

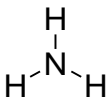
# APPENDIX A: SUPPLEMENTAL INFORMATION FOR THE TOXICOLOGICAL REVIEW OF AMMONIA

## A.1. Chemical and Physical Information

Many physical and chemical properties of ammonia are related to the pH of ammonia in solution (ammonium hydroxide). Ammonium hydroxide is a weak base that is partially ionized in water with a dissociation constant of  $1.77 \times 10^{-5}$  at 25 °C that increases slightly with increasing temperature (Reed, 1982). At a pH of 8.25, 90% of ammonia will be protonated. At a pH of 7.25, 99% of ammonia will be protonated. Thus, a decrease in pH would result in an increase in the ammonium ion concentration and an increase in solubility of ammonia in water. At physiological pH (7.4), the equilibrium between  $\text{NH}_3$  and  $\text{NH}_4^+$  favors the formation of  $\text{NH}_4^+$ .

Chemical and physical properties of ammonia are listed in Table A-1.

**Table A-1. Chemical and physical properties of ammonia**

Chemical name	Ammonia <sup>a</sup>	
Synonym(s)	AM-Fol; anhydrous ammonia; ammonia gas; Nitro-sil; R 717; Spirit of hartshorn	ChemID Plus, 2009
Structure		ChemID Plus, 2009
Chemical formula	$\text{NH}_3$	ChemID Plus, 2009
CASRN	7664-41-7 <sup>a</sup>	ChemID Plus, 2009
Molecular weight	17.031	Lide, 2008
Form	Colorless gas; corrosive	O'Neil et al., 2006
Melting point	-77.73°C	Lide, 2008
Boiling point	-33.33°C	Lide, 2008
Odor threshold	53 ppm (37 mg/m <sup>3</sup> ) <20 ppm (14 mg/m <sup>3</sup> ) 2.6 ppm (2 mg/m <sup>3</sup> )	O'Neil et al., 2006 Altmann et al., 2006 Smeets et al., 2007
Density	0.7714 g/L at 25°C	O'Neil et al., 2006
Vapor density	0.5967 (air = 1)	O'Neil et al., 2006
pK <sub>a</sub> (ammonium ion)	9.25	Lide, 2008
Solubility:		
Water	$4.82 \times 10^5$ mg/L at 24°C	Dean, 1985
Organic solvents	Soluble in ethanol, chloroform, and ether	Lide, 2008; O'Neil et al., 2006
Vapor pressure	$7.51 \times 10^3$ mm Hg at 25°C	AIChE, 1999
Henry's law constant	$1.61 \times 10^{-5}$ atm·m <sup>3</sup> /mol at 25°C	Betterton, 1992

**Table A-1. Chemical and physical properties of ammonia**

Conversion factors ppm to mg/m <sup>3</sup> mg/m <sup>3</sup> to ppm	1 ppm = 0.707 mg/m <sup>3</sup> 1 mg/m <sup>3</sup> = 1.414 ppm	Verschuieren, 2001 Verschuieren, 2001
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<sup>a</sup>Ammonia dissolved in water is sometimes referred to as ammonium hydroxide (CASRN 1336-21-6). Ammonium hydroxide does not exist outside of solution.

## **A.2. AMMONIUM SALTS**

The toxicology literature for ammonium salts includes studies of ammonium chloride (3-, 18-, and 30-month dietary studies in male and female Wistar rats [Lina and Kuijpers, 2004] and a 330-day drinking water study in Sprague-Dawley rats [Barzel et al., 1969]) and ammonium sulfate (52- and 104-week dietary studies in male and female F344 rats [Ota et al., 2006]). No inhalation toxicity studies of ammonium salts are available. Ammonium chloride in the diet or drinking water of rats consistently altered the acid-base balance in the body (Lina and Kuijpers, 2004; Barzel et al., 1969) causing a dose-related hyperchloremic metabolic acidosis in rats as evidenced by decreases in blood pH, base excess, and bicarbonate concentration, and increased plasma chloride levels. Ammonium chloride administered in the diet for 3, 18 or 30 months was also associated with zona glomerulosa hypertrophy of the adrenal gland (Lina and Kuijpers, 2004). In contrast, dietary administration of ammonium sulfate to rats did not induce metabolic acidosis at 52 weeks (Ota et al., 2006) or histopathologic changes in the adrenal gland at 104 weeks (Ota et al., 2006). The only dose-related effects in male and female rats associated with 52-week exposure to ammonium sulfate were increased liver and kidney weights (Ota et al., 2006). In the 104-week study, the incidence of chronic nephropathy was statistically significantly increased in low-dose, but not in high-dose male rats (Ota et al., 2006). See Table A-2 for study details.

The toxicity data for ammonium chloride and ammonium sulfate demonstrate that these two salts present distinctly different toxicity profiles, suggesting that the anion can influence the toxicity of the ammonium compound, and that the toxicity of the salts cannot necessarily be attributed to the cation (i.e., NH<sub>4</sub><sup>+</sup>) only. Accordingly, information on the toxicity of ammonium salts was not used to characterize the toxicity of ammonia or ammonium hydroxide in this assessment.

**Table A-2. Summary of repeat dose studies of selected ammonium salts following oral exposure**

Strain/ species/sex	Ammonia species	Dose (mg/kg- d)/ duration	NOAEL (mg/kg-d)	LOAEL (mg/kg-d)	Effects at the LOAEL	Comment	Reference
<b>Subchronic studies</b>							
Wistar rat (10/sex/group)	Ammonium chloride	0, 1,590, or 3,050 (males); 0, 1,800, or 3,700 (females) for 13 wks (administered in diet)	Not determined	1,590 (males)  1,800 (females)	Decreased body weights (6-17% in males; 11-19% in females), changes in serum chemistry (increased plasma chloride and ALP activity), increased relative kidney weights (both dose levels, 7-28%) and adrenal weights (high-dose males, 18%), metabolic acidosis (males and females) and subsequent hypertrophy of the adrenal zona glomerulosa (males only)		Lina and Kuijpers, 2004
<b>Chronic studies</b>							
Sprague-Dawley rat (11 males/group)	Ammonium chloride	0 or 1,800 for 330 d (administered in drinking water)	Not determined	1,800	Depression in body weights (13-20% with regular and low-calcium diets, respectively), metabolic acidosis, and loss of bone (femur) tissue	Metabolic acidosis (reduced blood pH and plasma carbon dioxide). Combined effects of calcium intake and observed that the loss of bone tissue was independent of the level of dietary calcium.	Barzel et al., 1969

**Table A-2. Summary of repeat dose studies of selected ammonium salts following oral exposure**

Strain/ species/sex	Ammonia species	Dose (mg/kg- d)/ duration	NOAEL (mg/kg-d)	LOAEL (mg/kg-d)	Effects at the LOAEL	Comment	Reference
Wistar rat (15/sex/group)	Ammonium chloride	0, 481, or 1,020 (males); 0, 610, or 1,370 (females) for 18 mo	Not determined	481 (males)  610 (females)	Metabolic acidosis	Femur weight significantly increased (~17%) in high-dose males. Adrenal and kidney weights elevated (<15%). Increased incidence of zona glomerulosa hypertrophy of the adrenal at high dose (not statistically significant). Severity of metabolic acidosis increased with dose.	Lina and Kuijpers, 2004
Wistar rat (50/sex/group)	Ammonium chloride	0, 455, or 1,000 (males); 0, 551, or 1,200 (females) for 30 mo	Not determined	455 (males)  551 (females)	Metabolic acidosis and an increased incidence of hypertrophy of the adrenal glomerulosa (males only)	Increased incidence of zona glomerulosa hypertrophy of the adrenal in high-dose females; attributed to chronic stimulation of the adrenal cortex by ammonium chloride induced acidosis. There was no evidence of a carcinogenic response.	Lina and Kuijpers, 2004
F344 rat (10/sex/group)	Ammonium sulfate	0, 42, 256, or 1,527 (males); 0, 48, 284, or 1,490 (females) for 52 wks (administered in diet)	256 (males)  284 (females)	1,527 (males)  1,490 (females)	Elevated relative liver and kidney weights (7-10%)	No significant effects on hematology, serum chemistry, or histopathology.	Ota et al., 2006

**Table A-2. Summary of repeat dose studies of selected ammonium salts following oral exposure**

Strain/ species/sex	Ammonia species	Dose (mg/kg- d)/ duration	NOAEL (mg/kg-d)	LOAEL (mg/kg-d)	Effects at the LOAEL	Comment	Reference
F344 rat (50/sex/group)	Ammonium sulfate	0, 564, or 1,288 (males); 0, 650, or 1,371 (females) for 104 wks (administered in diet)	1,288 (males)  1,371 (females)	Not determined		Clinical chemistry and hematology not evaluated; organ weights not measured. Incidence of chronic nephropathy in male rats increased over control (1/48, 5/49, 3/48 in the control, mid and high dose); increase was statistically significant only at the mid-dose and not dose- related. There was no evidence of a carcinogenic response.	Ota et al., 2006

### A.3. TOXICOKINETICS

#### Overview

Ammonia can be absorbed by the inhalation and oral routes of exposure. There is less certainty regarding absorption through the skin, although absorption through the eye has been documented. Most of the inhaled ammonia is retained in the upper respiratory tract and is subsequently eliminated in expired air. Ammonia that reaches systemic circulation is widely distributed to all body compartments, although substantial first-pass metabolism occurs in the liver, where biotransformation into urea and glutamine occurs. Ammonia exists in the blood as ammonium ion ( $\text{NH}_4^+$ ). Ammonia is transported in the circulatory system primarily via glutamine and alanine, amino acids that are used to transport ammonia to and from tissues. When transported to the liver and kidney, the amide moiety is hydrolyzed via glutaminase forming glutamic acid (glutamate) and ammonium ion, which is synthesized into urea and excreted in the urine. Ammonia or ammonium ion reaching the tissues is utilized for glutamate production, which participates in transamination and other reactions. The principal means of excretion of absorbed ammonia in mammals is as urinary urea; minimal amounts are excreted in the feces and in expired air.

Ammonia is endogenously produced in humans and animals. It is an essential mammalian metabolite used in nucleic acid and protein synthesis, is necessary for maintaining acid-base balance, and is an integral part of nitrogen homeostasis. Given its important metabolic role, ammonia exists in a homeostatically regulated equilibrium in the body.

#### Absorption

##### *Inhalation Exposure*

Experiments with volunteers<sup>1</sup> show that ammonia, regardless of its tested concentration in air (range, 57–500 ppm or 40–354 mg/m<sup>3</sup>), is almost completely retained in the nasal mucosa (83–92%) during short-term acute exposure, i.e., up to 120 seconds (Landahl and Hermann, 1950). However, longer-term acute exposure (10–27 minutes) to a concentration of 500 ppm (354 mg/m<sup>3</sup>) resulted in lower retention (4–30%), with expired breath concentrations of 350–400 ppm (247–283 mg/m<sup>3</sup>) observed by the end of the exposure period (Silverman et al., 1949), suggesting saturation of absorption into the nasal mucosa. Nasal and pharyngeal irritation, but not tracheal irritation, suggests that ammonia is retained in the upper respiratory tract. Unchanged levels of blood urea nitrogen (BUN), nonprotein nitrogen, urinary urea, and urinary ammonia following these acute exposures are evidence of low absorption into the blood.

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<sup>1</sup>The human toxicokinetic studies cited in this section did not provide information on the human subjects' research ethics procedures undertaken in the studies; however, there is no evidence that the conduct of the research was fundamentally unethical or significantly deficient relative to the ethical standards prevailing at the time the research was conducted.

Exposure to a common occupational limit of ammonia in air (25 ppm or 18 mg/m<sup>3</sup>), assuming 30% uptake into blood, would yield an increase in blood ammonia concentration of 0.09 µg/mL (calculated by WHO, 1986). This calculated rise would likely be indistinguishable from the observed baseline levels of 0.1–1.0 µg/mL (Monsen, 1987; Conn, 1972; Brown et al., 1957) for healthy controls.

Data in rabbits and dogs provide supporting evidence for high-percentage nasal retention, resulting in a lower fraction of the inhaled dose reaching the lower respiratory tract (Egle, 1973; Dalhamn, 1963; Boyd et al., 1944). Continuous exposure of rats to up to 32 ppm (23 mg/m<sup>3</sup>) for 24 hours did not result in a statistically significant increase in blood ammonia levels (0.1 µg/mL above preexposure levels), whereas exposures to 310–1,157 ppm (219–818 mg/m<sup>3</sup>) led to significantly increased blood concentrations of ammonia within 8 hours of exposure initiation; blood ammonia returned to preexposure values within 12 hours of continuous exposure (Schaerdel et al., 1983).

### ***Oral Exposure***

Case reports of human ingestion of household ammonia (ammonium hydroxide) provide evidence of oral absorption, but few quantitative data are available. For example, in a fatal case of a man who drank an unknown amount of a 2.4% solution of ammonium hydroxide, analysis of the contents of the stomach and blood showed ammonium ion levels of 15.3 mg in the stomach and 33 µg/mL in the blood (Klendshoj and Rejent, 1966). This blood concentration is about 30-fold higher than the concentration of 1 µg/mL in fasting volunteers, as reported by Conn (1972).

Ammonium ion is endogenously produced in the human digestive tract, much of it arising from the bacterial degradation of nitrogenous compounds from ingested food. Approximately 4,200 mg of ammonia are produced each day with >70% of that amount liberated from fecal contents within the colon (Summerskill and Wolpert, 1970). About 99% of the total amount produced (4,150 mg) is systemically absorbed. Evidence suggests that fractional absorption of ammonia increases as the lumen pH increases, and that active transport occurs at the lower pH levels (absorption has been detected at a pH as low as 5) (Castell and Moore, 1971; Mossberg and Ross, 1967). Ammonium ion absorbed from the gastrointestinal tract travels via the hepatic portal vein directly to the liver, where in healthy individuals, most of it is converted to urea and glutamine.

### ***Dermal Exposure***

Quantitative data on absorption from exposure by the dermal route are not available. One report of five case histories of workers exposed to anhydrous ammonia via a burst gas pipe indicated that there was systemic toxicity (vomiting, renal congestion, and delirium), suggesting

dermal absorption; however, the fractional dose from dermal exposure could not be determined (Slot, 1938). WHO (1986) concluded that systemic effects from skin and eye exposure are not quantitatively important. Ammonia is readily absorbed into the eye, and it was found to diffuse within seconds into the cornea, lens, drainage system, and retina (Beare et al., 1988; Jarudi and Golden, 1973). However, amounts absorbed were not quantified, and absorption into systemic circulation was not investigated.

## **Distribution**

The range of mean ammonia concentrations in humans as a result of endogenous production was reported as 0.1–0.6 µg/mL in arterial blood and 0.2–1.7 µg/mL in venous blood (Huizenga et al., 1994). Other baseline levels observed in experimental volunteers range from 1 to 5.5 µg/mL (Conn, 1972; Brown et al., 1957). Ammonia is homeostatically regulated to remain at low concentrations, with 95–98% existing in the blood (at physiological pH) as  $\text{NH}_4^+$  ion (da Fonseca-Wolhheim, 1995; Souba, 1987).

Ammonia is present in fetal circulation. In vivo studies in several animal species and in vitro studies of human placenta suggest that ammonia is produced within the uteroplacenta and released into the fetal and maternal circulations (Bell et al., 1989; Johnson et al., 1986; Haugel et al., 1983; Meschia et al., 1980; Remesar et al., 1980; Holzman et al., 1979, 1977; Rubaltelli and Formentin, 1968; Luschinsky, 1951). Józwick et al. (2005) reported that ammonia levels in human fetal blood (specifically, umbilical arterial and venous blood) at birth were 1.0–1.4 µg/mL, compared to 0.5 µg/mL in the mothers' venous blood. DeSanto et al. (1993) similarly collected human umbilical arterial and venous blood at delivery, and found that umbilical arterial ammonia concentrations were significantly higher than venous concentrations; there was no correlation between umbilical ammonia levels and gestational age (range of 25–43 weeks of gestation). In sheep, uteroplacental tissues are the main site of ammonia production, with outputs of ammonia into both the uterine and umbilical circulations (Józwick et al., 1999). In late-gestation pregnant sheep that were catheterized to allow measurement of ammonia exposure to the fetus, concentrations of ammonia in umbilical arterial and venous blood and uterine arterial and venous blood ranged from about 0.39 to 0.60 µg/mL (Józwick et al., 2005, 1999).

Ammonia is present in human breast milk as one of the sources of nonprotein nitrogen (Atkinson et al., 1980).

## ***Inhalation Exposure***

Little information was found in the available literature for distribution of inhaled ammonia. Information on the distribution of endogenously produced ammonia suggests that any ammonia absorbed through inhalation would be distributed to all body compartments via the



blood, where it would be used in protein synthesis, as a buffer, reduced to normal concentrations by urinary excretion, or converted by the liver to glutamine and urea (Takagaki et al., 1961). Rats inhaling 300 ppm (212 mg/m<sup>3</sup>) ammonia for 6 hours/day for 15 days exhibited increased blood ammonia (200%) and brain (28%) glutamine levels at 5 days of exposure, but not at 10 or 15 days (Manninen et al., 1988), demonstrating transient distribution of ammonia to the brain (metabolic adaptation).

### ***Oral Exposure***

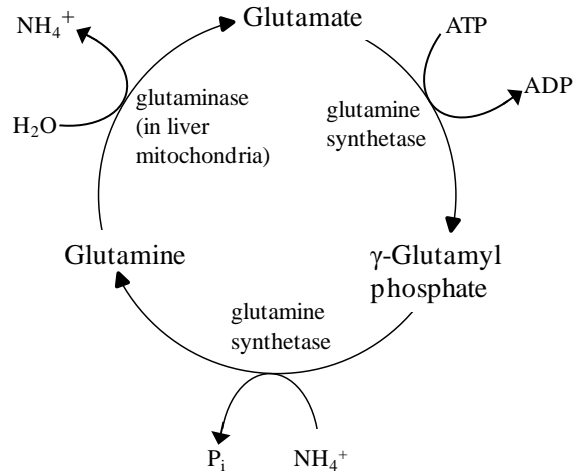
Human oral exposure data indicate that ammonia readily enters the portal circulation and is delivered to the liver, as has been shown to be the case for endogenously produced ammonia (Pitts, 1971; Summerskill and Wolpert, 1970). Un-ionized ammonia is freely diffusible, whereas the ammonium ion is less so, and is relatively confined to the extracellular compartment (Stabenau et al., 1958).

### ***Dermal Exposure***

No quantitative data on distribution of ammonia from dermal exposure were located in the available literature.

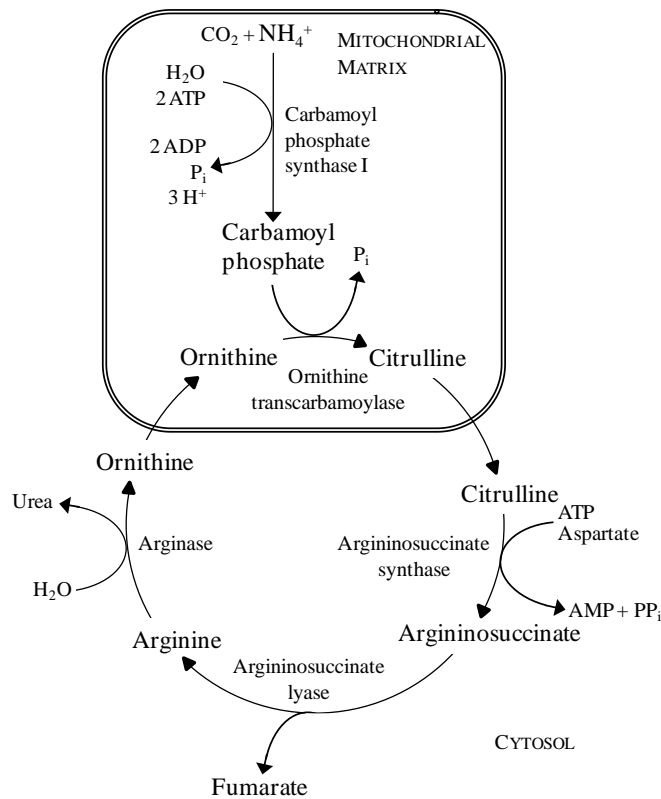
## **Metabolism**

Endogenously, ammonia is produced by catabolism of amino acids by glutamate dehydrogenase primarily in the liver and renal cortex, but also in the brain and heart (Souba, 1987). In skeletal muscle, ammonia may be produced by metabolism of adenosine monophosphate via adenylate deaminase. Information on the metabolism of exogenously-introduced ammonia was not found in the available literature. Ammonia and ammonium ion are metabolized to glutamine mainly in the liver via glutamine synthetase in the glutamine cycle (Figure A-1), or incorporated into urea as part of the urea cycle as observed in the hepatic mitochondria and cytosol (Figure A-2) (Souba, 1987). Ammonia can be rapidly converted to glutamine in the brain as well (Takagaki et al., 1961). Van de Poll (2008) reported that the liver removes an amount of ammonia from circulation equal to the amount added by the intestines at metabolic steady state, indicating that the gut does not contribute significantly to systemic ammonia release.



Adapted from: Nelson and Cox (2008).

**Figure A-1. Glutamine cycle.**



Adapted from: Nelson and Cox (2008).

**Figure A-2. The urea cycle showing the compartmentalization of its steps within liver cells.**

Given its important metabolic role, ammonia exists in a homeostatically regulated equilibrium in the body. In particular, free ammonia has been shown to be homeostatically regulated to remain at low concentrations, with 95–98% of body burden existing in the blood (at physiological pH) as  $\text{NH}_4^+$  ion (da Fonseca-Wollheim, 1995; Souba, 1987). Two studies in rats (Manninen et al., 1988; Schaerdel et al., 1983) provided evidence that ammonia concentrations in air below 25 ppm ( $18 \text{ mg/m}^3$ ) do not alter blood ammonia concentrations. Schaerdel et al. (1983) exposed rats to ammonia for 24 hours at concentrations ranging from 15 to 1,157 ppm ( $11\text{--}818 \text{ mg/m}^3$ ). Exposure to 15 ppm ( $11 \text{ mg/m}^3$ ) ammonia did not increase blood ammonia concentrations after 24 hours; concentrations of  $\geq 32$  ppm caused an exposure-related increase in blood ammonia, but concentrations at 12- and 24-hour sampling periods were lower than at 8 hours, suggesting compensation by increasing ammonia metabolism through conversion to urea, pyrimidine and polyamine synthesis, incorporation into amino acid substrates, and metabolism in nervous system tissue. Rats inhaling 25 ppm ( $18 \text{ mg/m}^3$ ) ammonia for 6 hours/day for 15 days did not exhibit blood or brain ammonia or glutamine levels that were different from controls; however, rats inhaling 300 ppm ( $212 \text{ mg/m}^3$ ) for the same duration exhibited statistically significantly increased levels of blood ammonia (threefold) and brain glutamine (approximately 40%) at 5 days of exposure, but not at 10 or 15 days (Manninen et al., 1988). The return of blood and brain ammonia and glutamine levels to control levels with time is consistent with metabolic adaptation, and these data suggest that animals have a large capacity to handle high concentrations of inhaled ammonia.

Various disease states can affect the rate of glutamine uptake and catabolism and, thereby, affect the blood and tissue levels of ammonia. Abnormally elevated levels of ammonia are indicative of end-stage renal failure (Davies et al., 1997). Acute renal failure can result in increased renal glutamine consumption and ammonia production with a decreased capability of eliminating urea in the urine (Souba, 1987). End-stage liver failure due to fulminant hepatitis or hepatic cirrhosis may result in decreased ureagenesis and increased levels of ammonia in blood (hyperammonemia), leading to increased uptake into the brain and the onset of hepatic encephalopathy. The increased metabolic alkalosis associated with hepatic encephalopathy may result in a shift in the  $\text{NH}_4^+/\text{NH}_3$  ratio in the direction of ammonia, which could pass through the blood-brain barrier (Katayama, 2004). In patients with liver cirrhosis and acute clinical hepatic encephalopathy, the observed trapping of [ $^{13}\text{N}$ ]-ammonia in the brain appeared to be related to a fivefold increase, relative to healthy controls, of ammonia permeability across the blood-brain barrier (Keiding et al., 2010, 2006). Furthermore, Sorensen et al. (2009) demonstrated greater unidirectional clearance of ammonia from the blood to brain cells than metabolic clearance of ammonia from the blood in both healthy controls and in cirrhotic patients with and without hepatic encephalopathy.

## Elimination

Absorbed ammonia, as well as endogenously produced ammonia, is excreted by the kidneys as urea (Summerskill and Wolpert, 1970; Gay et al., 1969; Muntwyler et al., 1956; Davies and Yudkin, 1952; Van Slyke et al., 1943) and is a component of sweat (Guyton, 1981; Wands, 1981). Lee and colleagues observed that acidosis-stimulated renal excretion of ammonia is mediated by intercalated cell-specific Rh B glycoprotein expression in mice (Bishop et al., 2010; Lee et al., 2010, 2009). In rat kidney, ammonium ion is secreted into the lumen of the outer medullary collecting duct via  $H^+$  secretion and parallels ammonia diffusion (Flessner et al., 1992). The inner medullary collecting duct exhibits a  $Na^+$ - and  $K^+$ -independent  $NH_4^+/H^+$  exchange activity that may be mediated by an Rh C glycoprotein (Handlogten et al., 2005), which is also expressed in human kidneys (Han et al., 2006).

Additionally, ammonia is known to be excreted through expired air and is present in the expired air of all humans (Manolis, 1983). Two investigators specifically measured ammonia in breath exhaled from the nose (Smith et al., 2008; Larson et al., 1977). Smith et al. (2008) reported median ammonia concentrations in exhaled breath from the nose of three healthy volunteers (with samples collected daily over a 4-week period) of 0.059–0.078  $mg/m^3$ ; these concentrations were similar to, or slightly higher than, the mean laboratory air level of ammonia of 0.056  $mg/m^3$  reported in this study. Larson et al. (1977) reported that the median concentration of ammonia collected from air samples exhaled from the nose ranged from 0.013 to 0.046  $mg/m^3$ . One sample collected from the trachea (via a tube inserted through the nose of one subject) was 0.029  $mg/mg^3$ —a concentration within the range of concentrations in breath exhaled through the nose (Larson et al., 1977).

Higher and more variable ammonia concentrations are reported in breath exhaled from the mouth or oral cavity than from air exhaled from the nose. In studies that reported ammonia in breath samples from the mouth or oral cavity, the majority of ammonia concentrations ranged from 0.085 to 2.1  $mg/m^3$  (Smith et al., 2008; Spanel et al., 2007a, b; Turner et al., 2006; Diskin et al., 2003; Smith et al., 1999; Norwood et al., 1992; Larson et al., 1977). These higher concentrations are largely attributed to the production of ammonia by bacterial degradation of food protein in the oral cavity or gastrointestinal tract (Turner et al., 2006; Smith et al., 1999; Vollmuth and Schlesinger, 1984). This source of ammonia in breath was demonstrated by Smith et al. (1999), who observed elevated ammonia concentrations in the expired air of six healthy volunteers following the ingestion of a protein-rich meal.

Other factors that can affect ammonia levels in breath exhaled from the mouth or oral cavity include diet, oral hygiene, age, living conditions, and disease state. Norwood et al. (1992) reported decreases in baseline ammonia levels (0.085–0.905  $mg/m^3$ ) in exhaled breath following tooth brushing (<50% depletion), a distilled water oral rinse (<50% depletion), and an acid oral rinse (80–90% depletion). These findings are consistent with ammonia generation in the oral

cavity by bacterial and/or enzymatic activity. Several investigators have reported that ammonia in breath from the mouth and oral cavity increases with age (Spanel et al., 2007a, b; Turner et al., 2006), with ammonia concentrations increasing, on average, by about  $0.1 \text{ mg/m}^3$  for each 10 years of life (Spanel et al., 2007b). Turner et al. (2006) reported that the age of the individual accounts for about 25% of the variation in observed mean breath ammonia levels and the remaining 75% is due to factors other than age. Certain disease states can also influence ammonia levels in exhaled breath. Ammonia is greatly elevated in the breath of patients in renal failure (Spanel et al., 2007b; Davies, 1997). Increases in breath ammonia are associated with increasing age (Diskin et al., 2003). These studies are further described below in Table A-2.

**Table A-3. Ammonia levels in exhaled breath of volunteers**

Test subjects	Breath samples	Levels of ammonia in exhaled breath	Methods	Comments	Reference
<b>Breath samples from the nose and trachea</b>					
Three healthy male volunteers (>30 yrs of age)	Ammonia levels measured in nose-exhaled breath of test subjects each morning about 2 hrs after eating a regular breakfast; samples collected daily over a 4-wk period	Volunteer A = $103 \pm 1.2$ ppb ( $0.0728 \pm 0.000848$ mg/m <sup>3</sup> ) Volunteer B = $110 \pm 1.3$ ppb ( $0.0777 \pm 0.000919$ mg/m <sup>3</sup> ) Volunteer C = $83 \pm 1.2$ ppb ( $0.0587 \pm 0.000848$ mg/m <sup>3</sup> ) (median ammonia levels estimated as geometric mean $\pm$ geometric SD)	SIFT-MS analysis	Mean ambient air level of ammonia was $80 \pm 10$ ppb ( $0.056 \pm 0.0071$ mg/m <sup>3</sup> )  The authors indicated that ammonia measured in mouth-exhaled breath may be generated in the oral cavity and suggested that concentrations in nose-exhaled breath may better represent systemic conditions (such as metabolic disease)	Smith et al., 2008
Sixteen healthy subjects (9 males aged 25–63 yrs and 7 females aged 23–41 yrs); subgroups tested were all male	Breath samples collected during quiet nose breathing, and direct sampling during a deep inspiration followed by breath-holding with the glottis closed	Ammonia concentrations ranged from 0.013 to 0.046 mg/m <sup>3</sup> during nose breathing (median 0.025 mg/m <sup>3</sup> ) (5 male subjects), and 0.029 mg/m <sup>3</sup> from an air sampled collected from the trachea (collected from a tube inserted into one male subject’s nose and into the trachea)	Chemiluminescence		Larson et al., 1977

**Table A-3. Ammonia levels in exhaled breath of volunteers**

Test subjects	Breath samples	Levels of ammonia in exhaled breath	Methods	Comments	Reference
<b>Breath samples from the mouth and oral cavity</b>					
Three healthy male volunteers (>30 yrs of age)	Ammonia levels measured in mouth-exhaled breath and in the closed mouth cavity of test subjects each morning about 2 hrs after eating a regular breakfast; samples collected daily over a 4-wk period	<p>Via mouth:            Volunteer A = 1,088 ± 1.3 ppb (0.769 ± 0.000919 mg/m<sup>3</sup>)            Volunteer B = 885 ± 1.3 ppb (0.626 ± 0.000919 mg/m<sup>3</sup>)            Volunteer C = 855 ± 1.3 ppb (0.604 ± 0.000919 mg/m<sup>3</sup>)</p> <p>Via oral cavity:            Volunteer A = 1,465 ± 1.4 ppb (1.04 ± 0.000990 mg/m<sup>3</sup>)            Volunteer B = 2,146 ± 1.5 ppb (1.52 ± 0.00106 mg/m<sup>3</sup>)            Volunteer C = 1,859 ± 1.3 ppb (1.31 ± 0.000919 mg/m<sup>3</sup>)</p> <p>(median ammonia levels estimated as geometric mean ± geometric SD)</p>	SIFT-MS analysis	<p>Mean ambient air level of ammonia was 80 ± 10 ppb (0.056 ± 0.0071 mg/m<sup>3</sup>)</p> <p>The authors indicated that ammonia measured in mouth-exhaled breath may be generated in the oral cavity and suggested that concentrations in nose-exhaled breath may better represent systemic conditions (such as metabolic disease)</p>	Smith et al., 2008
Twenty-six secondary school students (10 males and 16 females, 17–18 yrs old and one 19 yr old)	Three sequential breath exhalations collected over 5 min following the students listening to a 1-hr presentation (at least 1 hr following breakfast and before lunch); alveolar portion measured (identified using humidity)	<p>Median values reported for:            17 yr olds = 233 ppb (0.165 mg/m<sup>3</sup>)            18 yr olds = 346 ppb (0.245 mg/m<sup>3</sup>)</p>	SIFT-MS analysis	Significant differences in ammonia levels in exhaled breath between 17 and 18 yr olds (p < 10-8) were reported	Spanel et al., 2007a

**Table A-3. Ammonia levels in exhaled breath of volunteers**

Test subjects	Breath samples	Levels of ammonia in exhaled breath	Methods	Comments	Reference
<p>Four healthy children (two males and two females, 4–6 yrs old)</p> <p>Thirteen senior volunteers (11 males and 2 females, 60–83 yrs old); four had type-2 diabetes mellitus with onset at ages between 50 and 70 yrs, and controlled by diet</p> <p>All subjects had their regular breakfast without any specific restrictions</p>	<p>Breath samples collected in morning at least 1 hr after breakfast and at least 1 hr prior to lunch; each volunteer performed two exhalation/inhalation cycles (both about 5–10 sec in duration)</p>	<p>Children = range 223–643 ppb (0.157–0.454 mg/m<sup>3</sup>)</p> <p>Seniors = 317–2,091 ppb (0.224–1.48 mg/m<sup>3</sup>)</p>	<p>SIFT-MS analysis</p>	<p>Ammonia breath levels significantly increased with age</p> <p>Some seniors reported diabetes</p> <p>Measured ammonia level in breath reported for each subject</p>	<p>Spanel et al., 2007b</p>
<p>Thirty healthy volunteers (19 males and 11 females, 24–59 yrs, 28 Caucasian, 1 African, and 1 mixed race); volunteers were instructed to maintain their normal daily routines and to not rinse out their mouths prior to providing a breath sample</p>	<p>Breath samples collected in the morning prior to lunch at approximately weekly intervals for about 6 mo; some volunteers provided samples more frequently than others; 480 samples collected and analyzed for ammonia</p>	<p>Geometric mean and geometric SD = 833 ± 1.62 ppb (0.589 ± 0.00114 mg/m<sup>3</sup>)</p> <p>Median = 842 ppb (0.595 mg/m<sup>3</sup>)</p> <p>Range = 248–2,935 ppb (0.175–2.08 mg/m<sup>3</sup>)</p>	<p>SIFT-MS analysis</p>	<p>Ammonia breath levels were shown to increase with age</p> <p>Background levels in the testing laboratory were typically around 400 ppb (0.28 mg/m<sup>3</sup>)</p>	<p>Turner et al., 2006</p>



**Table A-3. Ammonia levels in exhaled breath of volunteers**

Test subjects	Breath samples	Levels of ammonia in exhaled breath	Methods	Comments	Reference
Five subjects (two females, three males; age range 27–65 yrs)	Breath samples collected between 8 and 9 AM in three sequential breath exhalations on multiple days (12–30 d) over the course of a month	Ammonia concentrations ranged from 422 to 2,389 ppb (0.298–1.69 mg/m <sup>3</sup> )	SIFT-MS analysis	Differences in ammonia breath levels between individuals were significant (p < 0.001)	Diskin et al., 2003
Six normal nonsmoking male volunteers (24–61 yrs old), fasted for 12 hrs prior to testing	Baseline breath sample obtained; breath samples collected 20, 40, and 60 min and 5 hrs following the ingestion of a liquid protein-calorie meal	Premeal levels ranged from 300 to 600 ppb (0.2–0.4 mg/m <sup>3</sup> ); Postmeal levels at 30 min were 200 ppb (0.1 mg/m <sup>3</sup> ) increasing to maximum values at 5 hrs of 600–1,800 ppb (0.4–1.3 mg/m <sup>3</sup> )	SIFT-MS analysis	A biphasic response in breath ammonia concentration was observed after eating	Smith et al., 1999
Fourteen healthy, nonsmoking subjects (age range 21–54 yrs) performed one or more of the following hygiene maneuvers: (1) acidic oral rinse (pH 2.5) (2) tooth brushing followed by acidic oral rinse (3) tooth brushing followed by distilled water rinse (4) distilled water rinse	Subjects fasted for 8 hrs prior to baseline measurement, refrained from oral hygiene after their most recent meal, refrained from heavy exercise for 12 hrs, and had no liquid intake for several hours; initial breath ammonia was measured between 8 and 10 am, then subjects performed one or more of the hygiene measures listed (at 30-min intervals for a total 90-min period; samples collected over 5 min)	Baseline levels varied from 120 to 1,280 ppb (0.085–0.905 mg/m <sup>3</sup> )	Nitrogen oxide analyzer with an ammonia conversion channel (similar to chemiluminescence)	An 80–90% depletion of volatile ammonia emissions was seen within 10 min of acid rinsing; <50% depletion of ammonia was seen following tooth brushing or distilled water rinse; gaseous ammonia levels increased after all rinse procedures over time	Norwood et al., 1992

**Table A-3. Ammonia levels in exhaled breath of volunteers**

Test subjects	Breath samples	Levels of ammonia in exhaled breath	Methods	Comments	Reference
Sixteen healthy subjects (9 males aged 25–63 yrs and 7 females aged 23–41 yrs); subgroups tested were all male	Breath samples collected during quiet mouth breathing	Ammonia concentrations ranged from 0.029 to 0.52 mg/m <sup>3</sup> during mouth breathing (median of 0.17 mg/m <sup>3</sup> )	Chemiluminescence	The oral cavity appears to be a source of breath ammonia; no attempt was made to control the diet of subjects or standardize the interval between the last meal and the measurement	Larson et al., 1977
<b>Breath samples: source (nose/mouth/oral cavity) not specified</b>					
Sixteen healthy, nonsmoking subjects (4 females and 12 males, 29 ± 7 yrs); no significant differences in mean age, height, weight, BMI, or time since last oral intake; 10 subjects tested in each experiment	<p>Experiment 1: single whole-breath samples collected from each subject (same samples immediately reanalyzed within &lt;10 sec to assess instrument specific variability)</p> <p>Experiment 2: three repeat breath samples collected from each subject (to evaluate intra-subject differences); this experiment evaluated differences based on standardization of expiratory pressure and flow</p> <p>Experiment 3: two mixed breath samples and two bag alveolar breath samples collected in short succession from each subject</p>	<p>Experiment 1: 1,192 ± 85 ppb (0.843 ± 0.0601 mg/m<sup>3</sup>; median ± measurement error)</p> <p>Experiment 2:                      Nonstandardized = 1,007 ± 184 ppb (0.712 ± 0.130 mg/m<sup>3</sup>; median ± SD)                      Standardized = 1,433 ± 160 ppb (1.01 ± 0.113 mg/m<sup>3</sup>; median ± SD)</p> <p>Experiment 3:                      Mixed = 1,216 ± 827 ppb (0.860 ± 0.585 mg/m<sup>3</sup>; median ± SD)                      Alveolar = 1,301 ± 791 ppb (0.920 ± 0.559 mg/m<sup>3</sup>; median ± SD)</p>	<p>SIFT-MS analysis</p> <p>This study establishes that SIFT-MS analysis is reliable and repeatable</p>	<p>Relatively small number of healthy subjects used</p> <p>Does not address the breath of those with disease</p> <p>Intra- and inter-day repeatability were not investigated</p>	Boshier et al., 2010

**Table A-3. Ammonia levels in exhaled breath of volunteers**

Test subjects	Breath samples	Levels of ammonia in exhaled breath	Methods	Comments	Reference
Eight healthy subjects (average age 39.8 ± 9.6 yrs)	Subjects fasted for 6 hrs prior to samples being collected; subjects breathed normally into collection device for 5 min	Mean breath ammonia = 0.49 ± 0.24 ppm (0.35 ± 0.17 mg/m <sup>3</sup> )	Fiber optic sensor	This study measured ammonia levels in healthy volunteers compared to H. pylori positive individuals (five subjects) (data not shown); the experiment also included a challenge with a 300 mg urea capsule to evaluate the urease activity of healthy versus infected individuals (data not shown); the authors concluded that breath ammonia measurement may be feasible as a diagnostic test for H. pylori	Kearney et al., 2002
Three groups of children were used as test subjects: (1) 68 asthmatic children residing in a National Park in the mountains (mean age 10 yrs, 48 boys, 20 girls) (2) 52 asthmatic children in an urban area (mean age 9 yrs, 35 boys, 17 girls) (3) 20 healthy children from the same urban area as a control group (mean age 10 yrs, 12 boys, 8 girls)	Subjects performed a 5-sec breath-hold and exhaled slowly into collection device	Asthmatic children from National Park = 5.6 ± 4.7 ppb (0.0040 ± 0.0033 mg/m <sup>3</sup> )  Asthmatic urban children: Mean NH <sub>3</sub> = 14.3 ± 10.2 ppb (0.0101 ± 0.00721 mg/m <sup>3</sup> )  Urban control group: Mean NH <sub>3</sub> = 14.8 ± 10.3 ppb (0.0105 ± 0.00728 mg/m <sup>3</sup> )	Chemiluminescence	Both groups of asthmatic children had some subjects on glucocorticoids, often combined with histamine antagonists and/or b2 agonists, while others were left untreated; ammonia concentrations in exhaled breath appeared to be correlated with exposure to urban air	Giroux et al., 2002

BMI = body mass index; SIFT-MS = selected ion flow tube mass spectrometry

Because ammonia measured in samples of breath exhaled from the mouth or oral cavity can be generated in the oral cavity and may thus be substantially influenced by diet and other factors, ammonia levels measured in mouth or oral cavity breath samples do not likely reflect systemic (blood) levels of ammonia. Ammonia concentrations in breath exhaled from the nose appear to better represent systemic or background levels (Smith et al., 2008).

Ammonia has also been detected in the expired air of animals. Whittaker et al. (2009) observed a significant association between ambient ammonia concentrations and increases in exhaled ammonia in stabled horses. Analysis of endogenous ammonia levels in the expired air of rats showed concentrations ranging from 0.01 to 0.353 ppm (0.007–0.250 mg/m<sup>3</sup>) (mean = 0.08 ppm or 0.06 mg/m<sup>3</sup>) in nose-breathing animals (Barrow and Steinhagen, 1980). Larson et al. (1980) reported ammonia concentrations measured in the larynx of dogs exposed to sulfuric acid ranging between 0.03 and 0.225 ppm (0.02 and 0.16 mg/m<sup>3</sup>) following mouth breathing, and between 0.05 and 0.220 ppm (0.04 and 0.16 mg/m<sup>3</sup>) following nose breathing.

## Physiologically Based Pharmacokinetic Models

No physiologically based pharmacokinetic (PBPK) models have been developed for ammonia. An expanded one-compartment toxicokinetic model in rats was developed by Diack and Bois (2005), which used physiological values to represent first-order uptake and elimination of inhaled ammonia (and other chemicals). The model is not useful for dose-response assessment of ammonia because: (1) it cannot specify time-dependent amounts or concentrations of ammonia in specific target tissues, (2) it has not been verified against experimental data for ammonia, glutamate, or urea levels in tissues, and (3) it cannot extrapolate internal doses of ammonia between animals and humans.

## A.4. HUMAN STUDIES

### Occupational Studies in Industrial Worker Populations

#### *Holness et al. (1989)*

Holness et al. (1989) conducted a cross-sectional study of workers in a soda ash (sodium carbonate) plant<sup>2</sup> who had chronic, low-level exposure to ammonia. The cohort consisted of 58 workers and 31 controls from stores and office areas of the plant. All workers were males (average age, 40.5 years) and the average exposure duration for the exposed workers at the plant was 12.2 years. The mean time-weighted average (TWA) ammonia exposure of the exposed group based on personal sampling over one work shift (mean sample collection time, 8.4 hours) was 9.2 ppm (6.5 mg/m<sup>3</sup>), compared to 0.3 ppm (0.2 mg/m<sup>3</sup>) for the control group. The average

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<sup>2</sup>At this plant, ammonia, carbon dioxide, and water were the reactants used to form ammonium bicarbonate, which in turn was reacted with salt to produce sodium bicarbonate and subsequently processed to form sodium carbonate. Ammonia and carbon dioxide were recovered in the process and reused.

concentrations of ammonia to which workers were exposed were determined using the procedure recommended by the National Institute for Occupational Safety and Health (NIOSH), which involves the collection of air samples on sulfuric acid-treated silica gel (ATSG) adsorption tubes (NIOSH, 1979).

No statistically significant differences were observed in age, height, years worked, percentage of smokers, or pack-years smoked for exposed versus control workers. Exposed workers weighed approximately 8% ( $p < 0.05$ ) more than control workers. Information regarding past occupational exposures, working conditions, and medical and smoking history, as well as respiratory symptoms and eye and skin complaints was obtained by means of a questionnaire that was based on an American Thoracic Society questionnaire (Ferris, 1978). Each participant's sense of smell was evaluated at the beginning and end of the work week using several concentrations of pyridine (0.4, 0.66, or 10 ppm). Lung function tests were conducted at the beginning and end of the work shift on the first and last days of their work week (four tests administered). Differences in reported symptoms and lung function between groups were evaluated using the actual exposure values with age, height, and pack-years smoked as covariates in linear regression analysis. Exposed workers were grouped into three exposure categories (high =  $>12.5$  ppm [ $>8.8$  mg/m<sup>3</sup>], medium = 6.25–12.5 ppm [4.4–8.8 mg/m<sup>3</sup>], and low =  $<6.25$  ppm [ $<4.4$  mg/m<sup>3</sup>]) for analysis of symptom reporting and lung function data. Endpoints evaluated in the study included sense of smell, prevalence of respiratory symptoms (cough, bronchitis, wheeze, dyspnea, and others), eye and throat irritation, skin problems, and lung function parameters (FVC, FEV<sub>1</sub>, FEV<sub>1</sub>/FVC, forced expiratory flow [FEF<sub>50</sub>], and FEF<sub>75</sub>). No statistical differences in the prevalence of respiratory irritation or eye irritation were evident between the exposed and control groups (Table A-4). There was a statistically significant increase ( $p < 0.05$ ) in the prevalence of skin problems in workers in the lowest exposure category ( $<4.4$  mg/m<sup>3</sup>) compared to controls; however, the prevalence was not increased among workers in the two higher exposure groups. Workers also reported that exposure at the plant had aggravated specific symptoms including coughing, wheezing, nasal complaints, eye irritation, throat discomfort, and skin problems. Odor detection threshold and baseline lung functions were similar in the exposed and control groups. No changes in lung function were demonstrated over either work shift (days 1 or 2) or over the work week in the exposed group compared with controls. No relationship was demonstrated between chronic ammonia exposure and baseline lung function changes either in terms of the level or duration of exposure. Study investigators noted that this finding was limited by the lack of adequate exposure data collected over time, precluding development of a meaningful index accounting for both level and length of exposure. Based on the lack of exposure-related differences in subjective symptomatology, sense of smell, and measures of lung function, EPA identified 8.8 mg/m<sup>3</sup> (12.5 ppm) as the no-observed-

adverse-effect level (NOAEL). A lowest-observed-adverse-effect level (LOAEL) was not identified for this study.

**Table A-4. Symptoms and lung function results of workers exposed to different levels of TWA ammonia concentrations**

Parameter	Ammonia concentration			
	Control 0.2 mg/m <sup>3</sup> (0.3 ppm)	Exposed <4.4 mg/m <sup>3</sup> (<6.25 ppm)	Exposed 4.4–8.8 mg/m <sup>3</sup> (6.25–12.5 ppm)	Exposed >8.8 mg/m <sup>3</sup> (>12.5 ppm)
<b>Symptom</b>				
Cough	3/31(10) <sup>a</sup>	6/34 (18)	1/12 (8)	2/12 (17)
Sputum	5/31 (16)	9/34 (26)	3/12 (25)	1/12 (8)
Wheeze	3/31 (10)	5/34 (15)	1/12 (8)	0/12 (0)
Chest tightness	2/31 (6)	2/34 (6)	0/12 (0)	0/12 (0)
Shortness of breath	4/31 (13)	3/34 (9)	1/12 (8)	0/12 (0)
Nasal complaints	6/31 (19)	4/34 (12)	2/12 (17)	0/12 (0)
Eye irritation	6/31 (19)	2/34 (6)	2/12 (17)	1/12 (8)
Throat irritation	1/31 (3)	2/34 (6)	1/12 (8)	1/12 (8)
Skin problems	2/31 (6)	10/34 <sup>b</sup> (29)	1/12 (8)	1/12 (8)
<b>Lung function (% predicted)</b>				
FVC	98.6	96.7	96.9	96.8
FEV <sub>1</sub>	95.1	93.7	93.9	95.3
FEF <sub>50</sub>	108.4	106.9	106.2	111.2
FEF <sub>75</sub>	65.2	71.0	67.8	78.8

<sup>a</sup>Number affected/number examined. The percentage of workers reporting symptoms is indicated in parentheses.

<sup>b</sup>Significantly different from controls,  $p < 0.05$ , by Fisher's exact test performed for this review.

Source: Holness et al. (1989).

***Ballal et al. (1998)***

Ballal et al. (1998) conducted a cross-sectional study of male workers at two urea fertilizer factories in Saudi Arabia<sup>3</sup>. The cohort consisted of 161 exposed subjects (84 from factory A and 77 from factory B) and 355 unexposed controls. Workers in factory A were exposed to air ammonia levels of 2–130 mg/m<sup>3</sup> (2.8–184.4 ppm), and workers in factory B were exposed to 0.02–7 mg/m<sup>3</sup> (0.03–9.9 ppm). Mean duration of employment was 51.8 months for exposed workers and 73.1 months for controls. Exposure levels were estimated by analyzing a total of 97 air samples collected over 8-hour shifts close to the employee's work site. The

<sup>3</sup>The process of fertilizer production involved synthesis of ammonia from natural gas, followed by reaction of the ammonia and carbon dioxide to form ammonium carbamide, which was then converted to urea.

prevalence of respiratory symptoms and diseases was determined by administration of a questionnaire. The authors stated that there were no other chemical pollutants in the workplace that might have affected the respiratory system. Smoking habits were similar for exposed workers and controls. Stratifying the workers by ammonia exposure levels (above or below the American Conference of Governmental Industrial Hygienists [ACGIH] threshold limit value [TLV] of 18 mg/m<sup>3</sup> [25 ppm]) showed that those exposed to ammonia concentrations higher than the TLV had significantly higher relative risks for cough, phlegm, wheezing, dyspnea, and asthma than workers exposed to levels below the TLV (Table A-5). The relative risk for wheezing was also elevated among those exposed to ammonia levels at or below the TLV. Distribution of symptoms by cumulative ammonia concentration (CAC, mg/m<sup>3</sup>-years) also showed significantly higher relative risk for all of the above symptoms among those with higher CAC (Table A-4). Results of the logistic regression analysis showed that ammonia concentration was significantly related to cough, phlegm, wheezing with and without shortness of breath, and asthma (Table A-6).

**Table A-5. The prevalence of respiratory symptoms and disease in urea fertilizer workers exposed to ammonia**

Respiratory symptom/disease	Relative risk (95% CI)			
	Exposure category		CAC (mg/m <sup>3</sup> of air-yrs)	
	≤ACGIH TLV (18 mg/m <sup>3</sup> ) (n = 138)	>ACGIH TLV (18 mg/m <sup>3</sup> ) (n = 17)	≤50 (n = 130)	>50 (n = 30)
Cough	0.86 (0.48–1.52)	3.48 (1.84–6.57)	0.72 (0.38–1.35)	2.82 (1.58–5.03)
Wheezing	2.26 (1.32–3.88)	5.01 (2.38–10.57)	1.86 (1.04–3.32)	5.24 (2.85–9.52)
Phlegm	0.79 (0.43–1.47)	3.75 (1.97–7.11)	0.63 (0.31–1.26)	3.03 (1.69–5.45)
Dyspnea	1.13 (0.62–2.04)	4.57 (2.37–8.81)	1.19 (0.66–2.17)	2.59 (1.25–5.36)
Chronic bronchitis	1.43 (0.49–4.19)	2.32 (0.31–17.28)	0.61 (0.13–2.77)	5.32 (1.72–16.08)
Bronchial asthma	1.15 (0.62–2.15)	4.32 (2.08–8.98)	1.22 (0.66–2.28)	2.44 (1.10–5.43)
Chronic bronchitis and bronchial asthma	2.57 (0.53–12.59)	6.96 (0.76–63.47)	1.82 (0.31–10.77)	8.38 (1.37–45.4)

CI = confidence interval

Source: Ballal et al. (1998).

**Table A-6. Logistic regression analysis of the relationship between ammonia concentration and respiratory symptoms or disease in exposed urea fertilizer workers**

Respiratory symptom/disease	OR (95% CI)
Cough	1.32 (1.08–1.62) <sup>a</sup>
Phlegm	1.36 (1.10–1.67) <sup>a</sup>
Shortness of breath with wheezing	1.26 (1.04–1.54) <sup>a</sup>
Wheezing alone	1.55 (1.17–2.06) <sup>a</sup>
Dyspnea on effort	0.83 (0.68–1.02)
Diagnosis of asthma	1.33 (1.07–1.65) <sup>a</sup>

<sup>a</sup>  $p \leq 0.05$ .

OR = odds ratio

Source: Ballal et al. (1998).

### ***Ali (2001)***

Results from limited spirometry testing of workers from factory A were reported in a followup study (Ali, 2001). The lung function indices measured in 73 ammonia workers and 343 control workers included FEV<sub>1</sub> and FVC. Prediction equations for these indices were developed for several nationalities (Saudis, Arabs, Indians, and other Asians) and corrected values were expressed as the percentage of the predicted value for age and height. The FVC% predicted was higher in exposed workers than in controls (4.6% increase,  $p \leq 0.002$ ); however, workers with cumulative exposure  $\geq 50$  mg/m<sup>3</sup>-years had significantly lower FEV<sub>1</sub>% predicted (7.4% decrease,  $p < 0.006$ ) and FVC% predicted (5.4% decrease,  $p \leq 0.030$ ) than workers with cumulative exposure  $\leq 50$  mg/m<sup>3</sup>-years. A comparison between symptomatic and asymptomatic exposed workers showed that FEV<sub>1</sub>% predicted and FEV<sub>1</sub>/FVC% were significantly lower among symptomatic workers (9.2% decrease in FEV<sub>1</sub>% predicted,  $p < 0.001$  and 4.6% decrease in FEV<sub>1</sub>/FVC%,  $p < 0.02$ ). Although Ballal et al. (1998) and Ali (2001) suggest that exposure to ammonia concentrations  $>18$  mg/m<sup>3</sup> (50 mg/m<sup>3</sup>-years) is associated with respiratory irritation and altered lung function, NOAEL and LOAEL values could not be identified by EPA from these studies due to inadequate reporting of exposure concentrations.

### ***Rahman et al. (2007)***

Rahman et al. (2007) conducted a cross-sectional study of workers at a urea fertilizer factory in Bangladesh that consisted of an ammonia plant and a urea plant. The exposed group consisted of 63 operators in the ammonia plant and 77 in the urea plant; 25 individuals from the administration building served as a control group. Mean duration of employment exceeded 16 years in all groups. Personal ammonia exposures were measured by two different methods (Dräger PAC III and Dräger tube) in five to nine exposed workers per day for 10 morning shifts



in the urea plant (for a total of 64 workers) and in five to nine exposed workers per day for 4 morning shifts from the ammonia plant (for a total of 24 workers). Four to seven volunteer workers per day were selected from the administration building as controls for a total of 25 workers over a 5-day period. Questionnaires were administered to inquire about demographics, past chronic respiratory disease, past and present occupational history, smoking status, respiratory symptoms (cough, chest tightness, runny nose, stuffy nose, and sneezing), and use of protective devices. Lung function tests (FVC, FEV<sub>1</sub>, and peak expiratory flow rate [PEFR]) were administered preshift and postshift (8-hour shifts) to the 88 exposed workers after exclusion of workers who planned to have less than a 4-hour working day; lung function was not tested in the control group. Personal ammonia exposure and lung function were measured on the same shift for 28 exposed workers. Linear multiple regression was used to analyze the relationship between workplace and the percentage cross-shift change in FEV<sub>1</sub> ( $\Delta$ FEV<sub>1</sub>%) while adjusting for current smoking.

Mean exposure levels at the ammonia plant determined by the Dräger tube and Dräger PAC III methods were 25.0 and 6.9 ppm (17.7 and 4.9 mg/m<sup>3</sup>), respectively; the corresponding means in the urea plant were 124.6 and 26.1 ppm (88.1 and 18.5 mg/m<sup>3</sup>) (Rahman et al., 2007). Although the Dräger tube measurements indicated ammonia exposure about 4–5 times higher than those obtained with the PAC III instrument, there was a significant correlation between the ammonia concentrations measured by the two methods ( $p = 0.001$ ). No ammonia was detected in the control area using the Dräger tube (concentrations less than the measuring range of 2.5–200 ppm [1.8–141 mg/m<sup>3</sup>]). Based on an evaluation of the two monitoring methods and communication with technical support at Dräger<sup>4</sup>, EPA considered the PAC III instrument to be a more sensitive monitoring technology than the Dräger tubes. Therefore, the PAC III air measurements were considered the more reliable measurement of exposure to ammonia for the Rahman et al. (2007) study. The study authors, however, observed that their measurements indicated only relative differences in exposures between workers and production areas, and that the validity of the exposure measures could not be evaluated based on their results.

The prevalence of respiratory irritation and decreased lung function was higher in the urea plant than in the ammonia plant or in the administration building. Comparison between the urea plant and the administration building showed that cough and chest tightness were statistically higher in the former; a similar comparison of the ammonia plant and the administration building showed no statistical difference in symptom prevalence between the two groups (Table A-7). Preshift measurement of FVC, FEV<sub>1</sub>, and PEFR did not differ between urea plant and ammonia plant workers. Significant cross-shift reductions in FVC and FEV<sub>1</sub> were reported in the urea plant (2 and 3%, respectively,  $p \leq 0.05$ ), but not in the ammonia plant.

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<sup>4</sup>Telephone conversations and e-mails dated June 22, 2010, from Michael Yanosky, Dräger Safety Inc., Technical Support Detection Products to Amber Bacom, SRC, Inc. [contractor to National Center for Environmental Assessment, Office of Research and Development, U.S. EPA].

When controlled for current smoking, a significant decrease in  $\Delta FEV_1\%$  was observed in the urea plant ( $p \leq 0.05$ ). Among 23 workers with concurrent measurements of ammonia and lung function on the same shift, ammonia exposure was correlated with a cross-shift decline in  $FEV_1$  of 3.9% per unit of log-transformed ammonia concentration in ppm. EPA identified a NOAEL of 6.9 ppm ( $4.9 \text{ mg/m}^3$ ) and a LOAEL of 26.1 ppm ( $18.5 \text{ mg/m}^3$ ) in the Rahman et al. (2007) study based on increased prevalence of respiratory symptoms and a decrease in lung function.

**Table A-7. Prevalence of respiratory symptoms and cross-shift changes in lung function among workers exposed to ammonia in a urea fertilizer factory**

Parameter	Ammonia plant ( $4.9 \text{ mg/m}^3$ ) <sup>a</sup>	Urea plant ( $18.5 \text{ mg/m}^3$ ) <sup>a</sup>	Administration building (concentration not determined) <sup>b</sup>
Respiratory symptoms			
Cough	4/24 (17%) <sup>c</sup>	18/64 (28%) <sup>d</sup>	2/25 (8%)
Chest tightness	4/24 (17%)	21/64 (33%) <sup>d</sup>	2/25 (8%)
Stuffy nose	3/24 (12%)	10/64 (16%)	1/25 (4%)
Runny nose	1/24 (4%)	10/64 (16%)	1/25 (4%)
Sneeze	0/24 (0%)	14/64 (22%)	2/25 (8%)
Lung function parameters (cross-shift percentage change) <sup>e,f</sup>			
FVC	$0.2 \pm 9.3$	$-2.3 \pm 8.8$	No data
$FEV_1$	$3.4 \pm 13.3$	$-1.4 \pm 8.9$	No data
PEFR	$2.9 \pm 11.1$	$-1.0 \pm 16.2$	No data

<sup>a</sup>Mean ammonia concentrations measured by the Dräger PAC III method.

<sup>b</sup>Concentrations in the administration building were rejected by study authors due to relatively large drift in the zero levels.

<sup>c</sup>Values are presented as incidence (prevalence expressed as a percentage).

<sup>d</sup> $p \leq 0.05$  by Fisher's exact test, comparing exposed workers to administrators.

<sup>e</sup>Calculated as  $([\text{post shift}-\text{pre shift}]/\text{pre shift}) \times 100$ .

<sup>f</sup>Values are presented as mean  $\pm$  standard deviation (SD).

Source: Rahman et al. (2007).

### ***Hamid and El-Gazzar (1996)***

Hamid and El-Gazzar (1996) evaluated changes in serum clinical chemistry as measures of neurochemical alterations and liver function among workers at a urea production plant in Alexandria, Egypt. The study group consisted of 60 male workers from the fertilizer plant, including 30 workers with known exposures to ammonia and 30 workers from the administrative departments with no known history of exposure to ammonia. The authors indicated that the exposed population had worked at the fertilizer plant on average for 12 years. The exposed and reference populations were matched on demographic characteristics including age, educational

status, and socioeconomic status. No information was reported on exposure levels. Blood samples were collected from each subject and analyzed for aspartate aminotransferase (AST), alanine aminotransferase (ALT), hemoglobin, and blood urea levels, and for monoamine oxidase (MAO) and catalase activity. Table A-8 shows statistically significant changes in hemoglobin and serum chemistry. Mean levels of AST, ALT, and blood urea were significantly elevated among exposed workers over controls. Mean levels of hemoglobin were significantly lower, and MAO and catalase enzyme activities were significantly depressed among exposed workers compared to controls. A correlation analysis showed a positive correlation between catalase activity and levels of hemoglobin, AST, and ALT, and MAO activities. Hamid and El-Gazaar (1996) noted that inhibition of catalase can affect electrical stability, permeability, and fluidity of membranes, which may lead to hepatotoxic and neurotoxic alterations in occupationally exposed workers. NOAEL and LOAEL values were not identified in this study due to the absence of information on exposures at this fertilizer plant.

**Table A-8. Summary of significant changes in serum from workers occupationally exposed to ammonia at a fertilizer plant**

Parameter	Controls <sup>a</sup>	Exposed <sup>a</sup>
ALT (U/mL)	16.0 ± 5.59	19.4 ± 5.69 <sup>b</sup>
AST (U/mL)	14.5 ± 4.67	17.9 ± 4.14 <sup>b</sup>
Hemoglobin (%)	14.8 ± 2.62	12.2 ± 2.29 <sup>c</sup>
Blood urea (mg/mL)	0.203 ± 0.0512	0.319 ± 0.0755 <sup>c</sup>
MAO (units)	31.9 ± 10.1	20.8 ± 4.30 <sup>c</sup>
Catalase (IU/mL)	119.3 ± 4.76	80.9 ± 9.31 <sup>c</sup>

<sup>a</sup>Mean ± SD.

<sup>b</sup>Significantly different from controls ( $p < 0.05$ ).

<sup>c</sup>Significantly different from controls ( $p < 0.01$ ).

Source: Hamid and El-Gazzar (1996).

### **Cross-Sectional Studies in Farmers Exposed to Inhaled Ammonia**

Several studies have evaluated respiratory symptoms and changes in lung function in livestock farmers and stable workers exposed to ammonia (see Table A-9). In addition to ammonia, these studies also documented exposures to airborne dust, bacteria, fungal spores, endotoxin, and mold. The release of other volatiles on livestock farms is likely, but measurements for other volatile chemicals were not conducted. Although studies of farm workers summarized here focused on exposure to ammonia, these and other studies have also demonstrated respiratory effects associated with exposure to other constituents in farm worker air (e.g., respirable dust, endotoxin).

Swine and dairy farmers had a higher prevalence of respiratory symptoms including cough, phlegm, wheezing, chest tightness, and eye, nasal and throat irritation compared to controls (Melbostad and Eduard, 2001; Preller et al., 1995; Choudat et al., 1994; Zejda et al., 1994; Crook et al., 1991; Heederik et al., 1990). Impaired respiratory function (e.g., decreased FEV<sub>1</sub>, FVC) in farmers was associated with ammonia exposure in several studies (Cormier et al., 2000; Donham et al., 2000, 1995; Vogelzang et al., 1998; Reynolds et al., 1996; Preller et al., 1995; Crook et al., 1991; Heederik et al., 1990). Bronchial hyperreactivity to methacholine or histamine challenge was increased in farmers exposed to ammonia compared to control workers (Vogelzang et al., 2000, 1997; Choudat et al., 1994). Stable workers showed signs of bronchial obstruction with increased peak expiratory flow (PEF) variability as well as increased pulmonary inflammation related to allergies (Elfman et al., 2009). Other findings that suggest an allergic or inflammatory response in livestock farmers exposed to ammonia include the presence of immunoglobulin E (IgE) and immunoglobulin G (IgG) antibodies to pig squames and urine in blood (Crook et al., 1991), increased neutrophils in the nasal wash (Cormier et al., 2000), and increased white blood cell count (Cormier et al., 2000). In summary, several studies have demonstrated an association between ammonia exposure in livestock farmers and respiratory symptoms and impaired respiratory function; however, farmers are additionally exposed to several constituents that likely contribute to these effects, including respirable dust, endotoxin, bacteria, fungi, and mold.

**Table A-9. Cross sectional studies of livestock farmers exposed to ammonia**

Subjects	Methods	Exposure conditions	Results	Reference
27 pig farmers (mean age of 29 yrs)	Environmental and personal exposures were analyzed; lung function was measured on Monday, Tuesday, and Friday	Mean exposure to dust = 1.57 mg/m <sup>3</sup> ; endotoxin = 24 ng/m <sup>3</sup> , and ammonia = 5.60 mg/m <sup>3</sup>	There was no significant correlation with lung function and exposure to dust or endotoxins; there was a correlation with decreased lung function (5–10%) and exposure to ammonia on the Tuesday testing, but not the Monday or Friday testing; reported respiratory symptoms included cough, phlegm, and wheezing	Heederik et al., 1990
29 farm workers; 48 electronic factory workers (controls)	20 pig houses were monitored for dust and ammonia concentrations; respiratory symptoms were determined by questionnaire; lung function tests were performed; 24 subjects provided blood samples to determine IgE and IgG antibody levels	Mean airborne ammonia concentrations ranged from 1.5 to 13.23 ppm (1–9 mg/m <sup>3</sup> ) and mean dust concentrations ranged from approximately 2 to 21 mg/m <sup>3</sup>	Respiratory symptoms included chest tightness, wheeze, nasal and eye irritation (23/29 farm workers); 3/29 farm workers had impaired lung function (decreased FEV <sub>1</sub> and FVC); 3 farmers had IgE antibodies to pig squames or urine; specific IgG antibodies were found in 14 workers to pig squames, and 9 to pig urine, suggesting an allergic response	Crook et al., 1991
102 pig farmers (mean age 39.7 yrs; mean duration of employment of 15.7 yrs) who worked at least half-time in a swine confinement building; 51 male dairy farmers (mean age 40.1 yrs; mean duration of employment of 20.3 yrs) and 81 male dairy industry workers (controls; mean age 38.5 yrs; mean duration of employment of 15.7 yrs)	Lung function tests were given to subjects before and after a methacholine challenge; respiratory symptoms were determined by questionnaire	Mean total dust level of 2.41 mg/m <sup>3</sup> ; mean airborne ammonia concentration of 8.5 mg/m <sup>3</sup> ; mean personal ammonia exposure of 3.23 mg/m <sup>3</sup>	Pig and dairy farmers had higher prevalence of reported cough and morning phlegm; bronchial hyperreactivity to methacholine was higher for pig and dairy farmers compared to controls	Choudat et al., 1994

**Table A-9. Cross sectional studies of livestock farmers exposed to ammonia**

<b>Subjects</b>	<b>Methods</b>	<b>Exposure conditions</b>	<b>Results</b>	<b>Reference</b>
54 male swine producers (mean age = 36.3 yrs; mean duration of employment = 10.7 yrs)	Assessment of respiratory symptoms with questionnaire and lung function tests	Mean contaminant levels: carbon dioxide = 2,632 ppm (1,861 mg/m <sup>3</sup> ); ammonia = 11.3 ppm (8 mg/m <sup>3</sup> ); total dust = 2.93 mg/m <sup>3</sup> ; respirable dust = 0.13 mg/m <sup>3</sup> ; endotoxin = 11,332 units/m <sup>3</sup>	Exposure to high concentrations of ammonia was associated with chronic cough and bronchitis; incidence of chronic cough was dependent on interaction of ammonia with endotoxin, and respirable dust; ammonia concentrations were not correlated with changes in lung function parameters	Zejda et al., 1994
207 males ≥18 yrs of age employed at swine farms and spent time in swine confinement buildings (mean years of employment = 9.6); a farm comparison group (nonconfinement production) was included (number not given)	Lung function tests were performed before shift (baseline) and then after a minimum of 2 hrs of exposure; environmental and personal air samples were made for ammonia, carbon dioxide, hydrogen sulfide, carbon monoxide, and total and respirable dust	Mean personal air exposure for all subjects: total dust = 4.53 mg/m <sup>3</sup> ; respirable dust = 0.23 mg/m <sup>3</sup> ; total endotoxin = 202.35 EU/m <sup>3</sup> ; respirable endotoxin = 16.59 EU/m <sup>3</sup> ; ammonia = 5.64 ppm (4 mg/m <sup>3</sup> )	Positive correlations were associated with lung function and exposure to total dust, respirable dust, respirable endotoxin, and ammonia; exposure to ammonia concentrations of ≥7.5 ppm (5 mg/m <sup>3</sup> ) were predictive of a ≥3% decrease in FEV <sub>1</sub> ; the correlation between exposure and decreased lung function was stronger after 6 yrs of exposure	Donham et al., 1995
194 Dutch pig farmers (94 with chronic respiratory symptoms, 100 without symptoms)	Cross-sectional study evaluating exposure response relations of exposures to dust, endotoxins, ammonia, and disinfection procedures	Estimates of long-term exposure based on two personal exposure samples (one winter sample, one summer sample); Mean estimated exposure to dust = 2.7 mg/m <sup>3</sup> , endotoxin = 112 ng/m <sup>3</sup> , ammonia = 2 mg/m <sup>3</sup>	Chronic respiratory symptoms included cough, phlegm, chest tightness, and wheezing; exposure to dust, endotoxins, and ammonia was not correlated to chronic respiratory symptoms; ammonia exposure and duration of disinfection were correlated with impairment of baseline lung function (decreased FEV <sub>1</sub> , MMEF, and PEF)	Preller et al., 1995

**Table A-9. Cross sectional studies of livestock farmers exposed to ammonia**

<b>Subjects</b>	<b>Methods</b>	<b>Exposure conditions</b>	<b>Results</b>	<b>Reference</b>
151 males ≥18 yrs of age employed at swine farms and spent time in swine confinement buildings (mean years of employment = 12.4); a farm comparison group (nonconfinement production) was included (number not given)	Followup study from Donham et al. (1995) previously described; followup measurements taken 48 mo from the initial measurements	Mean personal air exposure for all subjects: total dust = 3.45 mg/m <sup>3</sup> ; respirable dust = 0.26 mg/m <sup>3</sup> ; total endotoxin = 176.12 EU/m <sup>3</sup> ; respirable endotoxin = 11.86 EU/m <sup>3</sup> ; ammonia = 5.15 ppm (4 mg/m <sup>3</sup> )	Swine workers had a mean cross-shift 2% decrease in FEV <sub>1</sub> that was correlated with personal exposure to total dust, total endotoxin, respirable endotoxin, and ammonia	Reynolds et al., 1996
196 pig farmers (96 with chronic respiratory symptoms, 100 without symptoms)	Pig farmers tested for lung function and bronchial responsiveness to histamine challenge	Estimates of long-term exposure based on two personal exposure samples (one winter sample, one summer sample); mean estimated exposure to respirable dust = 2.7 mg/m <sup>3</sup> , endotoxin = 111 ng/m <sup>3</sup> , ammonia = 2 mg/m <sup>3</sup>	No association between bronchial responsiveness and exposure to respirable dust, endotoxins, or ammonia; mild bronchial responsiveness was associated with the disinfectant use of quaternary ammonia	Vogelzang et al., 1997
171 pig farmers (82 with chronic respiratory symptoms, 89 without symptoms)	Longitudinal study for cohort of pig farmers observed over 3 yrs; subjects examined for lung function and tested for bronchial responsiveness to histamine challenge	Estimates of long-term exposure based on two personal exposure samples (one winter sample, one summer sample); mean estimated exposure to respirable dust = 2.63 mg/m <sup>3</sup> , endotoxin = 105 ng/m <sup>3</sup> , ammonia = 2 mg/m <sup>3</sup>	Decreased lung function (FEV <sub>1</sub> and FVC) was observed over time; long-term exposure to ammonia was associated with increased bronchial responsiveness to histamine; exposure to respirable dust also caused increased bronchial responsiveness to histamine	Vogelzang et al., 2000, 1998
Eight healthy male volunteers (23–28 yrs old)	Exposed for 4 hrs at 1-wk intervals to swine confinement buildings	Mean airborne ammonia concentration of 20.7 ppm (15 mg/m <sup>3</sup> ); also exposed to airborne dust, bacteria, endotoxin, and molds	Decreased expiratory flows (FEV <sub>1</sub> ), increased neutrophils in the nasal wash, and increased white blood cell count	Cormier et al., 2000

**Table A-9. Cross sectional studies of livestock farmers exposed to ammonia**

Subjects	Methods	Exposure conditions	Results	Reference
257 poultry workers (30% women, 70% men); 63 women and 87 men nonexposed blue-collar workers served as control subjects	Personal sampling conducted for total and respirable dust, total and respirable endotoxin, and ammonia; medical evaluations included lung function tests given before and after a work period	Mean exposure levels of poultry workers: ammonia = 18.4 ppm (13 mg/m <sup>3</sup> ); total dust = 6.5 mg/m <sup>3</sup> ; respirable dust = 0.63 mg/m <sup>3</sup> ; total endotoxin 1,589 EU/m <sup>3</sup> (0.16 µg/m <sup>3</sup> ); respirable endotoxin = 58.9 EU/m <sup>3</sup> (0.006 µg/m <sup>3</sup> )	Significant cross-shift declines in lung function were reported for poultry workers; concentrations associated with significant lung function deficits were 12 ppm ammonia (8 mg/m <sup>3</sup> ), 2.4 mg/m <sup>3</sup> total dust, 0.16 mg/m <sup>3</sup> respirable dust, and 614 EU/m <sup>3</sup> endotoxin (0.614 µg/m <sup>3</sup> )	Donham et al., 2000
Survey of 8,482 farmers and spouses; exposure study conducted in 102 farmers	Exposure study with survey of respiratory symptoms; personal exposures to total dust, fungal spores, bacteria, endotoxin, and ammonia in 12 tasks were measured in 102 farmers	Ammonia concentrations ranged from 0 to 8.2 ppm (0–6 mg/m <sup>3</sup> ) over the 12 tasks; total dust (0.4–5.1 mg/m <sup>3</sup> ), fungal spores (0.02–2.0 10 <sup>6</sup> /m <sup>3</sup> ), bacteria (0.2–48 10 <sup>6</sup> /m <sup>3</sup> ), endotoxin (0.5–28/10 <sup>3</sup> EU/m <sup>3</sup> [0.05–2.8 µg/m <sup>3</sup> ])	There was a significant positive correlation between task mean exposures to total dust, fungal spores, and endotoxins and task-specific symptoms; there was no association between exposures to bacteria and ammonia and task-specific symptoms; symptoms included eye, nose, and throat irritation, cough, chest tightness, and wheezing	Melbostad and Eduard, 2001
13 stable workers (6 males, 7 females)	Stable workers were tested for lung function and nasal lavage was performed to analyze for inflammation markers; tests were performed during two consecutive winters and the interjacent summer	Ammonia concentration was 20–27 ppm (14–19 mg/m <sup>3</sup> ) in late summer, but was not detected in winter; levels of endotoxin were highest during late summer (15 ng/m <sup>3</sup> ) while levels of 1,3-β-glucan (85 ng/m <sup>3</sup> ) and horse allergen (18,300 U/m <sup>3</sup> ) were highest during the winter	Increased PEF-variability in 2/13 workers; eosinophil cationic protein in 3/13 (indicative of bronchial obstruction and allergic inflammation equivalent to allergic asthma); increased myeloperoxidase and lysozyme levels in 9/13 (indicating enhanced activity of neutrophil granulocytes in the airways and enhanced mucosal secretion)	Elfman et al., 2009

EU = endotoxin unit (10 EU/ng); MMEF = mean midexpiratory flow

### Controlled Human Inhalation Exposure Studies

Controlled exposure studies conducted in volunteers to evaluate irritation effects and changes in lung function following acute inhalation exposure to ammonia are summarized in Table A-10.



**Table A-10. Controlled human exposure studies of ammonia inhalation**

Subjects	Exposure conditions	Results	Reference
Seven male volunteers	500 ppm (354 mg/m <sup>3</sup> ) for 30 min from masked breathing apparatus for nose and throat inhalation; there was no mention of preexposure examinations	Hyperventilation (50–250% increase above controls) characterized by increased breathing rate and expiratory minute volume (i.e., volume of air exhaled in 1 min); no coughing was induced, excessive lacrimation occurred in two subjects; two subjects reported nose and throat irritation that lasted 24 hrs after exposure; no changes were reported in nitrogen metabolism or in blood or urine urea, ammonia, or nonprotein nitrogen	Silverman et al., 1949 <sup>b</sup>
Seven male volunteers with an average age of 31 yrs	30, 50, and 90 ppm (21, 35, and 64 mg/m <sup>3</sup> ) for 10 min in an inhalation chamber; physical and neurological examinations were conducted prior to exposure	Increased eye erythema at 90 ppm (64 mg/m <sup>3</sup> ) compared to 30 and 50 ppm (21 and 35 mg/m <sup>3</sup> ) exposure; 90 ppm (64 mg/m <sup>3</sup> ) did not produce significant bronchospasm or severe lacrimation; intensity of odor perception was reported as higher at 30 and 50 ppm (21 and 35 mg/m <sup>3</sup> ) than at 90 ppm (64 mg/m <sup>3</sup> )	MacEwen et al., 1970 <sup>a</sup>
18 healthy servicemen volunteers, 18–39 yrs old	50–344 mg/m <sup>3</sup> (70–486 ppm) for a half-day (session day 2); sessions on days 1 and 3 acted as controls; all volunteers underwent a preliminary examination prior to exposure	No effect at concentrations of 71 mg/m <sup>3</sup> (100 ppm); reduced expiratory minute volume at concentrations ranging from 106 to 235 mg/m <sup>3</sup> (150–332 ppm) compared to controls (not dose dependent); exercise tidal volume was increased at 106 mg/m <sup>3</sup> (150 ppm), but reduced at higher concentrations in a dose-dependent manner	Cole et al., 1977 <sup>a</sup>
Six male and female volunteers, 24–46 yrs old	25, 50, and 100 ppm (18, 35, and 71 mg/m <sup>3</sup> ) ammonia for 6 hrs/d 1 time/wk over 6 wks; occasional brief exposure to 150–200 ppm (106–141 mg/m <sup>3</sup> ); there was no mention of preexposure examinations	Habituation to eye, nose, and throat irritation after 2–3 wks with short-term adaption; there were no significant differences for common biological indicators, physical exams, or in normal job performance when compared to control subjects; continuous exposure to 100 ppm (71 mg/m <sup>3</sup> ) became easily tolerated and had no effect on general health after acclimation occurred; brief exposure to 150–200 ppm (106–141 mg/m <sup>3</sup> ) produced lacrimation and transient discomfort	Ferguson et al., 1977 <sup>b</sup>
15 volunteers, 18–53 yrs old	50, 80, 110, and 140 ppm (35, 57, 78, and 99 mg/m <sup>3</sup> ) for 2 hrs in an exposure chamber; there was no mention of preexposure examinations	No effect on vital capacity or FEV <sub>1</sub> ; 140 ppm (99 mg/m <sup>3</sup> ) caused severe irritation and could not be tolerated; reported eye irritation increased with concentration	Verberk, 1977 <sup>b</sup>

**Table A-10. Controlled human exposure studies of ammonia inhalation**

Subjects	Exposure conditions	Results	Reference
20 male volunteers; groups of four were exposed to ammonia at various concentrations and durations	Group 1: exposed to 2 mg/m <sup>3</sup> (3.0 ppm) for 37 d; Group 2: exposed to 5 mg/m <sup>3</sup> (7.2 ppm) for 17 d; Group 3: exposed to 2 mg/m <sup>3</sup> (3.0 ppm) for 35 d with short-term increases to 10 mg/m <sup>3</sup> (14 ppm); Groups 4 and 5: exposed to 2 and 5 mg/m <sup>3</sup> (3.0 and 7.2 ppm), respectively, for 20 d with variations in temperature and humidity; exposure duration each day was not specified; there was no mention of preexposure examinations	Significantly elevated adrenalin levels in urine at 2.1 mg/m <sup>3</sup> (3.0 ppm); dopamine and DOPA levels in urine were not significantly affected at any concentration; significant increase of adrenalin and 7-oxy-corticosteroids in urine, and 11-oxy-corticosteroids free fractions in plasma at 5.1 mg/m <sup>3</sup> (7.2 ppm); increased temperature and humidity resulted in increased urine adrenalin, urine 7-oxy-corticosteroids and free 11-oxy-corticosteroid levels in plasma at 5.1 mg/m <sup>3</sup> (7.2 ppm)	Kalandarov et al., 1984 <sup>b</sup>
Unspecified number of volunteer subjects	Acute exposure up to 15 sec, 1 time/d at unspecified concentrations; also a separate exposure of 10 inhaled breaths via mouthpiece at unspecified concentrations; there was no mention of preexposure examinations	The lachrymatory threshold was 55 ppm (39 mg/m <sup>3</sup> ) and bronchoconstriction was seen at 85 ppm (60.1 mg/m <sup>3</sup> )	Douglas and Coe, 1987 <sup>b</sup>
Six healthy volunteers (two males and four females, 25–45 yrs old) and eight volunteers with mild asthma (four males and four females, 18–52 yrs old)	16–25 ppm (11–18 mg/m <sup>3</sup> ) for 30-min sessions with 1 wk between sessions; lung function was measured before and after exposure	No significant changes in lung function in healthy subjects at any concentration; decreased FEV <sub>1</sub> and increased bronchial hyperreactivity were reported in asthmatics exposed to dust and ammonia, but not to ammonia alone; exposure to dust alone caused similar effects, suggesting that dust was responsible for the effects	Sigurdarson et al., 2004 <sup>a</sup>
12 healthy volunteers (7 females, 5 males) 21–28 yrs old	5 and 25 ppm (4 and 18 mg/m <sup>3</sup> ) for three separate exposures in inhalation chamber for 1.5 hrs resting and 1.5 hrs exercising on a stationary bike; 1–4 volunteers were exposed on each occasion; lung function and nasal lavage were performed before and after exposure	Reported discomfort in eyes, detection of solvent smell, headache, dizziness, and feeling of intoxication were significantly increased at 5 ppm (4 mg/m <sup>3</sup> ); there were no changes in lung function or exhaled nitric oxide levels in exposed individuals; exposure did not result in upper-airway inflammation or bronchial responsiveness	Sundblad et al., 2004 <sup>a</sup>

**Table A-10. Controlled human exposure studies of ammonia inhalation**

Subjects	Exposure conditions	Results	Reference
Healthy male and female volunteers grouped by age, 18–35 and 45–65 yrs old	Repeated 2-sec exposures at increasing concentrations ranging from 0.9 to 228 ppm (0.6–161 mg/m <sup>3</sup> ) by dynamic olfactometry; there was no mention of preexposure examinations	Mean odor detection threshold <20 ppm (14 mg/m <sup>3</sup> ), mean irritation (lateralization) threshold well above 20 ppm (14 mg/m <sup>3</sup> ), dose-response for odor annoyance and irritation; strong olfactory and moderate to strong irritating sensations at >15 ppm (11 mg/m <sup>3</sup> )	Altmann et al., 2006 <sup>b</sup>
43 healthy male volunteers age 21–47 yrs; one group of 30 men not familiar with the smell of ammonia and 10 men exposed to ammonia regularly at the workplace	0, 10, 20, 20 + 2 peak exposures at 40, and 50 ppm (0, 7, 14, 14 + 2 peak exposures at 28, and 35 mg/m <sup>3</sup> ) on 5 consecutive days for 4 hrs/d in an exposure chamber	Subjects familiar to ammonia reported fewer symptoms than naïve subjects; at concentrations ≤20 ppm (14 mg/m <sup>3</sup> ), there were no significant differences in symptoms reported between the groups; the perceived intensity of symptoms was concentration-dependent in both groups	lhrig et al., 2006 <sup>a</sup>
25 healthy volunteers (mean age 29.7 yrs), and 15 mild/moderate persistent asthmatic volunteers (mean age 29.1 yrs)	2–500 ppm (1–354 mg/m <sup>3</sup> ) (ocular and nasal exposure) for various durations lasting up to 2.5 hrs; baseline lung function was recorded prior to exposure	Irritation threshold, odor intensity, and annoyance were not significantly different between healthy volunteers and asthmatics; nasal irritation threshold = 129 ppm (91 mg/m <sup>3</sup> ); ocular irritation threshold = 175 ppm (124 mg/m <sup>3</sup> ); there were no changes in lung function (FEV <sub>1</sub> ) for subjects in either group	Petrova et al., 2008 <sup>a</sup>
24 healthy female volunteers age 18–45 yrs (mean age 29.9 yrs)	0.03–615.38 ppm (0.02–435 mg/m <sup>3</sup> ) (nasal exposure) for a maximum of 2 sec; preexposure measurements included rhinoscopic exam, screening for chemical sensitivities, allergies, respiratory disease, general health, and prior chemical exposure by personal interview	Both the static and dynamic methods showed similar averages for detection thresholds for the odor and irritancy of ammonia; mean odor detection threshold of 2.6 ppm (2 mg/m <sup>3</sup> ) (both static and dynamic) and mean irritation thresholds of 31.7 or 60.9 ppm (22 or 43 mg/m <sup>3</sup> ) for static and dynamic methods, respectively	Smeets et al., 2007 <sup>a</sup>

<sup>a</sup>Investigators reported that informed consent by volunteers and/or study approval by local boards/officials regarding ethical conduct was obtained.

<sup>b</sup>This controlled-exposure study did not provide information on the human subjects research ethics procedures undertaken in the study; however, there is no evidence that the conduct of the research was fundamentally unethical or significantly deficient relative to the ethical standards prevailing at the time the research was conducted.

Altmann et al. (2006) showed a dose-dependent increase in the intensity of odor annoyance and irritation in healthy male and female volunteers during inhalation exposure to ammonia; strong olfactory and moderate to strong irritation sensations occurred at concentrations >15 ppm (11 mg/m<sup>3</sup>), with odor detection thresholds at <20 ppm (14 mg/m<sup>3</sup>). In another study,

12 healthy volunteers exposed to 5 and 25 ppm (4 and 18 mg/m<sup>3</sup>) ammonia on three different occasions for 1.5 hours in an exposure chamber while exercising on a stationary bike reported discomfort in the eyes and odor detection at 5 ppm (4 mg/m<sup>3</sup>) (Sundblad et al., 2004). Eye irritation was also shown to increase in a concentration-dependent manner in 15 volunteers exposed to ammonia for 2 hours in an exposure chamber at concentrations of 50, 80, 110, and 140 ppm (35, 57, 78, and 99 mg/m<sup>3</sup>); ammonia concentrations of 140 ppm (99 mg/m<sup>3</sup>) caused severe and intolerable irritation (Verberk, 1977). The lachrymatory threshold was determined to be 55 ppm (39 mg/m<sup>3</sup>) in volunteers exposed to ammonia gas inside tight-fitting goggles for an acute duration of up to 15 seconds (Douglas and Coe, 1987). In contrast, exposures to up to 90 ppm (64 mg/m<sup>3</sup>) ammonia gas did not produce severe lacrimation in seven volunteers after 10 minutes in an exposure chamber, although increased eye erythema was reported (MacEwen et al., 1970). Exposure to 500 ppm (354 mg/m<sup>3</sup>) of ammonia gas for 30 minutes through a masked nose and throat inhalation apparatus resulted in two of seven volunteers reporting lacrimation, and two of seven reporting nose and throat irritation that lasted up to 24 hours after exposure (Silverman et al., 1949).

Petrova et al. (2008) investigated irritation threshold differences between 25 healthy volunteers and 15 mild-to-moderate persistent asthmatic volunteers exposed to ammonia via the eyes and nose at concentrations ranging from 2 to 500 ppm (1–354 mg/m<sup>3</sup>) for durations lasting up to 2.5 hours. Irritation threshold, odor intensity, and annoyance were not significantly different between the two groups. The nasal and eye irritation thresholds were reported to be 129 ppm (91 mg/m<sup>3</sup>) and 175 ppm (124 mg/m<sup>3</sup>), respectively. Smeets et al. (2007) investigated odor and irritation thresholds for ammonia vapor in 24 healthy female volunteers at concentrations ranging from 0.03 to 615 ppm (0.02–435 mg/m<sup>3</sup>). This study found a mean odor detection threshold of 2.6 ppm (2 mg/m<sup>3</sup>) and a mean irritation threshold of 31.7 or 60.9 ppm (22 or 43 mg/m<sup>3</sup>), depending on the olfactometry methodology followed (static versus dynamic, respectively). Irritation thresholds may be higher in people who have had prior experience with ammonia exposure (Ihrig et al., 2006). Thirty male volunteers who had not experienced the smell of ammonia and 10 male volunteers who had regular workplace exposure to ammonia were exposed to ammonia vapors at concentrations of 0, 10, 20, and 50 ppm (0, 7, 14, and 35 mg/m<sup>3</sup>) on 5 consecutive days (4 hours/day) in an exposure chamber; volunteers in the group familiar to the smell of ammonia reported fewer symptoms than the nonhabituated group, but at a concentration of 20 ppm (14 mg/m<sup>3</sup>), there were no differences in perceived symptoms between the groups. However, the perceived intensity of symptoms was concentration-dependent in both groups, but was only significant in the group of volunteers not familiar with ammonia exposure (Ihrig et al., 2006). Ferguson et al. (1977) reported habituation to eye, nose, and throat irritation in six male and female volunteers after 2–3 weeks of exposure to ammonia concentrations of 25, 50, and 100 ppm (18, 35, and 71 mg/m<sup>3</sup>) during a 6-week study (6 hours/day, 1 time/week).

Continuous exposure to even the highest concentration tested became easily tolerated with no general health effects occurring after acclimation.

Several studies evaluated lung functions following acute inhalation exposure to ammonia. Volunteers exposed to ammonia (lung only) through a mouthpiece for 10 inhaled breaths of gas experienced bronchioconstriction at a concentration of 85 ppm (60 mg/m<sup>3</sup>) (Douglas and Coe, 1987); however, there were no bronchial symptoms reported in seven volunteers exposed to ammonia at concentrations of 30, 50, or 90 ppm (21, 35, and 64 mg/m<sup>3</sup>) for 10 minutes in an exposure chamber (MacEwen et al., 1970). Similarly, 12 healthy volunteers exposed to ammonia on three separate occasions to 5 and 25 ppm (4 and 18 mg/m<sup>3</sup>) for 1.5 hours in an exposure chamber while exercising on a stationary bike did not have changes in bronchial responsiveness, upper airway inflammation, exhaled nitric oxide levels, or lung function as measured by vital capacity and forced expiratory volume in 1 second (FEV<sub>1</sub>) (Sundblad et al., 2004). In another study, 18 healthy servicemen volunteers were placed in an exposure chamber for 3 consecutive half-day sessions. Exposure to ammonia at concentrations of 50–344 mg/m<sup>3</sup> (70–486 ppm) occurred on the second session, with sessions 1 and 3 acting as controls (Cole et al., 1977). The no-effect concentration was determined to be 71 mg/m<sup>3</sup> (100 ppm). Exercise tidal volume was increased at 106 mg/m<sup>3</sup> (150 ppm), but then decreased at higher concentrations in a concentration-dependent manner (Cole et al., 1977). Decreased FEV<sub>1</sub> and forced vital capacity (FVC) were reported in eight healthy male volunteers exposed to a mean airborne ammonia concentration of 20.7 ppm (15 mg/m<sup>3</sup>) in swine confinement buildings for 4 hours at 1-week intervals; however, swine confinement buildings also include confounding exposures to dust, bacteria, endotoxin, and molds, thereby making measurement of effects due to ammonia uncertain in this study (Cormier et al., 2000).

Differences in lung function between healthy and asthmatic volunteers exposed to ammonia were evaluated in several studies. There were no changes in lung function as measured by FEV<sub>1</sub> in 25 healthy volunteers and 15 mild/moderate persistent asthmatic volunteers after ocular and nasal exposure to 2–500 ppm (1–354 mg/m<sup>3</sup>) ammonia at durations lasting up to 2.5 hours (Petrova et al., 2008). In another study, six healthy volunteers and eight mildly asthmatic volunteers were exposed to 16–25 ppm (11–18 mg/m<sup>3</sup>) ammonia, ammonia and dust, and dust alone for 30-minute sessions, with 1 week between sessions (Sigurdarson et al., 2004). There were no significant changes in lung function as measured by FEV<sub>1</sub> in the healthy volunteers for any exposure. A decrease in FEV<sub>1</sub> was reported in asthmatics exposed to dust and ammonia, but not to ammonia alone; similarly, increased bronchial hyperreactivity was reported in asthmatics after exposure to dust and ammonia, but not to ammonia alone. Exposure to dust alone caused similar effects, suggesting that dust was responsible for decreased lung function (Sigurdarson et al., 2004).

In summary, volunteer studies demonstrate that eye irritation can occur following acute exposure to ammonia at concentrations as low as 5 ppm (4 mg/m<sup>3</sup>). Irritation thresholds may be higher in people who have had prior experience with ammonia exposure, and habituation to eye, nose, and throat irritation occurs over time. Lung function was not affected in workers acutely exposed to ammonia concentrations as high as 71 mg/m<sup>3</sup> (100 ppm). Studies comparing the lung function of asthmatics and healthy volunteers exposed to ammonia do not suggest that asthmatics are more sensitive to the lung effects of ammonia.

## **Case Studies and Reports of Human Exposure to Ammonia**

Oral exposure to ammonia most commonly involved ingestion of household cleaning solutions or biting into the capsules of ammonia smelling salts, which are commonly found in first aid kits. Young children, generally <4 years old, have been reported as “biting into” or ingesting smelling salts capsules. The acute effects included drooling, erythematous and edematous lips, reddened and blistered tongues, dysphagia, vomiting, and oropharyngeal burns (Rosenbaum et al., 1998; Wason et al., 1990; Lopez et al., 1988). Delayed effects were not noted in these cases. Gilbert (1988) reported ammonia intoxication characterized by lethargy, restlessness, irritability, and confusion in a 37-year-old man following surgery. Most other cases of ammonia ingestion involved household cleaning solutions and detergents. Many cases were intentional; however, not all were fatal. Klein et al. (1985) described two cases of ingestion of approximately 30 mL and “two gulps” of Parson’s sudsy ammonia (ammonia 3.6%; pH 11.5), respectively. The first case resulted in a white and blistered tongue and pharynx, and esophageal burns with friable, boggy mucosa; and in the second case, several small esophageal lesions with mild to moderate ulceration and some bleeding were reported. There were no oropharyngeal burns in the second case and no delayed complications in either case. Christesen (1995) reported that of the 11 cases involving accidental or intentional ingestion of ammonia water by adults (≥15 years old), 2 cases exhibited acute respiratory obstruction and 1 case developed an esophageal stricture 3 months postinjury. In cases involving fatalities, evidence of laryngeal and epiglottal edema, erythematous esophagus with severe corrosive injury, and hemorrhagic esophago-gastro-duodeno-enteritis was noted (Klein et al., 1985; Klendshoj and Rejent, 1966). Dworkin et al. (2004) reported a case of ingestion of contaminated chicken tenders, prepared and served in a school cafeteria, by approximately 157 students and 6 teachers. The onset of acute symptoms occurred within an hour of ingestion, and included headache, nausea, vomiting, dizziness, diarrhea, and burning mouth. In a case of forced ingestion of an unknown quantity of dilute ammonia, a 14-year-old boy presented with difficulty speaking, ataxic gait, isochoric pupils, and evidence of brain edema. There were no burns to the eyes or mouth and no indication of gastric pathology. It was only after the patient was able to communicate that

ammonia was involved that appropriate treatment, followed by a satisfactory outcome, was achieved.

Inhalation is the most frequently reported route of exposure and cause of morbidity and fatality, and often occurs in conjunction with dermal and ocular exposures. Acute effects from inhalation have been reported to range from mild to severe, with mild symptoms consisting of nasal and throat irritation, sometimes with perceived tightness in the throat (Price and Watts, 2008; Prudhomme et al., 1998; Weiser and Mackenroth, 1989; Yang, 1987; O’Kane, 1983; Ward et al., 1983; Caplin, 1941). Moderate effects are described as moderate to severe pharyngitis; tachycardia; frothy, often blood-stained sputum; moderate dyspnea; rapid, shallow breathing; cyanosis; some vomiting; transient bronchospasm; edema and some evidence of burns to the lips and oral mucosa, and localized to general rhonchi in the lungs (Weiser and Mackenroth, 1989; Yang, 1987; O’Kane, 1983; Ward et al., 1983; Counturier et al., 1971; Caplin, 1941). Severe effects include second- and third-degree burns to the nasal passages, soft palate, posterior pharyngeal wall, and larynx, upper airway obstruction, loss of consciousness, bronchospasm, dyspnea, persistent, productive cough, bilateral diffuse rales and rhonchi, production of large amounts of mucous, pulmonary edema, marked hypoxemia, local necrosis of the lung, deterioration of the whole lung, and fatality. Delayed effects of acute exposure to high concentrations of ammonia include bronchiectasis, bronchitis, bronchospasm/asthma, dyspnea upon exertion and chronic productive cough, bronchiolitis, severe pulmonary insufficiency, and chronic obstructive pulmonary disease (Lalic et al., 2009; Leduc et al., 1992; Bernstein and Bernstein, 1989; Flury et al., 1983; Ward et al., 1983; Stroud, 1981; Close, 1980; Taplin, et al., 1976; Walton, 1973; Kass et al., 1972; Slot, 1938).

Respiratory effects were also observed following chronic occupational exposure to ammonia. After 18 months and 1 year on the job, respectively, both men developed cough, chest tightness, and wheezing, typically after 2–6 hours from the beginning of each work day, but not on weekends or holidays. In another case, progressive deterioration of the clinical condition of a 68-year-old male was documented for 4 years, and development of diffuse interstitial and severe restrictive lung disease was reported following long-term repetitive occupational exposure to ammonia at or above the odor recognition level (Brautbar et al., 2003). Lee et al. (1993) reported a case of a 39-year-old man who developed occupational asthma 5 months after beginning a job requiring the polishing of silverware. The room in which he worked was poorly ventilated. The product used contained ammonia and isopropyl alcohol and the measured ammonia concentration in the breathing zone when using this product was found to be 8–15 ppm (6–11 mg/m<sup>3</sup>).

Acute dermal exposure to anhydrous (liquid) ammonia and ammonia vapor has resulted in caustic burns of varying degrees to the skin and eyes. There are numerous reports of exposures from direct contact with anhydrous ammonia in which first-, second-, and third-degree

burns occurred over as much as 50% of the total body surface (Lalic et al., 2009; Pirjavec et al., 2009; Arwood et al., 1985). Frostbite injury has also been reported in conjunction with exposure to sudden decompression of liquefied ammonia, which is typically stored at -33°F (George et al., 2000; Sotiropoulos et al., 1998; Arwood et al., 1985). However, direct contact is not a prerequisite for burn injury. Several reports have indicated that burns to the skin occurred with exposure to ammonia gas or vapor. Kass et al. (1972) reported one woman with chemical burns to her abdomen, left knee, and forearm and another with burns to the feet when exposed to anhydrous ammonia gas released from a derailed train in the vicinity. Several victims at or near the scene of an overturned truck that had been carrying 8,000 gallons of anhydrous ammonia were reported as having second- and third-degree burns over exposed portions of the body (Burns et al., 1985; Close et al., 1980; Hatton et al., 1979). In a case involving a refrigeration leak in a poorly ventilated room, workers located in an adjacent room reported a “burning skin” sensation (de la Hoz et al., 1996) while in another case involving the sudden release of ammonia from a pressure valve in a refrigeration unit, one victim received burns to the leg and genitalia (O’Kane, 1983).

In addition to the skin, the eyes are particularly vulnerable to ammonia burns due to the highly water-soluble nature of the chemical and the ready dissociation of ammonium hydroxide to release hydroxyl ions. When ammonia or ammonia in solution has been splashed or sprayed into the face (accidentally or intentionally), immediate effects include temporary blindness, blepharospasm, conjunctivitis, corneal burns, ulceration, edema, chemosis, and loss of corneal epithelium (George et al., 2000; Helmers et al., 1971; Highman, 1969; McGuinness, 1969; Levy et al., 1964; Abramovicz, 1924). The long-term effects included photophobia, progressive loss of sensation, formation of bilateral corneal opacities and cataracts, recurrent corneal ulcerations, nonreactive pupil, and gradual loss of vision (Yang, 1987; Kass et al., 1972; Helmers et al., 1971; Highman, 1969; Osmond and Tallents, 1968; Levy et al., 1964; Abramovicz, 1924). White et al. (2007) reported a case with acute bilateral corneal injury that developed into bilateral uveitis with stromal vascularization and stromal haze and scarring, and pigmented keratic precipitates that resulted in legal blindness. An increase in intraocular pressure, resembling acute-angle closure glaucoma, was reported by Highman (1969) following ammonia intentionally sprayed into the eyes during robbery attempts.

## **A.5. ANIMAL STUDIES**

### **Oral Exposure**

*Kawano et al. (1991); Tsujii et al. (1993)*

Kawano et al. (1991) investigated the hypothesis that the bacterium *Helicobacter pylori*, which produces a potent urease that increases ammonia production, plays a significant role in the



etiology of chronic atrophic gastritis. Male Sprague-Dawley rats (6/group) were given tap water or 0.01 or 0.1% ammonia ad libitum for 2 or 4 weeks. The daily dose of 0.01 and 0.1% ammonia in drinking water, based on a weight of 230 g for male rats and a water consumption of 50 mL/day, was estimated to be 22 and 220 mg/kg-day, respectively. The effect of ammonia on the antral mucosa was estimated by three measurements of the thickness of the mucosa about 175  $\mu$ M from the pyloric ring in the antral mucosa. The parietal cell number per gland was determined at three locations in the oxyntic glandular area. Mucosal lesions were not observed macro- or microscopically. There was a statistically significant decrease in mean antral mucosal thickness with increasing dose and duration of exposure (Table A-11). Parietal cell number per oxyntic gland decreased in a statistically significant dose- and time-dependent fashion. The index of periodic acid-Schiff Alcian blue positive intracellular mucin was significantly lower in the antral and body mucosa with 0.1% ammonia; the index was significantly lower only for the antral mucosa with 0.01% ammonia. The authors suggested that administration of ammonia in drinking water causes gastric mucosal atrophy. Based on the reduction in antral mucosal thickness, EPA identified a LOAEL of 22 mg/kg-day; a NOAEL was not identified.

**Table A-11. Effect of ammonia in drinking water on the thickness of the gastric antral and body mucosa of the rat stomach**

Length of treatment	Thickness of mucosa ( $\mu$ M); mean $\pm$ standard error of the mean		
	Control (tap water)	Percent ammonia in drinking water	
		0.01%	0.1%
Antral mucosa			
2 wks	270 $\pm$ 18	258 $\pm$ 22	217 $\pm$ 40 <sup>a</sup>
4 wks	276 $\pm$ 39	171 $\pm$ 22 <sup>a</sup>	109 $\pm$ 12 <sup>b,c</sup>
Body mucosa			
2 wks	574 $\pm$ 116	568 $\pm$ 159	591 $\pm$ 183
4 wks	618 $\pm$ 154	484 $\pm$ 123	440 $\pm$ 80 <sup>a,c</sup>

<sup>a</sup> $p < 0.05$  versus control group.

<sup>b</sup> $p < 0.01$  versus control group.

<sup>c</sup> $p < 0.01$  versus 2-week treatment group.

Source: Kawano et al. (1991).

In a follow-up study of the effect of ammonia produced from *H. pylori*, Tsujii et al. (1993) studied the subchronic effect of ammonia in drinking water on the cell kinetics of the gastric mucosa of the stomach. Six groups of male Sprague-Dawley rats (36 rats/group) were given 0.01% ammonia in drinking water for 3 days, or 1, 2, 4, or 8 weeks; ammonia solutions were changed daily. Tap water was provided for the balance of the 8-week study. A control group was given tap water for 8 weeks. Based on the initial body weight (150 g) and estimated

daily water intake (50 mL), the daily dose at a drinking water concentration of 0.01% ammonia was estimated to be 33 mg/kg-day. Cellular migration was measured by labeling cells with 5-bromo-2-deoxyuridine (BrDU) at different time periods and measuring the incorporation of this modified nucleoside with a histochemical technique using anti BrDU monoclonal antibodies. Antral and body mucosa thickness was measured as described in Kawano et al. (1991). The measurement of cell proliferation in the gastric mucosa was estimated using the labeling index in gastric pits (ratio of labeled nuclei to total nuclei in the proliferation zone). The antral mucosal thickness decreased significantly at 4 and 8 weeks of treatment (Table A-12), but there was no effect on the body mucosa. Cell migration preceded the decrease in thickness of the antral mucosa. The rate of cell migration (cells/day) toward the mucosal surface was significantly greater for 0.01% ammonia-treated rats compared to the control at 4 and 8 weeks of treatment. Cell proliferation, as estimated from the labeling index, was significantly increased after 1 week for the antral and body mucosa. The authors concluded that 0.01% ammonia increased epithelial cell migration in the antrum leading to mucosal atrophy. The EPA identified a LOAEL of 33 mg/kg-day based on decreased thickness of the gastric antrum; a NOAEL was not identified.

**Table A-12. Effect of ammonia in drinking water on gastric antral and body mucosa in the stomach of Sprague-Dawley rats administered 0.01% ammonia in drinking water**

Length of treatment	Thickness of mucosa (μM)	
	Antral mucosa	Body mucosa
Control (tap water only)	283 ± 26	534 ± 27
3 D	305 ± 45	559 ± 50
1 Wk	272 ± 31	542 ± 28
2 Wks	299 ± 26	555 ± 37
4 Wks	159 ± 29 <sup>b</sup>	531 ± 32
8 Wks	168 ± 26 <sup>b</sup>	508 ± 29

<sup>a</sup>Extracted from Figure 3 of Tsujii et al. (1993); mean ± SD.

<sup>b</sup>*p* < 0.05 versus control (tap water only) group.

Source: Tsujii et al. (1993).

### *Fazekas (1939)*

Fazekas (1939) administered ammonium hydroxide to 51 rabbits (strain and sex not specified) via gavage every other day initially and later daily in increasing amounts of 50–80 mL as either a 0.5 or 1.0% solution over a long period of time. The daily dose (mg/kg-day) was estimated using the weight of adult rabbits from standard growth curve for rabbits (3.5–4.1 kg) (U.S. EPA, 1988). Based on a daily gavage of 50–80 mL, daily doses for the rabbits receiving 0.5 and 1.0% ammonia solutions were approximately 61–110 and 120–230 mg/kg-day, respectively. The exact duration of the study is not reported, but it is clear from the data that by the end of the experiment, some rabbits received only three or four doses before dying as a result of intoxication in 5.5 days and other rabbits received over 80 doses and survived for up to 17 months. Toxicological endpoints evaluated included fluctuations in body weights, changes in blood pressure measured at the central artery of the ear in 10 rabbits after lengthy treatment, and changes in the weight, fat, and cholesterol content of adrenals. For comparison purposes, the weight of the adrenals from 41 healthy rabbits of similar age and body weight were also determined. The average weight of adrenals from these 41 control rabbits was  $400.0 \pm 13.4$  mg.

Fazekas (1939) reported that differences in mean adrenal weight in ammonium hydroxide-treated animals were significant, although there was no description of the statistical analysis performed in this study. Chemical evaluation of the adrenals from treated rabbits revealed fat content 4.5 times greater and cholesterol content 6.5 times greater than controls. At the beginning of the experiment, a greater weight loss was observed among those rabbits receiving ammonium hydroxide more frequently (daily) at higher doses. Body weights fluctuated among treated rabbits and generally decreased initially and gradually increased in the later months only to drop again a few weeks before death. Body weights for controls were not reported. Thirteen rabbits exhibited weight increases after the initial loss that persisted until the end of the experiment. Dissection of these rabbits revealed enlarged adrenals (800–1,340 mg), and fatty tissue surrounding the kidneys, mesentery, and the pericardium. This fat accumulation was not observed in untreated controls. Histology revealed enlarged cells of the zona fasciculata of the adrenal cortex that were rich in lipid. The blood pressure of rabbits before dosing ranged from 60 to 74 mm Hg and dropped with initial exposure (during the first 5–10 minutes that lasted up to 7 hours) to 20–30 mm Hg. Following several months of ammonium hydroxide treatment, a moderate elevation in blood pressure of 10–30 mm Hg was found in 8/10 rabbits. In the other two rabbits, the blood pressure increased from the initial values of 62 and 65–90 mm Hg during the first 7 months of treatment and remained almost unchanged at this level until sacrifice.

In summary, Fazekas (1939) concluded that initial decreases in blood pressure and effects of emaciation in rabbits following gavage treatment with ammonium hydroxide is associated with the hypofunction of the cortical or medullary substance of the adrenal gland. The authors also concluded that the subsequent increases in blood pressure and body weight could be

attributed to hypertrophy of the adrenal cortex. This study is limited by lack of reporting detail and inadequate study design. The EPA did not identify a NOAEL or LOAEL from this study.

### ***Toth (1972)***

Toth (1972) evaluated whether hydrazine, methylhydrazines, and ammonium hydroxide play a role in tumorigenesis in mice. Solutions of hydrazine (0.001%), methyl hydrazine (0.01%), methyl hydrazine sulfate (0.001%), and ammonium hydroxide (0.1, 0.2, and 0.3%) were administered continuously in the drinking water of 5- and 6-week-old randomly bred Swiss mice (50/sex) for their entire lifetime. For ammonium hydroxide, the study authors reported the average daily drinking water intakes for the 0.1, 0.2, and 0.3% groups as 9.2, 8.2, and 6.5 mL/day for males, respectively, and 8.3, 6.5, and 4.8 mL/day for females, respectively. Given these rates and assuming average default body weights of 37.3 and 35.3 g for males and females, respectively (U.S. EPA, 1988), the approximate continuous doses for ammonium hydroxide are 250, 440, and 520 mg/kg-day for males and 240, 370, and 410 mg/kg-day for females. Additionally, groups of C<sub>3</sub>H mice (40/sex) were exposed to ammonium hydroxide in the drinking water at a concentration of 0.1% for their lifetime. Average daily water consumption for these mice was reported as 7.9 and 8.4 mL/day for males and females, respectively. The approximate equivalent doses for these mice assuming the same default body weights as above (U.S. EPA, 1988) are 191 and 214 mg/kg-day for males and females, respectively. Data were not reported for a concurrent control group. Mice were monitored weekly for changes in body weights and gross pathological changes were recorded. The animals were either allowed to die or were killed when found in poor condition. Complete necropsies were performed on all mice, and the liver, kidney, spleen, lung, and organs with gross lesions were processed for histopathological examination. Data on body weights were not reported.

For Swiss mice, tumor incidence at the 0.3% ammonium hydroxide concentration was as follows: malignant lymphomas: 3/50 (males), 9/50 (females); and lung adenoma or adenocarcinoma: 7/50 (males), 4/50 (females). Tumor incidence at the 0.2% ammonium hydroxide concentration was: malignant lymphomas: 7/50 (males), 10/50 (females); lung adenoma or adenocarcinoma: 5/50 (males), 8/50 (females); and breast tumors: 4/50 (females). Tumor incidence at the 0.1% ammonium hydroxide concentration was: malignant lymphomas: 4/50 (males), 10/50 (females); lung adenoma or adenocarcinoma: 5/50 (males), 12/50 (females); and breast tumors: 1/50 (females). The denominators were not adjusted for survival, and concurrent control data were not provided. For a second strain of mice (C<sub>3</sub>H) that received 0.1% ammonium hydroxide in drinking water, the incidence of adenocarcinomas of the mammary gland in female mice was 60%. The incidence of breast tumors in the corresponding untreated control mice was 76%. Other tumors were identified in treated mice, but were of low incidence. Toth (1972) concluded that ammonium hydroxide was not carcinogenic in either strain of mouse.

Because concurrent control tumor incidence was not provided other than the incidence of breast tumors in C<sub>3</sub>H female mice, the incidence of tumors in treated mice cannot be independently compared to control tumor incidence.

***Tsujii et al. (1995, 1992a)***

Tsujii et al. (1995, 1992a) evaluated the role of ammonia in *H. pylori*-related gastric carcinogenesis. *H. pylori* is a bacterium that produces a potent urease, which generates ammonia from urea in the stomach, and has been implicated in the development of gastric cancer. Tsujii et al. (1995, 1992a) pretreated groups of 40–44 male Sprague-Dawley rats with the initiator N-methyl-N'-nitro-N-nitrosoguanidine (MNNG) in the drinking water for 24 weeks before administering 0.01% ammonium solution as a drinking fluid for 24 weeks. Based on an average body weight of 523 g for male Sprague-Dawley rats during chronic exposure (U.S. EPA, 1988) and a reported water consumption rate of 0.05 L/day, the approximate continuous dose administered to these rats is 10 mg/kg-day. In each study, an additional group of 40–43 rats given tap water for 24 weeks following pretreatment with MNNG served as controls. The study protocol did not include a dose group that received ammonia only in drinking water. Stomachs from rats surviving beyond 45 weeks were examined histologically for evidence of ulcers, lesions, and tumors. Tsujii et al. (1995) also evaluated serum gastrin levels from blood collected at 30 and 46 weeks and mucosal cell proliferation in animals surviving to 48 weeks by calculating the labeling index (percentage ratio of labeled nuclei to total number of nuclei in the proliferation zone) and the proliferation zone index (fraction of the gastric pit occupied by the proliferation zone).

Tsujii et al. (1995, 1992a) observed a significantly greater incidence of gastric cancers among rats receiving ammonia after pretreatment with MNNG compared to rats receiving only MNNG and tap water ( $p < 0.01$ ,  $\chi^2$  test). Seventy percent of MNNG+ammonia-treated rats versus 31% of control rats developed gastric tumors in the first study (Tsujii et al., 1992a). The number of gastric cancers per tumor-bearing rat in this study was  $2.1 \pm 1.4$  among treated rats and  $1.3 \pm 0.6$  among control rats ( $p < 0.01$ ,  $\chi^2$  test).

In the second study, 66% of rats dosed with ammonia and pretreated with MNNG developed gastric cancers compared to 30% of the control rats (Tsujii et al., 1995). The numbers of gastric tumors per rat in this study were also significantly higher among MNNG+ammonia-exposed rats than controls ( $p < 0.001$ , Mann-Whitney test), suggesting that ammonia was a promoter. In the absence of an ammonia-only treatment group, however, it is not possible to distinguish with certainty between possible promotion and initiator activity. The degree of differentiation of adenocarcinomas in control and ammonia-treated rats was significantly different. Ammonia-treated rats also demonstrated a significantly higher incidence of larger tumors (5.3 mm compared to 4.4 mm for controls) and of gastric cancers penetrating the

muscularis propria or deeper ( $p < 0.01$ , 22% compared to controls 12%). In this study, the labeling index and the proliferation zone index were statistically significantly elevated in ammonia exposed rats compared to controls in the fundic mucosa and antral mucosa.

Tsujii et al. (1995) explored the hypothesis that ammonia might increase intragastric pH, leading to an increase in serum gastrin, a trophic hormone in the gastric fundus mucosa, and a possible proliferating factor in gastric epithelial cells. The investigators found no significant effects on serum gastrin levels and concluded that serum gastrin does not appear to play a significant role in ammonia-induced promotion.

## **Inhalation Exposure**

### ***Anderson et al. (1964)***

Anderson et al. (1964) exposed a group of 10 guinea pigs (strain not given) and 10 Swiss albino mice of both sexes continuously to 20 ppm ( $14 \text{ mg/m}^3$ ) ammonia vapors for up to 6 weeks (anhydrous ammonia, purity not reported). Controls (number not specified) were maintained under identical conditions except for the exposure to ammonia. An additional group of six guinea pigs was exposed to 50 ppm ( $35 \text{ mg/m}^3$ ) for 6 weeks. The animals were observed daily for abnormal signs or lesions. At termination, the mice and guinea pigs were sacrificed (two per group at 1, 2, 3, 4, and 6 weeks of exposure) and selected tissues (lungs, trachea, turbinates, liver, and spleen) were examined for gross and microscopic pathological changes. No significant effects were observed in animals exposed for up to 4 weeks, but exposure to 20 ppm ( $14 \text{ mg/m}^3$ ) for 6 weeks caused darkening, edema, congestion, and hemorrhage in the lung. Exposure of guinea pigs to 50 ppm ( $35 \text{ mg/m}^3$ ) ammonia for 6 weeks caused grossly enlarged and congested spleens, congested livers and lungs, and pulmonary edema. NOAEL and LOAEL values were not identified in this study because only two animals per group were examined at a single time period for the 20 ppm ( $14 \text{ mg/m}^3$ ) exposure groups (mice and guinea pigs).

### ***Coon et al. (1970)***

Coon et al. (1970) exposed groups of male and female Sprague-Dawley and Long-Evans rats, male and female Princeton-derived guinea pigs, male New Zealand rabbits, male squirrel monkeys, and purebred male beagle dogs to 0, 155, or 770  $\text{mg/m}^3$  ammonia (0, 219, or 1,089 ppm) 8 hours/day, 5 days/week for 6 weeks (anhydrous ammonia, >99% pure). The investigators stated that a typical loaded chamber contained 15 rats, 15 guinea pigs, 3 rabbits, 3 monkeys, and 2 dogs. Blood samples were taken before and after the exposures for determination of hemoglobin concentration, packed erythrocyte volume, and total leukocyte counts. Animals were routinely checked for clinical signs of toxicity. At termination, sections of the heart, lung, liver, kidney, and spleen were processed for microscopic examination in approximately half of the surviving rats and guinea pigs and all of the surviving dogs and

monkeys. Sections of the brain, spinal cord, and adrenals from dogs and monkeys were also retained, as were sections of the thyroid from the dogs. The nasal passages were not examined in this study.

Exposure to 155 mg/m<sup>3</sup> ammonia did not result in any deaths or adverse clinical signs of toxicity in any of the animals. Hematological values were within normal limits for the laboratory and there were no significant gross alterations in the organs examined. Microscopic examination showed evidence of focal pneumonitis in the lung of one of three monkeys. Exposure to 770 mg/m<sup>3</sup> caused initial mild to moderate lacrimation and dyspnea in rabbits and dogs. However, these clinical signs disappeared by the second week of exposure. No significant alterations were observed in hematology tests or upon gross or microscopic examinations at the highest dose. However, consistent nonspecific inflammatory changes (not further described) were observed in the lungs from rats and guinea pigs in the high-dose group that were more extensive than in control animals (incidence not reported).

Coon et al. (1970) also exposed rats (15–51/group) continuously to ammonia (anhydrous ammonia, >99% pure) at 0, 40, 127, 262, 455, or 470 mg/m<sup>3</sup> (0, 57, 180, 371, 644, or 665 ppm) for 90–114 days. Fifteen guinea pigs, three rabbits, two dogs, and three monkeys were also exposed continuously under similar conditions to ammonia at 40 mg/m<sup>3</sup> (57 ppm) or 470 mg/m<sup>3</sup> (665 ppm). No significant effects were reported in any animals exposed to 40 mg/m<sup>3</sup> and nonspecific inflammatory changes in the lungs and kidneys (not further described) were seen in rats exposed to 127 mg/m<sup>3</sup> (180 ppm) ammonia. Exposure to 262 mg/m<sup>3</sup> (371 ppm) caused nasal discharge in 25% of the rats and nonspecific circulatory and degenerative changes in the lungs and kidneys (not further described, incidence not reported). A frank effect level at 455 mg/m<sup>3</sup> (644 ppm) was observed due to high mortality in the rats (50/51). Thirty-two of 51 rats died by day 25 of exposure; no histopathological examinations were conducted in these rats. Exposure to 470 mg/m<sup>3</sup> (665 ppm) caused death in 13/15 rats and 4/15 guinea pigs and marked eye irritation in dogs and rabbits. Dogs experienced heavy lacrimation and nasal discharge, and corneal opacity was noted in rabbits. Hematological values did not differ significantly from controls in animals exposed to 470 mg/m<sup>3</sup> (665 ppm) ammonia. Histopathological evaluation of animals exposed to 470 mg/m<sup>3</sup> (665 ppm) consistently showed focal or diffuse interstitial pneumonitis in all animals and also alterations in the kidneys (calcification and proliferation of tubular epithelium), heart (myocardial fibrosis), and liver (fatty change) in several animals of each species (incidence not reported). The study authors did not determine a NOAEL or LOAEL concentration from this study. EPA identified a NOAEL of 40 mg/m<sup>3</sup> (57 ppm) and a LOAEL of 127 mg/m<sup>3</sup> (180 ppm) based on nonspecific inflammatory changes in the lungs and kidneys in rats exposed to ammonia for 90 days.

***Stombaugh et al. (1969)***

Stombaugh et al. (1969) exposed groups of Duroc pigs (9/group) to measured concentrations of 12, 61, 103, or 145 ppm ammonia (8, 43, 73, or 103 mg/m<sup>3</sup>) continuously for 5 weeks (anhydrous ammonia, purity not reported). Endpoints evaluated included clinical signs, food consumption (measured 3 times/week), weight gain (measured weekly), and gross and microscopic examination of the respiratory tract at termination. A control group was not included. In general, exposure to ammonia reduced food consumption and body weight gain, but since a control group was not used, it is impossible to determine whether this reduction was statistically significant. Food efficiency (food consumed/kg body weight gain) was not affected. Exposure to ≥103 ppm (>73 mg/m<sup>3</sup>) ammonia appeared to cause excessive nasal, lacrimal, and mouth secretions and increased the frequency of cough (incidence data for these effects were not reported). Examination of the respiratory tract did not reveal any significant exposure-related alterations. The study authors did not identify a NOAEL or LOAEL concentration from this study. EPA did not identify a NOAEL or LOAEL value for this study due to the absence of a control group.

***Doig and Willoughby (1971)***

Doig and Willoughby (1971) exposed groups of six specific-pathogen-free derived Yorkshire Landrace pigs to 0 or 100 ppm ammonia (0 or 71 mg/m<sup>3</sup>) continuously for up to 6 weeks. The mean concentration of ammonia in the control chamber was 8 ppm (6 mg/m<sup>3</sup>). Additional groups of pigs were exposed to similar levels of ammonia as well as to 0.3 mg/ft<sup>3</sup> of ground corn dust to simulate conditions on commercial farms. Pigs were monitored daily for clinical signs and changes in behavior. Initial and terminal body weights were measured to determine body weight gain during the exposure period. Blood samples were collected prior to the start of each experiment and at study termination for hematology (packed cell volume, white blood cell, differential leukocyte percentage, and total serum lactate dehydrogenase). Two pigs (one exposed and one control) were necropsied at weekly intervals, and tracheal swabs for bacterial and fungal culture were taken. Histological examination was conducted on tissue samples from the lung, trachea, and bronchial lymph nodes.

During the first week of exposure, exposed pigs exhibited slight signs of conjunctival irritation including photophobia and excessive lacrimation. These irritation effects were not apparent beyond the first week. Measured air concentrations in the exposure chambers increased to more than 150 ppm (106 mg/m<sup>3</sup>) on two occasions. Doig and Willoughby (1971) reported that at this concentration, the signs of conjunctival irritation were more pronounced in all pigs. No adverse effects on body weight gain were apparent. Hematological parameters and gross pathology were comparable between exposed and control pigs. Histopathology revealed epithelial thickening in the trachea of exposed pigs and a corresponding decrease in the numbers



of goblet cells as shown in Table A-13. Tracheal thickening was characterized by thinning and irregularity of the ciliated brush border and an increased number of cell layers. Changes in bronchi and bronchioles characterized as lymphocytic cuffing were comparable between exposed and control pigs. Similarly, intraalveolar hemorrhage and lobular atelectasis were common findings in both exposed and control pigs. Pigs exposed to both ammonia and dust exhibited similar reactions as those pigs exposed only to ammonia, although initial signs of conjunctival irritation were more severe in these pigs and these pigs demonstrated lesions in the nasal epithelium similar to those observed in the tracheal epithelium of pigs exposed only to ammonia.

**Table A-13. Summary of histological changes observed in rats exposed to ammonia for 6 weeks**

Duration of exposure (wks)	Thickness of tracheal epithelium ( $\mu\text{m}$ )		Number of tracheal goblet cells (per 500 $\mu\text{m}$ )	
	Control	71 $\text{mg}/\text{m}^3$ $\text{NH}_3$	Control	71 $\text{mg}/\text{m}^3$ $\text{NH}_3$
1	15.7	21.0	13.6	24.0
2	20.4	29.3	22.7	10.3
3	20.4	36.6	18.9	7.3
4	21.8	36.2	18.3	10.7
5	19.3	33.2	20.2	10.0
6	18.9	41.6	20.0	1.3
Mean $\pm$ SD	19.4 $\pm$ 2.1	32.9 $\pm$ 7.2	18.9 $\pm$ 3.0	10.6 $\pm$ 7.5

Source: Doig and Willoughby (1971).

Doig and Willoughby (1971) concluded that ammonia exposure at 71  $\text{mg}/\text{m}^3$  may be detrimental to young pigs. The authors suggested that although the structural damage to the upper respiratory epithelium was slight, such changes may cause severe functional impairment. The study authors did not identify a NOAEL or LOAEL concentration from this study. EPA identified a LOAEL of 71  $\text{mg}/\text{m}^3$  (100 ppm), based on damage to the upper respiratory epithelium. A NOAEL could not be identified from this single-concentration study.

***Broderson et al. (1976)***

Broderson et al. (1976) exposed groups of Sherman rats (5/sex/dose) continuously to 10 or 150 ppm ammonia (7 or 106  $\text{mg}/\text{m}^3$ , respectively) for 75 days (anhydrous ammonia, purity not reported). The 10-ppm exposure level represented the background ammonia concentration resulting from cage bedding that was changed 3 times/week. The 150-ppm concentration resulted from cage bedding that was replaced occasionally, but never completely changed. F344 rats (6/sex/group) were exposed to ammonia in an inhalation chamber at concentrations of 0 or 250 ppm (177  $\text{mg}/\text{m}^3$ ) continuously for 35 days. Rats were sacrificed at the end of the exposure

period, and tissues were prepared for histopathological examination of nasal passages, middle ear, trachea, lungs, liver, kidneys, adrenal, pancreas, testicle, mediastinal lymph nodes, and spleen.

Histopathological changes were observed in the nasal passage of rats exposed to 150 ppm (106 mg/m<sup>3</sup>) for 75 days (from bedding) or 250 ppm (177 mg/m<sup>3</sup>) for 35 days (inhalation chamber). Nasal lesions were most extensive in the anterior portions of the nose compared with posterior sections of the nasal cavity. The respiratory and olfactory mucosa was similarly affected with a three- to fourfold increase in the thickness of the epithelium. Pyknotic nuclei and eosinophilic cytoplasm were observed in epithelial cells located along the basement membrane. Epithelial cell hyperplasia and formation of glandular crypts were observed and neutrophils were located in the epithelial layer, the lumina of submucosal glands, and the nasal passages. Dilation of small blood vessels and edema were observed in the submucosa of affected areas. Collagen replacement of submucosal glands and the presence of lymphocytes and neutrophils were also observed. No histopathological alterations were seen in control rats (10 ppm from bedding or 0 ppm from the inhalation chamber). Broderon et al. (1976) did not identify a NOAEL or LOAEL from this study. EPA identified a NOAEL of 10 ppm (7 mg/m<sup>3</sup>) and a LOAEL of 150 ppm (106 mg/m<sup>3</sup>) based on nasal lesions in rats exposed to ammonia (from bedding) for 75 days. Broderon et al. (1976) also studied the effect of ammonia inhalation on the incidence and severity of murine respiratory plasmosis in mice inoculated with *Mycoplasma pulmonis*. These results are presented in Section 4.4.3.

#### ***Gaafar et al. (1992)***

Gaafar et al. (1992) exposed 50 adult male white albino mice under unspecified conditions to ammonia vapor derived from a 12% ammonia solution (air concentrations were not reported) 15 minutes/day, 6 days/week for up to 8 weeks. Twenty-five additional mice served as controls. Starting the fourth week, 10 exposed and 5 control mice were sacrificed weekly. Following sacrifice, the nasal mucosa was removed and examined histologically. Frozen sections of the nasal mucosa were subjected to histochemical analysis (succinic dehydrogenase, nonspecific esterase, acid phosphatase, and alkaline phosphatase [ALP]). Histological examination revealed a progression of changes in the nasal mucosa from exposed rats from the formation of crypts and irregular cell arrangements at 4 and 5 weeks, epithelial hyperplasia, patches of squamous metaplasia, and loss of cilia at 6 weeks, and dysplasia in the nasal epithelium at 7 weeks. Similar changes were exaggerated in the nasal mucosa from rats sacrificed at 8 weeks. Neoplastic changes included a carcinoma in situ in the nostril of one rat sacrificed at 7 weeks that presented with loss of polarity of the epithelium, hyperchromatism and mitotic figures with an intact basement membrane, and an invasive adenocarcinoma in one rat sacrificed at 8 weeks. Histochemical results revealed changes in succinic dehydrogenase, acid

phosphatase, and ALP in exposed mice compared to controls (magnitude of change not reported), especially in areas of the epithelium characterized by dysplasia. Succinic dehydrogenase and acid phosphatase changes were largest in the superficial layer of the epithelium, although the acid phosphatase reaction was stronger in the basal and intermediate layers in areas of squamous metaplasia. ALP was strongest in the goblet cells from the basal part of the epithelium and basement membrane.

In summary, Gaafar et al. (1992) observed that ammonia exposure induces histological changes in the nasal mucosa of male mice that increase in severity over longer exposure periods. Corresponding abnormalities in histochemistry suggest altered cell metabolism and energy production, cell injury, cell proliferation, and possible chronic inflammation and neoplastic transformation. The study authors did not determine a NOAEL or LOAEL concentration from this study. EPA did not identify a NOAEL or LOAEL because air concentrations were not reported in the study.

#### ***Done et al. (2005)***

Done et al. (2005) continuously exposed groups of 24 weaned pigs of several breeds in an experimental facility to atmospheric ammonia at 0, 0.6, 10, 18.8, or 37 ppm (0, 0.4, 7, 13.3, or 26 mg/m<sup>3</sup>) and 1.2, 2.7, 5.1, or 9.9 mg/m<sup>3</sup> inhalable dust for 5 weeks (16 treatment combinations). The concentrations of ammonia and dust used were representative of those found commercially. A split-plot design was used in which one dust concentration was allocated to a “batch” (which involved five lots of 24 pigs each) and the four ammonia concentrations were allocated to the four lots within that batch. The fifth lot served as a control. Each batch was replicated. In other words, there were four dust concentrations × four ammonia concentrations plus four controls each replicated once, giving 40 lots in total. In total, 960 pigs (460 males and 500 females) were used in the study; 560 pigs were given postmortem examinations. Blood was collected from 15 sows before the start of the experiment and tested for porcine reproductive and respiratory syndrome virus and swine influenza. Five sentinel pigs were sacrificed at the start of each batch and lung, nasal cavity, and trachea, together with material from any lesions, were examined postmortem and subjected to bacteriological examination. Postmortem examination involved examining the pigs’ external surfaces for condition and abnormalities, examination of the abdomen for peritonitis and lymph node size, internal gross examination of the stomach for abnormalities, and gross examination of the nasal turbinates, thorax, larynx, trachea, tracheobronchial lymph nodes, and lung. Pigs were monitored for clinical signs (daily), growth rate, feed consumption, and feed conversion efficiency (frequency of observations not specified). After 37 days of exposure, eight pigs from each lot were sacrificed. Swabs of the nasal cavity and trachea were taken immediately after death for microbiological analysis, and the pigs were grossly examined postmortem. On day 42, the remaining pigs were removed from the exposure

facility and transferred to a naturally ventilated building for a recovery period of 2 weeks. Six pigs from each lot were assessed for evidence of recovery and the remaining 10 pigs were sacrificed and examined postmortem.

The pigs in this study demonstrated signs of respiratory infection and disease common to young pigs raised on a commercial farm (Done et al., 2005). The different concentrations of ammonia and dust did not have a significant effect on the pathological findings in pigs or on the incidence of pathogens. In summary, exposure to ammonia and inhalable dust at concentrations commonly found at pig farms was not associated with increase in the incidence of respiratory or other disease. The study authors did not identify a NOAEL or LOAEL concentration from this study. EPA identified a NOAEL of 26 mg/m<sup>3</sup> (37 ppm), based on the lack of respiratory or other disease following exposure to ammonia in the presence of respirable dust.

### ***Weatherby (1952)***

Weatherby (1952) exposed a group of 12 guinea pigs (strain not reported) to a target concentration of 170 ppm (120 mg/m<sup>3</sup>) 6 hours/day, 5 days/week for up to 18 weeks (anhydrous ammonia, purity not reported). The actual concentration measured in the exposure chamber varied between 140 (99 mg/m<sup>3</sup>) and 200 ppm (141 mg/m<sup>3</sup>). A control group of six guinea pigs was exposed to room air. All animals were weighed weekly. Interim sacrifices were conducted at intervals of 6 weeks (four exposed and two control guinea pigs) and the heart, lungs, liver, stomach and small intestine, spleen, kidneys, and adrenal glands were removed for microscopic examination; the upper respiratory tract was not examined. No exposure-related effects were observed in guinea pigs sacrificed after 6 or 12 weeks of exposure. However, guinea pigs exposed to ammonia for 18 weeks showed considerable congestion of the spleen, liver, and kidneys, and early degenerative changes in the adrenal gland. The most severe changes occurred in the spleen and the least severe changes occurred in the liver. The spleen of exposed guinea pigs contained a large amount of hemosiderin and kidney tubules showed cloudy swelling with precipitated albumin in the lumens and some urinary casts (cylindrical structures indicative of disease). The incidence of histopathological lesions was not reported. EPA identified the ammonia concentration of 170 ppm (120 mg/m<sup>3</sup>) to be a LOAEL based on congestion of the spleen, liver, and kidneys and early degenerative changes in the adrenal gland. A NOAEL could not be identified in this single-concentration study.

### ***Curtis et al. (1975)***

Curtis et al. (1975) exposed groups of crossbred pigs (4–8/group) to 0, 50, or 75 ppm ammonia (0, 35, or 53 mg/m<sup>3</sup>) continuously for up to 109 days (anhydrous ammonia, >99.9% pure). Endpoints evaluated included clinical signs and body weight gain. At termination, all pigs were subjected to a complete gross examination and representative tissues from the

respiratory tract, the eye and its associated structures, and the visceral organs (not specified) were taken for subsequent microscopic examination. Weight gain was not significantly affected by exposure to ammonia and the results of the evaluations of tissues and organs were unremarkable. The turbinates, trachea, and lungs of all pigs were classified as normal. The study authors did not identify a NOAEL or LOAEL from this study. EPA identified a NOAEL of 75 ppm (53 mg/m<sup>3</sup>) based on the absence of effects occurring in pigs exposed to ammonia; a LOAEL was not identified from this study.

## **Reproductive/Developmental Studies**

### ***Diekman et al. (1993)***

Diekman et al. (1993) reared 80 crossbred gilts (young female pigs) in a conventional grower from 2 to 4.5 months of age; pigs were exposed naturally during that time to *Mycoplasma hypopneumoniae* and *Pasteurella multocida*, which causes pneumonia and atrophic rhinitis, respectively. At 4.5 months of age, the pigs were transferred to environmentally regulated rooms where they were exposed continuously to a mean concentration of ammonia of 7 ppm (range, 4–12 ppm) (5 mg/m<sup>3</sup>; range, 3–8.5 mg/m<sup>3</sup>) or 35 ppm (range, 26–45 ppm) (25 mg/m<sup>3</sup>; range, 18–32 mg/m<sup>3</sup>) for 6 weeks (Diekman et al., 1993). A control group was not included in this study. The low concentration of ammonia was obtained by the flushing of manure pits weekly and the higher concentration of ammonia was maintained by adding anhydrous ammonia (purity not reported) to manure pits that were not flushed. After 6 weeks of exposure, 20 gilts from each group were sacrificed and sections of the lungs and snout were examined for gross lesions. In addition, the ovaries, uterus, and adrenal glands were weighed. The remaining 20 gilts/group were mated with mature boars and continued being exposed to ammonia until gestation day 30, at which time they were sacrificed. Fetuses were examined for viability, weight, and length, and the number of corpora lutea were counted.

Gilts exposed to 35 ppm (25 mg/m<sup>3</sup>) ammonia gained less weight than gilts exposed to 7 ppm (5 mg/m<sup>3</sup>) during the first 2 weeks of exposure (7% decrease,  $p < 0.01$ ), but growth rate recovered thereafter. Mean scores for lesions in the lungs and snout were not statistically different between the two exposure groups and there were no differences in the weight of the ovaries, uterus, and adrenals. Age at puberty did not differ significantly between the two groups, but gilts exposed to 35 ppm (25 mg/m<sup>3</sup>) ammonia weighed 7% less ( $p < 0.05$ ) at puberty than those exposed to 7 ppm (5 mg/m<sup>3</sup>). In gilts that were mated, conception rates were similar between the two groups (94.1 versus 100% in low versus high exposure). At sacrifice on day 30 of gestation, body weights were not significantly different between the two groups. In addition, there were no significant differences between the two groups regarding percentage lung tissue with lesions and mean snout grade. Number of corpora lutea, number of live fetuses, and weight and length of the fetuses on day 30 of gestation were not significantly different between

treatment groups. Diekman et al. (1993) did not identify NOAEL or LOAEL concentrations for maternal or fetal effects in this study. The EPA did not identify NOAEL or LOAEL values from this study due to the absence of a control group and due to confounding by exposure to bacterial and mycoplasma pathogens.

### **Acute and Short-term Inhalation Toxicity Studies**

(see Table A-14)

**Table A-14. Acute and short-term inhalation toxicity studies of ammonia in animals**

Animal	Concentration (mg/m <sup>3</sup> )	Duration	Parameter examined	Results	Reference
<b>Rats</b>					
Female Porton rats (16/group)	0 or 141 (0 or 200 ppm)	Continuous exposure for 4, 8, or 12 d	Histology of the trachea	4 d: transitional-stratified appearance of the epithelium 8 d: gross change with disappearance of cilia and stratification on luminal surface 12 d: increased epithelial thickness	Gamble and Clough, 1976
Male OFA rats (27/group)	0 or 354 (0 or 500 ppm)	Continuous exposure for 1–8 wks	Body weight, organ weights, airway structure, cell population, alveolar macrophages	No deaths occurred; decreased food consumption and body weight gain; increased lung and kidney weights; at 3 wks, nasal irritation and upper respiratory tract inflammation, but no effect on lower airways; slight decrease in alveolar macrophages; no histopathological effects seen at 8 wks, suggesting adaptation to exposure	Richard et al., 1978a
Male and female Wistar rats (5/sex/group)	9,898–37,825 (14,000–53,500 ppm); no mention of control group	10, 20, 40, or 60 min	Clinical signs, pathology, LC <sub>50</sub>	Eye irritation, eye and nasal discharge, dyspnea; hemorrhagic lungs on necropsy; 10-min LC <sub>50</sub> = 28,492 mg/m <sup>3</sup> (40,300 ppm) 20-min LC <sub>50</sub> = 20,217 mg/m <sup>3</sup> (28,595 ppm) 40-min LC <sub>50</sub> = 14,352 mg/m <sup>3</sup> (20,300 ppm) 60-min LC <sub>50</sub> = 11,736 mg/m <sup>3</sup> (16,600 ppm)	Appelman et al., 1982
Male Crl:COBS CD (Sprague-Dawley) rats (8/group)	11, 23, 219, and 818 (15, 32, 310, and 1,157 ppm); arterial blood collected prior to exposure served as control	24 hrs	Clinical signs, histology, blood pH, blood gas measurement	No clinical signs of toxicity, no histologic differences in tracheal or lung sections, no change in blood pH or pCO <sub>2</sub> , minor changes in pO <sub>2</sub>	Schaerdel et al., 1983
Male Crl:COBS CD (Sprague-Dawley) rats (14/group)	3, 17, 31, 117, and 505 (4, 24, 44, 165, and 714 ppm); arterial blood collected prior to exposure served as control	3 and 7 d	Hepatic cytochrome P450 content and ethylmorphine-N-demethylase activity	No dose-related change in P450 content or enzyme activity	Schaerdel et al., 1983

**Table A-14. Acute and short-term inhalation toxicity studies of ammonia in animals**

Animal	Concentration (mg/m <sup>3</sup> )	Duration	Parameter examined	Results	Reference
Male Long-Evans rats (4/group)	70 and 212 (100 and 300 ppm); results were compared to "control", but it was not clear if the authors were referring to historical or concurrent controls	6 hrs	Clinical signs, behavioral observation	Decreased running, decreased activity	Tepper et al., 1985
Female Wistar rats (5/group)	0, 18, or 212 (0, 25, or 300 ppm)	6 hrs/d for 5, 10, or 15 d	Blood ammonia, urea, glutamine, and pH; brain ammonia, glutamine; histopathology of lungs, heart, liver, and kidneys (light and electron microscopy)	Brain and blood glutamine increased; slight acidosis (i.e., decreased blood pH) 212 mg/m <sup>3</sup> (300 ppm); lung hemorrhage observed in some exposed rats	Manninen et al., 1988
Female Wistar rats (5/group)	0, 18, or 212 (0, 25, or 300 ppm)	6 hrs/d for 5 d	Plasma and brain ammonia and amino acid analysis	Increase in brain and plasma glutamine concentrations, increased brain/plasma ratio of threonine	Manninen and Savolainen, 1989
Female albino rats (8/group)	0, 848–1,068 (1,200–1,510 ppm)	3 hrs	Mortality, respiratory movement, and O <sub>2</sub> consumption	No deaths reported; inhibition of external respiration and decreased O <sub>2</sub> consumption	Rejniuk et al., 2007
Male Sprague-Dawley rats (number/group not given)	Air concentration not given; ammonia vapor added to inspiratory line of ventilator; controls exposed to same volume of room air	20 sec	Activity of upper thoracic spinal neurons	Lower airway irritation, activation of vagal pulmonary afferents and upper thoracic spinal neurons receiving pulmonary sympathetic input	Qin et al., 2007a, b



**Table A-14. Acute and short-term inhalation toxicity studies of ammonia in animals**

Animal	Concentration (mg/m <sup>3</sup> )	Duration	Parameter examined	Results	Reference
Male Wistar rats (4/group)	0, 92–1,243 (130–1,758 ppm); the preexposure period was used as the control for each animal	45 min	Airway reflexes by the changes in respiratory patterns elicited by ammonia in either dry, steam-humidified, or aqueous aerosol containing atmospheres	Ammonia-induced upper respiratory tract sensory irritation is not affected to any appreciable extent by wet atmospheres (with or without aerosol) up to 1,243 mg/m <sup>3</sup>	Li and Pahluhn, 2010
Male rats (10/group)	0, 848–1,068 (0, 1,200–1,510 ppm) at the beginning and end of the exposure period)	3 hrs	Oxygen consumption	Decreased O <sub>2</sub> consumption	Rejniuk et al., 2008
<b>Mice</b>					
Mice (20/group, species, sex not specified)	6,080–7,070 (8,600–10,000 ppm); no controls	10 min	LC <sub>50</sub>	LC <sub>50</sub> = 7,056 mg/m <sup>3</sup> (9,980 ppm)	Silver and McGrath, 1948
Male Swiss albino mice (4/group)	5,050–20,199 (7,143–28,571 ppm); no controls	30–120 min	LC <sub>50</sub>	LC <sub>50</sub> (30 min) = 15,151 mg/m <sup>3</sup> (21,430 ppm)	Hilado et al., 1977
Albino mice (sex not specified; 6/dose)	Air concentration not measured; results were compared to “control”, but it was not clear if the authors were referring to historical or concurrent controls	Continuously for 2 or 5 d	Regional brain metabolism (cerebral cortex, cerebellum, brainstem); MAO, enzymes of glutamate and GABA metabolism, and (Na <sup>+</sup> -K <sup>+</sup> )-ATPase; amino acid levels in the brain	Altered activities of MAO, glutamate decarboxylase, ALT, GABA-transaminase, and (Na <sup>+</sup> -K <sup>+</sup> )-ATPase; increased alanine and decreased glutamate	Sadasivudu et al., 1979; Sadasivudu and Murthy, 1978

**Table A-14. Acute and short-term inhalation toxicity studies of ammonia in animals**

Animal	Concentration (mg/m <sup>3</sup> )	Duration	Parameter examined	Results	Reference
Male Swiss-Webster mice (4/group)	Concentrations not given; baseline levels established prior to exposure	10 min	Reflex decrease in respiratory rate was used as an index of sensory irritation; RD <sub>50</sub> = the concentration associated with a 50% decrease in the respiratory rate	RD <sub>50</sub> = 214 mg/m <sup>3</sup> (303 ppm)	Kane et al., 1979
Male albino ICR mice (12/dose)	0–3,436 (0–4,860 ppm)	1 hr (14-d followup)	Clinical signs, body weight, organ weight, histopathology, LC <sub>50</sub>	Eye and nose irritation, dyspnea, ataxia, seizures, coma, and death; decreased body weight and increased liver to body weight ratio in mice surviving to 14 d; effects in the lung included focal pneumonitis, atelectasis, and intralveolar hemorrhage; liver effects included hepatocellular swelling and necrosis, vascular congestion; LC <sub>50</sub> = 2,990 mg/m <sup>3</sup> (4,230 ppm)	Kapeghian et al., 1982
Male Swiss-Webster mice (16–24/group)	0 or 216 (0 or 305 ppm)	6 hrs/d for 5 d	Respiratory tract histopathology	Lesions in the nasal respiratory epithelium (moderate inflammation, minimal necrosis, exfoliation, erosion, or ulceration); no lesions in trachea or lungs	Buckley et al., 1984
Male albino ICR mice (12/dose)	0, 954, 3,097, or 3,323 (0, 1,350, 4,380, or 4,700 ppm)	4 hrs	Hexobarbitol sleeping time, microsomal protein content, liver microsomal enzyme activity	Increased hexobarbitol sleeping time (3,097 mg/m <sup>3</sup> ), increased microsomal protein content and aminopyrene-N-deethylase and aniline hydroxylase activities (3,323 mg/m <sup>3</sup> )	Kapeghian et al., 1985
Male albino ICR mice (12/dose)	0, 81, or 233 (0, 115, or 330 ppm)	4 hrs/d for 4 d	Microsomal protein content, liver microsomal enzyme activity	No dose-dependent effects on microsomal enzymes	Kapeghian et al., 1985
Male Swiss mice (6/dose)	71 and 212 (100 and 300 ppm); data collected during the 2 d separating each ammonia exposure served as the control baseline	6 hrs	Clinical signs, behavioral observation	Decreased running, decreased activity	Tepper et al., 1985

**Table A-14. Acute and short-term inhalation toxicity studies of ammonia in animals**

Animal	Concentration (mg/m <sup>3</sup> )	Duration	Parameter examined	Results	Reference
Mice (4/group)	3, 21, 40, or 78 (4, 30, 56, or 110 ppm), lowest measured concentration was the nominal control group	2 d	Responses to atmospheric ammonia in an environmental preference chamber with four chambers of different concentrations of ammonia	No distinguishable preference for, or aversion to, different NH <sub>3</sub> concentrations	Green et al., 2008
Male OF1 mice (4/group)	0, 92–1,243 (130–1,758 ppm); the preexposure period was used as the control for each animal	45 min	Airway reflexes by the changes in respiratory patterns elicited by ammonia in either dry, steam-humidified, or aqueous aerosol containing atmospheres	Ammonia-induced upper respiratory tract sensory irritation is not affected to any appreciable extent by wet atmospheres (with or without aerosol) up to 1,243 mg/m <sup>3</sup>	Li and Pahluhn, 2010
<b>Rabbits</b>					
Female New Zealand White rabbits (7–9/dose)	0, 35, or 71 (0, 50, or 100 ppm)	2.5–3.0 hrs	Lung function	Decreased respiratory rate at both concentrations	Mayan and Merilan, 1972
Rabbits (species, sex, number/dose not specified)	0, 707–14,140 (0, 1,000–20,000 ppm)	15–180 min	Lung function, death	Bradycardia at 1,768 mg/m <sup>3</sup> (2,500 ppm); arterial pressure variations and blood gas modifications (acidosis indicated by decreased pH and increased pCO <sub>2</sub> ) at 3,535 mg/m <sup>3</sup> (5,000 ppm); death occurred at 4,242 mg/m <sup>3</sup> (6,000 ppm)	Richard et al., 1978b
New Zealand White rabbits (16 total; 8/dose)	Peak concentrations: 24,745–27,573 mg/m <sup>3</sup> (35,000–39,000 ppm); concurrent controls tested	4 min	Lung function, heart rate, blood pressure, blood gases	Lung injury was evident after 2–3 min (decreased pO <sub>2</sub> increased airway pressure)	Sjöblom et al., 1999

**Table A-14. Acute and short-term inhalation toxicity studies of ammonia in animals**

Animal	Concentration (mg/m <sup>3</sup> )	Duration	Parameter examined	Results	Reference
<b>Cats</b>					
Mixed breed stray cats (5/group)	0 or 707 (0 or 1,000 ppm)	10 min	Lung function, lung histopathology on 1, 7, 21, and 35 d postexposure	Lung function deficits were correlated with lung histopathology; acute effects were followed by chronic respiratory dysfunction (secondary bronchitis, bronchiolitis, and bronchopneumonia)	Dodd and Gross, 1980
<b>Pigs</b>					
Young pigs (2/group)	0, 35, 71, or 106 (0, 50, 100, or 150 ppm)	Continuous exposure for 4 wks	Clinical signs, food consumption, body weight, gross necropsy, organ weight, histopathology	Lethargy and histopathological alterations in the tracheal and nasal epithelium were observed at 71 and 106 mg/m <sup>3</sup> ; decreased body weight occurred at all concentrations (7–19% decrease from control)	Drummond et al., 1980
Male and female Belgian Landrace pigs (4/group)	0, 18, 35, or 71 (0, 25, 50, or 100 ppm)	6 d	Clinical signs, body weight, lung function	Lethargy and decreased body weight gain (all concentrations); no effect on lung microvascular hemodynamics and permeability	Gustin et al., 1994
Belgian Landrace pigs (4/group)	0, 18, 35, or 71 (0, 25, 50, or 100 ppm)	6 d	Clinical signs, body weight, neutrophil count, and albumin in nasal lavage fluid	Nasal irritation (increased neutrophils in nasal lavage fluid) and decreased body weight gain at all concentrations	Urbain et al., 1994
Landrace-Yorkshire pigs (4/group)	0 or 42 (0 or 60 ppm)	15 min/d for 8 wks	Thromboxane A2 (TXA2), leukotriene C4 (LTC4), and prostaglandin (PGI2) production	Significant increases in TXA2 and LTC4, no significant effect on PGI2 production	Chaung et al., 2008
Hybrid gilts (White synthetic Pietrain, white Duroc, Landrace, Large White) (14 pigs/group)	<4 (control) or 14 (<5 or 20 ppm)	15 wks	Salivary cortisol, adrenal morphometry, body weight, food conversion efficiency, general health scores, play behavior; reaction to light and noise intensity tested concurrently	Decreased salivary cortisol, larger adrenal cortices, less play behavior, no measurable impact on productivity or physiological parameters	O'Connor et al., 2010

**Table A-14. Acute and short-term inhalation toxicity studies of ammonia in animals**

Animal	Concentration (mg/m <sup>3</sup> )	Duration	Parameter examined	Results	Reference
<b>Cattle</b>					
Male Holstein calves (number/group not specified)	0, 35, or 71 (0, 50, or 100 ppm)	2.5 hrs	Respiration rate, clinical chemistry	No significant effect on respiration, BUN, pH, pO <sub>2</sub> , or pCO <sub>2</sub>	Mayan and Merilan, 1976
Brahman/Charolais (group size not reported)	<6 (control), 11, 23, or 34 (<8 [control], 16, 32, or 48 ppm)	12 d	Behavioral activity, body weight, analysis of bronchioalveolar lavage (BAL) fluid, hematological variables (hemoglobin, mean cell volume, platelet volume, eosinophils, neutrophils, total white cell count, monocytes)	Increased lacrimation, nasal secretions, coughing, increased standing (as opposed to lying down), dose-related increases in macrophage activity and neutrophil percentage in BAL fluid indicating lung inflammation, no effect on hematological variables or body weight	Phillips et al., 2010
Holstein Friesian and Brown Swiss (10 of each breed)	~0, 4, and 15, (0.3 × 10 <sup>6</sup> , 6, and 21 ppm)	10 d at each concentration	Respiration and pulse rate, blood gas parameters	Respiration and pulse rates were higher in inadequately ventilated barns (elevated ammonia and CO <sub>2</sub> )	Sabuncuoglu et al., 2008

## Genotoxicity Studies

**Table A-15. Summary of in vitro studies of ammonia genotoxicity**

End point	Test system	Concentration <sup>a</sup>	Results <sup>b</sup>		Comments	Reference
			Without activation	With activation		
<b>Genotoxicity studies in prokaryotic organisms</b>						
Reverse mutation	S. typhimurium (TA98, TA100, TA1535, TA1537, TA1538); E. coli (WP2 uvrA)	25,000 ppm (17,675 mg/m <sup>3</sup> ) ammonia vapor	–	– <sup>c</sup>	Plate incorporation assay with ammonia vapor	Shimizu et al., 1985
Reverse mutation, streptomycin resistance	E. coli (B/SD-4 strains)	0.25% ammonia	+ (T) <sup>d</sup>	No data	Plate incorporation assay	Demerec et al., 1951
<b>Genotoxicity studies in nonmammalian eukaryotic organisms</b>						
Chromosomal aberrations	Chick fibroblasts	Not available	+	No data	Cultures immersed in buffered ammonia solution	Rosenfeld, 1932

<sup>a</sup>Lowest effective dose for positive results; highest dose tested for negative or equivocal results.

<sup>b</sup>+ = positive; – = negative; (T) = toxicity reported.

<sup>c</sup>Exogenous metabolic activation used; S9 liver fractions from male Sprague-Dawley rats pretreated with pentachlorobiphenyl (KC500).

<sup>d</sup>Only positive in treatments using toxic levels of NH<sub>3</sub> (98% lethality).

**Table A-16. Summary of in vivo studies of ammonia genotoxicity**

End point	Test system	Dose/concentration <sup>a</sup>	Results <sup>b</sup>	Comments	Reference
<b>Genotoxicity studies in mammalian systems in vivo</b>					
Chromosomal aberrations	Human lymphocytes	88.28 µg/m <sup>3</sup>	+ <sup>c</sup>	22 healthy workers occupationally exposed to ammonia in an Indian fertilizer factory (ambient concentration of 0.0883 mg/m <sup>3</sup> ); 42 nonexposed factory staff served as control subjects	Yadav and Kaushik, 1997
Sister chromatid exchange	Human lymphocytes	88.28 µg/m <sup>3</sup>	+ <sup>c</sup>		Yadav and Kaushik, 1997

**Table A-16. Summary of in vivo studies of ammonia genotoxicity**

End point	Test system	Dose/ concentration <sup>a</sup>	Results <sup>b</sup>	Comments	Reference
Micronucleus formation	Swiss albino mice	12.5–50 mg/kg	+	Intraperitoneal injections for 24–48 hr expression times	Yadav and Kaushik, 1997
Sex-linked recessive lethal mutations	Drosophila melanogaster	Not available	– (T)	Inhalation exposure to ammonia as vapor at a concentration killing the majority of flies	Auerbach and Robson, 1947
Dominant lethal mutations	D. melanogaster	Not available	– (T)	Inhalation exposure to ammonia as vapor at a concentration killing the majority of flies	Auerbach and Robson, 1947
Dominant lethal mutations	D. melanogaster	Not available	+ (T) <sup>d</sup>	Dominant lethal assay; inhalation exposure up to 318 mg/m <sup>3</sup> ammonia, 6 hrs/d for 5 d	Lobasov and Smirnov, 1934

<sup>a</sup>Lowest effective dose for positive results; highest dose tested for negative or equivocal results.

<sup>b</sup>+ = positive; – = negative; (T) = toxicity reported.

<sup>c</sup>Frequencies of chromosomal aberrations, sister chromatid exchanges, and mitotic index all increased with increased duration of exposure. This study is difficult to interpret because of small samples sizes and confounding by smoking and alcohol consumption. In addition, the levels of ammonia in the plant seemed low compared to other fertilizer plant studies (see, for example, Section 1.1; Rahman et al., 2007; Ali, 2001; Ballal et al., 1998); the accuracy and reliability of the sampling and measurement could not be determined.

<sup>d</sup>Survival after exposure was <2%.

## APPENDIX B: OTHER AGENCY AND INTERNATIONAL ASSESSMENTS

Toxicity values and other health-related regulatory limits developed by government agencies and expert organizations are summarized in Table B-1.

**Table B-1. Other Agency Assessments**

Agency	Toxicity value
Agency for Toxic Substances and Disease Registry (ATSDR, 2004)	Chronic inhalation minimal risk levels (MRL) = 0.1 ppm (0.07 mg/m <sup>3</sup> ), based on lack of significant alterations in lung function in chronically exposed workers (Holness et al., 1989) and a composite uncertainty factor (UF) of 30 (10 for human variability and a modifying factor of 3 for the lack of reproductive and developmental studies)
National Advisory Committee for Acute Exposure Guideline Levels for Hazardous Substances (NRC, 2007)	AEGL-1 (nondisabling) – 30 ppm (21 mg/m <sup>3</sup> ) for exposures ranging from 10 minutes to 8 hours to protect against mild irritation, based on mild irritation in human subjects AEGL-2 (disabling) – 220 ppm (154 mg/m <sup>3</sup> ) for a 10-minute exposure to 110 ppm (77 mg/m <sup>3</sup> ) for an 8-hour exposure, based on irritation in human subjects AEGL-3 (lethal) – 2,700 ppm (1,888 mg/m <sup>3</sup> ) for a 10-minute exposure to 390 ppm (273 mg/m <sup>3</sup> ) for an 8-hour exposure, based on lethality in the mouse
American Conference of Governmental Industrial Hygienists (ACGIH, as cited in NIOSH, 2002)	Threshold Limit Value (TLV) – 25 ppm (17 mg/m <sup>3</sup> ) time weighted average (TWA) for an 8-hour workday and a 40-hour work week
National Institute of Occupational Safety and Health (NIOSH, 2011)	Recommended Exposure Limit (REL) – 25 ppm (18 mg/m <sup>3</sup> ) TWA for up to a 10-hour workday and a 40-hour work week
Occupational Safety and Health (OSHA, 2011)	Permissible Exposure Limit (PEL) for general industry – 50 ppm (35 mg/m <sup>3</sup> ) TWA for an 8-hour workday
Food and Drug Administration (FDA, 2011a, b)	Ammonium hydroxide: direct food substance affirmed as generally recognized as safe (21 CFR 184.1139); substance generally recognized as safe when used in accordance with good manufacturing or feeding practices (21 CFR 582.1139)



# **APPENDIX C: SUMMARY OF EXTERNAL PEER REVIEW AND PUBLIC COMMENTS AND DISPOSITION**

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**To be added**