waters have not been ruled out.

36
37 In a case-control study of liver cancer in Haimen City, conducted by Yu et al. (2002), a
38 variety of liver cancer risk factors were evaluated, including hepatitis B and C virus infection,
39 aflatoxin B1 or microcystin exposure, smoking, drinking, diet and genetic polymorphisms. From
40 a pool of 248 patients with hepatocellular carcinoma and 248 age-, sex- and residence-matched
41 controls, 134 paired cases and controls assented to blood samples for virus infection and ALDH2
42 and CYP2E1 gene polymorphism analyses. Data from these analyses were combined with
43 questionnaire information on possible lifestyle and dietary risk factors for liver cancer.
44 Microcystin exposure was assessed categorically based on drinking water supply (tap, deep or

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Incidence of colorectal cancer vs. microcystin concentration (Zhou et al., 2002)

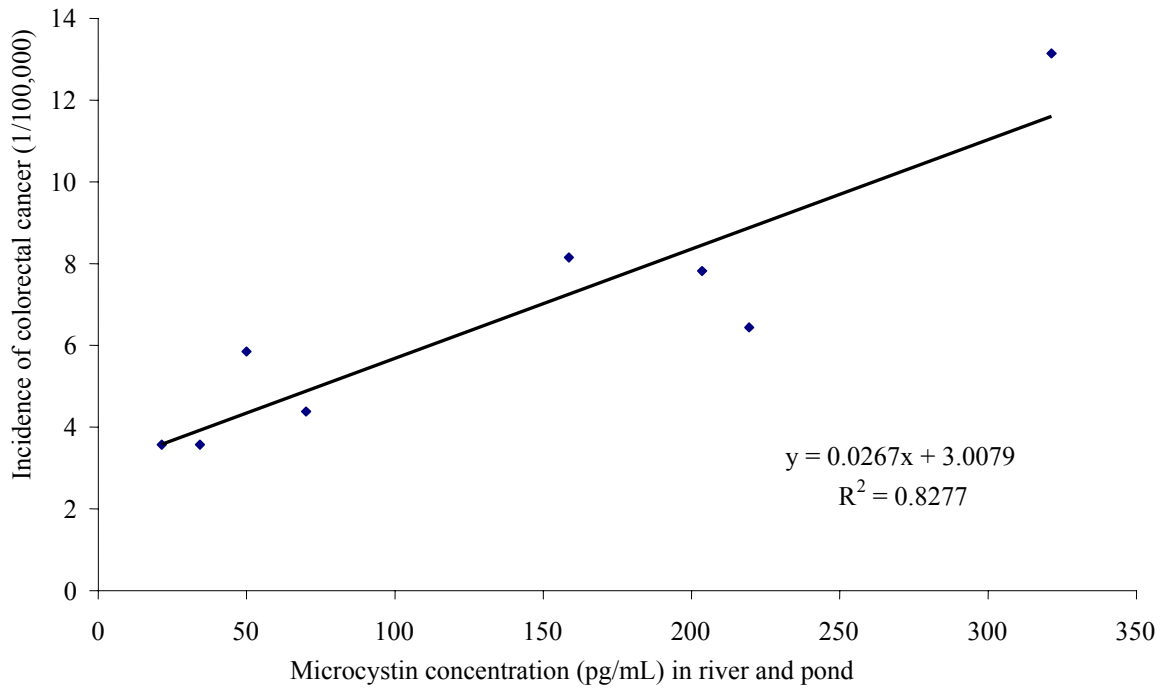


Figure 4-1. Relationship between Colorectal Cancer and Microcystin Concentration in River and Pond Water in Haining City, China (Zhou et al., 2002)

1 shallow well, river, ditch or pond), as in earlier studies (Yu et al., 1989). Neither univariate nor
2 multivariate analysis of the data indicated an association between consumption of river, pond or
3 ditch water and hepatocellular carcinoma. Hepatitis B virus infection was strongly associated
4 with primary liver cancer, and history of i.v. injection was also identified as a risk factor (Yu et
5 al., 2002).

6
7 Fleming et al. (2002) conducted an ecological epidemiological investigation of the
8 relationship between drinking water source and incidence of primary liver cancer in Florida.
9 The study was prompted by data showing cyanobacteria and toxins, especially microcystins, in
10 surface drinking water sources in Florida. The study population consisted of all cases of primary
11 hepatocellular carcinoma reported to the Florida state cancer registry between 1981 and 1988.
12 The study population was divided into comparison groups consisting of those served by surface
13 drinking water supply and those using other sources. Residence at the time of diagnosis was
14 used to place cases into the various comparison groups. Surface water treatment plants and their
15 service areas were geocoded, as were deep groundwater treatment plants. Several comparisons
16 were made. First, incident cases residing in the service area of a surface water treatment plant
17 were compared with those residing in the service area of a deep groundwater treatment plant.
18 Within this comparison, there were several referent groups; one randomly sampled from the
19 available groundwater service areas, one matched on median income and rent, one matched on
20 ethnic makeup and one matched on income, rent and ethnicity. Second, incident cases in the
21 surface water service area were compared with equally-sized buffer areas surrounding the
22 surface water service area, but not served by the treatment plant. Finally, incident cases were
23 compared with the incidence in the general Florida population.

24
25 Evaluation of the individual incidence rates in the 18 surface water service areas with the
26 groundwater service areas did not reveal any statistically significant differences among the
27 individual incidence rates. When the service areas were pooled, residence in a surface water
28 service area was associated with a statistically significant reduced risk of hepatocellular
29 carcinoma compared with either groundwater service areas (standardized rate ratios [SRR]
30 ranged from 0.8 to 0.98 for the four groundwater comparison groups) or the general Florida
31 population (SRR of 0.8). It should be noted that the measure of exposure, residence within a
32 surface water service area, was estimated as the average size plus two standard deviations of the
33 service area for this comparison.

34
35 When comparisons were made between residence in the actual (i.e., not estimated as
36 above) surface water service areas and residence in the buffer areas surrounding the service
37 areas, a statistically significant increase in the incidence of hepatocellular carcinoma was
38 observed for those residing within the surface water service area (SRR = 1.39, CI = 1.38-1.4).
39 Analyses of 1990 census data suggested that the ethnic and socioeconomic backgrounds of the
40 service areas and buffer areas were similar, although the authors did not report these data.
41 Interestingly, the incidence of hepatocellular carcinoma in the buffer areas was significantly
42 lower than that in the general Florida population (SRR = 0.59).

43
44 An ecological study such as this is useful for generating hypotheses, but not for
45 establishing an exposure-response relationship due to the lack of exposure data on individuals.
46 In this case in particular, there is strong potential for misclassification of exposure. Residence in

1 a surface water service area at the time of diagnosis of hepatocellular carcinoma is a poor
2 measure of potential exposure to cyanobacterial toxins, especially given residential mobility and
3 likely latency time for cancer development. Further, the initial comparisons with groundwater
4 service areas used GIS-generated estimates of surface water service areas rather than actual
5 service areas, leading to greater potential for misclassification.

6
7 Fleming et al. (2004) also conducted an ecological study assessing the relationship
8 between incident colorectal cancer and proximity to a surface drinking water treatment plant,
9 with the latter representing a surrogate for exposure to cyanobacteria. Methods for this study
10 were identical to those described above for Fleming et al. (2002) except that colorectal cancer
11 data were abstracted from the Florida Cancer Data System from 1981-1999. As with Fleming et
12 al. (2002), comparisons were made between the colorectal cancer incidence rates in the 18
13 surface water treatment service areas with several referent groups (a random group of
14 groundwater treatment service areas, a group of groundwater treatment service areas matched on
15 median income and rent, a group of groundwater treatment service areas matched on ethnic
16 makeup, a group of groundwater treatment service areas matched on both median income and
17 ethnicity, groups residing in an equally-sized buffer areas surrounding the surface water service
18 area and, finally, the general Florida population). Mann Whitney rank sum tests of all
19 comparisons did not suggest an association between colorectal cancer and residence at time of
20 diagnosis in a surface water treatment area (details not provided). This ecological study is
21 subject to the same limitations as described above for Fleming et al. (2002).

22 23 **4.1.2. Inhalation Exposure**

24
25 No studies of human exposure to microcystins via inhalation were identified in the
26 materials reviewed for this document.

27 28 **4.2. ACUTE, SHORT-TERM, SUBCHRONIC AND CHRONIC STUDIES AND** 29 **CANCER BIOASSAYS IN ANIMALS – ORAL AND INHALATION**

30
31 Early research on cyanobacterial toxins examined the effects of exposure to
32 cyanobacterial organisms rather than on the toxins now known to produce many of the
33 toxicological effects. In the case of microcystins, the isolation and characterization of important
34 toxin(s) did not occur until the 1980s (WHO, 1999). As a result, many studies have used various
35 extracts of cyanobacterial blooms as test substances in toxicological experiments. These studies
36 contribute to the hazard identification for cyanobacterial toxins, but, as discussed below, are not
37 useful for dose-response assessment.

38
39 The quantity of an individual cyanobacterial toxin in different bloom samples and
40 extracts varies widely, being influenced by a number of different factors. Some toxins are
41 produced by more than one genus of cyanobacteria. For example, microcystins have been shown
42 to be produced by *Microcystis*, *Anabaena*, *Planktothrix*, *Nostoc* and others (WHO, 1999). Some
43 species (e.g., *Anabaena*) can produce more than one cyanotoxin (WHO, 1999). Even within a
44 species, different strains produce varying levels of toxin; some produce little or no toxin at all.
45 Growth conditions can also contribute to the level of toxin produced by a given species and
46 strain (WHO, 1999). Finally, any given sample may contain multiple genera, species or strains

1 of cyanobacteria, as well as other contaminating organisms. Some of these variables will also
2 apply to cyanobacterial cells cultured in a laboratory, although clonal cultures may be
3 characterized as to toxin content. In general, there is no clear means of predicting the toxin
4 content in a given bloom sample or cell extract.

5
6 In addition to the variations in toxin production within bloom and/or culture samples,
7 there are variations in toxin concentration depending on the method used for producing a
8 material for toxicological administration. Among the studies reviewed, the administered
9 material included lyophilized bloom samples in solution, cell-free extracts, cell-free lysates,
10 partially purified toxins, purified toxins and others. As endotoxins, microcystins exist primarily
11 within the cyanobacterial cell, and are released when cells are lysed. As a result, studies of
12 extracts obtained by removing intact cells may not contain much, if any microcystin. Purified or
13 partially-purified toxins were used in a number of studies; however, the specific microcystin
14 congener or congeners may not have been identified. As a result, data using bloom samples, cell
15 extracts or partially purified toxins cannot be considered reliable information in relating
16 exposure to a given toxin with toxicological effect.

17
18 Giving due consideration to the limitations of algal extract studies, a distinction is made
19 between toxicological data obtained using purified microcystins and toxicological data obtained
20 using a bloom sample or extract. These data are discussed separately in this report, with the
21 latter data being considered supplemental due to the confounding factors outlined above. As a
22 result, studies of cyanobacterial extracts are not reviewed in depth.

23 24 **4.2.1. Oral Exposure**

25 26 **4.2.1.1. Acute Studies**

27 28 **4.2.1.1.1. Purified Microcystins**

29
30 Fawell et al. (1999) conducted acute, subchronic and developmental toxicity studies of
31 MCLR in mice and/or rats. In the acute portion of the study, single oral gavage doses of MCLR
32 (purity not specified) in aqueous solution were given to male and female CR1:CD-
33 1(ICR)BR(VAF plus) mice and CR1:CD(SD)BR(VAF plus) rats (five per sex per species).
34 Doses of 500, 1580 and 5000 µg/kg body weight were administered. Untreated control groups
35 were not included. The animals were observed for up to 14 days prior to sacrifice and necropsy.
36 Microscopic examinations of the lung and liver were conducted. LD₅₀ values were calculated.

37
38 Oral LD₅₀ values were estimated to be about 5000 µg/kg for mice and over 5000 µg/kg
39 for rats. Animals that died showed clinical signs, including hypoactivity and piloerection;
40 however, clinical signs were absent in survivors. Body weights among surviving animals were
41 not affected during the 14-day follow-up. Necropsy of the animals that died showed darkly
42 discolored and distended livers, as well as pallid kidneys, spleen and adrenals. Livers of all
43 animals that died had moderate or marked centrilobular hemorrhage. The incidence and severity
44 of liver lesions increased in a dose-dependent fashion, as shown in Table 4-2.

Table 4-2. Incidence of Liver Lesions in Mice and Rats Treated with a Single Dose of MCLR (Fawell et al., 1999)

Dose (µg/kg)	Number Animals Treated	Mortality	Diffuse Hemorrhage	Moderate Centrilobular Hemorrhage	Marked Centrilobular Hemorrhage	Centrilobular Necrosis	Cytoplasmic Vacuolation
Mice							
500	10	0	2	0	0	0	0
1580	10	1	2	2	1	0	0
5000	10	5	1	7	0	2	0
Rats							
500	10	0	8	0	0	0	0
1580	10	0	7	0	0	0	0
5000	10	1	8	1	1	1	1

Diffuse hemorrhage in the liver was seen in rats and mice of all dose groups, but the incidence was not clearly related to dose. Because an untreated control group was not included in this study, it is not possible to say whether the liver effects were treatment-related; thus, neither a NOAEL nor a LOAEL could be determined. The single mouse death at 1580 µg/kg indicates that this is a frank effect level (FEL) in this species; the FEL in rats was 5000 µg/kg with a single rat death at this dose.

Yoshida et al. (1997) assessed the acute oral toxicity of purified MCLR (>95% pure by HPLC) in female BALB/c mice. Preliminary experiments using doses of 16.8 and 20 mg/kg resulted in death within 160 minutes in two mice; therefore, doses of 8.0, 10.0 and 12.5 were chosen for LD₅₀ determination. MCLR in saline solution was administered via gavage to a total of five 6-week-old mice. Two control mice received saline via gavage. Mortality was observed over a 24-hour period, and dead animals, including those in the preliminary study, were immediately necropsied. One surviving mouse was sacrificed and necropsied 24 hours after treatment; the remainder were sacrificed and necropsied after a week. The liver, kidneys and lung were sectioned and examined by light microscopy. Electron microscopy was used to identify apoptotic cells in the livers of treated mice. The remaining tissues were subjected to histopathological analysis.

Mortality within 24 hours was 0/1 at 8 mg/kg, 0/2 at 10 mg/kg and 2/2 at 12.5 mg/kg. The oral LD₅₀ was calculated to be 10.9 mg/kg. No effects on the stomach, intestine, skin or

1 organs other than the liver and kidneys were observed. Liver effects were pronounced in
2 animals that died, including centrilobular hemorrhage and hepatocyte degeneration, as well as
3 free hepatocytes in the veins of mice receiving doses in excess of 12.5 mg/kg (in the preliminary
4 experiments). Effects on the kidneys included proteinaceous eosinophilic materials in the
5 Bowman's spaces of mice receiving high doses (>12.5 mg/kg). In a single mouse treated with
6 10.0 mg/kg and sacrificed after 24 hours, evidence of hepatocellular necrosis was observed in the
7 centrilobular and midzonal regions, and single cell death (possibly apoptotic) was reported in the
8 centrilobular region, as well as surrounding necrotic areas. In the other mouse treated with 10
9 mg/kg and the two mice treated with 8.0 mg/kg (all sacrificed 1 week after treatment), the livers
10 contained hypertrophic hepatocytes in the centrilobular region and fibrosis in the centrilobular
11 and midzonal regions. A few apoptotic cells were observed in these animals. No kidney effects
12 were reported in animals that survived treatment for at least 24 hours. No control group was
13 included, so neither a NOAEL nor a LOAEL could be determined from this study. The deaths of
14 both mice given 12.5 mg/kg MCLR indicate that this is an FEL.
15

16 Ito et al. (1997a) compared the acute effects of MCLR on the livers and gastrointestinal
17 tracts of young and aged mice. Single doses of 500 µg/kg MCLR (purity not specified)
18 dissolved in ethanol and diluted in saline were administered via oral gavage to aged (29 mice
19 aged 32 weeks) and young (12 mice aged 5 weeks) male ICR mice. Three aged and three young
20 untreated mice served as controls. Twenty-two aged mice were sacrificed at 2 hours, five mice
21 at 5 hours, and two mice at 19 hours after treatment; four young mice were sacrificed at each
22 time point. Liver damage and gastrointestinal erosion were evaluated.
23

24 The results showed marked differences between young and aged mice in both liver
25 damage and gastrointestinal effects. In young mice, no liver pathology or gastrointestinal
26 changes were reported. In contrast, 18 of 29 aged mice treated with the same dose developed
27 pathological changes of the liver. Among the aged mice, 8 of 29 had liver injury of the highest
28 severity, characterized as bleeding, disappearance of many hepatocytes in the whole liver and
29 friable tissue (severity rating of +4). Five of 29 mice had liver changes characterized by
30 bleeding and disappearance of hepatocytes in centrilobular region (severity rating of +3).
31 Necrosis in the centrilobular region was observed in 4 of 29 mice (severity rating of +2) and
32 eosinophilic changes in the centrilobular region were indicated for one mouse (severity rating of
33 +1).
34

35 Gastrointestinal effects observed in the treated aged mice included necrosis to one-third
36 depth of the mucosa and severe duodenal damage (including decreased villi density, separation
37 of epithelial cells from lamina propria and edema of both the submucosa and villi). Details of
38 the incidence of these effects were not reported; however, the authors indicated that the degree of
39 liver injury was related to the severity of gastrointestinal effects. Regeneration of intestinal
40 tissues was evident in some of the mice sacrificed at later time points (5 and 19 hours after
41 treatment). Among untreated aged mice, serum enzyme levels (AST and ALT) were not
42 different, but gastrointestinal condition was somewhat compromised in the aged mice. Aged
43 mice had thinning of surface epithelial cells with consequent exposure of lamina propria and
44 glands in some areas. The authors postulated that the oral uptake of MCLR was dependent on
45 gastrointestinal tract erosion and the loss of permeability in capillaries of the villi. This study

1 identified a freestanding LOAEL of 500 µg/kg (in aged mice only) for liver and gastrointestinal
2 effects.

3
4 In a poorly described study, Fitzgeorge et al. (1994) administered MCLR via gavage to
5 newly weaned CBA/BALBc mice. The commercially-obtained compound was described only as
6 “suitably purified.” The LD₅₀ was estimated to be 3000 µg/kg, and increases in liver (43%) and
7 kidney (5.9%) weights were reported. The authors reported that there was no change in lung or
8 spleen weight; other endpoints were either not examined or not reported.

9
10 Rogers et al. (2005) evaluated the potential synergism between MCLR and anatoxin-a
11 administered by gavage to CD-1 mice (sex not specified). A total of 60 fasted mice were given
12 gavage doses of 0, 500 or 1000 µg/kg MCLR (purity ≥98%) followed 50 minutes later with
13 gavage doses of 0, 500, 1000 or 2500 µg/kg anatoxin-a (purity ≥95%). Controls were given
14 distilled water by gavage. While not specified, group sizes are presumed to have been five
15 animals per treatment. The animals were observed for clinical signs of toxicity, loss of appetite
16 and mortality; body weight was measured before treatment and 3 hours later. The duration of
17 observation was not reported. No deaths, clinical signs of toxicity or differences in body weight
18 were observed. Effect levels cannot be identified from this study due to inadequate reporting
19 and because few toxicological endpoints were evaluated.

20 21 **4.2.1.1.2. Cyanobacterial Extracts**

22
23 Rao et al. (2005) compared the acute oral effects of microcystin extract in aged (36
24 weeks old) and young (6 weeks old) Swiss albino mice. A single LD₅₀ dose of extract was
25 administered to male mice; mortality occurred after 4-5 hours. Both groups of mice had
26 increased relative liver weight and DNA fragmentation compared to control, but there was no
27 difference between the age groups. In contrast, glutathione depletion and lipid peroxidation
28 were significantly greater in the aged mice when compared with young mice. Further, while
29 most serum enzymes were increased over controls in both groups, GGT was increased to a
30 greater extent in aged mice than in young mice.

31 32 **4.2.1.2. Short-Term Studies**

33 34 **4.2.1.2.1. Purified Microcystins**

35
36 Heinze (1999) evaluated the effects of MCLR in drinking water on 11-week-old male
37 hybrid rats (F1 generation of female WELS/Fohm x male BDIX). Groups of 10 rats were given
38 doses of 0, 50 or 150 µg/kg body weight for 28 days in drinking water. Water consumption was
39 measured daily and rats were weighed at weekly intervals. Dose estimates provided by the
40 authors were not adjusted to account for incomplete drinking water consumption (3-7% of
41 supplied water was not consumed over the 28-day period). The test material was obtained
42 commercially, but the authors did not report a measure of purity. After 28 days of exposure, rats
43 were sacrificed by exsanguination under ether anesthesia. Organ weights (liver, kidneys,
44 adrenals, thymus and spleen) were recorded and hematology, serum biochemistry and
45 histopathology of liver and kidneys were evaluated.

Hematological evaluation demonstrated an increase in the number of leukocytes in rats in the highest dose group (38% increase). Serum biochemistry showed significantly increased mean levels of ALP and lactate dehydrogenase (LDH) in both treatment groups (84 and 100% increase in LDH, 34 and 33% increase in ALP in low and high doses, respectively) and no changes in mean levels of ALT or AST. A dose-dependent increase in relative liver weights was observed (17 and 26% at the low and high doses, respectively). Table 4-3 shows the mean enzyme levels and relative liver weights.

Parameter	Control n=10	50 µg/kg n=10	150 µg/kg n=10
Relative liver weight (g/100 g body weight)	2.75 ± 0.29	3.22 ± 0.34*	3.47 ± 0.49*
Lactate dehydrogenase (microkatal/L)	16.64 ± 4.48	30.64 ± 5.05*	33.58 ± 1.16*
Alkaline phosphatase (microkatal/L)	9.67 ± 2.20	13.00 ± 3.81*	12.86 ± 1.85*

* p<0.05 when compared with control

The authors also reported a dose-dependent increase in absolute liver weights, although the data were not provided. No statistically significant changes in other organ weights or body weights were observed. In treated animals, histopathological alterations in the liver were classified as toxic hepatitis. The incidence of liver lesions is summarized in Table 4-4. Lesions were spread diffusely throughout the parenchyma and included increased cell volume, increased mitochondria, cell necrosis, activation of Kupffer cells and increased amounts of periodic acid-Schiff (PAS)-positive substances, indicating cell damage. Liver lesions were observed in both treatment groups, but the severity of the damage was increased in the 150 µg/kg dose group. No effects on the kidneys were observed. A NOAEL could not be determined from this study. The lowest dose tested (50 µg/kg) represents a LOAEL based on liver lesions, increased relative liver weights and changes in liver enzymes (ALP and LDH).

4.2.1.2.2. Cyanobacterial Extracts

Davidson (1959) treated groups of three mice with drinking water or feed mixed with different extracts (crude, aqueous and filtered) from a *Nostoc rivulare* water bloom for 21 days. The only effects reported were ruffled hair and nervousness in the mice treated with crude extract. Kalbe (1984) observed no change in body weight among juvenile mice and rats given filtrates from two different water blooms of *M. aeruginosa* for 2-8 weeks.

Table 4-4. Incidence of Liver Lesions in Rats Ingesting MCLR in Drinking Water for 28 Days (Heinze, 1999)			
Liver Histopathology	Control n=10	50 µg/kg n=10	150 µg/kg n=10
Degenerative and Necrotic Hepatocytes with Hemorrhage			
Slight	0	4	0
Moderate	0	6	6
Intensive damage	0	0	3
Degenerative and Necrotic Hepatocytes without Hemorrhage			
Slight	0	0	0
Moderate	0	0	1
Intensive damage	0	0	0
PAS-positive Material			
Slight	1	5	0
Moderate	0	5	8
Intensive damage	0	0	2
Activation of Kupffer Cells			
Slight	0	0	0
Moderate	0	10	10
Intensive damage	0	0	0
Lipid Granules and Droplets			
Slight	0	4	0
Moderate	1	2	1
Intensive damage	0	0	0

1 Orr et al. (2003) exposed yearling beef cattle to live cultures of *M. aeruginosa* in
2 drinking water in an effort to evaluate whether microcystins accumulated in the liver or blood of
3 the animals. Four steers were treated for 28 days, and four untreated steers served as controls.
4 No effects on body weight, weight gain, food or water consumption or plasma enzymes (GGT,
5 glyceraldehydes dehydrogenase, AST or bilirubin) were observed. The authors reported no
6 detectable microcystins (by HPLC and gas chromatography-mass spectrometry) in either plasma
7 samples collected throughout treatment or in samples of the liver collected upon sacrifice at the
8 termination of exposure. Analysis of the liver samples by ELISA showed measurable
9 microcystins; however, the authors indicated that these results likely represented cross-reaction
10 with something besides microcystins, given the failure of the more sensitive HPLC analysis to
11 detect microcystins.

12
13 Schaeffer et al. (1999) reported the results of an unpublished 1984 study in which
14 *Aphanizomenon flos-aquae*, a cyanobacterium consumed as a food supplement, was fed to mice
15 in the diet. The authors used recent analysis of the *A. flos-aquae*, which often coexists with
16 *Microcystis* species, to estimate the microcystin content in the material consumed by the mice.
17 Analysis of the *A. flos-aquae* samples used in the feeding study showed an average concentration
18 of 20 ± 5 μg MCLR per gram of *A. flos-aquae*. The authors estimated the daily exposure of
19 MCLR in the exposed mice to range from 43.3 $\mu\text{g}/\text{kg}$ body weight per day to 333.3 $\mu\text{g}/\text{kg}\text{-day}$.
20 No clinical signs of toxicity were reported, and no effects on mortality, body weight, organ
21 weights or histology were observed in the treated mice. In addition, no effects on reproductive
22 parameters were reported in five treated mice (highest dose group) allowed to breed.

23 24 **4.2.1.3. Subchronic Studies**

25 26 **4.2.1.3.1. Purified Microcystins**

27
28 Fawell et al. (1999) conducted acute, subchronic and developmental toxicity studies of
29 MCLR given via gavage to Cr1:CD-1(ICR)BR (VAF plus) mice (age not specified). MCLR was
30 obtained commercially and administered in distilled water. The concentration in the dosing
31 solution was verified by HPLC with UV detection. Daily oral gavage doses of 0, 40, 200 or
32 1000 $\mu\text{g}/\text{kg}$ body weight were given to groups of 15 male and 15 female mice for 13 weeks.
33 Daily clinical observations were made, body weight and food consumption were recorded
34 weekly, and eye examinations were conducted prior to and at the conclusion of treatment.
35 Hematology and serum biochemistry were evaluated for seven mice of each treatment group
36 during the final week of treatment. Upon sacrifice after 13 weeks, gross examination of organs
37 and microscopic evaluation of tissues were performed. All tissues were examined in the control
38 and high dose animals, while only lungs, liver and kidney were examined in the other treated
39 animals.

40
41 Mean body weight gain was decreased approximately 15% in all treated male groups.
42 Mean terminal body weights differed from controls by about 7% in these groups. No dose-
43 related trends were evident for body weight gain or body weight in males. The only body weight
44 change observed in females was an increase in body weight gain in the 200 $\mu\text{g}/\text{kg}\text{-day}$ group.
45 Hematological evaluation showed slight (10-12%) decreases in mean hemoglobin concentration,
46 red blood cell count and packed cell volume among females receiving 1000 $\mu\text{g}/\text{kg}$ body weight.

1 ALP, ALT and AST levels were significantly elevated (2- to 6-fold higher) in the high-dose
 2 males, and ALP and ALT were likewise elevated (2- and 6-fold higher, respectively) in high
 3 dose females. ALT and AST were also elevated (2-fold) in the mid-dose males. GGT was
 4 slightly decreased in some treatment groups. Serum albumin and protein were reduced (13%) in
 5 males of the mid- and high-dose groups. Table 4-5 shows the blood chemistry results.
 6

Table 4-5. Blood Chemistry Results (Mean \pm Standard Deviation) for Mice Treated with MCLR for 13 Weeks (Fawell et al., 1999)						
MCLR Dose ($\mu\text{g}/\text{kg}\text{-day}$)	Alkaline Phosphatase (ALP) (U/l)	Alanine Aminotransferase (ALT) (U/l)	Aspartate Aminotransferase (AST) (U/l)	Gamma Glutamyl Transaminase (GGT) (U/l)	Total Protein (g%)	Albumin (g%)
Male						
Control	91 \pm 22.2	27 \pm 8.0	68 \pm 27.7	6 \pm 1.0	5.5 \pm 0.32	3.2 \pm 0.19
40	95 \pm 29.2	37 \pm 17.2	64 \pm 12.2	4 \pm 0.7	5.1 \pm 0.26	3.0 \pm 0.13
200	94 \pm 32.3	59 ^a \pm 28.0	121 ^b \pm 43.7	3 ^c \pm 0.4	4.8 ^b \pm 0.29	2.8 ^c \pm 0.13
1000	232 ^b \pm 103.2	159 ^c \pm 75	121 ^b \pm 26.3	4 \pm 0.4	4.8 ^c \pm 0.21	2.8 ^c \pm 0.11
Female						
Control	167 \pm 24.6	32 \pm 11.3	101 \pm 38.3	4 \pm 1.0	5.1 \pm 0.30	3.1 \pm 0.14
40	187 \pm 76.2	25 \pm 7.8	74 \pm 13.2	3 \pm 0.5	5.2 \pm 0.28	3.2 \pm 0.16
200	156 \pm 33.4	27 \pm 9.4	74 \pm 22.1	3 \pm 0.0	5.3 \pm 0.31	3.4 ^a \pm 0.14
1000	339 ^b \pm 123.7	220 ^b \pm 149.1	144 \pm 71.7	3 \pm 0.4	5.1 \pm 0.22	3.1 \pm 0.18

7 ^a Significantly different from controls at p<0.05
 8 ^b Significantly different from controls at p<0.01
 9 ^c Significantly different from controls at p<0.001

10
 11
 12 Histopathological changes in the liver were reported in the males and females of the mid-
 13 and high-dose groups, with a dose-related increase in incidence and intensity. The liver lesions
 14 were multifocal and observed throughout the liver lobule. Table 4-6 summarizes the incidence
 15 of liver histopathological changes. Sex-related differences in liver pathology were not apparent.
 16 No lesions were found in other tissues.

17
 18 The authors characterized the 40 $\mu\text{g}/\text{kg}$ body weight dose as a clear NOAEL and
 19 indicated that histopathological changes observed in the 200 $\mu\text{g}/\text{kg}$ dose group were not severe.
 20 The mid dose (200 $\mu\text{g}/\text{kg}\text{-day}$) represents a LOAEL based on the liver histopathological changes
 21 and statistically significant blood chemistry changes. The WHO (1999) used the NOAEL value
 22 of 40 $\mu\text{g}/\text{kg}\text{-day}$ from this study as the basis for its provisional Tolerable Daily Intake for
 23 MCLR.

Table 4-6. Incidence of Liver Histopathology in Mice Treated with MCLR for 13 Weeks (Fawell et al., 1999)				
Liver Histopathology	Control	40 µg/kg-day	200 µg/kg-day	1000 µg/kg-day
Male	n=15	n=15	n=15	n=15
Acute inflammation	0	1	0	0
Chronic inflammation	1	2	4	15
Congestion	3	0	0	1
Hepatocyte vacuolation	5	5	6	3
Hemosiderin deposits	0	0	0	15
Hepatocyte degeneration	0	0	1	14
Female	n=15	n=15	n=15	n=15
Autolysis	0	0	0	1
Chronic inflammation	5	8	8	14
Congestion	0	0	0	1
Hepatocyte vacuolation	5	5	11	8
Hemosiderin deposits	0	0	1	14
Hepatocyte degeneration	0	0	1	9

1 **4.2.1.3.2. Cyanobacterial Extracts**

2
3 Falconer et al. (1994) administered dried bloom materials in the drinking water of pigs
4 for 44 days. Plasma samples collected over 56 days showed dose- and time-dependent increases
5 in GGT, ALP and total bilirubin, as well as a decrease in plasma albumin. Dose-related changes
6 in the incidence and severity of histopathological changes of the liver were also observed,
7 including cytoplasmic degeneration, hepatic cord disruption, single cell necrosis, periacinar
8 degeneration, congestion and Kupffer cell proliferation.

9
10 **4.2.1.4. Chronic Studies**

11
12 **4.2.1.4.1. Purified Microcystins**

13
14 Ueno et al. (1999) evaluated the toxicity of MCLR in mice chronically exposed via
15 drinking water. Two hundred 6-week-old female BALB/c mice were randomly assigned to
16 receive either no treatment or drinking water (*ad libitum*) containing 20 µg/L MCLR for 7
17 days/week. The MCLR had been isolated from lyophilized algal bloom materials from Lake
18 Suwa in Nagano, Japan and had been characterized as 95% pure by HPLC. Twenty animals
19 from each group were sacrificed at 3, 6 and 12 months, while the remaining 40 animals were
20 retained for chronic toxicity evaluation and sacrificed at 18 months.

21
22 Weekly estimates of food and water consumption and daily observations for clinical
23 signs of toxicity, morbidity and mortality were recorded. Body weights were recorded weekly
24 for the first 2 months, biweekly up until the first year and monthly until sacrifice. At 3, 6, 12 and
25 18 months, blood was obtained from 20 animals from each group. Samples from 10 animals per
26 group were used for hematological evaluation, and samples from 10 additional animals were
27 used for serum biochemistry evaluation. At each scheduled sacrifice time, complete necropsy of
28 10 animals per group was conducted. Animals from the chronic toxicity group were necropsied
29 when moribund or dead (prior to scheduled sacrifice) or upon sacrifice at 18 months. Relative
30 and absolute organ weights (liver, kidneys, spleen, thymus, adrenal, ovaries, brain, heart and
31 uterus) were recorded for 9-10 animals per group at each scheduled sacrifice, and
32 histopathological evaluation of these and numerous other organs was conducted. Finally, three
33 to five animals per group were subjected to immunohistochemistry of the liver upon sacrifice to
34 determine the distribution of MCLR in the liver.

35
36 Based on weekly estimates of water consumption, the authors calculated the average total
37 intake of MCLR over 18 months to be 35.5 µg/mouse. No clinical signs of toxicity were
38 observed in either of the groups, and survival in the control and chronic treatment groups was
39 similar. No statistically significant differences in body weight, food consumption, water
40 consumption or hematology were observed; however, hematology data from the 3-month
41 sacrifice were lost due to sampling errors. Treated mice were reported to have a statistically
42 significant decrease in ALP at month 12 (13%) and a significant increase in cholesterol at month
43 18 (22%). Neither effect was considered by the authors to be toxicologically significant in the
44 absence of other treatment-related effects; however, the increase in cholesterol could be related
45 to the interaction of MCLR with bile acid transport in the liver.

1 A decrease in heart weight among treated mice sacrificed at month 12 was not considered
2 treatment-related in the absence of histopathological changes. Sporadic changes in absolute and
3 relative thymus weight in treated mice were observed, but histological and morphometric
4 evaluation of the thymus revealed no abnormalities attributable to exposure. In contrast to other
5 studies, the authors observed no difference in the incidence of liver histopathology between
6 treated and control mice. Immunohistochemistry of the liver revealed no accumulation of
7 MCLR. This study identifies a free-standing NOAEL of 2.7 µg/kg-day in female mice
8 (calculated assuming a 24.5 g body weight and an exposure duration of 548 days).
9

10 Ito et al. (1997b) evaluated the carcinogenicity and liver toxicity of chronic gavage doses
11 of MCLR. A water bloom from Lake Suwa, Japan served as the source of the MCLR, which
12 was isolated and dissolved in ethanol and saline for dosing. The purity of the isolated MCLR
13 was not specified. Twenty-two ICR mice (13 weeks old) were given either 80 or 100 gavage
14 doses of 80 µg/kg MCLR over the course of 28 weeks. Ten mice were sacrificed after 80
15 treatments, five were sacrificed after 100 treatments and seven were withdrawn from treatment
16 after 100 doses and sacrificed 2 months later. Three mice served as untreated control. Although
17 the authors did not specify the nature of the postmortem examinations, it appears that the liver
18 was the only organ examined. No change in mean liver weight was observed in the MCLR-
19 treated animals compared with controls. The authors reported “light” injuries to hepatocytes in
20 the vicinity of the central vein in 8 of 15 mice sacrificed immediately after treatment, and in 5 of
21 7 mice that were withdrawn from treatment for 2 months after exposure. No fibrous changes or
22 neoplastic nodules were observed. Analysis for MCLR and its metabolites by
23 immunohistochemistry failed to detect either the parent compound or any metabolites in the
24 livers of mice sacrificed immediately after treatment.
25

26 Thiel (1994) briefly reported the results of a chronic toxicity study of MCLA in vervet
27 monkeys. The report is a brief summary published in the proceedings of an international
28 workshop; a published version of this study was not located. According to the summary, three
29 monkeys were given increasing intragastric doses of MCLA for 47 weeks, while three other
30 monkeys served as controls. Doses increased from 20 µg/kg at the commencement of the study
31 to 80 µg/kg at study termination. The rate of dosage increase was not reported. Monthly
32 measures of body weight and clinical signs (respiration, pulse, temperature) showed no effect of
33 treatment. Blood was withdrawn monthly; hematological parameters examined were hematocrit,
34 bilirubin, hemoglobin, erythrocyte and leukocyte count and platelet count. No statistically
35 significant changes in hematological parameters were observed. No changes were observed in
36 serum biochemistry analyses (albumin, globulins and electrolytes, as well as AST, LDH, ALP,
37 ALT and GGT). Histopathological examination of the liver and other organs (not specified) did
38 not show any differences in treated monkeys when compared with controls.
39

40 **4.2.1.4.2. Cyanobacterial Extracts**

41

42 Falconer et al. (1988) conducted a chronic exposure experiment using an extract of a *M.*
43 *aeruginosa* water bloom in Swiss Albino mice. A concentration-dependent increase in mortality,
44 reduced body weight and a concentration-dependent increase in ALT levels were observed
45 among groups of mice receiving serial dilutions of the extract as their drinking water for a year.
46 There was some evidence that bronchopneumonia incidence was related to concentration of

1 extract. No significant differences in liver histopathology were observed, although the observed
2 liver changes were slightly more prevalent in treated animals. The data showed some indication
3 of sex differences in susceptibility; male mice showed effects (including mortality and enzyme
4 level increases) at lower concentrations than females.

6 **4.2.1.5. Initiation/Promotion Studies – Cyanobacterial Extracts**

8 Falconer (1991) and Falconer and Buckley (1989) reported evidence of skin tumor
9 promotion by extracts of *Microcystis*. *Microcystis* extract was administered via drinking water
10 to mice pretreated topically with an initiating dose of dimethylbenzanthracene (DMBA). The
11 total skin tumor weight in mice drinking *Microcystis* extract was significantly higher than that of
12 mice receiving only water after initiation. The number of tumors per mouse was only slightly
13 increased in mice receiving extract; the weight difference was largely due to the weight of
14 individual tumors (Falconer and Buckley, 1989). The total weight of tumors in this group also
15 exceeded that of mice pretreated with DMBA and subsequently treated with topical croton oil,
16 with or without concurrent consumption of *Microcystis* extract. Details of the tumor incidence
17 in the mice were not provided by the authors. When *Microcystis* extract was provided in the
18 drinking water of mice pretreated with two oral doses of N-methyl-N-nitroso-urea, no evidence
19 of promotion of lymphoid or duodenal adenomas and adenocarcinomas was observed. No
20 primary liver tumors were observed (Falconer and Humpage, 1996).

22 Humpage et al. (2000) administered *M. aeruginosa* extract in drinking water to mice
23 pretreated with azoxymethane. Mice were sacrificed at intervals up to 31 weeks after
24 commencement of extract exposure. Enzyme analysis showed a concentration-dependent
25 increase in ALP and decrease in albumin in mice treated with extract. The authors observed a
26 concentration-dependent increase in the mean area of aberrant crypt foci of the colon, although
27 the number of foci per colon and the number of crypts per focus were not different among the
28 groups. The authors proposed that increased cell proliferation caused the increase in size of foci.
29 Histological examination of the livers of mice treated with extract showed more leukocyte
30 infiltration in animals treated with the highest concentration of extract compared to those
31 receiving a low concentration.

33 **4.2.2. Inhalation Exposure**

35 All available studies of inhalation exposure used MCLR, and there were no inhalation
36 studies using cyanobacterial extracts.

38 **4.2.2.1. Acute Studies**

40 Fitzgeorge et al. (1994) conducted experiments in CBA/BALBc mice with MCLR
41 administered via intranasal instillation and inhalation. This study is poorly described, giving few
42 details of study design and findings. A single experiment with mice (number unspecified)
43 inhaling a fine aerosol (particle size 3-5 μm) with 50 $\mu\text{g/L}$ MCLR for an unspecified duration of
44 time did not result in any deaths, clinical signs of toxicity or histopathological changes. The
45 nature of the examinations was not reported. The authors estimated the delivered dose of MCLR
46 to be very small (about 0.0005 $\mu\text{g/kg}$).

1
2 A brief abstract describes a study of acute MCLR exposure via inhalation (Creasia,
3 1990). Details of study design and results were not reported. The LC₅₀ for mice exposed to a
4 MCLR aerosol (nose only) for 10 minutes was reported to be 18 µg/L (mg/m³) air with a 95%
5 confidence interval of 15.0-22.0 µg/L (mg/m³). Based on studies of lung deposition after
6 exposure of mice to the LC₅₀ concentration, an LD₅₀ of 43 µg/kg body weight was estimated.
7 The authors reported that histological lesions in mice killed by aerosol exposure were similar to
8 those in mice dosed intravenously with MCLR.

9 10 **4.2.2.2. Short-Term Studies**

11
12 Benson et al. (2005) exposed groups of six male BALB/c mice to monodisperse
13 submicron aerosols of MCLR via nose-only inhalation for 30, 60 or 120 minutes each day for 7
14 consecutive days. The concentration of MCLR was 260-265 µg/m³ and doses deposited in the
15 respiratory tract were estimated to be 3, 6 and 12.5 µg/kg body weight. Control mice were
16 exposed to the aerosolized vehicle (20% ethanol in water). Clinical signs were recorded daily.
17 Sacrifice by injection of Euthasol occurred the day after the last exposure. Blood was collected
18 by cardiac puncture and serum was subjected to clinical chemistry analysis (blood urea nitrogen
19 [BUN], creatinine, total bilirubin, ALP, AST, ALT, total protein, albumin and globulin). Organ
20 weights (adrenals, lung, liver, kidney, spleen and thymus) were recorded and histopathological
21 examinations of the liver, respiratory tract tissues, adrenals, kidney, spleen, thymus,
22 gastrointestinal tract and testes were conducted.

23
24 No clinical signs or effects on body weight or organ weights were observed following
25 exposure to MCLR aerosol. Histopathological examination revealed treatment-related lesions in
26 the nasal cavity only. Lesions were not observed in the liver, other organs or in other parts of the
27 respiratory tract. As indicated in Table 4-7, the incidence and severity of nasal lesions increased
28 with length of the daily exposure period. The lesions consisted primarily of necrosis or
29 inflammation of respiratory epithelial cells and degeneration, necrosis and atrophy of olfactory
30 epithelial cells. Necrotic lesions of olfactory epithelial cells were generally larger patches, while
31 few cells were involved in respiratory epithelial cell necrosis.

32 33 **4.2.2.3. Subchronic and Chronic Studies**

34
35 No subchronic or chronic animal studies evaluating the inhalation route of exposure were
36 identified in the materials reviewed for this document.

1

Table 4-7. Incidence and Severity of Nasal Cavity Lesions in Mice Inhaling Microcystin Aerosol for 7 Days (Benson et al., 2005)					
Lesion	Severity	Control	Daily Exposure Period (minutes)		
			30	60	120
Respiratory Epithelial Necrosis					
Turbinate 1	Minimal	0/6	1/6	0/6	0/6
	Mild	0/6	0/6	6/6	0/6
	Moderate	0/6	0/6	0/6	2/6
Turbinate 2	Mild	0/6	0/6	6/6	3/6
	Moderate	0/6	0/6	0/6	3/6
Respiratory Epithelial Inflammation					
Turbinate 1	Mild	0/6	1/6	0/6	1/6
Turbinate 2	Mild	0/6	1/6	0/6	0/6
Olfactory Epithelial Degeneration, Necrosis and Atrophy					
Turbinate 1	Mild	0/6	0/6	0/6	4/6
	Moderate	0/6	0/6	0/6	1/6
Turbinate 2	Mild	0/6	0/6	6/6	0/6
	Moderate	0/6	0/6	0/6	6/6
Turbinate 3	Mild	0/6	0/6	6/6	0/6
	Moderate	0/6	0/6	0/6	4/6
	Marked	0/6	0/6	0/6	2/6

2

1 **4.3. REPRODUCTIVE/DEVELOPMENTAL STUDIES – ORAL AND INHALATION**

2
3 **4.3.1. Oral Exposure**

4
5 **4.3.1.1. Purified Microcystins**

6
7 Fawell et al. (1999) conducted acute, subchronic and developmental toxicity studies of
8 MCLR given via gavage to Cr1:CD-1(ICR)BR (VAF plus) mice (age not specified). MCLR (0,
9 200, 600 or 2000 µg/kg) was administered to groups of 26 mice on days 6-15 of pregnancy. The
10 mice were sacrificed on day 18 and necropsied. Weight and sex of the fetuses were recorded,
11 and external, visceral and skeletal examinations performed. Seven of 26 dams receiving 2000
12 µg/kg died and 2 others were sacrificed prematurely due to morbidity. Altered liver appearance
13 was noted during gross examination of these animals. Surviving dams in this group did not
14 display any clinical signs of toxicity or differences in body weight or food consumption. The
15 authors reported that fetal body weight was significantly lower than controls and there was
16 delayed skeletal ossification at the highest dose; however, the data were not presented in the
17 publication. These effects may be associated with maternal toxicity. According to the authors,
18 no effects on resorption or litter size were observed, nor were there increases in external, visceral
19 or skeletal abnormalities in fetuses of any treatment group. Data on reproductive and
20 developmental parameters were not provided. Based on the authors' description of the findings,
21 it seems evident that the 600 µg/kg-day dose represents a NOAEL for both maternal and
22 developmental toxicity in mice. Further, the deaths of seven dams receiving 2000 µg/kg-day
23 clearly identify this dose as a maternal FEL. However, in the absence of the data showing
24 incidences of reproductive and developmental parameters, it is difficult to determine
25 conclusively whether the high dose also represents a LOAEL for developmental toxicity.

26
27 **4.3.1.2. Cyanobacterial Extracts**

28
29 Falconer et al. (1988) conducted a limited study of reproductive effects using an extract
30 from an *M. aeruginosa* bloom sample. Eight female mice that had been given 1/4th dilution of
31 the extract as drinking water (estimated to contain 14 µg/mL of unspecified microcystin toxin)
32 since weaning were mated with similarly treated males. No difference in number of litters, pups
33 per litter, sex ratio or litter weight were observed. Reduced brain size was reported to occur in 7
34 of 73 pups from treated parents and in none of 67 pups from controls. The litter distribution of
35 the affected pups was not reported by the authors. One of the small brains was examined
36 histologically, revealing extensive damage to the hippocampus.

37
38 **4.3.2. Inhalation Exposure**

39
40 No reports of developmental or reproductive toxicity by the inhalation route of exposure
41 were identified in the materials reviewed for this document.

4.4. OTHER STUDIES

4.4.1. Neurological Effects

Neurological effects have been reported to occur with acute lethal doses of MCLR. Clinical signs such as hypoactivity and piloerection have been observed in mice and rats exposed to lethal doses of MCLR by oral or i.p. administration (Hooser et al., 1989a; Fawell et al., 1999). These signs were observed in animals that subsequently succumbed to the lethal exposures. No reports of neurotoxicity by the inhalation route of exposure were identified in the materials reviewed for this document.

Maidana et al. (2006) reported that long-term memory retrieval (as assessed by step-down inhibitory avoidance task) was impaired in rats receiving intrahippocampal injection of 0.01 or 20 µg/L of a microcystin extract from *Microcystis* strain RST 9501. Exposure at 0.01 µg/L also impaired spatial learning (as assessed by performance on the radial arm maze), but exposure at the higher concentration did not. The authors indicated that the primary microcystin produced by this strain is [D-Leu¹] MCLR, a variant of MCLR. Oxidative damage, as measured by lipid peroxides and DNA damage, was increased in tissue homogenates of the hippocampus from treated animals.

Foxall and Sasner (1981) conducted limited *in vitro* studies on the neurological effects of a crude extract from a bloom of *M. aeruginosa*. Little detail on experiment design was reported; frog and mouse heart, frog sartorius muscle, frog sciatic nerve and mouse ileum were used in the experiments. The authors reported that the extract had no effect on electrical or mechanical events.

4.4.2. Immunological Effects

Evaluation of the immunotoxicity of microcystins *in vivo* was reported in only two studies, both of which used a cyanobacterial cell extract rather than purified microcystins. Shirai et al. (1986) reported that mice, immunized i.p. with either live or sonicated cells from a *Microcystis* water bloom, developed delayed-type hypersensitivity when challenged 2 weeks later with a subcutaneous injection of sonicated cells. Delayed hypersensitivity was assessed by footpad swelling, which was increased approximately 2-fold over controls at the highest doses of cells. It is not clear whether an endotoxin in the bloom sample was responsible for the development of hypersensitivity, or whether the antigenic epitope existed on other components of the sample.

Shen et al. (2003) assessed the effect of cyanobacterial cell extract on immune function. Mice received 14 daily i.p. injections containing a cell-free extract from a water bloom dominated by *M. aeruginosa*. Doses were reported as 16, 32 and 64 mg lyophilized cells/kg body weight or as 4.97, 9.94 and 19.88 µg/kg microcystin equivalents. HPLC analysis indicated that the microcystin content of the extract was 79.53%, although specific congeners in the extract were not reported. The following immunotoxicity endpoints were examined: phagocytosis, lymphocyte proliferation and antibody production in response to sheep red blood cells. Phagocytic capacity was reduced at the two highest doses, but percentage phagocytosis was not

1 affected. B-lymphocyte proliferation was significantly reduced (33% compared to controls at 32
2 mg/kg), while changes in T-lymphocyte proliferation were mild, and deemed biologically
3 insignificant. Finally, humoral immune response, as measured by antibody-forming plaques,
4 was reduced in a dose-dependent manner in treated mice.

5
6 Chen et al. (2004, 2005) evaluated the role of nitric oxide generation and macrophage
7 related cytokines on the reduced phagocytic capacity induced by pure MCLR. A dose-dependent
8 inhibition of nitric oxide production was observed in activated macrophages, and a repressive
9 effect was seen in cytokine formation at the mRNA level (e.g., IL-1 β , TNF- α , GM-CSF, IFN- γ)
10 after either 24 hour (Chen et al., 2004) or 6 hour treatment (Chen et al., 2005). Hernandez et al.
11 (2000) indicated that MCLR enhanced the early spontaneous polymorphonuclear leukocyte
12 (PMN) adherence (not late or PMN stimulated early or late) at low concentrations, suggesting
13 that microcystins may affect the immune system.

14 15 **4.4.3. Hematological Effects**

16
17 Several studies have noted thrombocytopenia in laboratory animals treated with
18 microcystins or bloom extracts purportedly containing microcystins (Slatkin et al., 1983; Adams
19 et al., 1985, 1988; Takahashi et al., 1995). Early investigations explored whether microcystins
20 had a direct effect on platelets, and whether platelets might be responsible for pulmonary
21 thrombi (Slatkin et al., 1983; Jones, 1984). However, *in vitro* studies have shown that MCLR
22 neither induces nor impedes the aggregation of platelets (Adams et al., 1985). Pulmonary
23 thrombi apparently consist of necrotic hepatocytes circulating in the blood (see Section
24 4.4.5.2.1). More recent information supports the hypothesis that hematological effects observed
25 in animals acutely exposed to microcystins are secondary effects of liver hemorrhage (Takahashi
26 et al., 1995).

27
28 Takahashi et al. (1995) reported dose-dependent reductions in erythrocyte count,
29 leukocyte count, hemoglobin concentration, hematocrit and coagulation parameters 1 hour after
30 rats were exposed to MCLR (100 and 200 $\mu\text{g}/\text{kg}$ i.p). None of these parameters changed until
31 after massive liver hemorrhage commenced. Further, hematological changes such as increased
32 prothrombin time and fibrin deposition in the renal glomeruli were not observed. The authors
33 concluded that the depletion of blood components occurred as a result of liver hemorrhage.

34
35 Interestingly, mild thrombocytopenia was reported in 1-week-old mice treated with a
36 large i.p. dose of MCLR, even though none of these mice died (Adams et al., 1985).

37 38 **4.4.4. Effects by Dermal Exposure**

39
40 No animal studies evaluating the effects in animals of dermal exposure to purified
41 microcystins were identified in the materials reviewed for this document; only one study using a
42 cyanobacterial extract was located. Davidson (1959) applied a crude extract from a bloom of *N.*
43 *rivulare* to the shaved backs of three mice every 2 hours for a total of 12 hours. Heavy scales
44 were observed on the treated areas; the scales were gone within 4 days and hair regrowth
45 occurred in the following weeks. Dermal application of an aqueous extract or aqueous filtrate
46 did not result in any effects.

4.4.5. Effects by Parenteral Exposure

4.4.5.1. Effects in Humans after Parenteral Exposure

In February of 1996, unfinished water from a reservoir with a cyanobacterial bloom was used at a hemodialysis center in Caruaru Brazil, leading to numerous deaths among the patients treated with the water (Jochimsen et al., 1998; Pouria et al., 1998; Carmichael et al., 2001; Azevedo et al., 2002). The first report of this incident was published by Jochimsen et al. (1998), and Pouria et al. (1998) published follow-up information on the status of the patients. Azevedo et al. (2002) provided the most up-to-date information on patient status after the incident. Using water samples from the reservoir, serum and tissue samples from patients, and a variety of methods, each publication identified microcystins as the primary causative factor in the deaths and disease among patients. Carmichael et al. (2001) used analytical results from tissue samples with dialysate volumes to estimate the concentration of microcystins in the water to which patients were exposed, as a direct measure of exposure concentration was not available.

Of the 131 patients undergoing dialysis during the 4 days when unfinished water was supplied to the center, 116 experienced symptoms, including visual disturbances, nausea, vomiting and muscle weakness; 100 developed acute liver failure; and 52 had died as of December, 1996 (Azevedo et al., 2002). The acute presentation of the disease included malaise, weakness, dizziness, vertigo, visual disturbances and blindness, nausea, vomiting and abdominal pain. Clinical signs included hepatomegaly and jaundice. Biochemistry showed high concentrations of bilirubin and ALP, moderate increases in AST and ALT, hypoglycemia, hypoalbuminemia and severe hypertriglyceridemia. Major hematology findings were slightly low platelet count (within normal range) and reduced platelet aggregation, as well as red blood cell abnormalities (anisocytosis, acanthocytosis and schistocytosis) in some patients. Liver biopsy and autopsy samples showed severe, diffuse individual hepatocyte necrosis throughout the liver lobule, with cell-plate disruption and apoptosis; however, no intrahepatic hemorrhage was observed. Leukocyte infiltration and canalicular cholestasis were also observed.

Microcystins were implicated as the major contributing factor to patient death and morbidity (Jochimsen et al., 1998; Pouria et al., 1998; Carmichael et al., 2001; Azevedo et al., 2002). Evidence for the role of microcystins was derived from a variety of sources discussed by Azevedo et al. (2002) and Carmichael et al. (2001). Quantitative analysis of the phytoplankton in the reservoir from which the water was supplied to the dialysis center showed that cyanobacteria represented about 99% of the phytoplankton in the reservoir, although the species present during the outbreak were not identified. Analyses of filter systems in the dialysis center showed microcystins; the carbon filter also had cylindrospermopsin. Analyses of patient sera and liver samples provided additional evidence. Patient sera were analyzed for other potential toxins (chlorines, chloramines, trace elements, heavy metals, agricultural compounds and pesticides), but none were found (Pouria et al., 1998). Both serum and liver analyses for microcystins revealed MCYR, MCLR and MCAR. None of the biological samples contained cylindrospermopsin. Finally, physiological effects observed in the patients closely mirrored effects observed in laboratory animals exposed to microcystins. Specifically, the liver damage observed in patients was similar to that observed in mice (Jochimsen et al., 1998). Using

1 microcystin concentrations measured in patients' livers and typical dialysis volume, Carmichael
2 et al. (2001) estimated the average concentration of microcystins in the dialysate to be 19.5 µg
3 microcystins/L.

4
5 Soares et al. (2006) reported another incident involving human exposure to microcystins
6 via dialysate. In November, 2001, 44 patients of a renal dialysis center in Rio de Janeiro, Brazil,
7 were assumed to be exposed to microcystins after a bloom of *Microcystis and Anabaena*
8 occurred in the reservoir supplying water to the center. The concentration of microcystins in the
9 drinking water was 0.4 µg/L by ELISA. In the water used at the center (after treatment by
10 activated carbon column), the concentration was 0.32 µg/L. Of the 44 patients exposed, 90%
11 had serum microcystin concentrations above the limit of detection (0.16 ng/mL). Twelve of the
12 patients were selected for 2-month follow-up monitoring of serum levels. Over the follow-up
13 period, serum concentrations ranged from <0.16 to 0.96 ng/mL. The highest serum
14 concentrations occurred 1 month after initial exposure. The authors did not provide any
15 information on health effects from the exposure.

16
17 Pilotto et al. (2004) reported that about 20% of 114 volunteers subjected to skin-patch
18 testing using cell suspensions and extracts of various cyanobacterial cultures (including two
19 strains of *M. aeruginosa*) experienced a mild dermal skin reaction (erythema). The reaction did
20 not vary with cyanobacterial species.

21 22 **4.4.5.2. Effects in Animals after Parenteral Exposure**

23 24 **4.4.5.2.1. Acute Studies with Parenteral Exposure**

25
26 The acute toxicity of microcystins administered i.p. has been extensively studied. The
27 primary target organ for acute microcystin toxicity is the liver; effects have also been observed
28 in the kidney, lungs and gastrointestinal tract. Some of the effects observed in organs other than
29 the liver are believed to be secondary to liver effects.

30
31 A number of references report LD₅₀ estimates for injected MCLR (Slatkin et al., 1983;
32 LeClaire et al., 1988; Lovell et al., 1989a; Hermansky et al., 1990c; Miura et al., 1991; Stotts et
33 al., 1993; Gupta et al., 2003); two report LD₅₀ values for MCRR and MCYR (Stotts et al., 1993;
34 Gupta et al., 2003). Table 4-8 summarizes the available estimates of microcystin LD₅₀ values
35 after injection exposure to purified microcystins.

36
37 As the table shows, the LD₅₀ for MCLR in mice ranges between 30 and 60 µg/kg. The
38 acute lethality of MCYR is slightly lower than MCLR; LD₅₀ estimates for MCYR were 111 and
39 171 µg/kg (Gupta et al., 2003 and Stotts et al., 1993, respectively). The LD₅₀ for MCRR is
40 higher still, with LD₅₀ values estimated as 235 µg/kg (Gupta et al., 2003) and 650 µg/kg (Stotts
41 et al., 1993). In rats, the LD₅₀ for MCLR was similar to that in mice. There is some evidence
42 that

Table 4-8. LD₅₀ Values of Purified Microcystin Congeners by Intraperitoneal Administration

Sex/Strain	Purity	Vehicle	LD ₅₀ (95% CI)	Duration	Comments	Reference
MCLR-Mice						
Male/Balb/C	75%	NS*	32.6 (±1.2) µg/kg	24 hours	Impurities tested for toxicity at high doses with negative results	Lovell et al., 1989a
Female/Swiss albino Hale-Stoner	NS	NS	60 µg/kg	NS		Slatkin et al., 1983
Female/NIH non-Swiss	>95%	Distilled water	61 µg/kg	NS		Hermansky et al., 1990c
Male/Swiss Webster	NA	0.09% saline	<100 µg/kg	NS	LD ₅₀ by up and down method	Stotts et al., 1993
Not specified/CBA/Balbc	NS	NS	250 µg/kg	NS		Fitzgeorge et al., 1994
Female/Swiss albino	NS	NS	43.0 µg/kg (37.5-49.4)	24 hours	LD ₅₀ by up and down method	Gupta et al., 2003
Male/Swiss albino	NS	Methanol and PBS	43 µg/kg (37.5-49.4)	24 hours	LD ₅₀ by up and down method	Rao et al., 2005

1

Table 4-8 cont.						
Sex/Strain	Purity	Vehicle	LD ₅₀ (95% CI)	Duration	Comments	Reference
MCLR-Rats						
Male/Fischer	NS	NS	50 µg/kg (36-68)	72 hours	Intraarterial injection. Abstract only	LeClaire et al., 1988
Male/Fischer 344	>95%	saline	Fed rats: 122 µg/kg (106-141) Fasted rats: 72 µg/kg (60-83)	25 hours		Miura et al., 1991
MCRR-Mice						
Male/Swiss Webster	NS	0.09% saline	~650 µg/kg	NS	LD ₅₀ by up and down method	Stotts et al., 1993
Female/Swiss albino	NS	NS	235.40 µg/kg (202.3-272.8)	24 hours	LD ₅₀ by up and down method	Gupta et al., 2003
MCYR-Mice						
Male/Swiss Webster	NS	0.09% saline	~171 µg/kg	NS	LD ₅₀ by up and down method	Stotts et al., 1993
Female/Swiss albino	NS	NS	110.6 µg/kg (81.7-149.6)	24 hours	LD ₅₀ by up and down method	Gupta et al., 2003

2 * Not specified.

3

1 the LD₅₀ for MCLR was higher in fed rats (122 µg/kg) than in fasted rats (72 µg/kg) (Miura et
2 al., 1991).

3
4 In general, death occurs quickly in animals receiving a lethal injected dose of MCLR.
5 Mice typically die within 1-2 hours of a lethal i.p. dose of MCLR (Adams et al., 1988; Gupta et
6 al., 2003). Mean time to death for mice exposed to a lethal dose of MCLR or MCYR is also
7 within 2 hours (Gupta et al., 2003). In mice, an i.p. dose of 100 µg/kg MCLR typically results in
8 100% mortality (Adams et al., 1988; Hooser et al., 1989a; Hermansky et al., 1990c). Hooser et
9 al. (1989a) compared the effects of MCLR in mice and rats and observed significant differences
10 in survival time; both male and female rats given less than 240 µg/kg survived between 20 and
11 32 hours; rats receiving higher doses died within 8 hours. In contrast, female mice receiving 100
12 µg/kg died within 1.5 hours (Hooser et al., 1989a). Miura et al. (1991) administered MCLR i.p.
13 to fed and fasted rats and reported a protective effect of feeding. Median time to death for rats
14 given 100 µg/kg MCLR was 32 hours in fed rats and less than 2 hours in fasted rats.

15
16 The sequence of events leading to death in laboratory rodents has been extensively
17 studied (Slatkin et al., 1983; Adams et al., 1988; Hooser et al., 1989a,b, 1990; Takahashi et al.,
18 1995). In general, similar effects have been reported in both rats and mice, but effects occur
19 later in rats than in mice (Hooser et al., 1989a). Gross and microscopic changes in swine treated
20 intravenously are similar to those observed in rodents (Lovell et al., 1989b).

21
22 Ten minutes after mice received a lethal i.p. dose, clinical signs, enzyme changes and
23 liver weight changes were generally absent (Slatkin et al., 1983; Adams et al., 1988). Beginning
24 approximately 20 minutes after dosing in mice, liver weights increased as the livers became
25 suffused with blood (Slatkin et al., 1983; Adams et al., 1988; Hermansky et al., 1990c). Adams
26 et al. (1988) estimated that as much as 44% of the total blood volume was located in the liver 30
27 minutes after a lethal dose of MCLR in mice. Similarly, in swine treated intravenously, blood
28 volume lost to the liver was estimated to be about 40% (Beasley et al., 2000). At 30 minutes,
29 there were isolated areas of hepatic necrosis, and at 45 minutes, there was marked liver
30 congestion and widespread hepatic necrosis (Hermansky et al., 1990c). Pulmonary thrombi
31 observed at the time of death were generally believed to result from necrotic hepatocytes (Adams
32 et al., 1988). Other pulmonary effects observed at 30-60 minutes after exposure included
33 congestion, bronchial epithelial hyperplasia and necrosis, edema and hemorrhage (Gupta et al.,
34 2003). In general, hepatic enzyme levels show little or no change until 20-30 minutes after
35 dosing, when hepatic hemorrhage is beginning (Adams et al., 1988; Hooser et al., 1989a;
36 Hermansky et al., 1990c; Takahashi et al., 1995).

37
38 At 60 minutes, there was severe disassociation of hepatocytes, hepatocyte loss and
39 hemorrhage, with disintegration of liver architecture (Hooser et al., 1991b; Guzman et al., 2003).
40 Thrombocytopenia coincided with hepatic hemorrhage as blood accumulated in the liver (Slatkin
41 et al., 1983; Adams et al., 1988; Takahashi et al., 1995).

42
43 The available studies demonstrate a very steep dose-response curve for MCLR acute
44 toxicity. In female NIH non-Swiss outbred mice, the only change observed after i.p.
45 administration of 50 µg MCLR/kg was Kupffer-cell hyperplasia, while all mice receiving 100

1 $\mu\text{g}/\text{kg}$ died (Hermansky et al., 1990c). Hooser et al. (1989a) reported that male rats dosed i.p.
2 with 20, 40 or 80 $\mu\text{g}/\text{kg}$ and females dosed with 40 $\mu\text{g}/\text{kg}$ MCLR showed no clinical signs of
3 toxicity nor gross or microscopic lesions in the liver or other organs examined, while 120 $\mu\text{g}/\text{kg}$
4 was lethal in some rats and 160 $\mu\text{g}/\text{kg}$ was consistently lethal. Lovell et al. (1989a) administered
5 a sublethal i.p. dose (about 25 $\mu\text{g}/\text{kg}$) of MCLR to male mice and reported a significant increase
6 in liver weight (8.7%), but no clinical signs or hepatic lesions.

7
8 Induction of apoptosis is believed to be one mechanism for liver damage in acutely
9 exposed animals (Hooser, 2000). Yoshida et al. (1998) treated mice with single sublethal doses
10 of MCLR (20, 30 and 45 $\mu\text{g}/\text{kg}$ i.p.) and observed them for 7 days. Multiple apoptotic cells were
11 noted in the centrilobular regions of the livers of these mice. Hooser (2000) reported widespread
12 apoptosis in most hepatocytes after rats were treated with a single i.p. dose of 500 $\mu\text{g}/\text{kg}$ MCLR.
13 Yoshida et al. (1998) reported the occurrence of two types of apoptotic hepatocytes in mice
14 given sublethal doses of MCLR; one that revealed MCLR by immunohistochemistry and one
15 that did not. The authors suggested that the latter type may contain MCLR that had lost the
16 antigenic epitope, or may have become apoptotic via other means, including ischemia or
17 hypoxia. Apoptosis induction is not restricted to the liver; Botha et al. (2004) reported
18 significantly increased apoptotic indices in the gastrointestinal tract of BALB/c mice as early as
19 8 hours after a single 75% LD_{50} dose (specific dose not reported) of MCLR i.p. The authors
20 observed immunohistochemical evidence of MCLR in the lamina propria and postulated that
21 MCLR was involved in the induction of apoptosis. The role of apoptosis in microcystin-induced
22 liver toxicity is further discussed in Section 4.4.7.5 (Mechanistic Studies).

23
24 Effects outside the liver have been reported after acute injection exposure to MCLR. It
25 remains unclear whether such effects may be indirectly related to hepatotoxicity. Some studies
26 have shown increases in kidney weight (Hooser et al., 1989a; Lovell et al., 1989a) or other signs
27 of kidney damage (LeClaire et al., 1988; Zhang et al., 2002) in rats and mice after injection of
28 sublethal doses of MCLR. Lovell et al. (1989a) observed dilation of cortical tubules and
29 eosinophilic granular or fibrillar material in the cortical tubules after MCLR administration. In
30 addition to reports of kidney effects, there are scattered reports of cardiac effects, including
31 degeneration and necrosis of myocardial cells after i.p. or i.v. exposure to MCLR (LeClaire et
32 al., 1988; Zhang et al., 2002). LeClaire et al. (1995) reported that mechanisms such as reflex
33 tachycardia and increased cardiac output, which typically would allow the heart to compensate
34 for the acute hypotension caused by blood pooling in the liver, were impaired in rats given a
35 lethal dose of MCLR. The authors suggested a cardiogenic component to the toxicity of MCLR.
36 Oishi and Watanabe (1986) observed tachycardia in mice 20 minutes after i.p. injection of
37 lyophilized cells from *M. aeruginosa*. Finally, a few studies suggest that acute i.p. exposure to
38 MCLR can affect lipid peroxidation levels in both the intestinal mucosa (Moreno et al., 2003)
39 and liver (Towner et al., 2002) of rats.

40
41 Two injection studies support the finding by Ito et al. (1997a) by oral exposure that
42 young animals are not as susceptible to the acute toxic effects of microcystins as older animals.
43 Adams et al. (1985) administered lethal doses of MCLR via i.p. injection to 1-, 2- and 3-week-
44 old mice. None of the 1- or 2-week-old mice died, whereas 23 of 31 mice aged 3 weeks died
45 within 2 hours. The 3-week-old mice that survived were rechallenged with MCLR a week later,

1 and all died. Rao et al. (2005) reported that time to death decreased with age in mice treated i.p.
2 with MCLR.

3
4 Guzman and Solter (2002) evaluated the acute effects of repeated injection of MCLR.
5 Male BALB/c mice were injected with 45 µg/kg MCLR daily for 2, 4 or 7 days. Livers of mice
6 receiving four or seven daily doses were pale and moderately enlarged, with an accentuated
7 reticular pattern; absolute and relative liver weights were statistically increased over controls.
8 Histopathology revealed apoptotic hepatocytes in the centrilobular region of mice receiving two
9 doses, and marked hepatocytomegaly, disorganized hepatocytes, multinucleated hepatocytes and
10 cytoplasmic vacuolation in mice receiving four or seven doses. Guzman et al. (2003) reported
11 immunostaining of some centrilobular hepatocytes following two doses of 45 µg/kg; however,
12 protein phosphatase activity was not affected.

13
14 Acute toxicity of bloom extracts is highly variable, likely reflecting the variable toxin
15 content of algal blooms. LD₅₀ values estimated for various bloom extracts range from 14 mg dry
16 weight cells/kg body weight to 1924 mg dry weight cells/kg (see Table 4-9). These studies used
17 a variety of test materials, including lyophilized cyanobacterial cells, cell-free lysates, etc.

18
19 Early studies of purified but unidentified toxins from *M. aeruginosa* show essentially the
20 same pattern of acute hepatotoxicity and time to death after i.p. injection of lethal doses in rats
21 and mice that is seen with purified MCLR (Elleman et al., 1978; Foxall and Sasner, 1981;
22 Falconer et al., 1981; Theiss et al., 1984, 1985, 1988; Jones and Carmichael, 1984; Siegelman et
23 al., 1984; Dabholkar and Carmichael, 1987). In addition, many studies of cyanobacterial bloom
24 extracts (primarily *M. aeruginosa*) administered via i.p. injection to laboratory rodents show
25 similar effects (Ashworth and Mason, 1945; Ohtake et al., 1989; Rao et al., 1994; Porfino et al.,
26 1999; Sabour et al., 2002).

27
28 Jackson et al. (1984) also observed liver pathology in sheep exposed intraruminally to a
29 bloom sample identified as *M. aeruginosa*. Time to death ranged from 18 to 48 hours post
30 exposure. In animals that succumbed, the livers were hemorrhagic and necrotic.

31
32 Effects on organs other than the liver have been reported in some studies of bloom
33 extracts. Bhattacharya et al. (1997) observed changes suggesting distal tubular dysfunction
34 (proteinuria, as well as decreases in kidney LDH and AST levels) in rats injected with LD₅₀
35 doses of *M. aeruginosa* extract, but no histopathological changes. Dose-dependent increases in
36 urea and creatinine and decreases in total protein and albumin were also observed. Picanco et al.
37 (2004) reported that i.p. injection of an extract from a culture of *M. aeruginosa* (strain NPJB-1)
38 into either young or mature mice resulted in increased alveolar collapse and increased number of
39 polymorphonuclear and mononuclear cell infiltrations when compared with saline-treated
40 controls. There was a very low concentration of contaminating bacteria in the culture (i.e., the
41 culture was not axenic) used in this study, and the authors acknowledged that materials in the
42 extract other than microcystins may have contributed to the pulmonary effects.

Table 4-9. Intraperitoneal LD₅₀ Values for Bloom Extracts

Microcystin Source	Species	Sex/Strain	Vehicle	LD ₅₀ (95% CI)	Duration	Comments	Reference
Solution of lyophilized cells of <i>M. aeruginosa</i>	Rats	Male/Jcl: Wistar	Saline	67.4 mg dry weight cells/kg, 2 hours	2 hours		Oishi and Watanabe, 1986
Solution of lyophilized cells of <i>M. aeruginosa</i>	Mice	Male/Jcl:ICR	Saline	14.4 mg dry weight cells/kg, 1 hour	1 hour	None of mice surviving past 1 hour died within 1 week	Oishi and Watanabe, 1986
Solution of lyophilized cells of <i>M. ichthyoblabe</i>	Mice	Male/Swiss	0.9% saline	502-1924 mg cell dry weight/ kg body weight	Not specified	Microcystin content ranged from 0.73-0.78 µg/g	Sabour et al., 2002
Cell-free lysate of <i>M. aeruginosa</i>	Mice	Male/Swiss	0.9% saline	431 mg/kg dry weight, 24 hours	24 hours	LD ₅₀ by up and down method	Rao et al., 1994
Solution of lyophilized bloom sample	Mice	Not specified/ Balb/C	Saline	25-250 mg dry weight phytoplankton sample/kg	Not specified	Bloom dominated by <i>M. aeruginosa</i> . MCLR content of samples ranged from 53-952 µg/g DW biomass	Tarczynska et al., 2000
Solution of lyophilized bloom sample	Mice	Male/Swiss albino	0.9% saline	154.28 mg algae/kg, 48 hours	48 hours	<i>M. aeruginosa</i> was 95% of bloom biomass	Porfino et al., 1999
Cell-free lysate of <i>M. aeruginosa</i>	Mice	Male/Swiss albino	Not specified	3.5 g extract/kg, 24 hours	24 hours	MCLR dose approximately 9.625 mg/kg	Rao et al., 2005
Purified toxin from <i>M. aeruginosa</i>	Mice	Not specified/ white, strain unspecified	Ethanol and water	466 ± 13 µg/kg	Not specified	Congener not identified/specified	Bishop et al., 1959
purified toxin of <i>M. aeruginosa</i>	Mice	Male/white, strain unspecified	Not specified	56 µg/kg (43-60)	Not specified	Congener not identified/specified	Elleman et al., 1978

1 In further support for the findings of age-dependent liver effects from purified
2 microcystins, Foxall and Sasner (1981) reported that neonatal and juvenile (age not specified)
3 mice had no clinical symptoms or liver pathology after i.p. injections of a crude lysate from a
4 bloom of *M. aeruginosa*. Details of the experiment and results were not provided. The authors
5 reported that the mice did not die until they were 20 days of age, but it is not clear from the
6 publication whether the mice treated as neonates died later or only mice that were at least 20
7 days old when treated died. Mature mice were also treated for comparison, but the results were
8 not reported. The authors concluded that “young animals were not sensitive to the toxin but
9 developed sensitivity as they matured”.

10 **4.4.5.2.2. Short-Term Studies with Parenteral Exposure**

11
12
13 Guzman and Solter (1999) and Solter et al. (1998, 2000) evaluated the effects on rats of
14 short-term administration of MCLR via continuous i.p. infusion. After 28 days of exposure at
15 16, 32 or 48 µg/kg-day, there were dose-dependent increases in serum levels of sorbitol
16 dehydrogenase (SDH), AST, GGT, ALP and bile acids, while a dose-dependent decrease in
17 serum albumin and a decrease in ALT synthesis were also observed (Solter et al., 1998, 2000).

18
19 Immunohistochemistry on the liver showed evidence of bioaccumulation of MCLR in
20 liver cytosol, with measured liver concentrations increasing at a greater rate than the
21 administered dose (Solter et al., 1998). Apoptotic cells and cytoplasmic vacuolation were
22 observed in the livers of rats receiving 32 and 48 µg/kg-day. A later study exposing rats at the
23 same doses showed evidence for oxidative damage in the liver, as measured by dose-dependent
24 increases in malondialdehyde, a lipid peroxidation byproduct (Guzman and Solter, 1999). This
25 observation is consistent with evidence for oxidative stress after short-term exposure to MCLR.

26 **4.4.5.2.3. Subchronic Studies with Parenteral Exposure**

27
28 Shi et al. (2002; Chinese publication, only abstract reviewed) reported oxidative stress in
29 rats injected with i.p. doses of 4, 8 or 12 µg/kg-day MCLR for 35 days. Serum GGT and whole
30 blood glutathione were decreased, while LDH and AST increased after exposure, with no change
31 in ALT levels. Hepatocyte proliferation and apoptosis were also observed. Oxidative stress and
32 apoptosis are discussed further in Section 4.4.7 (Mechanistic Studies).

33
34 Elleman et al. (1978) administered daily i.p. injections of a purified toxin from a bloom
35 of *M. aeruginosa* to white male rats (strain unspecified) for 6 weeks. Doses were reported as
36 fractions of the LD₁₀₀ (0.75, 0.5, 0.25 and 0). These doses correspond to 52.5, 35 and 17.5 µg/kg
37 based on the reported LD₁₀₀ (70 µg/kg). Two mice from each group were sacrificed weekly for
38 necropsy and histopathological examination of the liver, kidney, heart, lung, spleen and brain.
39 Eleven of 16 high-dose and 5 of 14 mid-dose mice died prior to scheduled sacrifice; none of the
40 mice receiving the low dose died early. Of the 11 high dose animals that died prematurely, nine
41 died during the first week with symptoms of acute toxicity and liver hemorrhage. In the
42 remaining groups, progressive liver changes were seen with each week, and dose-dependent
43 pathology was observed. The authors noted numerous mitotic figures in hepatocytes of the low-
44 dose mice early on. Other histopathological findings in the liver were hepatocyte degeneration,

1 scattered necrosis, fibrosis and mononuclear cellular infiltration; details of the severity of these
2 findings in each group were not reported.

3 4 **4.4.5.2.4. Chronic Studies with Parenteral Exposure**

5
6 Milutinovic et al. (2002, 2003) evaluated the kidney effects of chronic i.p. administration
7 of MCLR and MCYR in rats. Doses of 10 µg/kg were administered to groups of five male
8 Wistar rats every other day for 8 months. After sacrifice under CO₂ anesthesia, the kidneys were
9 removed, fixed, sectioned and stained. During exposure, the treated rats exhibited clinical signs
10 of toxicity and reduced body weight. Microscopic examination of the kidneys of treated animals
11 showed collapsed glomeruli and dilated tubules with eosinophilic casts and some cytoplasmic
12 vacuolation. The interstitial space was infiltrated with lymphocytes. More renal corpuscles
13 were significantly damaged in the MCLR-treated group than the MCYR-treated group.
14 Cytoskeletal abnormalities and DNA damage typical of apoptosis or necrosis were also observed
15 in tubular epithelial cells (Milutinovic et al., 2002, 2003). Although they did not report details of
16 the liver pathology in this study, the authors noted that the kidneys were more damaged than the
17 livers, suggesting that adaptation to exposure may have occurred in the livers.

18 19 **4.4.5.2.5. Initiation/Promotion Studies with Parenteral Exposure**

20
21 Nishiwaki-Matsushima et al. (1992) demonstrated that i.p administration of MCLR could
22 enhance the number and area of glutathione S-transferase (placental form; GST-P) positive foci
23 in a medium-term rat liver bioassay. In male F344 rats pretreated with 200 mg/kg
24 diethylnitrosamine (DEN) and partially hepatectomized, the number of GST-P positive foci was
25 significantly increased when the rats were subsequently treated with 10 µg/kg MCLR i.p. twice a
26 week. In a follow-up experiment, rats were pretreated with DEN and then given twice weekly
27 i.p. doses of 10, 25 or 50 µg/kg MCLR. A dose-dependent increase in the number and area of
28 GST-P positive foci was observed in the animals treated with MCLR (Nishiwaki-Matsushima et
29 al., 1992).

30
31 Ohta et al. (1994) also used the two-stage rat liver bioassay model to evaluate the
32 promotion capability of MCLR in rats pretreated with DEN, but not subjected to partial
33 hepatectomy. After treatment with 200 mg/kg DEN, rats given twice weekly i.p. injections of 25
34 µg/kg MCLR had significantly more GST-P positive foci and significant increases in the area of
35 such foci when compared with DEN pretreatment alone. MCLR alone had negligible initiating
36 capability.

37
38 Hu et al. (2002) reported significant enhancement of gamma-GT foci in a two-stage
39 medium-term rat bioassay. Microcystin treatment (congener not specified) in DEN-pretreated
40 rats resulted in 100% incidence of gamma-GT foci, while DEN treatment alone resulted in foci
41 in only 22% of rats. Immunohistochemistry showed that microcystin exposure reduced
42 expression of the bax gene and increased expression of the bcl-2 gene. The Bax protein induces
43 apoptosis, while the Bcl-2 protein inhibits apoptosis (Klassen, 2001). This finding suggests that
44 apoptosis is inhibited by low doses of microcystin; in contrast, higher doses appear to induce
45 apoptosis (see Section 4.4.7.5 below).

1 Sekijima et al. (1999) conducted a similar experiment using either DEN or aflatoxin B1
2 (AFB1) as an initiator (with partial hepatectomy) and MCLR or a combination of AFB1 and
3 MCLR for promotion. In rats pretreated with 200 mg/kg DEN and subsequently given 10 µg/kg
4 MCLR i.p., there were increases in both the number and area of GST-P positive foci, but this
5 difference did not reach statistical significance. In rats pretreated with DEN and subsequently
6 given either AFB1 alone or a combination of MCLR and AFB1, a statistically significant
7 increase in number and area of foci was observed. The effect on GST-P positive foci of
8 combined treatment with MCLR and AFB1 was not synergistic, however; the number and area
9 of foci in animals treated with both was not larger than the sum of the foci induced by each
10 compound individually. When altered hepatic foci of all types (including basophilic and
11 eosinophilic/clear, rather than only GST-P positive foci) were analyzed, the number of foci was
12 significantly greater in rats treated with both MCLR and AFB1 than in those treated with either
13 toxin alone. To assess the effect of MCLR on initiation by AFB1, Sekijima et al. (1999)
14 pretreated rats with AFB1 followed by twice weekly i.p. injections of 1 or 10 µg/kg MCLR for 6
15 weeks. The number and area of GST-P positive foci were significantly increased in animals
16 given MCLR compared with controls. There was no difference in number or area of foci
17 between the two doses of MCLR. The authors suggested that the higher dose may have had
18 cytotoxic effects on hepatocytes.

19
20 Ito et al. (1997b) treated 13 ICR mice with 100 i.p. injections (5 times/week) of 20 µg/kg
21 MCLR over 28 weeks. Five mice were sacrificed immediately after the last injection, while
22 eight mice were withdrawn from treatment and sacrificed 2 months later. Three untreated mice
23 served as controls. Relative liver weights were 4.7% of body weight in the control mice, 9% in
24 mice sacrificed immediately after the last treatment, and 6.8% in those withdrawn from treatment
25 and sacrificed later. Statistical comparisons among the relative liver weights were not provided,
26 nor were the data with which to perform these comparisons. Neoplastic nodules were observed
27 in the livers of all mice of both treatment groups. The nodules ranged in size up to 5 mm in
28 diameter. The mean numbers of nodules in the treated animals (7.7 and 9.9 nodules per cm² area
29 in the groups sacrificed immediately and 2 months later, respectively) were not significantly
30 different from the controls (control data not provided). The incidence of nodule development in
31 the few control mice was not reported. The types of nodules were characterized as A) weakly
32 staining with hematoxylin and eosin and with small nuclei; B) intensely staining with eosin but
33 not with PAS or C) mainly occupied with fat droplets. The small number of animals in the
34 treatment and control groups limits the usefulness of these data.

35 36 **4.4.5.2.6. Developmental/Reproductive Studies with Parenteral Exposure**

37
38 Chernoff et al. (2002) investigated the developmental toxicity of MCLR in CD-1 mice.
39 Pregnant mice were treated with i.p. or subcutaneous doses of MCLR (95% pure) on gestation
40 days (GDs) 7-8, 9-10 or 11-12. Doses of 0, 32, 64 and 128 µg/kg were administered i.p., while
41 only the 128 µg/kg dose level was administered subcutaneously. Mice were sacrificed by CO₂
42 inhalation on GD 17. After litter and gravid uterus weights were recorded, fetuses were
43 examined for gross malformations and preserved for skeletal examination. Livers of the dams
44 were examined grossly and subjected to histopathology. No effects on maternal weight gain,
45 litter size, average fetal weight or incidence of gross or skeletal abnormalities were observed.
46 Histological examination of the maternal livers showed no effects of treatment.

1
2 In a separate experiment by the same researchers (Chernoff et al., 2002), pregnant mice
3 treated with 32-128 µg/kg MCLR (via i.p. injection on GD 7-8, 9-10 or 11-12) were allowed to
4 give birth, and the growth and viability of the offspring were followed for 5 days. A different,
5 apparently more potent, lot of MCLR was used in this experiment. Mortality exceeded 50% (19
6 of 35 dams) in the 64 µg/kg dose group and only 1 of 34 animals in each of the 96 and 128 µg/kg
7 dose groups survived treatment. Among the surviving animals, there were no effects on
8 viability, birth weight or growth of litters during the brief follow-up period.
9

10 Experiments with rabbit whole embryo cultures *in vitro* suggest that low concentrations
11 of MCLR (10-20 µM) can alter the organization of actin filaments and microtubules, although
12 cell morphology is not significantly affected (Frangez et al., 2003; Zuzek et al., 2003). At high
13 concentrations (100 µM), MCLR causes cell rounding and loss of adhesion properties, with
14 consequent cell detachment and dispersion. Frangez et al. (2003) showed that the zona pellucida
15 (a glycoprotein envelope surrounding the ovum) forms an effective barrier against the effects of
16 MCLR, as rabbit whole embryos embedded in zona pellucida were not affected even at high
17 concentrations of MCLR.
18

19 Development of mouse embryos in culture was inhibited by a purified toxin from a
20 bloom dominated by *Microcystis* (Sepulveda et al., 1992). At a concentration of 120 µg/mL of
21 toxin in the culture medium, development of two-cell embryos was halted and cytolysis occurred
22 in some embryos. Disruption of the actin cortex was also observed in these embryos. At 60
23 µg/mL, two-thirds of the embryos divided once more, and the remainder did not develop further.
24 In eight-cell embryos treated with 120 µg/mL toxin, compaction was prevented or reversed.
25 Embryos at this stage treated with 240 µg/mL did not develop further; cells were rounded and
26 lysed. The specific toxin used in this experiment was not identified.
27

28 Conflicting results have been observed in teratogenicity testing of purified microcystins
29 in the Frog Embryo Teratogenicity Assay-Xenopus (FETAX) assay with *X. laevis* embryos. In
30 one study, MCLR at concentrations of 25-250 µg/L was shown to induce both skeletal and soft
31 tissue malformations in *X. laevis* embryos (Dvoráková et al., 2002). In contrast, Fischer and
32 Dietrich (2000) reported no effects on mortality, malformation or growth in these embryos after
33 exposure to either MCLR or MCRR at concentrations up to 2000 µg/L. Dvoráková et al. (2002)
34 attributed the differing results to interlaboratory variability or variability in sensitivity of the
35 embryos. O'Brien et al. (2003) reported no effects of MCYR on *X. laevis* embryo mortality,
36 malformation or growth.
37

38 Interestingly, Dvoráková et al. (2002) demonstrated that biomass from a *Microcystis*
39 species (*wesenbergii*) that does not produce microcystins could induce malformations in
40 *Xenopus* embryos. O'Brien et al. (2003) also tested two extracts each from *Plantothrix*
41 *rubescens* and *M. aeruginosa*, reporting that all four extracts resulted in facial narrowing and
42 growth retardation in 96-hour *Xenopus* embryos, while purified MCYR had no effects.
43

4.4.6. Effects by Intratracheal or Intranasal Instillation

Ito et al. (2001) evaluated the distribution of MCLR after intratracheal instillation of lethal doses in male ICR mice and included a limited description of toxic effects. MCLR in saline solution was instilled at various doses (50, 75, 100, 150 and 200 µg/kg) into 34 mice; 3 mice were sham-exposed as controls. Mortality was 100% in 12 mice receiving doses of 100 µg/kg and greater. At 75 µg/kg, two of four mice died, while no deaths occurred in 18 mice given 50 µg/kg intratracheally. The time course of hepatotoxicity was further evaluated in eight mice given intratracheal doses of 100 µg/kg. One mouse was sacrificed at each of 5, 10, 20, 30, 45, 60, 90 and 120 minutes. Immunostaining for MCLR showed the toxin in the lungs within 5 minutes and in the liver after 60 minutes. Hemorrhage in the liver was observed after 90 minutes and became severe by 120 minutes.

Fitzgeorge et al. (1994) conducted experiments in CBA/BALBc mice with MCLR administered via intranasal instillation and inhalation. This study is poorly described, giving few details of study design and findings. The LD₅₀ for intranasal instillation of MCLR was equal to the i.p. LD₅₀ (250 µg/kg). Liver and kidney weights were increased in the animals receiving MCLR intranasally (41.6 and 7.5% respectively). The authors further evaluated the relationship between dose and liver weight increase after intranasal instillation of MCLR. At single intranasal doses of 31.3, 62.5, 125, 250 and 500 µg/kg, liver weight increased proportionally (0, 1.5, 24.4, 37.4 and 87%). Seven daily intranasal doses of 31.3 µg/kg, a dose that had produced no liver weight change after a single dose, resulted in a liver weight increase of 75%. Fitzgeorge et al. (1994) reported histopathological findings, but failed to specify which findings resulted from single doses and which resulted from the multiple-dose experiment reported in the same publication. Findings included necrosis of respiratory and olfactory epithelium in the nasal mucosa and centrilobular necrosis with hemorrhage in the liver. Early changes in the liver included vacuolar degeneration and necrosis of hepatocytes near the central vein. The adrenal glands showed effects as well, with vacuolation and necrosis of the inner cortex, as well as congestion of medullary blood vessels. No histopathological changes were observed in the trachea, lungs, esophagus, pancreas, spleen, lymph nodes, kidneys or brain.

4.4.7. Mechanistic Studies

Many mechanistic studies have been conducted to characterize the toxicology of microcystins. These studies include *in vivo* investigations in laboratory animals, *in situ* studies in isolated perfused organ systems and *in vitro* assays in isolated cell preparations. Mechanistic studies have evaluated many aspects of microcystin toxicity, including: 1) the reason for target organ and cell type specificity of microcystins, 2) description of the subcellular effects that occur in susceptible cells, 3) interaction with serine and threonine protein phosphatases (i.e., PP1 and PP2A) as the molecular target for microcystins, 4) the role of cytoskeletal effects, 5) the importance of oxidative stress and apoptosis as a mode of toxic action and 6) the use of chemoprotectants to reduce toxicity. Each of these topics is discussed in further detail below. Mechanistic data related to the genotoxicity of microcystins is presented below in Section 4.4.8 (Genotoxicity and Cell Proliferation).

4.4.7.1. Target Organ/Cell Type Specificity

Oral and injection studies in laboratory animals have demonstrated that the liver is the primary target organ for microcystin toxicity (see Section 4.2). Mechanistic studies suggest that the target organ specificity is directly related to the limited ability of microcystins to cross cell membranes in the absence of an active transport system, such as the bile acid transporter in hepatocytes. Evidence of the importance of the bile acid transporter to liver toxicity is provided by studies that used bile acids and bile acid transport inhibitors (Runnegar et al., 1981, 1993, 1995b; Runnegar and Falconer, 1982; Eriksson et al., 1990a; Hermansky et al., 1990a,b; Hermansky et al., 1991). These studies demonstrated that the liver toxicity produced by *in vitro* or *in vivo* exposures to microcystins was reduced or eliminated by inhibition of hepatocellular uptake using bile acid transport inhibitors (e.g., antamanide, sulfobromophthalein and rifampicin) and bile salts (i.e., cholate and taurocholate). Additional discussion of the cellular uptake of microcystins is provided in Section 3.2 (Distribution).

Runnegar et al. (1993) demonstrated that i.p. injection of mice with MCYM or MCLR caused inhibition of liver protein phosphatase activity followed by evidence of liver toxicity (i.e., increased liver weight). Kidney protein phosphatase activity was unchanged following the *in vivo* exposure even at lethal doses. *In vitro* exposure of kidney extracts to microcystins did result in a decrease in kidney phosphatase activity, and no difference in sensitivity was observed between liver and kidney phosphatase inhibition. This result suggests that target organ specificity is most likely due to slower intercellular uptake of microcystins in the kidney.

The cell type specificity of microcystins was investigated using isolated rat hepatocytes, rat renal epithelial cells (ATCC 1571) and rat skin fibroblasts (ATCC 1213) (Khan et al., 1995; Wickstrom et al., 1995). The time course of light microscopic and ultrastructural effects was examined following *in vitro* exposure to MCLR (Khan et al., 1995). Effects were noted after 4 minutes in hepatocytes, 1 hour in renal cells and 8 hours in fibroblasts. Similar lesions observed in all cell types included blebbing, loss of cell-cell contact, clumping and rounding, cytoplasmic vacuolization and redistribution of cellular organelles. Effects that were seen only in hepatocytes include loss of microvilli, whirling of rough ER, dense staining and dilated cristae of mitochondria and pinching off of membrane blebs. The nuclear changes typical of apoptosis were seen in renal cells and fibroblasts. Cell type differences may be related to the specific proteins that were overphosphorylated within each cell type. The authors postulated that the lack of apoptotic changes in hepatocytes in this study might be related to the short exposure duration or the failure of their transmission electron microscopic method to examine severely damaged cells that had detached from the coverslips. Wickstrom et al. (1995) evaluated the changes in cytoskeletal morphology after MCLR exposure in these cell types. High concentrations and long incubation times were required for cytoskeletal changes in kidney and skin cells; however, the nature of the changes was similar in all cell types (e.g., actin aggregation). Wickstrom et al. (1995) suggested that microcystins may enter kidney cells and fibroblasts via pinocytosis.

McDermott et al. (1998) treated several cell types with MCLR (primary rat hepatocytes, human fibroblasts, human endothelial cells, human epithelial cells and rat promyelocytes). Hepatocytes underwent membrane blebbing, cell shrinkage, organelle redistribution, chromatin

1 condensation and, in some cells, DNA fragmentation. Similar changes were observed in the
2 other cell types, but a longer duration of exposure was required.

3
4 Matsushima et al. (1990) demonstrated that injection of MCYR into mouse skin
5 epithelial cells and human fibroblasts resulted in morphological changes in cell shape (i.e.,
6 spindle shape to round forms). These cells were thought to be resistant to microcystins;
7 however, microcystins YR, LR and RR produced a dose-dependent inhibition of protein
8 phosphatase activity using the partially purified enzyme derived from mouse skin cell cytosol.
9 The authors suggest that absence of a direct effect in these cells is due to lack of penetration
10 through the cell membrane.

11
12 Many cell types and established cell lines have been evaluated for potential susceptibility
13 to microcystin uptake and toxicity. Primary isolated hepatocytes have been shown to be the
14 most sensitive to cytotoxicity, due to the presence of the organic ion/bile acid transport system
15 (Eriksson et al., 1987, 1990b). Uptake was negligible in human hepatocarcinoma cells (Hep
16 G2), mouse fibroblasts (NIH-3T3), erythrocytes and human neuroblastoma cells (SH-SY5Y).
17 Hepatic endothelial cells have also been shown to be resistant to microcystin toxicity (Solow et
18 al., 1989; Runnegar et al., 1994). Primary cultures of liver cells cease to express these bile acid
19 transport proteins after 2-3 days of being maintained in culture. Therefore, established liver cell
20 lines are not generally useful for evaluating microcystin toxicity (Eriksson et al., 1994; Battle et
21 al., 1997; Heinze et al., 2001).

22 23 **4.4.7.2. Characterization of Subcellular Effects in the Liver**

24
25 The liver effects that occur following *in vivo* exposures to microcystins are generally
26 discussed in Section 4.2 (Animal Studies). Many additional mechanistic studies describe liver
27 histopathology, ultrastructural changes and biomarkers of cytotoxicity in either isolated perfused
28 rat liver (Berg et al., 1988; Pace et al., 1991; Runnegar et al., 1995b) or primary isolated
29 hepatocytes (Runnegar et al., 1981; Runnegar and Falconer, 1982; Aune and Berg, 1986;
30 Runnegar and Falconer, 1986; Berg and Aune, 1987; Runnegar et al., 1987; Falconer and
31 Runnegar, 1987a,b; Thompson et al., 1988; Solow et al., 1989; Mereish et al., 1989; Mereish and
32 Solow, 1990; Eriksson et al., 1990a; Boe et al., 1991; Khan et al., 1995; Runnegar et al., 1995b;
33 Yea et al., 2001; Batista et al., 2003).

34
35 Toxicological effects of microcystins in the isolated perfused rat liver were similar to
36 those demonstrated following *in vivo* exposure (Pace et al., 1991). During a 60-minute exposure,
37 MCLR caused liver engorgement and cessation of bile flow. Electron microscopy revealed loss
38 of sinusoidal architecture, dilation of bile canaliculi and the space of Disse and decreased
39 intracellular contact. Mitochondrial swelling, disruption of endoplasmic reticulum and
40 formation of whorls and loss of desmosomal intermediate filaments were also observed.
41 Mitochondrial function was impaired, with inhibition of state 3 respiration and a decrease in the
42 respiratory control index.

43
44 Runnegar et al. (1995b) demonstrated a decrease in protein phosphatase activity in
45 perfused rat liver exposed to MCYM. Cessation of bile flow, increased perfusion pressure,
46 decreased protein secretion and decreased glucose secretion were also observed. Histological

1 changes included hepatocytes swelling, loss of sinusoidal architecture, pyknotic nuclei and
2 extensive necrosis. Exposure to high concentrations of toxin extracts in the isolated perfused
3 liver produced loss of cord architecture due to hepatocyte disassociation, membrane damage and
4 cytolysis and nuclear effects (pyknosis, karyokinesis, karyolysis) (Berg et al., 1988).
5 Ultrastructural effects included swollen mitochondria, vacuoles, necrosis, abnormal nuclei, bile
6 canaliculi lacking microvilli and whorls of rough endoplasmic reticulum.

7
8 Studies in primary isolated hepatocytes have described the morphological and
9 histopathological changes induced by microcystins that relate to loss of sinusoidal architecture
10 and cytotoxicity (Runnegar et al., 1981; Runnegar and Falconer, 1982; Aune and Berg, 1986;
11 Runnegar and Falconer, 1986; Berg and Aune, 1987; Runnegar et al., 1987; Falconer and
12 Runnegar, 1987a,b; Thompson et al., 1988; Solow et al., 1989; Mereish et al., 1989; Mereish and
13 Solow, 1990; Eriksson et al., 1990a; Boe et al., 1991; Runnegar et al., 1995b; Khan et al., 1995;
14 Yea et al., 2001; Batista et al., 2003). Microcystin exposure to hepatocytes in suspension or
15 cultured in a monolayer results in membrane blebbing that becomes more pronounced and
16 localized in one region of the cell surface. Cells are observed to be rounded in appearance and
17 become dissociated from one another. Microfilaments are reorganized as a compact spherical
18 body in the vicinity of the blebbing, while the rest of cell is depleted of filamentous actin.
19 MCLR disrupts hepatocellular morphology within minutes, leading to loss of sinusoidal
20 architecture and hemorrhage. Morphological changes in hepatocytes (i.e., blebbing, rounding)
21 have been shown to occur prior to any effect on cell membrane integrity (measured as LDH
22 leakage or release of radiolabeled adenine nucleotides) or cell viability (generally measured as
23 decreased trypan blue exclusion) (Runnegar et al., 1981; Runnegar and Falconer, 1982; Aune
24 and Berg, 1986; Ding et al., 2000a).

25
26 Thompson et al. (1988) described the time course of cellular effects of microcystins (type
27 not specified) on cultured rat hepatocytes. Disintegration of attachment matrix occurred by 15
28 minutes, followed by cells clustered in groups with no extracellular material at 1 hour, and
29 release of cells from plates between 2 and 4 hours. LDH release did not occur until after these
30 visual effects, but was dose-related.

31
32 Similar toxicological effects were observed in isolated human hepatocytes (Yea et al.,
33 2001; Batista et al., 2003). MCLR produced blebbing, fragmentation and hepatocyte
34 disassociation. Cytotoxicity, as measured by LDH leakage, occurred after morphological
35 changes were evident. Yea et al. (2001) indicated that cytotoxicity in human hepatocytes was
36 observed at a concentration (1 μ M) that did not affect rat hepatocytes. Batista et al. (2003) also
37 reported a slightly higher susceptibility to microcystin-induced morphological change in human
38 hepatocytes as compared to rat hepatocytes.

39
40 The ultrastructural effects observed following microcystin exposure in isolated rat
41 hepatocytes (i.e., condensation of chromatin, segregation of organelles, separated by apoptotic
42 microbodies, decrease in cell volume and increase in cell density) suggest that hepatocyte cell
43 death is apoptosis and not necrosis (Boe et al., 1991). Microcystin exposure in hepatocytes
44 causes cell shrinkage, externalization of membrane phosphatidylserine, DNA fragmentation and
45 chromatin condensation, indicating a rapid apoptosis (Ding et al., 2000b). Apoptosis is
46 discussed in further detail below as a possible mode of action for microcystin liver toxicity.

1 Several studies have suggested that microcystins may increase the release and decrease the
2 reincorporation of arachadonic acid into cellular membranes (Adams et al., 1985; Naseem et al.,
3 1990, 1991; Nobre et al., 2001).

4 5 **4.4.7.3. Molecular Target: Inhibition of Type 1 and 2A Protein Phosphatases**

6
7 The primary molecular target of microcystins has been identified as serine and threonine
8 protein phosphatases PP1/PP2A. Protein phosphatases dephosphorylate proteins while protein
9 kinases phosphorylate them. Together, protein kinases and phosphatases maintain the balance of
10 phosphorylation and dephosphorylation of key proteins involved in cell cycle regulation.
11 Because more than 97% of protein phosphates occur at serine and threonine residues (Gehringer,
12 2004), the PP1 and PP2A protein phosphatases are particularly important. Inhibition of these
13 enzymes results in the increased phosphorylation of a number of regulatory proteins.
14 Importantly, PP1 is believed to be the major phosphorylase *a* phosphatase in the liver (Runnegar
15 et al., 1993). PP2A, the major soluble serine/threonine phosphatase, regulates several mitogen-
16 activated protein kinases (Gehringer, 2004).

17
18 Microcystins bind to these enzymes under both *in vivo* and *in vitro* study conditions,
19 resulting in an inhibition of enzyme activity leading to an increase in protein phosphorylation.
20 Microcystins have been shown to directly inhibit the activity of PP1 and PP2A derived from
21 several different species (i.e., fish, mammals, plants) and cell types (Honkanen et al., 1990;
22 MacKintosh et al., 1990; Matshushima et al., 1990; Yoshizawa et al., 1990; Sim and Mudge,
23 1993; Xu et al., 2000; Leiers et al., 2000; Becchetti et al., 2002). Microcystins do not alter
24 protein kinase activity, suggesting the balance of phosphorylation and dephosphorylation is
25 related to protein phosphatase inhibition alone. Microcystins have been used as a tool to
26 investigate the importance of serine and threonine phosphorylation to specific cellular functions.
27 The regulatory effects of phosphorylation on sodium channel opening in renal cells (Becchetti et
28 al., 2002), smooth and skeletal muscle contraction (Hayakawa and Kohama, 1995; Knapp et al.,
29 2002) and insulin secretion (Leiers et al., 2000) have been studied.

30
31 Runnegar et al. (1993) demonstrated the inhibition of PP1 and PP2A activity in the liver
32 following i.p. injection of MCYM and MCLR. Increased protein phosphorylation preceded the
33 observed increase in liver weight and was correlated with hepatotoxicity. Decreased
34 phosphatase activity was also demonstrated in the isolated perfused liver (Runnegar et al.,
35 1995b).

36 The relationship between phosphatase inhibition by microcystins and changes in
37 cytoskeletal structure and cell morphology has been reviewed (Eriksson and Golman, 1993).
38 Inhibition of protein phosphatase activity by MCLH and 7-dmMCRR was associated with an
39 increased phosphorylation of cytoskeletal and cytosolic proteins (Eriksson et al., 1990b).
40 Concentrations that produce a marked increase in protein phosphorylation were accompanied by
41 a complete reorganization of microfilament network. The cytoskeletal effects of microcystins
42 are discussed in further detail below. Microcystin LH and 7-dmMCRR were equipotent
43 inhibitors of purified PP1 and PP2A; however, higher concentrations of 7-dmMCRR were
44 required to increase protein phosphorylation. Table 4-10 shows studies with comparative data
45 on inhibition of protein phosphatases (IC₅₀s) by MCLR, MCYR, MCRR and MCLA.

Table 4-10. Studies Comparing Protein Phosphatase Inhibition Activity of Microcystin Congeners				
Reference	IC ₅₀ (nM)			
	MCLR	MCLA	MCYR	MCRR
PP2A Inhibition				
Craig et al., 1996	0.15	0.16		
Nishiwaki-Matsushima et al., 1991	0.28			0.78
Matsushima et al., 1990	7.6		4.5	5.8
PP1 Inhibition				
MacKintosh et al., 1995	0.2		0.2	
Mixture of PPs				
Yoshizawa et al., 1990	1.6		1.4	3.4

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The molecular interaction between microcystins and protein phosphatases has been evaluated using immunoprecipitation, autoradiography, reverse phase liquid chromatography, X-ray crystallography, nuclear magnetic resonance (NMR) solution structures, and molecular dynamics simulation (Runnegar et al., 1995b; MacKintosh et al., 1995; Goldberg et al., 1995; Craig et al., 1996; Bagu et al., 1997; Mattila et al., 2000; Mikhailov et al., 2003; Maynes et al., 2004, 2006). Molecular modeling and molecular dynamics simulations have indicated that microcystins bind in a Y-shaped groove containing the catalytic site on the surface of PP1 (Mattila et al., 2000). Studies with PP1 suggest that the C-terminal β 12- β 13 loop of PP1 (containing residues 268-281) is important for microcystin-protein phosphatase interactions as well as for substrate recognition (Maynes et al., 2004, 2006). Information available to date indicates that the binding process primarily involves the amino acids Glu, Adda, Leu and MDha of microcystins. Figure 4-2 shows a schematic representation of the interactions between microcystin-LR and protein phosphatase 1; these interactions are discussed further below.

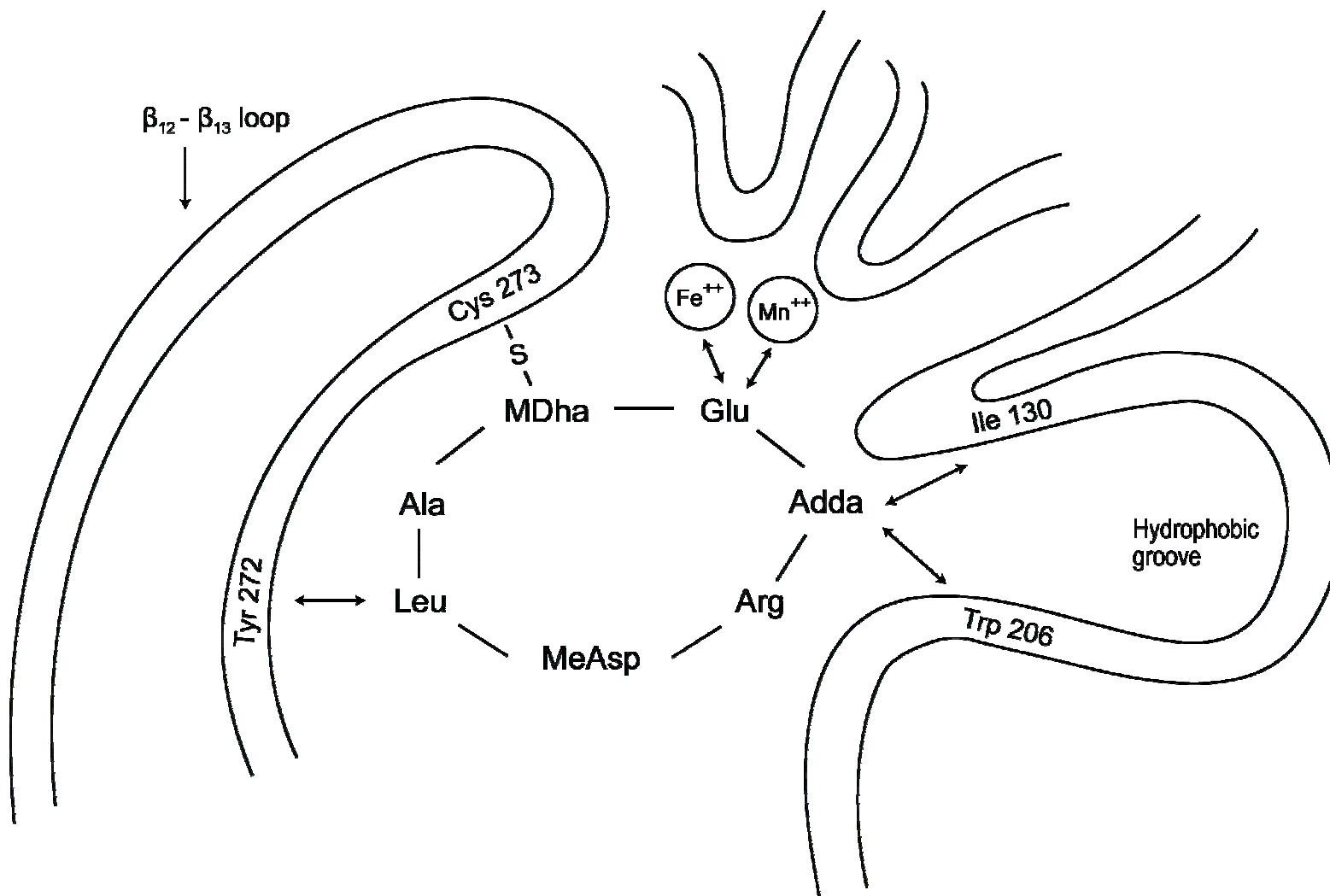
Microcystins LR, LA and LL interact with the catalytic subunits of PP1 and PP2A in two phases. The first phase occurs within minutes and consists of rapid inactivation of the phosphatase. The second, slower phase of interaction represents a covalent interaction that takes place within several hours (Craig et al., 1996). The initial binding and inactivation of protein phosphatases appears to result from several non-covalent interactions that are still being elucidated. Mattila et al. (2000) demonstrated an interaction of the Glu amino acid (reported as

1 IGlu in the publication) carboxyl group of MCLR with a metal ion (Fe, Mn) in the PP1 catalytic
2 site. Glu appears to be an important component because esterification eliminates toxicity
3 (Namikoshi et al., 1993; Rinehart et al., 1994). Herfindal and Selheim (2006), in a review of the
4 mechanisms of microcystin toxicity, indicated that the Adda side chain is involved in a
5 hydrophobic interaction between the Trp 206 and Ile130 residues in the hydrophobic groove of
6 PP1. Mattila et al. (2000) suggested that the long side chain of the Adda residue may contribute
7 to orienting the toxin into the catalytic site. The Adda amino acid residue of microcystins plays
8 an important role in the inhibition of protein phosphatase activity (Nishiwaki-Matsushima et al.,
9 1991; Gullledge et al., 2002, 2003a,b). Isomerization of the diene from 4E,6E to 4E,6Z on the
10 Adda chain (see Figure 2-1) eliminates the toxic activity of microcystins (Harada et al., 1990;
11 Nishiwaki-Matsushima et al., 1991; Stotts et al., 1993). Microcystin analogues containing only
12 Adda and one additional amino acid are capable of substantial inhibition of PP1 and PP2A, while
13 modifications to the Adda structure abolished the inhibition (Gullledge et al., 2003b). Finally,
14 Herfindal and Selheim (2006) indicated that the L-Leu of MCLR participates in a hydrophobic
15 interaction with Tyr 272 of PP1 (on the β 12- β 13 loop).
16

17 The second phase of interaction between microcystins and protein phosphatase consists
18 of covalent bonding (Craig et al., 1996). Immunoprecipitation and autoradiography methods
19 indicate that covalent bonds result from the interaction between the methylene of the MDha
20 residue of microcystins and the thiol of Cys273 located at the C-terminal of PP1. NMR solution
21 structures and X-ray crystallography data on the MCLR/PP1 complex illustrate the covalent
22 linkage at Cys-273 (Goldberg et al., 1995; Bagu et al., 1997). Site-directed mutagenesis
23 replacing Cys273 in PP1 results in a loss of microcystin binding (MacKintosh et al., 1995;
24 Maynes et al., 2004). Based on sequence similarity between PP1 and PP2A, it has been
25 suggested that Cys-266 is the site of equivalent covalent linkage between PP2A and microcystins
26 (Craig et al., 1996).
27

28 Microcystin analogues containing a reduced MDha residue are not capable of covalent
29 binding to protein phosphatases. MacKintosh et al. (1995) reported that a reduction of the MDha
30 residue of MCYR by ethanethiol abolished covalent binding to PP1. Likewise, Craig et al.
31 (1996) showed that reduction of the MDha residue of MCLA abolished the covalent binding
32 phase with PP2A. Maynes et al. (2006) confirmed the lack of covalent interaction by
33 determining the crystal structure of dihydroMCLA bound to PP1. Their work showed that the
34 β 12- β 13 loop of PP1 takes on a different conformation when the covalent bond is absent, and
35 that other interactions (including hydrogen bonding) are responsible for the bond between
36 dihydroMCLA and PP1.
37

38 The importance of covalent bonding between microcystins and protein phosphatases to
39 toxicity resulting from the enzyme inhibition is uncertain, as other interactions are apparently
40 responsible for the rapid inactivation of the enzymes (Herfindal and Selheim, 2006).
41 Modifications to either molecule (microcystin or protein phosphatase) to prevent covalent
42 bonding generally decrease, but do not eliminate, the toxic action (Meriluoto et al., 1990;
43 MacKintosh et al., 1995; Hastie et al., 2005).



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Figure 4-2. Schematic Representation of Interactions between Microcystin-LR and the Catalytic Site of Protein Phosphatase 1

1 Microcystins may also bind to other molecular targets in addition to protein
2 phosphatases. Chen et al. (2006) used bioinformatic approaches to identify human liver aldehyde
3 dehydrogenase 2 (ALDH2) as a potential molecular target of MCLR. After screening a phage
4 display library to identify potential ligands specific for MCLR, Chen et al. (2006) used
5 molecular docking studies to show that MCLR could bind to ALDH2. The authors postulated
6 that this interaction could lead to aldehyde-induced reactive oxygen species (ROS) and
7 apoptosis.
8

9 **4.4.7.4. Cytoskeletal Effects**

10
11 The cytoskeletal effects of microcystins in the liver have been visually demonstrated in
12 several studies using light, electron and fluorescent microscopy (Runnegar and Falconer, 1986;
13 Eriksson et al., 1989; Hooser et al., 1989a,b, 1991b; Falconer and Yeung, 1992). Ultrastructural
14 changes in rats given a lethal dose of microcystin A¹ include the following: a widening of
15 intracellular spaces; progressive disassociation followed by rounding, blebbing and invagination
16 of hepatocytes; loss of microvilli in the space of Disse; breakdown of the endothelium;
17 hemorrhage; and loss of lobular architecture (Hooser et al., 1989b). No effects were noted in
18 endothelial cells or Kupffer cells. In isolated hepatocytes, actin aggregates were seen at the base
19 of the membrane blebs. As membrane blebs grew larger and were drawn toward one pole of the
20 cell, the microfilaments were organized toward the same pole, resulting in rosette formation with
21 a condensed band of microfilaments at the center (Runnegar and Falconer, 1986; Eriksson et al.,
22 1989; Hooser et al., 1991b; Falconer and Yeung, 1992; Wickstrom et al., 1995; Ding et al.,
23 2000a). Frangez et al. (2003) also demonstrated cytoskeletal changes in rabbit primary whole
24 embryo cultured cells. Actin and microtubule disorganization was demonstrated to lead to
25 detachment and cellular toxicity.
26

27 The observed reorganization of microfilaments that leads to alteration of hepatocyte
28 morphology was not shown to be due to effects on actin polymerization (Runnegar and Falconer,
29 1986; Eriksson et al., 1989; Falconer and Yeung, 1992). Instead, microcystins cause an increase
30 in the phosphorylation of cytokeratin intermediate filament proteins (Falconer and Yeung, 1992;
31 Ohta et al., 1992; Wickstrom et al., 1995; Blankson et al., 2000). Toivola et al. (1997) evaluated
32 the effects of MCLR on hepatic keratin intermediate filaments in primary hepatocytes cultures.
33 A disruption of the desmoplakin² organization at the cell surface (disorganization of
34 desmosomes) is followed by a dramatic reorganization of the intermediate filament and
35 microfilament networks, resulting in intermediate filaments being organized around a condensed
36 actin core. The major target proteins for microcystin-induced hyperphosphorylation include
37 keratins 8 and 18 and desmoplakin (DP) I/II. Keratins 8 and 18 are the major proteins of
38 intermediate filaments in hepatocytes; DP I and II attach keratin filaments in epithelial cells to
39 desmosomes. Hyperphosphorylation of DPI/II leads to loosening of cell junction and loss of
40 interactions with cytoplasmic intermediate filaments. The hyperphosphorylation of keratin
41 proteins leads to increased solubility (caused by disassembly or prevention of subunit
42 polymerization), leading to the observed morphological effects. Phosphopeptide mapping shows

¹ The authors refer to the test compound as microcystin-A, which may reflect an old nomenclature no longer in use. Available information is insufficient to identify the congener with current nomenclature.

² Desmoplakin is the principal plaque protein in a desmosome, which is a localized thickening of membrane that serves as an adhesion junction connecting contiguous cells.

1 four specific tryptic peptides in soluble keratin 18 that are highly phosphorylated; however, no
2 specific phosphorylation sites have been identified for keratin 8. A Ca^{2+} /calmodulin-dependent
3 kinase may be involved in regulating the serine-specific phosphorylation of keratin proteins 8
4 and 18.

5
6 Some investigators have suggested that generation of reactive oxygen species may play a
7 role in the cytoskeletal changes induced by microcystins. Ding et al. (2001) illustrated
8 generation of superoxide and hydrogen peroxide radicals preceding microfilament
9 disorganization and cytotoxicity. Hepatocellular glutathione levels were affected by
10 microcystins, and administration of N-acetylcysteine was shown to protect against cytoskeletal
11 alterations (Ding et al., 2000a).

12 13 **4.4.7.5. Apoptosis**

14
15 The ultrastructural changes observed in hepatocytes after microcystin exposure suggest
16 that cell death is related to apoptosis and not necrosis. These changes include cell shrinkage
17 (decreased volume and increased density), condensation of chromatin and segregation of
18 organelles separated by apoptotic microbodies (Boe et al., 1991; Fladmark et al., 1998;
19 McDermott et al., 1998; Ding et al., 2000b; Mankiewicz et al., 2001). The effects of
20 microcystins on the signaling pathways involved in rapid apoptosis have been investigated in
21 several studies (Ding et al., 1998a,b, 2000b, 2001, 2002; Ding and Ong, 2003). Mitochondrial
22 permeability transition (MPT) is considered to be a critical rate-limiting event in apoptosis.
23 Oxidative stress may play a role in the induction of MPT and the onset of apoptosis. In cultured
24 hepatocytes exposed to microcystins, an increase in the generation of ROS preceded the onset of
25 MPT, mitochondrial depolarization and apoptosis. A dose- and time-dependent increase in ROS
26 and lipid peroxidation, measured as malondialdehyde formation, was shown to precede
27 morphological changes in hepatocytes and release of LDH. The addition of deferoxamine or
28 cyclosporine A inhibited the formation of ROS and delayed the onset of MPT and cell death.
29 The addition of superoxide dismutase prevented collapse of cytoskeleton and release of LDH
30 from isolated hepatocytes. An early surge of mitochondrial Ca^{2+} was shown to occur prior to
31 MPT and cell death. Prevention of this Ca^{2+} surge by one of several methods (i.e., chelation of
32 intracellular Ca^{2+} , blockage of the mitochondrial Ca^{2+} uniporter or use of mitochondrial
33 uncoupler) prevented MPT and cell death. Electron transport chain inhibitors (e.g., rotenone,
34 actinomycin A, oligomycin or carbonyl cyanide m-chlorophenylhydrazone) also inhibited the
35 onset of MPT. MCLR caused the release of cytochrome c through MPT, which is considered
36 universal in mitochondrial apoptosis; however, caspases -9 and -3 were not activated. The
37 increase in intracellular Ca^{2+} may instead facilitate the activation of calpain, which occurred
38 following exposure to microcystins (Ding and Ong, 2003). Botha et al. (2004) demonstrated that
39 apoptosis and oxidative stress can be induced in nonhepatic cells by microcystins. LDH leakage
40 and increased apoptotic indices were observed in the human colon carcinoma cell line (CaCo2)
41 and MCF-7 cells (deficient in pro-caspase-3). These changes were accompanied by increased
42 H_2O_2 formation and increased calpain activity.

43
44 Western blot analysis has been used to show that MCLR increases the expression of p53
45 and the pro-apoptotic Bax protein in both cultured rat hepatocytes treated with MCLR *in vitro*
46 and rat liver after *in vivo* exposure (Fu et al., 2005). Expression of the anti-apoptotic protein

1 Bcl-2 was decreased *in vitro*, but *in vivo* MCLR treatment did not lead to a difference in the
2 expression of this protein. This finding suggests that MCLR may induce apoptosis through other
3 mechanisms in addition to the inhibition of protein phosphatases.

4 5 **4.4.7.6. Lipid Peroxidation**

6
7 Several studies have investigated the role of glutathione homeostasis and lipid
8 peroxidation in microcystin-induced liver toxicity (Runnegar et al., 1987; Eriksson et al., 1989;
9 Bhattacharya et al., 1996; Ding et al., 2000a; Towner et al., 2002; Gehringer et al., 2003a,b,
10 2004; Bouaïcha and Maatouk, 2004). Ding et al. (2000a) indicated that microcystin exposure in
11 isolated hepatocytes resulted in an initial increase in glutathione synthesis followed by a later
12 depletion of glutathione. MCLR was shown to induce the *de novo* synthesis of glutathione in
13 mice exposed to a toxic sublethal dose (75% of the LD₅₀) (Gehringer et al., 2004). Increased
14 transcription of glutathione-S-transferase was also demonstrated in his study. Gehringer et al.
15 (2004) suggest that increased lipid peroxidation induced by microcystins is accompanied by an
16 increase in glutathione peroxidase, transcriptional regulation of glutathione-S-transferase and
17 glutathione peroxidase and *de novo* synthesis of glutathione. Bouaïcha and Maatouk (2004) also
18 reported that a low noncytotoxic concentration (2 ng/mL) of MCLR in primary rat hepatocytes
19 caused an initial increase in ROS formation and an increase in glutathione; however, a decrease
20 in lipid peroxidation was observed in this study. Electron spin resonance (ESR) spin trapping
21 techniques have demonstrated the formation of two possible lipid-derived free radical
22 metabolites in rat liver following *in vivo* exposure to MCLR (Towner et al., 2002). Vitamin E
23 and selenium supplementation in mice provided some protection against liver toxicity and
24 lethality by MCLR (Gehringer et al., 2003a,b). Measures of liver toxicity included serum
25 enzyme determination, lipid peroxidation, glutathione levels and histopathology. Hermansky et
26 al. (1991) reported that membrane active antioxidants (i.e., vitamin E, silymarin and glutathione)
27 provided some protection from microcystin toxicity (i.e., LDH leakage) and lethality; however,
28 free radical scavengers and water soluble antioxidants were ineffective (see below).

29
30 Several studies have reported MCLR-induced increases in lipid peroxidation as well as
31 decreases in antioxidant enzymes (Moreno et al., 2005; Jayaraj et al., 2006). Jayaraj et al. (2006)
32 measured oxidative stress in mice treated i.p. with an LD₅₀ dose of MCLR. Significant increases
33 in heat shock protein-70 and hepatic lipid peroxidation were observed. Further, GSH was
34 depleted, and there were decreases in the activity of glutathione peroxidase, superoxide
35 dismutase, catalase, glutathione reductase and glutathione-S-transferase in the animals treated at
36 the LD₅₀. Similarly, Moreno et al. (2005) reported significant reductions in glutathione
37 peroxidase, glutathione reductase, superoxide dismutase and catalase, along with increases in
38 lipid peroxidation, in both the liver and kidney of rats treated intraperitoneally with single doses
39 of MCLR.

40
41 Some studies report the absence of lipid peroxidation during microcystin-induced
42 hepatotoxicity. A time-dependent leakage of LDH, ALT and AST was observed in liver slices
43 with no change observed for glutathione content or lipid peroxidation (Bhattacharya et al., 1996).
44 In addition, Runnegar et al. (1987) indicated that glutathione depletion did not occur until after
45 morphological changes (i.e., blebbing) were observed. Eriksson et al. (1989) indicated that rapid

1 deformation of isolated rat hepatocytes by MCLR was not associated with alterations in
2 glutathione homeostasis.

3 4 **4.4.7.7. Prevention of Liver Toxicity and Lethality**

5
6 Several types of agents have been evaluated as potential chemoprotectants against
7 microcystin toxicity, including inhibitors of bile acid transport, microsomal enzyme inducers,
8 calcium channel blockers, free radical scavengers, water-soluble antioxidants and membrane
9 active antioxidants. It was initially reported that preincubation of hepatocytes with chemicals
10 that interfere with uptake of bile acids (sulfobromophthalein, rifampicin, sodium cholate and
11 sodium deoxycholate) also prevents hepatocyte deformation (Runnegar et al., 1981; Runnegar
12 and Falconer, 1982).

13
14 Hermansky et al. (1991) evaluated several possible chemoprotectants by measuring LDH
15 leakage and lethality following i.p. injection of MCLR. No protective effect was observed using
16 calcium channel blockers, free-radical scavengers or water-soluble antioxidants administered
17 prior to MCLR. Membrane active antioxidants, such as vitamin E, silymarin and glutathione,
18 provided some protection from microcystin toxicity and lethality. Phenobarbital provided partial
19 protection; however, tetrachlorodibenzo-*p*-dioxin did not afford protection, suggesting that the
20 protective effect may not be related to microsomal enzyme induction. Rifampicin and
21 cyclosporine A provided complete protection by blocking uptake of microcystins at the bile acid
22 transporter. Hermansky et al. (1990a,b) reported that rifampicin can be given 15 minutes after
23 MCLR injection and still prevent lethality, while cyclosporine A prevents lethality only if given
24 0.5-3 hours before MCLR injection.

25
26 Silymarin and dithioerythritol, both antioxidants, were shown to reduce MCLR toxicity,
27 as measured by LDH and adenine nucleotide release and light microscopy in primary cultures of
28 adult rat hepatocytes (Mereish and Solow, 1990). Dithioerythritol and silymarin have both been
29 shown to increase the content of reduced thiols (i.e., glutathione). Silymarin has additionally
30 been shown to stabilize membranes, inhibit lipoxygenase, reduce leukotrienes, scavenge free
31 radicals and increase protein synthesis.

32
33 Mereish et al. (1991) indicated that silymarin pretreatment inhibited microcystin liver
34 toxicity in mice (evidenced by histopathology and serum enzyme levels) following i.p. injection,
35 but not oral administration. As discussed above, vitamin E and selenium supplementation in
36 mice provided some protection against liver toxicity and lethality by MCLR (Gehring et al.,
37 2003a,b).

38
39 Thompson and Pace (1992) evaluated several types of agents for their ability to protect
40 against MCLR toxicity in cultured hepatocytes. Toxicity was measured as morphology under
41 light microscopy, LDH release and protein synthesis inhibition. The uptake of MCLR into
42 hepatocytes was also measured. Cytochalasins D&E, fungal metabolites that interfere with actin
43 polymerization into microfilaments, were shown to protect against LDH release and provided
44 moderate protection from rounding and clustering of cells; however, these compounds produced
45 cytotoxicity themselves at concentrations that were required for protection against microcystin
46 toxicity. Cholate and deoxycholate are competitive inhibitors for the bile acid transporter.

1 These compounds provided some protection against LDH leakage, but were also cytotoxic.
2 Trypan blue and trypan red also provided some protection related to blocking microcystin uptake
3 into hepatocytes. The antibiotic rifampicin was shown to prevent microcystin uptake and
4 toxicity at low non-cytotoxic concentrations, suggesting a possible therapeutic use in
5 microcystin poisoning.
6

7 Rao et al. (2004) confirmed that pretreatment with cyclosporine-A, rifampin and
8 silymarin each provided 100% protection against a lethal dose of MCLR (although the route was
9 not specified, it is assumed to be i.p., based on the lethal dose). Protected animals had
10 significantly reduced glutathione and increased hepatic lipid peroxidation up to 7 days after
11 treatment, but levels were returned to normal by 14 days.
12

13 Adams et al. (1985) demonstrated that MCLR lethality following i.p. injection in mice
14 was reduced by pretreatment with a single subcutaneous injection of carbon tetrachloride.
15 Lethality was also reduced in young mice in this study (no deaths at 1 and 2 weeks of age, 23/31
16 deaths at 3 weeks of age), suggesting that normal hepatic function is necessary for the uptake
17 and hepatotoxicity of MCLR. The microsomal enzyme inhibitors SKF525A and cobalt chloride
18 produced no effect on MCLR lethality, indicating that microsomal metabolism is not critical for
19 MCLR toxicity. The administration of hydrocortisone was also shown to protect against MCLR
20 lethality in mice, possibly due to a decrease in the release of arachadonic acid from membrane
21 phospholipids. Naseem et al. (1990) demonstrated that pretreatment of cultured rat hepatocytes
22 with glucocorticoids (flucinolone, dexamethasone and hydrocortisone) reduced the release of
23 arachadonic acid and metabolites caused by MCLR.
24

25 β -Carotene and lutein inhibited the effect of MCLR on hepatocyte morphology in mouse
26 primary hepatocyte cultures (Matsushima-Nishiwaki et al., 1995). β -Carotene protected the
27 cytokeratin network from disassembly and suppressed the hyperphosphorylation of cytokeratins
28 8 and 18. Several carotenoid analogs were evaluated, and the protective effect appeared to be
29 related to the number of *trans* configured double-bonds in the carotenoid.
30

31 **4.4.7.8. Extra-Hepatic Effects of Microcystins**

32

33 An isolated perfused kidney model was used to evaluate the kidney toxicity of MCLR
34 (Nobre et al., 1999, 2001). MCLR produced vascular, glomerular and tubular effects in the
35 exposed kidney. An increase in perfusion pressure was followed by an increase in the
36 glomerular filtration rate (GFR), increased urinary flow rate and a reduction in tubular transport
37 at the proximal tubules. Histopathological changes included protein in the urinary spaces, but
38 were not further described. Dexamethazone and indomethacin were shown to antagonize the
39 effects of MCLR on perfusion pressure, renal vascular resistance (RVR), GFR and urinary flow.
40 These results suggest a role for phospholipase A2 and cyclooxygenase in the kidney toxicity of
41 microcystins. Nobre et al. (2003) utilized rat peritoneal macrophages exposed to MCLR to
42 further investigate the role of inflammatory mediators in the isolated perfused kidney model.
43 Macrophage supernatants from exposed rats caused an increase in RVR, GFR and urinary flow
44 and reduced Na⁺ transport. These effects were reduced by cyclohexamide, dexamethasone and
45 quinacrine, further suggesting the involvement of PLA₂ and other inflammatory mediators in
46 microcystin-induced kidney toxicity.

1
2 Moreno et al. (2003) investigated the effects of MCLR on intestinal physiology following
3 an i.p. injection of 100 µg/kg in rats. Lipid peroxidation was increased in both the serum and the
4 intestinal mucosa of treated rats. With the exception of sucrase, intestinal brush border enzymes
5 were unaffected by MCLR exposure. An increase in the specific activity of acid phosphatase
6 and succinate dehydrogenase in intestinal homogenates suggests an effect of MCLR on
7 lysosomal and mitochondrial membranes, respectively. Nobre et al. (2004) used perfused rat
8 ileal segments and ligated intestinal loops to evaluate the effect of MCLR on electrolyte and
9 water secretion. MCLR caused significant secretion of water, sodium, potassium and chloride.
10 Aziz (1974) observed that a dialyzable component of whole cell lysate from *M. aeruginosa*
11 caused fluid accumulation in the ligated small intestine of guinea pigs.
12

13 Sicińska et al. (2006) evaluated the effects of MCLR on human erythrocytes *in vitro*.
14 MCLR exposure resulted in the formation of echinocytes, hemolysis, conversion of
15 oxyhemoglobin to methemoglobin, and a decrease in membrane fluidity. In addition, measures
16 of oxidative stress were affected in treated erythrocytes; glutathione reductase and superoxide
17 dismutase activity were decreased, while ROS and lipid peroxidation were increased.
18

19 Several studies have evaluated the effects of MCLR on immune system components *in*
20 *vitro* (Lankoff et al., 2004; Teneva et al., 2005; Chen et al., 2005; Kujbida et al., 2006). Lankoff
21 et al. (2004) reported that MCLR inhibited B-cell proliferation in human and chicken peripheral
22 blood lymphocytes at all concentrations tested, and decreased T-cell proliferation only at the
23 highest concentration. Apoptosis was enhanced in both human and chicken lymphocytes
24 (Lankoff et al., 2004). Similarly, MCLR was cytotoxic to mouse splenocytes, and caused
25 apoptosis in B-cells but not in T-cells (Teneva et al., 2005).
26

27 Kujbida et al. (2006) assessed the effects of MCLR and [Asp3]-MCLR on human
28 polymorphonuclear lymphocytes (PMNs) *in vitro*. Both compounds caused migration of
29 neutrophils in a chemotaxis chamber, suggesting that PMNs may migrate from the blood stream
30 to organs that concentrate microcystins, such as the liver. In addition, both caused a dose-related
31 increase in ROS production as measured by chemiluminescence of PMN degranulation products
32 that accompany ROS production. The phagocytosis of *Candida albicans* by PMNs was
33 increased after exposure to either compound, but only MCLR increased the intracellular killing
34 of *C. albicans*. These findings suggest the possibility that PMNs may mediate some of the toxic
35 effects of microcystins.
36

37 **4.4.8. Genotoxicity and Cell Proliferation**

38

39 Available data give conflicting results when purified MCLR has been tested for
40 mutagenicity. Pure MCLR did not induce mutations in the Ames assay either with or without
41 metabolic activation, although microcystin-containing extracts did induce mutations (Ding et al.,
42 1999). A crude toxin extracted from *M. aeruginosa* did not induce mutations in the Ames assay
43 (Grabow et al., 1982). In contrast, Suzuki et al. (1998) observed increased ouabain resistance
44 mutation frequency in human embryo fibroblast cells treated with MCLR (purity not specified).
45 Similarly, Zhan et al. (2004) reported a 5-fold increase over control in the frequency of
46 thymidine kinase mutations when human lymphoblastoid TK6 cells were treated with

1 commercially-obtained MCLR. More slow-growing mutants were observed than fast-growing
2 mutants, suggesting that the mutation damage was larger than the TK locus, and that MCLR
3 induced large deletions, recombinations or rearrangements. Repavich et al. (1990) reported that
4 Ames assays (using strains TA98, TA100 and TA102) of a purified hepatotoxin (supplied by
5 Wright State University and presumed to be microcystin) were negative, as were *Bacillus subtilis*
6 multigene sporulation assays.

7
8 The conflicting information on mutagenicity may be related to differences in the cell
9 uptake of MCLR. For example, the failure of MCLR to induce mutations in bacterial cells may
10 be related to poor uptake. Zhan et al. (2004) reported that MCLR is not taken up by many cell
11 types, including bacteria; however, the authors did not provide references to support this
12 assertion. While hepatocytes take up MCLR at a significant rate, other cell types show limited
13 or no uptake unless measures are taken to enhance the penetration of the cells by MCLR. The
14 cellular uptake of microcystins is discussed in detail in Sections 3.2 (Distribution) and 4.4.7
15 (Mechanistic Studies).

16
17 A number of studies have reported DNA damage after MCLR treatment *in vivo* (Rao and
18 Bhattacharya, 1996), and in primary rat hepatocytes (Ding et al., 1999) and human hepatoma
19 cells (Zegura et al., 2003, 2004). Recent studies suggest that apoptosis may be intimately linked
20 to observations of DNA damage in cells treated with MCLR. Lankoff et al. (2004) showed a
21 strong correlation between DNA damage, as measured by the comet assay, and the induction of
22 apoptosis, as measured by the terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick
23 end-labeling (TUNEL) assay, in human lymphocytes. Other evidence has suggested that the
24 comet assay can give a false positive measure of DNA damage when apoptosis is induced, as
25 DNA fragmentation is one consequence of apoptosis induction (Lankoff et al., 2004). The
26 authors postulated that earlier reports of DNA damage measured by the comet assay may have
27 been related to early stages of apoptosis due to cytotoxicity rather than a direct effect on DNA.
28 The induction of apoptosis appears to be dose-related. Humpage and Falconer (1999) showed
29 that low (picomolar) concentrations of commercially-obtained MCLR induced cytokinesis and
30 inhibited apoptosis in primary mouse hepatocytes, while higher (nanomolar) concentrations
31 resulted in the inverse effects.

32
33 Bouaïcha et al. (2005) reported that noncytotoxic concentrations of MCLR did not cause
34 the formation of hydrophobic DNA adducts in primary cultured rat hepatocytes treated *in vitro*,
35 but did decrease the amount of endogenous hydrophobic adducts. MCLR was also shown to
36 cause a dose- and time-dependent increase in the formation of 8-oxo-7,8-dihydro-2'-
37 deoxyguanosine (a measure of oxidative DNA damage) both in cultured hepatocytes and in rat
38 liver cells after *in vivo* treatment via i.p. injection (Maatouk et al., 2004; Bouaïcha et al., 2005).

39
40 Conflicting results have been reported in studies of MCLR-induced clastogenicity.
41 MCLR (commercially-obtained) has induced micronuclei in human lymphoblastoid cells and
42 mouse bone marrow erythrocytes (Ding et al., 1999; Zhan et al., 2004). Lankoff et al. (2004)
43 observed no effect of MCLR on the incidence of chromosomal aberrations in human peripheral
44 blood lymphocytes. Observations of polyploidy in MCLR-treated cells (Humpage and Falconer,
45 1999; Lankoff et al., 2003) may be related to its effects on cytokinesis. Lankoff et al. (2003)
46 showed that MCLR, through its effect on microtubules, damages the mitotic spindle, leading to

1 the formation of polyploid cells. Repavich et al. (1990) reported a dose-related increase in
2 chromosome breakage in human lymphocytes exposed to a purified hepatotoxin (presumed to be
3 a microcystin).

4
5 Mechanistic evidence provides support for the hypothesis that MCLR can act as a
6 promoter at low doses. Zhu et al. (2005) reported that MCLR can transform immortalized
7 colorectal crypt cells, resulting in anchorage-independent growth and enhanced proliferation.
8 MCLR has been shown to increase the expression of the bcl-2 protein (that inhibits apoptosis)
9 and decrease the expression of the bax protein (that induces apoptosis) (Hu et al., 2002).
10 Further, MCLR upregulates the transcription factors c-fos and c-jun, leading to abnormal
11 proliferation (Zhao and Zhu, 2003). Gehring (2004) reviewed the molecular mechanisms
12 leading to promotion by MCLR and the related tumor promoter, okadaic acid. Gehring (2004)
13 reported that MCLR inhibits protein phosphatase PP2A, which regulates several mitogen-
14 activated protein kinases (MAPK). The MAPK cascade regulates transcription of genes required
15 for cell proliferation, including c-jun and c-fos. In addition, activation of the MAPK cascade has
16 been postulated to inhibit apoptosis and thus increase cell proliferation. Finally, Gehring
17 (2004) noted that MCLR has been reported to increase phosphorylation of p53, which is
18 involved in the regulation of the cell cycle and apoptosis as well as the carcinogenic process.

19 20 **4.4.9. Structure-Activity Relationships**

21
22 With a few exceptions, microcystin congeners exhibit i.p. LD₅₀ values between 50 and
23 300 µg/kg in mice (Rinehart et al., 1994; WHO, 1999). MCLR is one of the most potent
24 congeners (i.p. LD₅₀ approximately 50 µg/kg). Limited comparative testing of *in vitro* protein
25 phosphatase inhibition (IC₅₀) of MCLR, -RR and -YR resulted in IC₅₀ values of 1.6, 3.4 and 1.4
26 nM, respectively (Yoshizawa et al., 1990), indicating that microcystin congeners may be
27 relatively similar in protein inhibition potency. Pharmacokinetic differences among the various
28 microcystin congeners may be at least partially responsible for observed variations in lethal
29 potency (Ito et al., 2002). Microcystin congeners of varying hydrophobicity were shown to
30 interact differently with lipid monolayers (Vestervik and Meriluoto, 2003). Effects on
31 membrane fluidity could alter the cellular uptake of these toxins.

32
33 Wolf and Frank (2002) proposed toxicity equivalency factors (TEFs) for the four major
34 microcystin congeners based on LD₅₀ values obtained after i.p. administration. The proposed
35 TEFs, using MCLR as the index compound (TEF=1.0) were 1.0 for MCLA and MCYR and 0.1
36 for MCRR. The application of TEFs based on i.p. LD₅₀ values to assessment of risk from oral or
37 dermal exposure is questionable given that differences in lipophilicity and polarity of the
38 congeners may lead to variable absorption by non-injection routes of exposure.

39
40 The molecular interaction between microcystins and the catalytic subunits of protein
41 phosphatases has been extensively studied (see Section 4.4.7.3 for more detail). The interaction
42 was shown to occur in two phases. The first phase occurs within minutes and results in rapid
43 inactivation of the phosphatase (Craig et al., 1996). The amino acids Glu and Adda appear to be
44 important for the rapid inactivation of the protein phosphatases and for subsequent toxicity
45 (Harada et al., 1990; Nishiwaki-Matsushima et al., 1991; Namikoshi et al., 1993; Rinehart et al.,
46 1994; Gullledge et al., 2002, 2003a,b). The carboxyl group of the Glu residue in MCLR

1 apparently interacts with a metal (Fe, Mn) ion in the PP1 catalytic site (Mattila et al., 2000). The
2 Adda side chain is involved in a hydrophobic interaction between the Trp 206 and Ile130
3 residues in the hydrophobic groove of PP1 (Herfindal and Selheim, 2006). The few apparently
4 non-toxic (i.p. LD₅₀ values >1000 µg/kg) microcystin congeners exhibit structural alterations in
5 the Adda or Glu regions (Harada et al., 1990; Stotts et al., 1993; Rinehart et al., 1994).

6
7 The second, slower phase of interaction represents a covalent interaction between the
8 methylene of the MDha residue of microcystins and the thiol of Cys273 located at the C-terminal
9 of PP1 that takes place over several hours (Craig et al., 1996). Microcystin analogues containing
10 a reduced MDha residue are not capable of covalent binding to protein phosphatases
11 (MacKintosh et al., 1995; Craig et al., 1996; Maynes et al., 2006). The importance of covalent
12 bonding to the toxic effect of microcystins is uncertain, as other interactions are apparently
13 responsible for the rapid inactivation of the enzymes (Herfindal and Selheim, 2006).
14 Modifications to either molecule (microcystin or protein phosphatase) to prevent covalent
15 bonding generally decrease, but do not eliminate the toxic action (Meriluoto et al., 1990;
16 MacKintosh et al., 1995; Hastie et al., 2005; Herfindal and Selheim, 2006).

17 18 **4.5. MODE OF ACTION – NONCANCER AND CANCER**

19
20 Microcystins appear to result in different cellular effects depending on dose. In a review
21 of the mechanistic data on microcystins, Gehringer (2004) postulated a dualistic response for
22 microcystins and okadaic acid (another potent inhibitor of PP1 and PP2A). Gehringer (2004)
23 outlined evidence suggesting that at high doses, microcystins cause alterations in cellular
24 structure and function that may lead to cell death via apoptosis or necrosis, while at low doses,
25 microcystins inhibit apoptosis and cause cell proliferation. The high-dose effects are likely to be
26 responsible for the acute toxicity and lethality of microcystins and are discussed below.

27 **4.5.1. Target Organ Specificity**

28
29 The liver is the primary site of toxicological action for microcystins after oral, i.v., i.p.
30 and intranasal instillation exposure. Acute and short-term exposures to microcystins have
31 resulted in intrahepatic hemorrhage in both rats and mice (Ito et al., 1997a; Fawell et al., 1999;
32 Heinze, 1999). One subchronic study in mice also showed liver effects (Fawell et al., 1999),
33 including hepatocyte degeneration, chronic inflammation and hemosiderin deposits, but no
34 hemorrhage. Liver hemorrhage, resulting from apoptosis and necrosis of hepatocytes leading to
35 disintegration of hepatic architecture, appears to be the most prominent effect observed in
36 available toxicological studies,. The main reason for this target organ specificity is the greater
37 cellular uptake of microcystins by hepatocytes compared with other cells. Microcystins are
38 actively transported into hepatocytes by the bile acid transporter system, while uptake by other
39 cell types is limited by the lack of an active transport system. *In vitro* studies demonstrate that
40 preincubation of hepatocytes with compounds that block the uptake of bile acids prevent damage
41 to the hepatocyte from microcystin exposure (Runnegar et al., 1981, 1993, 1995a; Runnegar and
42 Falconer, 1982; Eriksson et al., 1990a; Hermansky et al., 1990a,b, 1991). Treatment of various
43 cell types with microcystins results in rapid damage to hepatocytes, while changes in other cell
44 types occur after much longer exposure (Khan et al., 1995; Wickstrom et al., 1995; McDermott
45 et al., 1998).

4.5.2. Key Events in the Mode of Action for Liver Toxicity and Hemorrhage

Available mechanistic data indicate that the hepatotoxic effects of microcystins begin with one or two molecular effects: inhibition of protein phosphatases and induction of oxidative stress. At present, it is not clear whether the two effects are linked, whether they lead independently to similar cellular effects (e.g., cytoskeletal damage and apoptosis), or whether one effect is the predominant cause of hepatocellular damage. In both cases, the initial cellular effects are associated with cytoskeletal changes and the induction of apoptosis. These alterations in the structural integrity and function of hepatocytes lead to profound hepatotoxicity and hemorrhage. In summary, the key events in the hepatotoxicity of microcystins appear to be:

1. Molecular events (inhibition of protein phosphatase and/or induction of oxidative stress);
2. Cellular effects (cytoskeletal damage and/or apoptosis); and
3. Tissue damage (altered liver structure and function, and intrahepatic hemorrhage).

The molecular events and cellular effects leading to tissue damage are discussed further below.

4.5.2.1. Molecular Events

Protein Phosphatase Inhibition. As discussed above in Section 4.4.7.3, microcystins are potent inhibitors of serine and threonine PP1 and PP2A. Inhibition of these protein phosphatases results in aberrant phosphorylation of a number of cellular proteins, with the potential for multiple effects on the cell. Current data suggest that the inhibition of PP1/PP2A by microcystins can trigger cytoskeletal damage and apoptosis.

One outcome of microcystin-induced inhibition of PP1 and PP2A is the hyperphosphorylation of cytokeratin intermediate filament proteins (Falconer and Yeung, 1992; Ohta et al., 1992; Wickstrom et al., 1995; Blankson et al., 2000). Specifically, microcystin exposure results in hyperphosphorylation of keratins 8 and 18 and desmoplakin I/II (Toivola et al., 1997). It has been suggested that protein kinases PKC, PKA or the calcium/calmodulin-dependent kinase may play a role in the hyperphosphorylation of these proteins (Gehringer, 2004). The hyperphosphorylation of desmoplakin I/II results in the loosening of cell junctions and loss of interactions with cytoplasmic intermediate filaments, while the hyperphosphorylation of keratins 8 and 18 leads to increased solubility. Some of the morphological changes observed in hepatocytes (e.g., blebbing, rounding) may result from the hyperphosphorylation of cytokeratin intermediate filament proteins.

Guzman et al. (2003) reported an increase in the phosphorylation of p53 in rat livers after i.p. exposure to MCLR. PP1 and PP2A help to regulate the activity of p53 through dephosphorylation. Thus, inhibition of these enzymes can result in hyperphosphorylation of p53. Increases in the phosphorylation of p53 can cause an increase in the transcription of p21^{WAF1}, which in turn inhibits cyclin D-, E- and A-dependent kinases. The result of the latter inhibition is to stall the cell cycle in G1 phase (Gehringer, 2004). This disruption of cell cycling can allow for repair of DNA damage or for apoptosis to occur. For MCLR, there is evidence of

1 hyperphosphorylation of p53, but other steps in this cascade of events have not yet been
2 investigated.

3
4 *Oxidative Stress.* A number of studies indicate that oxidative stress may play a role in
5 microcystin-induced hepatotoxicity. As noted above in Section 4.4.7.5, studies of
6 chemoprotectants show that several antioxidants can provide protection against the toxicity of
7 microcystins. Among the antioxidants shown to protect against the effects of microcystin, either
8 *in vitro* or *in vivo*, are vitamin E, silymarin, dithioerythritol, desferoxamine, N-acetylcysteine,
9 superoxide dismutase and glutathione. Further, dose and time-dependent increases in reactive
10 oxygen species have been shown to precede morphological changes in hepatocytes, and the
11 addition of superoxide dismutase prevents the cytoskeletal collapse caused by microcystins.
12 Ding and Ong (2003) have proposed two primary pathways by which microcystins increase
13 oxidative stress leading to cell death. First, microcystins may deplete glutathione, leading to
14 oxidative damage and cell death. Second, microcystins may increase the production of ROS by
15 disrupting the mitochondrial electron transport chain, leading to mitochondrial permeability
16 transition and apoptosis.

17
18 Microcystins may enhance oxidative stress by altering glutathione homeostasis; however,
19 the importance of glutathione homeostasis in MCLR-induced hepatotoxicity is not clear.
20 Glutathione serves as an intracellular antioxidant, by scavenging free radicals, by serving as a
21 substrate for the reduction of hydrogen peroxide by glutathione peroxidase and by detoxifying
22 xenobiotics. In addition, depletion of glutathione can disrupt microfilament structures in some
23 cell types (Ding and Ong, 2003). MCLR lethality has been prevented in mice by pretreatment
24 with glutathione (Hermansky et al., 1991), and hepatocytes pretreated with a glutathione
25 precursor were likewise protected from MCLR toxicity. Some studies have shown glutathione
26 depletion after microcystin exposure (Runnegar et al., 1987); however, depletion did not occur
27 until after membrane blebbing had been observed. Other studies have reported an increase in
28 glutathione after MCLR exposure (Ding et al., 2000a; Bouïacha and Maatouk, 2004). Finally,
29 Eriksson et al. (1989) indicated that the rapid deformation of rat hepatocytes after MCLR
30 exposure was not associated with changes in glutathione levels. Thus, the role of glutathione
31 homeostasis in MCLR-induced hepatotoxicity has not yet been determined.

32
33 A variety of studies have demonstrated the importance of mitochondrial permeability
34 transition in the apoptotic cascade induced by MCLR (see Ding and Ong, 2003; Gehringer,
35 2004). In particular, studies have shown that pretreating hepatocytes with cyclosporin A, a
36 specific inhibitor of MPT, prevented cell death from microcystin exposure (Ding and Ong, 2003;
37 Gehringer, 2004). Ding and Ong (2003) outlined the following pathways for MCLR-induced
38 apoptosis via MPT. First, microcystin disrupts the mitochondrial electron transport chain,
39 leading to the release of reactive oxygen species from mitochondria and mitochondrial
40 permeability transition. MPT triggers a release of cytochrome c and mitochondrial calcium.
41 Cytochrome c may activate one or more caspases that trigger apoptosis, although neither
42 caspase-9 nor caspase-3 appear to be involved based on current information (Ding and Ong,
43 2003; Gehringer, 2004). The release of mitochondrial calcium activates calpain and
44 calcium/calmodulin-dependent protein kinase II, both of which also lead to apoptosis.

1 **4.5.2.2. Cellular Effects**

2
3 *Cytoskeletal Changes.* Morphological changes observed in hepatocytes treated *in vitro*
4 with MCLR include membrane blebbing, cell rounding and dissociation. Membrane blebs
5 become localized in one region of the cell, and microfilaments are reorganized as a compact
6 spherical body near the blebbing (Runnegar and Falconer, 1986; Eriksson et al., 1989; Hooser et
7 al., 1991b; Falconer and Yeung, 1992; Wickstrom et al., 1995; Ding et al., 2000a). These
8 morphological changes occur before cell viability or cell membrane integrity is affected.
9 Electron microscopy of isolated perfused rat liver showed that these cellular effects led to loss of
10 sinusoidal architecture, dilation of bile canaliculi and the space of Disse and decreased
11 intercellular contact (Pace et al., 1991). Intrahepatic hemorrhage results from the breakdown of
12 liver structure, and the liver is rapidly engorged with blood.

13
14 *Apoptosis.* Membrane blebbing is also a characteristic of the apoptotic process
15 (Gehring, 2004). A growing body of evidence indicates that microcystin exposure can trigger
16 apoptosis. Hooser (2000) used several visualization (light and electron microscopy) and
17 analytical techniques (TUNEL and electrophoresis to evaluate DNA laddering) to demonstrate
18 widespread apoptosis in the livers of rats 3 hours after an i.p. dose of MCLR. Characteristic
19 apoptotic changes including cell rounding, shrinkage, disassociation, loss of microvilli and
20 chromatin margination and condensation were observed in a majority of hepatocytes. The author
21 postulated that the rapidity with which the apoptotic process occurred overwhelmed the
22 phagocytic capacity of the liver, such that apoptotic hepatocytes depleted their energy stores and
23 later underwent necrosis. In mice, apoptotic hepatocytes have also been observed, but not to the
24 degree reported in rats. Hooser (2000) postulated that intrahepatic hemorrhage and death
25 occurred so quickly in mice that cellular changes characteristic of apoptosis did not have time to
26 develop.

27 **4.5.3. Conclusion**

28
29 The mechanisms by which microcystins induce hepatic damage have not been fully
30 elucidated. Available evidence suggests roles for both protein phosphatase inhibition and
31 oxidative stress as important molecular events, since chemoprotectant studies show that
32 pretreatment with compounds that inhibit either of these effects can protect against
33 hepatotoxicity in MCLR-treated animals. It is possible, even likely, that microcystin exposure
34 triggers a series of independent or linked events that cause cytoskeletal damage and/or apoptosis,
35 given the numerous cellular functions controlled by PP1 and PP2A, as well as the number of
36 effects triggered by oxidative stress. These cytoskeletal and apoptotic changes apparently lead
37 to the altered hepatic structure/function and intrahepatic hemorrhage observed in animal studies.

4.6. SYNTHESIS AND EVALUATION OF MAJOR NONCANCER EFFECTS

The preponderance of toxicological data on the effects of microcystins is restricted to the MCLR congener. A single, poorly-described study, reported only in a secondary source is available for the LA congener. Data on the YR and RR congeners are limited to i.p. LD₅₀ values and measures of relative inhibition of protein phosphatases. As a result, this section largely describes the available information on the toxic effects of MCLR, with limited reference to other congeners.

Anecdotal reports indicate that, in humans, exposure to cyanobacterial blooms (including microcystin-producing genera) can result in neurological, gastrointestinal and dermatological symptoms, such as headache; muscle weakness; eye, ear and throat irritation; nausea; stomach pain; diarrhea; blistering around the mouth; and hay-fever like symptoms (Dillenberg and Dehnel, 1960; Billings, 1981; Turner et al., 1990; Teixeira et al., 1993; el Saadi and Cameron, 1993). Effects were reported in persons exposed via recreational contact (swimming, boating) and drinking water. Turner et al. (1990) also reported pneumonia in army recruits exposed to a cyanobacterial bloom. Symptoms occurring after exposure to cyanobacteria cannot be directly attributed to microcystin toxins (or other endotoxins); some effects may result from exposure to the cyanobacterial cells themselves, or from exposure to multiple toxins in the bloom.

The primary noncancer health effect of exposure to MCLR is liver damage. The liver is targeted largely because hepatocytes are among only a few cell types that actively take up microcystins, which do not readily cross the cell membrane. Severe liver damage (diffuse individual hepatocyte necrosis, cell-plate disruption and apoptosis) occurred in dialysis patients exposed to microcystins³ in dialysate (Jochimsen et al., 1998; Pouria et al., 1998; Carmichael et al., 2001; Azevedo et al., 2002). At high acute doses in laboratory animals, MCLR caused potentially fatal hemorrhaging within the liver. While the liver is the usual target of microcystin toxicity, there have been some reports of effects in other systems, including hematological, kidney, cardiac, neurological and gastrointestinal effects. It has been suggested that some effects in other organs observed after high doses of MCLR may result from ischemia or hypoxia caused by hepatic hemorrhage. However, some effects outside the liver have been observed in the absence of hemorrhage.

Much of the toxicological data on microcystins are limited to reports of liver effects after single lethal or sublethal doses administered via i.p. injection. These studies indicate that injected doses of 50-200 µg/kg MCLR or MCYR are usually lethal in mice and rats within a few hours (Adams et al., 1988; Hooser et al., 1989a; Hermansky et al., 1990c; Stotts et al., 1993; Gupta et al., 2003; Rao et al., 2005). Miura et al. (1991) showed that the median time to death is greatly increased in fed rats (32 hours) when compared with fasted rats (less than 2 hours). The authors suggest that fasting may increase the sensitivity of animals to the mitochondrial toxicity of microcystins, although this could not be conclusively demonstrated. In the liver, MCLR destroys the cytoskeleton of hepatocytes, leading to hepatocyte disassociation, degeneration,

³ Exposure was to untreated water containing cyanobacteria. The presence of microcystins was confirmed in patient biopsy samples; however, it is possible that the patients may also have been exposed to other microbial or chemical contaminants.

1 apoptosis and necrosis (Hermansky et al., 1990c; Hooser et al., 1991b). Hepatic hemorrhage and
2 disintegration of the liver architecture follow quickly (Hooser et al., 1991b). Effects reported to
3 occur outside the liver include pulmonary thrombi derived from necrotic hepatocytes, kidney
4 effects such as dilation of cortical tubules and eosinophilic material in the cortical tubules, and
5 degeneration and necrosis of myocardial cells (Adams et al., 1988; LeClaire et al., 1988; Zhang
6 et al., 2002). As previously stated, some of these effects may occur secondary to hepatic
7 hemorrhage.
8

9 Injection studies suggest a very steep dose-response curve for acute liver effects from
10 microcystin exposure. In several studies, mice and rats receiving single i.p. doses of 20-40
11 µg/kg MCLR showed no clinical toxicity and few or no gross or microscopic effects in the liver
12 or other organs (Hooser et al., 1989a; Lovell et al., 1989a; Hermansky et al., 1990c), while i.p.
13 doses of 50-200 µg/kg are usually lethal within a few hours (Hooser et al., 1989a; Hermansky et
14 al., 1990c; Stotts et al., 1993; Gupta et al., 2003).
15

16 **4.6.1. Oral**

17

18 Table 4-11 provides a summary of the noncancer effects from repeated-dose oral studies
19 of MCLR toxicity in laboratory animals. The table includes all of the studies that used purified
20 microcystins as the test substance. As the table indicates, the toxicological database for effects
21 of microcystins after oral exposure is limited.
22

23 *Liver Effects.* One study of human exposure to drinking water before, during and after a
24 bloom of *M. aeruginosa* reported a significant increase in GGT levels during the bloom
25 compared with levels before the bloom (Falconer et al., 1983). The study population consisted
26 of all persons subjected to liver function tests in the area served by the affected drinking water
27 supply; as such, it is not representative of the general population. The liver is the primary target
28 organ when laboratory animals are exposed to high doses of MCLR. Oral exposure to single 500
29 µg/kg doses of MCLR caused diffuse hemorrhage in the liver of mice and rats; more pronounced
30 liver damage occurred at higher doses (Ito et al., 1997a; Fawell et al., 1999). Young mice (5
31 weeks old) did not develop signs of hepatotoxicity at 500 µg/kg MCLR, while aged mice (32
32 weeks old) developed clear signs (Ito et al., 1997a). This difference may result in part from
33 differences in gastrointestinal absorption of microcystins, but cannot be entirely explained by
34 absorption differences, since similar age-dependent effects were reported after i.p. exposure
35 (Adams et al., 1985; Rao et al., 2005).
36

37 A single 28-day study of oral exposure to 50 or 150 µg/kg MCLR in drinking water
38 showed increased liver weight, slight to moderate liver lesions with hemorrhages and increased
39 ALP and LDH in rats exposed at 50 µg/kg-day (Heinze, 1999). A subchronic study in mice
40 using a similar dose range identified a LOAEL of 200 µg/kg (Fawell et al., 1999). At this dose,
41 mild liver lesions including chronic inflammation, hemosiderin deposits and single hepatocyte
42 degeneration were observed, as well as increased ALT and AST in male animals. The 40 µg/kg
43 dose was identified as a NOAEL. Mild hepatocyte injury was reported in mice given 80 or 100
44 gavage doses of 80 µg/kg each over 28 weeks, corresponding to time-weighted average doses of
45 33-41 µg/kg-day (Ito et al., 1997b). Based on the report, it appears that a limited postmortem

Table 4-11. Summary Noncancer Results in All Animal Studies of Oral Exposure to Purified Microcystin-LR

Species	Sex	Average Daily Dose (µg/kg-day)	Exposure	NOAEL (µg/kg-day)	LOAEL (µg/kg-day)	Responses	Comments	Reference
Acute Exposure								
Rat	M/F	500, 1580, 5000	Single gavage	ND	5000*	Mortality; diffuse hepatic hemorrhage at lower doses	No untreated controls. Dose-dependent increase in hepatotoxicity	Fawell et al., 1999
Mouse	M/F	500, 1580, 5000	Single gavage	ND	1580*	Mortality; diffuse hepatic hemorrhage at lower dose	No untreated controls. Dose-dependent increase in hepatotoxicity	Fawell et al., 1999
Mouse	F	8000, 10000, 12500	Single gavage	ND	12500*	Mortality (2/2); hypertrophic hepatocytes, fibrosis in centrilobular and midzonal regions at lower doses	No untreated controls. 1-2 animals/dose group.	Yoshida et al., 1997
Mouse	M	0, 500	Single gavage	ND	500 (aged mice only)	Centrilobular hepatic hemorrhage and necrosis; necrosis of intestinal mucosa and duodenal damage	Effects observed in aged (32 week-old) mice; no effects on liver or gastrointestinal tract in young (5 week-old) mice	Ito et al., 1997a
Short-Term Exposure								
Rat	M	0, 50, 150	Drinking water, 28 day	ND	50	Slight to moderate degenerative and necrotic hepatocytes with hemorrhages; increased serum enzymes (ALP and LDH)		Heinze, 1999

1

Table 4-11. cont.								
Species	Sex	Average Daily Dose (µg/kg-day)	Exposure	NOAEL (µg/kg-day)	LOAEL (µg/kg-day)	Responses	Comments	Reference
Subchronic Exposure								
Mouse	M/F	0, 40, 200, 1000	Daily gavage, 13 weeks	40	200	Minimal/slight chronic inflammation with hemosiderin deposits and single hepatocyte degeneration; increased serum enzymes (ALT and AST)		Fawell et al., 1999
Chronic Exposure								
Mouse	F	0, 3	Drinking water, 18 months	3	ND	No effects on survival, body weight, hematology, serum biochemistry, organs or histopathology	Minor changes in ALP and cholesterol not considered toxicologically significant by researchers	Ueno et al., 1999
Mouse	Not given	Not available	Gavage, 80 µg/kg, 80-100 times over 28 weeks	ND	ND	Light injuries to hepatocytes in the vicinity of the central vein	Only liver examined; only three control animals; dosing frequency unclear	Ito et al., 1997b
Developmental Toxicity								
Mouse	F	0, 200, 600, 2000	Gavage, GD 6-15	600	2000*	Maternal mortality (7/26) and morbidity (2/26 humanely sacrificed); reduced fetal body weight, delayed skeletal ossification	Authors defined 600 µg/kg-day as NOAEL but did not present data on reproductive or developmental parameters to support identification of LOAEL	Fawell et al., 1999

2 * Frank Effect Level (FEL)

1 examination was conducted in this study, which was primarily aimed at evaluating
2 carcinogenicity. No liver or other toxicity was reported in female mice given approximately 3
3 $\mu\text{g}/\text{kg}$ -day MCLR in drinking water for 18 months (Ueno et al., 1999).

4
5 *Neurological Effects.* The database contains scattered reports of neurological symptoms
6 after exposure to high doses of MCLR. Dialysis patients exposed to microcystins in dialysate
7 reported symptoms such as visual disturbance, blindness, vertigo, headache and muscle
8 weakness (Jochimsen et al., 1998). Clinical signs in mice and rats orally exposed to lethal doses
9 (about 5000 $\mu\text{g}/\text{kg}$) include hypoactivity and piloerection (Fawell et al., 1999).

10
11 *Other Organs.* Gastrointestinal effects (necrosis, duodenal damage) were observed in
12 aged mice exposed orally to single 500 $\mu\text{g}/\text{kg}$ doses of MCLR (Ito et al., 1997a). Kidney effects
13 including eosinophilic materials in the Bowman's spaces were observed in two mice exposed to
14 a lethal dose of 12.5 mg/kg (Yoshida et al., 1997). Female mice exposed subchronically to 1000
15 $\mu\text{g}/\text{kg}$ had slight increases in hemoglobin concentration, erythrocyte count and packed cell
16 volume (Fawell et al., 1999). Milutinovic et al. (2002, 2003) briefly reported that kidney effects
17 are more pronounced than liver effects in rats chronically exposed to i.p. doses of MCLR and
18 MCYR (time weighted average dose, 5 $\mu\text{g}/\text{kg}$ for 8 months). Details of the liver examinations
19 were not reported in this study, limiting the usefulness of these data.

20
21 *Developmental Effects.* A single oral study of developmental toxicity in mice reported
22 maternal toxicity, liver effects and deaths in some dams treated at the highest dose of MCLR
23 (2000 $\mu\text{g}/\text{kg}$ during GD 6-15), along with reduced fetal body weight and delayed skeletal
24 ossification. No effects on reproductive or developmental parameters were observed in other
25 treatment groups, and 600 $\mu\text{g}/\text{kg}$ was identified as a NOAEL for developmental toxicity (Fawell
26 et al., 1999). One study of developmental toxicity after i.p. injection of 32-238 $\mu\text{g}/\text{kg}$ MCLR in
27 mice confirmed the lack of developmental or reproductive effects in the absence of maternal
28 toxicity (Chernoff et al., 2002). A study in which an extract of *M. aeruginosa* (estimated to
29 contain about 14 $\mu\text{g}/\text{L}$ unspecified toxin) was administered in the drinking water to mice before
30 and during pregnancy revealed small brains in 7 of 73 pups from treated parents and none in
31 untreated controls (Falconer et al., 1988). The litter distribution of the affected pups was not
32 reported by the authors. It is not possible to attribute this effect to microcystin exposure, as the
33 extract may have contained other compounds.

34 *In vitro* studies suggest that MCLR can disrupt the cytoskeleton of embryonic cells,
35 causing cell detachment, retarding division or causing cytolysis (Sepulveda et al., 1992; Frangez
36 et al., 2003; Zuzek et al., 2003). MCLR effects on these and other cell types may be limited by
37 the degree of uptake. Frangez et al. (2003) showed that an intact zona pellucida prevented
38 effects in rabbit whole embryo cultures.

39 40 **4.6.2. Inhalation**

41
42 Very limited information is available on the toxicity of MCLR via inhalation exposure.
43 The available data indicate that short-term inhalation of a low concentration of MCLR can cause
44 local damage to the epithelial cells of the nasal cavity. A single study of inhalation exposure in

1 mice revealed dose-dependent damage to the respiratory and olfactory epithelial cells of the
2 nasal cavity (Benson et al., 2005). Exposure occurred over 7 days at 260 µg/m³ for 30, 60 and
3 120 minutes per day (authors estimated the deposited doses as 3, 6 and 12 µg/kg). No effects on
4 the liver or other organs were observed.

5
6 Several limited lines of evidence suggest that high doses of MCLR via respiratory
7 exposure routes can lead to systemic uptake with subsequent liver effects. Systemic uptake of
8 MCLR by respiratory routes of exposure has been demonstrated in studies of acute, high-dose
9 exposure (Creasia, 1990; Fitzgeorge et al., 1994; Ito et al., 2001). Importantly, the LD₅₀ for
10 MCLR given via either intranasal or intratracheal instillation is similar to that of MCLR given
11 via i.p. injection (Fitzgeorge et al., 1994; Ito et al., 2001). As with i.p. and oral exposure, liver
12 hemorrhage is the proximate cause of death in animals lethally dosed via intranasal or
13 intratracheal instillation (Fitzgeorge et al., 1994; Ito et al., 2001). Further evidence of systemic
14 effects comes from a brief abstract describing lethality in mice exposed via inhalation (nose
15 only) to MCLR aerosols. Creasia (1990) reported an LC₅₀ for MCLR of 18 mg/m³ air for 10
16 minutes (authors estimated the deposited dose as 45 µg/kg), and indicated that histopathological
17 findings in deceased mice were similar to those reported after i.v. dosing. Ito et al. (2001)
18 suggested that MCLR could enter the bloodstream either via local damage to the nasal mucosa
19 leading to exposure of the nasal blood vessels, or through transport to the lung and absorption
20 into alveolar capillaries.

21 22 **4.7. WEIGHT-OF-EVIDENCE EVALUATION AND CANCER** 23 **CHARACTERIZATION**

24 25 **4.7.1. Summary of Overall Weight of Evidence**

26
27 Applying the Guidelines for Carcinogen Risk Assessment (U.S. EPA, 2005a), there is
28 *inadequate information to assess carcinogenic potential* of microcystins by the oral, dermal or
29 inhalation routes of exposure. One poorly-described long-term carcinogenicity bioassay found
30 no increase in neoplastic liver nodules after gavage administration in mice (Ito et al., 1997b).
31 The few available epidemiological studies that suggest a positive association between liver or
32 colorectal cancers and microcystins are limited by ecological study design, poor measures of
33 exposure, potential coexposure to other microbial or chemical contaminants and, in some cases,
34 failure to control for known liver and colorectal risk factors. MCLR has been shown to have a
35 promotional effect in two-stage rat liver bioassays using i.p. administration; however, the
36 relevance of this effect to environmental exposures is uncertain. Mechanistic information
37 provides some support for a possible promotional effect of MCLR.

38 39 **4.7.2. Synthesis of Human, Animal and Other Supporting Evidence**

40
41 Several human epidemiological studies have reported an association between
42 consumption of drinking water containing cyanobacteria and microcystins and liver or colon
43 cancer in certain areas of China (Yu et al., 1989 and Yu, 1989 as cited in Ueno et al., 1996; Zhou
44 et al., 2002). In all of these studies, the use of a surface drinking water supply was used as a
45 surrogate for exposure to microcystins. Individual exposure to microcystins was not estimated.

1 Further, it is not clear whether these studies adequately controlled for confounding factors, such
2 as hepatitis infection or aflatoxin exposure.

3
4 Ito et al. (1997b) conducted the only study of oral carcinogenicity of a purified
5 microcystin. In this study, chronic gavage doses of MCLR over 28 weeks failed to induce
6 neoplastic nodules of the liver in mice. Limited information from two-stage, medium-term rat
7 liver bioassays where MCLR was administered i.p. suggest that MCLR can act as a promoter,
8 increasing the number and/or size of GST (placental form) positive foci in livers of rats
9 pretreated with an initiating agent (Nishiwaki-Matsushima et al., 1992; Ohta et al., 1994;
10 Sekijima et al., 1999; Hu et al., 2002). In one such study, MCLR alone showed no initiating
11 activity (Ohta et al., 1994). Ito et al. (1997b) observed an increase in the size of neoplastic liver
12 nodules in mice given 100 i.p. injections of MCLR without an initiating agent; however, the
13 numbers of treated and control animals were small.

14
15 Studies of cyanobacterial extract also suggest a possible promotional effect. In mice
16 given an extract of *M. aeruginosa* in drinking water, the mean area of aberrant crypt foci of the
17 colon was significantly increased, although the number of foci was not affected (Humpage et al.,
18 2000). Similarly, the total weight of skin tumors was increased in mice given an extract of
19 *Microcystis* in drinking water after topical DMBA pretreatment (Falconer and Buckley, 1989;
20 Falconer, 1991). It is not possible to determine whether the observed effects resulted from
21 exposure to microcystins or to other contaminants in the extracts.

22
23 Mechanistic data indicate that at low doses, MCLR may increase cell proliferation.
24 MCLR has been shown to increase the expression of the bcl-2 protein (that inhibits apoptosis)
25 and decrease the expression of the bax protein (that induces apoptosis) (Hu et al., 2002).
26 Further, MCLR upregulates the transcription factors c-fos and c-jun, leading to abnormal
27 proliferation (Zhao and Zhu, 2003). Gehringer (2004) reviewed the molecular mechanisms
28 leading to promotion by MCLR and the related tumor promoter, okadaic acid. Gehringer (2004)
29 reported that MCLR inhibits protein phosphatase PP2A, which regulates several MAPKs. The
30 MAPK cascade regulates transcription of genes required for cell proliferation, including c-jun
31 and c-fos. In addition, activation of the MAPK cascade has been postulated to inhibit apoptosis
32 and thus increase cell proliferation. Finally, Gehringer (2004) noted that MCLR has been
33 reported to increase phosphorylation of p53, which is involved in the regulation of the cell cycle
34 and apoptosis.

35 Genotoxicity studies of MCLR have given conflicting results, with negative findings in
36 Ames assays (Grabow et al., 1982; Ding et al., 1999) while positive results were observed with
37 human cell lines (Suzuki et al., 1998; Zhan et al., 2004). Evidence for MCLR-induced DNA
38 damage as measured by the comet assay has been called into question by the finding that
39 apoptosis can lead to false positive findings in this assay (Lankoff et al., 2004). There is some
40 evidence for a clastogenic effect of MCLR (Ding et al., 1999; Zhan et al., 2004).

1 **4.8. SUSCEPTIBLE POPULATIONS AND LIFE STAGES**

2
3 Little information is available on potentially susceptible populations. Studies in
4 laboratory rodents suggest that the acute effects of MCLR may be more pronounced in adult or
5 aged animals than in juvenile animals (Adams et al., 1985; Ito et al., 1997a; Rao et al., 2005). In
6 these studies, young animals showed little or no effect at MCLR doses lethal to adult animals.
7 Age-dependent differences in toxicity were observed after both oral and i.p. exposure,
8 suggesting that differences in gastrointestinal uptake were not entirely responsible for the effect
9 of age. The relevance of these age-related differences to acute toxicity in humans is unclear.

10
11 Available information does not suggest any pronounced gender differences in response to
12 microcystins. Studies with algal extracts suggest the possibility that male mice may be more
13 sensitive than female mice to oral exposure to algal extracts (Falconer et al., 1988). However,
14 the relevance of this finding to human microcystin exposure is uncertain given the potential for
15 coexposure to other contaminants in algal extracts.

16
17 Because microcystins inhibit the action of protein phosphatases (PP1 and PP2A),
18 coexposure to other compounds that inhibit these enzymes (for example, okadaic acid) may
19 enhance the toxicological effects of microcystins.

5. DOSE RESPONSE ASSESSMENTS

5.1. NARRATIVE DESCRIPTION OF THE EXTENT OF THE DATABASE

The available information on the toxicokinetic behavior of microcystins in humans or animals after oral or inhalation exposure is limited to a single study of the organ distribution of dihydro-MCLR. No other data are available on the absorption, distribution, metabolism or elimination of microcystins via environmentally relevant exposure routes. Acute lethality data show a significant difference in lethal doses via injected and oral routes of exposure, suggesting that the toxicokinetic behavior of microcystins is an important determinant of health effects after oral exposure; thus, the deficiencies in this category of data are significant.

The mode by which microcystins affects its primary target organ, the liver, is remarkably well-studied. There are abundant mechanistic data ranging from target organ specificity down to molecular targets. *In vitro* studies using human hepatocytes show effects similar to those in animal hepatocytes, indicating that the mode of toxicological action is similar. Human hepatocytes appear to be more susceptible to the action of MCLR than rat hepatocytes (Yea et al., 2001; Batista et al., 2003). Further evidence for the relevance of this mode of toxicological action to humans comes from reports of human exposure. Liver histopathology on humans exposed to MCLR via dialysate showed effects similar to those seen in animals, although intrahepatic hemorrhage was not observed (Azevedo et al., 2002).

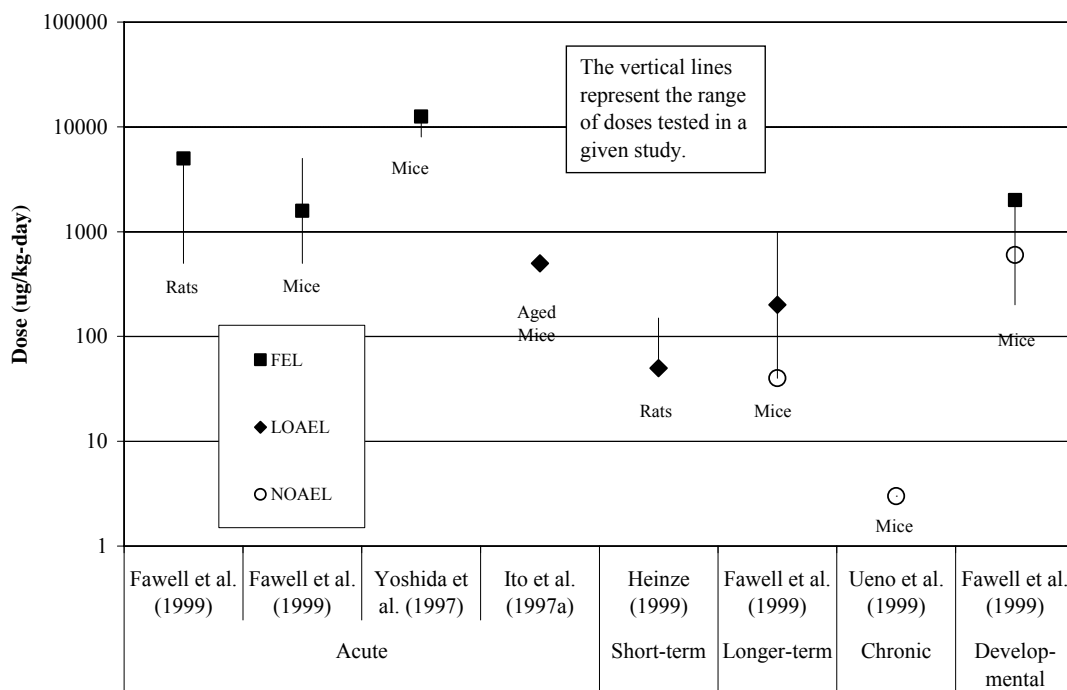
The toxicological database for microcystins is almost exclusively limited to data on a single congener, MCLR. Data on the other congeners is restricted to *in vitro* studies of protein phosphatase inhibition, i.p. LD₅₀ measures and a single, poorly-described toxicological evaluation of MCLA in primates. The database on the oral toxicity of MCLR is adequate to support the derivation of RfD values. Human data on the oral toxicity of MCLR are limited by potential co-exposure to other cyanobacterial toxins and microorganisms. There are three studies of acute oral exposure to MCLR in two laboratory animal species; however, none of these identified a NOAEL, and the minimum dose tested was the same for all three. Further, neither of the two experiments published in Fawell et al. (1999) nor the experiment by Yoshida et al. (1997) used an untreated control group. One animal study evaluated the oral toxicity of MCLR after short-term (<30 days) exposure and one after subchronic (30-90 days) exposure. The short-term study (Heinze, 1999) used a small number of animals (10/dose) and did not identify a NOAEL, but was otherwise of good study quality. The subchronic study (Fawell et al., 1999) used an adequate number of animals (30/dose) and identified both a NOAEL and LOAEL. Two chronic exposure studies are available, but one of these (Ito et al., 1997b) apparently conducted only a limited examination of the liver for toxicity, and the other (Ueno et al., 1999) used a single dose and did not identify a LOAEL. A single, well-conducted developmental toxicity study in the mouse is available; however, the results are presented only briefly and without any supporting data in the publication (Fawell et al., 1999). The noncancer database is missing a chronic toxicity study in a second species, as well as a multigeneration reproductive toxicity study and neurotoxicity study.

1 The database on the inhalation toxicity of MCLR is inadequate for the derivation of any
 2 RfC. There are no human data on the inhalation of MCLR. There is a single well-reported
 3 animal study addressing inhalation exposure to MCLR for 7 days (Benson et al., 2005). This
 4 study used only one exposure concentration with daily exposure for 30, 60 or 120 minutes and,
 5 as such, is not adequate for short-term RfC derivation.

6
 7 The available data on carcinogenicity are inadequate for carcinogenicity assessment.
 8 There is no well-conducted long-term carcinogenicity bioassay for microcystin. Several studies
 9 using an initiation-promotion protocol are available, as are limited mechanistic data suggesting a
 10 potential promoting effect of microcystins.

11
 12 **5.2. ORAL REFERENCE DOSE**

13
 14 Data considered in deriving oral reference dose for each exposure duration are
 15 summarized in the following exposure-response array (Figure 5-1) as well as in Table 5-1 below.
 16 Due to the limited toxicological database for microcystins, both the table and the figure include
 17 all studies in the published literature that examined the oral toxicity of purified MCLR in
 18 laboratory animals, with one exception. The publication by Ito et al. (1997b) did not provide the
 19 dosing frequency or information to estimate an average daily dose; thus, this study is not
 20 included in the table or figure.



22 Figure 5-1. Exposure Response Array for Oral Exposure: All Studies of Purified Microcystin-
 23 LR

Table 5-1. Available Dose-Response Information for Oral Exposure to Purified MCLR						
Species	Sex	Average Daily Dose (µg/kg-day)	Exposure	NOAEL (µg/kg-day)	LOAEL or FEL (µg/kg-day)	Reference
Acute Exposure						
Rat	M/F	500, 1580, 5000	Single gavage	ND	5000*	Fawell et al., 1999
Mouse	M/F	500, 1580, 5000	Single gavage	ND	1580*	Fawell et al., 1999
Mouse	F	8000, 10000, 12500	Single gavage	ND	12500*	Yoshida et al., 1997
Mouse	M	0, 500	Single gavage	ND	500 (aged mice only)	Ito et al., 1997a
Short-Term Exposure						
Rat	M	0, 50, 150	Drinking water, 28 d	ND	50	Heinze, 1999
Subchronic Exposure						
Mouse	M/F	0, 40, 200, 1000	Daily gavage, 13 weeks	40	200	Fawell et al., 1999
Chronic Exposure						
Mouse	F	0, 3	Drinking water, 18 months	3	ND	Ueno et al., 1999
Developmental Toxicity						
Mouse	F	0, 200, 600, 2000	Gavage, GD 6-15	600	2000*	Fawell et al., 1999

* Frank Effect Level (FEL)

5.2.1. Acute Oral RfD

The acute oral data for MCLR are inadequate for the derivation of an acute RfD. There are four studies of acute exposure to MCLR (Yoshida et al., 1997; Ito et al., 1997a; Fawell et al., 1999); however, none of the available studies identified a NOAEL. Yoshida et al. (1997) conducted a LD₅₀ determination using a small number of mice (5) treated with a single gavage dose, and no untreated controls. Histopathology of surviving mice (one at 8 mg/kg and two at 10 mg/kg) showed liver lesions (hypertrophic hepatocytes with centrilobular and midzonal fibrosis). The high dose in this study was an FEL based on deaths of the 2 treated animals. Fawell et al.

1 (1999) administered single gavage doses of 500, 1580 or 5000 µg/kg MCLR to groups of rats
2 and mice (10/dose/species). There were no untreated control groups in this study. In both
3 species, diffuse hepatic hemorrhage was observed at the low dose (500 µg/kg); however, in the
4 absence of untreated controls for comparison, this dose cannot be identified as a LOAEL. Ito et
5 al. (1997a) administered single gavage doses of 500 µg/kg to young (5 weeks old) and aged (32
6 weeks old) mice. Centrilobular hemorrhage and hepatocyte necrosis, as well as gastrointestinal
7 lesions, were observed in the aged mice, while no effect was observed in the young mice. This
8 study identifies a freestanding LOAEL. The absence of an acute study of adequate quality
9 precludes derivation of an acute oral RfD.

11 **5.2.2. Short-Term Oral RfD**

13 **5.2.2.1. Choice of Principal Study and Critical Effect**

14
15 A single short-term study and a single developmental toxicity study of orally-
16 administered MCLR are available. Heinze (1999) evaluated the effects of MCLR in drinking
17 water in 11-week-old male hybrid rats. Groups of 10 rats (5 of each sex) were given
18 approximate doses of 0, 50 or 150 µg/kg body weight for 28 days. Serum biochemistry showed
19 significantly increased mean levels of ALP and LDH in both treatment groups (84 and 100%
20 increase in LDH, 34 and 33% increase in ALP in low and high doses, respectively). A dose-
21 dependent increase in relative liver weights was observed at both dose levels (17 and 26% at the
22 low and high doses, respectively). Liver lesions were observed in both treatment groups, but the
23 severity of the damage was increased in the 150 µg/kg dose group. Moderate to severe
24 degenerative and necrotic hepatocytes with hemorrhage was observed in 0 of 10 controls, 6 of 10
25 low-dose and 9 of 10 high-dose rats.

26
27 Fawell et al. (1999) evaluated the developmental toxicity of MCLR administered via
28 gavage to mice at doses of 0, 200, 600 and 2000 µg/kg on GDs 6-15. Seven of 26 dams
29 receiving 2000 µg/kg died and two others were sacrificed prematurely due to morbidity. At this
30 dose, fetal body weight was significantly lower than controls and there was delayed skeletal
31 ossification; these effects may have been associated with maternal toxicity. Data on
32 reproductive and developmental parameters were not provided in the reference; thus, a LOAEL
33 for developmental toxicity could not be determined. This study identified a NOAEL of 600
34 µg/kg-day for both developmental and maternal effects. The high dose of 2000 µg/kg was an
35 FEL based on maternal mortality. The study by Heinze (1999) identified a lower LOAEL and
36 more sensitive effect (hepatotoxicity) than the developmental toxicity study did; thus, this study
37 was chosen as the basis for the short-term RfD.

38 39 **5.2.2.2. Methods of Analysis**

40
41 Liver toxicity observed by Heinze (1999) included liver lesions, serum enzyme changes,
42 and changes in relative liver weight. All three of these endpoints were considered for
43 determining the point of departure for RfD derivation.
44

1 All quantal models in U.S. EPA's Benchmark Dose (BMD) software (version 1.3.2) were
 2 fit to the incidence data for liver lesions in rats (males and females combined) exposed to MCLR
 3 in the drinking water for 28 days (Heinze, 1999). The incidence data for liver lesions are
 4 reported in Table 4-4 (Section 4.2.1.2.1). Of the liver lesions observed, the category of
 5 degenerative and necrotic hepatocytes with hemorrhage showed a strong dose-related trend with
 6 greater incidence and severity with higher dose, and no control animals were affected. As the
 7 table shows, at 50 µg/kg, 4/10 rats had slight lesions and 6/10 had lesions of moderate severity.
 8 At 150 µg/kg, 6/10 rats had moderate lesions and 3/10 had intensive damage. For BMD
 9 modeling, the moderate and severe lesion categories were collapsed into one. The data modeled
 10 are shown in Table 5-2.
 11

Table 5-2. Incidence of Liver Lesions Used for BMD Modeling (Heinze, 1999)			
	0 µg/kg-day	50 µg/kg-day	150 µg/kg-day
Lesion incidence	0/10	6/10	9/10

12
 13
 14 In accordance with the U.S. EPA (2000c) BMD methodology, the default benchmark
 15 response (BMR) of 10% increase in extra risk was used. The high response rate (60%) at the
 16 lowest dose with a positive response precludes the use of a lower BMR for this analysis. Models
 17 were run using the default restrictions on parameters built into the BMDS. The modeling results
 18 are shown in Table 5-3. Adequate fits were achieved with all models, except the quantal
 19 quadratic. While the gamma, multistage, quantal linear and Weibull all converged on the same
 20 model, the log probit model gave the best fit, as assessed by AIC. Figure 5-2 shows the fit of the
 21 log probit model to the data. Appendix A contains the full model outputs. The BMD and
 22 BMDL estimated by the log probit model for the liver lesion data are 11.0 and 6.4 µg/kg-day,
 23 respectively.
 24

25 The linear model for continuous data was fit to the increased LDH and ALP levels
 26 reported in Heinze (1999). These data are shown in Table 4-3 (Section 4.2.1.2.1). With only
 27 three observations in each of these datasets, there were not enough data points to use the
 28 remaining models (polynomial, power, or Hill), which each have more than three parameters.⁴
 29

30 The linear model did not provide adequate fit to either dataset as measured by goodness-
 31 of-fit criteria (see Appendix A for model outputs). The linear model was also fit to the relative
 32 liver weight changes (also three observations) reported in Heinze (1999). These data are shown
 33 in Table 4-3 (Section 4.2.1.2.1). In accordance with the U.S. EPA methodology, the default
 34 BMR of one standard deviation change from the control mean was used, and the polynomial
 35 coefficients were restricted to be positive. The linear model provided an adequate fit to the data
 36 (see Appendix A for model output). The BMD and BMDL estimated by the linear model for the
 37 relative liver weight data are 85 and 58 µg/kg-day, respectively.

⁴The number of parameters describing the shape of the dose-response curve cannot exceed the number of dose groups (U.S. EPA, 2000c).

1

Table 5-3. BMD Modeling Results for Heinze (1999) Liver Lesion Data						
Model	Degrees of Freedom	χ^2	χ^2 Goodness of Fit <i>p</i> -Value	AIC	BMD ($\mu\text{g}/\text{kg}\text{-day}$)	BMDL ($\mu\text{g}/\text{kg}\text{-day}$)
Log-probit (slope ≥ 1)	2	0.01	0.99	21.97	11.04	6.38
Gamma (power ≥ 1)	2	0.09	0.96	22.05	6.31	3.92
Multistage (degree=1)*	2	0.09	0.96	22.05	6.31	3.92
Quantal Linear	2	0.09	0.96	22.05	6.31	3.92
Weibull (power ≥ 1)	2	0.09	0.96	22.05	6.31	3.92
Log-logistic (slope ≥ 1)	1	0.00	1	23.96	10.14	1.24
Quantal Quadratic	2	5.77	0.06	26.17	24.81	19.01
Logistic	1	3.43	0.06	28.31	19.43	11.40
Probit	1	3.50	0.06	28.43	19.69	12.31

2 * Degree of polynomial initially set to (n-1) where n= number of dose groups including control;
3 model selected is lowest degree model providing adequate fit. Betas restricted to ≥ 0 .

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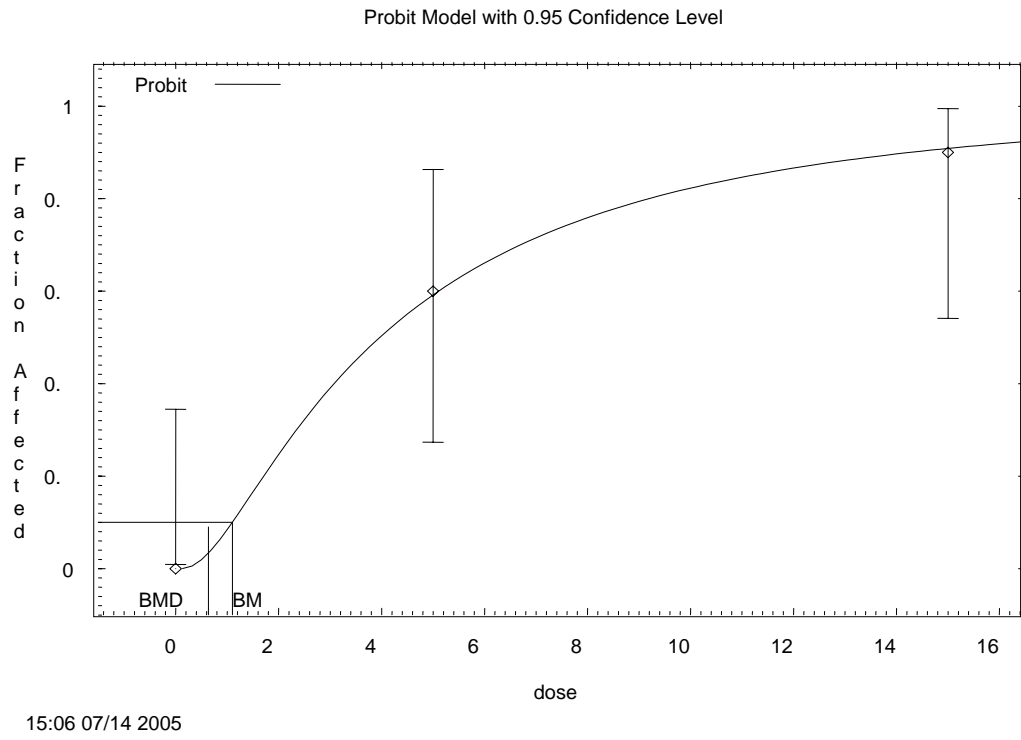


Figure 5-2. Probit Model Fit to Liver Lesion Incidence Data from Heinze (1999)

5.2.2.3. RfD Derivation

The BMDL of 6 µg/kg-day from the Heinze (1999) data is the lowest BMDL among the modeled datasets and was used as the point of departure (POD) for the short-term RfD. Dividing the BMDL of 6 µg/kg-day by a composite uncertainty factor (UF) of 1000 results in a short-term RfD for MCLR of 6×10^{-6} mg/kg-day.

$$\begin{aligned} \text{Short-term RfD} &= \text{BMDL} \div \text{UF} \\ &= 6 \text{ } \mu\text{g/kg-day} \div 1000 \\ &= \mathbf{0.000006 \text{ mg/kg-day or } 6 \times 10^{-6} \text{ mg/kg-day}} \end{aligned}$$

The composite UF of 1000 includes a factor of 10 for interspecies extrapolation, a factor of 10 to account for interindividual variability in the human population and a factor of 10 for database limitations, as follows.

- A default 10-fold UF for intraspecies differences was used to account for potentially susceptible individuals in the human population. There is insufficient information on the toxicity of microcystins in exposed humans. Cases of human poisoning have been attributed to ingestion of water containing microcystin-producing cyanobacteria, but no dose-response information is available. There is no information on the degree to which humans of varying gender, age, health status or genetic makeup might vary in the disposition of, or response to, ingested microcystins. There are some data to suggest that adult or aged rodents may be more susceptible than young rodents to the acute toxicity of MCLR (Ito et al., 1997a). Further, studies with algal extracts suggest the possibility that male mice may be more sensitive than female mice to oral exposure to algal extracts (Falconer et al., 1988); however, the relevance of this finding to human microcystin exposure is unclear.
- An interspecies UF of 10 was used to account for differences in response between laboratory rodents and humans. No information is available on the toxicity of purified microcystins in humans, and data on toxicokinetic differences between animals and humans in the disposition of ingested microcystins are not available. Limited data from *in vitro* studies suggests that human hepatocytes may be more susceptible to the effects of MCLR than rat hepatocytes (Yea et al., 2001; Batista et al., 2003), supporting the use of a full 10-fold UF.
- A 10-fold UF is used to account for deficiencies in the database. Database deficiencies include the lack of a detailed developmental toxicity study, a neurotoxicity study, a multi-generation reproductive toxicity study and supporting information on systemic toxicity in a second species.

5.2.3. Subchronic Oral RfD

5.2.3.1. Choice of Principal Study and Critical Effect

A single subchronic oral toxicity study is available for MCLR. Fawell et al. (1999) identified both a NOAEL (40 µg/kg-day) and a LOAEL (200 µg/kg-day for slight liver injury) after subchronic exposure of male and female mice. Fawell et al. (1999) administered daily oral gavage doses of 0, 40, 200 or 1000 µg MCLR per kg body weight to groups of 15 male and 15 female mice for 13 weeks. Histopathological changes in the liver and serum enzyme changes were reported in the mid- and high-dose groups. Both the histopathology and the serum enzymes showed dose-dependent changes. The authors considered the liver changes in the 200 µg/kg-day dose group to represent a minimal effect.

The NOAEL from this 90-day study (40 µg/kg-day) is only slightly lower than the LOAEL identified in the 28-day study above (50 µg/kg-day). Further, Heinze (1999) observed more severe effects in rats exposed to 50 µg/kg-day for 28 days in drinking water than Fawell et al. (1999) observed in mice exposed via gavage doses of 200 µg/kg-day for 90 days. The reason for this difference in response is not clear. Both studies used a commercially-produced test material from the same manufacturer. In the drinking water study, MCLR was dissolved in ethanol and diluted to a stock solution that was subsequently used to prepare drinking water. It is possible that the small intake of ethanol may have potentiated the hepatic effects of MCLR; however, there are no data to determine whether this is likely or not. In the gavage study, test solutions were prepared with distilled water and the concentration was confirmed by HPLC with UV detection (Fawell et al., 1999). The accuracy of dosing in the gavage study was likely to be greater than in the drinking water study. The authors of the drinking water study indicated that the MCLR solution was prepared daily, and water consumption was measured daily. Between 3 and 7% of the water solution administered over the 28 days was not consumed, and the dose estimates were not corrected for this loss (Heinze, 1999). This loss of administered dose would lead to a small overestimate of the LOAEL in the drinking water study, leading to a further discrepancy in the results of the two studies.

The drinking water study used smaller group sizes (10 males/dose) than the gavage study (15/sex/dose or 30/dose). However, the incidence of liver lesions (with necrosis and hemorrhage) in the drinking water study increased from 0% to 100% (including slight, moderate, and intensive lesions) between the control and low-dose group, and there was a dose-related change in the severity of the lesions, leaving little question that the effect was treatment-related.

These studies appear to contradict evidence from acute parenteral studies indicating that mice are more sensitive to the acute effects of MCLR. Typically, mice die within a few hours of a lethal injected dose, while rats may survive 24-48 hours. Species-specific differences in oral absorption of MCLR do not appear to account for the discrepancy between these studies; in an acute study of orally-administered MCLR using both mice and rats, mortality occurred at a lower dose in mice (1580 µg/kg) than in rats (5000 µg/kg; Fawell et al., 1999). It is possible that the more mild effects in the mice in the subchronic study resulted from an adaptive response to MCLR exposure. The longer exposure duration may have allowed for liver regeneration and

1 repair that was not possible in the shorter-term study; however, there is no information to support
 2 this hypothesis. In fact, Ito et al. (1997) reported that light injuries to hepatocytes were still
 3 evident in five of seven mice 2 months after treatment with MCLR had ceased.

5 5.2.3.2 Methods of Analysis

6
 7 The data from both Heinze (1999) and Fawell et al. (1999) were considered for
 8 identifying the point of departure for the subchronic RfD derivation. Results of the modeling for
 9 Heinze (1999) are reported in Section 5.2.2.2. Among the liver lesions reported by Fawell et al.
 10 (1999), only chronic inflammation showed evidence of a dose-response relationship.
 11 Consequently, all quantal models in U.S. EPA’s Benchmark Dose Software (BMDS) were fit to
 12 the incidence data for chronic liver inflammation in male and female mice reported by Fawell et
 13 al. (1999). The incidence data are reported in Table 4-6. In accordance with the U.S. EPA
 14 methodology, the default BMR of 10% increase in extra risk was used. Models were run using
 15 the default restrictions on parameters built into the BMDS. Adequate fits were achieved with all
 16 models. For both male and female mice, the probit model provided the best fit, as assessed by
 17 AIC. Table 5-4 gives the results for the best fit models; Appendix A contains the full outputs for
 18 all models.
 19

Table 5-4. BMD Modeling Results for Fawell et al. (1999) Chronic Liver Inflammation Data						
	Degrees of Freedom	X ²	χ^2 Goodness of Fit p-Value	AIC	BMD (µg/kg-day)	BMDL (µg/kg-day)
Male: Probit Model	2	0.21	0.90	40.75	107.59	66.45
Female: Probit Model	2	0.94	0.63	72.84	86.34	56.92

20
 21 Fawell et al. (1999) also reported significant increases in ALP, AST, and ALT in high-
 22 dose animals. These data are shown in Table 4-5 (Section 4.2.1.3.1). Of these, only the increase
 23 in ALT in male mice showed a dose-response trend amenable to modeling. All continuous
 24 models but the Hill model⁵ were fit to the ALT data for male mice reported in Fawell et al.
 25 (1999). In accordance with the U.S. EPA methodology, the default BMR of one standard
 26 deviation change from the control mean was used, and the polynomial coefficients were
 27 restricted to be positive. Only the linear model with a nonhomogenous variance provided an
 28 adequate fit to the data. Table 5-5 gives the results from the linear model (see Appendix A for
 29 model output). The BMD and BMDL estimated by the linear model (nonhomogenous variance)
 30 for the ALT increases in male mice are 82 and 58 µg/kg-day, respectively.

⁵ There were too few dose groups to apply the Hill model.

1

Table 5-5. BMD Modeling Results for Fawell et al. (1999) ALT Data in Male Mice					
	Degrees of Freedom	Goodness of Fit <i>p</i> -Value	AIC	BMD (µg/kg-day)	BMDL (µg/kg-day)
Linear model, non-homogenous variance	2	0.10	447.68	81.84	58.37

2

3

4 The BMDL from the 28-day drinking water study (6 µg/kg-day) is approximately an
5 order of magnitude lower than any of the BMDL values from the 90-day gavage study (57-66
6 µg/kg-day). Details of the BMD modeling and analysis of the data from Heinze (1999) are
7 provided above in Section 5.2.2.2, Method of Analysis, under Short-Term Oral RfD.

8

9

5.2.3.3. RfD Derivation

10

11 The BMDL of 6 µg/kg-day from the Heinze (1999) data is used as the POD for the
12 subchronic RfD. A composite UF of 1000 is used to derive the subchronic RfD, including a
13 factor of 10 for interspecies extrapolation, a factor of 10 for interindividual variability, and a
14 factor of 10 for database limitations (see Section 5.2.2.3 above for details). Although the BMDL
15 comes from a 28-day study, a UF for exposure duration is not proposed, based on the lower
16 toxicity observed in the 90-day gavage study conducted by Fawell et al. (1999). The subchronic
17 RfD is, therefore, set equal to the short-term RfD of 0.006 µg/kg-day or 6×10^{-6} mg/kg-day.

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$$\begin{aligned} \text{Subchronic RfD} &= \text{BMDL} \div \text{UF} \\ &= 6 \text{ } \mu\text{g/kg-day} \div 1000 \\ &= \mathbf{0.006 \text{ } \mu\text{g/kg-day or } 6 \times 10^{-6} \text{ mg/kg-day}} \end{aligned}$$

23

5.2.4. Chronic Oral RfD

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5.2.4.1. Choice of Principal Study and Critical Effect

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Two chronic studies of the oral toxicity of MCLR were identified. Ito et al. (1997b)
conducted a chronic gavage study in mice with 80 to 200 doses (80 µg/kg-day) given over 28
weeks; however, the control group was very small (3 animals) and the postmortem examination
was apparently limited to the liver. This study was not given further consideration for RfD
development given these study quality concerns.

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Ueno et al. (1999) evaluated the toxicity of MCLR in female mice chronically exposed
via drinking water 7 days/week. The authors conducted a comprehensive postmortem
examination. No treatment-related effects were identified, and the authors observed no

1 difference in the incidence of liver histopathology between treated and control mice. It is
2 important to note that immunohistochemistry of the liver revealed no accumulation of MCLR.
3 This study identified a free-standing NOAEL of approximately 3 µg/kg-day in female mice.
4

5 Although Ueno et al. (1999) used only a single dose level and identified a freestanding
6 NOAEL, it was chosen for RfD derivation because it was a well-conducted chronic study using a
7 relevant exposure route (drinking water). The BMDL of 6 µg/kg-day from modeling (see
8 Section 5.2.2.2) of Heinze (1999) compares favorably with the free-standing NOAEL of 3
9 µg/kg-day reported by Ueno et al. (1996), providing support for the use of the NOAEL from
10 Ueno et al. (1999).
11

12 **5.2.4.2. RfD Derivation**

13

14 As noted above, the NOAEL of 3 µg/kg-day from the study by Ueno et al. (1999) is used
15 as the POD for the chronic RfD. Because this study used a single dose, it was not possible to use
16 BMD modeling to identify the POD. A composite UF of 1000 is used to derive the chronic RfD,
17 including a factor of 10 for interspecies extrapolation, a factor of 10 for interindividual
18 variability and a factor of 10 for database limitations (see Section 5.2.2.3 above for details).
19 Dividing the NOAEL of 3 µg/kg-day by a composite UF of 1000 results in a chronic RfD for
20 MCLR of 3×10^{-6} mg/kg-day.
21

$$\begin{aligned} \text{Chronic RfD} &= \text{NOAEL} \div \text{UF} \\ &= 3 \text{ } \mu\text{g/kg-day} \div 1000 \\ &= \mathbf{0.003 \text{ } \mu\text{g/kg-day or } 3 \times 10^{-6} \text{ mg/kg-day}} \end{aligned}$$

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23
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25

26 In 1999, the WHO published a provisional Tolerable Daily Intake (TDI) for MCLR based
27 on the subchronic gavage study later published by Fawell et al. (1999). The WHO used the
28 NOAEL of 40 µg/kg-day with a composite UF of 1000 to derive a TDI of 0.04 µg/kg-day or
29 4×10^{-5} mg/kg-day. The composite uncertainty factor included UFs of 10-fold each for
30 interindividual variability, interspecies extrapolation, and database deficiencies (WHO
31 specifically cited the lack of chronic toxicity and carcinogenicity studies). WHO (1999) did not
32 evaluate either Ueno et al. (1999) or Heinze (1999), which may not have been published at the
33 time.
34

35 Table 5-6 provides a summary of the RfD values derived for MCLR in this report.
36

37 **5.3. INHALATION REFERENCE CONCENTRATION**

38

39 The available data do not provide adequate information for the derivation of inhalation
40 RfCs for MCLR. Two acute inhalation studies were identified in the literature. In a poorly
41 described study, Fitzgeorge et al. (1994) conducted a single experiment with mice (number
42 unspecified) inhaling a fine aerosol (particle size 3-5 µm) with 50 µg/L MCLR for an unspecified
43 duration of time. There were apparently no deaths, clinical signs of toxicity or histopathological
44 changes; however, the authors gave few details of study design and findings. A brief abstract
45 describes a study of acute microcystin exposure via inhalation (Creasia, 1990). The LC₅₀ for

Table 5-6. Summary of Reference Dose Values			
	RfD (mg/kg-day)	Critical Effect	Principal Study
Acute	NA		
Short-term	6×10^{-6}	Hepatotoxicity	Heinze, 1999
Subchronic	6×10^{-6}	Hepatotoxicity	Heinze, 1999
Chronic	3×10^{-6}	No effects observed	Ueno et al., 1999

1 mice exposed to a MCLR aerosol (nose only) for 10 minutes was reported to be 18 $\mu\text{g}/\text{L}$ (mg/m^3)
2 air with a 95% confidence interval of 15.0-22.0 $\mu\text{g}/\text{L}$ (mg/m^3). The authors reported that
3 histological lesions in mice killed by aerosol exposure were similar to those in mice dosed
4 intravenously with MCLR. Neither of these studies provides adequate basis for an acute RfC.
5

6 Only one well-conducted study of inhalation exposure to MCLR was identified. Benson
7 et al. (2005) exposed groups of six male BALB/c mice to monodisperse submicron aerosols of
8 MCLR via nose-only inhalation for 30, 60 or 120 minutes each day for 7 consecutive days. The
9 concentration of MCLR was 260-265 $\mu\text{g}/\text{m}^3$. Histopathological examination revealed treatment-
10 related lesions in the nasal cavity only. The incidence and severity of nasal lesions increased
11 with daily exposure duration. This study used only one exposure concentration, and as such, the
12 data are of limited utility for RfC derivation. Further, extrapolation of the effects from this study
13 for the purpose of deriving a short-term RfC would be associated with substantial uncertainty
14 given the brief exposure time (30-120 minutes/day) and duration (7 days). There are no
15 subchronic or chronic animal studies evaluating the inhalation route of exposure.
16

17 Route-to-route extrapolation is not considered appropriate for microcystins based on
18 current data. Limited available information indicates that inhalation exposure to microcystins
19 may cause point-of-entry effects (Benson et al., 2005), while oral exposure leads to
20 hepatotoxicity. Data from intratracheal and intranasal instillation studies show hepatic effects
21 after exposure via these routes; however, the relevance of this information to inhalation
22 exposures is uncertain.
23

24 **5.4. CANCER ASSESSMENT**

25

26 No dose-response or other information is available regarding the carcinogenicity of pure
27 microcystins.

1 **6.2. DOSE RESPONSE**

2
3 The available oral data were sufficient for derivation of short-term, subchronic and
4 chronic oral RfDs for MCLR. Based on a BMDL of 6 µg/kg-day for hepatotoxicity in rats
5 exposed to MCLR in drinking water for 28 days (Heinze, 1999), an RfD of 0.006 µg/kg-day
6 (6×10^{-6} mg/kg-day) was derived for short-term and subchronic exposure durations. A UF of
7 1000 was used to derive the RfD. The UF comprises component factors of 10 for interspecies
8 extrapolation, 10 for interindividual variability and 10 for database deficiencies. The subchronic
9 RfD did not include an additional UF for extrapolating from a 28-day study because a subchronic
10 (90-day) gavage study identified a higher NOAEL. A chronic RfD of 0.003 µg/kg-day (3×10^{-6}
11 mg/kg-day) was derived from a free-standing NOAEL of 3 µg/kg-day in female mice chronically
12 exposed via drinking water. A composite UF of 1000 was used, with factors of 10 each for
13 interindividual variability, interspecies extrapolation and database deficiencies. Inhalation RfCs
14 were not derived since there were no studies of adequate quality for this purpose. There is
15 inadequate evidence to evaluate the carcinogenicity of microcystins LR, RR, YR and LA.

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- 3

APPENDIX A

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Contents: Benchmark Dose Modeling Output Files for:

- 1) Heinze, 1999 Liver Lesions
- 2) Fawell et al., 1999 Male Chronic Liver Inflammation
- 3) Fawell et al., 1999 Female Chronic Liver Inflammation
- 4) Fawell et al., 1999 Male and Female (combined) Chronic Liver Inflammation
- 5) Heinze, 1999 Relative Liver Weight Changes
- 6) Heinze, 1999 Lactate Dehydrogenase Changes
- 7) Heinze, 1999 Alkaline Phosphatase Changes
- 8) Fawell et al., 1999 Male Alanine Aminotransferase Changes

1 **Heinze,1999. Liver Lesions**

2 =====
3 \$Revision: 2.2 \$ \$Date: 2001/03/14 01:17:00 \$
4 Input Data File: C:\BMDS\HEINZE_MOD_AND_INT_WITH_HEMORRHAGE.(d)
5 Gnuplot Plotting File: C:\BMDS\HEINZE_MOD_AND_INT_WITH_HEMORRHAGE.plt
6 Thu Jul 14 15:03:08 2005
7 =====

8
9 BMDS MODEL RUN
10 ~~~~~

11
12 The form of the probability function is:

13
14 $P[\text{response}] = \text{background} + (1 - \text{background}) * \text{CumGamma}[\text{slope} * \text{dose}, \text{power}]$,
15 where CumGamma(.) is the cumulative Gamma distribution function
16

17
18 Dependent variable = COLUMN2
19 Independent variable = COLUMN1
20 Power parameter is restricted as power >=1
21

22 Total number of observations = 3
23 Total number of records with missing values = 0
24 Maximum number of iterations = 250
25 Relative Function Convergence has been set to: 1e-008
26 Parameter Convergence has been set to: 1e-008
27

28
29
30 Default Initial (and Specified) Parameter Values
31 Background = 0.0454545
32 Slope = 0.0153804
33 Power = 1.02976
34

35
36 Asymptotic Correlation Matrix of Parameter Estimates

37
38 (*** The model parameter(s) -Background -Power
39 have been estimated at a boundary point, or have been
40 specified by the user,
41 and do not appear in the correlation matrix)
42

43 Slope
44
45 Slope 1
46
47

48
49 Parameter Estimates

50
51 Variable Estimate Std. Err.
52 Background 0 NA
53 Slope 0.0166997 0.00500499
54 Power 1 NA
55

56 NA - Indicates that this parameter has hit a bound
57 implied by some inequality constraint and thus
58 has no standard error.
59

60
61
62 Analysis of Deviance Table

63 Model Log(likelihood) Deviance Test DF P-value
64

```

1      Full model      -9.98095
2      Fitted model   -10.0255      0.089063      2      0.9564
3      Reduced model   -20.7944      21.6269      2      <.0001
4
5      AIC:            22.051
6
7
8
9

```

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0000	0.000	0	10	0
50.0000	0.5661	5.661	6	10	0.2162
150.0000	0.9183	9.183	9	10	-0.2115

Chi-square = 0.09 DF = 2 P-value = 0.9553

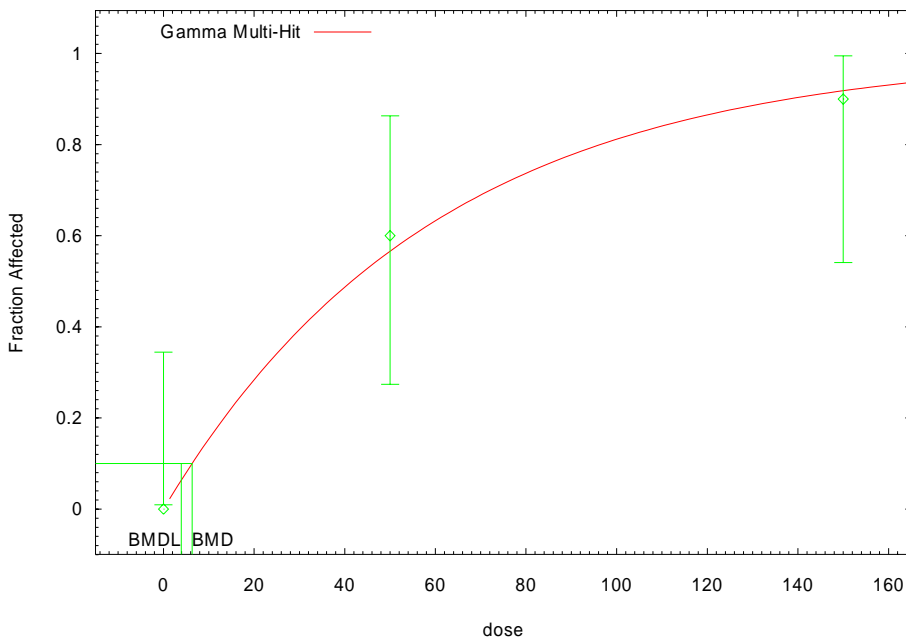
Benchmark Dose Computation

```

21 Specified effect = 0.1
22 Risk Type = Extra risk
23 Confidence level = 0.95
24 BMD = 6.30914
25 BMDL = 3.92229

```

Gamma Multi-Hit Model with 0.95 Confidence Level



31 15:03 07/14 2005

```

1
2 =====
3      Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
4      Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY DOCUMENTS\_CYANO
5 TOX REV\HEINZE_LIVER_LESIONS.(d)
6      Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
7 DOCUMENTS\_CYANO TOX REV\HEINZE_LIVER_LESIONS.plt
8                                  Thu Mar 09 11:41:20 2006
9 =====
10
11 BMD5 MODEL RUN
12 ~~~~~
13
14 The form of the probability function is:
15
16  $P[\text{response}] = 1/[1+\text{EXP}(-\text{intercept}-\text{slope}*\text{dose})]$ 
17
18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1
21 Slope parameter is not restricted
22
23 Total number of observations = 3
24 Total number of records with missing values = 0
25 Maximum number of iterations = 250
26 Relative Function Convergence has been set to: 1e-008
27 Parameter Convergence has been set to: 1e-008
28
29
30
31      Default Initial Parameter Values
32      background =          0   Specified
33      intercept =    -2.28075
34      slope =          0.0300564
35
36
37      Asymptotic Correlation Matrix of Parameter Estimates
38
39      ( *** The model parameter(s) -background
40      have been estimated at a boundary point, or have been
41 specified by the user,
42      and do not appear in the correlation matrix )
43
44      intercept      slope
45
46 intercept          1      -0.75
47
48 slope             -0.75      1
49
50
51
52      Parameter Estimates
53
54      Variable      Estimate      Std. Err.
55      intercept     -2.02314      0.772069
56      slope         0.0344016      0.0123743
57
58
59
60      Analysis of Deviance Table
61
62      Model      Log(likelihood)  Deviance  Test DF      P-value
63      Full model      -9.98095
64      Fitted model    -12.1529      4.34392      1      0.03714

```

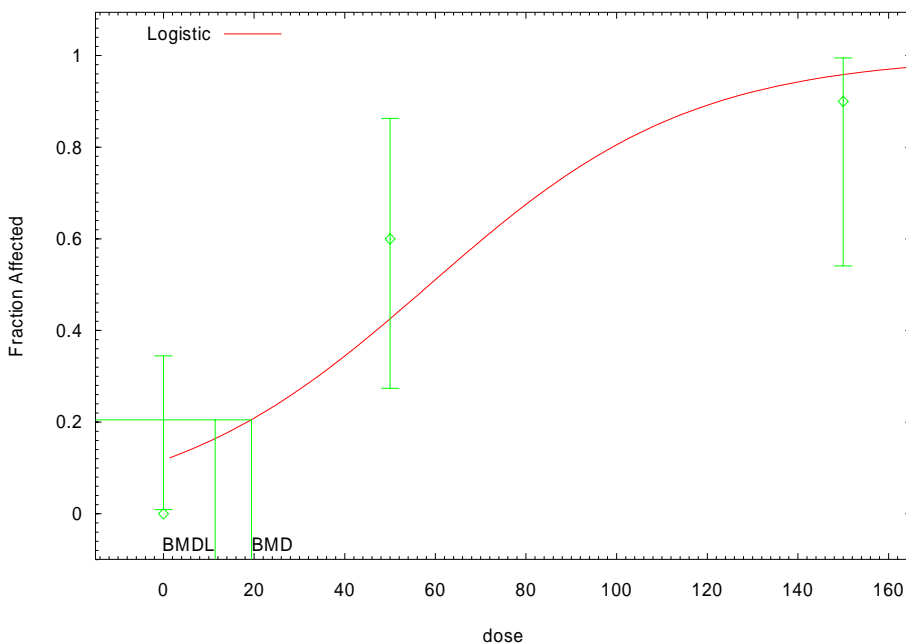
1	Reduced model	-20.7944	21.6269	2	<.0001	
2						
3	AIC:	28.3058				
4						
5						
6	Goodness of Fit					
7						
8						
9	Dose	Est._Prob.	Expected	Observed	Size	
10	-----					
11	0.0000	0.1168	1.168	0	10	
12	50.0000	0.4248	4.248	6	10	
13	150.0000	0.9584	9.584	9	10	

Chi-square = 3.43 DF = 1 P-value = 0.0639

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 19.4327
 BMDL = 11.4032

Logistic Model with 0.95 Confidence Level



11:41 03/09 2006

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1 =====
2 Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
3 Input Data File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.(d)
4 Gnuplot Plotting File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.plt
5 Thu Jul 14 15:04:46 2005
6 =====
7
8 BMDS MODEL RUN
9 ~~~~~
10
11 The form of the probability function is:
12
13 
$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$$

14
15
16 Dependent variable = COLUMN2
17 Independent variable = COLUMN1
18 Slope parameter is restricted as slope >= 1
19
20 Total number of observations = 3
21 Total number of records with missing values = 0
22 Maximum number of iterations = 250
23 Relative Function Convergence has been set to: 1e-008
24 Parameter Convergence has been set to: 1e-008
25
26
27
28 User has chosen the log transformed model
29
30
31 Default Initial Parameter Values
32 background = 0
33 intercept = -5.97477
34 slope = 1.63093
35
36
37 Asymptotic Correlation Matrix of Parameter Estimates
38
39 ( *** The model parameter(s) -background
40 have been estimated at a boundary point, or have been
41 specified by the user,
42 and do not appear in the correlation matrix )
43
44 intercept slope
45
46 intercept 1 -0.99
47
48 slope -0.99 1
49
50
51
52 Parameter Estimates
53
54 Variable Estimate Std. Err.
55 background 0 NA
56 intercept -5.97477 4.77026
57 slope 1.63093 1.12507
58
59 NA - Indicates that this parameter has hit a bound
60 implied by some inequality constraint and thus
61 has no standard error.
62
63 Warning: Likelihood for the fitted model larger than the Likelihood for the
64 full model.

```


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Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-9.98095			
Fitted model	-9.98095	-3.55271e-015	1	-1
Reduced model	-20.7944	21.6269	2	<.0001

AIC: 23.9619

Goodness of Fit

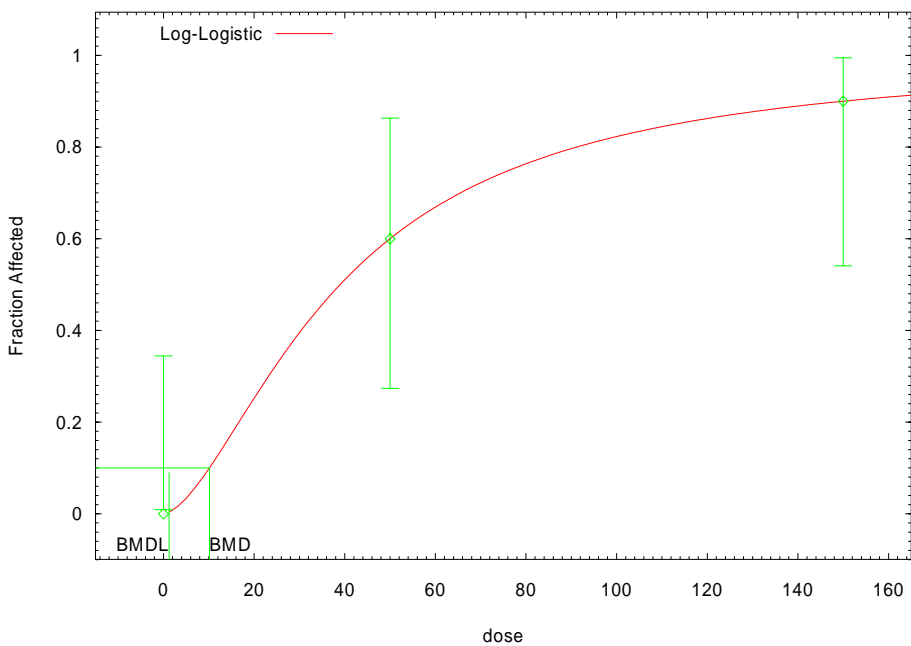
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0000	0.000	0	10	0
50.0000	0.6000	6.000	6	10	-3.44e-015
150.0000	0.9000	9.000	9	10	-1.123e-014

Chi-square = 0.00 DF = 1 P-value = 1.0000

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 10.137
BMDL = 1.23783

Log-Logistic Model with 0.95 Confidence Level



1

15:04 07/14 2005

```

1 =====
2 Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3 Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY DOCUMENTS\_CYANO
4 TOX REV\HEINZE_LIVER_LESIONS.(d)
5 Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
6 DOCUMENTS\_CYANO TOX REV\HEINZE_LIVER_LESIONS.plt
7 Thu Mar 09 11:37:17 2006
8 =====
9
10 BMDS MODEL RUN
11 ~~~~~
12
13 The form of the probability function is:
14
15 
$$P[\text{response}] = \text{background} + (1-\text{background}) * [1-\text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

16
17
18 The parameter betas are restricted to be positive
19
20
21 Dependent variable = COLUMN2
22 Independent variable = COLUMN1
23
24 Total number of observations = 3
25 Total number of records with missing values = 0
26 Total number of parameters in model = 3
27 Total number of specified parameters = 0
28 Degree of polynomial = 2
29
30
31 Maximum number of iterations = 250
32 Relative Function Convergence has been set to: 1e-008
33 Parameter Convergence has been set to: 1e-008
34
35
36
37 Default Initial Parameter Values
38 Background = 0.0617654
39 Beta(1) = 0.015138
40 Beta(2) = 0
41
42
43 Asymptotic Correlation Matrix of Parameter Estimates
44
45 ( *** The model parameter(s) -Background -Beta(2)
46 have been estimated at a boundary point, or have been specified by the
47 user, and do not appear in the correlation matrix )
48
49 Beta(1)
50
51 Beta(1) 1
52
53
54
55 Parameter Estimates
56
57 Variable Estimate Std. Err.
58 Background 0 NA
59 Beta(1) 0.0166997 0.00582148
60 Beta(2) 0 NA
61
62 NA - Indicates that this parameter has hit a bound
63 implied by some inequality constraint and thus
64 has no standard error.

```

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Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-9.98095			
Fitted model	-10.0255	0.089063	2	0.9564
Reduced model	-20.7944	21.6269	2	<.0001

AIC: 22.051

Goodness of Fit

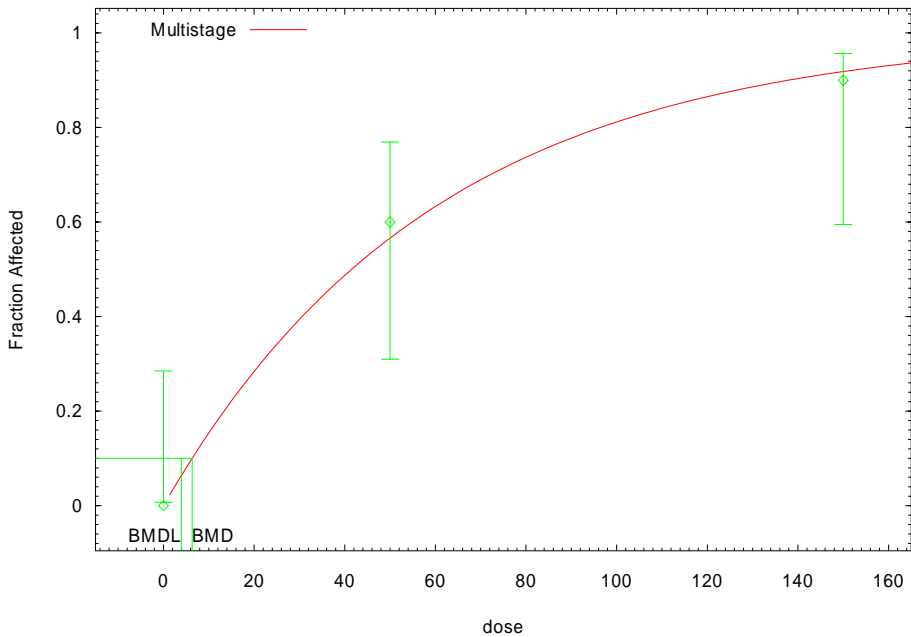
Dose	Est._Prob.	Expected	Observed	Size	Chi^2 Res.
i: 1					
0.0000	0.0000	0.000	0	10	-1.000
i: 2					
50.0000	0.5661	5.661	6	10	0.138
i: 3					
150.0000	0.9183	9.183	9	10	-0.244

Chi-square = 0.09 DF = 1 P-value = 0.7623

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 6.30914
BMDL = 3.92229

Multistage Model with 0.95 Confidence Level



11:37 03/09 2006

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1  =====
2  Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3  Input Data File: C:\BMDS\HEINZE_MOD_AND_INT_WITH_HEMORRHAGE.(d)
4  Gnuplot Plotting File: C:\BMDS\HEINZE_MOD_AND_INT_WITH_HEMORRHAGE.plt
5  Thu Jul 14 15:05:31 2005
6  =====
7
8  BMDS MODEL RUN
9  ~~~~~
10
11  The form of the probability function is:
12
13  P[response] = background + (1-background)*[1-EXP(
14  -beta1*dose^1)]
15
16  The parameter betas are restricted to be positive
17
18
19  Dependent variable = COLUMN2
20  Independent variable = COLUMN1
21
22  Total number of observations = 3
23  Total number of records with missing values = 0
24  Total number of parameters in model = 2
25  Total number of specified parameters = 0
26  Degree of polynomial = 1
27
28
29  Maximum number of iterations = 250
30  Relative Function Convergence has been set to: 1e-008
31  Parameter Convergence has been set to: 1e-008
32
33
34
35  Default Initial Parameter Values
36  Background = 0.0617654
37  Beta(1) = 0.015138
38
39
40  Asymptotic Correlation Matrix of Parameter Estimates
41
42  ( *** The model parameter(s) -Background
43  have been estimated at a boundary point, or have been
44  specified by the user,
45  and do not appear in the correlation matrix )
46
47  Beta(1)
48
49  Beta(1) 1
50
51
52
53  Parameter Estimates
54
55  Variable Estimate Std. Err.
56  Background 0 NA
57  Beta(1) 0.0166997 0.00582148
58
59  NA - Indicates that this parameter has hit a bound
60  implied by some inequality constraint and thus
61  has no standard error.
62
63
64

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Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-9.98095			
Fitted model	-10.0255	0.089063	2	0.9564
Reduced model	-20.7944	21.6269	2	<.0001

AIC: 22.051

Goodness of Fit

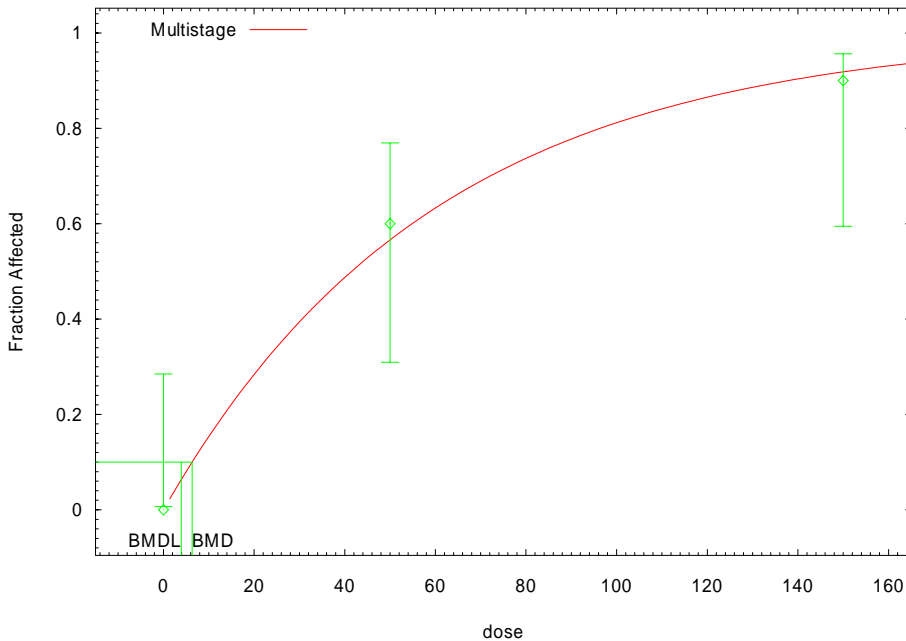
Dose	Est._Prob.	Expected	Observed	Size	Chi^2 Res.
i: 1	0.0000	0.0000	0	10	0.000
i: 2	50.0000	0.5661	5.661	10	0.138
i: 3	150.0000	0.9183	9.183	10	-0.244

Chi-square = 0.09 DF = 2 P-value = 0.9553

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 6.30914
 BMDL = 3.92229

Multistage Model with 0.95 Confidence Level



37

15:05 07/14 2005


```

1
2 =====
3 Probit Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:53 $
4 Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY DOCUMENTS\_CYANO
5 TOX REV\HEINZE_LIVER_LESIONS.(d)
6 Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
7 DOCUMENTS\_CYANO TOX REV\HEINZE_LIVER_LESIONS.plt
8 Thu Mar 09 11:49:47 2006
9 =====

```

```

10
11 BMDS MODEL RUN
12 ~~~~~

```

14 The form of the probability function is:

16 $P[\text{response}] = \text{CumNorm}(\text{Intercept} + \text{Slope} * \text{Dose}),$

18 where CumNorm(.) is the cumulative normal distribution function

```

21 Dependent variable = COLUMN2
22 Independent variable = COLUMN1
23 Slope parameter is not restricted

```

```

25 Total number of observations = 3
26 Total number of records with missing values = 0
27 Maximum number of iterations = 250
28 Relative Function Convergence has been set to: 1e-008
29 Parameter Convergence has been set to: 1e-008

```

```

33 Default Initial (and Specified) Parameter Values
34 background = 0 Specified
35 intercept = -1.57069
36 slope = 0.0201403

```

39 Asymptotic Correlation Matrix of Parameter Estimates

41 (*** The model parameter(s) -background
42 have been estimated at a boundary point, or have been
43 specified by the user,
44 and do not appear in the correlation matrix)

	intercept	slope
intercept	1	-0.75
slope	-0.75	1

```

54 Parameter Estimates
55
56 Variable Estimate Std. Err.
57 intercept -1.209 0.433188
58 slope 0.0190194 0.00553748

```

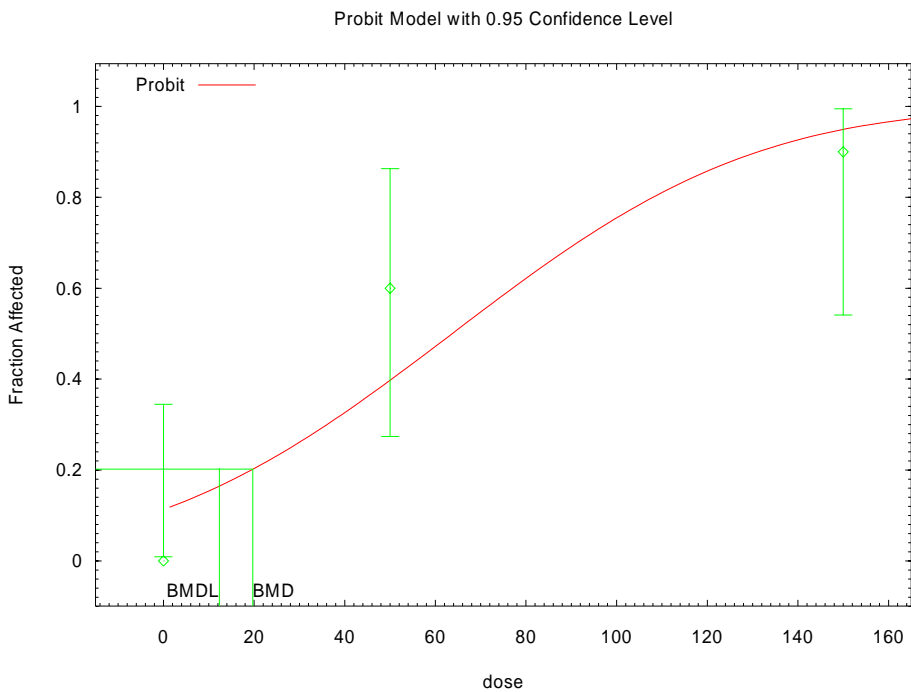
62 Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
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```

1      Full model      -9.98095
2      Fitted model   -12.2154      4.46887      1      0.03452
3      Reduced model  -20.7944      21.6269      2      <.0001
4
5      AIC:           28.4308
6
7
8      Goodness of Fit
9
10
11      Dose      Est._Prob.      Expected      Observed      Size      Scaled
12      -----
13      0.0000    0.1133      1.133        0            10       -1.131
14      50.0000   0.3982      3.982        6            10       1.304
15      150.0000  0.9499      9.499        9            10       -0.7234
16
17      Chi-square =      3.50      DF = 1      P-value = 0.0613
18
19
20      Benchmark Dose Computation
21
22      Specified effect =      0.1
23
24      Risk Type      =      Extra risk
25
26      Confidence level =      0.95
27
28      BMD =      19.6901
29
30      BMDL =      12.3138
31
32

```



33 11:49 03/09 2006

```

1 =====
2 Probit Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:53 $
3 Input Data File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.(d)
4 Gnuplot Plotting File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.plt
5 Thu Jul 14 15:06:15 2005
6 =====
7
8 BMDS MODEL RUN
9 ~~~~~
10
11 The form of the probability function is:
12
13 P[response] = Background
14 + (1-Background) * CumNorm(Intercept+Slope*Log(Dose)),
15
16 where CumNorm(.) is the cumulative normal distribution function
17
18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1
21 Slope parameter is restricted as slope >= 1
22
23 Total number of observations = 3
24 Total number of records with missing values = 0
25 Maximum number of iterations = 250
26 Relative Function Convergence has been set to: 1e-008
27 Parameter Convergence has been set to: 1e-008
28
29
30
31 User has chosen the log transformed model
32
33
34 Default Initial (and Specified) Parameter Values
35 background = 0
36 intercept = -3.68466
37 slope = 1
38
39
40 Asymptotic Correlation Matrix of Parameter Estimates
41
42 ( *** The model parameter(s) -background -slope
43 have been estimated at a boundary point, or have been
44 specified by the user,
45 and do not appear in the correlation matrix )
46
47 intercept
48
49 intercept 1
50
51
52
53 Parameter Estimates
54
55 Variable Estimate Std. Err.
56 background 0 NA
57 intercept -3.68338 0.323658
58 slope 1 NA
59
60 NA - Indicates that this parameter has hit a bound
61 implied by some inequality constraint and thus has no standard error.
62
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64

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Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-9.98095			
Fitted model	-9.98638	0.0108688	2	0.9946
Reduced model	-20.7944	21.6269	2	<.0001

AIC: 21.9728

Goodness of Fit

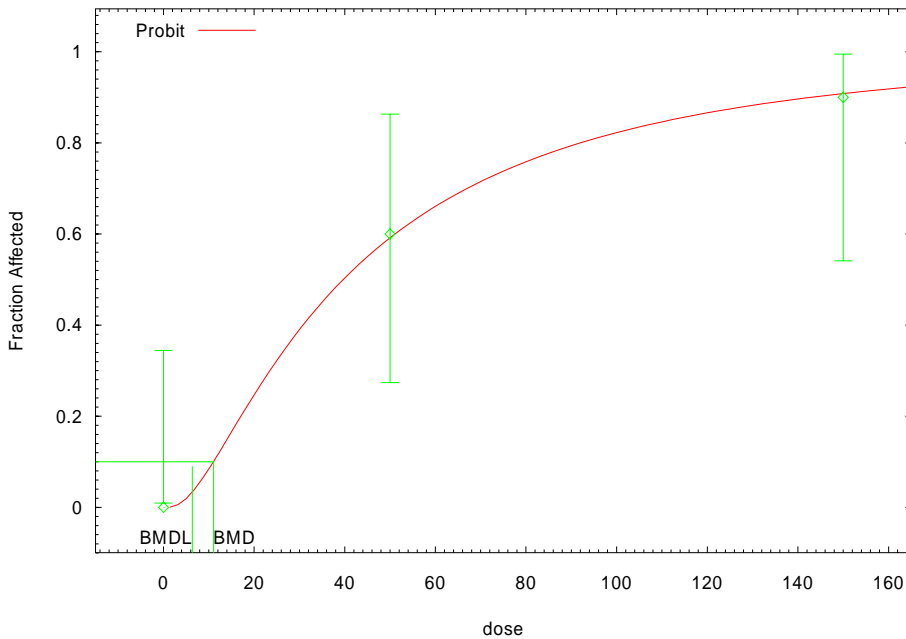
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0000	0.000	0	10	0
50.0000	0.5904	5.904	6	10	0.06155
150.0000	0.9078	9.078	9	10	-0.08513

Chi-square = 0.01 DF = 2 P-value = 0.9945

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 11.0433
 BMDL = 6.37572

Probit Model with 0.95 Confidence Level



36
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15:06 07/14 2005

```

1 =====
2 Quantal Linear Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3 Input Data File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.(d)
4 Gnuplot Plotting File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.plt
5 Thu Jul 14 15:07:01 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{slope} * \text{dose})]$$

Dependent variable = COLUMN2

Independent variable = COLUMN1

Total number of observations = 3

Total number of records with missing values = 0

Maximum number of iterations = 250

Relative Function Convergence has been set to: 1e-008

Parameter Convergence has been set to: 1e-008

Default Initial (and Specified) Parameter Values

```

27 Background = 0.0454545
28 Slope = 0.0129727
29 Power = 1 Specified

```

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Background -Power
have been estimated at a boundary point, or have been
specified by the user,
and do not appear in the correlation matrix)

```

39 Slope
40 Slope 1

```

Parameter Estimates

Variable	Estimate	Std. Err.
Background	0	NA
Slope	0.0166997	0.00500498

NA - Indicates that this parameter has hit a bound
implied by some inequality constraint and thus
has no standard error.

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-9.98095			
Fitted model	-10.0255	0.089063	2	0.9564
Reduced model	-20.7944	21.6269	2	<.0001
AIC:	22.051			

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Goodness of Fit

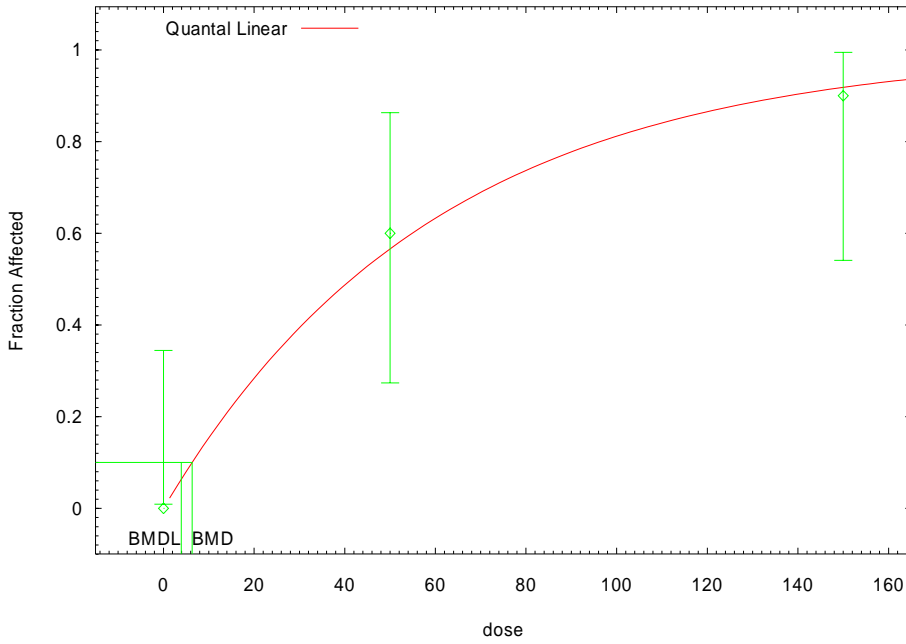
Dose	Est. Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0000	0.000	0	10	0
50.0000	0.5661	5.661	6	10	0.2162
150.0000	0.9183	9.183	9	10	-0.2115

Chi-square = 0.09 DF = 2 P-value = 0.9553

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 6.30914
BMDL = 3.92229

Quantal Linear Model with 0.95 Confidence Level



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15:07 07/14 2005

```

1 =====
2 Quantal Quadratic Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3 Input Data File: C:\BMDS\HEINZE_MOD_AND_INT_WITH_HEMORRHAGE.(d)
4 Gnuplot Plotting File: C:\BMDS\HEINZE_MOD_AND_INT_WITH_HEMORRHAGE.plt
5 Thu Jul 14 15:07:40 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{slope} * \text{dose}^2)]$$

Dependent variable = COLUMN2

Independent variable = COLUMN1

Total number of observations = 3

Total number of records with missing values = 0

Maximum number of iterations = 250

Relative Function Convergence has been set to: 1e-008

Parameter Convergence has been set to: 1e-008

Default Initial (and Specified) Parameter Values

```

27 Background = 0.0454545
28 Slope = 8.64849e-005
29 Power = 2 Specified

```

Asymptotic Correlation Matrix of Parameter Estimates

```

35 ( *** The model parameter(s) -Background -Power
36 have been estimated at a boundary point, or have been
37 specified by the user,
38 and do not appear in the correlation matrix )

```

```

39 Slope
40 Slope 1

```

Parameter Estimates

Variable	Estimate	Std. Err.
Background	0	NA
Slope	0.000171114	5.74454e-005

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-9.98095			
Fitted model	-12.0873	4.21262	2	0.1217
Reduced model	-20.7944	21.6269	2	<.0001
AIC:	26.1745			

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Goodness of Fit

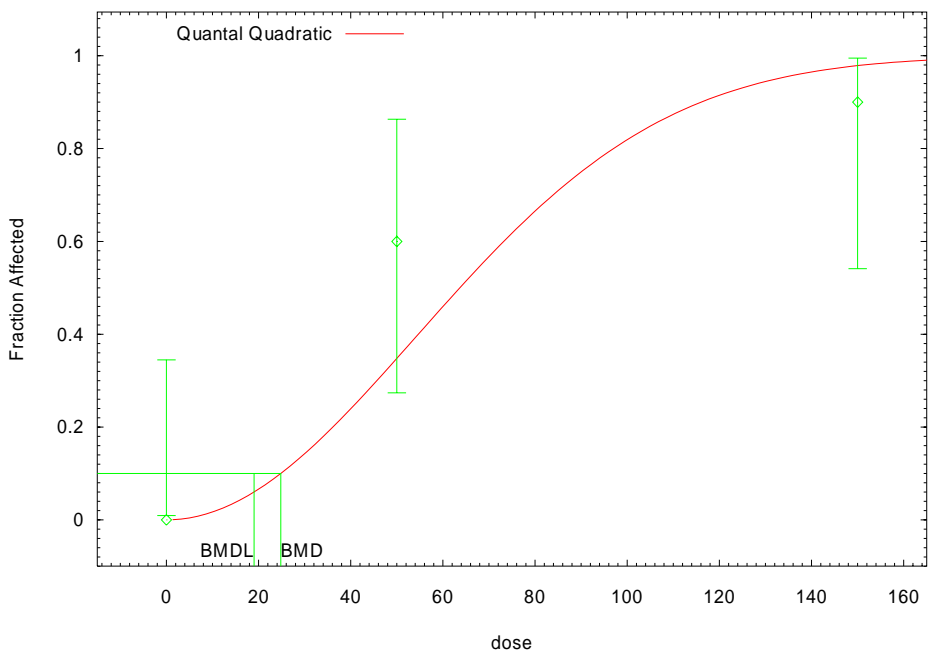
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0000	0.000	0	10	0
50.0000	0.3480	3.480	6	10	1.673
150.0000	0.9787	9.787	9	10	-1.725

Chi-square = 5.77 DF = 2 P-value = 0.0558

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 24.814
 BMDL = 19.01

Quantal Quadratic Model with 0.95 Confidence Level



26
27

15:07 07/14 2005


```

1 =====
2 Weibull Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3 Input Data File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.(d)
4 Gnuplot Plotting File: C:\BMDS\HEINZE MOD AND INT WITH HEMORRHAGE.plt
5 Thu Jul 14 15:08:20 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

```

10 The form of the probability function is:
11
12 P[response] = background + (1-background)*[1-EXP(-slope*dose^power)]
13
14
15

```

```

16 Dependent variable = COLUMN2
17 Independent variable = COLUMN1
18 Power parameter is restricted as power >=1
19
20 Total number of observations = 3
21 Total number of records with missing values = 0
22 Maximum number of iterations = 250
23 Relative Function Convergence has been set to: 1e-008
24 Parameter Convergence has been set to: 1e-008
25
26
27

```

```

28           Default Initial (and Specified) Parameter Values
29           Background =      0.0454545
30           Slope =      0.0129727
31           Power =      1
32
33

```

Asymptotic Correlation Matrix of Parameter Estimates

```

34 ( *** The model parameter(s) -Background -Power
35 have been estimated at a boundary point, or have been
36 specified by the user,
37 and do not appear in the correlation matrix )
38
39
40

```

```

41           Slope
42
43 Slope      1
44
45
46

```

Parameter Estimates

Variable	Estimate	Std. Err.
Background	0	NA
Slope	0.0166997	0.00500498
Power	1	NA

```

53
54 NA - Indicates that this parameter has hit a bound
55 implied by some inequality constraint and thus
56 has no standard error.
57
58
59

```

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-9.98095			
Fitted model	-10.0255	0.089063	2	0.9564

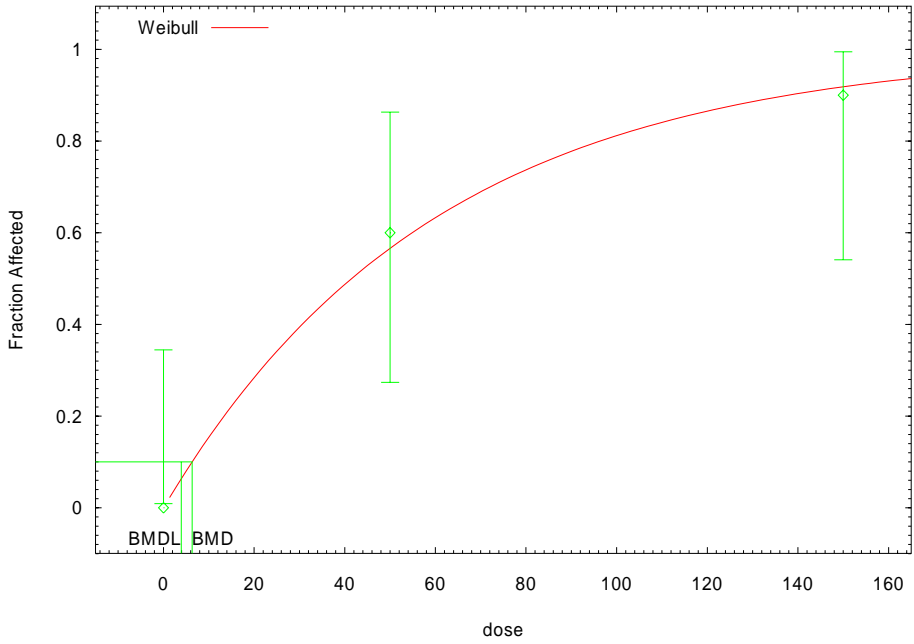
1	Reduced model	-20.7944	21.6269	2	<.0001		
2							
3	AIC:	22.051					
4							
5							
6	Goodness of Fit						
7							
8							
9	Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual	
10	-----						
11	0.0000	0.0000	0.000	0	10	0	
12	50.0000	0.5661	5.661	6	10	0.2162	
13	150.0000	0.9183	9.183	9	10	-0.2115	

Chi-square = 0.09 DF = 2 P-value = 0.9553

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 6.30914
 BMDL = 3.92229

Weibull Model with 0.95 Confidence Level



15:08 07/14 2005

31
32

```

1
2 Fawell et al.,1999 Male Chronic Inflammation
3
4 =====
5 $Revision: 2.2 $ $Date: 2001/03/14 01:17:00 $
6 Input Data File: C:\BMDS\FAWELL MALE CHRONIC INFLAMMATION.(d)
7 Gnuplot Plotting File: C:\BMDS\FAWELL MALE CHRONIC INFLAMMATION.plt
8 Wed Dec 28 15:45:24 2005
9 =====
10
11 BMDS MODEL RUN
12 ~~~~~
13
14 The form of the probability function is:
15
16  $P[\text{response}] = \text{background} + (1 - \text{background}) * \text{CumGamma}[\text{slope} * \text{dose}, \text{power}]$ ,
17 where CumGamma(.) is the cumulative Gamma distribution function
18
19
20 Dependent variable = COLUMN2
21 Independent variable = COLUMN1
22 Power parameter is restricted as power >=1
23
24 Total number of observations = 4
25 Total number of records with missing values = 0
26 Maximum number of iterations = 250
27 Relative Function Convergence has been set to: 1e-008
28 Parameter Convergence has been set to: 1e-008
29
30
31
32 Default Initial (and Specified) Parameter Values
33 Background = 0.09375
34 Slope = 0.00193589
35 Power = 1.3
36
37
38 Asymptotic Correlation Matrix of Parameter Estimates
39
40 Background Slope Power
41
42 Background 1 0.037 0.041
43
44 Slope 0.037 1 1
45
46 Power 0.041 1 1
47
48
49
50 Parameter Estimates
51
52 Variable Estimate Std. Err.
53 Background 0.0999826 0.054818
54 Slope 0.0276638 0.488219
55 Power 8.09035 116.456
56
57
58 Analysis of Deviance Table
59
60 Model Log(likelihood) Deviance Test DF P-value
61 Full model -18.2628
62 Fitted model -18.4512 0.376765 1 0.5393
63 Reduced model -39.4295 42.3333 3 <.0001
64

```

AIC: 42.9024

Goodness of Fit

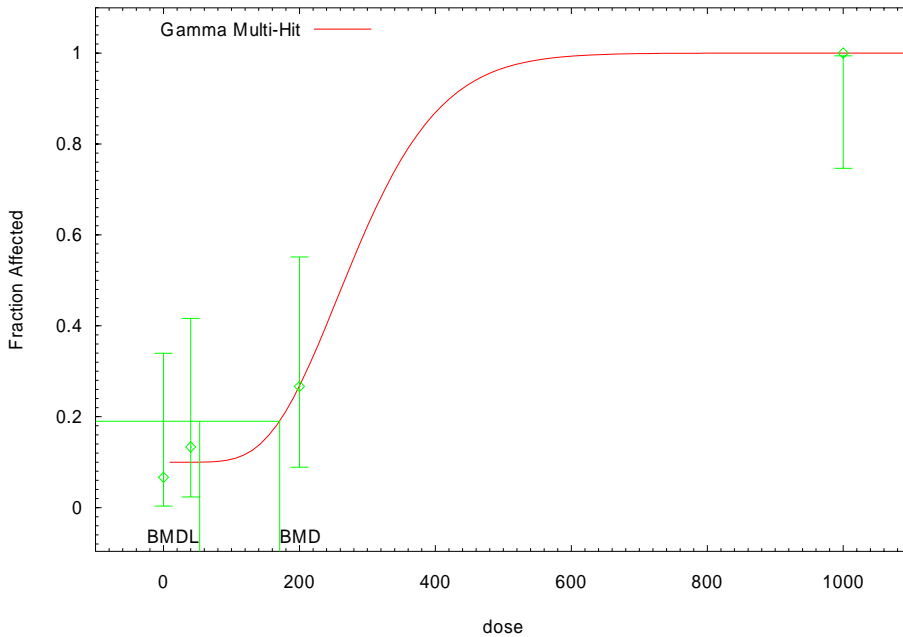
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.1000	1.500	1	15	-0.4301
40.0000	0.1000	1.500	2	15	0.4304
200.0000	0.2667	4.001	4	15	-0.0002949
1000.0000	1.0000	15.000	15	15	0.00692

Chi-square = 0.37 DF = 1 P-value = 0.5429

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 170.825
BMDL = 53.1183

Gamma Multi-Hit Model with 0.95 Confidence Level



15:45 12/28 2005

30
31

```

1 =====
2 Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 11:56:58 2006
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = 1/[1+\text{EXP}(-\text{intercept}-\text{slope}*\text{dose})]$$

```

16 Dependent variable = COLUMN2
17 Independent variable = COLUMN1
18 Slope parameter is not restricted

```

```

19
20 Total number of observations = 4
21 Total number of records with missing values = 0
22 Maximum number of iterations = 250
23 Relative Function Convergence has been set to: 1e-008
24 Parameter Convergence has been set to: 1e-008

```

```

27
28 Default Initial Parameter Values
29 background = 0 Specified
30 intercept = -2.07771
31 slope = 0.00552538

```

Asymptotic Correlation Matrix of Parameter Estimates

```

34
35 ( *** The model parameter(s) -background
36 have been estimated at a boundary point, or have been
37 specified by the user,
38 and do not appear in the correlation matrix )

```

	intercept	slope
intercept	1	-0.72
slope	-0.72	1

Parameter Estimates

Variable	Estimate	Std. Err.
intercept	-2.49527	0.612166
slope	0.00805129	0.00337645

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-18.2628			
Fitted model	-18.4278	0.329996	2	0.8479
Reduced model	-39.4295	42.3333	3	<.0001
AIC:	40.8556			

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Goodness of Fit

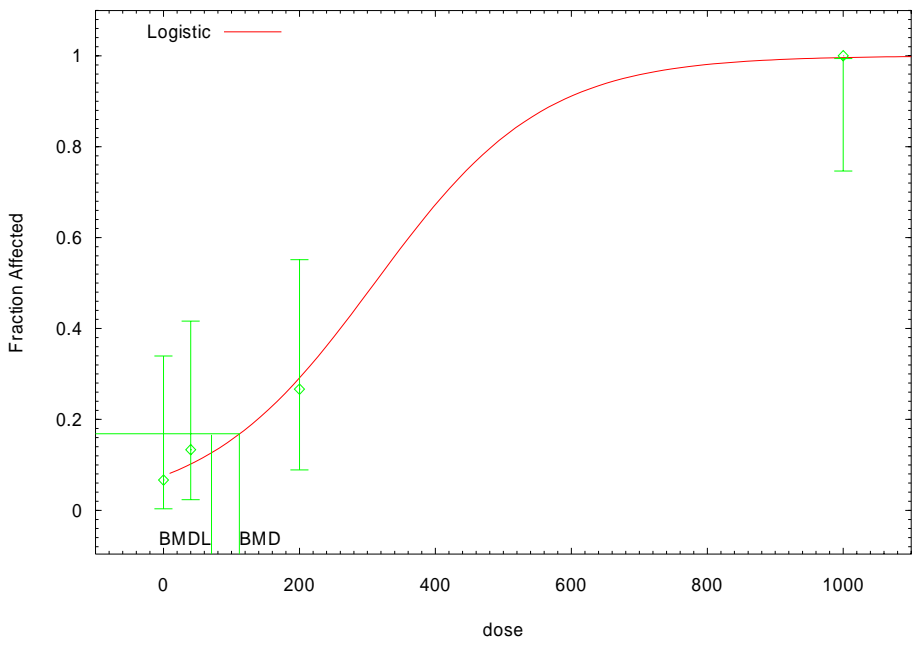
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0762	1.143	1	15	-0.139
40.0000	0.1022	1.533	2	15	0.3983
200.0000	0.2921	4.382	4	15	-0.217
1000.0000	0.9962	14.942	15	15	0.2408

Chi-square = 0.28 DF = 2 P-value = 0.8680

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 111.719
 BMDL = 70.686

Logistic Model with 0.95 Confidence Level



28
29

11:56 03/09 2006

```

1  =====
2  Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
3  Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4  Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5  Wed Dec 28 15:47:11 2005
6  =====
7
8  BMDS MODEL RUN
9  ~~~~~
10
11  The form of the probability function is:
12
13  P[response] = background+(1-background)/[1+EXP(-intercept-slope*Log(dose))]
14
15
16  Dependent variable = COLUMN2
17  Independent variable = COLUMN1
18  Slope parameter is restricted as slope >= 1
19
20  Total number of observations = 4
21  Total number of records with missing values = 0
22  Maximum number of iterations = 250
23  Relative Function Convergence has been set to: 1e-008
24  Parameter Convergence has been set to: 1e-008
25
26
27
28  User has chosen the log transformed model
29
30
31  Default Initial Parameter Values
32  background = 0.0666667
33  intercept = -10.0178
34  slope = 1.86367
35
36
37  Asymptotic Correlation Matrix of Parameter Estimates
38
39  background      intercept      slope
40
41  background      1          0.0022      -0.0023
42
43  intercept      0.0022      1          -1
44
45  slope          -0.0023     -1          1
46
47
48
49  Parameter Estimates
50
51  Variable      Estimate      Std. Err.
52  background    0.0999997    0.0547725
53  intercept     -65.9875     5466.64
54  slope         12.1748     1031.77
55
56
57  Analysis of Deviance Table
58
59  Model      Log(likelihood)  Deviance  Test DF  P-value
60  Full model      -18.2628
61  Fitted model    -18.4512      0.376844   1      0.5393
62  Reduced model   -39.4295     42.3333   3      <.0001
63
64  AIC:          42.9024

```

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Goodness of Fit

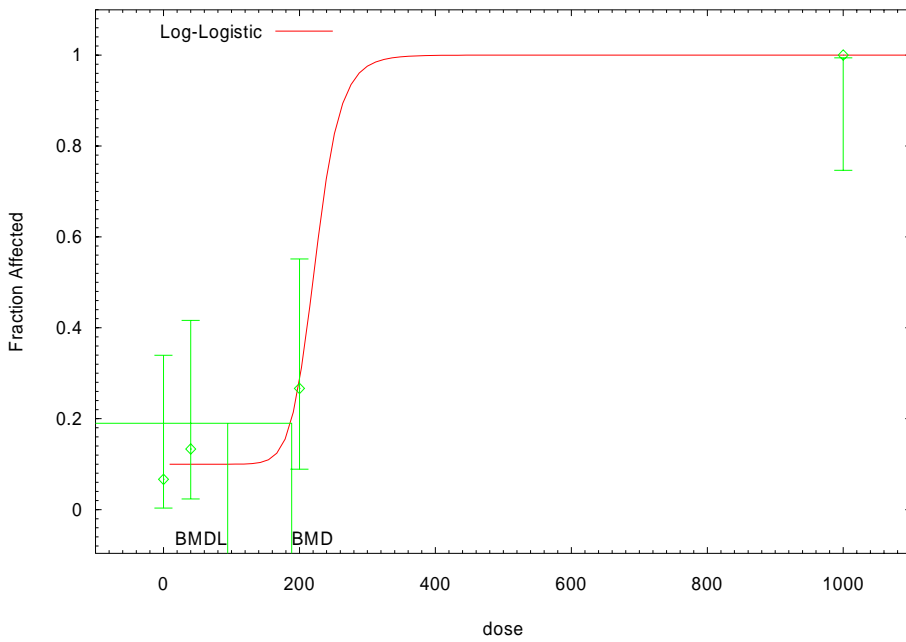
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.1000	1.500	1	15	-0.4303
40.0000	0.1000	1.500	2	15	0.4303
200.0000	0.2667	4.000	4	15	-4.206e-005
1000.0000	1.0000	15.000	15	15	0.0004285

Chi-square = 0.37 DF = 1 P-value = 0.5428

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 188.582
 BMDL = 94.487

Log-Logistic Model with 0.95 Confidence Level



15:47 12/28 2005

27
28


```

1 =====
2 Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 11:59:44 2006
6 =====
7
8 BMDS MODEL RUN
9 ~~~~~
10
11 The form of the probability function is:
12
13  $P[\text{response}] = \text{background} + (1-\text{background}) * [1-\text{EXP}(-\beta_1 * \text{dose} - \beta_2 * \text{dose}^2 - \beta_3 * \text{dose}^3)]$ 
14
15 The parameter betas are restricted to be positive
16
17
18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1
21
22 Total number of observations = 4
23 Total number of records with missing values = 0
24 Total number of parameters in model = 4
25 Total number of specified parameters = 0
26 Degree of polynomial = 3
27
28
29 Maximum number of iterations = 250
30 Relative Function Convergence has been set to: 1e-008
31 Parameter Convergence has been set to: 1e-008
32
33
34 Default Initial Parameter Values
35 Background = 0
36 Beta(1) = 0
37 Beta(2) = 0
38 Beta(3) = 1.00264e+011
39
40
41 Asymptotic Correlation Matrix of Parameter Estimates
42
43 ( *** The model parameter(s) -Beta(2)
44 have been estimated at a boundary point, or have been
45 specified by the user,
46 and do not appear in the correlation matrix )
47
48 Background Beta(1) Beta(3)
49
50 Background 1 -0.65 0.14
51 Beta(1) -0.65 1 -0.42
52 Beta(3) 0.14 -0.42 1
53
54
55
56
57 Parameter Estimates
58
59 Variable Estimate Std. Err.
60 Background 0.0782274 0.200883
61 Beta(1) 0.000933123 0.00219636
62 Beta(2) 0 NA
63 Beta(3) 7.10432e-009 1.57018e-008
64

```

1 NA - Indicates that this parameter has hit a bound
 2 implied by some inequality constraint and thus
 3 has no standard error.

4
 5 Analysis of Deviance Table

6 Model	7 Log(likelihood)	8 Deviance	9 Test DF	10 P-value
11 Full model	-18.2628			
12 Fitted model	-18.3175	0.10941	1	0.7408
13 Reduced model	-39.4295	42.3333	3	<.0001

14 AIC: 42.635

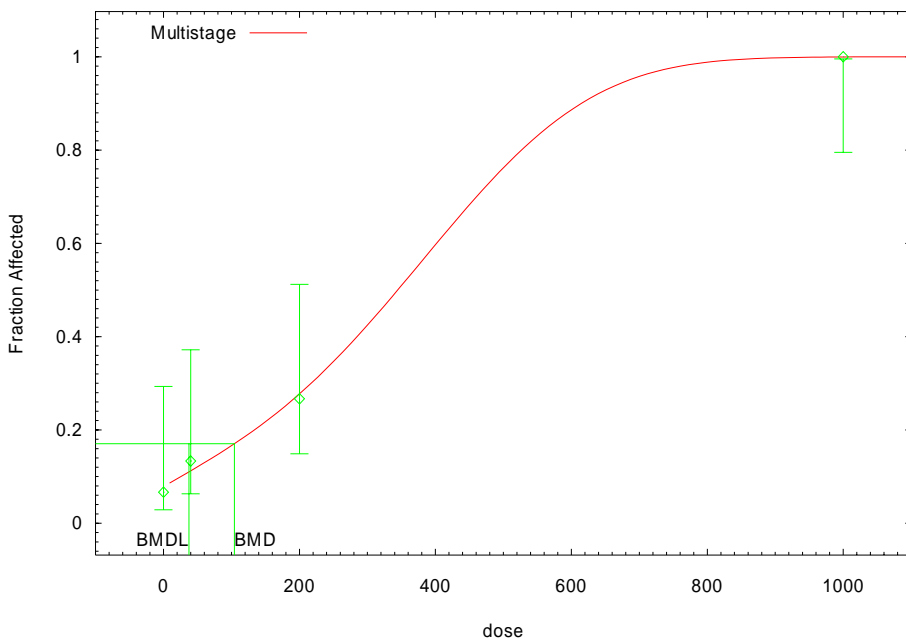
15 Goodness of Fit

16 Dose	17 Est._Prob.	18 Expected	19 Observed	20 Size	21 Chi^2 Res.	
22 i: 1	0.0000	0.0782	1.173	1	15	-0.160
23 i: 2	40.0000	0.1124	1.686	2	15	0.210
24 i: 3	200.0000	0.2774	4.161	4	15	-0.054
25 i: 4	1000.0000	0.9997	14.996	15	15	1.000
26 Chi-square =	0.11	DF = 1		P-value =	0.7438	

27
 28 Benchmark Dose Computation

29 Specified effect = 0.1
 30 Risk Type = Extra risk
 31 Confidence level = 0.95
 32 BMD = 104.279
 33 BMDL = 37.4815

Multistage Model with 0.95 Confidence Level



1
2

11:59 03/09 2006

```

1 =====
2 Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 12:00:48 2006
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

```

10 The form of the probability function is:

```

```

11 P[response] = background + (1-background)*[1-EXP(
12 -beta1*dose^1-beta2*dose^2)]

```

```

13 The parameter betas are restricted to be positive

```

```

14 Dependent variable = COLUMN2
15 Independent variable = COLUMN1

```

```

16 Total number of observations = 4
17 Total number of records with missing values = 0
18 Total number of parameters in model = 3
19 Total number of specified parameters = 0
20 Degree of polynomial = 2

```

```

21 Maximum number of iterations = 250
22 Relative Function Convergence has been set to: 1e-008
23 Parameter Convergence has been set to: 1e-008

```

```

24
25 Default Initial Parameter Values
26 Background = 0
27 Beta(1) = 0
28 Beta(2) = 1.01264e+014

```

```

29 Asymptotic Correlation Matrix of Parameter Estimates

```

	Background	Beta(1)	Beta(2)
Background	1	-0.65	0.33
Beta(1)	-0.65	1	-0.75
Beta(2)	0.33	-0.75	1

```

30 Parameter Estimates

```

Variable	Estimate	Std. Err.
Background	0.0889744	0.205309
Beta(1)	8.80277e-005	0.00292558
Beta(2)	5.76916e-006	6.80122e-006

```

31 Analysis of Deviance Table

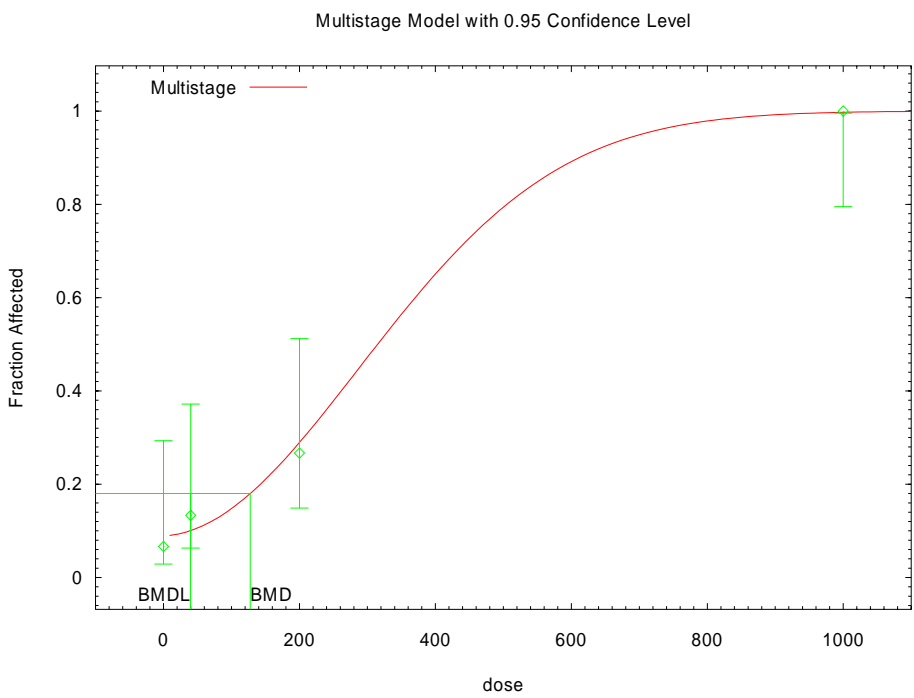
```

Model	Log(likelihood)	Deviance	Test DF	P-value
-------	-----------------	----------	---------	---------

```

1      Full model      -18.2628
2      Fitted model   -18.4529      0.380206      1      0.5375
3      Reduced model  -39.4295      42.3333      3      <.0001
4
5      AIC:           42.9058
6
7
8      Goodness of Fit
9
10     Dose      Est._Prob.      Expected      Observed      Size      Chi^2 Res.
11     -----
12     i: 1
13     0.0000      0.0890      1.335      1      15      -0.275
14     i: 2
15     40.0000      0.1005      1.508      2      15      0.363
16     i: 3
17     200.0000      0.2893      4.340      4      15      -0.110
18     i: 4
19     1000.0000      0.9974      14.961      15      15      1.003
20
21     Chi-square =      0.35      DF = 1      P-value = 0.5556
22
23
24     Benchmark Dose Computation
25
26     Specified effect =      0.1
27
28     Risk Type      =      Extra risk
29
30     Confidence level =      0.95
31
32     BMD =      127.726
33
34     BMDL =      39.8667
35

```



36 12:00 03/09 2006

```

1 =====
2 Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5                               Wed Dec 28 15:47:43 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

```

10 The form of the probability function is:

```

```

11
12 P[response] = background + (1-background)*[1-EXP(
13 -beta1*dose^1)]
14

```

```

15 The parameter betas are restricted to be positive

```

```

16
17
18 Dependent variable = COLUMN2
19 Independent variable = COLUMN1

```

```

20
21 Total number of observations = 4
22 Total number of records with missing values = 0
23 Total number of parameters in model = 2
24 Total number of specified parameters = 0
25 Degree of polynomial = 1

```

```

26
27
28 Maximum number of iterations = 250
29 Relative Function Convergence has been set to: 1e-008
30 Parameter Convergence has been set to: 1e-008

```

```

31
32
33
34
35 Default Initial Parameter Values
36 Background = 0
37 Beta(1) = 1.04991e+017

```

```

38
39 Asymptotic Correlation Matrix of Parameter Estimates

```

```

40
41
42 Background      Beta(1)
43
44 Background      1      -0.37
45
46 Beta(1)         -0.37     1

```

```

47
48
49
50 Parameter Estimates
51
52 Variable      Estimate      Std. Err.
53 Background    0.048937     0.16314
54 Beta(1)       0.0025684    0.000904753

```

```

55
56
57 Analysis of Deviance Table

```

```

58
59 Model      Log(likelihood)  Deviance  Test DF  P-value
60 Full model -18.2628
61 Fitted model -20.3189      4.11219    2      0.128
62 Reduced model -39.4295     42.3333    3      <.0001
63
64 AIC:      44.6378

```

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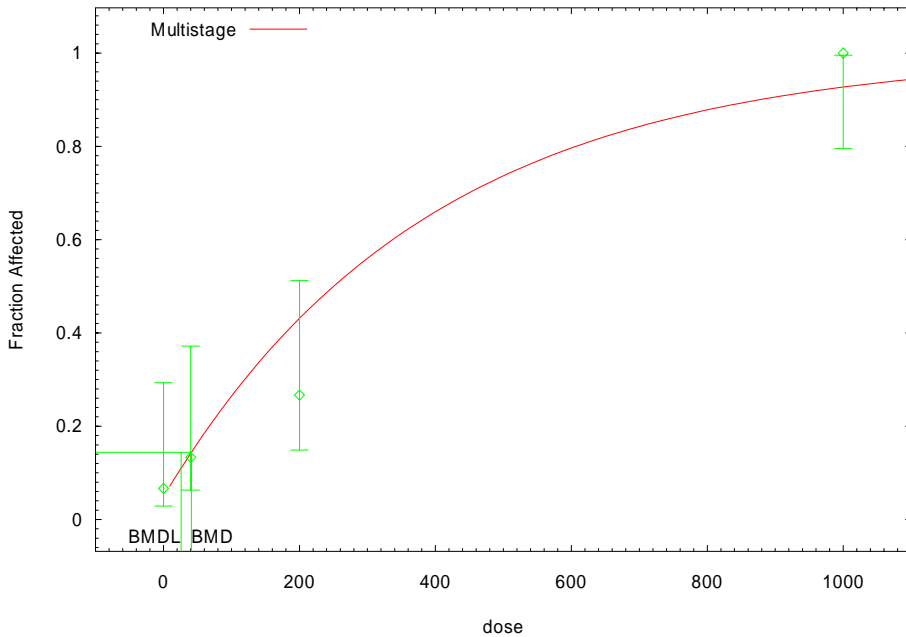
Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Chi^2 Res.
i: 1	0.0000	0.734	1	15	0.381
i: 2	40.0000	2.127	2	15	-0.070
i: 3	200.0000	6.465	4	15	-0.670
i: 4	1000.0000	13.906	15	15	1.079
Chi-square =	2.94	DF = 2	P-value =	0.2298	

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 41.0219
 BMDL = 25.943

Multistage Model with 0.95 Confidence Level



15:47 12/28 2005

```

1 =====
2 Probit Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:53 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 12:02:26 2006
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

```

10 The form of the probability function is:

```

```

11 P[response] = CumNorm(Intercept+Slope*Dose),

```

```

12 where CumNorm(.) is the cumulative normal distribution function

```

```

13
14
15
16
17
18 Dependent variable = COLUMN2
19 Independent variable = COLUMN1
20 Slope parameter is not restricted

```

```

21 Total number of observations = 4
22 Total number of records with missing values = 0
23 Maximum number of iterations = 250
24 Relative Function Convergence has been set to: 1e-008
25 Parameter Convergence has been set to: 1e-008

```

```

26
27
28
29
30 Default Initial (and Specified) Parameter Values
31 background = 0 Specified
32 intercept = -1.32259
33 slope = 0.00345221

```

```

34
35
36 Asymptotic Correlation Matrix of Parameter Estimates

```

```

37 ( *** The model parameter(s) -background
38 have been estimated at a boundary point, or have been
39 specified by the user,
40 and do not appear in the correlation matrix )

```

```

41
42
43 intercept slope
44
45 intercept 1 -0.65
46
47 slope -0.65 1

```

```

48
49
50
51 Parameter Estimates
52
53 Variable Estimate Std. Err.
54 intercept -1.42508 0.306506
55 slope 0.00435347 0.00171942

```

```

56
57
58
59 Analysis of Deviance Table

```

```

60
61 Model Log(likelihood) Deviance Test DF P-value
62 Full model -18.2628
63 Fitted model -18.3773 0.229031 2 0.8918
64 Reduced model -39.4295 42.3333 3 <.0001

```


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AIC: 40.7546

Goodness of Fit

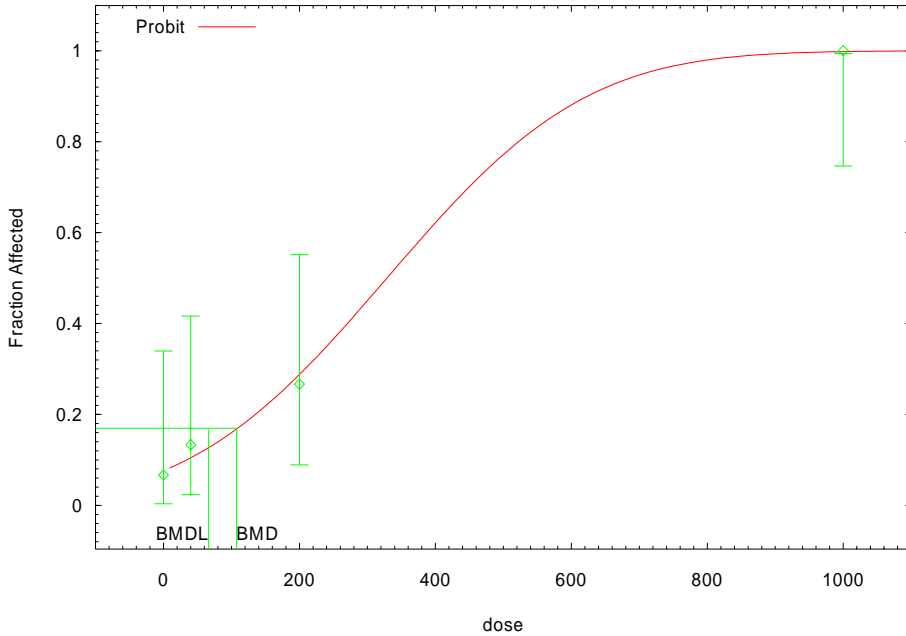
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0771	1.156	1	15	-0.151
40.0000	0.1055	1.582	2	15	0.3512
200.0000	0.2897	4.345	4	15	-0.1963
1000.0000	0.9983	14.974	15	15	0.16

Chi-square = 0.21 DF = 2 P-value = 0.9002

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 107.589
 BMDL = 66.4468

Probit Model with 0.95 Confidence Level



12:02 03/09 2006

31
32

```

1 =====
2 Probit Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:53 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5                               Wed Dec 28 15:48:13 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = \text{Background} + (1 - \text{Background}) * \text{CumNorm}(\text{Intercept} + \text{Slope} * \text{Log}(\text{Dose})),$$

where CumNorm(.) is the cumulative normal distribution function

```

18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1
21 Slope parameter is restricted as slope >= 1
22
23 Total number of observations = 4
24 Total number of records with missing values = 0
25 Maximum number of iterations = 250
26 Relative Function Convergence has been set to: 1e-008
27 Parameter Convergence has been set to: 1e-008
28
29
30

```

User has chosen the log transformed model

```

31
32
33
34           Default Initial (and Specified) Parameter Values
35           background =      0.0666667
36           intercept  =     -5.60926
37           slope      =      1.03389
38
39

```

Asymptotic Correlation Matrix of Parameter Estimates

	background	intercept	slope
background	1	0.0018	-0.002
intercept	0.0018	1	-1
slope	-0.002	-1	1

Parameter Estimates

Variable	Estimate	Std. Err.
background	0.1	0.0547723
intercept	-22.1534	1087.37
slope	4.01215	205.229

Analysis of Deviance Table

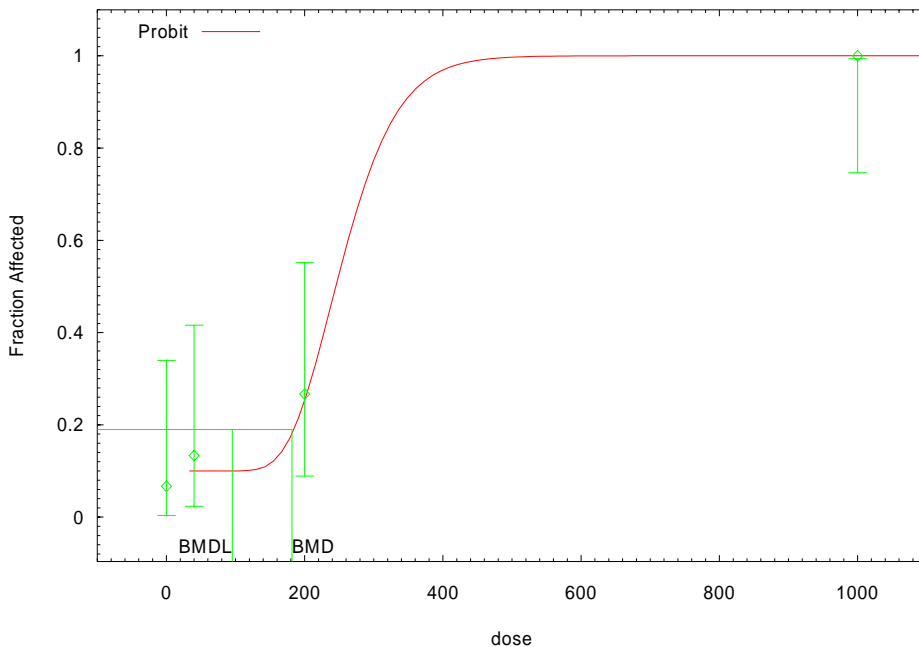
Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-18.2628			

```

1      Fitted model      -18.4512      0.376844      1      0.5393
2      Reduced model     -39.4295      42.3333      3      <.0001
3
4          AIC:          42.9024
5
6
7          Goodness of Fit
8
9
10         Dose      Est._Prob.      Expected      Observed      Size      Scaled
11         -----
12         0.0000      0.1000      1.500      1      15      -0.4303
13         40.0000      0.1000      1.500      2      15      0.4303
14         200.0000      0.2667      4.000      4      15      -1.174e-005
15         1000.0000      1.0000      15.000      15      15      0.0004249
16
17      Chi-square =      0.37      DF = 1      P-value = 0.5428
18
19
20      Benchmark Dose Computation
21
22      Specified effect =      0.1
23
24      Risk Type      =      Extra risk
25
26      Confidence level =      0.95
27
28          BMD =      181.665
29
30          BMDL =      95.2612

```

Probit Model with 0.95 Confidence Level



31
32

15:48 12/28 2005

```

1 =====
2 Quantal Linear Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5                               Wed Dec 28 15:48:41 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{slope} * \text{dose})]$$

Dependent variable = COLUMN2
Independent variable = COLUMN1

Total number of observations = 4
Total number of records with missing values = 0
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial (and Specified) Parameter Values

```

27           Background =      0.09375
28           Slope =      0.0033673
29           Power =      1      Specified

```

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Power
have been estimated at a boundary point, or have been
specified by the user,
and do not appear in the correlation matrix)

	Background	Slope
Background	1	-0.23
Slope	-0.23	1

Parameter Estimates

Variable	Estimate	Std. Err.
Background	0.048937	0.0439067
Slope	0.0025684	0.000746108

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-18.2628			
Fitted model	-20.3189	4.11219	2	0.128
Reduced model	-39.4295	42.3333	3	<.0001
AIC:	44.6378			

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Goodness of Fit

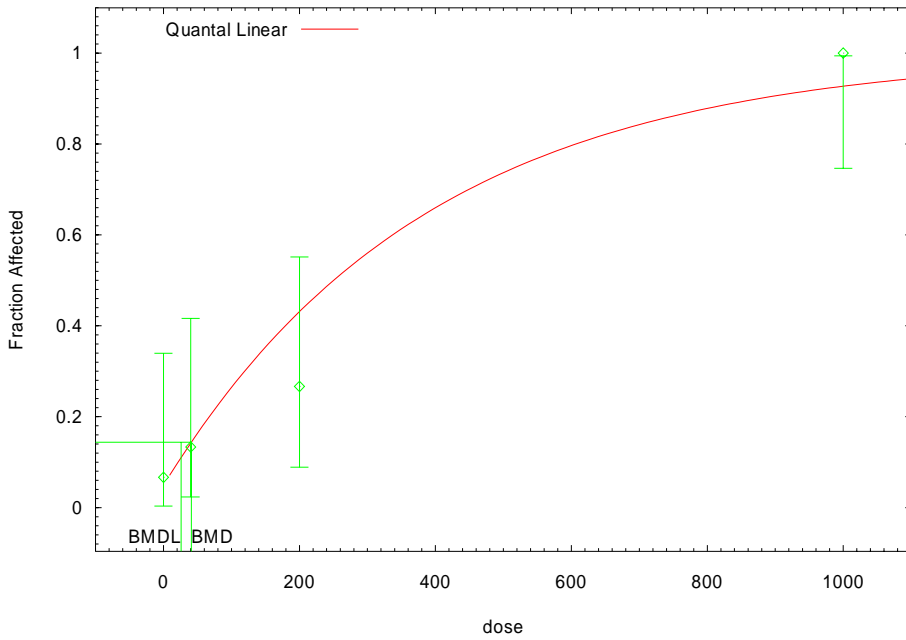
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0489	0.734	1	15	0.3183
40.0000	0.1418	2.127	2	15	-0.09393
200.0000	0.4310	6.465	4	15	-1.285
1000.0000	0.9271	13.906	15	15	1.086

Chi-square = 2.94 DF = 2 P-value = 0.2298

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 41.0219
 BMDL = 25.943

Quantal Linear Model with 0.95 Confidence Level



15:48 12/28 2005

27
28

```

1 =====
2 Quantal Quadratic Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5                               Wed Dec 28 15:49:14 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

```

10 The form of the probability function is:
11
12 P[response] = background + (1-background)*[1-EXP(-slope*dose^2)]

```

```

13
14
15 Dependent variable = COLUMN2
16 Independent variable = COLUMN1
17
18 Total number of observations = 4
19 Total number of records with missing values = 0
20 Maximum number of iterations = 250
21 Relative Function Convergence has been set to: 1e-008
22 Parameter Convergence has been set to: 1e-008
23
24
25

```

```

26
27 Default Initial (and Specified) Parameter Values
28 Background = 0.09375
29 Slope = 3.3673e-006
30 Power = 2 Specified
31
32

```

```

33 Asymptotic Correlation Matrix of Parameter Estimates
34
35 ( *** The model parameter(s) -Power
36 have been estimated at a boundary point, or have been
37 specified by the user,
38 and do not appear in the correlation matrix )

```

	Background	Slope
Background	1	-0.32
Slope	-0.32	1

```

39
40
41
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43
44
45
46
47
48 Parameter Estimates
49
50 Variable Estimate Std. Err.
51 Background 0.091177 0.0532315
52 Slope 6.03482e-006 3.53595e-006
53
54

```

```

55
56 Analysis of Deviance Table
57
58 Model Log(likelihood) Deviance Test DF P-value
59 Full model -18.2628
60 Fitted model -18.4542 0.382862 2 0.8258
61 Reduced model -39.4295 42.3333 3 <.0001
62
63 AIC: 40.9085
64

```

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Goodness of Fit

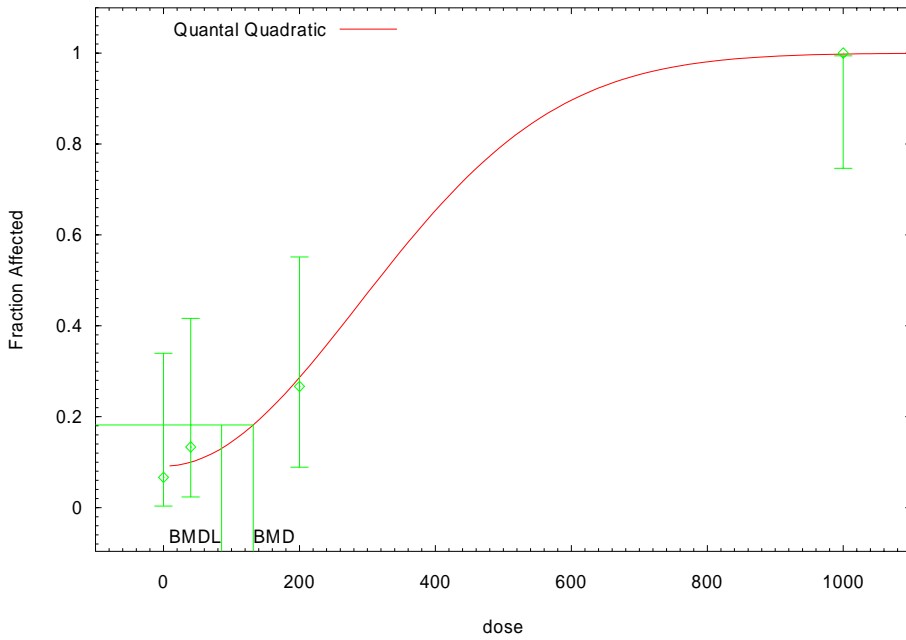
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0912	1.368	1	15	-0.3298
40.0000	0.0999	1.499	2	15	0.4317
200.0000	0.2861	4.291	4	15	-0.1665
1000.0000	0.9978	14.967	15	15	0.1808

Chi-square = 0.36 DF = 2 P-value = 0.8372

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 132.132
 BMDL = 85.4708

Quantal Quadratic Model with 0.95 Confidence Level



15:49 12/28 2005

27
28

```

1 =====
2 Weibull Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3 Input Data File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_MALE_CHRONIC_INFLAMMATION.plt
5                               Wed Dec 28 15:49:46 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{slope} * \text{dose}^{\text{power}})]$$

```

16 Dependent variable = COLUMN2
17 Independent variable = COLUMN1
18 Power parameter is restricted as power >=1

```

```

20 Total number of observations = 4
21 Total number of records with missing values = 0
22 Maximum number of iterations = 250
23 Relative Function Convergence has been set to: 1e-008
24 Parameter Convergence has been set to: 1e-008

```

Default Initial (and Specified) Parameter Values

```

29 Background = 0.09375
30 Slope = 4.78181e-007
31 Power = 2.28256

```

Asymptotic Correlation Matrix of Parameter Estimates

	Background	Slope	Power
Background	1	-0.33	0.31
Slope	-0.33	1	-1
Power	0.31	-1	1

Parameter Estimates

Variable	Estimate	Std. Err.
Background	0.0959027	0.0577365
Slope	1.27341e-006	1.17833e-005
Power	2.27222	1.67024

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-18.2628			
Fitted model	-18.4284	0.331283	1	0.5649
Reduced model	-39.4295	42.3333	3	<.0001

```

62 AIC: 42.8569

```


Goodness of Fit

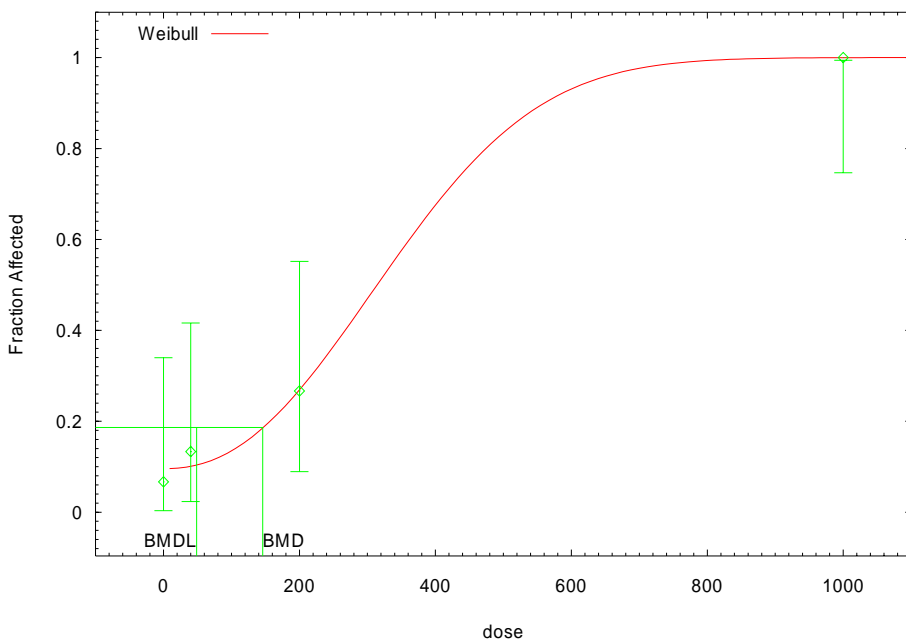
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0959	1.439	1	15	-0.3845
40.0000	0.1009	1.514	2	15	0.4168
200.0000	0.2712	4.067	4	15	-0.03917
1000.0000	0.9998	14.997	15	15	0.05665

Chi-square = 0.33 DF = 1 P-value = 0.5678

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 145.973
 BMDL = 48.796

Weibull Model with 0.95 Confidence Level



15:49 12/28 2005

26
27

```

1  Fawell et al., 1999 Female Chronic Inflammation
2
3  =====
4      $Revision: 2.2 $ $Date: 2001/03/14 01:17:00 $
5      Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
6      Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
7                                  Wed Dec 28 15:50:58 2005
8  =====
9
10 BMDS MODEL RUN
11 ~~~~~
12
13 The form of the probability function is:
14
15  $P[\text{response}] = \text{background} + (1 - \text{background}) * \text{CumGamma}[\text{slope} * \text{dose}, \text{power}]$ ,
16 where CumGamma(.) is the cumulative Gamma distribution function
17
18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1
21 Power parameter is restricted as power >=1
22
23 Total number of observations = 4
24 Total number of records with missing values = 0
25 Maximum number of iterations = 250
26 Relative Function Convergence has been set to: 1e-008
27 Parameter Convergence has been set to: 1e-008
28
29
30
31             Default Initial (and Specified) Parameter Values
32             Background =      0.34375
33             Slope =      0.00408479
34             Power =      2.14573
35
36
37             Asymptotic Correlation Matrix of Parameter Estimates
38
39             ( *** The model parameter(s) -Power
40               have been estimated at a boundary point, or have been
41 specified by the user,
42               and do not appear in the correlation matrix )
43
44             Background      Slope
45
46 Background      1      -0.42
47
48 Slope      -0.42      1
49
50
51
52             Parameter Estimates
53
54             Variable      Estimate      Std. Err.
55 Background      0.385764      0.0913888
56 Slope      0.0020737      0.000852726
57 Power      1      NA
58
59 NA - Indicates that this parameter has hit a bound
60 implied by some inequality constraint and thus
61 has no standard error.
62
63
64

```

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-33.9494			
Fitted model	-34.4584	1.01801	2	0.6011
Reduced model	-40.7516	13.6045	3	0.003496

AIC: 72.9167

Goodness of Fit

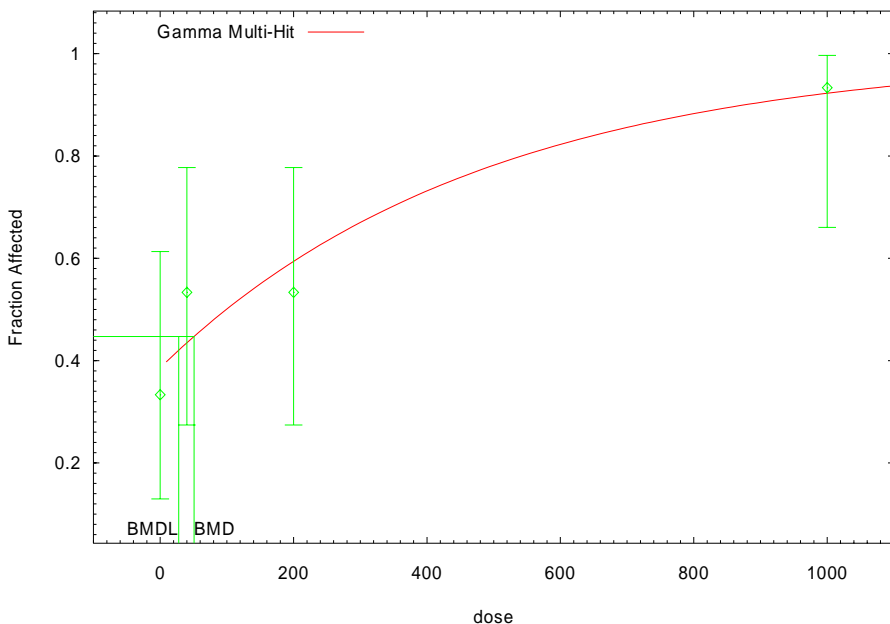
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.3858	5.786	5	15	-0.4172
40.0000	0.4347	6.520	8	15	0.7709
200.0000	0.5943	8.914	8	15	-0.4808
1000.0000	0.9228	13.842	14	15	0.1531

Chi-square = 1.02 DF = 2 P-value = 0.5996

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 50.8081
 BMDL = 27.7368

Gamma Multi-Hit Model with 0.95 Confidence Level



```

1 =====
2 Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
3 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 12:16:37 2006
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

```

10 The form of the probability function is:

```

```

11 P[response] = 1/[1+EXP(-intercept-slope*dose)]

```

```

12
13
14
15
16 Dependent variable = COLUMN2
17 Independent variable = COLUMN1
18 Slope parameter is not restricted

```

```

19
20 Total number of observations = 4
21 Total number of records with missing values = 0
22 Maximum number of iterations = 250
23 Relative Function Convergence has been set to: 1e-008
24 Parameter Convergence has been set to: 1e-008

```

```

25
26
27
28 Default Initial Parameter Values
29 background = 0 Specified
30 intercept = -0.342416
31 slope = 0.00261455

```

```

32
33
34 Asymptotic Correlation Matrix of Parameter Estimates

```

```

35 ( *** The model parameter(s) -background
36 have been estimated at a boundary point, or have been
37 specified by the user,
38 and do not appear in the correlation matrix )

```

```

39
40
41 intercept slope
42
43 intercept 1 -0.51
44
45 slope -0.51 1

```

```

46
47
48
49 Parameter Estimates

```

```

50
51 Variable Estimate Std. Err.
52 intercept -0.374017 0.335179
53 slope 0.00300128 0.00110665

```

```

54
55
56
57 Analysis of Deviance Table

```

```

58
59 Model Log(likelihood) Deviance Test DF P-value
60 Full model -33.9494
61 Fitted model -34.4216 0.944503 2 0.6236
62 Reduced model -40.7516 13.6045 3 0.003496
63
64 AIC: 72.8432

```

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Goodness of Fit

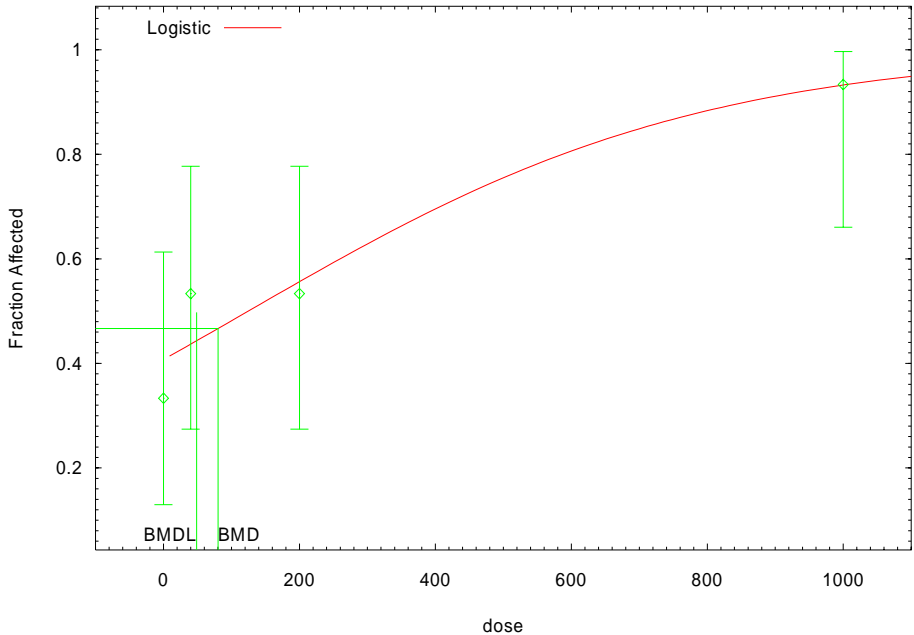
Dose	Est. Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.4076	6.114	5	15	-0.5851
40.0000	0.4368	6.553	8	15	0.7534
200.0000	0.5563	8.345	8	15	-0.1792
1000.0000	0.9326	13.989	14	15	0.0114

Chi-square = 0.94 DF = 2 P-value = 0.6243

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 80.3245
 BMDL = 48.7137

Logistic Model with 0.95 Confidence Level



12:16 03/09 2006

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31

```

1
2 =====
3 Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
4 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
5 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
6                               Wed Dec 28 15:51:45 2005
7 =====
8
9 BMDS MODEL RUN
10 ~~~~~
11
12 The form of the probability function is:
13
14  $P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$ 
15
16
17 Dependent variable = COLUMN2
18 Independent variable = COLUMN1
19 Slope parameter is restricted as slope >= 1
20
21 Total number of observations = 4
22 Total number of records with missing values = 0
23 Maximum number of iterations = 250
24 Relative Function Convergence has been set to: 1e-008
25 Parameter Convergence has been set to: 1e-008
26
27
28
29 User has chosen the log transformed model
30
31
32 Default Initial Parameter Values
33 background = 0.333333
34 intercept = -5.18041
35 slope = 1
36
37
38 Asymptotic Correlation Matrix of Parameter Estimates
39
40 background intercept slope
41
42 background 1 -0.51 0.47
43
44 intercept -0.51 1 -0.99
45
46 slope 0.47 -0.99 1
47
48
49
50 Parameter Estimates
51
52 Variable Estimate Std. Err.
53 background 0.426119 0.0985863
54 intercept -12.3243 8.90473
55 slope 2.07233 1.36766
56
57
58 Analysis of Deviance Table
59
60 Model Log(likelihood) Deviance Test DF P-value
61 Full model -33.9494
62 Fitted model -34.5387 1.17872 1 0.2776
63 Reduced model -40.7516 13.6045 3 0.003496
64

```

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AIC: 75.0774

Goodness of Fit

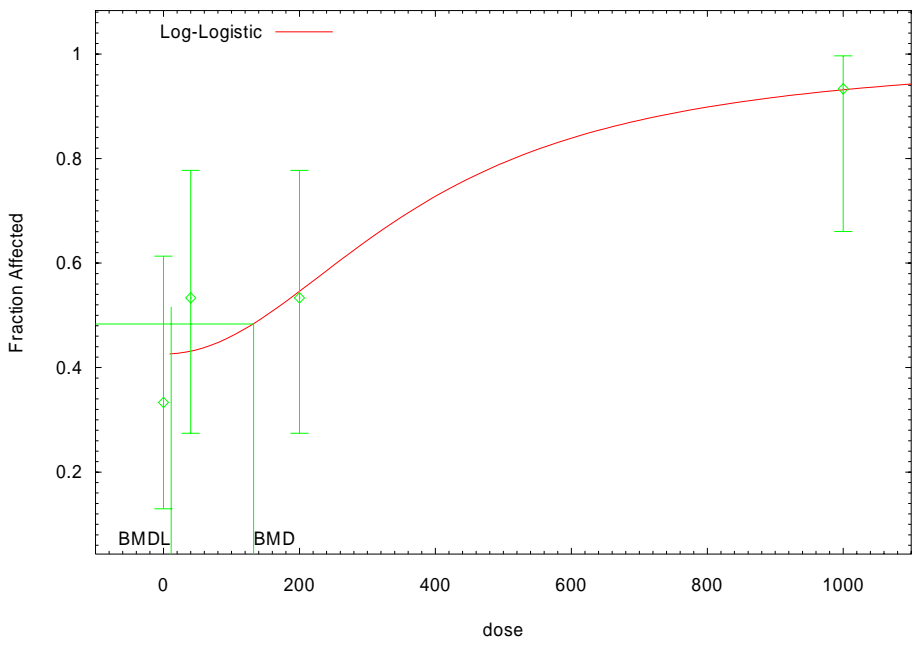
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.4261	6.392	5	15	-0.7267
40.0000	0.4314	6.471	8	15	0.7971
200.0000	0.5448	8.172	8	15	-0.08904
1000.0000	0.9310	13.966	14	15	0.03513

Chi-square = 1.17 DF = 1 P-value = 0.2789

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 132.532
BMDL = 11.3311

Log-Logistic Model with 0.95 Confidence Level



28
29

15:51 12/28 2005

```

1 =====
2 Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 12:17:59 2006
6 =====
7
8 BMDS MODEL RUN
9 ~~~~~
10
11 The form of the probability function is:
12
13 
$$P[\text{response}] = \text{background} + (1-\text{background}) * [1-\text{EXP}(-\beta_1 \cdot \text{dose} - \beta_2 \cdot \text{dose}^2 - \beta_3 \cdot \text{dose}^3)]$$

14
15 The parameter betas are restricted to be positive
16
17
18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1
21
22 Total number of observations = 4
23 Total number of records with missing values = 0
24 Total number of parameters in model = 4
25 Total number of specified parameters = 0
26 Degree of polynomial = 3
27
28
29 Maximum number of iterations = 250
30 Relative Function Convergence has been set to: 1e-008
31 Parameter Convergence has been set to: 1e-008
32
33
34 Default Initial Parameter Values
35 Background = 0.417955
36 Beta(1) = 0.00124572
37 Beta(2) = 0
38 Beta(3) = 9.2085e-010
39
40
41 Asymptotic Correlation Matrix of Parameter Estimates
42
43 ( *** The model parameter(s) -Beta(2)
44 have been estimated at a boundary point, or have been
45 specified by the user,
46 and do not appear in the correlation matrix )
47
48 Background Beta(1) Beta(3)
49
50 Background 1 -0.66 0.55
51 Beta(1) -0.66 1 -0.93
52 Beta(3) 0.55 -0.93 1
53
54
55
56
57 Parameter Estimates
58
59 Variable Estimate Std. Err.
60 Background 0.403311 0.162784
61 Beta(1) 0.00148059 0.00267062
62 Beta(2) 0 NA
63 Beta(3) 7.06214e-010 2.70652e-009
64

```


1 NA - Indicates that this parameter has hit a bound
 2 implied by some inequality constraint and thus
 3 has no standard error.
 4

5
 6 Analysis of Deviance Table

7 Model	8 Log(likelihood)	9 Deviance	10 Test DF	11 P-value
12 Full model	-33.9494			
13 Fitted model	-34.4013	0.903921	1	0.3417
14 Reduced model	-40.7516	13.6045	3	0.003496
15 AIC:	74.8026			

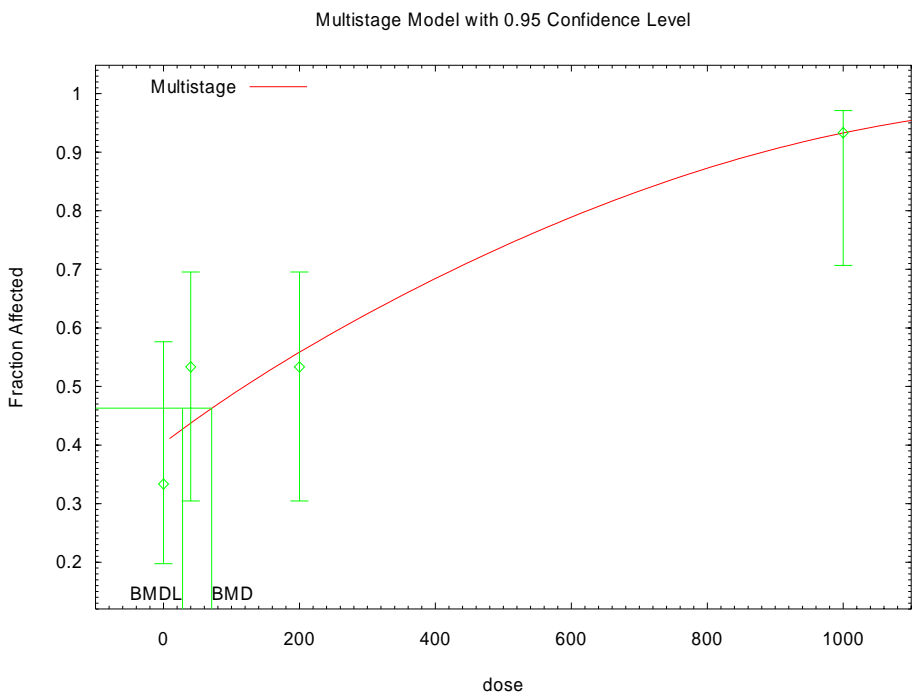
16 Goodness of Fit

17 Dose	18 Est._Prob.	19 Expected	20 Observed	21 Size	22 Chi^2 Res.	
23 i: 1	0.0000	0.4033	6.050	5	15	-0.291
24 i: 2	40.0000	0.4376	6.565	8	15	0.389
25 i: 3	200.0000	0.5587	8.381	8	15	-0.103
26 i: 4	1000.0000	0.9330	13.995	14	15	0.005
27 Chi-square =	0.90	DF = 1		P-value =	0.3421	

31 Benchmark Dose Computation

32 Specified effect = 0.1
 33
 34 Risk Type = Extra risk
 35
 36 Confidence level = 0.95
 37
 38 BMD = 70.9904
 39
 40 BMDL = 28.0638
 41
 42

1



2

12:17 03/09 2006

```

1 =====
2 Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5                               Wed Dec 28 15:52:16 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

```

10 The form of the probability function is:

```

```

11
12
13 P[response] = background + (1-background)*[1-EXP(
14 -beta1*dose^1-beta2*dose^2)]

```

```

15 The parameter betas are restricted to be positive

```

```

16
17
18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1

```

```

21
22 Total number of observations = 4
23 Total number of records with missing values = 0
24 Total number of parameters in model = 3
25 Total number of specified parameters = 0
26 Degree of polynomial = 2

```

```

27
28
29 Maximum number of iterations = 250
30 Relative Function Convergence has been set to: 1e-008
31 Parameter Convergence has been set to: 1e-008

```

```

32
33
34
35 Default Initial Parameter Values
36 Background = 0.417495
37 Beta(1) = 0.00110779
38 Beta(2) = 1.05844e-006

```

```

39
40
41 Asymptotic Correlation Matrix of Parameter Estimates

```

```

42
43 Background      Beta(1)      Beta(2)
44
45 Background      1          -0.67       0.58
46
47 Beta(1)         -0.67       1          -0.95
48
49 Beta(2)         0.58       -0.95       1

```

```

50
51
52
53 Parameter Estimates

```

```

54
55 Variable      Estimate      Std. Err.
56 Background      0.402286     0.165802
57 Beta(1)         0.00142259   0.00323206
58 Beta(2)         7.46169e-007 3.28803e-006

```

```

59
60
61
62 Analysis of Deviance Table

```

```

63
64 Model      Log(likelihood)  Deviance  Test DF  P-value

```

```

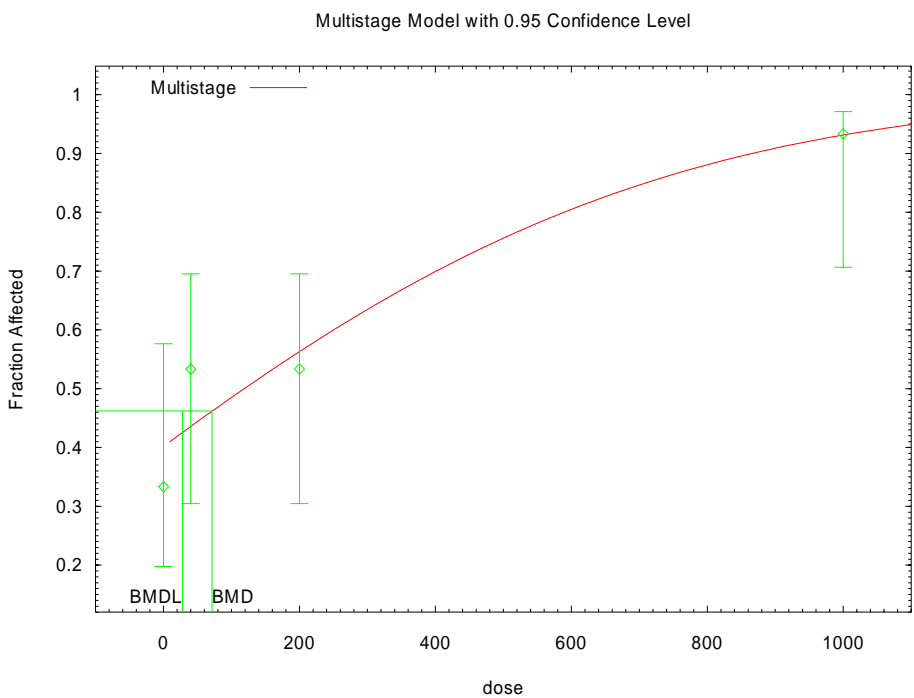
1      Full model      -33.9494
2      Fitted model   -34.4149      0.93098      1      0.3346
3      Reduced model   -40.7516      13.6045      3      0.003496
4
5      AIC:           74.8297
6
7
8      Goodness of Fit
9
10     Dose      Est._Prob.      Expected      Observed      Size      Chi^2 Res.
11     -----
12     i: 1
13     0.0000      0.4023      6.034      5      15      -0.287
14     i: 2
15     40.0000      0.4360      6.540      8      15      0.396
16     i: 3
17     200.0000      0.5635      8.453      8      15      -0.123
18     i: 4
19     1000.0000      0.9317      13.975      14      15      0.026
20
21     Chi-square =      0.93      DF = 1      P-value = 0.3347
22
23

```

```

24     Benchmark Dose Computation
25
26     Specified effect =      0.1
27
28     Risk Type      =      Extra risk
29
30     Confidence level =      0.95
31
32     BMD =      71.3892
33
34     BMDL =      27.9852
35

```



```

36     15:52 12/28 2005
37

```

```

1 =====
2 Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
3 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 12:20:10 2006
6 =====
7
8 BMDS MODEL RUN
9 ~~~~~
10
11 The form of the probability function is:
12
13  $P[\text{response}] = \text{background} + (1-\text{background}) * [1-\text{EXP}(-\text{beta1} * \text{dose}^1)]$ 
14
15 The parameter betas are restricted to be positive
16
17
18
19 Dependent variable = COLUMN2
20 Independent variable = COLUMN1
21
22 Total number of observations = 4
23 Total number of records with missing values = 0
24 Total number of parameters in model = 2
25 Total number of specified parameters = 0
26 Degree of polynomial = 1
27
28
29 Maximum number of iterations = 250
30 Relative Function Convergence has been set to: 1e-008
31 Parameter Convergence has been set to: 1e-008
32
33
34
35 Default Initial Parameter Values
36 Background = 0.377465
37 Beta(1) = 0.00221127
38
39
40 Asymptotic Correlation Matrix of Parameter Estimates
41
42 Background Beta(1)
43
44 Background 1 -0.45
45
46 Beta(1) -0.45 1
47
48
49
50 Parameter Estimates
51
52 Variable Estimate Std. Err.
53 Background 0.385756 0.1375
54 Beta(1) 0.00207378 0.000944904
55
56
57
58 Analysis of Deviance Table
59
60 Model Log(likelihood) Deviance Test DF P-value
61 Full model -33.9494
62 Fitted model -34.4584 1.01801 2 0.6011
63 Reduced model -40.7516 13.6045 3 0.003496
64

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AIC: 72.9167

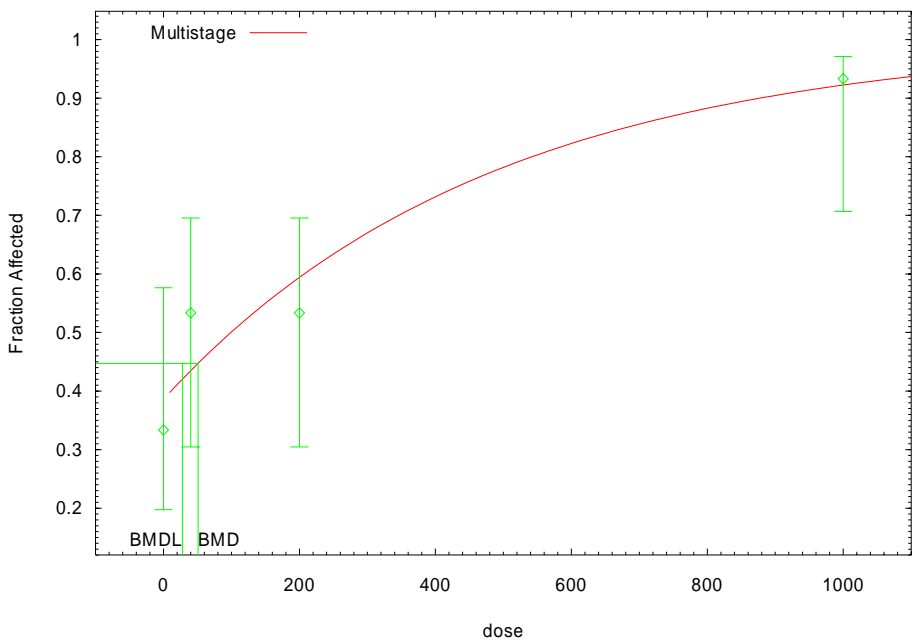
Goodness of Fit

	Dose	Est._Prob.	Expected	Observed	Size	Chi^2 Res.
i: 1	0.0000	0.3858	5.786	5	15	-0.221
i: 2	40.0000	0.4347	6.520	8	15	0.402
i: 3	200.0000	0.5943	8.914	8	15	-0.253
i: 4	1000.0000	0.9228	13.842	14	15	0.148
Chi-square =		1.02	DF = 2	P-value =		0.5996

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 50.8061
 BMDL = 27.7368

Multistage Model with 0.95 Confidence Level



32 12:20 03/09 2006

```

1 =====
2 Probit Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:53 $
3 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5 Thu Mar 09 12:21:25 2006
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = \text{CumNorm}(\text{Intercept} + \text{Slope} * \text{Dose}),$$

where CumNorm(.) is the cumulative normal distribution function

```

17
18 Dependent variable = COLUMN2
19 Independent variable = COLUMN1
20 Slope parameter is not restricted

```

```

21 Total number of observations = 4
22 Total number of records with missing values = 0
23 Maximum number of iterations = 250
24 Relative Function Convergence has been set to: 1e-008
25 Parameter Convergence has been set to: 1e-008

```

```

26
27
28
29
30 Default Initial (and Specified) Parameter Values
31 background = 0 Specified
32 intercept = -0.216871
33 slope = 0.00162326
34

```

Asymptotic Correlation Matrix of Parameter Estimates

```

35
36
37 ( *** The model parameter(s) -background
38 have been estimated at a boundary point, or have been
39 specified by the user,
40 and do not appear in the correlation matrix )

```

	intercept	slope
intercept	1	-0.53
slope	-0.53	1

Parameter Estimates

Variable	Estimate	Std. Err.
intercept	-0.223386	0.20693
slope	0.00172875	0.000560405

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-33.9494			
Fitted model	-34.421	0.943277	2	0.624
Reduced model	-40.7516	13.6045	3	0.003496

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AIC: 72.842

Goodness of Fit

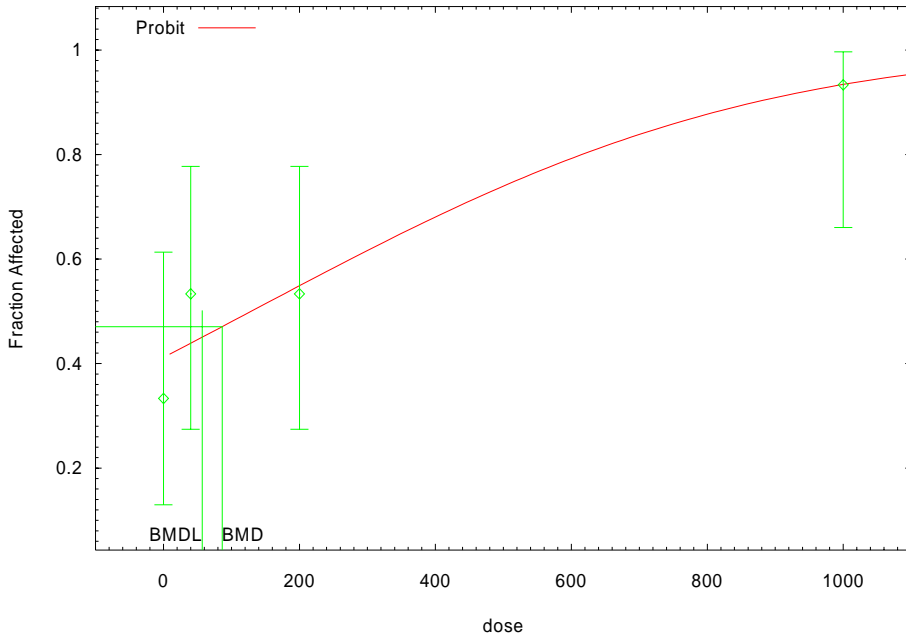
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.4116	6.174	5	15	-0.6161
40.0000	0.4387	6.581	8	15	0.7385
200.0000	0.5487	8.230	8	15	-0.1196
1000.0000	0.9339	14.008	14	15	-0.00859

Chi-square = 0.94 DF = 2 P-value = 0.6252

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 86.341
BMDL = 56.9234

Probit Model with 0.95 Confidence Level



1

12:21 03/09 2006

```

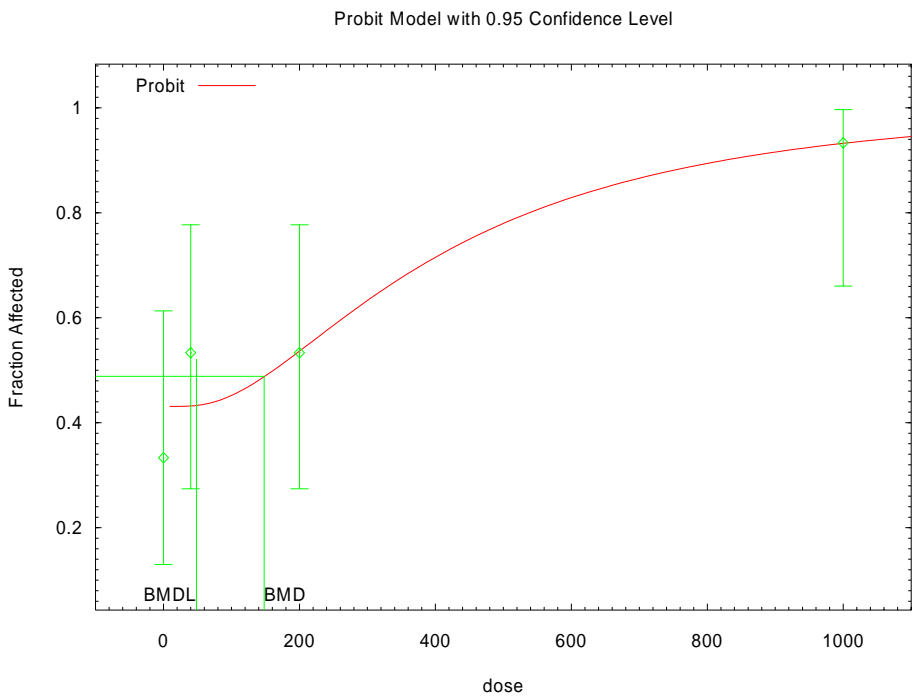
1  =====
2  Probit Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:53 $
3  Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4  Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5  Wed Dec 28 15:52:51 2005
6  =====
7
8  BMDS MODEL RUN
9  ~~~~~
10
11  The form of the probability function is:
12
13  P[response] = Background
14                + (1-Background) * CumNorm(Intercept+Slope*Log(Dose)),
15
16  where CumNorm(.) is the cumulative normal distribution function
17
18
19  Dependent variable = COLUMN2
20  Independent variable = COLUMN1
21  Slope parameter is restricted as slope >= 1
22
23  Total number of observations = 4
24  Total number of records with missing values = 0
25  Maximum number of iterations = 250
26  Relative Function Convergence has been set to: 1e-008
27  Parameter Convergence has been set to: 1e-008
28
29
30
31  User has chosen the log transformed model
32
33
34                Default Initial (and Specified) Parameter Values
35                background =      0.333333
36                intercept  =     -5.62294
37                slope      =          1
38
39
40                Asymptotic Correlation Matrix of Parameter Estimates
41
42                background      intercept      slope
43
44  background          1          -0.46          0.43
45
46  intercept          -0.46           1          -0.99
47
48  slope              0.43          -0.99           1
49
50
51
52                Parameter Estimates
53
54                Variable          Estimate          Std. Err.
55  background          0.431473          0.0937984
56  intercept          -7.732           4.97551
57  slope              1.2906           0.75516
58
59
60
61                Analysis of Deviance Table
62
63                Model          Log(likelihood)  Deviance  Test DF  P-value
64  Full model          -33.9494

```

```

1      Fitted model      -34.5602      1.22166      1      0.269
2      Reduced model     -40.7516      13.6045      3      0.003496
3
4          AIC:          75.1204
5
6
7          Goodness of Fit
8
9
10         Dose      Est._Prob.      Expected      Observed      Size      Scaled
11         -----
12         0.0000      0.4315      6.472      5      15      -0.7674
13         40.0000      0.4323      6.485      8      15      0.7897
14         200.0000      0.5370      8.055      8      15      -0.02871
15         1000.0000      0.9327      13.991      14      15      0.009746
16
17      Chi-square =      1.21      DF = 1      P-value = 0.2706
18
19
20      Benchmark Dose Computation
21
22      Specified effect =      0.1
23
24      Risk Type      =      Extra risk
25
26      Confidence level =      0.95
27
28          BMD =      148.118
29
30          BMDL =      48.5214
31
32

```



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33      15:52 12/28 2005
34

```

```

1 =====
2 Quantal Linear Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5                               Wed Dec 28 15:54:53 2005
6 =====

```

```

7
8 BMDS MODEL RUN
9 ~~~~~

```

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{slope} * \text{dose})]$$

Dependent variable = COLUMN2
Independent variable = COLUMN1

Total number of observations = 4
Total number of records with missing values = 0
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial (and Specified) Parameter Values

```

Background = 0.34375
Slope = 0.00194591
Power = 1 Specified

```

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Power
have been estimated at a boundary point, or have been
specified by the user,
and do not appear in the correlation matrix)

	Background	Slope
Background	1	-0.42
Slope	-0.42	1

Parameter Estimates

Variable	Estimate	Std. Err.
Background	0.385756	0.0913888
Slope	0.00207382	0.000852767

Analysis of Deviance Table

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-33.9494			
Fitted model	-34.4584	1.01801	2	0.6011
Reduced model	-40.7516	13.6045	3	0.003496
AIC:	72.9167			

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Goodness of Fit

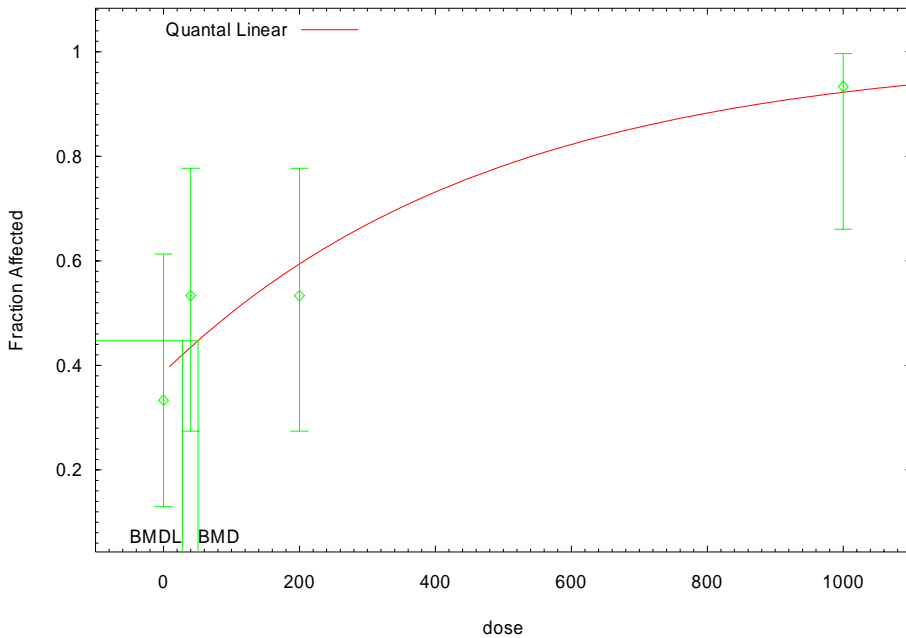
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.3858	5.786	5	15	-0.4171
40.0000	0.4347	6.520	8	15	0.771
200.0000	0.5943	8.914	8	15	-0.4808
1000.0000	0.9228	13.842	14	15	0.153

Chi-square = 1.02 DF = 2 P-value = 0.5996

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 50.8051
 BMDL = 27.7368

Quantal Linear Model with 0.95 Confidence Level



26
27

15:54 12/28 2005

```

1  =====
2  Quantal Quadratic Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
3  Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
4  Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
5  Wed Dec 28 15:55:22 2005
6  =====
7
8  BMDS MODEL RUN
9  ~~~~~
10
11  The form of the probability function is:
12
13  P[response] = background + (1-background)*[1-EXP(-slope*dose^2)]
14
15
16  Dependent variable = COLUMN2
17  Independent variable = COLUMN1
18
19  Total number of observations = 4
20  Total number of records with missing values = 0
21  Maximum number of iterations = 250
22  Relative Function Convergence has been set to: 1e-008
23  Parameter Convergence has been set to: 1e-008
24
25
26
27  Default Initial (and Specified) Parameter Values
28  Background = 0.34375
29  Slope = 1.94591e-006
30  Power = 2 Specified
31
32
33  Asymptotic Correlation Matrix of Parameter Estimates
34
35  ( *** The model parameter(s) -Power
36  have been estimated at a boundary point, or have been
37  specified by the user,
38  and do not appear in the correlation matrix )
39
40  Background      Slope
41
42  Background      1      -0.23
43
44  Slope          -0.23      1
45
46
47
48  Parameter Estimates
49
50  Variable      Estimate      Std. Err.
51  Background      0.449003      0.0779228
52  Slope          2.16129e-006      1.00801e-006
53
54
55
56  Analysis of Deviance Table
57
58  Model      Log(likelihood)  Deviance  Test DF  P-value
59  Full model      -33.9494
60  Fitted model      -34.6163      1.33385      2      0.5133
61  Reduced model      -40.7516      13.6045      3      0.003496
62
63  AIC:          73.2326
64

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Goodness of Fit

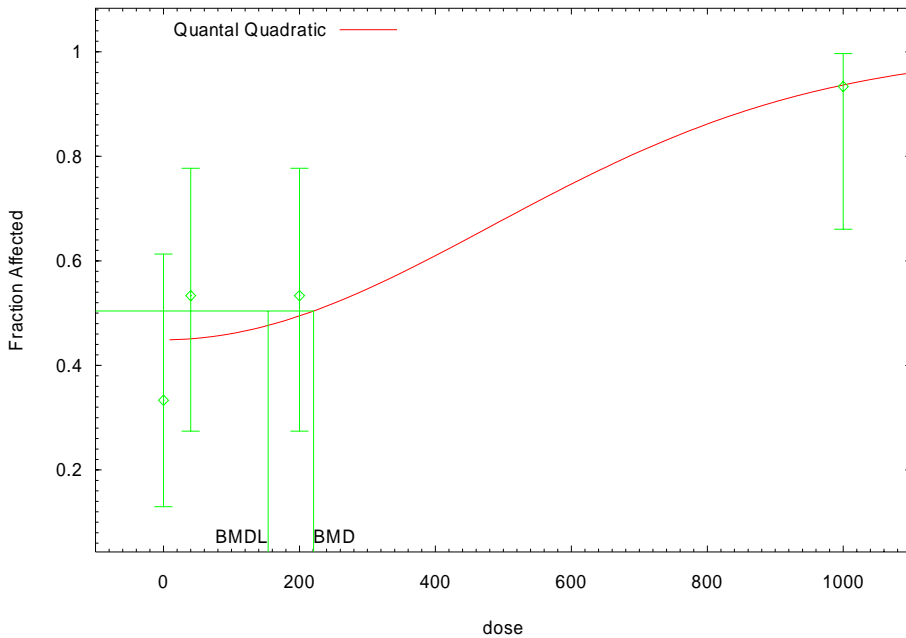
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.4490	6.735	5	15	-0.9007
40.0000	0.4509	6.764	8	15	0.6416
200.0000	0.4946	7.420	8	15	0.2998
1000.0000	0.9365	14.048	14	15	-0.05091

Chi-square = 1.32 DF = 2 P-value = 0.5181

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 220.792
 BMDL = 153.94

Quantal Quadratic Model with 0.95 Confidence Level



26

15:55 12/28 2005

```

1
2 =====
3 Weibull Model $Revision: 2.2 $ $Date: 2000/03/17 22:27:16 $
4 Input Data File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.(d)
5 Gnuplot Plotting File: C:\BMDS\FAWELL_FEMALE_CHRONIC_INFLAMMATION.plt
6                               Wed Dec 28 15:55:56 2005
7 =====

```

```

8
9 BMDS MODEL RUN
10 ~~~~~

```

```

11
12 The form of the probability function is:

```

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{slope} * \text{dose}^{\text{power}})]$$

```

16
17 Dependent variable = COLUMN2
18 Independent variable = COLUMN1
19 Power parameter is restricted as power >=1

```

```

20
21 Total number of observations = 4
22 Total number of records with missing values = 0
23 Maximum number of iterations = 250
24 Relative Function Convergence has been set to: 1e-008
25 Parameter Convergence has been set to: 1e-008

```

```

26
27
28
29 Default Initial (and Specified) Parameter Values

```

```

30 Background = 0.34375
31 Slope = 0.000217656
32 Power = 1.31712

```

```

33
34
35 Asymptotic Correlation Matrix of Parameter Estimates

```

	Background	Slope	Power
Background	1	-0.77	0.76
Slope	-0.77	1	-1
Power	0.76	-1	1

```

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44
45
46
47 Parameter Estimates

```

Variable	Estimate	Std. Err.
Background	0.396812	0.136488
Slope	0.00106435	0.00719029
Power	1.09925	0.999439

```

48
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54
55
56 Analysis of Deviance Table

```

Model	Log(likelihood)	Deviance	Test DF	P-value
Full model	-33.9494			
Fitted model	-34.4529	1.00699	1	0.3156
Reduced model	-40.7516	13.6045	3	0.003496
AIC:	74.9057			

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Goodness of Fit

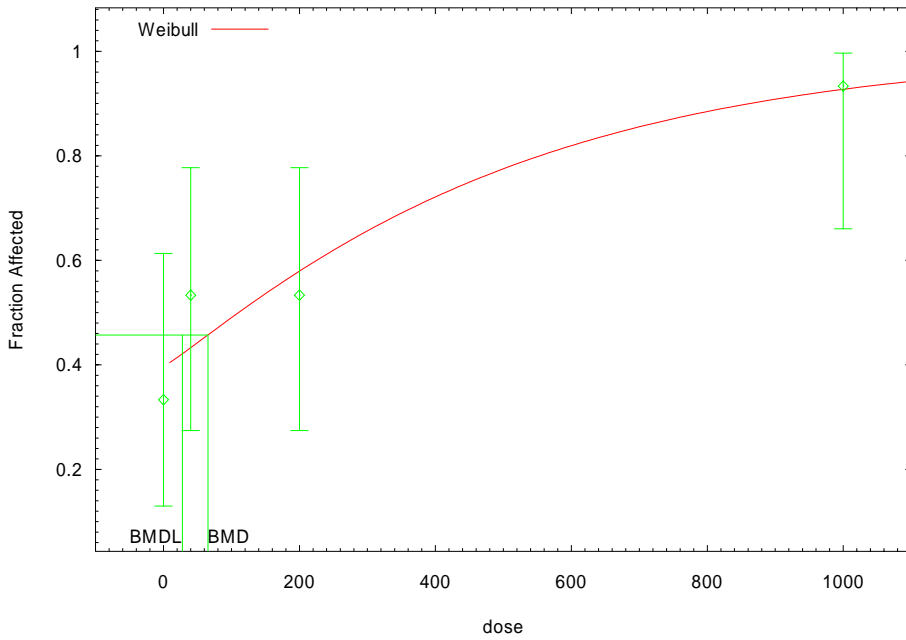
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.3968	5.952	5	15	-0.5025
40.0000	0.4327	6.491	8	15	0.7864
200.0000	0.5792	8.689	8	15	-0.3601
1000.0000	0.9271	13.906	14	15	0.09327

Chi-square = 1.01 DF = 1 P-value = 0.3151

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 65.3741
 BMDL = 27.7679

Weibull Model with 0.95 Confidence Level



15:55 12/28 2005

27
28
29
30

1 **Heinze, 1999 Relative Liver Weight Changes**

2
3
4 =====
5 Polynomial Model. Revision: 2.2 Date: 9/12/2002
6 Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY DOCUMENTS_CYANO
7 TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.(d)
8 Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
9 DOCUMENTS_CYANO TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.plt
10 Thu May 18 09:37:38 2006
11 =====

12 **BMDS MODEL RUN**

13 ~~~~~
14
15 The form of the response function is:

16 $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$

17
18
19
20 Dependent variable = MEAN
21 Independent variable = COLUMN1
22 rho is set to 0
23 The polynomial coefficients are restricted to be positive
24 A constant variance model is fit
25

26 Total number of dose groups = 3
27 Total number of records with missing values = 0
28 Maximum number of iterations = 250
29 Relative Function Convergence has been set to: 1e-008
30 Parameter Convergence has been set to: 1e-008
31

32
33
34 Default Initial Parameter Values
35 alpha = 0.1466
36 rho = 0 Specified
37 beta_0 = 2.84857
38 beta_1 = 0.00447143
39

40
41
42 Parameter Estimates

43
44 95.0% Wald Confidence

Interval	Variable	Estimate	Std. Err.	Lower Conf. Limit
Upper Conf. Limit	alpha	0.143276	0.0369936	0.0707695
0.215782	beta_0	2.84857	0.101163	2.6503
3.04685	beta_1	0.00447143	0.00110819	0.00229942
0.00664343				

55
56 Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	5.6e-009	1.9e-009
beta_0	5.6e-009	1	-0.73
beta_1	1.9e-009	-0.73	1

63
64 Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	10	2.75	0.29	2.85	0.379	-0.824
50	10	3.22	0.34	3.07	0.379	1.24
150	10	3.47	0.49	3.52	0.379	-0.412

Model Descriptions for likelihoods calculated

- Model A1: $Y_{ij} = \mu(i) + e(ij)$
 $Var\{e(ij)\} = \sigma^2$
- Model A2: $Y_{ij} = \mu(i) + e(ij)$
 $Var\{e(ij)\} = \sigma(i)^2$
- Model R: $Y_i = \mu + e(i)$
 $Var\{e(i)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	15.381120	4	-22.762240
A2	16.880747	6	-21.761494
fitted	14.144766	2	-24.289533
R	7.133405	2	-10.266809

- Test 1: Does response and/or variances differ among dose levels (A2 vs. R)
- Test 2: Are Variances Homogeneous (A1 vs A2)
- Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	19.4947	4	<.0001
Test 2	2.99925	2	0.2232
Test 3	2.47271	1	0.1158

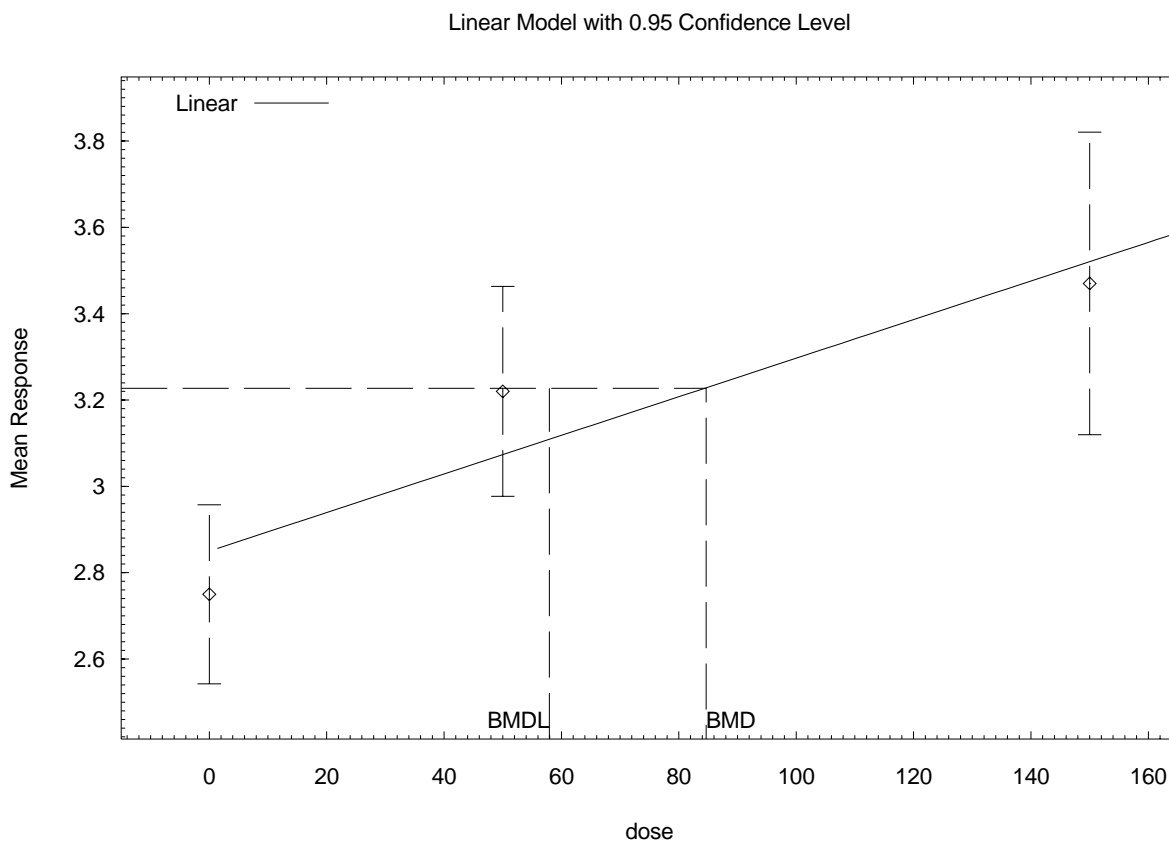
The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here

The p-value for Test 3 is greater than .05. The model chosen appears to adequately describe the data

Benchmark Dose Computation

1 Specified effect = 1
 2
 3 Risk Type = Estimated standard deviations from the control mean
 4
 5
 6 Confidence level = 0.95
 7
 8 BMD = 84.6525
 9
 10
 11 BMDL = 57.9321
 12
 13



09:37 05/18 2006

14
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1 **Heinze, 1999 Lactate Dehydrogenase Changes**

2
 3 =====
 4 Polynomial Model. Revision: 2.2 Date: 9/12/2002
 5 Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY DOCUMENTS_CYANO
 6 TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.(d)
 7 Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
 8 DOCUMENTS_CYANO TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.plt
 9 Thu May 18 09:40:36 2006
 10 =====

11 BMDS MODEL RUN
 12 ~~~~~

13
 14 The form of the response function is:

15 $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$

16
 17
 18
 19
 20 Dependent variable = MEAN
 21 Independent variable = COLUMN1
 22 rho is set to 0
 23 The polynomial coefficients are restricted to be positive
 24 A constant variance model is fit
 25

26 Total number of dose groups = 3
 27 Total number of records with missing values = 0
 28 Maximum number of iterations = 250
 29 Relative Function Convergence has been set to: 1e-008
 30 Parameter Convergence has been set to: 1e-008
 31

32
 33
 34 Default Initial Parameter Values
 35 alpha = 15.6395
 36 rho = 0 Specified
 37 beta_0 = 20.22
 38 beta_1 = 0.101
 39

40
 41
 42 Parameter Estimates

43
 44 95.0% Wald Confidence

Interval	Variable	Estimate	Std. Err.	Lower Conf. Limit
Upper Conf. Limit	alpha	29.028	7.49498	14.3381
43.7179	beta_0	20.22	1.43994	17.3978
23.0422	beta_1	0.101	0.0157738	0.070084
0.131916				

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 51
 52
 53
 54
 55
 56 Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	6.2e-015	1.5e-015
beta_0	6.2e-015	1	-0.73
beta_1	1.5e-015	-0.73	1

57
 58
 59
 60
 61
 62
 63
 64 Table of Data and Estimated Values of Interest

	Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi ²
Res.							
0	10	16.6	4.48	20.2	5.39	-2.1	
50	10	30.6	5.05	25.3	5.39	3.15	
150	10	33.6	1.16	35.4	5.39	-1.05	

Model Descriptions for likelihoods calculated

Model A1: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma^2$

Model A2: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma(i)^2$

Model R: $Y_i = \mu + e(i)$
 $\text{Var}\{e(i)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	-54.666589	4	117.333177
A2	-46.093905	6	104.187810
fitted	-65.523922	2	135.047844
R	-78.954450	2	161.908899

Test 1: Does response and/or variances differ among dose levels (A2 vs. R)

Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	65.7211	4	<.0001
Test 2	17.1454	2	0.0001892
Test 3	21.7147	1	<.0001

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

The p-value for Test 2 is less than .05. Consider running a non-homogeneous variance model

The p-value for Test 3 is less than .05. You may want to try a different model

Benchmark Dose Computation
 Specified effect = 1

1 Risk Type = Estimated standard deviations from the control mean
2
3
4 Confidence level = 0.95
5
6 BMD = 53.3442
7
8
9 BMDL = 39.9199

```

1 =====
2 Polynomial Model. Revision: 2.2 Date: 9/12/2002
3 Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY DOCUMENTS\_CYANO
4 TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.(d)
5 Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
6 DOCUMENTS\_CYANO TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.plt
7 Thu May 18 09:42:43 2006
8 =====
9

```

10 BMDS MODEL RUN

11 ~~~~~
12 The form of the response function is:

13
$$Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$$

14
15
16
17
18 Dependent variable = MEAN
19 Independent variable = COLUMN1
20 The polynomial coefficients are restricted to be positive
21 The variance is to be modeled as $\text{Var}(i) = \alpha \cdot \text{mean}(i)^{\rho}$
22
23 Total number of dose groups = 3
24 Total number of records with missing values = 0
25 Maximum number of iterations = 250
26 Relative Function Convergence has been set to: 1e-008
27 Parameter Convergence has been set to: 1e-008
28
29
30

31 Default Initial Parameter Values

32 alpha = 15.6395
33 rho = 0
34 beta_0 = 20.22
35 beta_1 = 0.101
36
37
38

39 Parameter Estimates

				95.0% Wald Confidence
Interval	Variable	Estimate	Std. Err.	Lower Conf. Limit
Upper Conf. Limit	alpha	2.44639e+006	4.30425e+006	-5.98979e+006
1.08826e+007	rho	-3.55294	0.556161	-4.643
-2.46289	beta_0	21.3722	1.64609	18.146
24.5985	beta_1	0.0863674	0.0134905	0.0599266
0.112808				

54 Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	beta_0	beta_1
alpha	1	-0.99	0.19	-0.23
rho	-0.99	1	-0.21	0.25
beta_0	0.19	-0.21	1	-0.89
beta_1	-0.23	0.25	-0.89	1

62
63
64 Table of Data and Estimated Values of Interest

	Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi ²
Res.							
0	10	16.6	4.48	21.4	6.79	-2.2	
50	10	30.6	5.05	25.7	4.9	3.2	
150	10	33.6	1.16	34.3	2.93	-0.808	

Model Descriptions for likelihoods calculated

- Model A1: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma^2$
- Model A2: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma(i)^2$
- Model A3: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \alpha * (\mu(i))^{\rho}$
- Model R: $Y_i = \mu + e(i)$
 $\text{Var}\{e(i)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	-54.666589	4	117.333177
A2	-46.093905	6	104.187810
A3	-54.022155	5	118.044309
fitted	-61.092641	4	130.185281
R	-78.954450	2	161.908899

Explanation of Tests

- Test 1: Does response and/or variances differ among Dose levels?
(A2 vs. R)
- Test 2: Are Variances Homogeneous? (A1 vs A2)
- Test 3: Are variances adequately modeled? (A2 vs. A3)
- Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	65.7211	4	<.0001
Test 2	17.1454	2	0.0001892
Test 3	15.8565	1	<.0001
Test 4	14.141	1	0.0001696

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels
It seems appropriate to model the data

The p-value for Test 2 is less than .05. A non-homogeneous variance model appears to be appropriate

1
2 The p-value for Test 3 is less than .05. You may want
3 to consider a
4 different variance model

5
6 The p-value for Test 4 is less than .05. You may want
7 to try a different
8 model

9
10
11 Benchmark Dose Computation
12 Specified effect = 1
13
14 Risk Type = Estimated standard deviations from the control mean
15
16
17 Confidence level = 0.95
18
19 BMD = 78.6091
20
21
22 BMDL = 63.5158
23
24
25
26
27

1 **Heinze, 1999 Alkaline Phosphatase Changes**
2
3
4 =====
5 Polynomial Model. Revision: 2.2 Date: 9/12/2002
6 Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY DOCUMENTS_CYANO
7 TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.(d)
8 Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
9 DOCUMENTS_CYANO TOX REV\MODELING\HEINZE_ENZYMES_AND_LIVER_WT.plt
10 Thu May 18 09:46:03 2006
11 =====

12
13 BMDP MODEL RUN
14 ~~~~~

15
16 The form of the response function is:
17
18 $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$
19

20
21 Dependent variable = MEAN
22 Independent variable = COLUMN1
23 rho is set to 0
24 The polynomial coefficients are restricted to be positive
25 A constant variance model is fit
26

27 Total number of dose groups = 3
28 Total number of records with missing values = 0
29 Maximum number of iterations = 250
30 Relative Function Convergence has been set to: 1e-008
31 Parameter Convergence has been set to: 1e-008
32

33
34
35 Default Initial Parameter Values
36 alpha = 7.59287
37 rho = 0 Specified
38 beta_0 = 10.6414
39 beta_1 = 0
40

41
42
43 Parameter Estimates

		95.0% Wald Confidence		
Interval	Variable	Estimate	Std. Err.	Lower Conf. Limit
Upper Conf. Limit	alpha	7.93453	2.04869	3.91918
11.9499	beta_0	10.6414	0.75283	9.16591
12.117	beta_1	0.0180286	0.00824683	0.00186506
0.0341921				

56
57 Asymptotic Correlation Matrix of Parameter Estimates

	alpha	beta_0	beta_1
alpha	1	1.1e-006	-4.7e-007
beta_0	1.1e-006	1	-0.73
beta_1	-4.7e-007	-0.73	1

63
64

1 Table of Data and Estimated Values of Interest

2

3 Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi ²
4 Res.						
5 -----	---	-----	-----	-----	-----	-----
6						
7 0	10	9.67	2.2	10.6	2.82	-1.09
8 50	10	13	3.81	11.5	2.82	1.64
9 150	10	12.9	1.85	13.3	2.82	-0.545

11

12

13 Model Descriptions for likelihoods calculated

14

15

16 Model A1: $Y_{ij} = \mu(i) + e(ij)$

17 $\text{Var}\{e(ij)\} = \sigma^2$

18

19 Model A2: $Y_{ij} = \mu(i) + e(ij)$

20 $\text{Var}\{e(ij)\} = \sigma(i)^2$

21

22 Model R: $Y_i = \mu + e(i)$

23 $\text{Var}\{e(i)\} = \sigma^2$

24

25

26 Likelihoods of Interest

27 Model	Log(likelihood)	DF	AIC
28 A1	-43.827730	4	95.655461
29 A2	-40.832314	6	93.664628
30 fitted	-46.068366	2	96.136733
31 R	-48.794188	2	101.588375

32

33

34 Test 1: Does response and/or variances differ among dose levels

35 (A2 vs. R)

36

37 Test 2: Are Variances Homogeneous (A1 vs A2)

38 Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

39

40 Tests of Interest

41 Test	-2*log(Likelihood Ratio)	Test df	p-value
42 Test 1	15.9237	4	0.0003485
43 Test 2	5.99083	2	0.05002
44 Test 3	4.48127	1	0.03427

45

46

47

48 The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data

49

50

51

52

53

54 The p-value for Test 2 is greater than .05. A homogeneous variance model appears to be appropriate here

55

56

57

58

59 The p-value for Test 3 is less than .05. You may want to try a different model

60

61

62

63

64 Benchmark Dose Computation

1 Specified effect = 1
2
3 Risk Type = Estimated standard deviations from the control mean
4
5
6 Confidence level = 0.95
7
8 BMD = 156.243
9
10
11 BMDL = 87.6609
12
13
14
15
16

1 **Fawell et al., 1999 Male Alanine Aminotransferase Changes**

2
3 =====
4 Polynomial Model. Revision: 2.2 Date: 9/12/2002
5 Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
6 DOCUMENTS_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.(d)
7 Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
8 DOCUMENTS_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.plt
9 Tue May 09 12:59:55 2006

10 =====
11
12 **BMDS MODEL RUN**
13 ~~~~~

14
15 The form of the response function is:

16
17 $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$

18
19
20 Dependent variable = MEAN
21 Independent variable = COLUMN1
22 rho is set to 0
23 The polynomial coefficients are restricted to be positive
24 A constant variance model is fit

25
26 Total number of dose groups = 4
27 Total number of records with missing values = 0
28 Maximum number of iterations = 250
29 Relative Function Convergence has been set to: 1e-008
30 Parameter Convergence has been set to: 1e-008

31
32
33
34 Default Initial Parameter Values
35 alpha = 1
36 rho = 0 Specified
37 beta_0 = 30.4717
38 beta_1 = 0.129124

39
40
41
42 Parameter Estimates
43
44 95.0% Wald
45 Confidence Interval
46 Variable Estimate Std. Err. Lower Conf. Limit
47 Upper Conf. Limit
48 alpha 1584.79 289.34 1017.69
49 2151.88
50 beta_0 30.4717 6.47011 17.7905
51 43.1529
52 beta_1 0.129124 0.0126792 0.104273
53 0.153974

54
55
56 Asymptotic Correlation Matrix of Parameter Estimates
57

```

1          alpha      beta_0      beta_1
2      alpha          1      -6.1e-008      9.2e-007
3      beta_0      -6.1e-008          1      -0.61
4      beta_1      9.2e-007      -0.61          1

```

Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	15	27	8	30.5	39.8	-0.338
40	15	37	17.2	35.6	39.8	0.133
200	15	59	28	56.3	39.8	0.263
1000	15	159	75	160	39.8	-0.0579

Model Descriptions for likelihoods calculated

Model A1: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma^2$

Model A2: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma(i)^2$

Model R: $Y_i = \mu + e(i)$
 $\text{Var}\{e(i)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	-250.943933	5	511.887866
A2	-216.540867	8	449.081734
fitted	-251.046212	2	506.092423
R	-281.663312	2	567.326624

Test 1: Does response and/or variances differ among dose levels

(A2 vs. R)

Test 2: Are Variances Homogeneous (A1 vs A2)

Test 3: Does the Model for the Mean Fit (A1 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	130.245	6	<.0001
Test 2	68.8061	3	<.0001
Test 3	0.204557	2	0.9028

The p-value for Test 1 is less than .05. There appears to be a

1 difference between response and/or variances among the
2 dose levels.
3 It seems appropriate to model the data
4
5 The p-value for Test 2 is less than .05. Consider
6 running a
7 non-homogeneous variance model
8
9 The p-value for Test 3 is greater than .05. The model
10 chosen appears
11 to adequately describe the data
12
13
14

15 Benchmark Dose Computation

16 Specified effect = 1

17 Risk Type = Estimated standard deviations from the control mean

18 Confidence level = 0.95

19
20
21
22
23 BMD = 308.304

24
25
26 BMDL = 252.245

27


```

1
2 =====
3     Polynomial Model. Revision: 2.2   Date: 9/12/2002
4     Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
5 DOCUMENTS\_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.(d)
6     Gnuplot Plotting File:  C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
7 DOCUMENTS\_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.plt
8                                     Tue May 09 13:00:39 2006
9     =====
10
11    BMDS MODEL RUN
12    ~~~~~
13
14    The form of the response function is:
15
16    Y[dose] = beta_0 + beta_1*dose + beta_2*dose^2 + ...
17
18
19    Dependent variable = MEAN
20    Independent variable = COLUMN1
21    The polynomial coefficients are restricted to be positive
22    The variance is to be modeled as Var(i) = alpha*mean(i)^rho
23
24    Total number of dose groups = 4
25    Total number of records with missing values = 0
26    Maximum number of iterations = 250
27    Relative Function Convergence has been set to: 1e-008
28    Parameter Convergence has been set to: 1e-008
29
30
31
32                Default Initial Parameter Values
33                    alpha =           1
34                    rho   =           0
35                    beta_0 =    30.4717
36                    beta_1 =    0.129124
37
38
39
40                Parameter Estimates
41
42
43                Confidence Interval          95.0% Wald
44                Variable      Estimate      Std. Err.      Lower Conf. Limit
45    Upper Conf. Limit
46                alpha        0.098324      0.116485      -0.129982
47    0.32663
48                rho         2.15231      0.291636      1.58072
49    2.72391
50                beta_0      28.6439      2.33865      24.0602
51    33.2276
52                beta_1      0.141692      0.0184799      0.105472
53    0.177912
54
55
56                Asymptotic Correlation Matrix of Parameter Estimates
57

```

1		alpha	rho	beta_0	beta_1
2	alpha	1	-0.99	0.06	-0.17
3	rho	-0.99	1	-0.065	0.18
4	beta_0	0.06	-0.065	1	-0.39
5	beta_1	-0.17	0.18	-0.39	1

Table of Data and Estimated Values of Interest

10	Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
11	Res.						
12	-----	---	-----	-----	-----	-----	-----
13	-						
14							
15	0	15	27	8	28.6	11.6	-0.549
16	40	15	37	17.2	34.3	14.1	0.739
17	200	15	59	28	57	24.3	0.321
18	1000	15	159	75	170	79	-0.556

Model Descriptions for likelihoods calculated

- 25 Model A1: $Y_{ij} = \mu(i) + e(ij)$
26 $\text{Var}\{e(ij)\} = \sigma^2$
- 28 Model A2: $Y_{ij} = \mu(i) + e(ij)$
29 $\text{Var}\{e(ij)\} = \sigma(i)^2$
- 31 Model A3: $Y_{ij} = \mu(i) + e(ij)$
32 $\text{Var}\{e(ij)\} = \alpha * (\mu(i))^\rho$
- 34 Model R: $Y_i = \mu + e(i)$
35 $\text{Var}\{e(i)\} = \sigma^2$

Likelihoods of Interest

40	Model	Log(likelihood)	DF	AIC
41	A1	-250.943933	5	511.887866
42	A2	-216.540867	8	449.081734
43	A3	-217.537818	6	447.075636
44	fitted	-219.838125	4	447.676251
45	R	-281.663312	2	567.326624

Explanation of Tests

- 50 Test 1: Does response and/or variances differ among Dose
51 levels?
52 (A2 vs. R)
- 53 Test 2: Are Variances Homogeneous? (A1 vs A2)
- 54 Test 3: Are variances adequately modeled? (A2 vs. A3)
- 55 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	130.245	6	<.0001
Test 2	68.8061	3	<.0001
Test 3	1.9939	2	0.369
Test 4	4.60062	2	0.1002

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data.

The p-value for Test 2 is less than .05. A non-homogeneous variance model appears to be appropriate.

The p-value for Test 3 is greater than .05. The modeled variance appears to be appropriate here.

The p-value for Test 4 is greater than .05. The model chosen seems to adequately describe the data.

Benchmark Dose Computation

Specified effect = 1

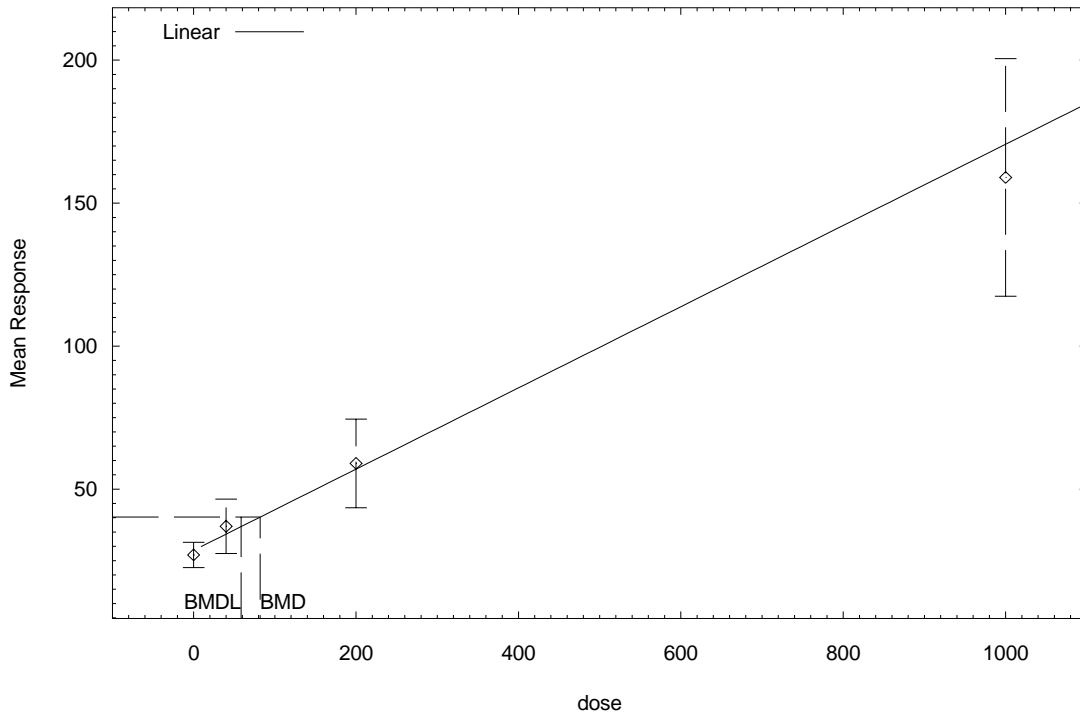
Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 81.8426

BMDL = 58.3727

Linear Model with 0.95 Confidence Level



13:00 05/09 2006

```
=====
      Polynomial Model. Revision: 2.2   Date: 9/12/2002
      Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
6  DOCUMENTS\_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.(d)
      Gnuplot Plotting File:  C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
8  DOCUMENTS\_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.plt
      Tue May 09 13:01:37 2006
=====
```

11
12 BMS MODEL RUN

13 ~~~~~
14
15 The form of the response function is:

16
17 $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$

18
19
20 Dependent variable = MEAN

21 Independent variable = COLUMN1

22 The polynomial coefficients are restricted to be positive

23 The variance is to be modeled as $\text{Var}(i) = \text{alpha} \cdot \text{mean}(i)^\rho$

24
25 Total number of dose groups = 4

26 Total number of records with missing values = 0

27 Maximum number of iterations = 250

28 Relative Function Convergence has been set to: 1e-008

29 Parameter Convergence has been set to: 1e-008

30

1
2
3
4
5
6
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17
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29
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33
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39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57

Default Initial Parameter Values

alpha = 1
rho = 0
beta_0 = 28.5738
beta_1 = 0.160635
beta_2 = 0

Parameter Estimates

95.0% Wald

Confidence Interval	Variable	Estimate	Std. Err.	Lower Conf. Limit
Upper Conf. Limit	alpha	0.0983241	0.116485	-0.129982
0.32663	rho	2.15231	0.291636	1.58072
2.72391	beta_0	28.6439	2.33865	24.0602
33.2276	beta_1	0.141692	0.0184799	0.105472
0.177912	beta_2	0	NA	

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Asymptotic Correlation Matrix of Parameter Estimates

	alpha	rho	beta_0	beta_1
alpha	1	-0.99	0.06	-0.17
rho	-0.99	1	-0.065	0.18
beta_0	0.06	-0.065	1	-0.39
beta_1	-0.17	0.18	-0.39	1

The following parameter(s) have been estimated at a boundary point or have been specified. Correlations are not computed:

beta_2

Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
Res.						
0	15	27	8	28.6	11.6	-0.549
40	15	37	17.2	34.3	14.1	0.739

1 200 15 59 28 57 24.3 0.321
 2 1000 15 159 75 170 79 -0.556

3
4
5

6 Model Descriptions for likelihoods calculated

7
8

9 Model A1: $Y_{ij} = \mu(i) + e(ij)$
 10 $\text{Var}\{e(ij)\} = \sigma^2$

11
12

13 Model A2: $Y_{ij} = \mu(i) + e(ij)$
 14 $\text{Var}\{e(ij)\} = \sigma(i)^2$

15
16

17 Model A3: $Y_{ij} = \mu(i) + e(ij)$
 18 $\text{Var}\{e(ij)\} = \alpha * (\mu(i))^\rho$

19
20

21 Model R: $Y_i = \mu + e(i)$
 22 $\text{Var}\{e(i)\} = \sigma^2$

23
24

25 Likelihoods of Interest

26
27
28
29
30

Model	Log(likelihood)	DF	AIC
A1	-250.943933	5	511.887866
A2	-216.540867	8	449.081734
A3	-217.537818	6	447.075636
fitted	-219.838125	4	447.676251
R	-281.663312	2	567.326624

31
32

33 Explanation of Tests

34
35

36 Test 1: Does response and/or variances differ among Dose
 37 levels?

38
39

40 (A2 vs. R)

41 Test 2: Are Variances Homogeneous? (A1 vs A2)

42
43

44 Test 3: Are variances adequately modeled? (A2 vs. A3)

45
46

47 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)

48
49

50 Tests of Interest

51
52

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	130.245	6	<.0001
Test 2	68.8061	3	<.0001
Test 3	1.9939	2	0.369
Test 4	4.60062	1	0.03196

53
54

55 The p-value for Test 1 is less than .05. There appears
 56 to be a
 57 difference between response and/or variances among the
 58 dose levels
 59 It seems appropriate to model the data

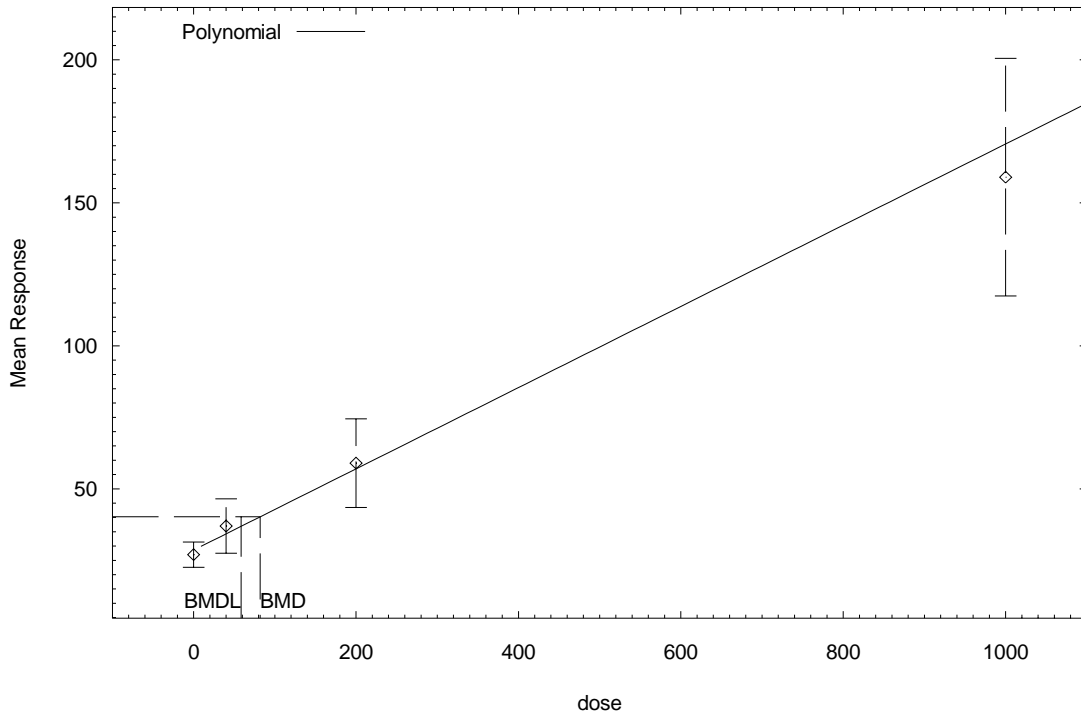
60
61

62 The p-value for Test 2 is less than .05. A
 63 non-homogeneous variance

1 model appears to be appropriate
 2
 3 The p-value for Test 3 is greater than .05. The
 4 modeled variance appears
 5 to be appropriate here
 6
 7 The p-value for Test 4 is less than .05. You may want
 8 to try a different
 9 model

10
 11
 12 Benchmark Dose Computation
 13 Specified effect = 1
 14
 15 Risk Type = Estimated standard deviations from the control mean
 16
 17
 18 Confidence level = 0.95
 19
 20 BMD = 81.8426
 21
 22
 23 BMDL = 58.3727

Polynomial Model with 0.95 Confidence Level



24 13:01 05/09 2006

```

1
2 =====
3     Power Model. $Revision: 2.1 $ $Date: 2000/10/11 20:57:36 $
4     Input Data File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
5 DOCUMENTS\_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.(d)
6     Gnuplot Plotting File: C:\DOCUMENTS AND SETTINGS\HCLYNCH\MY
7 DOCUMENTS\_CYANO TOX REV\MODELING\FAWELL_MALE_ALT.plt
8                                     Tue May 09 13:03:23 2006
9 =====
10
11 BMD5 MODEL RUN
12 ~~~~~
13
14 The form of the response function is:
15
16  $Y[\text{dose}] = \text{control} + \text{slope} * \text{dose}^{\text{power}}$ 
17
18
19 Dependent variable = MEAN
20 Independent variable = COLUMN1
21 The power is restricted to be greater than or equal to 1
22 The variance is to be modeled as  $\text{Var}(i) = \alpha * \text{mean}(i)^{\rho}$ 
23
24 Total number of dose groups = 4
25 Total number of records with missing values = 0
26 Maximum number of iterations = 250
27 Relative Function Convergence has been set to: 1e-008
28 Parameter Convergence has been set to: 1e-008
29
30
31
32             Default Initial Parameter Values
33             alpha =      1692.21
34             rho =         0
35             control =      27
36             slope =      0.519763
37             power =      0.801589
38
39
40             Asymptotic Correlation Matrix of Parameter Estimates
41
42             alpha      rho      control      slope      power
43
44 alpha              1      -0.99      0.13      -0.46      0.48
45 rho              -0.99      1      -0.12      0.41      -0.43
46 control           0.13      -0.12      1      -0.76      0.74
47 slope            -0.46      0.41      -0.76      1      -1
48 power            0.48      -0.43      0.74      -1      1
49
50
51
52
53
54
55
56             Parameter Estimates
57

```


Variable	Estimate	Std. Err.
alpha	0.098324	0.141722
rho	2.15231	0.343016
control	28.6439	3.51452
slope	0.141692	0.218496
power	1	0.231677

Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Obs Std Dev	Est Mean	Est Std Dev	Chi^2
0	15	27	8	28.6	11.6	-0.142
40	15	37	17.2	34.3	14.1	0.191
200	15	59	28	57	24.3	0.083
1000	15	159	75	170	79	-0.144

Model Descriptions for likelihoods calculated

Model A1: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma^2$

Model A2: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma(i)^2$

Model A3: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \alpha * (\mu(i))^\rho$

Model R: $Y_i = \mu + e(i)$
 $\text{Var}\{e(i)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	-250.943933	5	511.887866
A2	-216.540867	8	449.081734
A3	-217.537818	6	447.075636
fitted	-219.838125	5	449.676251
R	-281.663312	2	567.326624

Explanation of Tests

- Test 1: Does response and/or variances differ among Dose levels? (A2 vs. R)
- Test 2: Are Variances Homogeneous? (A1 vs A2)
- Test 3: Are variances adequately modeled? (A2 vs. A3)
- Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)

Tests of Interest				
Test	-2*log(Likelihood Ratio)	d.f	p-value	
Test 1	130.245	6	<.00001	
Test 2	68.8061	3	<.00001	
Test 3	1.9939	2	0.369	
Test 4	4.60062	1	0.03196	

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data.

The p-value for Test 2 is less than .05. A non-homogeneous variance model appears to be appropriate.

The p-value for Test 3 is greater than .05. The modeled variance appears to be appropriate here.

The p-value for Test 4 is less than .05. You may want to try a different model.

Benchmark Dose Computation

Specified effect = 1

Risk Type = Estimated standard deviations from the control mean

Confidence level = 0.95

BMD = 81.8426

BMDL = 58.3727