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Toxicological Review of tert-Butyl Alcohol (tert-butanol)

(CASRN 75-65-0)

In Support of Summary Information on the Integrated Risk Information System (IRIS)

Supplemental Information

September 2014

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Integrated Risk Information System National Center for Environmental Assessment Office of Research and Development U.S. Environmental Protection Agency Washington, DC

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ABBREVIATIONS

AIC ARCO BMD BMDL BMDS BMDU BMR BW CA CFR CHO CYP450 DMSO DNA EDTA EDTA EPA ETBE HBA HL IC50 i.p. i.v. MFO MPD MTBE NADPH NTP OH PBPK POD SD	Akaike's information criterion ARCO Chemical Company benchmark dose benchmark dose lower confidence limit Benchmark Dose Software benchmark dose upper confidence limit benchmark response body weight chromosomal aberration Code of Federal Regulations Chinese hamster ovary cytochrome P450 dimethyl sulfoxide deoxyribonucleic acid ethylenediaminetetraacetic acid U.S. Environmental Protection Agency ethyl tert-butyl ether 2-hydroxyisobutyrate human leukemia half maximal inhibitory concentration intraperitoneal intravenous mixed function oxidase 2-methyl-1,2-propanediol methyl tert-butyl ether nicotinamide adenine dinucleotide phosphate National Toxicology Program hydroxyl radical physiologically based pharmacokinetic point of departure standard deviation
SD SE	
TWA	time-weighted average

APPENDIX A. OTHER AGENCY AND INTERNATIONAL ASSESSMENTS

3 A.1. OTHER AGENCY AND INTERNATIONAL ASSESSMENTS

4

Table A-1. Other Agency and International Assessments.

Organization	Toxicity value					
American Conference of Governmental Industrial Hygienists (<u>ACGIH, 2012</u>)	Threshold Limit Value – 100 ppm (303.1493 mg/m ³) time-weighted average (TWA) for an 8-hour workday and a 40-hour work week					
National Institute of Occupational Safety and Health (<u>NIOSH, 2007</u>)	Recommended Exposure Limit – 100 ppm (300 mg/m ³) TWA for up to a 10-hour workday and a 40-hour work week					
Occupational Safety and Health (<u>OSHA, 2006</u>)	Permissible Exposure Limit for general industry – 100 ppm (300 mg/m ³) TWA for an 8-hour workday					
Food and Drug Administration (<u>FDA, 2011a</u> , <u>b</u>)	<i>tert</i> -Butyl alcohol: Indirect food additive that may be safely used in surface lubricants employed in the manufacture of metallic articles that contact food, subject to the provisions of this section (21 Code of Federal Regulations [CFR] 178.3910); substance may be used as a defoaming agent (21 CFR 176.200).					

APPENDIX B. INFORMATION IN SUPPORT OF HAZARD IDENTIFICATION AND DOSE-RESPONSE ANALYSIS

4 **B.1. TOXICOKINETICS**

5 There is little information on the absorption, distribution, metabolism, or excretion of *tert*-6 butyl alcohol (tert-butanol) in humans. The studies identified were conducted in conjunction with 7 methyl tert-butyl ether (MTBE) and ethyl tert-butyl ether (ETBE) because *tert*-butanol is a primary 8 metabolite of these two compounds. Several studies examining some aspect of the toxicokinetic 9 behavior of *tert*-butanol in animals have been identified. Many of the studies were carried out in 10 conjunction with other specific endpoints (e.g., developmental). ARCO (1983) determined that 11 there were no differences in the pharmacokinetics of *tert*-butanol following either oral (i.e., gavage) 12 or inhalation exposure. Although there is some information available after both oral and inhalation 13 exposures, many studies also administered tert-butanol via intraperitoneal (i.p.) or intravenous 14 (i.v.) injection. Although these studies do not inform the absorption of *tert*-butanol, they can 15 provide information on distribution, metabolism, and excretion.

16 **B.1.1.** Absorption

17 Extensive *tert*-butanol toxicity testing data submitted by industry to the U.S. Environmental 18 Protection Agency (EPA) under Section 8(e) of the Toxic Substances Control Act and other 19 reporting requirements indicate that *tert*-butanol is rapidly absorbed after oral administration. 20 Very little of the administered dose was excreted in the feces of rats, indicating 99% of the 21 compound was absorbed. Comparable blood levels of *tert*-butanol and its metabolites have been 22 observed after acute oral (350 mg/kg) and inhalation (6,164 mg/m³ for 6 hours) exposures (ARCO, 23 1983); however, the absorption rate after inhalation exposure could not be determined because the 24 blood was saturated with radioactivity after 6 hours of a 6,164-mg/m³ exposure. Based on blood 25 concentrations, absorption was found to be complete at 1.5 hours following repeated oral exposure, 26 with an apparent zero-order decline in *tert*-butanol concentration for the majority of the 27 elimination phase, thus indicating that previous exposures did not affect the absorption of *tert*-28 butanol (Faulkner et al., 1989).

29 **B.1.2.** Distribution

30 The available animal data suggest that *tert*-butanol is distributed throughout the body

following oral, inhalation, and injection exposures (<u>Poet et al., 1997</u>; <u>Faulkner et al., 1989</u>; <u>ARCO</u>,

- 32 <u>1983</u>). <u>Nihlén et al. (1995)</u> determined a partition coefficient for *tert*-butanol using blood from
- 33 human volunteers. The study was approved by an ethical review board, and informed consent was

obtained from the participants. Their results indicated that *tert*-butanol would rapidly move from
 the blood into the tissues.

3 tert-Butanol was found in the kidney, liver, and blood of both sexes of rat following oral 4 exposure, but male rats retained more *tert*-butanol compared with females (Williams and Borghoff, 5 2001). Radioactivity was found in the low-molecular-weight protein fraction from the kidney 6 cytosol in male rats but not female rats, indicating that *tert*-butanol or one of its metabolites was 7 bound to α_{2u} -globulin. Further analysis determined that it was *tert*-butanol that was bound and not 8 its metabolite acetone. The majority of *tert*-butanol in the kidney cytosol was eluted as the free 9 compound in both males and females, but a small amount was also found associated with the high-10 molecular-weight protein fraction in both males and females. Borghoff et al. (2001) found similar 11 results in rats after inhalation exposure. Male rat kidney-to-blood ratios were significantly elevated 12 over female ratios at all dose levels and exposure durations. Although the female *tert*-butanol 13 kidney-to-blood ratio remained similar with both duration and concentration, the male tert-butanol 14 kidney-to-blood ratio increased with duration. The liver-to-blood ratios were similar regardless of 15 exposure duration, concentration, or sex. Both of these studies indicate distribution to the liver and 16 kidney with kidney retention of *tert*-butanol in the male rat.

17 B.1.3. Metabolism

18 A general metabolic scheme for *tert*-butanol, illustrating the biotransformation in rats and

19 humans, is shown in Figure B–1 below. Urinary metabolites of *tert*-butanol in a human male

20 volunteers who ingested a gelatin capsule containing 5 mg/kg [¹³C]-*tert*-butanol were reported to

- 21 be 2-methyl-1,2-propanediol (MPD) and 2-hydroxyisobutyrate (<u>Bernauer et al., 1998</u>). Minor
- 22 metabolites of unconjugated *tert*-butanol, *tert*-butanol glucuronides, and traces of the sulfate
- 23 conjugate also were detected. The study was approved by an ethical review board; however, no
- 24 information regarding informed consent was reported. In the same study, 2-hydroxyisobutyrate,
- 25 MPD, and *tert*-butanol sulfate were identified as major metabolites in rats, while acetone, *tert*-
- 26 butanol, and *tert*-butanol glucuronides were identified as minor metabolites (<u>Bernauer et al., 1998</u>).
- Baker et al. (1982) found that *tert*-butanol was a source of acetone, but also may have stimulated
 acetone production from other sources.

29 There are no studies that identify specific enzymes responsible for the biotransformation of 30 *tert*-butanol. Using purified enzymes from Sprague-Dawley rats or whole-liver cytosol from Wistar

31 rats, alcohol dehydrogenase had negligible or no activity toward *tert*-butanol (<u>Videla et al., 1982</u>;

- 32 <u>Arslanian et al., 1971</u>). Other in vitro studies have implicated the liver microsomal mixed function
- 33 oxidase (MFO) system, namely cytochrome P-450 (CYP450) (<u>Cederbaum et al., 1983</u>; <u>Cederbaum</u>
- 34 and Cohen, 1980). In the first study, incubation of *tert*-butanol at 35 mM with Sprague-Dawley rat
- 35 liver microsomes and a nicotinamide adenine dinucleotide phosphate- (NADPH) generating system
- 36 resulted in the production of formaldehyde at a concentration of approximately 25 nmoles/mg
- 37 protein/30 min. According to study authors, the amount of formaldehyde generated by *tert*-butanol
- 38 is approximately 30% of the amount of formaldehyde formed during the metabolism of 10 mM

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- 1 aminopyrene in a similar microsomal system. The rate of formaldehyde generation from
- 2 *tert*-butanol was increased to about 90 nmol/mg protein/30 min upon addition of azide, which
- 3 inhibits catalase and thereby prevents the decomposition of hydrogen peroxide (H₂O₂). In other
- 4 experiments within the same study, there was a major reduction of formaldehyde formation when
- $5 \quad H_2O_2$ was included but NADPH was absent or when the microsomes were boiled prior to incubation.
- 6 Additionally, the rate of formaldehyde formation in the microsomal oxidizing system was found to
- 7 be dependent on the concentration of *tert*-butanol, with apparent K_m and V_{max} values of 30 mM and
- 8 5.5 nmol/min/mg protein, respectively. The study authors concluded that *tert*-butanol is
- 9 metabolized to formaldehyde by a mechanism involving oxidation of NADPH, microsomal electron
- 10 flow, and the generation of hydroxyl-radical (\cdot OH) from H₂O₂, possibly by a Fenton-type or a Haber-
- 11 Weiss iron-catalyzed reaction involving CYP450, which might serve as the iron chelate (<u>Cederbaum</u>
- 12 <u>and Cohen, 1980</u>).
- 13

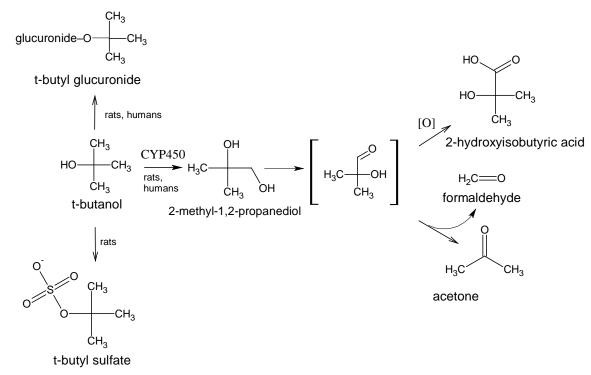




Figure B-1. Biotransformation of *tert*-butanol in rats and humans.

- 16Source: NSF International (2003), ATSDR (1996), Bernauer et al. (1998), Amberg et al. (1999), and17Cederbaum and Cohen (1980).
- 18
- 19 In a follow-up study, *tert*-butanol was oxidized to formaldehyde and acetone by a variety of
- 20 systems known to generate •OH radical, including rat liver microsomes or other nonmicrosomal •OH
- 21 generating systems (<u>Cederbaum et al., 1983</u>). The nonmicrosomal tests included two chemical
- 22 systems: (1) the iron-catalyzed oxidation of ascorbic acid (ascorbate-Fe-EDTA

1 [ethylenediaminetetraacetic acid]) and (2) the Fenton system of chelated ferrous iron and H_2O_2 . In 2 both of these Fenton-type systems, H_2O_2 served as a precursor of $\cdot OH$. Additionally, a Haber-Weiss 3 enzymatic system involving oxidation of xanthine by xanthine oxidase in the presence of Fe-EDTA 4 was used. In this system, \cdot OH is thought to be produced by the interaction of H₂O₂ and superoxide 5 $(O_2 \cdot \cdot)$. Further experiments demonstrated the involvement of $\cdot OH$ in either the ascorbate-Fe-EDTA 6 or the xanthine oxidation systems based on inhibition of formaldehyde and acetone production 7 from *tert*-butanol upon addition of •OH-scavenging agents (e.g., benzoate, mannitol). Some of the 8 experiments in this study of the oxidation of *tert*-butanol by the liver microsomal metabolizing 9 system were similar to those in the previous study except that, in addition to formaldehyde, 10 acetone formation was also measured. Again, these experiments showed the dependence of the 11 microsomal metabolizing system on an NADPH-generating system and the ability of H₂O₂ to 12 enhance, but not replace, the NADPH-generating system. Addition of chelated iron (Fe-EDTA) 13 boosted the microsomal production of formaldehyde and acetone, while •OH scavenging agents 14 inhibited their production. The study authors noted that neither Fe-EDTA nor •OH scavenging 15 agents is known to affect the CYP450 catalyzed oxidation of typical MFO substrates such as 16 aminopyrene or aniline. The study also showed that known CYP450 inhibitors, such as metyrapone 17 or SKF-525A, inhibited the production of formaldehyde from aminopyrene but not from *tert*-18 butanol. Finally, typical inducers of CYP450 and its MFO metabolizing activities, such as 19 phenobarbital or 3-methylcholanthrene, had no effect on the extent of microsomal metabolism of 20 *tert*-butanol to formaldehyde and acetone. According to the study authors, the oxidation of *tert*-21 butanol appears to be mediated by $\cdot OH$ (possibly via H₂O₂), which can be produced by any of the 22 tested systems by a Fenton-type reaction as follows: 23 24 $H_2O_2 + Fe^{2+}$ -chelate $\rightarrow \cdot OH + OH^- + Fe^{3+}$ -chelate 25 26 According to this reaction, reduction of ferric iron (Fe^{2+}) to ferrous iron (Fe^{2+}) is required 27 for continuous activity. The study authors concluded that the nature of the iron and the pathway of 28 iron reduction within the microsomes remain to be elucidated even though an NADPH-dependent 29 electron transfer or O_2 · might be involved (<u>Cederbaum et al., 1983</u>). 30 **B.1.4.** Excretion 31 Human data on the excretion of tert-butanol comes from studies of MTBE and ETBE (Nihlén 32 et al., 1998a, b). Eight or ten male human volunteers were exposed to 5, 25, or 50 ppm MTBE or 33 ETBE by inhalation during 2 hours of light exercise. The half-life of *tert*-butanol in urine following 34 MTBE exposure was 8.1 ± 2.0 hours (average of the 25- and 50-ppm MTBE doses); the half-life of 35 *tert*-butanol in urine following ETBE exposure was 7.9 ± 2.7 hours (average of 25- and 50-ppm 36 ETBE doses). The renal clearance rate of *tert*-butanol was 0.67 ± 0.11 mL/hr-kg with MTBE 37 exposure (average of 25- and 50-ppm MTBE doses); the renal clearance rate was 0.80 ± 0.34 38 mL/hr-kg with ETBE exposure (average of 25- and 50-ppm ETBE doses).

- Amberg et al. (2000) exposed six volunteers (three males and three females, 28 ± 2 years old) to 18.8 and 170 mg/m³ ETBE. Each exposure lasted 4 hours, and the two concentrations were
- 3 administered to the same volunteers 4 weeks apart. Urine was collected at 6-hour intervals for
- 4 72 hours following exposure. *tert*-Butanol and two metabolites of *tert*-butanol,
- 5 2-hydroxyisobutyrate (HBA) and MPD, also were identified in urine. At an ETBE level of 170
- 6 mg/m^3 , tert-butanol displayed a half-life of 9.8 ± 1.4 hours. At the low-exposure ETBE
- 7 concentration, the *tert*-butanol half-life was 8.2 ± 2.2 hours. The predominant urinary metabolite
- 8 identified was HBA, excreted in urine at 5–10 times the amount of MPD and 12–18 times the
- 9 amount of *tert*-butanol (note: urine samples had been treated with acid before analysis to cleave
- 10 conjugates). HBA in urine showed a broad maximum at 12–30 hours after exposure to both
- 11 concentrations, with a slow decline thereafter. MPD in urine peaked at 12 and 18 hours after
- 12 exposure to 170 and 18.8 mg/m³ ETBE, respectively, while *tert*-butanol peaked at 6 hours after
- 13 both concentrations.

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- 14 Amberg et al. (2000) exposed F344 NH rats to 18.8 and 170 mg/m³ ETBE. Urine was
- 15 collected for 72 hours following exposure. Similar to humans, rats excreted mostly HBA in urine,
- 16 followed by MPD and *tert*-butanol. The half-life for *tert*-butanol in rat urine was 4.6 ± 1.4 hours at
- 17 ETBE levels of 170 mg/m³, but half-life could not be calculated at the ETBE concentration of
- 18 18.8 mg/m³. Corresponding half-lives were 2.6 \pm 0.5 and 4.0 \pm 0.9 hours for MPD and 3.0 \pm 1.0 and
- 19 4.7 ± 2.6 hours for HBA. In Sprague-Dawley rats treated with radiolabeled *tert*-butanol by gavage at
- 20 1, 30, or 500 mg/kg, a fairly constant fraction of the administered radioactivity (23–33%) was
- 21 recovered in the urine at 24 hours postdosing. However, only 9% of a 1500-mg/kg administered
- 22 dose was recovered in urine, suggesting that the urinary route of elimination is saturated following
- 23 this dose (ARCO, 1983).

24 **B.1.5.** Physiologically Based Pharmacokinetic Models

- 25 There have been no physiologically based pharmacokinetic (PBPK) models developed
- 26 specifically for administration of *tert*-butanol. The majority studied *tert*-butanol as the primary
- 27 metabolite after oral or inhalation exposure to MTBE or ETBE. The most recent models for MTBE
- 28 oral and inhalation exposure include a component for the binding of *tert*-butanol to α_{2u} -globulin
- 29 (Borghoff et al., 2010; Leavens and Borghoff, 2009).
- 30 Faulkner and Hussain (1989) used a one-compartment open model with Michaelis-Menten 31 elimination kinetics to fit *tert*-butanol blood concentrations obtained from C57BL/6J mice given i.p.
- 32 injections of 5, 10, or 20 mmol/kg tert-butanol. Elimination was indistinguishable from first-order
- 33 kinetics in the range of concentrations studied. An increase in V_{max} and decrease in apparent
- 34 volume of distribution with dose are consistent with this model and suggest the existence of
- 35 parallel elimination processes.
- 36 Borghoff et al. (1996) developed a PBPK model for MTBE and its metabolite *tert*-butanol in
- 37 rats. Doses and blood levels were taken from several published studies. The initial model included a
- 38 tissue-specific five-compartment model using blood, liver, kidney, muscle, and fat with liver

- 1 metabolism rate constants. The model was able to predict the accumulation of *tert*-butanol in blood,
- 2 but not its clearance. A two-compartment model was better at predicting *tert*-butanol blood levels,
- 3 however, the volume of the total body water had to be changed to obtain an adequate fit, suggesting
- 4 dose-dependent changes in the kinetics of *tert*-butanol. Overall, evaluation of the *tert*-butanol
- 5 models suggests that the clearance of *tert*-butanol from the blood of rats after exposure to MTBE
- 6 involves processes beyond metabolic elimination.
- 7 <u>Nihlén and Johanson (1999)</u> developed a PBPK model for evaluation of inhalation exposure
- 8 in humans to the gasoline additive ETBE. Model compartments for ETBE included lungs (with
- 9 arterial blood), liver, fat, rapidly perfused tissues, resting muscles, and working muscles. The same
- 10 set of compartments and an additional urinary excretion compartment were used for the
- 11 metabolite, *tert*-butanol. First-order metabolism was assumed in the model, and tissue/blood
- 12 partition coefficients were determined by in vitro methods (<u>Nihlén et al., 1995</u>). Estimates of
- 13 individual metabolite parameters of eight subjects were obtained by fitting the PBPK model to
- 14 experimental data from humans (5, 25, or 50 ppm ETBE; 2-hour exposure) (Nihlén et al., 1998a).
- 15 This model was applied primarily to predict levels of the biomarkers ETBE and *tert*-butanol in
- 16 blood, urine, and exhaled air after various scenarios, such as prolonged exposure, fluctuating
- 17 exposure, and exposure during physical activity (<u>Nihlén and Johanson, 1999</u>).
- 18 <u>Rao and Ginsberg (1997)</u> developed a PBPK model for MTBE and its principal metabolite,
- 19 *tert*-butanol, based on the <u>Borghoff et al. (1996)</u> model. The modified model included a skin
- 20 compartment to simulate dermal absorption of MTBE during bathing or showering. A brain
- 21 compartment was added as a target organ for MTBE-induced neurological responses. MTBE
- 22 metabolism to *tert*-butanol was assumed to occur in the liver through two saturable pathways. The
- 23 *tert*-butanol portion of the model included further metabolism of *tert*-butanol in the liver,
- 24 exhalation in the lungs, and renal excretion (in the human model only). The model was validated
- 25 against published human and rat data and was used to help determine the contribution of *tert*-
- 26 butanol in the acute central nervous system effects seen after MTBE dosing.
- 27 The <u>Rao and Ginsberg (1997)</u> model used peak concentrations of MTBE and *tert*-butanol in
- 28 the blood and brain for interspecies, route-to-route, and low/high-dose extrapolations. The
- 29 MTBE/*tert*-butanol PBPK model was adapted to humans by adjusting physiology according to
- 30 literature values, incorporating the blood/air partition coefficient for humans reported by <u>Johanson</u>
- 31 <u>et al. (1995)</u>, and allometrically scaling the metabolic rate based on body weight. A renal
- 32 elimination component was added to account for the small percentage of MTBE disposition that
- 33 occurs in humans via urinary excretion of *tert*-butanol. *tert*-Butanol concentrations in human blood
- 34 during and after MTBE exposure (25 or 50 ppm for 2 hours) were accurately predicted by the
- 35 human model (Johanson et al., 1995).
- 36 Kim et al. (2007) expanded the Borghoff et al. (1996) model to develop a multi-exposure
- 37 route model for MTBE and its primary metabolite, *tert*-butanol, in humans. The significant features
- 38 and advantages of the <u>Kim et al. (2007)</u> model are that parameters used for quantifying the

1 pharmacokinetic behavior of MTBE and *tert*-butanol are calibrated using time-series

2 measurements from controlled-exposure experiments in humans as reported by Prah et al. (2004).

- 3 MTBE partition coefficient values described in the <u>Licata et al. (2001)</u> model and skin compartment
- 4 parameters from the <u>Rao and Ginsberg (1997)</u> model were incorporated. The PBPK model for
- 5 MTBE consists of nine primary compartments representing the lungs, skin, fat, kidney, stomach,
- 6 intestine, liver, rapidly perfused tissue, and slowly perfused tissue. The *tert*-butanol model consists
- 7 of three compartments representing blood, liver, and other tissue.
- <u>Leavens and Borghoff (2009)</u> developed a PBPK model for inhalation exposures in male and
 female rats that expanded on <u>Borghoff et al. (1996)</u> and <u>Rao and Ginsberg (1997)</u> to include the sex-
- 10 specific effects of MTBE binding to α_{2u} -globulin, a protein unique to male rats, and to describe the
- 11 induction of *tert*-butanol metabolism after repeated exposures. Although the primary purpose of
- 12 the model was to estimate MTBE and *tert*-butanol tissue concentrations after MTBE exposure, the
- 13 model was also parameterized to include inhalation uptake of *tert*-butanol. The *tert*-butanol portion
- 14 of the model was calibrated using data from rat exposures to *tert*-butanol as well as MTBE. Model
- 15 compartments included blood, brain, fat, gastrointestinal tissues, kidney, liver, poorly perfused
- 16 tissues (blood flow of <100 mL/min/100 g of tissue: bone, muscle, skin, fat), and rapidly perfused
- 17 tissues.
- 18 Distribution of MTBE and *tert*-butanol was assumed to be perfusion (i.e., blood-flow)
- 19 limited. This model used the same assumptions as <u>Borghoff et al. (1996)</u> regarding MTBE
- 20 metabolism and kinetics, and assumed that *tert*-butanol was metabolized only in the liver through
- 21 one low-affinity pathway and excreted through urine. The model described binding of MTBE or
- 22 *tert*-butanol with α_{2u} -globulin in the kidney, due to the high concentration of α_{2u} -globulin in the
- 23 kidney. As chemicals bind to α_{2u} -globulin, the rate of hydrolysis of the protein decreases and causes
- 24 accumulation in the kidney; however, there is no evidence that binding of α_{2u} -globulin affects its
- 25 synthesis, secretion, or circulating concentrations [Borghoff et al. (1990) as cited in Leavens and
- 26 <u>Borghoff (2009)</u>]. Equations describing this phenomenon were included in the model for male rats
- 27 only to account for the effects of the binding with α_{2u} -globulin on metabolism of MTBE and *tert*-
- 28 butanol. Partition coefficient values in the model that differed from those published in previous
- 29 PBPK models included poorly perfused tissues:blood and kidney:blood values. The kidney:blood
- 30 value was based on calculated kidney:blood concentrations in female rats only because of the lack
- 31 of α_{2u} -globulin-associated effects in female rats. The deposition of *tert*-butanol during inhalation in
- 32 the nasal cavity and upper airways was reflected in the high blood:air partition coefficient for *tert*-
- butanol, and the ability of *tert*-butanol to induce its own metabolism after chronic exposure was
- 34 also taken into account. No differences in the induction of metabolism were reported between
- 35 males and females. The model simulated concentrations of MTBE and *tert*-butanol in the brain,
- 36 liver, and kidney of male and female rats following inhalation exposure at concentrations of 100,
- 37 400, 1,750, or 3,000 ppm MTBE, and compared them to measured concentrations of MTBE and *tert*-
- 38 butanol from rats exposed at those levels.

Concentrations of MTBE and tert-butanol in the brain and liver were similar in male and 1 2 female rats during exposure and postexposure, but the concentrations of the chemicals in the 3 kidney were significantly different in male rats when compared with female rats. The additional 4 parameter accounting for α_{2u} -globulin protein-binding in this PBPK model more accurately reflects 5 the metabolism of both MTBE and *tert*-butanol in male rat kidneys over time compared with other 6 PBPK models. The model highlights that binding can stimulate increased renal effects in male rats 7 after exposure to MTBE and tert-butanol. The assumptions made to reflect tert-butanol metabolism 8 induction and deposition in the nasal cavity and upper airways generally were supported by 9 measured data from rats exposed to 250, 450, or 1,750 ppm *tert*-butanol as evidenced by the fact 10 that the model was within one standard deviation of the mean concentrations for most data points. 11 However, the model overpredicted the concentration of *tert*-butanol in the brain, liver, and kidney 12 of male rats after repeated exposures. 13 Borghoff et al. (2010) modified the PBPK model of Leavens and Borghoff (2009) by adding 14 oral gavage and drinking water exposure components. This was done to compare different dose 15 metrics to the toxicity observed across different studies. The Borghoff et al. (2010) model assumed 16 first-order uptake of MTBE absorption from the gut, with 100% of the MTBE dose absorbed for 17 both drinking water and oral gavage exposures. They conducted a series of pharmacokinetic 18 studies comparing the effects of different rat strains and different dosing vehicles on the blood 19 concentration-time profiles of MTBE and *tert*-butanol following MTBE exposure. The effects of 20 exposure to MTBE via drinking water, oral gavage, and inhalation routes over 7 and 91 days on 21 male and female rats were modeled and compared with measured data collected from F344 rats 22 (exposed 28 days) and Wistar Han rats (exposed 14 and 93 days). 23 The model predicted the blood concentrations of *tert*-butanol that were observed after 250 24 or 1,000 mg/kg-day administration of MTBE in males and females, as well as the blood 25 concentrations of MTBE after 1,000 mg/kg-day, but was not able to predict peak concentrations of 26 MTBE after 250 mg/kg-day in males or females using either olive oil or 2% Emulphor as vehicles. 27 When comparing strains, the blood concentrations were similar across strain and sex, except in 28 female Sprague-Dawley rats administered 1,000 mg/kg-day MTBE. The female Sprague-Dawley 29 rats had a significantly (*p*-value not specified) higher blood concentration of both MTBE and *tert*-30 butanol compared with the F344 and the Wistar Han females. However, the study authors 31 considered this an outlier and still considered the metabolic patterns similar. The model 32 overpredicted the amount of MTBE in the male rat kidney, but it accurately predicted the level of 33 *tert*-butanol in the male rat kidney at all exposures tested. The model did not accurately predict the 34 kidney concentrations of *tert*-butanol in the female kidney after exposure to MTBE via drinking 35 water, but the study authors attributed the inaccuracies to the study design as opposed to the 36 model formulation. All of the *tert*-butanol entering the submodel comes from MTBE metabolism in

37 the liver, and the model does not include a separate oral intake of *tert*-butanol.

B.2. **PBPK MODEL EVALUATION SUMMARY** 1

2 B.2.1. Evaluation of Existing tert-Butanol Submodels

The Blancato et al. (2007) and Leavens and Borghoff (2009) PBPK models for MTBE were

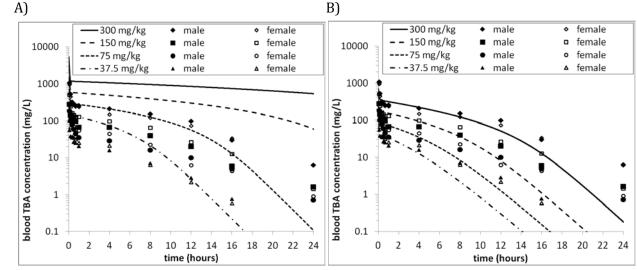
4 evaluated by comparing predictions from the *tert*-butanol portions of the models with the

- 5 tert-butanol i.v. data of Poet et al. (1997) (see Figure B-2). Neither model adequately represented
- 6 the *tert*-butanol blood concentrations. Modifications of model assumptions for alveolar ventilation,
- 7 explicit pulmonary compartments, and induction of metabolism of *tert*-butanol did not significantly
- 8 improve model fits to the data. Attempts to reoptimize model parameters in the *tert*-butanol
- 9 submodels of Blancato et al. (2007) and Leavens and Borghoff (2009) to match blood

10 concentrations from the i.v. dosing study were unsuccessful.

11 12

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13 14 15

Figure B-2. Comparison of the tert-butanol portions of existing MTBE models with tert-butanol blood concentrations from i.v. exposure by Poet et al. 1997.

Neither the a) <u>Blancato et al. (2007)</u> nor the b) <u>Leavens and Borghoff (2009)</u> model adequately represents the measured *tert*-butanol blood concentrations.

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The PBPK submodel for tert-butanol in rats was developed in acslX (Advanced Continuous

20 Simulation Language, Aegis, Inc., Huntsville, Alabama) by adapting information from the many

- 21 PBPK models that were developed in rats and humans for the structurally related substance, MTBE,
- 22 and its metabolite tert-butanol (Borghoff et al., 2010; Leavens and Borghoff, 2009; Blancato et al.,
- 23 2007; Kim et al., 2007; Rao and Ginsberg, 1997; Borghoff et al., 1996). A brief description
- 24 comparing the <u>Blancato et al. (2007)</u> and (<u>Leavens and Borghoff, 2009</u>) models is given, followed by
- 25 an evaluation of the MTBE models and the assumptions adopted from MTBE models or modified in
- 26 the tert-butanol model.

1 The Blancato et al. (2007) model is an update of the earlier Rao and Ginsberg (1997) model, 2 and the Leavens and Borghoff (2009) model is an update of the Borghoff et al. (1996) model. Both 3 the <u>Blancato et al. (2007)</u> and <u>Leavens and Borghoff (2009)</u> models are flow-limited models that 4 predict amounts and concentrations of MTBE and its metabolite *tert*-butanol in blood and six tissue 5 compartments: liver, kidney, fat, brain, and rapidly and slowly perfused tissues. These tissue 6 compartments are linked through blood flow, following an anatomically accurate, typical, 7 physiologically based description (Andersen, 1991). The parent (MTBE) and metabolite 8 (tert-butanol) models are interlinked by the metabolism of MTBE to tert-butanol in the liver. Routes 9 of exposure included in the models are oral and inhalation for MTBE; Leavens and Borghoff (2009) 10 included inhalation exposure to tert-butanol. Oral doses are assumed to be 100% bioavailable and 11 100% absorbed from the gastrointestinal tract represented with a first-order rate constant. 12 Following inhalation of MTBE or *tert*-butanol, the chemical is assumed to directly enter the 13 systemic blood supply, and the respiratory tract is assumed to be at a pseudo-steady state. 14 Metabolism of MTBE by CYP450s to formaldehyde and *tert*-butanol in the liver is described with 15 two Michaelis-Menten equations representing high- and low-affinity enzymes. *tert*-Butanol is either 16 conjugated with glucuronide or sulfate or further metabolized to acetone through MPD and HBA; 17 both of these processes are described by a single Michaelis-Menten equation in the models. All of 18 these model assumptions are valid for *tert*-butanol and were applied to the EPA-developed *tert*-19 butanol PBPK model, except for the separate brain compartment. The brain compartment was 20 lumped with other richly perfused tissues in the EPA tert-butanol PBPK model. 21 In addition to differences in parameter values between the Blancato et al. (2007) and the 22 Leavens and Borghoff (2009) models, there were three differences in the model structure: (1) the 23 alveolar ventilation was reduced during exposure, (2) the rate of *tert*-butanol metabolism increased 24 over time due to induction of CYP enzymes, and (3) binding of MTBE and *tert*-butanol to 25 α_{2u} -globulin was simulated in the kidney of male rats. The <u>Blancato et al. (2007)</u> model was 26 configured through EPA's PBPK modeling framework, ERDEM (Exposure-Related Dose Estimating 27 Model), which includes explicit pulmonary compartments. The modeling assumptions related to 28 alveolar ventilation, explicit pulmonary compartments, and induction of metabolism of *tert*-butanol 29 are discussed in the model evaluation section. 30 MTBE and *tert*-butanol binding to α_{2u} -globulin in the kidneys of male rats were 31 incorporated in the PBPK model of MTBE by <u>Leavens and Borghoff (2009)</u>. Binding to α_{2u} -globulin 32 is one hypothesized MOA for the observed kidney effects in MTBE-exposed animals. For a detailed 33 description of the role of α_{2u} -globulin and other MOAs in kidney effects, see the kidney MOA section 34 of this document (see Section 1.1.1). Binding of MTBE to α_{2u} -globulin was applied to sex differences 35 in kidney concentrations of MTBE and tert-butanol in the Leavens and Borghoff (2009) model, but 36 acceptable estimates of MTBE and *tert*-butanol pharmacokinetics in the blood are predicted in 37 other models that did not consider α_{2u} -globulin binding. Given the uncertainty of *tert*-butanol 38 binding to α_{2u} -globulin, it was not included in the *tert*-butanol PBPK submodel.

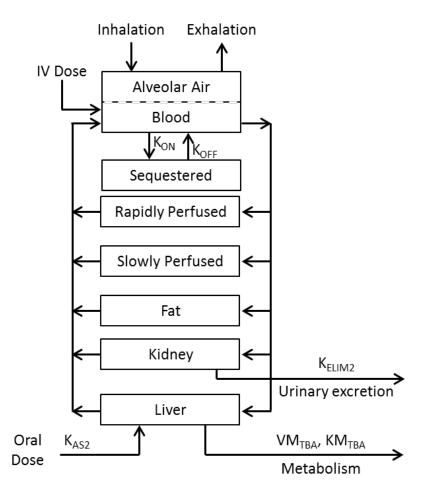
1 B.2.2. Modification of Existing *tert*-Butanol Submodels

2 To account for the *tert*-butanol blood concentrations after i.v. *tert*-butanol exposure, the 3 model was modified by adding a pathway for reversible sequestration of *tert*-butanol in the blood. 4 This could represent binding of *tert*-butanol to proteins in blood (see Figure B-3). The JPEC PK 5 studies showed that approximately 60% of the radiolabel in whole blood is in the plasma, providing 6 some limited evidence for association of *tert*-butanol with components in blood. The PBPK model 7 represented the rate of change in the amount of *tert*-butanol in the sequestered blood compartment 8 (A_{blood2}) with the following equation where K_{ON} is the binding rate constant, CV is the free *tert*-9 butanol concentration in blood, K_{OFF} is the unbinding rate constant, and C_{blood2} is the concentration 10 of *tert*-butanol bound in blood (equal to A_{blood2}/V_{blood}).

11

12 $dA_{blood2}/dt = K_{ON}*CV* - K_{OFF}*C_{blood2}$

13



14 15

Figure B-3. Schematic of the PBPK submodel for *tert*-butanol in rats.

16Exposure can be via multiple routes including inhalation, oral, or i.v. dosing. Metabolism of tert-17butanol occurs in the liver and is described by Michaelis-Menten equations with one pathway for18tert-butanol. tert-Butanol is cleared via exhalation and tert-butanol is additionally cleared via urinary19excretion. See Table B-1 for definitions of parameter abbreviations.

Body Weight (kg)	0.25	(<u>Brown et al., 1977</u>)
Body fraction that is blood perfused (Fperf)	0.8995	(<u>Brown et al., 1977</u>)
Liver	0.034	(<u>Brown et al., 1977</u>)
Kidney	0.007	(<u>Brown et al., 1977</u>)
Fat	0.07	(<u>Brown et al., 1977</u>)
Rapidly perfused	0.04	(<u>Brown et al., 1977</u>)
Slowly perfused	0.7485	а
Blood	0.074	(<u>Brown et al., 1977</u>)
Cardiac output and organ blood flo	ows as fraction	of cardiac output
Cardiac output (L/hr)	5.38	(<u>Brown et al., 1977</u>) ^b
Alveolar ventilation (L/hr)	5.38	(<u>Brown et al., 1977</u>) ^c
Liver	0.174	(<u>Brown et al., 1977</u>) ^d
Kidney	0.141	(<u>Brown et al., 1977</u>)
Fat	0.07	(<u>Brown et al., 1977</u>)
Rapidly perfused	0.279	e
Slowly perfused	0.336	(<u>Brown et al., 1977</u>)
Partition coefficient	ts for <i>tert</i> -butar	ol
Blood:air	481	(<u>Borghoff et al., 1996</u>)
Liver:blood	0.83	(<u>Borghoff et al., 1996</u>)
Fat:blood	0.4	(<u>Borghoff et al., 1996</u>)
Rapidly perfused:blood	0.83	(<u>Borghoff et al., 1996</u>)
Slowly perfused:blood	1.0	(<u>Borghoff et al., 1996</u>)
Kidney:blood	0.83	(<u>Borghoff et al., 1996</u>)
Fperf – Σ(other compartments) 15.2*BW ^{0.75} (bw = body weight) Alveolar ventilation is set equal to cardiac output		
Sum of liver and gastrointestinal blood flows		

Table B-1. PBPK model physiologic parameters and partition coefficients.

Sum of liver and gastrointestinal blood flows

^e 1 – Σ(all other compartments).

2

1

3 The physiologic parameter values obtained from the literature are shown in Table B-1 4 (Brown et al., 1977). tert-Butanol partition coefficients were obtained from literature in which they 5 were determined by the ratios of measured tissue:air and blood:air partition coefficients (Borghoff 6 et al., 1996). The parameters describing rate constants of metabolism and elimination of 7 *tert*-butanol were obtained from the literature (<u>Blancato et al., 2007</u>) and kept fixed because these 8 have been optimized to tert-butanol blood concentrations measured after MTBE exposure, which is 9 also metabolized to *tert*-butanol. The parameters describing *tert*-butanol absorption and 10 tert-butanol sequestration in blood were estimated by optimizing the model to the blood tert-11 butanol time-course data for rats exposed via i.v., inhalation, and oral routes (Leavens and Borghoff, 12 2009; Poet et al., 1997; ARCO, 1983). The model parameters were estimated with the acsIX

- 1 optimization routine to minimize the log-likelihood function of estimated and measured
- 2 *tert*-butanol concentrations. The Nedler-Mead algorithm was used with heteroscedasticity allowed
- 3 to vary between 0 and 2. The predictions of the model with optimized parameters have a much
- 4 improved fit to the *tert*-butanol blood concentrations after *tert*-butanol i.v., as shown in panel A of
- 5 Figure B-4. Additionally, the model adequately estimated the *tert*-butanol blood concentrations
- 6 after inhalation and oral gavage exposures. The optimized parameter values are shown in Table
- 7 B-2. The <u>ARCO (1983)</u> study measured *tert*-butanol in plasma only, unlike the <u>Poet et al. (1997)</u> and
- 8 <u>Leavens and Borghoff (2009)</u> studies that measured *tert*-butanol in whole blood. Based on the
- 9 measurements of plasma and whole blood by JPEC 2008, the concentration of *tert*-butanol in
- 10 plasma is approximately 60% of the concentration in whole blood. The *tert*-butanol plasma
- 11 concentrations measured by ARCO were increased (divided by 60%) to the expected concentration
- 12 in whole blood for comparison with the PBPK model.
- 13

14 A) B) female 300 mg/kg ٠ male ٥ TBA inhalation exposure concentrations TBA iv exposure 150 mg/kg female 1750 ppm ma 1750 ppm male 1914 ppm female male 400 - -1914 ppm female --75 mg/kg . male 0 female 450 ppm male 450 ppm male - 37.5 mg/kg male ۸ female _ 350 450 ppm female 450 ppm female 250 ppm male 50 ppm male blood TBA concentration (mg/L) 250 ppm female 0 250 ppm female 300 250 200 150 100 50 0.1 0 16 18 0 2 10 12 14 16 18 20 22 24 2 4 6 8 10 12 14 20 22 24 0 4 6 8 15 time (hours) time (hours) 16 C) 500 mg/kg TBA gavage ▲ 1 mg/kg • 'BA blood concentraiton (mg/L) 100 10 1 0.1 0.01 0.001 3 9 12 0 6 time (hours) 17

18Figure B-4. Comparison of the EPA model predictions with measured *tert*-butanol19blood concentrations for i.v., inhalation, and oral gavage exposure to *tert*-butanol.

20A) i.v. data from Poet et al. (1997); B) inhalation data from Leavens and Borghoff (2009); and C) oral21gavage data from ARCO (1983) with the optimized parameter values as shown in Table B-2.

Table B-2. Rate constants for *tert*-butanol determined by optimization of the model with experimental data.

Parameter	Value	Source or Reference
Metabolism (VM _{TBA} ; mg/kg-hr) ^a	8.0	<u>Blancato et al. (2007)</u>
Metabolism (KM _{TBA} ; mg/L)	28.8	<u>Blancato et al. (2007)</u>
Urinary elimination (K_{ELIM2} ; 1/hr)	0.5	<u>Blancato et al. (2007)</u>
TBA sequestration rate constant (K _{ON} ; L/hr)	0.148	Optimized
TBA unsequestration rate constant (K _{OFF} ; L/hr)	0.0134	Optimized
Absorption from gastrointestinal tract (K_{AS2} ; 1/hr)	0.5	Optimized

^a scaled by $BW^{0.7}$ (0.25^{0.7} = 0.379), bw = body weight.

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Induction of *tert*-butanol-metabolizing enzymes was included in the <u>Leavens and Borghoff</u> (2009) model of MTBE based on their study of rats exposed for 8 days to *tert*-butanol via inhalation. The enzyme induction equation and parameters developed in the <u>Leavens and Borghoff (2009)</u>

7 model that were applied to the *tert*-butanol submodel are as follows.

8 9

Vmax tert-butanol IND = Vmax tert-butanol *INDMAX(1-exp(-KIND*t))

10

11 Vmax tert-butanol IND is the maximum metabolic rate after accounting for enzyme induction, Vmax 12 *tert*-butanol is the metabolism rate constant from Table B-2 for both *tert*-butanol pathways, and 13 INDMAX is the maximum percent increase in Vmax *tert*-butanol (124.9). KIND is the rate constant 14 for enzyme induction (0.3977/day). The increased *tert*-butanol metabolism better estimates the 15 measured *tert*-butanol blood concentrations as can be seen in the comparison of the model 16 predictions and experimental measurements shown in Figure B-5. The model better predicted 17 blood concentrations in female rats than male rats. The male rats had lower tert-butanol blood 18 concentrations after repeated exposures compared with female rats, and this difference could 19 indicate greater induction of *tert*-butanol metabolism or other physiologic changes such as 20 ventilation or urinary excretion in males. The current data for *tert*-butanol metabolism do not 21 provide sufficient information for resolving this difference between male and female rats. 22 **B.2.3.** Summary of the PBPK model for tert-butanol 23 A PBPK model for tert-butanol was developed by adapting previous models for MTBE and 24 tert-butanol (Blancato et al. (2007); Leavens and Borghoff (2009)). Published tert-butanol models

25 (or sub-models) do not adequately represent the tert-butanol blood concentrations measured in

26 the i.v. study (Poet et al. 1997). The addition of a sequestered blood compartment for tert-butanol

27 substantially improved the model fit. The alternative modification of changing to diffusion-limited

28 distribution between blood and tissues also improved the model fit, but was considered less

29 biologically plausible. Physiological parameters and partition coefficients were obtained from

- 1 published measurements. The rate constants for tert-butanol metabolism and elimination were
- 2 from a published PBPK model of MTBE with a tert-butanol subcompartment (Blancato et al.
- 3 (2007)). Additional model parameters were estimated by calibrating to data sets for i.v., oral and
- 4 inhalation exposures as well as repeated dosing studies for TBA. Overall, the model produced
- 5 acceptable fits to multiple rat time-course datasets of TBA blood levels following either inhalation
- 6 or oral gavage exposures.

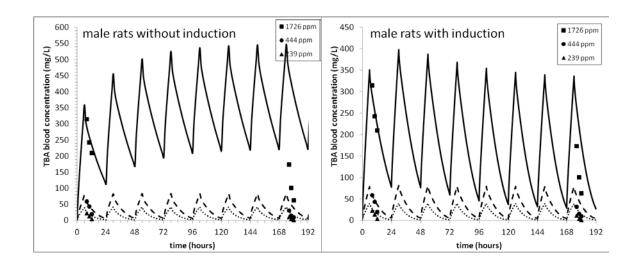
7 B.2.4. tert-Butanol Model Application

8 The PBPK model as described above was applied to toxicity studies to predict *tert*-butanol 9 blood concentrations (the preferred internal dose metric). For simulation studies where *tert*-10 butanol was administered in drinking water, the consumption was modeled as episodic, based on 11 the pattern of drinking observed in rats (<u>Spiteri, 1982</u>).

12 B.2.5. PBPK Model Code

The PBPK acslX model code is made available electronically through EPA's Health and
Environmental Research Online (HERO) database. All model files may be downloaded in a zipped
workspace from HERO (U.S. EPA, 201#, HEROID##).

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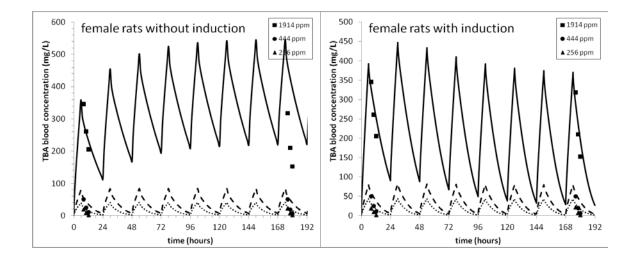


Figure B-5. Comparison of the EPA model predictions with measured amounts of *tert*butanol in blood after repeated inhalation exposure to *tert*-butanol.

Male rats were exposed to 239, 444, or 1726 ppm and female rats were exposed to 256, 444, or 1914 ppm *tert*-butanol for up to 8 consecutive days (<u>Borghoff et al., 2001</u>). *tert*-Butanol blood concentrations are better predicted by the model after 8 days of exposure with enzyme induction (right panels) compared to without enzyme induction (left panels).

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10 B.3. OTHER PERTINENT TOXICITY INFORMATION

11 B.3.1. Genotoxicity

12 The genotoxic potential of *tert*-butanol has been studied using a variety of genotoxicity

13 assays, including bacterial reverse mutation assays, gene mutation assays, chromosomal

- 14 aberrations, sister chromatid exchanges, micronucleus formation, and DNA strand breaks and
- 15 adducts. The available genotoxicity data for *tert*-butanol are discussed below, and the summary of
- 16 the data is provided in Table B-3.

17 B.3.1.1. Bacterial Systems

- 18 The mutagenic potential of *tert*-butanol has been tested by <u>Zeiger et al. (1987)</u> using
- 19 different *Salmonella typhimurium* strains both in the presence and absence of S9 metabolic
- 20 activation. The preincubation assay protocol was followed. *Salmonella* strains TA98, TA100,
- 21 TA1535, TA1537, and TA1538 were exposed to five concentrations (100, 333, 1,000, 3,333, or
- 22 10,000 µg/plate) and tested in triplicate. No mutations were observed in any of the strains tested,
- 23 either in the presence or absence of S9 metabolic activation.
- 24 Conflicting results have been obtained with *tert*-butanol-induced mutagenicity in strain
- 25 TA102, a strain that is sensitive to damage at A-T sites inducible by oxidants and other mutagens
- and is excision-repair proficient. In a study by <u>Williams-Hill et al. (1999)</u>, *tert*-butanol induced an

- 1 increase in the number of revertants in the first three concentrations with S9 activation in a dose-
- 2 response manner. The number of revertants decreased in the last two concentrations. No
- 3 discussion was provided as to why the revertants decreased at higher concentrations. The results of
- 4 this study indicated that tester strain TA102 may be a more sensitive strain for monitoring *tert*-
- 5 butanol levels (<u>Williams-Hill et al., 1999</u>). However, in another study by <u>Mcgregor et al. (2005)</u>,
- 6 experiments were conducted on *Salmonella* strain TA102 in two different laboratories using similar
- 7 protocols. *tert*-Butanol was dissolved in dimethyl sulfoxide (DMSO) or distilled water and tested
- 8 both in the presence and absence of S9 metabolic activation. No statistically significant increase in
- 9 mutants was observed in either of the solvent media. In one experiment where *tert*-butanol was
- 10 dissolved in water, a significant, dose-related increase in the number of revertants was produced,
- 11 reaching almost two-fold the control value at a concentration of 2,250 μg/plate. It should be noted
- 12 that DMSO is known to be a free radical scavenger, and its presence at high concentrations might
- 13 mask a mutagenic response caused due to oxidative damage.
- Mutagenicity of *tert*-butanol has been studied in other systems including *Neurospora crassa* and *Saccharomyces cerevisiae*. Yeast strain *Neurospora crassa* at the ad-3A locus (allele 38701) was
- 16 used to test the mutagenic activity of *tert*-butanol at a concentration of 1.75 mol/L for 30 minutes.
- *tert*-Butanol did not induce reverse mutations in the tested strain at the exposed concentration
- 18 (<u>Dickey et al., 1949</u>). On the other hand, *tert*-butanol, without exogenous metabolic activation,
- 19 significantly increased the frequency of petite mutations (the mitochondrial deoxyribonucleic acid
- 20 [DNA] deletion rho–) in *Saccharomyces cerevisiae* laboratory strains K5-A5, MMY1, D517-4B, and
- 21 DS8 (<u>Jimenez et al., 1988</u>). This effect on mitochondrial DNA, also observed with ethanol and other
- solvents, was attributed by the study authors to the alteration in the lipid composition of
- 23 mitochondrial membranes, and mitochondrial DNA's close association could be affected by
- 24 membrane composition (<u>Jimenez et al., 1988</u>).
- 25 B.3.1.2. In Vitro Mammalian Studies
- 26 To understand the role of *tert*-butanol-induced genotoxicity in mammalian systems, in vitro 27 studies have been conducted in different test systems and assays. *tert*-Butanol was tested to
- evaluate its ability to induce forward mutations at the thymidine kinase locus (tk) in the L5178Y
- 29 tk+/- mouse lymphoma cells using forward mutation assay. Experiments were conducted both in
- 30 the presence and absence of S9 metabolic activation. The mutant frequency was calculated using
- 31 the ratio of mutant clones per plate/total clones per plate × 200. *tert*-Butanol did not reliably
- 32 increase the frequency of forward mutations in L5178Y tk+/- mouse lymphoma cells with or
- 33 without metabolic activation, although one experiment without addition of S9 yielded a small
- 34 increase in mutant fraction at the highest tested concentration (5,000 μg/mL) (McGregor et al.,
- 35 <u>1988</u>).
- To further determine potential DNA and/or chromosomal damage induced by *tert*-butanol
 in in vitro systems, (NTP, 1995) studied sister chromatid exchanges (SCEs) and chromosomal
 aberrations (CAs). Chinese hamster ovary (CHO) cells were exposed to *tert*-butanol both in the

- 1 presence and absence of S9 activation at concentrations of 160–5,000 µg/mL for 26 hours. *tert*-
- 2 Butanol did not induce SCEs in any of the concentrations tested, although in one experiment, there
- 3 was a slight increase in percent relative change of SCEs per chromosome scored. The same authors
- 4 also studied the effect of *tert*-butanol on CA formation. CHO cells were exposed to four
- 5 concentrations (160, 500, 1,600, or 5,000 µg/mL) of *tert*-butanol both in the presence and absence
- 6 of S9. No significant increase in CAs was observed in any of the concentrations tested. It should be
- 7 noted that due to severe toxicity at the highest concentration (5,000 μ g/mL), only 13 metaphase
- 8 cells were scored instead of 100 in the chromosomal aberration assay.
- 9 Sgambato et al. (2009) examined the effects of *tert*-butanol on DNA damage using normal
- 10 diploid rat fibroblast cell line. Cells were treated with 0- to 100-mM *tert*-butanol for 48 hours to
- 11 determine the half maximal inhibitory concentration (IC_{50} ; 0.44 ± 0.2 mM). The 48-hour IC_{50}
- 12 concentration was then used to determine DNA content, cell number, and phases of the cell cycle
- 13 after 24 and 48 hours of exposure. Total protein and DNA oxidative damage were also measured. A
- 14 comet assay was used to evaluate DNA fragmentation at time 0 and after 30 minutes, 4 hours, or 12
- 15 hours of exposure to the IC₅₀ concentration. *tert*-Butanol inhibited cell division in a dose-dependent
- 16 manner as measured by the number of cells after 24 and 48 hours of exposure at IC_{50}
- 17 concentrations, as well as with concentrations at 1/10th the IC₅₀. There was no increase in cell
- 18 death, suggesting a reduction in cell number due to reduced replication rather than cytotoxicity.
- 19 *tert*-Butanol caused an accumulation in the G_0/G_1 phase of replication. These were related to
- 20 different effects on the expression of cyclin D1, p27Kip1, and p53 genes. An initial increase in DNA
- 21 damage as measured by nuclear fragmentation was observed at the 30-minute timepoint. The DNA
- 22 damage declined drastically after 4 hours and disappeared almost entirely after 12 hours of
- 23 exposure to *tert*-butanol. This reduction in the extent of DNA fragmentation after the initial
- 24 increase is likely the result of an efficient DNA repair mechanism activated by cells following DNA
- 25 damage induced by *tert*-butanol.
- 26 DNA damage caused by *tert*-butanol was determined by single-cell gel electrophoresis 27 (comet assay) in human promyelocytic leukemia (HL-60) cells. The cells were exposed to
- 28 concentrations ranging from 1 to 30 mmol/L for 1 hour, and a total of 100 cells were evaluated for
- 29 DNA fragmentation. A dose-dependent increase in DNA damage was observed between 1 and
- 30 30 mmol/L. No cytotoxicity was observed at the concentrations tested (Tang et al., 1997).
- 31 B.3.1.3. In Vivo Mammalian Studies
- 32 A limited number of in vivo studies are available to understand the role of *tert*-butanol on 33 genotoxicity. The National Toxicology Program studied the effect of *tert*-butanol in a 13-week 34 toxicity study (<u>NTP. 1995</u>). Peripheral blood samples were obtained from male and female B6CF1 35 mice that were exposed to *tert*-butanol in drinking water at doses of 3,000–40,000 ppm. Slides 36 were prepared to determine the frequency of micronuclei in 10,000 normochromatic erythrocytes. 37 In addition, the percentage of polychromatic erythrocytes among the total erythrocyte population 38 was determined. No increase in micronucleus formation in peripheral blood lymphocytes was

- observed either in male or female B6C3F₁ mice exposed for 13 weeks to *tert*-butanol in drinking
 water at concentrations as high as 40,000 ppm (2,110 mg/kg-day) (NTP, 1995).
- 3 Male Kumming mice (8 per treatment) were administered 0, 0.099, 0.99, 10, 101, or
- 4 997 μg/kg bw ¹⁴C-*tert*-butanol in saline via gavage with specific activity ranging from 1.60 to
- 5 0.00978 mCi/mol (Yuan et al., 2007). Animals were sacrificed 6 hours after exposure, and liver,
- 6 kidney, and lung were collected. Tissues were prepared for DNA isolation with samples from the
- 7 same organs from every two mice combined. DNA adducts were measured using accelerated mass
- 8 spectrometry. The results of this study showed a dose-response increase in DNA adducts in all
- 9 three organs measured, although the methodology used to detect DNA adducts is considered
- 10 sensitive but may be nonspecific. The authors stated that *tert*-butanol was found, for the first time,
- 11 to form DNA adducts in mouse liver, lung, and kidney. Because this is a single and first-time study,
- 12 further validation of this study will provide certainty in understanding the mechanism of *tert*-
- 13 butanol-induced DNA adducts.
- 14

Table B-3. Summary of genotoxicity (both in vitro and in vivo) studies of *tert*butanol.

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2

Test system	Dose/Conc.	Conc. Results ^a		Comments	Reference			
	Вс	acteria	cterial Systems					
		-S9	+S9					
Reverse Mutation Assay Salmonella typhimurium (TA98, TA100, TA1535, TA1537, TA1538)	100, 333, 1000, 3333, 10,000 μg/plate	-	-	Preincubation procedure was followed. This study was part of the NTP 1995 testing results.	Zeiger et al. (1987);NTP (1995)			
Reverse Mutation Assay Salmonella typhimurium (TA102)	1000–4000 μg/plate	ND	+	Only tested with S9 activation	<u>Williams-Hill et</u> <u>al. (1999)</u>			
Reverse Mutation Assay Salmonella typhimurium (TA98, TA100, TA102, TA1535, TA1537)	5, 15, 50, 100, 150, 200, 500, 1000, 1500, 2500, 5000 μg/plate	-	-	Experiments conducted in two different laboratories, two vehicles – distilled water and DMSO were used, different concentrations were used in experiments from different laboratories	<u>Mcgregor et al.</u> (2005)			
Reverse mutation <i>Neurospora crassa,</i> ad-3A locus (allele 38701)	1.75mol/L	-	-	Eighty four percent cell death was observed; note it is a 1949 study	<u>Dickey et al.</u> (1949)			
Mitochondrial mutation <i>Saccharomyces cerevisiae</i> (K5-5A, MMY1, D517-4B, and DS8)	4.0% (vol/vol)	+ ^b	ND	Mitochondrial mutations, membrane solvent	<u>Jimenez et al.</u> (<u>1988)</u>			
	lı	n vitro	Systen	ns				
Gene Mutation Assay, Mouse lymphoma cells L5178Y TK ^{+/-}	625, 1000, 1250, 2000, 3000, 4000, 5000 μg/mL	-	-	Cultures were exposed for 4 h, then cultured for 2 days before plating in soft agar with or without trifluorothymidine, 3 µg/mL; this study was part of the NTP 1995 testing results	<u>McGregor et al.</u> (<u>1988);NTP</u> (<u>1995)</u>			
Sister-chromatid exchange, Chinese Hamster Ovary cells	160, 500, 1600, 2000, 3000, 4000, 5000 μg/mL	-	-	This study was part of the NTP 1995 testing results	Galloway, 1987; <u>NTP (1995)</u>			
Chromosomal Aberrations, Chinese Hamster Ovary cells	160, 500, 1600, 2000, 3000, 4000, 5000 μg/mL	-	-	This study was part of the NTP 1995 testing results	Galloway, 1987 <u>NTP (1995)</u>			
DNA damage (comet assay), Rat fibroblasts	0.44 mmol/L (IC ₅₀)	+ ^c	ND	Exposure duration – 30 min, 4 h, 12 h; this study provides other information on effect of cell cycle control genes and mechanism of action for TBA	<u>Sgambato et al.</u> (2009)			

Test system	Dose/Conc. Results ^a		Comments	Reference			
DNA damage, (comet assay), human HL-60 leukemia cells	1, 5, 10, 30 mmol/L	+ ND		Exposure duration – 1h	<u>Tang et al.</u> (1997)		
	In vi	vo Anii	nal Sti	udies			
Micronucleus formation, B6C3F1 mouse peripheral blood cells	3000, 5000, 10,000, 20,000, 40,000 ppm		-	13-week, subchronic, drinking water study	<u>NTP (1995)</u>		
DNA adducts, male Kunming mouse liver, kidney and lung cells	0.1–1000 μg/kg body weight	+		+		Gavage, 6-h exposure, DNA adduct determined by accelerator mass spectrometry	<u>Yuan et al.</u> (2007)

1

^a+ = positive; – = negative; ND = not determined.

^bEffect is predicted to be due to mitochondrial membrane composition.

2 3 ^cDNA damage was completely reversed with increased exposure time.

4

5 B.3.2. Summary

6 *tert*-Butanol has been tested for its genotoxic potential using a variety of genotoxicity 7 assays. Bacterial assays that detect reverse mutations have been thought to predict carcinogenicity 8 with accuracy up to 80%. tert-Butanol did not induce mutations in most bacterial strains; however, 9 when tested in TA102, a strain that is sensitive to damage at A-T sites inducible by oxidants, an 10 increase in mutants was observed at low concentrations, although conflicting results were reported 11 in another study. Furthermore, the solvent (e.g., distilled water or DMSO) used in the genotoxicity 12 assay may impact results. In one experiment where *tert*-butanol was dissolved in distilled water, a 13 significant, dose-related increase in the number of mutants was observed, with the maximum value 14 reaching almost 2-fold the control value. DMSO is known to be a radical scavenger, and its presence 15 in high concentrations might mask a mutagenic response modulated by oxidative damage. Other 16 species such as *Neurospora crassa* did not produce reverse mutations as a result of exposure to *tert*-17 butanol. 18 *tert*-Butanol was tested in several human and animal in vitro mammalian systems for 19 genotoxicity (gene mutation, sister chromatid exchanges, chromosomal aberrations, and DNA

20 damage). No increase in gene mutations was observed in mouse lymphoma cells (L5178Y TK^{+/-}).

- 21 These specific locus mutations in mammalian cells are used to demonstrate and quantify genetic
- 22 damage, thereby confirming or extending the data obtained in the more widely used bacterial cell
- 23 tests. Sister chromatid exchange or chromosomal aberrations were not observed in CHO cells in
- 24 response to *tert*-butanol treatment. However, DNA damage was detected using comet assay in both
- 25 rat fibroblasts and human HL-60 leukemia cells, with either an increase in DNA fragmentation at
- 26 the beginning of the exposure or dose-dependent increase in DNA damage observed. An initial
- 27 increase in DNA damage was observed at 30 minutes that declined drastically following 4 hours of
- 28 exposure and disappeared almost entirely after 12 hours of exposure to *tert*-butanol. This
- 29 reduction in the extent of DNA fragmentation after an initial increase is likely the result of an

efficient DNA repair mechanism activated by cells following DNA damage induced by *tert*-butanol. A
 dose-dependent increase in DNA damage was observed in human cells tested; however, because
 the exposure occurred for only 1 hour in this study, it is not possible to discern whether DNA-repair
 mechanisms would occur after a longer period of observation.

5 Limited in vivo animal studies have been conducted on DNA adduct formation or 6 micronucleus formation. A dose-response increase in DNA adducts was observed in mouse liver, 7 kidney, and lung cells. The authors used accelerated mass spectrometry to detect DNA adducts, but 8 this method may be sensitive and not specific to the adducts in question. The method uses 9 ¹⁴C-labeled chemical for dosing, and the isolated DNA is oxidized to carbon dioxide and reduced to 10 filamentous graphite, and the ratios of $^{14}C/^{12}C$ are measured. The ratio is then converted to DNA 11 adducts based on nucleotide content of the DNA, hence the debate for the reliability of the data 12 obtained. Confirmation of this data will provide assurance in understanding the mechanism of 13 tert-butanol-induced DNA adducts. No increase in micronucleus formation was observed in mouse 14 peripheral blood cells in a 13-week drinking water study conducted by the National Toxicology 15 Program. 16 Overall, there is a limited database to understand the role of *tert*-butanol-induced 17 genotoxicity for mode of action and carcinogenicity. The database is limited either in terms of the 18 array of genotoxicity tests conducted or the number of studies within the same type of test. In 19 addition, the results are either conflicting or inconsistent. The test strains, solvents, or control for 20 volatility used in certain studies are variable and may impact results. Furthermore, in some studies, 21 the methodology used has been challenged for its specificity. Given the inconsistencies and

22 limitations of the database in terms of the methodology used, number of studies in the overall

23 database, coverage of studies across the genotoxicity battery, and the quality of the studies, the

24 weight of evidence analysis is inconclusive. The available data do not inform a definitive conclusion

25 on the genotoxicty of *tert*-butanol and thus the potential genotoxic effects of *tert*-butanol cannot be

discounted.

APPENDIX C. DOSE-RESPONSE MODELING FOR 1 THE DERIVATION OF REFERENCE VALUES FOR 2 **EFFECTS OTHER THAN CANCER AND THE** 3 **DERIVATION OF CANCER RISK ESTIMATES** 4

C.1. 5 **BENCHMARK DOSE MODELING SUMMARY**

6 This appendix provides technical detail on dose-response evaluation and determination of 7 points of departure (PODs) for relevant endpoints. The endpoints were modeled using EPA's 8 Benchmark Dose Software (BMDS), version 2.1.2. The preambles for the cancer and noncancer 9 parts below describes the common practices used in evaluating the model fit and selecting the 10 appropriate model for determining the POD as outlined in the Benchmark Dose Technical Guidance 11 *Document* (U.S. EPA, 2000). In some cases, it may be appropriate to use alternative methods based 12 on statistical judgment; exceptions are noted as necessary in the summary of the modeling results. 13 C.1.1. Noncancer Endpoints 14 C.1.1.1. Evaluation of Model Fit

15 For each dichotomous endpoint, BMDS dichotomous models were fitted to the data using 16 the maximum likelihood method. Each model was tested for goodness-of-fit using a chi-square 17 goodness-of-fit test ($\chi^2 p$ -value < 0.10 indicates lack of fit). Other factors were also used to assess 18 model fit, such as scaled residuals, visual fit, and adequacy of fit in the low dose region and near the 19 benchmark response (BMR).

20 For each continuous endpoint, BMDS continuous models were fitted to the data using the 21 maximum likelihood method, and model fit was assessed by a series of tests. For each model, first 22 the homogeneity of the variances was tested using a likelihood ratio test (BMDS Test 2). If Test 2 23 was not rejected ($\chi^2 p$ -value ≥ 0.10), the model was fitted to the data assuming constant variance. If 24 Test 2 was rejected ($\chi^2 p$ -value < 0.10), the variance was modeled as a power function of the mean, 25 and the variance model was tested for adequacy of fit using a likelihood ratio test (BMDS Test 3). 26 For fitting models using either constant variance or modeled variance, models for the mean 27 response were tested for adequacy of fit using a likelihood ratio test (BMDS Test 4, with χ^2 28 *p*-value < 0.10 indicating inadequate fit). Other factors were also used to assess the model fit, such 29 as scaled residuals, visual fit, and adequacy of fit in the low-dose region and near the BMR.

30 C.1.1.2. Model Selection

31 For each endpoint, the BMDL estimate (95% lower confidence limit on the BMD, as 32 estimated by the profile likelihood method) and the Akaike's information criterion (AIC) value were

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- 1 used to select a best-fit model from among the models exhibiting adequate fit. If the BMDL
- 2 estimates were "sufficiently close," that is, differed by at most 3-fold, the model selected was the
- 3 one that yielded the lowest AIC value. If the BMDL estimates were not sufficiently close, the lowest
- 4 BMDL was selected as the POD.

5	Table C-1. Non-cancer endpoints selected for dose-response modeling for
6	<i>tert</i> -butanol

Endpoint/Study	Species / Sex	Doses and Effect Data								
Kidney transitional	JEA	Dose (mg/kg-d)	0			90	200		420	
epithelial hyperplasia NTP (1995)	Rat (F344) / Male	Incidence / Total	25 / 50)	32 / 50		36 / 50		40 / 50	
Kidney transitional		Dose (mg/kg-d)	0		180		330		650	
epithelial hyperplasia <u>NTP (1995)</u>	Rat (F344) / Female	Incidence / Total	0 / 50	0 / 50) / 50	3 / 50		17 / 50	
Mean relative	Rat (F344)	Dose (mg/kg-d)	0			90	200			420
kidney weight <u>NTP (1995)</u>	/ Male	Mean ± SE (n)	3.68 ± 0. (10)	09	3.9	6 ± 0.13 (10)	4.22 ± 0. (10)	13	4.42 ± 0.15 (10)	
Mean relative	Rat (F344)	Dose (mg/kg-d)	0			180	330			650
kidney weight <u>NTP (1995)</u>	/ Female	Mean ± SE (n)	3.49 ± 0.08 (10)		3.9	9 ± 0.07 (10)	4.21 ± 0.08 (10)		4.95 ± 0.17 (10)	
Kidney	Rat (F344)	Dose (mg/kg-d)	0			180	330		650	
inflammation <u>NTP (1995)</u>	/ Female	Incidence / Total	2 / 50			3 / 50	13 / 50		17 / 50	
Thyroid follicular	Mouse	Dose (mg/kg-d)	0			540	1,040		2	2,070
cell hyperplasia <u>NTP (1995)</u>	$(B6C3F_1) / Male$	Incidence / Total	5 / 60		18 / 59		15 / 59		18 / 57	
Thyroid follicular	Mouse	Dose (mg/kg-d)	0		510		1,020		2,110	
cell hyperplasia <u>NTP (1995)</u>	(B6C3F1) / Female	Incidence / Total	19 / 58	3	2	8 / 60	33 / 59)	4	7 / 59
Increased absolute kidney weight	ed absolute Rat (F344) Concentration		06	825	1643	32	74	6369		
<u>NTP (1997)</u>		Mean ± SD (n)	1.21 ± 0.082 (10)	1.2 0.0 (9		1.18 ± 0.079 (10)	1.25 ± 0.111 (10)	0.0	4 ±)54 .0)	1.32 ± 0.089 (10)
Increased relative kidney weight	Rat (F344) / Male	Concentration (mg/m ³)	0	40	06	825	1643	32	74	6369
<u>NTP (1997)</u>		Mean ± SD (n)	3.68 ± 0.253 (10)		1 ± 12 Ə)	3.64 ± 0.126 (10)	3.76 ± 0.19 (10)	0.1	06 ± 158 .0)	4 ± 0.158 (10)

	Species /								
Endpoint/Study	Sex	Doses and Effect Data							
Increased absolute kidney weight	Rat (F344) / Female	Concentration (mg/m ³)	0	406	825	1643	3274	6369	
<u>NTP (1997)</u>		Mean ± SD (n)	0.817 ± 0.136	0.782 ± 0.063	0.821 ± 0.061	0.853 ± 0.045	0.831 ± 0.054	0.849 ± 0.038	
			(10)	(10)	(10)	(10)	(10)	(10)	
Increased relative kidney weight	Rat (F344) / Female	Concentration (mg/m ³)	0	406	825	1643	3274	6369	
<u>NTP (1997)</u>			4.00 ±	3.98 ±	4.03 ±	4.14 ±	4.09 ±	4.35 ±	
		Mean ± SD (n)	0.474 (10)	0.190 (10)	0.158 (10)	0.126 (10)	0.190 (10)	0.095 (10)	

1 C.1.1.3. *Modeling Results*

Below are tables summarizing the modeling results for the noncancer endpoints modeled.
The following parameter restrictions were applied, unless otherwise noted.

- Dichotomous models: For the log-logistic and dichotomous Hill models, restrict slope ≥
 1; for the gamma and Weibull models, restrict power ≥ 1; for the multistage models,
 restrict beta values ≥ 0.
 - Continuous models: For the polynomial models, restrict beta values ≥ 0; for the Hill, power, and exponential models, restrict power ≥ 1.

9Table C-2. Summary of BMD modeling results for kidney transitional epithelial10hyperplasia in male F344 rats exposed to *tert*-butanol in drinking water for 211years (NTP. 1995); BMR = 10% extra risk.

	Goodness of fit		BMD ₁₀	BMDL ₁₀	Basis for model selection		
Model ^a	p-value	AIC					
Log-logistic	0.976	248.0	30	16	Log-logistic model selected as best-fitting model based on		
Gamma	0.784	248.5	46	29	lowest AIC with all BMDL values sufficiently close (BMDLs		
Logistic	0.661	248.8	58	41	differed by slightly more than 3- fold).		
Log-probit	0.539	249.2	84	53			
Multistage, 3°	0.784	248.5	46	29			
Probit	0.633	248.9	60	43			
Weibull	0.784	248.5	46	29			
Dichotomous-Hill	0.968	250.0	25	15			

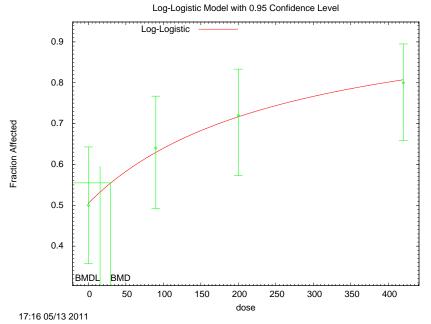
^aScaled residuals for selected model for doses 0, 90, 200, and 420 mg/kg-d were –0.076, 0.147, 0.046, and –0.137,

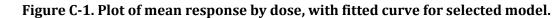
13 respectively.

14

7

8







1 2

```
_____
              Logistic Model. (Version: 2.13; Date: 10/28/2009)
              Input Data File: M:\NCEA t-Butanol\BMD modeling\BMDS Output\17 NTP 1995b_Kidney
transitional epithelial hyperplasia, male rats_LogLogistic_10.(d)
              Gnuplot Plotting File: M:\NCEA t-Butanol\BMD modeling\BMDS Output\17 NTP
1995b_Kidney transitional epithelial hyperplasia, male rats_LogLogistic_10.plt
                                                    Fri May 13 17:16:25 2011
       _____
       [notes]
      The form of the probability function is:
        P[response] = background+(1-background)/[1+EXP(-intercept-slope*Log(dose))]
        Dependent variable = Incidence
        Independent variable = Dose
        Slope parameter is restricted as slope >= 1
        Total number of observations = 4
        Total number of records with missing values = 0
        Maximum number of iterations = 250
        Relative Function Convergence has been set to: 1e-008
        Parameter Convergence has been set to: 1e-008
        User has chosen the log transformed model
              Default Initial Parameter Values
                background =
                            0.5
                             -5.54788
                intercept =
                             1
                  slope =
            Asymptotic Correlation Matrix of Parameter Estimates
            ( *** The model parameter(s) -slope
              have been estimated at a boundary point, or have been specified by the user,
              and do not appear in the correlation matrix \ensuremath{)}
            background intercept
      background
                   1
                         -0.71
       intercept
                  -0.71
                           1
                     Parameter Estimates
                                95.0% Wald Confidence Interval
          Variable
                     Estimate
                                Std. Err. Lower Conf. Limit Upper Conf. Limit
                                          *
                     0.505366
                                  *
         background
                                                   *
                                  *
                                          *
                                                   4
         intercept
                      -5.58826
                      1
           slope
       * - Indicates that this value is not calculated.
                 Analysis of Deviance Table
          Model Log(likelihood) # Param's Deviance Test d.f. P-value
                    -121.996
         Full model
                                4
        Fitted model
                       -122.02
                                  2
                                     0.048148
                                               2
                                                     0.9762
       Reduced model
                      -127.533
                                 1
                                     11.0732
                                              3
                                                     0.01134
```

123456789012345

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```
AIC:
                248.04
                  Goodness of Fit
                                    Scaled
   Dose Est._Prob. Expected Observed Size
                                                     Residual
                                           _____
                                                                   _____
               ____
 0.0000 0.5054 25.268 25.000 50 -0.076
90.0000 0.6300 31.498 32.000 50 0.147
200.0000 0.7171 35.854 36.000 50 0.046
 420.0000 0.8076 40.382 40.000 50 -0.137
 Chi<sup>2</sup> = 0.05 d.f. = 2 P-value = 0.9762
  Benchmark Dose Computation
Specified effect =
                          0.1
Risk Type
            = Extra risk
Confidence level =
                          0.95
       BMD =
                 29.6967
      BMDL =
                 15.6252
```

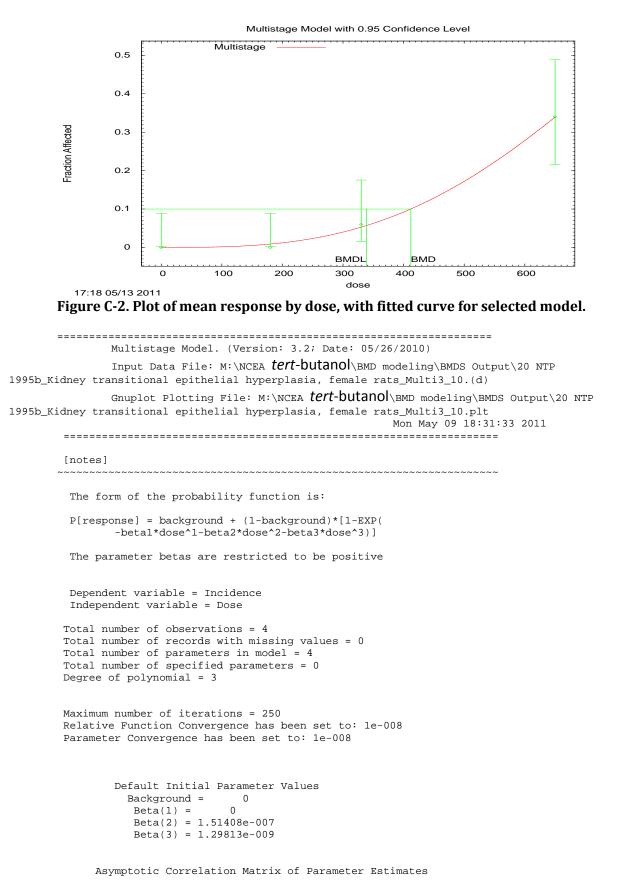
Table C-3. Summary of BMD modeling results for kidney transitional epithelial hyperplasia in female F344 rats exposed to *tert*-butanol in drinking water for 2 years (<u>NTP, 1995</u>); BMR = 10% extra risk.

	Goodness of fit					
Model ^a	p-value	AIC	BMD ₁₀ (mg/kg-d)	BMDL ₁₀ (mg/kg-d)	Basis for model selection	
Gamma	0.83	91.41	409	334	Multistage 3 rd order model	
Logistic	0.50	92.81	461	393	selected as best-fitting model based on lowest AIC with all BMDL values sufficiently close	
LogLogistic	0.79	91.57	414	333	(BMDLs differed by less than 3-	
LogProbit	0.89	91.19	400	327	fold).	
Multistage 3°	0.92	89.73	412	339		
Probit	0.62	92.20	439	372		
Weibull	0.76	91.67	421	337		
Dichotomous-Hill	N/A ^b	117.89	Error ^c	Error ^c		

^aScaled residuals for selected model for doses 0, 180, 330, and 650 mg/m³ were 0.0, –0.664, 0.230, and 0.016, respectively.

^bNo available degrees of freedom to estimate a p-value.

^cBMD and BMDL computation failed for the Dichotomous-Hill model.



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(*** The model parameter(s) -Background -Beta(1) -Beta(2) have been estimated at a boundary point, or have been specified by the user, and do not appear in the correlation matrix)

Beta(3)

Beta(3) 1

Parameter Estimates

			95.0	% Wald	Confide	ence I	nterval			
Variable	Estimate		Std.	Err.	Lower	Conf.	Limit	Upper	Conf.	Limit
Background	0		*	*		*				
Beta(1)	0	*		*	*					
Beta(2)	0	*		*	*					
Beta(3)	1.50711e-00	9	*		*	*				

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Pa	ram':	s Deviar	ice	Test	d.f.	P-value
Full mode	-43.4002	4						
Fitted mod	lel -43.8652	1	. (0.9301	3		0.8182	
Reduced mod	lel -65.0166	1		43.2329	3	3	<.0001	

AIC: 89.7304

> Goodness of Fit Saalad

Dose	EstProb.	Expected	Observed	l Si	ze Res	idual	
	0.0000 0.0088	0.000 C 0.438	0.000	50 50	0.000 -0.664		

T80.0000	0.0088	0.438	0.000	50	-0.664
330.0000	0.0527	2.636	3.000	50	0.230
650.0000	0.3389	16.946	17.000	50	0.016

Chi^2 = 0.49 d.f. = 3 P-value = 0.9200

Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

> BMD = 411.95

338.618 BMDL =

BMDU = 469.73

Taken together, (338.618, 469.73) is a 90 % two-sided confidence interval for the BMD

Table C-4. Summary of BMD modeling results for relative kidney weights in male F344 rats exposed to *tert*-butanol in drinking water for 15 months (<u>NTP, 1995</u>); BMR = 10% relative deviation and 1 standard deviation.

	Goodne	ss of fit	BMD _{10%}	BMDL _{10%}	BMD _{1SD}	BMDL _{1SD}	Basis for model
Model ^ª	<i>p</i> -value	AIC	(mg/kg-d)	(mg/kg-d)	(mg/kg-d)	(mg/kg-d)	selection
Hill	NA	-27.27	120	39	124	45	Exponential (M4) is selected as the
Exponential (M4)	0.854	-29.23	117	48	123	53	best-fitting model based on visual fit at the low-dose
Exponential (M5)	N/A	-27.27	121	48	126	54	region.
Linear	0.421	-29.54	222	155	229	161	
Polynomial	0.421	-29.54	222	155	229	161	
Power	0.421	-29.54	222	155	229	161	
Exponential (M2)	0.365	-29.25	236	170	243	176	
Exponential (M3)	0.365	-29.25	236	170	243	176	

^aConstant variance case presented (BMDS Test 2 *p*-value = 0.466), selected model in bold; scaled residuals for selected model for doses 0, 90, 200, 420 mg/kg-d were 0.04009, -0.1264, 0.122, and -0.03578, respectively.

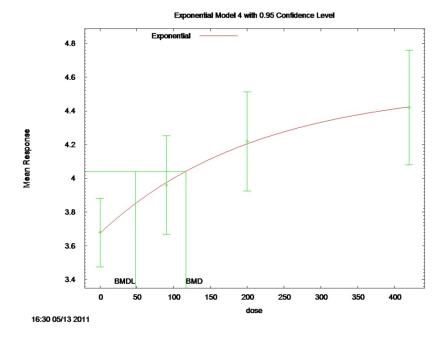


Figure C-3. Plot of mean response by dose, with fitted curve for selected model (10% relative deviation).

```
Exponential Model. (Version: 1.7; Date: 12/10/2009)
       Input Data File: M:\NCEA t-Butanol\BMD modeling\BMDS Output\21 NTP
1995b_Mean relative kidney weight, male rats_ExpCV_10RD.(d)
       Gnuplot Plotting File: M:\NCEA t-Butanol\BMD modeling\BMDS Output\21 NTP
1995b_Mean relative kidney weight, male rats_ExpCV_10RD.plt
                                              Fri May 13 16:30:21 2011
[notes]
              ~~~~~~~~~~~~~~~~
 The form of the response function by Model:
  Model 2:
            Y[dose] = a * exp\{sign * b * dose\}
            Y[dose] = a * exp\{sign * (b * dose)^d\}
  Model 3:
            Y[dose] = a * [c-(c-1) * exp{-b * dose}]
  Model 4:
           Y[dose] = a * [c-(c-1) * exp{-(b * dose)^d}]
  Model 5:
 Note: Y[dose] is the median response for exposure = dose;
    sign = +1 for increasing trend in data;
    sign = -1 for decreasing trend.
  Model 2 is nested within Models 3 and 4.
  Model 3 is nested within Model 5.
  Model 4 is nested within Model 5.
 Dependent variable = Response
 Independent variable = Dose
 Data are assumed to be distributed: normally
 Variance Model: exp(lnalpha +rho *ln(Y[dose]))
 rho is set to 0.
 A constant variance model is fit.
 Total number of dose groups = 4
 Total number of records with missing values = 0
 Maximum number of iterations = 250
 Relative Function Convergence has been set to: 1e-008
 Parameter Convergence has been set to: 1e-008
```

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```
MLE solution provided: Exact
       Initial Parameter Values
       Variable
                    Model 4
                    -----
        -----
                    -1.93171
        lnalpha
          rho(S)
                        0
                   3.496
          a
                 0.00417714
           b
                  1.32752
           C
           d
                      1
 (S) = Specified
         Parameter Estimates
        Variable
                     Model 4
        -----
                      -----
                    -1.93087
        lnalpha
                       0
         rho
                 3.67517
          a
           b
               0.00469937
           С
                  1.23673
           d
                      1
    Table of Stats From Input Data
              Obs Mean Obs Std Dev
Dose N
 ---- ---
               -----
  0 10
              3.68 0.2846
 90 10
200 10
420 10
            3.96 0.4111
4.22 0.4111
4.42 0.4743
       Estimated Values of Interest
Dose Est Mean Est Std Scaled Residual
-----
        -----
                                 -----

        3.675
        0.3808
        0.04009

        3.975
        0.3808
        -0.1264

        4.205
        0.3808
        0.1221

        4.424
        0.3808
        -0.03578

 0
  90
  200
  420
Other models for which likelihoods are calculated:
             Yij = Mu(i) + e(ij)
Model A1:
      Var{e(ij)} = Sigma^2
Model A2: Yij = Mu(i) + e(ij)
      Var{e(ij)} = Sigma(i)^2
 Model A3:
            Yij = Mu(i) + e(ij)
      Var{e(ij)} = exp(lalpha + log(mean(i)) * rho)
 Model R: Yij = Mu + e(i)
      Var{e(ij)} = Sigma^2
              Likelihoods of Interest
         Model Log(likelihood) DF AIC
                 ----- ----
                                            _____
```

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A1	18.63423	5	-27.26846
A2	19.91058	8	-23.82116
A3	18.63423	5	-27.26846
R	10.08355	2	-16.1671
4	18.61733	4	-29.23465

Additive constant for all log-likelihoods = -36.76. This constant added to the above values gives the log-likelihood including the term that does not depend on the model parameters.

Explanation of Tests

Test 1: Does response and/or variances differ among Dose levels? (A2 vs. R) Test 2: Are Variances Homogeneous? (A2 vs. A1) Test 3: Are variances adequately modeled? (A2 vs. A3)

Test 6a: Does Model 4 fit the data? (A3 vs 4)

Tests of Interest

Test	-2*log(Likelihood Rati	.o) D.F.	p-value
Test 1	19.65 6	0.00319	
Test 2	2.553 3	0.4658	
Test 3	2.553 3	0.4658	
Test 6a	0.03381	1 0.8541	

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels, it seems appropriate to model the data.

The p-value for Test 2 is greater than .1. A homogeneous variance model appears to be appropriate here.

The p-value for Test 3 is greater than .1. The modeled variance appears to be appropriate here.

The p-value for Test 6a is greater than .1. Model 4 seems to adequately describe the data.

Benchmark Dose Computations:

Specified Effect = 0.100000

Risk Type = Relative deviation

Confidence Level = 0.950000

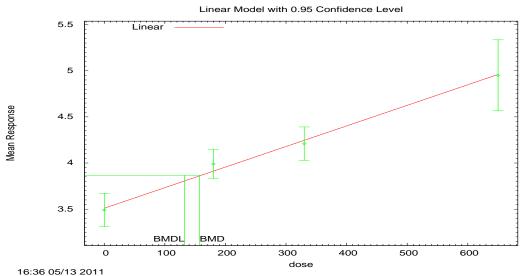
BMD = 116.807

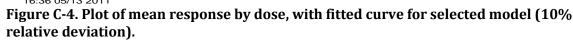
BMDL = 48.0466

Table C-5. Summary of BMD modeling results for relative kidney weights in female F344 rats exposed to *tert*-butanol in drinking water for 15 months (<u>NTP, 1995</u>); BMR = 10% relative deviation and 1 standard deviation.

	Goodne	ss of fit	BMD _{10%} BMDL _{10%} BMD _{1SD}				Basis for model
Model ^a	<i>p</i> -value	AIC	(mg/kg-d)	(mg/kg-d)	(mg/kg-d)	(mg/kg-d)	selection
Exponential (M2) Exponential (M3)	0.48	-49.14	178	154	108	80	The linear model was selected on the basis of the lowest AIC with all
Exponential (M4) Exponential (M5)	0.33	-47.64	154	107	90	56	BMDL values for fitting models being sufficiently close (BMDLs differed by less
Hill	0.33	-47.64	154	105	90	Error ^b	than 3-fold).
Linear Power	0.62	-49.63	158	133	92	68	
Polynomial 3°	0.33	-47.63	158	133	98	68	

^aModeled variance case presented (BMDS Test 2 *p*-value = 0.0091), selected model in bold; scaled residuals for selected model for doses 0, 180, 330, and 650 mg/kg-d were -0.383, 0.887, -0.411, and -0.105, respectively. ^bThe BMDL_{1SD} computation failed for the Hill model.





Polynomial Model. (Version: 2.16; Date: 05/26/2010)
Input Data File: M:\NCEA tert-butanol\BMD modeling\BMDS Output\23 NTP 1995b_Mean
relative kidney weight, female rats_Linear_10RD.(d)

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```
Gnuplot Plotting File: M:\NCEA tert-butanol\BMD modeling\BMDS Output\23 NTP
1995b_Mean relative kidney weight, female rats_Linear_10RD.plt
                                                   Mon May 09 18:34:15 2011
       [notes]
      The form of the response function is:
       Y[dose] = beta_0 + beta_1*dose + beta_2*dose^2 + ...
        Dependent variable = Response
        Independent variable = Dose
        Signs of the polynomial coefficients are not restricted
       The variance is to be modeled as Var(i) = exp(lalpha + log(mean(i)) * rho)
       Total number of dose groups = 4
       Total number of records with missing values = 0
       Maximum number of iterations = 250
       Relative Function Convergence has been set to: 1e-008
       Parameter Convergence has been set to: 1e-008
              Default Initial Parameter Values
                 lalpha = -2.14986
                 rho =
                           0
                 beta_0 = 3.52312
                 beta_1 = 0.00219613
           Asymptotic Correlation Matrix of Parameter Estimates
             lalpha
                      rho beta 0 beta 1
                 1
                      -1 0.1
        lalpha
                                   -0.14
                -1
                      1 -0.1
         rho
                                    0.14
                               1
                        -0.1
        beta_0
                0.1
                                     -0.66
        beta_1 -0.14 0.14 -0.66 1
                    Parameter Estimates
                              95.0% Wald Confidence Interval
         Variable Estimate Std. Err. Lower Conf. Limit Upper Conf. Limit
                 -8.78559 2.23999
4.47471 1.57167
3.51492 0.0580177
          lalpha
                                          -13.1759 -4.39529
                                        1.39429
           rho
                                                    7.55513
                                         3.40121
          beta_0
                                                      3.62864
                   0.00223049 0.00022176 0.00179585
                                                        0.00266513
          beta 1
        Table of Data and Estimated Values of Interest
       Dose
            N Obs Mean Est Mean Obs Std Dev Est Std Dev Scaled Res.
      ____
             ___
                  _____
                           _____
                                    -----
       0 10
              3.49
                      3.51 0.253
                                    0.206
                                              -0.383
       180103.993.920.2210.262330104.214.250.2530.315650104.954.960.5380.446
                                             0.887
                                              -0.411
-0.105
```

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```
Model Descriptions for likelihoods calculated
            Yij = Mu(i) + e(ij)
 Model A1:
      Var{e(ij)} = Sigma^2
 Model A2: Yij = Mu(i) + e(ij)
      Var{e(ij)} = Sigma(i)^2
 Model A3:
             Yij = Mu(i) + e(ij)
     Var{e(ij)} = exp(lalpha + rho*ln(Mu(i)))
   Model A3 uses any fixed variance parameters that
   were specified by the user
 Model R:
            Yi = Mu + e(i)
     Var{e(i)} = Sigma^2
            Likelihoods of Interest
      Model
              Log(likelihood) # Param's AIC
                             5 -40.208981
      A1
               25.104490
                             8 -45.764500
      Α2
               30.882250
       A3
               29.295765
                              6
                                 -46.591531
                              4
                28.815603
                                  -49.631206
     fitted
      R
              -0.698257
                             2
                                 5.396514
          Explanation of Tests
 Test 1: Do responses and/or variances differ among Dose levels?
    (A2 vs. R)
 Test 2: Are Variances Homogeneous? (A1 vs A2)
 Test 3: Are variances adequately modeled? (A2 vs. A3)
 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)
 (Note: When rho=0 the results of Test 3 and Test 2 will be the same.)
           Tests of Interest
  Test -2*log(Likelihood Ratio) Test df
                                           p-value
                           6
  Test 1
               63.161
                                <.0001
  Test 2
               11.5555
                           3
                                0.009072
  Test 3
               3.17297
                           2
                                0.2046
                           2
  Test 4
               0.960325
                                 0.6187
The p-value for Test 1 is less than .05. There appears to be a
difference between response and/or variances among the dose levels
It seems appropriate to model the data
The p-value for Test 2 is less than .1. A non-homogeneous variance
model appears to be appropriate
The p-value for Test 3 is greater than .1. The modeled variance appears
to be appropriate here
The p-value for Test 4 is greater than .1. The model chosen seems
to adequately describe the data
       Benchmark Dose Computation
Specified effect =
                        0.1
Risk Type
           = Relative risk
Confidence level =
                      0.95
       BMD =
               157.585
      BMDL =
               132.699
```

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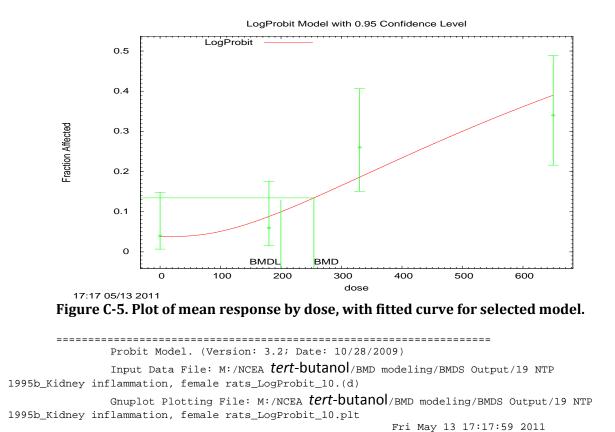
review purp

Table C-6. Summary of BMD modeling results for kidney inflammation in female rats exposed to *tert*-butanol in drinking water for 2 years (<u>NTP, 1995</u>); BMR = 10% extra risk.

	Goodness of fit		Goodness of fit				
Model ^ª	p-value	AIC	BMD _{10%} (mg/kg-d)	BMDL _{10%} (mg/kg-d)	Basis for model selection		
Gamma	0.084	169.9	231	135	LogProbit was selected on the		
Logistic	0.082	169.7	305	252	basis of the lowest AIC with all BMDL values for fitting models		
LogLogistic	0.092	169.8	228	124	being sufficiently close (BMDLs		
LogProbit	0.243	167.6	254	200	differed by less than 3-fold).		
Multistage 3°	0.072	170.3	216	132			
Probit	0.108	169.2	285	235			
Weibull	0.081	170.0	226	134			
Dichotomous-Hill	N/A ^b	169.5	229	186			

^aSelected model in bold; scaled residuals for selected model for doses 0, 180, 330, and 650 mg/kg-d were -0.067, - 0.700, 1.347, and -0.724, respectively.

^bNo available degrees of freedom to estimate a *p*-value.



1 2 3

4 5

```
[notes]
  The form of the probability function is:
  P[response] = Background
       + (1-Background) * CumNorm(Intercept+Slope*Log(Dose)),
  where CumNorm(.) is the cumulative normal distribution function
  Dependent variable = Incidence
  Independent variable = Dose
  Slope parameter is restricted as slope >= 1
  Total number of observations = 4
  Total number of records with missing values = 0
  Maximum number of iterations = 250
  Relative Function Convergence has been set to: 1e-008
  Parameter Convergence has been set to: 1e-008
  User has chosen the log transformed model
        Default Initial (and Specified) Parameter Values
          background = 0.04
           intercept = -8.01425
slope = 1.18928
      Asymptotic Correlation Matrix of Parameter Estimates
      ( *** The model parameter(s) -slope
        have been estimated at a boundary point, or have been specified by the user,
         and do not appear in the correlation matrix )
       background intercept
background
             1 -0.51
             -0.51
 intercept
                        1
                Parameter Estimates
                            95.0% Wald Confidence Interval
   Variable
                Estimate
                            Std. Err. Lower Conf. Limit Upper Conf. Limit
                                        -0.0102155 0.0865642
   background
                0.0381743
                            0.0246892
                                            -7.1366
   intercept
                -6.82025
                             0.161407
                                                          -6.5039
                          NA
    slope
                 1
NA - Indicates that this parameter has hit a bound
  implied by some inequality constraint and thus
  has no standard error.
           Analysis of Deviance Table
   Model Log(likelihood) # Param's Deviance Test d.f. P-value
  Full model
              -80.4502
                             4
                                   2.7432 2
24.5902 3
  Fitted model
                 -81.8218
                              2
                                                  0.2537
                 -92.7453
                                                  <.0001
 Reduced model
                              1
      AIC:
             167.644
```

Goodness of Fit Scaled Dose Est._Prob. Expected Observed Size Residual 0.0000 0.0382 1.909 2.000 50 0.067 180.0000 0.0880 4.402 3.000 50 -0.700 330.0000 0.1859 9.295 13.000 50 1.347 650.0000 0.3899 19.495 17.000 50 -0.724 Chi^2 = 2.83 d.f. = 2 P-value = 0.2427 Benchmark Dose Computation Specified effect = 0.1 Risk Type = Extra risk Confidence level = 0.95 BMD = 254.347 BMDL = 199.789

Table C-7. Summary of BMD modeling results for thyroid follicular cell hyperplasia in male B6C3F1 mice exposed to *tert*-butanol in drinking water for 2 years (<u>NTP, 1995</u>); BMR = 10% extra risk.

	Goodness of fit		Goodness of fit					
Model ^ª	p-value	AIC	BMD _{10%} (mg/kg-d)	BMDL _{10%} (mg/kg-d)	Basis for model selection			
Gamma	0.052	254.7	702	430	No model was selected as a			
Logistic	0.031	256.1	1,064	751	best-fitting model as models did not fit the overall			
LogLogistic	0.069	254.1	586	340	goodness-of-fit criterion.			
LogProbit	0.012	258.2	1,320	810				
Multistage 3° Weibull	0.052	254.7	702	430				
Probit	0.032	255.9	1,020	713				
Dichotomous-Hill	N/A ^b	253.6	0.19	Error ^c				

^aNo model was selected as a best-fitting model.

^bNo available degrees of freedom to estimate a *p*-value.

^c BMDL computation failed for the Dichotomous-Hill model.

Table C-8. Summary of BMD modeling results for thyroid follicular cell hyperplasia in female B6C3F1 mice exposed to *tert*-butanol in drinking water for 2 years (<u>NTP, 1995</u>); BMR = 10% extra risk.

	Goodness of fit				
Model ^a	p-value	AIC	BMD ₁₀ (mg/kg-d)	BMDL ₁₀ (mg/kg-d)	Basis for model selection
Gamma	0.63	303.1	327	154	The probit model was selected
Logistic	0.94	301.0	297	243	on the basis of the lowest AIC with all BMDL values for fitting
LogLogistic	0.52	303.2	375	115	models being sufficiently close
LogProbit	0.48	303.3	388	277	(BMDLs differed by less than 3- fold).
Multistage 3°	0.81	302.9	269	155	,
Probit	0.95	300.9	298	246	
Weibull	0.66	303.0	321	154	
Dichotomous-Hill	0.66	27,226	Error ^b	Error ^b	

^aSelected model in bold; scaled residuals for selected model for doses 0, 510, 1,020, and 2,110 mg/kg-d were - 0.110, 0.255, -0.174, and 0.025, respectively.

^bThe BMD and BMDL computations failed for the Dichotomous-Hill model.

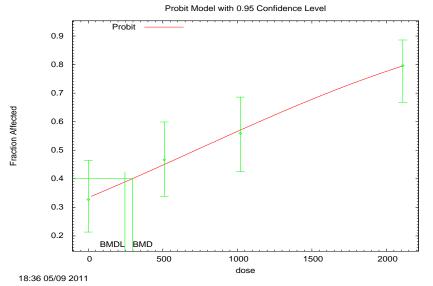
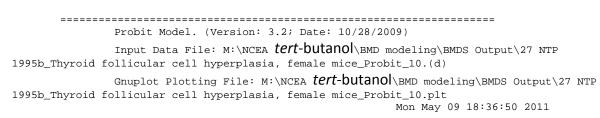


Figure C-6. Plot of mean response by dose, with fitted curve for selected model.



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C-20 DRAFT—DO NOT CITE OR OUOTE

```
_____
[notes]
~~~~~~~~~~~~~
 The form of the probability function is:
 P[response] = CumNorm(Intercept+Slope*Dose),
 where CumNorm(.) is the cumulative normal distribution function
 Dependent variable = Incidence
 Independent variable = Dose
 Slope parameter is not restricted
 Total number of observations = 4
 Total number of records with missing values = 0
 Maximum number of iterations = 250
 Relative Function Convergence has been set to: 1e-008
 Parameter Convergence has been set to: 1e-008
       Default Initial (and Specified) Parameter Values
         background = 0 Specified
         intercept = -0.425261
           slope = 0.000589168
    Asymptotic Correlation Matrix of Parameter Estimates
     ( *** The model parameter(s) -background
       have been estimated at a boundary point, or have been specified by the user,
       and do not appear in the correlation matrix )
     intercept
                slope
           1
intercept
                 -0.76
         -0.76
                  1
  slope
              Parameter Estimates
                         95.0% Wald Confidence Interval
                       Std. Err. Lower Conf. Limit Upper Conf. Limit
   Variable
             Estimate
  intercept
             -0.427828
                        0.129459
                                   -0.681563 -0.174092
   slope 0.000593756
                       0.00011419
                                   0.000369947
                                                  0.000817564
          Analysis of Deviance Table
   Model Log(likelihood) # Param's Deviance Test d.f. P-value
  Full model
            -148.416
                         4
               -148.47
                          2
                             0.108205 2
 Fitted model
                                             0.9473
                          1
                              28.9589
                                       3
Reduced model
               -162.896
                                             <.0001
    AIC:
           300.941
              Goodness of Fit
                            Scaled
 Dose Est._Prob. Expected Observed Size
                                          Residual
-----
                                           _____
0.0000 0.3344 19.395 19.000 58 -0.110
                 27.015 28.000
                                       0.255
510.0000 0.4503
                                   60
1020.0000
         0.5706
                  33.663 33.000
                                   59
                                        -0.174
         0.7953 46.923 47.000
                                       0.025
2110.0000
                                   59
```

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Chi^2 = 0.11 d.f. = 2 P-value = 0.9473 Benchmark Dose Computation Specified effect = 0.1 Risk Type = Extra risk Confidence level = 0.95 BMD = 297.997 BMDL = 246.075

Table C-9. Summary of BMD modeling results for absolute kidney weight in male F344 rats exposed to *tert*-butanol via inhalation for 6 hr/d, 5d/wk for 13 weeks (<u>NTP, 1997</u>); BMR = 10% relative deviation from the mean.

Model ^a	Goodness of fit		BMC _{10RD}	BMCL _{10RD}	Basis for model selection
	<i>p</i> -value	AIC	(mg/m³)	(mg/m³)	
Exponential (M2)	<0.0001	-205.06	error ^b	error ^b	The Hill model was selected as
Exponential (M3)	<0.0001	-203.06	9.2E+07	7094	the only adequately-fitting model.
Exponential (M4)	<0.0001	-203.06	error ^b	0	
Exponential (M5)	<0.0001	-201.06	error ^b	0	
Hill	0.763	-226.82	1931	1705	
Power ^c Linear	0.0607	-220.97	5364	3800	
Polynomial 5° ^d Polynomial 4° ^e Polynomial 3°	1.44E- 04	-207.06	-9999	error ^f	
Polynomial 2°	1.44E- 04	-207.06	-9999	18436	

4

^a Constant variance case presented (BMDS Test 2 *p*-value = 0.390), selected model in bold; scaled residuals for selected model for doses 0, 406, 825, 1643, 3274, and 6369 mg/m³ were 0.395, 0.374, -0.75, -1.96e-006, 0.381, and -0.381, respectively.

^b BMC or BMCL computation failed for this model.

^c For the Power model, the power parameter estimate was 1. The models in this row reduced to the Linear model.

^d For the Polynomial 5° model, the b5 and b4 coefficient estimates were 0 (boundary of parameters space). The models in this row reduced to the Polynomial 3° model.

^e For the Polynomial 4° model, the b4 coefficient estimate was 0 (boundary of parameters space). The models in this row reduced to the Polynomial 3° model.

^f BMC or BMCL computation failed for this model

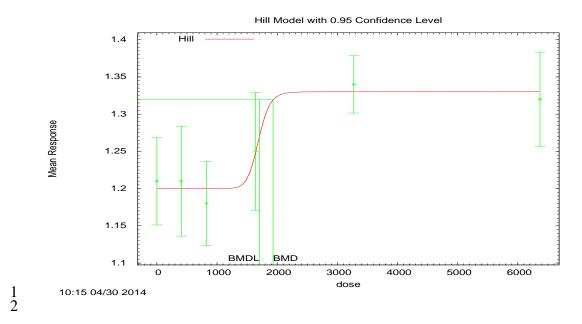


Figure C-7. Plot of mean response by dose, with fitted curve for selected model; dose shown in mg/m³.

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- 6 Hill Model. (Version: 2.15; Date: 10/28/2009)
- 7 The form of the response function is: $Y[dose] = intercept + v*dose^n/(k^n + dose^n)$
- 8 A constant variance model is fit 9

10 Benchmark Dose Computation.

- 11 BMR = 10% Relative risk
- 12 BMD = 1931.35
- 13 BMDL at the 95% confidence level = 1704.82
- 14

15 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
alpha	0.00687349	0.00750263
rho	n/a	0
intercept	1.19966	1.21
v	0.130345	0.13
n	18	18
k	1685.82	4451.94

Dose	Ν	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Resid
0	10	1.21	1.2	0.0822	0.0829	0.395
406	9	1.21	1.2	0.096	0.0829	0.374
825	10	1.18	1.2	0.0791	0.0829	-0.75
1643	10	1.25	1.25	0.111	0.0829	-0.00000196
3274	10	1.34	1.33	0.0538	0.0829	0.381
6369	10	1.32	1.33	0.0885	0.0829	-0.381

1 Table of Data and Estimated Values of Interest

2 3

Likelihoods of Interest

Model	Log(likelihood)	# Param's	AIC
A1	117.992549	7	-221.985098
A2	120.600135	12	-217.20027
A3	117.992549	7	-221.985098
fitted	117.41244	4	-226.82488
R	105.528775	2	-207.05755

4 5

Tests of Interest

Test	- 2*log(Likelihoo d Ratio)	Test df	p-value
Test 1	30.1427	10	0.0008118
Test 2	5.21517	5	0.3902
Test 3	5.21517	5	0.3902
Test 4	1.16022	3	0.7626

Table C-10. Summary of BMD modeling results for relative kidney weight in male F344 rats exposed to *tert*-butanol via inhalation for 6 hr/d, 5d/wk for 13 weeks (<u>NTP, 1997</u>); BMR = 10% relative deviation from the mean.

	4
4	+

3

1 2

Model ^a	Goodne	ess of fit	BMC _{10RD}	BMCL _{10RD}	Basis for model selection
	<i>p</i> -value	AIC	(mg/m³)	(mg/m³)	
Exponential (M2) Exponential (M3) ^b	0.168	-141.06	6356	4923	The linear model is selected on the basis of lowest AIC.
Exponential (M4)	0.169	-140.46	5973	3386	
Exponential (M5)	0.560	-142.35	error ^c	0	
Hill	0.612	-142.53	error ^c	error ^c	
Power ^d Polynomial 5 ^{°^e} Polynomial 4 ^{°f} Polynomial 3 ^{°g} Polynomial 2 ^{°h} Linear	0.181	-141.25	6309	4821	

^a Constant variance case presented (BMCS Test 2 p-value = 0.165), selected model in bold; scaled residuals for selected model for doses 0, 406, 825, 1643, 3274, and 6369 mg/m3 were 0.181, 0.282, -1.42, -0.102, 1.81, and - 0.736, respectively.

^b For the Exponential (M3) model, the estimate of d was 1 (boundary). The models in this row reduced to the Exponential (M2) model.

^c BMC or BMCL computation failed for this model.

^d For the Power model, the power parameter estimate was 1. The models in this row reduced to the Linear model.

^e For the Polynomial 5° model, the b5, b4, and b3 coefficient estimates were 0 (boundary of parameters space). The models in this row reduced to the Polynomial 2° model. For the Polynomial 5° model, the beta coefficient estimates were 0 (boundary of parameters space). The models in this row reduced to the Linear model.

^f For the Polynomial 4° model, the b4 and b3 coefficient estimates were 0 (boundary of parameters space). The models in this row reduced to the Polynomial 2° model. For the Polynomial 4° model, the b4, b3, and b2 coefficient estimates were 0 (boundary of parameters space). The models in this row reduced to the Linear model.

^g For the Polynomial 3° model, the b3 coefficient estimates was 0 (boundary of parameters space). The models in this row reduced to the Polynomial 2° model. For the Polynomial 3° model, the b3 and b2 coefficient estimates were 0 (boundary of parameters space). The models in this row reduced to the Linear model.

^h For the Polynomial 2° model, the b2 coefficient estimate was 0 (boundary of parameters space). The models in this row reduced to the Linear model.

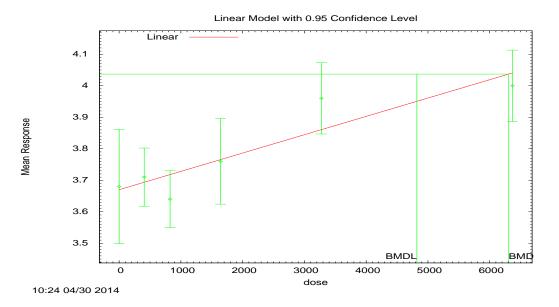


Figure C-8. Plot of mean response by dose, with fitted curve for selected model; dose shown in mg/m³.

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4

6 **Polynomial Model.** (Version: 2.16; Date: 05/26/2010)

- 7 The form of the response function is: Y[dose] = beta_0 + beta_1*dose
- 8 A constant variance model is fit

9

10 Benchmark Dose Computation.

- 11 BMR = 10% Relative risk
- 12 BMD = 6308.98
- 13 BMDL at the 95% confidence level = 4820.9
- 14

15 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
alpha	0.0303258	0.0303618
rho	n/a	0
beta_0	3.67004	3.67051
beta_1	0.0000581717	0.000058076

16 17

Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Resid
0	10	3.68	3.67	0.253	0.174	0.181
406	9	3.71	3.69	0.12	0.174	0.282
825	10	3.64	3.72	0.126	0.174	-1.42
1643	10	3.76	3.77	0.19	0.174	-0.102

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3274	10	3.96	3.86	0.158	0.174	1.81
6369	10	4	4.04	0.158	0.174	-0.736

2 Likelihoods of Interest

Model	Log(likelihood)	# Param's	AIC
A1	76.753535	7	-139.507071
A2	80.677068	12	-137.354137
A3	76.753535	7	-139.507071
fitted	73.624808	3	-141.249616
R	60.931962	2	-117.863925

3 4

Tests of Interest

Test	- 2*log(Likelihoo d Ratio)	Test df	p-value
Test 1	39.4902	10	<0.0001
Test 2	7.84707	5	0.1649
Test 3	7.84707	5	0.1649
Test 4	6.25745	4	0.1807

5 6

Table C-11. Summary of BMD modeling results for absolute kidney weight in female F344 rats exposed to *tert*-butanol via inhalation for 6 hr/d, 5d/wk for 13 weeks (<u>NTP. 1997</u>); BMR = 10% relative deviation from the mean.

Model ^a	Goodne	ess of fit	BMC _{10RD}	BMCL _{10RD}	Basis for model selection
	<i>p</i> -value	AIC	(mg/m³)	(mg/m³)	
Exponential (M2) Exponential (M3) ^b	0.0378	-261.52	14500	7713	No model adequately fit the data.
Exponential (M4)	0.533	-267.48	error ^c	0	
Exponential (M5)	0.374	-265.71	error ^c	0	
Hill	0.227	-265.57	error ^c	error ^c	
Power	0.0392	-261.61	14673	7678	
Polynomial 3° ^d Polynomial 2° ^e Linear	0.0274	-261.61	14673	7678	
Polynomial 5°	0.0274	-261.61	14673	7569	
Polynomial 4°	0.0274	-261.61	14673	7674	

^a Modeled variance case presented (BMDS Test 2 *p*-value = 1.90E-04, BMDS Test 3 *p*-value = 0.374), no model was selected as a best-fitting model.

^b For the Exponential (M3) model, the estimate of d was 1 (boundary). The models in this row reduced to the Exponential (M2) model.

^c BMC or BMCL computation failed for this model.

^d For the Polynomial 3° model, the b3 coefficient estimates was 0 (boundary of parameters space). The models in this row reduced to the Polynomial 2° model. For the Polynomial 3° model, the b3 and b2 coefficient estimates were 0 (boundary of parameters space). The models in this row reduced to the Linear model.

^e For the Polynomial 2° model, the b2 coefficient estimate was 0 (boundary of parameters space). The models in this row reduced to the Linear model.

Table C-12. Summary of BMD modeling results for relative kidney weight in female F344 rats exposed to *tert*-butanol via inhalation for 6 hrs/d, 5d/wk for 13 weeks (<u>NTP. 1997</u>); BMR = 10% relative deviation from the mean.

Model ^a	Goodne	ess of fit	BMC _{10RD}	BMCL _{10RD}	Basis for model selection
	<i>p</i> -value	AIC	(mg/m³)	(mg/m³)	
Exponential (M2) Exponential (M3) ^b	0.813	-125.14	6859	5476	No model adequately fit the data.
Exponential (M4) ^c	0.660	-123.12	6846	4832	
Exponential (M5) ^d	0.660	-123.12	6846	4832	
Hill	0.00189	-123.12	6845	5380	
Power	0.809	-125.12	6846	5389	
Polynomial 3°	0.00210	-123.34	6853	5439	
Polynomial 2°	0.00191	-123.14	6865	5393	
Linear	0.00488	-125.12	6846	5389	
Polynomial 5°	0.00238	-123.61	6762	5504	
Polynomial 4°	0.00228	-123.51	6807	5480	

^a Modeled variance case presented (BMDS Test 2 *p*-value = <0.0001, BMDS Test 3 *p*-value = 0.00105), no model was selected as a best-fitting model.

^b For the Exponential (M3) model, the estimate of d was 1 (boundary). The models in this row reduced to the Exponential (M2) model.

^c The Exponential (M4) model may appear equivalent to the Exponential (M5) model, however differences exist in digits not displayed in the table.

^d The Exponential (M5) model may appear equivalent to the Exponential (M4) model, however differences exist in digits not displayed in the table.

4

6

5 **C.1.2.** Cancer Endpoints

- For each endpoint, multistage models were fitted to the data using the maximum likelihood
- 7 method. Each model was tested for goodness-of-fit using a chi-square goodness-of-fit test (χ^2
- 8 *p*-value < 0.05¹ indicates lack of fit). Other factors were used to assess model fit, such as scaled
- 9 residuals, visual fit, and adequacy of fit in the low dose region and near the BMR.
- 10 For each endpoint, the BMDL estimate (95% lower confidence limit on the BMD, as
- 11 estimated by the profile likelihood method) and AIC value were used to select a best-fit model from
- 12 among the models exhibiting adequate fit. If the BMDL estimates were "sufficiently close," that is,
- 13 differed by more than 3-fold, the model selected was the one that yielded the lowest AIC value. If
- 14 the BMDL estimates were not sufficiently close, the lowest BMDL was selected as the POD. For the

¹A significance level of 0.05 is used for selecting cancer models because the model family (multistage) is selected a priori (<u>U.S. EPA, 2000</u>).

1 <u>NTP (1995)</u> and <u>Hard et al. (2011)</u> data, models were run with all doses included, as well as with

- 2 the high dose dropped. Dropping the high dose resulted in a better fit to the data. Including the high
- 3 dose caused the model to overestimate the control.

Table C-13. Cancer endpoints selected for dose-response modeling for *tert* butanol

Endpoint/Study	Species / Sex		Doses	and Effect Dat	а	
Renal tubule adenoma or	Rat (F344) /	Dose (mg/kg-d)	0	90	200	420
carcinoma <u>NTP (1995)</u>	Male	Incidence / Total	8 / 50	13 / 50	19 / 50	13 / 50
Renal tubule adenoma or	Rat (F344) /	Dose (PBPK, mg/L)	0	4.6945	12.6177	40.7135
carcinoma <u>NTP (1995)</u>	Male	Incidence / Total	8 / 50	13 / 50	19 / 50	13 / 50
Renal tubule adenoma or	Rat (F344) /	Dose (PBPK, mg/hr)	0	0.7992	1.7462	3.4712
carcinoma <u>NTP (1995)</u>	Male	Incidence / Total	8 / 50	13 / 50	19 / 50	13 / 50
Renal tubule adenoma or	Rat (F344) /	Dose (mg/kg-d)	0	90	200	420
carcinoma; Hard reanalysis <u>NTP (1995);Hard et al. (2011)</u>	Male	Incidence / Total	4 / 50	13 / 50	18 / 50	12 / 50
Renal tubule adenoma or	Rat (F344) /	Dose (PBPK, mg/L)	0	4.6945	12.6177	40.7135
carcinoma; Hard reanalysis NTP (1995);Hard et al. (2011)	Male	Incidence / Total	4 / 50	13 / 50	18 / 50	12 / 50
Renal tubule adenoma or	Rat (F344) /	Dose (PBPK, mg/hr)	0	0.7992	1.7462	3.4712
carcinoma; Hard reanalysis NTP (1995);Hard et al. (2011)	Male	Incidence / Total	4 / 50	13 / 50	18 / 50	12 / 50
Thyroid follicular cell		Dose (mg/kg-d)	0	510	1,020	2,110
adenoma <u>NTP (1995)</u>	B6C3F ₁ mice / female	Incidence / Total	2 / 58	3 / 60	2 / 59	9 / 59

6

7 C.1.2.1. Modeling Results

8 Below are tables summarizing the modeling results for the cancer endpoints that were
9 modeled. For the multistage models, the coefficients were restricted to be non-negative (beta
10 values ≥ 0).

Table C-14. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with administered dose units and including all dose groups (<u>NTP, 1995</u>); BMR = 10% extra risk.

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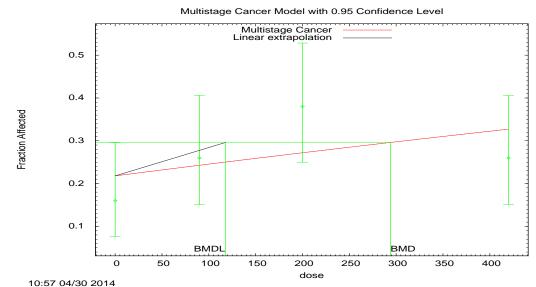
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Model ^a		Goodness of fit		BMD _{10Pct} (mg/kg-	BMDL _{10Pct}	Basis for model
	<i>p</i> - value	Scaled residuals	AIC	d)	(mg/kg-d)	selection
Three Two	0.080 6	-0.989, 0.288, 1.719, and -1.010	233.9 4	294	118	Multistage 2° is selected as the
One	0.080 6	-0.989, 0.288, 1.719, and -1.010	233.9 4	294	error ^b	most parsimonious model of adequate fit.

^a Selected model in bold.

^b BMD or BMDL computation failed for this model.



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Figure C-9. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/kg-d.

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9

- 1 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 2 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 3 4 beta1*dose^1-beta2*dose^2...)]
- The parameter betas are restricted to be positive
- 5

6 **Benchmark Dose Computation.**

- 7 BMR = 10% Extra risk
- 8 BMD = 293.978
- 9 BMDL at the 95% confidence level = 117.584
- 10 BMDU at the 95% confidence level = 543384000
- 11 Taken together, (117.584, 543384000) is a 90% two-sided confidence interval for the BMD
- 12 Multistage Cancer Slope Factor = 0.000850453
- 13

14 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Background	0.217704	0.2335
Beta(1)	0.000358397	0.000268894
Beta(2)	0	0

15

16 **Analysis of Deviance Table**

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-112.492	4			
Fitted model	-114.97	2	4.95502	2	0.08395
Reduced model	-115.644	1	6.30404	3	0.09772

17

18 AIC: = 233.94

19

20 **Goodness of Fit Table**

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.2177	10.885	8	50	-0.989
90	0.2425	12.127	13	50	0.288
200	0.2718	13.591	19	50	1.719
420	0.327	16.351	13	50	-1.01

21

22 Chi² = 5.04 d.f = 2 P-value = 0.0806

Table C-15. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with administered dose units and excluding high-dose group (<u>NTP, 1995</u>); BMR = 10% extra risk.

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Model ^a		Goodness of fit		BMD _{10Pct} (mg/kg-d)	BMDL _{10Pct}	Basis for model
	<i>p</i> - value	Scaled residuals	AIC		(mg/kg-d)	selection
Two	N/A ^b	0.000, -0.000, and - 0.000	173.6 8	75.6	41.6	Multistage 1° was selected as the
One	0.924	0.031, -0.078, and 0.045	171.6 9	70.1	41.6	only adequately- fitting model available

^a Selected model in bold.

^b No available degrees of freedom to calculate a goodness of fit value.

Multistage Cancer Model with 0.95 Confidence Level Multistage Cancer Linear extrapolation 0.5 0.4 Fraction Affected 0.3 0.2 0.1 BMDL BMD 100 150 200 0 50 dose 7 8 11:02 04/30 2014

Figure C-10. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/kg-d.

11

9

- 1 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 2 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 3 4 beta1*dose^1-beta2*dose^2...)]
- The parameter betas are restricted to be positive
- 5

6 **Benchmark Dose Computation.**

- 7 BMR = 10% Extra risk
- 8 BMD = 70.1068
- 9 BMDL at the 95% confidence level = 41.5902
- 10 BMDU at the 95% confidence level = 203.311
- 11 Taken together, (41.5902, 203.311) is a 90% two-sided confidence interval for the BMD
- 12 Multistage Cancer Slope Factor = 0.00240441
- 13

14 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Background	0.158399	0.156954
Beta(1)	0.00150286	0.0015217

15

16 **Analysis of Deviance Table**

Model	Log(likelihoo d)	# Param's	Deviance	Test d.f.	p-value
Full model	-83.8395	3			
Fitted model	-83.8441	2	0.00913685	1	0.9238
Reduced model	-86.9873	1	6.29546	2	0.04295

17

18 AIC: = 171.688

19

20 **Goodness of Fit Table**

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.1584	7.92	8	50	0.031
90	0.2649	13.243	13	50	-0.078
200	0.3769	18.844	19	50	0.045

21

22 Chi² = 0.01 d.f = 1 P-value = 0.9239

- Table C-16. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with PBPK (*tert*-butanol, mg/L) dose units and including all dose groups (<u>NTP, 1995</u>); BMR = 10% extra risk.

Model ^a	Goodness of fit		Goodness of fit BMD _{10Pct} (mg/L)		BMDL _{10Pct} (mg/L)	Basis for model
	<i>p</i> - value	Scaled residuals	AIC			selection
Three Two One	0.051 8	-1.373, 0.155, 1.889, and -0.668	234.8 3	51.8	13.9	Multistage 1° was selected as the most parsimonious model of adequate fit.

^a Selected model in bold.

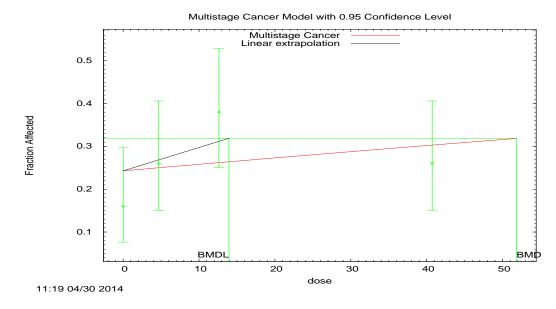


Figure C-11. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/L.

- 1 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 2 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 3 4 beta1*dose^1-beta2*dose^2...)]
- The parameter betas are restricted to be positive
- 5

6 **Benchmark Dose Computation.**

- 7 BMR = 10% Extra risk
- 8 BMD = 51.8357
- 9 BMDL at the 95% confidence level = 13.9404
- 10 BMDU at the 95% confidence level = error
- 11 Taken together, (13.9404, error) is a 90% two-sided confidence interval for the BMD
- 12 Multistage Cancer Slope Factor = error
- 13

14 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values	
Background	0.243327	0.253053	
Beta(1)	0.00203259	0.00150893	

15

16 **Analysis of Deviance Table**

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-112.492	4			
Fitted model	-115.417	2	5.84883	2	0.0537
Reduced model	-115.644	1	6.30404	3	0.09772

17

18 AIC: = 234.834

19

20 **Goodness of Fit Table**

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.2433	12.166	8	50	-1.373
4.6945	0.2505	12.526	13	50	0.155
12.6177	0.2625	13.124	19	50	1.889
40.7135	0.3034	15.171	13	50	-0.668

21

22 Chi² = 5.92 d.f = 2 P-value = 0.0518 23

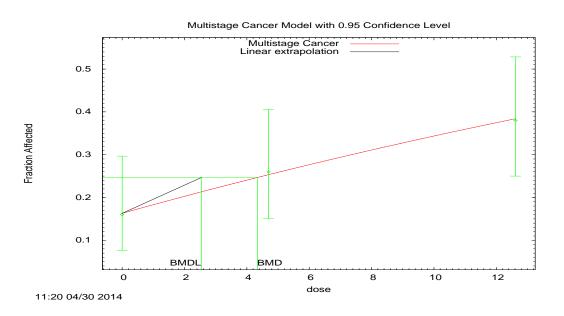
24

This document is a draft for review purposes only and does not constitute Agency policy. DRAFT-DO NOT CITE OR OUOTE 1Table C-17. Summary of BMD modeling results for renal tubule adenoma or2carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 23years modeled with PBPK (*tert*-butanol, mg/L) dose units and excluding high-4dose group (NTP, 1995); BMR = 10% extra risk.

Model ^a	l ^a Goodness of fit		Goodness of fit BMD _{10Pct} (mg/L)			Basis for model
	<i>p</i> - value	Scaled residuals	AIC			selection
Two One	0.891	-0.054, 0.113, and - 0.057	171.7 0	4.33	2.54	Multistage 1° was selected as the most parsimonious model of adequate fit.

^a Selected model in bold.

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6 7 8

Figure C-12. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/L.

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- 1 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 2 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 3 4 beta1*dose^1-beta2*dose^2...)]
- The parameter betas are restricted to be positive
- 5

6 **Benchmark Dose Computation.**

- 7 BMR = 10% Extra risk
- 8 BMD = 4.33496
- 9 BMDL at the 95% confidence level = 2.53714
- 10 BMDU at the 95% confidence level = 12.8097
- 11 Taken together, (2.53714, 12.8097) is a 90% two-sided confidence interval for the BMD
- 12 Multistage Cancer Slope Factor = 0.0394144
- 13

14 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Background	0.162798	0.164724
Beta(1)	0.0243048	0.0238858

15

16 **Analysis of Deviance Table**

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-83.8395	3			
Fitted model	-83.8489	2	0.0187339	1	0.8911
Reduced model	-86.9873	1	6.29546	2	0.04295

17

18 AIC: = 171.698

19

20 **Goodness of Fit Table**

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.1628	8.14	8	50	-0.054
4.6945	0.2531	12.654	13	50	0.113
12.6177	0.3839	19.195	19	50	-0.057

21

22 Chi^2 = 0.02 d.f = 1 P-value = 0.891

- Table C-18. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with PBPK (metabolized, mg/hr) dose units and including all dose groups (<u>NTP, 1995</u>); BMR = 10% extra risk.
- 5

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Model ^a		Goodness of fit		BMD _{10Pct} (mg/hr)	BMDL _{10Pct}	Basis for model
	<i>p</i> - value	Scaled residuals	AIC		(mg/hr)	selection
Three Two One	0.088 5	-0.920, 0.301, 1.677, and -1.049	233.7 6	2.28	0.954	Multistage 1° was selected as the most parsimonious model of adequate fit.

^a Selected model in bold.

Data from NTP1995

6

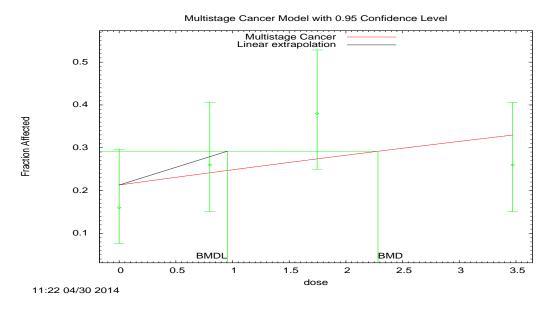


Figure C-13. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/hr.

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- 1 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 2 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 3 4 beta1*dose^1-beta2*dose^2...)]
- The parameter betas are restricted to be positive
- 5

6 **Benchmark Dose Computation.**

- 7 BMR = 10% Extra risk
- 8 BMD = 2.28299
- 9 BMDL at the 95% confidence level = 0.95436
- 10 BMDU at the 95% confidence level = error
- 11 Taken together, (0.95436, error) is a 90% two-sided confidence interval for the BMD
- 12 Multistage Cancer Slope Factor = error
- 13

14 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values	
Background	0.21328	0.229822	
Beta(1)	0.0461502	0.0349139	

15

16 **Analysis of Deviance Table**

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-112.492	4			
Fitted model	-114.879	2	4.77309	2	0.09195
Reduced model	-115.644	1	6.30404	3	0.09772

17

18 AIC: = 233.758

19

20 **Goodness of Fit Table**

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.2133	10.664	8	50	-0.92
0.7992	0.2418	12.088	13	50	0.301
1.7462	0.2742	13.71	19	50	1.677
3.4712	0.3297	16.487	13	50	-1.049

21

22 Chi² = 4.85 d.f = 2 P-value = 0.0885

- Table C-19. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with PBPK (metabolized, mg/hr) dose units and excluding high-dose group (<u>NTP, 1995</u>); BMR = 10% extra risk.
- 5

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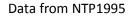
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Model ^a		Goodness of fit		BMD _{10Pct} (mg/hr)	BMDL _{10Pct}	Basis for model
	<i>p</i> - value	Scaled residuals	AIC		(mg/hr)	selection
Two	N/A ^b	-0.000, -0.000, and -0.000	173.6 8	0.673	0.365	Multistage 1° was selected on the basis of lowest AIC.
One	0.906	0.037, -0.096, and 0.057	171.6 9	0.614	0.364	

^a Selected model in bold.

^b No available degrees of freedom to calculate a goodness of fit value.





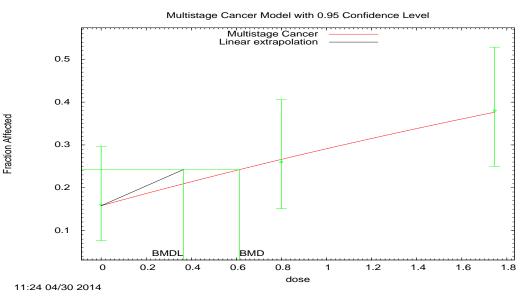


Figure C-14. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/hr.

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- 1 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 2 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 3 4 beta1*dose^1-beta2*dose^2...)]
- The parameter betas are restricted to be positive
- 5

6 **Benchmark Dose Computation.**

- 7 BMR = 10% Extra risk
- 8 BMD = 0.613798
- 9 BMDL at the 95% confidence level = 0.364494
- 10 BMDU at the 95% confidence level = 1.77845
- 11 Taken together, (0.364494, 1.77845) is a 90% two-sided confidence interval for the BMD
- 12 Multistage Cancer Slope Factor = 0.274353
- 13

14 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Background	0.158068	0.156284
Beta(1)	0.171653	0.174305

15

16 **Analysis of Deviance Table**

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-83.8395	3			
Fitted model	-83.8465	2	0.0138544	1	0.9063
Reduced model	-86.9873	1	6.29546	2	0.04295

17

18 AIC: = 171.693

19

20 **Goodness of Fit Table**

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.1581	7.903	8	50	0.037
0.7992	0.266	13.3	13	50	-0.096
1.7462	0.3761	18.806	19	50	0.057

21

22 $Chi^2 = 0.01 df = 1 P-value = 0.9064$

Table C-20. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with administered dose units and including all dose groups;

- reanalyzed data (<u>Hard et al., 2011; NTP, 1995</u>); BMR = 10% extra risk.
- 5

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Model ^a		Goodness of fit		BMD _{10Pct} (mg/kg-d)		Basis for model
	<i>p</i> - value	Scaled residuals	AIC		(mg/kg-d)	selection
Three Two One	0.011 7	-1.476, 1.100, 1.855, and -1.435	218.6 8	184	94.8	No model fit the data.

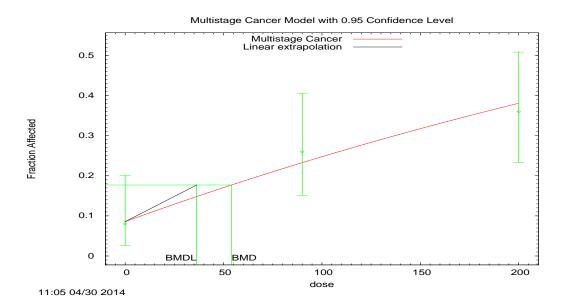
^a No model was selected as a best-fitting model.

Table C-21. Summary of BMD modeling results for renal tubule adenoma or
carcinoma in male F344 rats exposed to <i>tert</i> -butanol in drinking water for 2
years modeled with administered dose units and excluding high-dose group;
re-analyzed data (<u>Hard et al., 2011; NTP, 1995</u>); BMR = 10% extra risk.

10

Model ^a		Goodness of fit		BMD _{10Pct} (mg/kg-d)	BMDL _{10Pct}	Basis for model
	<i>p</i> - value	Scaled residuals	AIC		(mg/kg-d)	selection
Two One	0.572	-0.141, 0.461, and - 0.296	154.8 4	54.2	36.3	Multistage 1° was selected as the most parsimonious model of adequate fit.

^a Selected model in bold.



4

Figure C-15. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/kg-d.

- 5 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 6 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 7 beta1*dose^1-beta2*dose^2...)]
- 8 The parameter betas are restricted to be positive
- 9

10 Benchmark Dose Computation.

- 11 BMR = 10% Extra risk
- 12 BMD = 54.1642
- 13 BMDL at the 95% confidence level = 36.3321
- 14 BMDU at the 95% confidence level = 101.125
- 15 Taken together, (36.3321, 101.125) is a 90% two-sided confidence interval for the BMD
- 16 Multistage Cancer Slope Factor = 0.00275239
- 17

18 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Background	0.0855815	0.0981146
Beta(1)	0.00194521	0.00179645

19

20 Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-75.2622	3			
Fitted model	-75.4201	2	0.315716	1	0.5742
Reduced model	-81.4909	1	12.4574	2	0.001972

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- 2 AIC: = 154.84
- 1 2 3 4

Goodness of Fit Table

Chi² = 0.32 d.f = 1 P-value = 0.5715

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.0856	4.279	4	50	-0.141
90	0.2324	11.622	13	50	0.461
200	0.3803	19.015	18	50	-0.296

5 6 7

0

Table C-22. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with PBPK (*tert*-butanol, mg/L) dose units and including all dose groups; reanalyzed data (<u>Hard et al., 2011</u>; <u>NTP, 1995</u>); BMR = 10% extra risk.

Model ^a	Goodness of fit		Goodness of fit BMD _{10Pct} (mg/L)		BMDL _{10Pct} (mg/L)	
	<i>p</i> - value	Scaled residuals	AIC			selection
Three Two One	0.004 8	-2.089, 0.864, 2.165, and -0.929	220.8 2	31.4	11.7	No model fit the data.

^a No model was selected as a best-fitting model.

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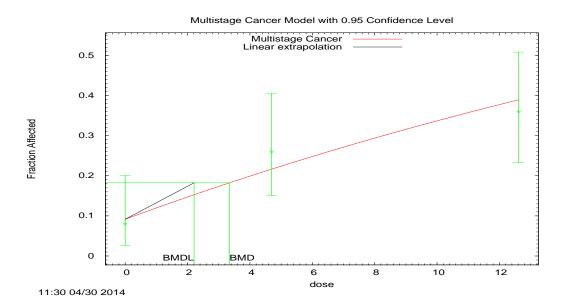
8 9

10

Table C-23. Summary of BMD modeling results for renal tubule adenoma or carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2 years modeled with PBPK (*tert*-butanol, mg/L) dose units and excluding high-dose group; reanalyzed data (<u>Hard et al., 2011</u>; <u>NTP, 1995</u>); BMR = 10% extra risk.

Model ^a	Goodness of fit		Goodness of fit BMD _{10Pct} (mg/L)		BMDL _{10Pct} (mg/L)	Basis for model
	<i>p</i> - value	Scaled residuals	AIC			selection
Two One	0.364	-0.285, 0.750, and - 0.424	155.3 3	3.35	2.21	Multistage 1° was selected as the most parsimonious model of adequate fit.

^a Selected model in bold.



4

Figure C-16. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/L.

5 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)

- 6 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 7 beta1*dose^1-beta2*dose^2...)]
- 8 The parameter betas are restricted to be positive

9

10 Benchmark Dose Computation.

- 11 BMR = 10% Extra risk
- 12 BMD = 3.34903
- 13 BMDL at the 95% confidence level = 2.20865
- 14 BMDU at the 95% confidence level = 6.49702
- 15 Taken together, (2.20865, 6.49702) is a 90% two-sided confidence interval for the BMD
- 16 Multistage Cancer Slope Factor = 0.0452765
- 17

18 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Background	0.0916116	0.110649
Beta(1)	0.03146	0.0276674

19

20 Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-75.2622	3			
Fitted model	-75.664	2	0.803466	1	0.3701
Reduced model	-81.4909	1	12.4574	2	0.001972

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- 1
- 2 AIC: = 155.328
- 3

4 Goodness of Fit Table

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.0916	4.581	4	50	-0.285
4.6945	0.2163	10.817	13	50	0.75
12.6177	0.3892	19.462	18	50	-0.424

- 5 6
- 7

 $Chi^2 = 0.82$ d.f = 1 P-value = 0.3643

8

18

9Table C-24. Summary of BMD modeling results for renal tubule adenoma or10carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 211years modeled with PBPK (metabolized, mg/hr) dose units and including all12dose groups; reanalyzed data (Hard et al., 2011; NTP, 1995); BMR = 10% extra13risk.

Model ^a	Goodness of fit		Goodness of fit BMD _{10Pct} (mg/hr)		BMDL _{10Pct}	Basis for model
	<i>p</i> - value	Scaled residuals	AIC		(mg/hr)	selection
Three Two One	0.014 2	-1.367, 1.119, 1.783, and -1.484	218.2 6	1.44	0.770	No model fit the data.

^a No model was selected as a best-fitting model.

14 Table C-25. Summary of BMD modeling results for renal tubule adenoma or

- 15 carcinoma in male F344 rats exposed to *tert*-butanol in drinking water for 2
- years modeled with PBPK (metabolized, mg/hr) dose units and excluding
 high-dose group; reanalyzed data (Hard et al., 2011; NTP, 1995); BMR = 10%
 - high-dose group; reanalyzed data (<u>Hard et al., 2011</u>; <u>NTP, 1995</u>); BMR = 10% extra risk.

Model ^a		Goodness of fit		BMD _{10Pct}	BMDL _{10Pct}	Basis for model
	<i>p</i> -value	Scaled residuals	AIC	(mg/hr)	(mg/hr)	selection
Two One	0.593	-0.130, 0.435, and -0.281	154.81	0.474	0.319	Multistage 1° was selected as the most parsimonious model of adequate fit.

^a Selected model in bold.

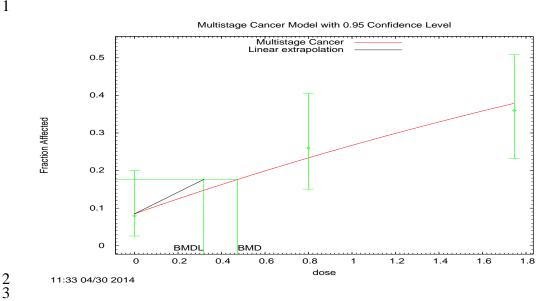


Figure C-17. Plot of incidence rate by dose, with fitted curve for selected model; dose shown in mg/hr.

- 6 Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010)
- 7 The form of the probability function is: P[response] = background + (1-background)*[1-EXP(-
- 8 beta1*dose^1-beta2*dose^2...)]
- 9 The parameter betas are restricted to be positive
- 10

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11 **Benchmark Dose Computation.**

- BMR = 10% Extra risk 12
- 13 BMD = 0.474241
- 14 BMDL at the 95% confidence level = 0.318504
- 15 BMDU at the 95% confidence level = 0.882859
- 16 Taken together, (0.318504, 0.882859) is a 90% two-sided confidence interval for the BMD
- 17 Multistage Cancer Slope Factor = 0.313968
- 18

19 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Background	0.0851364	0.0969736
Beta(1)	0.222167	0.206161

20

21 **Analysis of Deviance Table**

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	p-value
Full model	-75.2622	3			
Fitted model	-75.4029	2	0.281435	1	0.5958
Reduced model	-81.4909	1	12.4574	2	0.001972

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- AIC: = 154.806
- 1 2 3 4

Goodness of Fit Table

Chi² = 0.29 d.f = 1 P-value = 0.5933

Dose	Est. Prob.	Expected	Observed	Size	Scaled Resid
0	0.0851	4.257	4	50	-0.13
0.7992	0.234	11.699	13	50	0.435
1.7462	0.3793	18.966	18	50	-0.281

5 6 7

Table C-26. Summary of BMD modeling results for thyroid follicular cell adenomas in female B6C3F1 mice exposed to *tert*-butanol in drinking water for 2 years (<u>NTP. 1995</u>); BMR = 10% extra risk.

	Goodne	ess of fit	BMD _{10%} c	BMDL _{10%} c	
Model ^ª	<i>p</i> -value	AIC ^b	(mg/kg-d)	(mg/kg-d)	Basis for model selection
Three	0.75	113.665	2002	$1/1 \neq 1$	Multistage 3° was selected on the basis of the lowest AIC with all BMDL values
Тwo	0.36	115.402	2186	1217	for fitting models being sufficiently close (BMDLs differed by less than 3-
One	0.63	114.115	1987	1378	fold).

^aSelected (best-fitting) model shown in boldface type

^b AIC = Akaike Information Criterion

^c Confidence level 0.95

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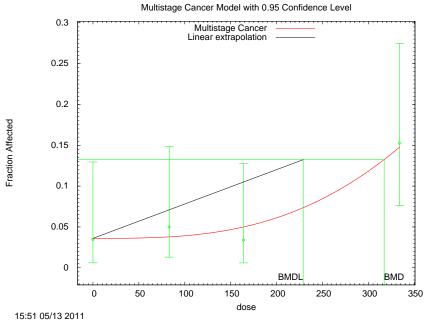




Figure C-18. Plot of mean response by dose, with fitted curve for selected model.

Multistage Cancer Model. (Version: 1.9; Date: 05/26/2010) Input Data File: M:\NCEA **tert-butanol**\BMD modeling\BMDS Output\29 NTP 1995b_Thyroid folluclar cell andenoma, female mice (HEC)_MultiCanc3_10.(d) Gnuplot Plotting File: M:\NCEA **tert-butanol**\BMD modeling\BMDS Output\29 NTP 1995b_Thyroid folluclar cell andenoma, female mice (HEC)_MultiCanc3_10.plt Fri May 13 15:51:46 2011 [notes]

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```
The form of the probability function is:
  P[response] = background + (1-background)*[1-EXP(
         -beta1*dose^1-beta2*dose^2-beta3*dose^3)]
  The parameter betas are restricted to be positive
 Dependent variable = Incidence
 Independent variable = Dose
Total number of observations = 4
Total number of records with missing values = 0
Total number of parameters in model = 4
Total number of specified parameters = 0
Degree of polynomial = 3
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008
        Default Initial Parameter Values
          Background = 0.0344951
           Beta(1) =
                          0
           Beta(2) =
                          0
           Beta(3) = 3.4555e-009
     Asymptotic Correlation Matrix of Parameter Estimates
      ( *** The model parameter(s) -Beta(1) -Beta(2)
        have been estimated at a boundary point, or have been specified by the user,
        and do not appear in the correlation matrix )
       Background
                  Beta(3)
             1
Background
                    -0.54
 Beta(3)
            -0.54
                       1
                 Parameter Estimates
                             95.0% Wald Confidence Interval
   Variable
                Estimate
                            Std. Err. Lower Conf. Limit Upper Conf. Limit
  Background
                 0.0359685
                                *
                                        *
   Beta(1)
                  0
                       *
   Beta(2)
                  0
   Beta(3)
             3.30537e-009
                                *
                                         *
                                                   *
* - Indicates that this value is not calculated.
           Analysis of Deviance Table
   Model Log(likelihood) # Param's Deviance Test d.f. P-value
  Full model
                -54.5437
                             4
 Fitted model
                 -54.8422
                              2
                                  0.597063 2
                                                   0.7419
Reduced model
                 -58.5048
                              1
                                   7.92235 3
                                                   0.04764
     AIC:
             113.684
                 Goodness of Fit
                                Scaled
```

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DRAFT-DO NOT CITE OR QUOTE

Dose Est._Prob. Expected Observed Size Residual 0.0000 0.0360 2.086 2.000 58 -0.061 83.0000 0.0378 2.267 3.000 60 0.496 164.0000 0.0499 2.945 2.000 59 -0.565 334.0000 0.1477 8.713 9.000 59 0.105 Chi^2 = 0.58 d.f. = 2 P-value = 0.7482 Benchmark Dose Computation Specified effect = 0.1 Risk Type = Extra risk Confidence level = 0.95 BMD = 317.068 BMDL = 228.888 BMDU = 600.031 Taken together, (228.888, 600.031) is a 90 % two-sided confidence interval for the BMD Multistage Cancer Slope Factor = 0.000436894

APPENDIX D. SUMMARY OF EXTERNAL PEER REVIEW AND PUBLIC COMMENTS AND EPA'S DISPOSITION

4 [placeholder]

5 Additional Appendices:

- 6 [appendices can be used to supplement the HI and D-R analysis the information
- 7 presented by the appendices will be chemical-specific]

8 Examples:

- 9 **PBPK Modeling of [chemical] and metabolites detailed methods and results**
- 10 Meta-analysis of _____ results from epidemiological studies
- Lifetable analysis and weighted linear regression based on results from
 [reference]

2

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