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## **Toxicological Review of Benzo[a]pyrene**

(CASRN 50-32-8)

### **In Support of Summary Information on the Integrated Risk Information System (IRIS)**

#### **Supplemental Information**

*November 2016*

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## ABBREVIATIONS

1-OH-Py	1-hydroxypyrene	Fe <sub>2</sub> O <sub>3</sub>	ferrous oxide
AchE	acetylcholine esterase	FSH	follicle stimulating hormone
ADAF	age-dependent adjustment factor	GABA	gamma-aminobutyric acid
Ah	aryl hydrocarbon	GD	gestational day
AHH	aryl hydrocarbon hydroxylase	GI	gastrointestinal
AhR	aryl hydrocarbon receptor	GJIC	gap junctional intercellular communication
AIC	Akaike's Information Criterion	GSH	reduced glutathione
AKR	aldo-keto reductase	GST	glutathione-S-transferase
AMI	acute myocardial infarction	GSTM1	glutathione-S-transferase M1
ANOVA	analysis of variance	hCG	human chorionic gonadotropin
ARNT	Ah receptor nuclear translocator	HEC	human equivalent concentration
AST	aspartate transaminase	HED	human equivalent dose
ATSDR	Agency for Toxic Substances and Disease Registry	HERO	Health and Environmental Research Online
BMC	benchmark concentration	HFC	high-frequency cell
BMCL	benchmark concentration lower confidence limit	HPLC	high-performance liquid chromatography
BMD	benchmark dose	hppt	hypoxanthine guanine phosphoribosyl transferase
BMDL	benchmark dose, 95% lower bound	HR	hazard ratio
BMDS	Benchmark Dose Software	Hsp90	heat shock protein 90
BMR	benchmark response	i.p.	intraperitoneal
BPDE	benzo[a]pyrene-7,8-diol-9,10-epoxide	i.v.	intravenous
BPQ	benzo[a]pyrene semiquinone	Ig	immunoglobulin
BrdU	bromodeoxyuridine	IHD	ischemic heart disease
BSM	benzene-soluble matter	IRIS	Integrated Risk Information System
BUN	blood urea nitrogen	LDH	lactate dehydrogenase
BW	body weight	LH	luteinizing hormone
CA	chromosomal aberration	LOAEL	lowest-observed-adverse-effect level
CAL/EPA	California Environmental Protection Agency	MAP	mitogen-activated protein
CASRN	Chemical Abstracts Service Registry Number	MCL	Maximum Contaminant Level
CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act	MCLG	Maximum Contaminant Level Goal
CHO	Chinese hamster ovary	MIAME	Minimum Information About a Microarray Experiment
CI	confidence interval	MLE	maximum likelihood estimate
CYP	cytochrome	MMAD	mass median aerodynamic diameter
CYP450	cytochrome P450	MN	micronucleus
DAF	dosimetric adjustment factor	MPPD	Multi-Path Particle Deposition
dbcAMP	dibutyl cyclic adenosine monophosphate	mRNA	messenger ribonucleic acid
DMSO	dimethyl sulfoxide	MS	mass spectrometry
DNA	deoxyribonucleic acid	NCE	normochromatic erythrocyte
EC	European Commission	NCEA	National Center for Environmental Assessment
EH	epoxide hydrolase	NIOSH	National Institute for Occupational Safety and Health
ELISA	enzyme-linked immunosorbent assay	NK	natural-killer
EPA	Environmental Protection Agency	NMDA	N-methyl-D-aspartate
EROD	7-ethoxyresorufin-O-deethylase	NOAEL	no-observed-adverse-effect level
ETS	environmental tobacco smoke	NPL	National Priorities List
EU	European Union	NQO	NADPH:quinone oxidoreductase

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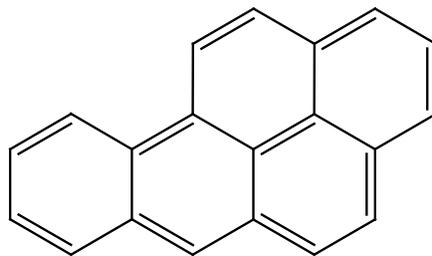
NRC	National Research Council	UVA	ultraviolet-A
NTP	National Toxicology Program	UVB	ultraviolet-B
OECD	Organisation for Economic Co-operation and Development	WBC	white blood cell
OR	odds ratio	WESPOC	water escape pole climbing
ORD	Office of Research and Development	WT	wild type
PAH	polycyclic aromatic hydrocarbon	WTC	World Trade Center
PBMC	peripheral blood mononuclear cell	XPA	xeroderma pigmentosum group A
PBPK	physiologically based pharmacokinetic		
PCA	Principal Components Analysis		
PCE	polychromatic erythrocyte		
PCNA	proliferating cell nuclear antigen		
PND	postnatal day		
POD	point of departure		
PUVA	psoralen plus ultraviolet-A		
RBC	red blood cell		
RDDR <sub>ER</sub>	regional deposited dose ratio for extrarespiratory effects		
RfC	inhalation reference concentration		
RfD	oral reference dose		
RNA	ribonucleic acid		
ROS	reactive oxygen species		
RR	relative risk		
s.c.	subcutaneous		
SCC	squamous cell carcinoma		
SCE	sister chromatid exchange		
SCSA	sperm chromatin structure assay		
SD	standard deviation		
SE	standard error		
SEM	standard error of the mean		
SHE	Syrian hamster embryo		
SIR	standardized incidence ratio		
SMR	standardized mortality ratio		
SOAR	Systematic Omics Analysis Review		
SOD	superoxide dismutase		
SRBC	sheep red blood cells		
SSB	single-strand break		
TCDD	2,3,7,8-tetrachlorodibenzo-p-dioxin		
TK	thymidine kinase		
ToxR	Toxicological Reliability Assessment		
TPA	12-O-tetradecanoylphorbol-13-acetate		
TUNEL	terminal deoxynucleotidyl transferase dUTP nick end labeling		
TWA	time-weighted average		
UCL	upper confidence limit		
UDP-UGT	uridine diphosphate- glucuronosyltransferase		
UDS	unscheduled DNA synthesis		
UF	uncertainty factor		
UF <sub>A</sub>	interspecies uncertainty factor		
UF <sub>D</sub>	database deficiencies uncertainty factor		
UF <sub>H</sub>	intraspecies uncertainty factor		
UF <sub>L</sub>	LOAEL-to-NOAEL uncertainty factor		
UF <sub>S</sub>	subchronic-to-chronic uncertainty factor		

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## APPENDIX A. CHEMICAL PROPERTIES AND EXPOSURE INFORMATION

Benzo[a]pyrene is a five-ring polycyclic aromatic hydrocarbon (PAH) (Figure A-1). It is a pale yellow crystalline solid with a faint aromatic odor. It is relatively insoluble in water and has low volatility. Benzo[a]pyrene is released to the air from both natural and anthropogenic sources and removed from the atmosphere by photochemical oxidation; reaction with nitrogen oxides, hydroxy and hydroperoxy radicals, ozone, sulfur oxides, and peroxyacetyl nitrate; and wet and dry deposition to land or water. In air, benzo[a]pyrene is predominantly adsorbed to particulates, but may also exist as a vapor at high temperatures ([HSDB, 2012](#)). The half-lives for degradation of benzo[a]pyrene in soil, air, water, and sediment are 229–309, 0.02–7, 39–71, and 196–2,293 days, respectively ([HSDB, 2012](#); [GLC, 2007](#)).

The structural formula is presented in Figure A-1. The physical and chemical properties of benzo[a]pyrene are shown in Table A-1.



Benzo[a]pyrene

**Figure A-1. Structural formula of benzo[a]pyrene.**

1 **Table A-1. Chemical and physical properties of benzo[a]pyrene**

CASRN 50-32-8		
Synonyms	Benzo[d,e,f]chrysene; 3,4-benzopyrene, 3,4-benzpyrene; benz[a]pyrene; BP; BaP	<a href="#">ChemIDplus (2012)</a>
Melting point	179–179.3°C	<a href="#">O'Neil et al. (2001)</a>
Boiling point	310–312°C at 10 mm Hg	<a href="#">O'Neil et al. (2001)</a>
Vapor pressure, at 20°C	$5 \times 10^{-7}$ mm Hg	<a href="#">Verschueren (2001)</a>
Density	1.351 g/cm <sup>3</sup>	<a href="#">IARC (1973)</a>
Flashpoint (open cup)	No data	
Water solubility at 25°C	$1.6\text{--}2.3 \times 10^{-3}$ mg/L	<a href="#">Howard and Meylan (1997)</a> ; <a href="#">ATSDR (1995)</a>
Log K <sub>ow</sub>	6.04	<a href="#">Verschueren (2001)</a>
Odor threshold	No data	
Molecular weight	252.32	<a href="#">O'Neil et al. (2001)</a>
Conversion factors <sup>a</sup>	1 ppm = 10.32 mg/m <sup>3</sup>	<a href="#">Verschueren (2001)</a>
Empirical formula	C <sub>20</sub> H <sub>12</sub>	<a href="#">ChemIDplus (2012)</a>

2  
3 <sup>a</sup>Calculated based on the ideal gas law,  $PV = nRT$  at 25°C:  $\text{ppm} = \text{mg}/\text{m}^3 \times 24.45 \div \text{molecular weight}$ .

4  
5 No reference to any commercial use for purified benzo[a]pyrene, other than for research  
6 purposes, was found. The earliest research reference for benzo[a]pyrene was related to the  
7 identification of coal tar constituents associated with human skin tumors ([Phillips, 1983](#); [Cook et](#)  
8 [al., 1933](#)). It is found ubiquitously in the environment, primarily as a result of incomplete  
9 combustion emissions ([Boström et al., 2002](#)). It is released to the environment via both natural  
10 sources (such as forest fires) and anthropogenic sources including stoves/furnaces burning fossil  
11 fuels (especially wood and coal), motor vehicle exhaust, cigarette smoke, and various industrial  
12 combustion processes ([ATSDR, 1995](#)). Benzo[a]pyrene is also found in soot and coal tars. Studies  
13 have reported that urban run-off from asphalt-paved car parks treated with coats of coal-tar  
14 emulsion seal could account for the majority of PAHs in many watersheds ([Rowe and O'Connor,](#)  
15 [2011](#); [Van Metre and Mahler, 2010](#); [Mahler et al., 2005](#)). Occupational exposure to PAHs occurs  
16 primarily through inhalation and skin contact during the production and use of coal tar and coal-  
17 tar-derived products, such as roofing tars, creosote, and asphalt ([IARC, 2010](#)). Chimney sweeping  
18 can result in exposure to benzo[a]pyrene-contaminated soot ([ATSDR, 1995](#)). Workers involved in  
19 the production of aluminum, coke, graphite, and silicon carbide may also be exposed to  
20 benzo[a]pyrene (see Table A-2).

21 Benzo[a]pyrene concentrations have been well documented in samples of ground, drinking,  
22 and surface water ([HSDB, 2012](#)). An assessment of benzo[a]pyrene emissions in the Great Lakes

1 Region in 2002 indicated that the largest source categories are metal production (33%), petroleum  
2 refineries (11%), residential wood burning (28%), open burning (13%), on-road vehicles (6%), and  
3 off-highway gasoline engines (3%) ([GLC, 2007](#)).

4 *Inhalation Exposure.* The Agency for Toxic Substances and Disease Registry ([ATSDR, 1995](#))  
5 reported average indoor concentrations of benzo[a]pyrene of 0.37–1.7 ng/m<sup>3</sup> for smokers and  
6 0.27–0.58 ng/m<sup>3</sup> for nonsmokers. [Naumova et al. \(2002\)](#) measured PAHs in 55 nonsmoking  
7 residences in three urban areas during June 1999–May 2000. Mean indoor benzo[a]pyrene levels  
8 ranged from 0.02 to 0.078 ng/m<sup>3</sup>; outdoor levels were 0.025–0.14 ng/m<sup>3</sup>. The authors concluded  
9 that indoor levels of the 5–7-ring PAHs (such as benzo[a]pyrene) were dominated by outdoor  
10 sources and observed an average indoor/outdoor ratio of approximately 0.7 ([Naumova et al.](#)  
11 [2002](#)). [Mitra and Wilson \(1992\)](#) measured benzo[a]pyrene air levels in Columbus, Ohio, and found  
12 elevated indoor levels in homes with smokers. The measured average concentration was  
13 1.38 ng/m<sup>3</sup> for outdoor air; indoor concentrations were 0.07 ng/m<sup>3</sup> for homes with electrical  
14 utilities, 0.91 ng/m<sup>3</sup> for homes with gas utilities, 0.80 ng/m<sup>3</sup> for homes with gas utilities and a  
15 fireplace, 2.75 ng/m<sup>3</sup> for homes with gas utilities and smokers, and 1.82 ng/m<sup>3</sup> for homes with gas  
16 utilities, smokers, and a fireplace ([Mitra and Wilson, 1992](#)). [Mitra and Ray \(1995\)](#) evaluated data  
17 on benzo[a]pyrene air levels in Columbus, Ohio, and reported average concentrations of 0.77 ng/m<sup>3</sup>  
18 inside homes and 0.23 ng/m<sup>3</sup> outdoors. [Park et al. \(2001\)](#) measured an average ambient level of  
19 benzo[a]pyrene in Seabrook, Texas during 1995–1996 of 0.05 ng/m<sup>3</sup> (vapor plus particulate). [Park](#)  
20 [et al. \(2001\)](#) also reported average ambient air levels from earlier studies as 1.0 ng/m<sup>3</sup> for Chicago,  
21 0.19 ng/m<sup>3</sup> for Lake Michigan, 0.01 ng/m<sup>3</sup> for Chesapeake Bay, and 0.02 ng/m<sup>3</sup> for Corpus Christie,  
22 Texas. [Petry et al. \(1996\)](#) conducted personal air sampling during 1992 at five workplaces in  
23 Switzerland: carbon anode production, graphite production, silicon carbide production, bitumen  
24 paving work, and metal recycling. Table A-2 summarizes the benzo[a]pyrene air concentration  
25 data from the previous studies.

26

1 **Table A-2. Benzo[a]pyrene concentrations in air**

Setting	Years	n	Concentration (ng/m <sup>3</sup> )	Reference
<b>Outdoor, urban</b>				
Los Angeles, California	1999–2000	19	0.065	<a href="#">Naumova et al. (2002)</a>
Houston, Texas	1999–2000	21	0.025	<a href="#">Naumova et al. (2002)</a>
Elizabeth, New Jersey	1999–2000	15	0.14	<a href="#">Naumova et al. (2002)</a>
Seabrook, Texas	1995–1996	NA	0.05	<a href="#">Park et al. (2001)</a>
Columbus, Ohio	1986–1987	8	0.23	<a href="#">Mitra and Ray (1995)</a>
<b>Indoor, residential</b>				
Los Angeles, California	1999–2000	19	0.078	<a href="#">Naumova et al. (2002)</a>
Houston, Texas	1999–2000	21	0.020	<a href="#">Naumova et al. (2002)</a>
Elizabeth, New Jersey	1999–2000	15	0.055	<a href="#">Naumova et al. (2002)</a>
Columbus, Ohio	1986–1987	8	0.77	<a href="#">Mitra and Ray (1995)</a>
Columbus, Ohio		10	0.07–2.75	<a href="#">Mitra and Wilson (1992)</a>
Homes with smokers			0.37–1.7	<a href="#">ATSDR (1995)</a>
Homes without smokers			0.27–0.58	<a href="#">ATSDR (1995)</a>
<b>Occupational</b>				
Aluminum production			30–530	<a href="#">ATSDR (1995)</a>
Coke production			150–6,720; 8,000	<a href="#">Petry et al. (1996)</a> ; <a href="#">ATSDR (1995)</a>
Carbon anode production, Switzerland	1992	30	1,100	<a href="#">Petry et al. (1996)</a>
Graphite production, Switzerland	1992	16	83	<a href="#">Petry et al. (1996)</a>
Silicon carbide production, Switzerland	1992	14	36	<a href="#">Petry et al. (1996)</a>
Metal recovery, Switzerland	1992	5	14	<a href="#">Petry et al. (1996)</a>
Bitumen paving, Switzerland	1992	9	10	<a href="#">Petry et al. (1996)</a>

2  
3 NA = not available.

4  
5 [Santodonato et al. \(1981\)](#) estimated the adult daily intake from inhalation as 9–43 ng/day.  
6 The European Commission ([EC, 2002](#)) reported benzo[a]pyrene air levels in Europe during the  
7 1990s as 0.1–1 ng/m<sup>3</sup> in rural areas and 0.5–3 ng/m<sup>3</sup> in urban areas. The amount of  
8 benzo[a]pyrene is reported to be 5–80 ng per cigarette in mainstream cigarette smoke, but  
9 significantly higher, 25–200 ng per cigarette in sidestream smoke. Concentrations of  
10 400–760,000 ng/m<sup>3</sup> have been reported in a cigarette smoke-polluted environment ([Cal/EPA,](#)  
11 [2010](#)). The mean intake via inhalation for an adult nonsmoker was estimated as 20 ng/day.  
12 [Naumova et al. \(2002\)](#) focused on nonsmoking residences and suggested that typical air exposures

1 are <0.14 ng/m<sup>3</sup>, which would result in an intake of <3 ng/day assuming an inhalation rate of  
 2 20 m<sup>3</sup>/day.

3 *Oral Exposure.* The processing and cooking of foods is viewed as the dominant pathway of  
 4 PAH contamination in foods ([Boström et al., 2002](#)). Among the cooking methods that lead to PAH  
 5 contamination are the grilling, roasting, and frying of meats. Raw meat, milk, poultry, and eggs  
 6 normally do not contain high levels of PAHs due to rapid metabolism of these compounds in the  
 7 species of origin. However, some marine organisms, such as mussels and lobsters, are known to  
 8 adsorb and accumulate PAHs from contaminated water (e.g., oil spills). Vegetables and cereal  
 9 grains can become contaminated primarily through aerial deposition of PAHs present in the  
 10 atmosphere ([Li et al., 2009](#)).

11 [Kazerouni et al. \(2001\)](#) measured benzo[a]pyrene in a variety of commonly consumed foods  
 12 collected from grocery stores and restaurants in Maryland (analyzed as a composite from  
 13 4–6 samples of each food type). The foods were tested after various methods of cooking; the  
 14 results are reported in Table A-3. The concentrations were combined with food consumption data  
 15 to estimate intake. The intakes of the 228 subjects ranged from approximately 10 to 160 ng/day,  
 16 with about 30% in the 40–60 ng/day range. The largest contributions to total intake were reported  
 17 as bread, cereal, and grain (29%) and grilled/barbecued meats (21%).

18 **Table A-3. Benzo[a]pyrene levels in food**

Food	Concentration (ng/g)
Meat	
Fried or broiled beef	0.01–0.02
Grilled beef	0.09–4.9
Fried or broiled chicken	0.08–0.48
Grilled chicken	0.39–4.57
Fish	0.01–0.24
Smoked fish	0.1
Bread	0.1
Breakfast cereals	0.02–0.3
Vegetable oil	0.02
Eggs	0.03
Cheese	<0.005
Butter	<0.005
Milk	0.02
Fruit	0.01–0.17

19  
 20 Source: [Kazerouni et al. \(2001\)](#).

1  
2 [Kishikawa et al. \(2003\)](#) measured benzo[a]pyrene levels in cow milk, infant formula, and  
3 human milk from Japan, with means of 0.03 ng/g (n = 14) in cow milk, 0.05 ng/g (n = 3) in infant  
4 formula, and 0.002 (n = 51) in human milk.

5 From the surveys conducted in six EU countries, the mean or national-averaged dietary  
6 intake of benzo[a]pyrene for an adult person was estimated in the range of 0.05–0.29 µg/day ([EC,  
7 2002](#)). Children may be subject to higher oral intake of benzo[a]pyrene. In a Spanish study in  
8 which benzo[a]pyrene was detected in foods, children ages 4–9 years old were found to have the  
9 highest estimated daily intake, as compared to adults and adolescents ([Falco et al., 2003](#)). In the  
10 United Kingdom, average intakes on a ng kg<sup>-1</sup> day<sup>-1</sup> basis were estimated for the following age  
11 groups: adults, 1.6; 15–18 years, 1.4; 11–14 years, 1.8; 7–10 years, 2.6; 4–6 years, 3.3; and toddlers,  
12 3.1–3.8. The major contributors were the oils and fats group (50%), cereals (30%), and vegetables  
13 (8%) ([EC, 2002](#)). The contribution from grilled foods appeared less important in Europe than in the  
14 United States because grilled foods are consumed less often ([EC, 2002](#)). In the United States, the  
15 ingested dose of benzo[a]pyrene may be much higher than the amount inhaled. A study in New  
16 Jersey estimated a daily median total ingested dose of 176 ng based on a urinary biomarker study  
17 of 14 adult volunteers over 14 consecutive days, which exceeded the winter inhalation dose  
18 (11 ng/day) by 16-fold and the summer/fall inhalation dose (2.3 ng/day) by 122-fold ([Buckley et  
19 al., 1995](#)).

20 *Dermal Exposure.* The general population can be exposed dermally to benzo[a]pyrene when  
21 contacting soils or materials that contain benzo[a]pyrene, such as soot or tar. Exposure can also  
22 occur via the use of dermally applied pharmaceutical products that contain coal tars, including  
23 shampoos and formulations used to treat conditions such as eczema and psoriasis ([IARC, 2010](#)).

24 PAHs are commonly found in all types of soils. [ATSDR \(1995\)](#) reported benzo[a]pyrene  
25 levels in soil of 2–1,300 µg/kg in rural areas, 4.6–900 µg/kg in agricultural areas, 165–220 µg/kg in  
26 urban areas, and 14,000–159,000 µg/kg at contaminated sites (before remediation). The soil levels  
27 for all land uses appear highly variable. The levels are affected by proximity to roads/combustion  
28 sources, use of sewage-sludge-derived amendments on agricultural lands, particle size, and organic  
29 carbon content. [Weinberg et al. \(1989\)](#) reported that PAH levels in soils generally increased during  
30 the 1900s and that sediment studies suggest that some declines may have occurred since the 1970s.  
31 An illustration of benzo[a]pyrene levels in soil is presented in Table A-4.

32

1 **Table A-4. Levels of benzo[a]pyrene in soil**

Reference	Location	Land type	Concentration mean (µg/kg)
<a href="#">Butler et al. (1984)</a>	United Kingdom	Urban	1,165
<a href="#">Vogt et al. (1987)</a>	Norway	Industrial	321
	Norway	Rural	14
<a href="#">Yang et al. (1991)</a>	Australia	Residential	363
	Poland	Agricultural	22
<a href="#">Trapido (1999)</a>	Estonia	Urban	106
	Estonia	Urban	398
	Estonia	Urban	1,113
	Estonia	Urban	1,224
	Estonia	Rural	6.8
	Estonia	Rural	15
	Estonia	Rural	27
	Estonia	Rural	31
<a href="#">Nam et al. (2008)</a>	United Kingdom	Rural	46
	Norway	Rural	5.3
<a href="#">Mielke et al. (2001)</a>	New Orleans	Urban	276
<a href="#">Nadal et al. (2004)</a>	Spain	Industrial-chemical	100
	Spain	Industrial-petrochemical	18
	Spain	Residential	56
	Spain	Rural	22
<a href="#">Maliszewska-Kordybach et al. (2009)</a>	Poland	Agricultural	30
<a href="#">Wilcke (2000)</a>	Various temperate	Arable	18
	Various temperate	Grassland	19
	Various temperate	Forest	39
	Various temperate	Urban	350
	Bangkok	Urban-tropical	5.5
	Brazil	Forest-tropical	0.3

2

## APPENDIX B. ASSESSMENTS BY OTHER NATIONAL AND INTERNATIONAL HEALTH AGENCIES

**Table B-1. Health assessments and regulatory limits by other national and international agencies**

Organization	Toxicity value or determination
<b>Oral value</b>	
<a href="#">WHO (2003)</a> ; <a href="#">WHO (1996)</a>	The guideline value for benzo[a]pyrene in drinking water of 0.7 µg/L was based on a cancer slope factor of <b>0.46 (mg/kg-d)<sup>-1</sup></b> derived from <a href="#">Neal and Rigdon (1967)</a> and a lifetime excess cancer risk of 10 <sup>-5</sup> .
<a href="#">Health Canada (2010)</a> ; <a href="#">Health Canada (1998)</a>	The Maximum Acceptable Concentration for benzo[a]pyrene in drinking water of 0.01 µg/L was derived from <a href="#">Neal and Rigdon (1967)</a> using a drinking water consumption rate of 1.5 L/day, a body weight of 70 kg, and a lifetime cancer risk of 5 × 10 <sup>-7</sup> . (The concentrations of <b>2, 0.2, and 0.02 µg/L</b> benzo[a]pyrene correspond to lifetime excess cancer risks of 10 <sup>-4</sup> , 10 <sup>-5</sup> , and 10 <sup>-6</sup> .)
<b>Inhalation value</b>	
<a href="#">WHO (1997)</a> ; <a href="#">WHO (2000)</a>	Does not recommend specific guideline values for polycyclic aromatic hydrocarbons (PAHs) in air. A unit risk of <b>87 (mg/m<sup>3</sup>)<sup>-1</sup></b> for benzo[a]pyrene, as an indicator a PAH mixtures, was derived from U.S. EPA's inhalation unit risk from coke oven emissions.
<a href="#">EU (2005)</a>	Target value of <b>1 ng/m<sup>3</sup></b> benzo[a]pyrene (averaged over 1 calendar year) as a marker of PAH carcinogenic risk. Does not include information for how target value was derived.
<b>Cancer characterization</b>	
<a href="#">IARC (2010)</a>	Carcinogenic to humans (Group 1) (based on mechanistic data).
<a href="#">NTP (2011)</a>	Reasonably anticipated to be a human carcinogen. (First classified in 1981.)
<a href="#">Health Canada (1998)</a>	Probably carcinogenic to man.

EU = European Union; IARC = International Agency for Research on Cancer; NTP = National Toxicology Program; WHO = World Health Organization.

## APPENDIX C. LITERATURE SEARCH STRATEGY

**Table C-1. Summary of detailed search strategies for benzo[a]pyrene comprehensive literature searches (Pubmed, Toxline, Toxcenter, TSCATS)**

Database Search Date	Query String
<b>PubMed</b>	
08/08/2016	((("Benzo(a)pyrene"[MeSH Terms]) AND (("Benzo(a)pyrene/adverse effects"[MeSH Terms] OR "Benzo(a)pyrene/antagonists and inhibitors"[MeSH Terms] OR "Benzo(a)pyrene/blood"[MeSH Terms] OR "Benzo(a)pyrene/pharmacokinetics"[MeSH Terms] OR "Benzo(a)pyrene/poisoning"[MeSH Terms] OR "Benzo(a)pyrene/toxicity"[MeSH Terms] OR "Benzo(a)pyrene/urine"[MeSH Terms]) OR ("chemically induced"[Subheading] OR "environmental exposure"[MeSH Terms] OR "endocrine system"[MeSH Terms] OR "hormones, hormone substitutes, and hormone antagonists"[MeSH Terms] OR "endocrine disruptors"[MeSH Terms] OR "dose-response relationship, drug"[MeSH Terms] OR ((pharmacokinetics[MeSH Terms] OR metabolism[MeSH Terms]) AND (humans[MeSH Terms] OR animals[MeSH Terms]))) OR risk[MeSH Terms] OR (cancer[sb] AND "Benzo(a)pyrene"[majr]) OR ("benzo a pyrene/metabolism"[MeSH Terms] AND (humans[MeSH Terms] OR animals[MeSH Terms]))) AND 2011/12/01 : 3000[mhda]) OR (((("Benzo a pyrene"[tw] OR "Benzo d, e, f chrysene"[tw] OR "Benzo def chrysene"[tw] OR "3,4-Benzopyrene"[tw] OR "1,2-Benzpyrene"[tw] OR "3,4-BP"[tw] OR "Benz(a)pyrene"[tw] OR "3,4-Benzpyren"[tw] OR "3,4-Benzpyrene"[tw] OR "4,5-Benzpyrene"[tw] OR "6,7-Benzopyrene"[tw] OR Benzopirene[tw] OR "benzo[alpha]pyrene"[tw] OR ("B(a)P"[tw] OR BaP[tw]) AND (pyrene*[tw] OR benzopyrene*[tw] OR pah[tw] OR pahs[tw] OR polycyclic aromatic hydrocarbon[tw] OR polycyclic aromatic hydrocarbons[tw]))) NOT medline[sb]) AND (2011/12/01 : 3000[crdat] OR 2011/12/01 : 3000[edat]))
02/14/2012	("Benzo(a)pyrene"[MeSH Terms] AND (("Benzo(a)pyrene/adverse effects"[MeSH Terms] OR "Benzo(a)pyrene/antagonists and inhibitors"[MeSH Terms] OR "Benzo(a)pyrene/blood"[MeSH Terms] OR "Benzo(a)pyrene/pharmacokinetics"[MeSH Terms] OR "Benzo(a)pyrene/poisoning"[MeSH Terms] OR "Benzo(a)pyrene/toxicity"[MeSH Terms] OR "Benzo(a)pyrene/urine"[MeSH Terms]) OR ("chemically induced"[Subheading] OR "environmental exposure"[MeSH Terms] OR "endocrine system"[MeSH Terms] OR "hormones, hormone substitutes, and hormone antagonists"[MeSH Terms] OR "endocrine disruptors"[MeSH Terms] OR "dose-response relationship, drug"[MeSH Terms] OR ((pharmacokinetics[MeSH Terms] OR metabolism[MeSH Terms]) AND (humans[MeSH Terms] OR animals[MeSH Terms]))) OR risk[MeSH Terms] OR (cancer[sb] AND "Benzo(a)pyrene"[majr]) OR ("benzo a pyrene/metabolism"[MeSH Terms] AND (humans[MeSH Terms] OR animals[MeSH Terms]))) AND 2008/10/01 : 3000[mhda]) OR (((("Benzo a pyrene"[tw] OR "Benzo d, e, f chrysene"[tw] OR "Benzo def chrysene"[tw] OR "3,4-Benzopyrene"[tw] OR "1,2-Benzpyrene"[tw] OR "3,4-BP"[tw] OR "Benz(a)pyrene"[tw] OR "3,4-Benzpyren"[tw] OR "3,4-Benzpyrene"[tw] OR "4,5-Benzpyrene"[tw] OR "6,7-Benzopyrene"[tw] OR Benzopirene[tw] OR "benzo[alpha]pyrene"[tw] OR ("B(a)P"[tw] OR BaP[tw]) AND (pyrene*[tw] OR benzopyrene*[tw] OR pah[tw] OR pahs[tw] OR polycyclic aromatic hydrocarbon[tw] OR polycyclic aromatic hydrocarbons[tw]))) NOT medline[sb]) AND 2008/10/01 : 3000[edat]) OR (((("Benzo(a)pyrene"[MeSH Terms] AND (("Benzo(a)pyrene/adverse effects"[MeSH Terms] OR "Benzo(a)pyrene/antagonists and inhibitors"[MeSH Terms] OR "Benzo(a)pyrene/blood"[MeSH Terms] OR "Benzo(a)pyrene/pharmacokinetics"[MeSH Terms] OR "Benzo(a)pyrene/poisoning"[MeSH Terms] OR "Benzo(a)pyrene/toxicity"[MeSH Terms] OR

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**Supplemental Information—Benzo[a]pyrene**

Database Search Date	Query String
	<p>"Benzo(a)pyrene/urine"[MeSH Terms] OR ("chemically induced"[Subheading] OR "environmental exposure"[MeSH Terms] OR "endocrine system"[MeSH Terms] OR "hormones, hormone substitutes, and hormone antagonists"[MeSH Terms] OR "endocrine disruptors"[MeSH Terms] OR "dose-response relationship, drug"[MeSH Terms] OR ((pharmacokinetics[MeSH Terms] OR metabolism[MeSH Terms]) AND (humans[MeSH Terms] OR animals[MeSH Terms]))) OR risk[MeSH Terms] OR (cancer[sb] AND "Benzo(a)pyrene"[majr] OR ("benzo a pyrene/metabolism"[MeSH Terms] AND (humans[MeSH Terms] OR animals[MeSH Terms]))) OR ("Benzo a pyrene"[tw] OR "Benzo d, e, f chrysene"[tw] OR "Benzo def chrysene"[tw] OR "3,4-Benzopyrene"[tw] OR "1,2-Benzpyrene"[tw] OR "3,4-BP"[tw] OR "Benz(a)pyrene"[tw] OR "3,4-Benzpyren"[tw] OR "3,4-Benzpyrene"[tw] OR "4,5-Benzpyrene"[tw] OR "6,7-Benzopyrene"[tw] OR Benzopirene[tw] OR "benzo[alpha]pyrene"[tw] OR (("B(a)P"[tw] OR BaP[tw]) AND (pyrene*[tw] OR benzopyrene*[tw] OR pah[tw] OR pahs[tw] OR polycyclic aromatic hydrocarbon[tw] OR polycyclic aromatic hydrocarbons[tw])))AND ("Benzopyrenes/adverse effects"[MeSH Terms] OR "Benzopyrenes/antagonists and inhibitors"[MeSH Terms] OR "Benzopyrenes/blood"[MeSH Terms] OR "Benzopyrenes/pharmacokinetics"[MeSH Terms] OR "Benzopyrenes/poisoning"[MeSH Terms] OR "Benzopyrenes/toxicity"[MeSH Terms] OR "Benzopyrenes/urine"[MeSH Terms] OR ("benzopyrenes"[MeSH Terms] AND ("chemically induced"[Subheading] OR "environmental exposure"[MeSH Terms])) OR "benzopyrenes/metabolism"[Mesh Terms]) AND 1966[PDAT] : 1984[PDAT])) AND (cancer[sb] OR "genes"[MeSH Terms] OR "genetic processes"[MeSH Terms] OR "mutagenicity tests"[MeSH Terms] OR "mutagenesis"[MeSH Terms] OR "mutagens"[MeSH Terms] OR "mutation"[MeSH Terms] OR "neurotoxicity syndromes"[MeSH Terms] OR "nervous system"[MeSH Terms] OR "nervous system diseases"[MeSH Terms] OR "immune system"[MeSH Terms] OR "immune system diseases"[MeSH Terms] OR "immunologic factors"[MeSH Terms] OR "reproductive physiological phenomena"[MeSH Terms] OR ("growth and development"[Subheading] OR "urogenital system"[MeSH Terms] OR "congenital, hereditary, and neonatal diseases and abnormalities"[MeSH Terms] OR "teratogens"[MeSH Terms]))</p>
<b>Toxline</b>	
08/08/2016	<p>"benzo a pyrene" OR "benzo d e f chrysene" OR "benzo def chrysene" OR "3 4 benzopyrene" OR "1 2 benzpyrene" OR "3 4 bp" OR "benz(a)pyrene" OR "3 4 benzpyren" OR "3 4 benzpyrene" OR "4 5 benzpyrene" OR "6 7 benzopyrene" OR benzopirene OR "benzo(alpha)pyrene" OR 50-32-8 [rn] AND 2011:2016 [yr] AND (ANEUPL [org] OR BIOSIS [org] OR CIS [org] OR DART [org] OR PUBDART [org] OR EMIC [org] OR EPIDEM [org] OR FEDRIP [org] OR HEEP [org] OR HMTC [org] OR IPA [org] OR RISKLINE [org] OR MTGABS [org] OR NIOSH [org] OR NTIS [org] OR PESTAB [org] OR PPBIB [org] OR PubMed [org]) AND NOT PubMed [org] AND NOT pubdart [org]</p>
02/14/2012	<p>((((50-32-8 [rn] OR "benzo a pyrene" OR "benzo d e f chrysene" OR "benzo def chrysene" OR "3 4 benzopyrene" OR "1 2 benzpyrene" OR "3 4 bp" OR "benz ( a ) pyrene" OR "3 4 benzpyren" OR "3 4 benzpyrene" OR "4 5 benzpyrene" OR "6 7 benzopyrene" OR benzopirene OR "benzo ( alpha ) pyrene") AND 2008:2012 [yr] NOT PubMed [org] NOT pubdart [org]) NOT crisp[org]) OR (((50-32-8 [rn] OR "benzo a pyrene" OR "benzo d e f chrysene" OR "benzo def chrysene" OR "3 4 benzopyrene" OR "1 2 benzpyrene" OR "3 4 bp" OR "benz ( a ) pyrene" OR "3 4 benzpyren" OR "3 4 benzpyrene" OR "4 5 benzpyrene" OR "6 7 benzopyrene" OR benzopirene OR "benzo ( alpha ) pyrene") NOT PubMed [org] NOT pubdart [org]) AND (brain OR brains OR cephalic OR cerebral OR cerebrum OR cognition OR cognitive OR corpus OR encephalopathies OR encephalopathy OR nerve OR nerves OR nervous OR neural OR neurologic OR neurological OR neurology OR neuronal OR neuropathies OR neuropathy OR neurotoxic OR neurotoxicities OR neurotoxicity OR neurotoxin OR neurotoxins OR spinal cord) OR (antibodies OR antibody OR antigen OR antigenic OR antigens OR autoimmune OR autoimmunities OR autoimmunity OR cytokine OR cytokines OR granulocyte OR granulocytes OR immune OR immunities OR immunity OR immunologic OR immunological OR immunology OR immunoproliferation OR immunosuppression OR immunosuppressive OR</p>

Database Search Date	Query String
	inflammation OR inflammatory OR interferon OR interferons OR interleukin OR interleukins OR leukocyte OR leukocytes OR lymph OR lymphatic OR lymphocyte OR lymphocytes OR lymphocytosis OR lymphokines OR monocyte OR monocytes) OR (abnormal OR abnormalities OR abnormality OR abort OR aborted OR abortion OR aborts OR cleft OR clefts OR development OR developmental OR embryo OR embryologic OR embryology OR embryonic OR embryos OR fertile OR fertilities OR fertility OR fetal OR fetus OR fetuses OR foetal OR foetus OR foetuses OR gestation OR gestational OR infertile OR infertility OR malform OR malformation OR malformations OR malformed OR malforms OR neonatal OR neonatally OR neonate OR neonates OR newborn OR newborns OR ova OR ovaries OR ovary OR ovum OR perinatal OR perinatally OR placenta OR placental OR placentas OR postnatal OR postnatally OR pregnancies OR pregnancy OR pregnant OR prenatal OR prenataally OR reproduction OR reproductive OR sperm OR spermatid OR spermatids OR spermatocidal OR spermatocyte OR spermatocytes OR spermatogenesis OR spermatogonia OR spermatozoa OR sterile OR sterility OR teratogen OR teratogenesis OR teratogenic OR teratogenicities OR teratogenicity OR teratogens OR weaned OR weaning OR weanling OR weanlings OR zygote OR zygotes) OR (ames OR aneuploid OR aneuploidy OR chromosomal OR chromosome OR chromosomes OR clastogen OR clastogenesis OR clastogenic OR clastogenicities OR clastogenicity OR clastogens OR cytogenesis OR cytogenetic OR cytogenetics OR dna OR dominant lethal OR gene OR genes OR genetic OR genotoxic OR genotoxicities OR genotoxicity OR genotoxin OR genotoxins OR hyperploid OR hyperploidy OR micronuclei OR micronucleus OR mitotic OR mutagen OR mutagenesis OR mutagenicities OR mutagenicity OR mutagens OR mutate OR mutated OR mutating OR mutation OR mutations OR recessive lethal OR sister chromatid) OR (cancer OR cancerous OR cancers OR carcinogen OR carcinogenesis OR carcinogenic OR carcinogenicities OR carcinogenicity OR carcinogens OR carcinoma OR carcinomas OR cocarcinogen OR cocarcinogenesis OR cocarcinogenic OR cocarcinogens OR lymphoma OR lymphomas OR neoplasm OR neoplasms OR neoplastic OR oncogene OR oncogenes OR oncogenic OR precancerous OR tumor OR tumorigenesis OR tumorigenic OR tumorigenicities OR tumorigenicity OR tumors OR tumour OR tumourigenesis OR tumourigenic OR tumourigenicity OR tumours))
<b>Toxcenter</b>	
08/08/2016	L1 S 50-32-8 L2 S L1 NOT (PATENT/DT OR TSCATS/FS) L3 S L2 AND (PY>2011 OR ED>20111201) L15 QUE (CHRONIC OR IMMUNOTOX? OR NEUROTOX? OR TOXICOKIN? OR BIOMARKER? OR NEUROLOG? OR PHARMACOKIN? OR SUBCHRONIC OR PBPK OR EPIDEMIOLOGY/ST,CT,IT OR ACUTE OR SUBACUTE OR LD50# OR LC50# OR (TOXICITY OR ADVERSE OR POISONING)/ST,CT,IT) L16 QUE (INHAL? OR PULMON? OR NASAL? OR LUNG? OR RESPIR? OR OCCUPATION? OR WORKPLACE? OR WORKER? OR ORAL OR ORALLY OR INGEST? OR GAVAGE? OR DIET OR DIETS OR DIETARY OR DRINKING(W)WATER OR (MAXIMUM AND CONCENTRATION? AND (ALLOWABLE OR PERMISSIBLE))) L17 QUE (ABORT? OR ABNORMALIT? OR EMBRYO? OR CLEFT? OR FETUS? OR FOETUS? OR FETAL? OR FOETAL? OR FERTIL? OR MALFORM? OR OVUM OR OVA OR OVARY OR PLACENTA? OR PREGNAN? OR PRENATAL OR PERINATAL? OR POSTNATAL? OR REPRODUC? OR STERIL? OR TERATOGEN?) L18 QUE (SPERM OR SPERMAC? OR SPERMAG? OR SPERMATI? OR SPERMAS? OR SPERMATOB? OR SPERMATOC? OR SPERMATOG? OR SPERMATOI? OR SPERMATOL? OR SPERMATOR? OR SPERMATOX? OR SPERMATOZ? OR SPERMATU? OR SPERMI? OR SPERMO?) L19 QUE (NEONAT? OR NEWBORN OR DEVELOPMENT OR DEVELOPMENTAL? OR ZYGOTE? OR CHILD OR CHILDREN OR ADOLESCEN? OR INFANT OR WEAN? OR OFFSPRING OR AGE(W)FACTOR? OR DERMAL? OR DERMIS OR SKIN OR EPIDERM? OR CUTANEOUS?)

**Supplemental Information—Benzo[a]pyrene**

Database Search Date	Query String
	<p>L20 QUE (CARCINOGEN? OR COCARCINOGEN? OR CANCER? OR PRECANCER? OR NEOPLASIA? OR TUMOR? OR TUMOUR? OR ONCOGEN? OR LYMPHOMA? OR CARCINOMA? OR GENOTOXIC? OR GENOTOX? OR MUTAGEN? OR GENETIC(W)TOXIC?)</p> <p>L21 QUE (NEPHROTOXIC? OR HEPATOTOXIC? OR ENDOCRINE? OR ESTROGEN? OR ANDROGEN? OR HORMONE?)</p> <p>L22 QUE (RAT OR RATS OR MOUSE OR MICE OR MURIDAE OR DOG OR DOGS OR RABBIT? OR HAMSTER? OR PIG OR PIGS OR SWINE OR PORCINE OR GOAT OR GOATS OR SHEEP OR MONKEY? OR MACAQUE? OR MARMOSET? OR PRIMATE? OR MAMMAL? OR FERRET? OR GERBIL?)</p> <p>L23 QUE (RODENT? OR LAGOMORPHA OR BABOON? OR BOVINE OR CANINE OR CAT OR CATS OR FELINE OR PIGEON? OR OCCUPATION? OR WORKER? OR EPIDEM?)</p> <p>L24 QUE L15 OR L16 OR L17 OR L18 OR L19 OR L20 OR L21 OR L22 OR L23</p> <p>L25 S L3 AND L24</p> <p>L26 S L25 AND BIOSIS/FS</p> <p>L28 S L25 AND CAPLUS/FS</p> <p>L29 S L28 AND (RAT OR RATS OR MOUSE OR MICE OR GUINEA PIG OR MURIDAE OR DOG OR DOGS OR RABBIT? OR HAMSTER? OR PIG OR PIGS OR SWINE OR PORCINE OR GOAT OR GOATS OR SHEEP OR MONKEY? OR MACAQUE? OR MARMOSET? OR PRIMATE?)</p> <p>L30 S L28 AND (MAMMAL? OR FERRET? OR GERBIL? OR RODENT? OR LAGOMORPHA OR BABOON? OR BOVINE OR CANINE OR CAT OR CATS OR FELINE OR PIGEON? OR OCCUPATION? OR WORKER? OR EPIDEM? OR HUMAN?)</p> <p>L31 S L28 AND (HOMINIDAE OR MAMMAL? OR SUBJECT? OR PATIENT? OR GENOTOXIC? OR MUTAGEN? OR MUTAGEN?)</p> <p>L32 S L29 OR L30 OR L31</p> <p>L33 S L26 OR L32</p> <p>L34 DUP REM L33</p>
02/14/2012	<p>L48 QUE RAT OR RATS OR MOUSE OR MICE OR GUINEA PIG OR MURIDAE OR DOG OR DOGS OR RABBIT? OR HAMSTER? OR PIG OR PIGS OR SWINE OR PORCINE OR GOAT OR GOATS OR SHEEP OR MONKEY? OR MACAQUE?</p> <p>L49 QUE MARMOSET? OR PRIMATE? OR MAMMAL? OR FERRET? OR GERBIL? OR HAMSTER? OR RODENT? OR LAGOMORPHA OR BABOON? OR BOVINE OR CANINE OR CAT OR CATS OR FELINE OR PIGEON?</p> <p>L50 QUE OCCUPATION? OR WORKER? OR EPIDEM?</p> <p>L51 QUE HUMAN? OR HOMINIDAE OR MAMMAL?</p> <p>L52 QUE SUBJECT? OR PATIENT?</p> <p>L53 QUE GENOTOXIC? OR MUTAGEN? OR MUTAGEN?</p> <p>L54 QUE L48 OR L49 OR L50 OR L51 OR L52 OR L53</p> <p>L57 S 50-32-8</p> <p>L58 S L57 NOT PATENT/DT</p> <p>L59 S L58 AND ED&gt;20080930</p> <p>L60 S L58 AND PY&gt;2007</p> <p>L61 S L59 OR L60</p> <p>L62 QUE (CHRONIC OR IMMUNOTOXIC? OR NEUROTOXIC? OR TOXICOKIN? OR BIOMARKER? OR NEUROLOG?)</p> <p>L63 QUE (PHARMACOKIN? OR SUBCHRONIC OR PBPK OR EPIDEMIOLOGY/ST,CT,IT)</p> <p>L64 QUE (ACUTE OR SUBACUTE OR LD50# OR LC50#)</p> <p>L65 QUE (TOXICITY OR ADVERSE OR POISONING)/ST,CT,IT</p> <p>L66 QUE (INHAL? OR PULMON? OR NASAL? OR LUNG? OR RESPIR?)</p> <p>L67 QUE (OCCUPATION? OR WORKPLACE? OR WORKER?) AND EXPOS?</p> <p>L68 QUE (ORAL OR ORALLY OR INGEST? OR GAVAGE? OR DIET OR DIETS OR DIETARY OR DRINKING(W)WATER?)</p>

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**Supplemental Information—Benzo[a]pyrene**

Database Search Date	Query String
	<p>L69 QUE MAXIMUM AND CONCENTRATION? AND (ALLOWABLE OR PERMISSIBLE)  L70 QUE (ABORT? OR ABNORMALIT? OR EMBRYO? OR CLEFT? OR FETUS?)  L71 QUE (FOETUS? OR FETAL? OR FOETAL? OR FERTIL? OR MALFORM? OR OVUM?)  L72 QUE (OVA OR OVARY OR PLACENTA? OR PREGNAN? OR PRENATAL?)  L73 QUE (PERINATAL? OR POSTNATAL? OR REPRODUC? OR STERIL? OR TERATOGEN?)  L74 QUE (SPERM OR SPERMAC? OR SPERMAG? OR SPERMATI? OR SPERMAS? OR SPERMATOB?  OR SPERMATOC? OR SPERMATOG?)  L75 QUE (SPERMATOI? OR SPERMATOL? OR SPERMATOR? OR SPERMATOX? OR SPERMATOZ?  OR SPERMATU?)  L76 QUE (SPERMI? OR SPERMO?)  L77 QUE (NEONAT? OR NEWBORN OR DEVELOPMENT OR DEVELOPMENTAL?)  L78 QUE ENDOCRIN? AND DISRUPT?  L79 QUE (ZYGOTE? OR CHILD OR CHILDREN OR ADOLESCEN? OR INFANT?)  L80 QUE (WEAN? OR OFFSPRING OR AGE(W)FACTOR?)  L81 QUE (DERMAL? OR DERMIS OR SKIN OR EPIDERM? OR CUTANEOUS?)  L82 QUE (CARCINO? OR COCARCINO? OR CANCER? OR PRECANCER? OR NEOPLAS?)  L83 QUE (TUMOR? OR TUMOUR? OR ONCOGEN? OR LYMPHOMA? OR CARCINOM?)  L84 QUE (GENETOX? OR GENOTOX? OR MUTAGEN?)  L85 QUE GENETIC(W)TOXIC?  L86 QUE L62 OR L63 OR L64 OR L65 OR L66 OR L67 OR L68 OR L69 OR L70 OR L71 OR L72 OR L73  OR L74  L87 QUE L75 OR L76 OR L77 OR L78 OR L79 OR L80 OR L81 OR L82 OR L83 OR L84 OR L85  L88 QUE L86 OR L87  L89 QUE NEPHROTOX? OR HEPATOTOX? OR ENDOCRIN? OR ESTROGEN? OR ANDROGEN? OR  HORMON?  L90 QUE L88 OR L89  L91 QUE RAT OR RATS OR MOUSE OR MICE OR GUINEA PIG OR MURIDAE OR DOG OR DOGS OR  RABBIT? OR HAMSTER? OR PIG OR PIGS OR SWINE OR PORCINE OR GOAT OR GOATS OR SHEEP OR  MONKEY? OR MACAQUE?  L92 QUE MARMOSET? OR FERRET? OR GERBIL? OR HAMSTER? OR RODENT? OR LAGOMORPHA  OR BABOON? OR BOVINE OR CANINE OR CAT OR CATS OR FELINE OR PIGEON?  L93 QUE OCCUPATION? OR WORKER? OR WORKPLACE? OR EPIDEM?  L94 QUE L90 OR L91 OR L92 OR L93  L99 S L61 AND L94  L100 S L99 AND MEDLINE/FS  L101 S L99 AND BIOSIS/FS  L102 S L99 AND CAPLUS/FS  L103 S L99 AND IPA/FS  L104 DUP REM L100 L101 L102  L108 S (L104) AND BIOSIS/FS  L112 S (L104) AND CAPLUS/FS  L113 S L112 AND L54  L114 S L112 NOT L113  L115 S L108 OR L113 OR L114</p>
02/14/2012	<p>L1 S 50-32-8  L2 S L1 NOT PATENT/DT  L3 S L2 NOT TSCATS/FS  L4 S L3 AND ED&gt;20080930  L5 S L3 AND PY&gt;2007  L6 S L4 OR L5</p>

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Database Search Date	Query String
	<p>L7 S L3 NOT L6</p> <p>L8 S L7 AND (CANCER? OR CARCINO? OR CARCINOM? OR COCARCINO? OR LYMPHOMA? OR NEOPLAS? OR ONCOGEN? OR PRECANCER? OR TUMOR? OR TUMOUR?)/TI,CT,ST,IT</p> <p>L9 S L7 AND (AMES OR ANEUPLOID? OR CHROMOSOM? OR CLASTOGEN? OR CYTOGEN? OR DNA OR DOMINANT LETHAL OR GENETIC OR GENE? OR GENOTOX? OR HYPERPLOID? OR MICRONUCLE? OR MITOTIC OR MUTAGEN? OR MUTAT? OR RECESSIVE LETHAL OR SISTER CHROMATID)/TI,CT,ST,IT</p> <p>L10 S L7 AND (BRAIN OR CEREBRAL OR COGNITION OR COGNITIVE OR ENCEPHAL? OR NERVE? OR NERVOUS OR NEURAL OR NEUROLOG? OR NEURON? OR NEURO? OR NEUROTOX? OR SPINAL CORD)/TI,CT,ST,IT</p> <p>L11 S L7 AND (ANTIBOD? OR ANTIGEN? OR AUTOIMMUN? OR CYTOKINE? OR GRANULOCYTE? OR IMMUN? OR INFLAMM? OR INTERFERON? OR INTERLEUKIN? OR LEUKOCYTE? OR LYMPH? OR LYMPHOCYT? OR MONOCYT?)/TI,CT,ST,IT</p> <p>L12 S L7 AND (ABNORMAL? OR ABORT? OR CLEFT? OR DEVELOPMENT OR DEVELOPMENTAL OR EMBRYO? OR ENDOCRINE OR FERTIL? OR FETAL? OR FETUS? OR FOETAL? OR FOETUS? OR GESTATION? OR INFERTIL? OR MALFORM? OR NEONAT? OR NEWBORN? OR OVA OR OVARIES OR OVARY OR OVUM)/TI,CT,ST,IT</p> <p>L13 S L7 AND (PERINATAL? OR PLACENTA? OR POSTNATAL? OR PREGNAN? OR PRENATAL? OR REPRODUC? OR SPERM? OR STERIL? OR TERATOGEN? OR WEAN? OR ZYGOTE?)/TI,CT,ST,IT</p> <p>L14 S L8 OR L9 OR L10 OR L11 OR L12 OR L13</p> <p>L15 S L14 AND MEDLINE/FS</p> <p>L16 S L14 AND BIOSIS/FS</p> <p>L17 S L14 AND CAPLUS/FS</p> <p>L18 S L14 AND IPA/FS</p> <p>L19 DUP REM L15 L16 L18 L17</p> <p>L29 S L19 NOT MEDLINE/FS</p> <p>L30 S L29 AND (ED&gt;=20000801 OR PY&gt;2000)</p> <p>L31 S L29 AND PY&gt;1999</p> <p>L32 S 50-32-8</p> <p>L33 S L32 NOT PATENT/DT</p> <p>L34 S L33 NOT TSCATS/FS</p> <p>L35 S L34 AND ED&gt;20080930</p> <p>L36 S L34 AND PY&gt;2007</p> <p>L37 S L35 OR L36</p> <p>L38 S L34 NOT L37</p> <p>L39 QUE (CHRONIC OR IMMUNOTOX? OR NEUROTOX? OR TOXICOKIN? OR BIOMARKER? OR NEUROLOG?)</p> <p>L40 QUE (PHARMACOKIN? OR SUBCHRONIC OR PBPK OR EPIDEMIOLOGY/ST,CT,IT)</p> <p>L41 QUE (ACUTE OR SUBACUTE OR LD50# OR LC50#)</p> <p>L42 QUE (TOXICITY OR ADVERSE OR POISONING)/ST,CT,IT</p> <p>L43 QUE (INHAL? OR PULMON? OR NASAL? OR LUNG? OR RESPIR?)</p> <p>L44 QUE (OCCUPATION? OR WORKPLACE? OR WORKER?) AND EXPOS?</p> <p>L45 QUE (ORAL OR ORALLY OR INGEST? OR GAVAGE? OR DIET OR DIETS OR DIETARY OR DRINKING(W)WATER?)</p> <p>L46 QUE MAXIMUM AND CONCENTRATION? AND (ALLOWABLE OR PERMISSIBLE)</p> <p>L47 QUE (ABORT? OR ABNORMALIT? OR EMBRYO? OR CLEFT? OR FETUS?)</p> <p>L48 QUE (FOETUS? OR FETAL? OR FOETAL? OR FERTIL? OR MALFORM? OR OVUM?)</p> <p>L49 QUE (OVA OR OVARY OR PLACENTA? OR PREGNAN? OR PRENATAL?)</p> <p>L50 QUE (PERINATAL? OR POSTNATAL? OR REPRODUC? OR STERIL? OR TERATOGEN?)</p>

**Supplemental Information—Benzo[a]pyrene**

Database Search Date	Query String
	L51 QUE (SPERM OR SPERMAC? OR SPERMAG? OR SPERMATI? OR SPERMAS? OR SPERMATOB? OR SPERMATOC? OR SPERMATOG?)
	L52 QUE (SPERMATOI? OR SPERMATOL? OR SPERMATOR? OR SPERMATOX? OR SPERMATOZ? OR SPERMATU?)
	L53 QUE (SPERMI? OR SPERMO?)
	L54 QUE (NEONAT? OR NEWBORN OR DEVELOPMENT OR DEVELOPMENTAL?)
	L55 QUE ENDOCRIN? AND DISRUPT?
	L56 QUE (ZYGOTE? OR CHILD OR CHILDREN OR ADOLESCEN? OR INFANT?)
	L57 QUE (WEAN? OR OFFSPRING OR AGE(W)FACTOR?)
	L58 QUE (DERMAL? OR DERMIS OR SKIN OR EPIDERM? OR CUTANEOUS?)
	L59 QUE (CARCINO? OR COCARCINO? OR CANCER? OR PRECANCER? OR NEOPLAS?)
	L60 QUE (TUMOR? OR TUMOUR? OR ONCOGEN? OR LYMPHOMA? OR CARCINOM?)
	L61 QUE (GENETOX? OR GENOTOX? OR MUTAGEN?)
	L62 QUE GENETIC(W)TOXIC?
	L63 QUE L39 OR L40 OR L41 OR L42 OR L43 OR L44 OR L45 OR L46 OR L47 OR L48 OR L49 OR L50 OR L51
	L64 QUE L52 OR L53 OR L54 OR L55 OR L56 OR L57 OR L58 OR L59 OR L60 OR L61 OR L62
	L65 QUE L63 OR L64
	L66 QUE NEPHROTOX? OR HEPATOTOX? OR ENDOCRIN? OR ESTROGEN? OR ANDROGEN? OR HORMON?
	L67 QUE L65 OR L66
	L68 QUE RAT OR RATS OR MOUSE OR MICE OR GUINEA PIG OR MURIDAE OR DOG OR DOGS OR RABBIT? OR HAMSTER? OR PIG OR PIGS OR SWINE OR PORCINE OR GOAT OR GOATS OR SHEEP OR MONKEY? OR MACAQUE?
	L69 QUE MARMOSET? OR FERRET? OR GERBIL? OR HAMSTER? OR RODENT? OR LAGOMORPHA OR BABOON? OR BOVINE OR CANINE OR CAT OR CATS OR FELINE OR PIGEON?
	L70 QUE OCCUPATION? OR WORKER? OR WORKPLACE? OR EPIDEM?
	L71 QUE L67 OR L68 OR L69 OR L70
	L72 S L38 AND L71
	L73 S 50-32-8
	L74 S L73 NOT PATENT/DT
	L75 S L74 NOT TSCATS/FS
	L76 S L75 AND ED>20080930
	L77 S L75 AND PY>2007
	L78 S L76 OR L77
	L79 S L75 NOT L78
	L80 S L79 AND (CANCER? OR CARCINO? OR CARCINOM? OR COCARCINO? OR LYMPHOMA? OR NEOPLAS? OR ONCOGEN? OR PRECANCER? OR TUMOR? OR TUMOUR?)
	L81 S L79 AND (AMES ASSAY OR AMES TEST OR ANEUPLOID? OR CHROMOSOM? OR CLASTOGEN? OR CYTOGEN? OR DNA OR DOMINANT LETHAL OR GENETIC OR GENE? OR GENOTOX? OR HYPERPLOID? OR MICRONUCLE? OR MITOTIC OR MUTAGEN? OR MUTAT? OR RECESSIVE LETHAL OR SISTER CHROMATID)
	L82 S L79 AND (BRAIN OR CEREBRAL OR COGNITION OR COGNITIVE OR ENCEPHAL? OR NERVE? OR NERVOUS OR NEURAL OR NEUROLOG? OR NEURON? OR NEUROP? OR NEUROTOX? OR SPINAL CORD)
	L83 S L79 AND (ANTIBOD? OR ANTIGEN? OR AUTOIMMUN? OR CYTOKINE? OR GRANULOCYTE? OR IMMUN? OR INFLAMM? OR INTERFERON? OR INTERLEUKIN? OR LEUKOCYTE? OR LYMPH? OR LYMPHOCYT? OR MONOCYT?)
	L84 S L79 AND (ABNORMAL? OR ABORT? OR CLEFT? OR DEVELOPMENT OR DEVELOPMENTAL OR EMBRYO? OR ENDOCRINE OR FERTIL? OR FETAL? OR FETUS? OR FOETAL? OR FOETUS? OR

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**Supplemental Information—Benzo[a]pyrene**

<b>Database</b>	<b>Query String</b>
Search Date	
	GESTATION? OR INFERTIL? OR MALFORM? OR NEONAT? OR NEWBORN? OR OVA OR OVARIES OR OVARY OR OVUM ) L85 S L79 AND (PERINATAL? OR PLACENTA? OR POSTNATAL? OR PREGNAN? OR PRENATAL? OR REPRODUC? OR SPERM? OR STERIL? OR TERATOGEN? OR WEAN? OR ZYGOTE?) L86 S L80 OR L81 OR L82 OR L83 OR L84 OR L85 L87 S L72 AND L86 L88 S L87 AND PY>1999 L89 S L88 AND MEDLINE/FS L90 S L88 AND BIOSIS/FS L91 S L88 AND CAPLUS/FS L92 S L88 AND IPA/FS L93 DUP REM L89 L90 L92 L91 L98 S (L93) AND BIOSIS/FS L106 S (L93) AND IPA/FS L111 S (L93) AND CAPLUS/FS L112 QUE RAT OR RATS OR MOUSE OR MICE OR GUINEA PIG OR MURIDAE OR DOG OR DOGS OR RABBIT? OR HAMSTER? OR PIG OR PIGS OR SWINE OR PORCINE OR GOAT OR GOATS OR SHEEP OR MONKEY? OR MACAQUE? L113 QUE MARMOSET? OR PRIMATE? OR MAMMAL? OR FERRET? OR GERBIL? OR HAMSTER? OR RODENT? OR LAGOMORPHA OR BABOON? OR BOVINE OR CANINE OR CAT OR CATS OR FELINE OR PIGEON? L114 QUE OCCUPATION? OR WORKER? OR EPIDEM? L115 QUE HUMAN? OR HOMINIDAE OR MAMMAL? L116 QUE SUBJECT? OR PATIENT? L117 QUE GENOTOX? OR MUTAT? OR MUTAG? L118 QUE L112 OR L113 OR L114 OR L115 OR L116 OR L117 L119 S L111 AND L118 L132 S (L93) AND MEDLINE/FS L133 S L132 OR L98 OR L119 OR L106 OR L31
<b>TSCATS 1</b>	
02/14/2012	50-32-8 Limit: Health Effects
<b>TSCATS 2</b>	
08/08/2016	50-32-8
02/14/2012	50-32-8 Date limited, 2000 to date of search
<b>TSCA 8e/FYI recent submissions</b>	
02/14/2012	Google: 91-20-3 (8e or fyi) tsca
<b>TSCA 8E &amp; FYI via CDAT<sup>1</sup></b>	
08/08/2016	50-32-8

Database Search Date	Query String
<i>Secondary Refinement</i>	
02/14/2012	Additional terms applied within Endnote to pre-2008 search results only: forestomach* OR tongue* OR (auditory AND canal*) OR (ear* AND canal*) OR esophagus* OR esophageal* OR larynx* OR laryngeal* OR pharynx* OR pharyngeal* OR ((lung* OR pulmonary OR skin*) AND (neoplasm* OR tumor* OR tumour* OR papilloma* OR carcinoma*)) OR leukemia* OR leukaemia* OR sperm* OR testic* OR fertilit*OR infertilit* OR testosterone OR ((testis OR testes) AND (weight* OR mass*)) OR epididymis* OR epididymal* OR seminiferous OR ((cervical* OR cervix*) AND hyperplasia*) OR ovary OR ovaries OR ovarian OR primordial OR corpora lutea OR corpus luteum OR estrous* OR estrus* OR thymus* OR spleen* OR spleno* OR immunoglobulin* OR immunoglobulin* OR ((immune OR immun*) AND (suppress* OR immunosuppress*)) OR (functional AND observational AND battery) OR neurobehavioral*OR neurobehavioural* OR rotarod* OR nerve* AND conduction* OR locomotor* OR neuromuscular* OR weight* OR neurodevelopment* OR ((neuro* OR brain*) AND (development* OR developing)) OR intelligence* OR cognition* OR cognitive* OR learn* OR memory OR righting*

1  
2 <sup>1</sup>CDAT (Chemical Data Access Tool; [http://java.epa.gov/oppt\\_chemical\\_search/](http://java.epa.gov/oppt_chemical_search/))  
3

4 **Table C-2. Summary of detailed literature search strategies for**  
5 **benzo(a)pyrene cardiovascular toxicity**

Database Search Date	Query String
<b>PubMed</b>	
3/31/2016	(((((("benzo(a)pyrene"[mh] OR ("benzo(a)pyrene"[tw] OR "Benzo a pyrene"[tw] OR "Benzo d, e, f chrysene"[tw] OR "Benzo def chrysene"[tw] OR "3,4-Benzopyrene"[tw] OR "1,2-Benzpyrene"[tw] OR "3,4-BP"[tw] OR "Benz(a)pyrene"[tw] OR "3,4-Benzpyren"[tw] OR "3,4-Benzpyrene"[tw] OR "4,5-Benzpyrene"[tw] OR "6,7-Benzopyrene"[tw] OR Benzopirene[tw] OR "benzo[alpha]pyrene"[tw] OR (("B(a)P"[tw] OR BaP[tw]) AND (pyrene*[tw] OR benzopyrene*[tw] OR "pah"[tw] OR "pahs"[tw] OR "polycyclic aromatic hydrocarbon"[tw] OR "polycyclic aromatic hydrocarbons"[tw]))) AND ("macrophages"[mh] OR "Cholesterol"[mh] OR "Ischemia"[mh] OR "Granulocytes"[mh] OR "Myocytes, Smooth Muscle"[mh] OR "Blood supply"[mh] OR "Monocytes"[mh] OR "Lipoprotein"[mh] OR "Triglycerides"[mh] OR "Blood Vessels"[mh] OR "Aorta, Thoracic"[mh] OR "Aorta"[mh] OR "Aortic Diseases"[mh] OR "Atherosclerosis"[mh] OR "Cardiomegaly"[mh] OR "Cardiotoxicity"[mh] OR "Cardiovascular Diseases"[mh] OR "Caveolae"[mh] OR "Endothelial Cells"[mh] OR "Endothelium, Vascular"[mh] OR "Heart Defects, Congenital"[mh] OR "Heart"[mh] OR "Systole"[mh] OR "diastole"[mh] OR "Vascular Endothelial Growth Factor A"[mh] OR "vasoconstriction"[mh] OR "benzo a pyrene/blood"[MeSH Terms] OR "Angiogenesis"[tw] OR "Plaque"[tw] OR "plaques"[tw] OR "Myocardial"[tw] OR "Myocardia"[tw] OR "Myocardocyte"[tw] OR "Proatherogenic"[tw] OR "Systolic"[tw] OR "diastolic"[tw] OR "Ventricle"[tw] OR "ventricular"[tw]))) OR (((("benzo(a)pyrene"[tw] OR "Benzo a pyrene"[tw] OR "Benzo d, e, f chrysene"[tw] OR "Benzo def chrysene"[tw] OR "3,4-Benzopyrene"[tw] OR "1,2-Benzpyrene"[tw] OR "3,4-BP"[tw] OR "Benz(a)pyrene"[tw] OR "3,4-Benzpyren"[tw] OR "3,4-Benzpyrene"[tw] OR "4,5-Benzpyrene"[tw] OR "6,7-Benzopyrene"[tw] OR Benzopirene[tw] OR "benzo[alpha]pyrene"[tw] OR (("B(a)P"[tw] OR BaP[tw]) AND (pyrene*[tw] OR benzopyrene*[tw] OR "pah"[tw] OR "pahs"[tw] OR "polycyclic aromatic hydrocarbon"[tw] OR "polycyclic aromatic hydrocarbons"[tw]))) AND ("artery"[tw] OR "arteries"[tw] OR "arterial"[tw] OR "atherogenesis"[tw] OR "angiogenesis"[tw] OR "plaque"[tw] OR "plaques"[tw] OR "thrombus"[tw] OR "thrombosis"[tw] OR "myocardial"[tw] OR "myocardia"[tw] OR "myocardocyte"[tw] OR

**Supplemental Information—Benzo[a]pyrene**

"cholesterol"[tw] OR "ischemia"[tw] OR "cardiomyopathy"[tw] OR "lymphocyte"[tw] OR "lymphocytes"[tw] OR "macrophage"[tw] OR "macrophages"[tw] OR "granulocyte"[tw] OR "granulocytes"[tw] OR "smooth muscle cells"[tw] OR "proatherogenic"[tw] OR "hypertension"[tw] OR "neutrophils"[tw] OR "systolic"[tw] OR "systole"[tw] OR "diastolic"[tw] OR "diastole"[tw] OR "ventricle"[tw] OR "ventricles"[tw] OR "ventricular"[tw] OR "vasculature"[tw] OR "monocyte"[tw] OR "monocytes"[tw] OR "lipoprotein"[tw] OR "triglyceride"[tw] OR "triglycerides"[tw])) NOT medline[sb]))
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# APPENDIX D. INFORMATION IN SUPPORT OF HAZARD IDENTIFICATION AND DOSE-RESPONSE ANALYSIS

## D.1. TOXICOKINETICS

### D.1.1. Overview

Benzo[a]pyrene is absorbed following exposure by oral, inhalation, and dermal routes. The rate and extent of absorption are dependent upon the exposure medium. The presence of benzo[a]pyrene in body fat, blood, liver, and kidney and the presence of benzo[a]pyrene metabolites in serum and excreta demonstrate wide systemic tissue distribution. Benzo[a]pyrene metabolism occurs in essentially all tissues, with high metabolic capacity in the liver and significant metabolism in tissues at the portal of entry (lung, skin, and gastrointestinal [GI] tract) and in reproductive tissues. Stable metabolic products identified in body tissues and excreta are very diverse and include phenols, quinones, and dihydrodiols. These classes of metabolites are typically isolated as glucuronide or sulfate ester conjugates in the excreta, but can also include glutathione conjugates formed from quinones or intermediary epoxides. The primary route of metabolite elimination is in the feces via biliary excretion, particularly following exposure by the inhalation route. To a lesser degree, benzo[a]pyrene metabolites are eliminated via urine. Overall, benzo[a]pyrene is eliminated quickly with a biological half-life of several hours.

### D.1.2. Absorption

The absorption of benzo[a]pyrene has been studied in humans and laboratory animals for inhalation, ingestion, and dermal exposure. In the environment, human exposure to benzo[a]pyrene predominantly occurs via contact with insoluble carbonaceous particles (e.g., soot, diesel particles) to which organic compounds, such as polycyclic aromatic hydrocarbons (PAHs), are adsorbed.

Studies of workers occupationally exposed to benzo[a]pyrene have qualitatively demonstrated absorption via inhalation by correlating concentrations of benzo[a]pyrene in the air and benzo[a]pyrene metabolites in the exposed workers' urine. Occupational exposures to benzo[a]pyrene measured with personal air samplers were correlated to urine concentrations of benzo[a]pyrene-9,10-dihydrodiol, a specific metabolite of benzo[a]pyrene, in 24-hour aggregate urine samples by [Grimmer et al. \(1994\)](#). The amount of benzo[a]pyrene extracted from personal air monitoring devices (a surrogate for ambient PAHs) of coke oven workers were correlated with r-7,t-8,9,c 10 tetrahydroxy-7,8,9,10-tetrahydrobenzo[a]pyrene (trans-anti-benzo[a]pyrene-tetrol, a

1 specific metabolite of benzo[a]pyrene) in the workers' urine by [Wu et al. \(2002\)](#). In both of these  
2 studies, only a very small fraction (<1%) of the inhaled benzo[a]pyrene was recovered from urine,  
3 consistent with studies in animals that find that urine is not a major route of elimination for  
4 benzo[a]pyrene (as described in the excretion section below). These occupational studies cannot  
5 be used to quantify absorption through inhalation-only exposure in humans because the  
6 persistence of benzo[a]pyrene-contaminated particulate matter on surfaces and food may lead to  
7 exposures via additional routes ([Boström et al., 2002](#)). Nevertheless, the observation of  
8 benzo[a]pyrene metabolites in excreta of exposed humans provides qualitative evidence for  
9 benzo[a]pyrene absorption, at least some of which is likely to occur via inhalation. This conclusion  
10 is supported by studies in experimental animals, which indicate that benzo[a]pyrene is readily  
11 absorbed from carbonaceous particles following inhalation exposure ([Gerde et al., 2001](#); [Hood et  
12 al., 2000](#)).

13 Results from studies of animals following intratracheal instillation of benzo[a]pyrene  
14 provide supporting, quantitative evidence that absorption by the respiratory tract is rapid ([Gerde et  
15 al., 1993](#); [Bevan and Ulman, 1991](#); [Weyand and Bevan, 1987, 1986](#)). Following intratracheal  
16 instillation of 1 µg tritiated benzo[a]pyrene/kg dissolved in triethylene glycol to Sprague-Dawley  
17 rats, radioactivity rapidly appeared in the liver (reaching a maximum of about 21% of the  
18 administered dose within 10 minutes). Elimination of radioactivity from the lung was biphasic,  
19 with elimination half-times of 5 and 116 minutes ([Weyand and Bevan, 1986](#)). In bile-cannulated  
20 rats, bile collected for 6 hours after instillation accounted for 74% of the administered radioactivity  
21 ([Weyand and Bevan, 1986](#)). The results are consistent with rapid and extensive absorption by the  
22 respiratory tract and rapid entry into hepatobiliary circulation following intratracheal instillation.  
23 The respiratory tract absorption may also be affected by the vehicle, since higher amounts of  
24 benzo[a]pyrene were excreted in bile when administered with hydrophilic triethylene glycol than  
25 with lipophilic solvents ethyl laurate or tricapyrylin ([Bevan and Ulman, 1991](#)). Particle-bound  
26 benzo[a]pyrene deposited in the respiratory tract is absorbed and cleared more slowly than the  
27 neat compound ([Gerde et al., 2001](#)).

28 Studies conducted to assess levels of benzo[a]pyrene metabolites or benzo[a]pyrene-  
29 deoxyribonucleic acid (DNA) adduct levels in humans exposed to benzo[a]pyrene by the oral route  
30 are not adequate to develop quantitative estimates of oral bioavailability. The concentration of  
31 benzo[a]pyrene was below detection limits (<0.1 µg/person) in the feces of eight volunteers who  
32 had ingested broiled meat containing approximately 8.6 µg of benzo[a]pyrene ([Hecht et al., 1979](#)).  
33 However, studies in laboratory animals demonstrate that benzo[a]pyrene is absorbed via ingestion.  
34 Studies of rats and pigs measured the oral bioavailability of benzo[a]pyrene in the range of 10–40%  
35 ([Cavret et al., 2003](#); [Ramesh et al., 2001b](#); [Foth et al., 1988](#); [Hecht et al., 1979](#)). The absorption of  
36 benzo[a]pyrene may depend on the vehicle. Intestinal absorption of benzo[a]pyrene was enhanced  
37 in rats when the compound was solubilized in lipophilic compounds such as triolein, soybean oil,  
38 and high-fat diets, as compared with fiber- or protein-rich diets ([O'Neill et al., 1991](#); [Kawamura et](#)

1 [al., 1988](#)). Aqueous vehicles, quercetin, chlorogenic acid, or carbon particles reduced biliary  
2 excretion of benzo[a]pyrene, while lipid media such as corn oil increased it ([Stavric and Klassen,](#)  
3 [1994](#)). The addition of wheat bran to the benzo[a]pyrene-containing diets increased fecal excretion  
4 of benzo[a]pyrene ([Mirvish et al., 1981](#)).

5 Studies of benzo[a]pyrene metabolites or DNA adducts measured in humans exposed  
6 dermally to benzo[a]pyrene-containing PAH mixtures demonstrate that benzo[a]pyrene is  
7 absorbed dermally. One study of dermal absorption in volunteers found absorption rate constants  
8 ranging from 0.036 to 0.135/hour over a 45-minute exposure, suggesting that 20–56% of the dose  
9 would be absorbed within 6 hours ([VanRooij et al., 1993](#)). Dermal absorption rates varied 69%  
10 between different anatomical sites (forehead, shoulder, volar forearm, palmar side of the hand,  
11 groin, and ankle) and only 7% between different individual volunteers ([VanRooij et al., 1993](#)).  
12 Metabolism is also an important determinant of permeation, with very low rates observed in  
13 nonviable skin ([Kao et al., 1985](#)). The overall absorbed amount of benzo[a]pyrene in explanted  
14 viable skin samples from tissue donors (maintained in short-term organ cultures) exposed for  
15 24 hours ranged from 0.09 to 2.6% of the dose ([Wester et al., 1990; Kao et al., 1985](#)). Similar  
16 amounts of penetration were measured in skin samples from other species including marmosets,  
17 rats, and rabbits ([Kao et al., 1985](#)). Skin from mice allowed more of the dose to penetrate (>10%),  
18 while that of guinea pig let only a negligible percentage of the dose penetrate ([Kao et al., 1985](#)).

19 The vehicle for benzo[a]pyrene exposure is an important factor in skin penetration.  
20 Exposure of female Sprague-Dawley rats and female rhesus monkeys topically to benzo[a]pyrene in  
21 crude oil or acetone caused approximately 4-fold more extensive absorption than benzo[a]pyrene  
22 in soil ([Wester et al., 1990; Yang et al., 1989](#)). The viscosity of oil product used as a vehicle also  
23 changed skin penetration with increased uptake of benzo[a]pyrene for oils with decreased viscosity  
24 ([Potter et al., 1999](#)). Soil properties also greatly impact dermal absorption. Reduced absorption of  
25 benzo[a]pyrene occurs with increasing organic carbon content of the soil and increased soil aging  
26 (i.e., contact time between soil and chemical) ([Turkall et al., 2008; Roy and Singh, 2001; Yang et al.,](#)  
27 [1989](#)).

### 28 **D.1.3. Distribution**

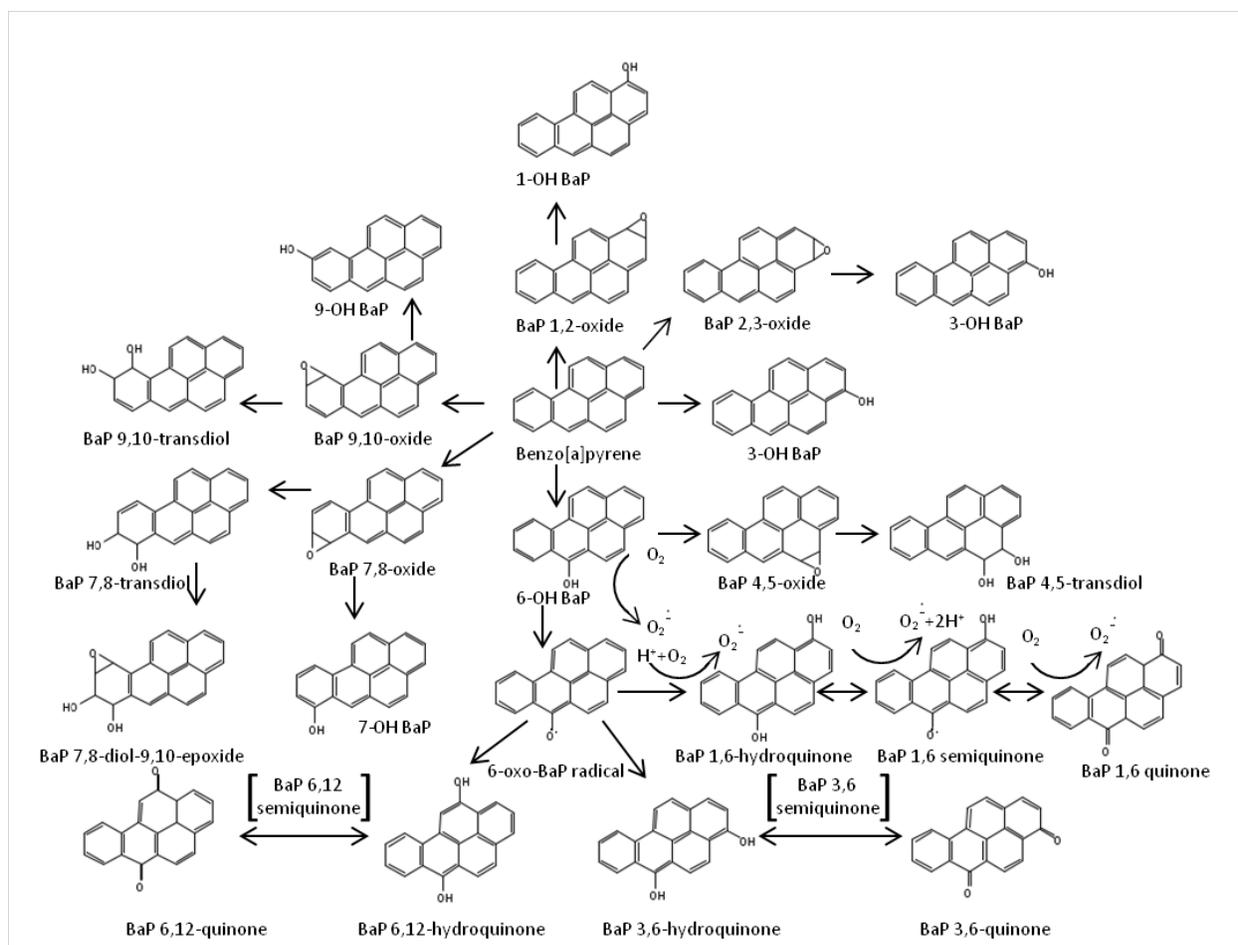
29 No adequate quantitative studies of benzo[a]pyrene tissue distribution in exposed humans  
30 were identified. [Obana et al. \(1981\)](#) observed low levels of benzo[a]pyrene in liver and fat tissues  
31 from autopsy samples. However, prior exposure histories were not available for the donors.  
32 Nevertheless, the identification of benzo[a]pyrene metabolites or DNA adducts in tissues and  
33 excreta of PAH-exposed populations suggest that benzo[a]pyrene is widely distributed.

34 Distribution of benzo[a]pyrene has been studied in laboratory animals for multiple routes  
35 of exposure, including inhalation, ingestion, dermal, and intravenous (i.v.). Exposure to  
36 benzo[a]pyrene in various species (Sprague-Dawley rats, Gunn rats, guinea pigs, and hamsters)  
37 results in wide distribution throughout the body and rapid uptake into well-perfused tissues (i.e.,  
38 lung, kidney, and liver) ([Weyand and Bevan, 1987, 1986](#)). Benzo[a]pyrene and its metabolites are

1 distributed systemically after administration via many routes of administration including  
2 inhalation (or intratracheal instillation), oral, i.v., and dermal exposures ([Saunders et al., 2002](#); [Moir  
3 et al., 1998](#); [Neubert and Tapken, 1988](#); [Weyand and Bevan, 1987, 1986](#); [Morse and Carlson, 1985](#)).  
4 Intratracheal instillation of radiolabeled benzo[a]pyrene in mice resulted in increased radioactivity  
5 in lung-associated lymph nodes, suggesting distribution of benzo[a]pyrene or its metabolites via  
6 the lymph ([Schnizlein et al., 1987](#)). Rats with biliary cannulas had high excretion of benzo[a]pyrene  
7 and benzo[a]pyrene metabolites in bile. The benzo[a]pyrene thioether and glucuronic acid-  
8 conjugated metabolites in intestines indicated enterohepatic recirculation of benzo[a]pyrene and  
9 benzo[a]pyrene metabolites ([Weyand and Bevan, 1986](#)). The vehicle for delivery of inhaled  
10 benzo[a]pyrene impacts the distribution, with aerosolized benzo[a]pyrene more readily absorbed  
11 directly in the respiratory tract than particle-adsorbed benzo[a]pyrene (which is cleared by the  
12 mucociliary and then ingested) ([Sun et al., 1982](#)). The reactive metabolites of benzo[a]pyrene are  
13 also transported in the blood and may be distributed to tissues incapable of benzo[a]pyrene  
14 metabolism. Serum of benzo[a]pyrene-treated mice incubated with splenocytes or salmon sperm  
15 DNA resulted in adduct formation, suggesting that reactive benzo[a]pyrene metabolites were  
16 systemically distributed and available for interaction with target tissues ([Ginsberg and Atherholt,  
17 1989](#)). Exposure of pregnant rats and mice to benzo[a]pyrene via inhalation and ingestion showed  
18 a wide tissue distribution of benzo[a]pyrene, consistent with other studies, and demonstrated  
19 placental transfer of benzo[a]pyrene and its metabolites ([Withey et al., 1993](#); [Neubert and Tapken,  
20 1988](#); [Shendrikova and Aleksandrov, 1974](#)). Data from lactating rats indicate that following  
21 injection, distribution of <sup>14</sup>C-labeled B[a]P in maternal blood is similar to levels in milk ([Lavoie et  
22 al., 1987b](#)).

#### 23 **D.1.4. Metabolism**

24 The metabolic pathways of benzo[a]pyrene (Figure D-1) and variation in species, strain,  
25 organ system, age, and sex have been studied extensively with in vitro and in vivo experiments. In  
26 addition, there have been numerous studies of exposed humans or animals with subsequent  
27 detection of benzo[a]pyrene metabolites in tissues or excreta. For example, elevated frequency of a  
28 detected urinary metabolite (7,8,9,10-tetrol) was observed in patients treated with coal tar  
29 medication ([Bowman et al., 1997](#)), demonstrating extensive metabolism of benzo[a]pyrene in  
30 humans.



Source: [Miller and Ramos \(2001\)](#).

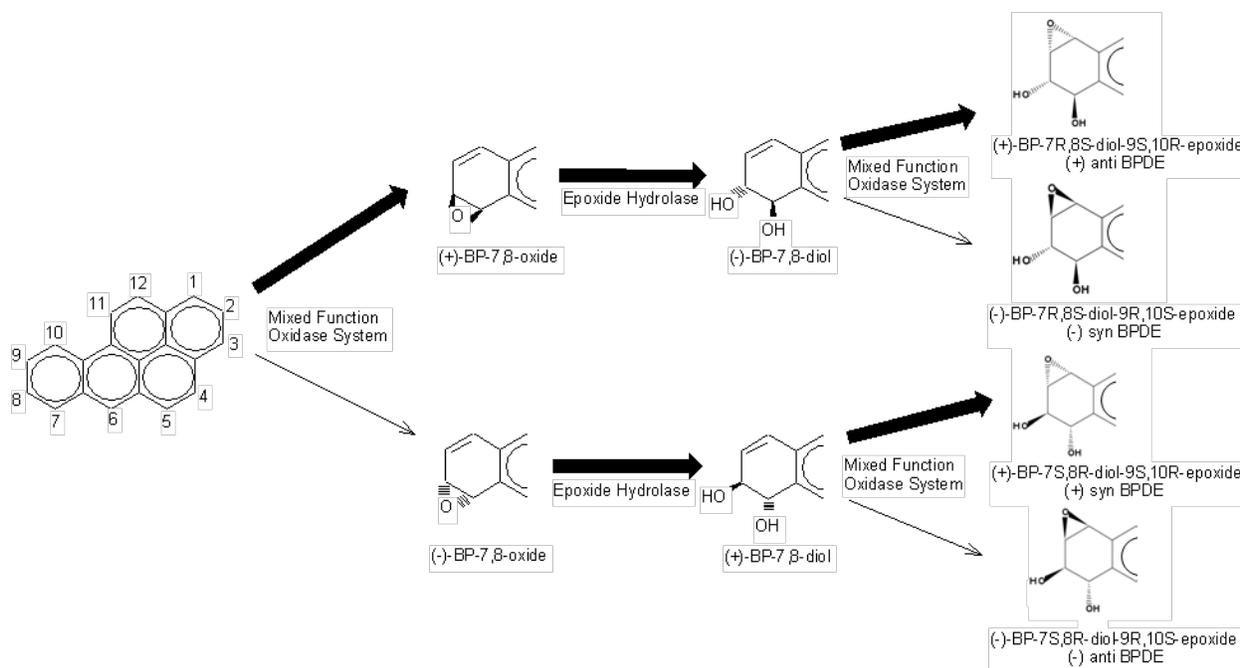
### Figure D-1. Metabolic pathways for benzo[a]pyrene.

Phase I metabolism results in a number of reactive metabolites such as epoxides, dihydrodiols, phenols, quinones, and their various combinations that are likely to contribute to the toxic effects of benzo[a]pyrene (e.g., phenols, dihydrodiols, epoxides, and quinones). Phase II metabolism of benzo[a]pyrene metabolites protects the cells and tissues from the toxic effects of benzo[a]pyrene phenols, dihydrodiols and epoxides by converting them to water soluble products that are eliminated. In addition, Phase II metabolism of some benzo[a]pyrene dihydrodiols prevents them from further bioactivation to reactive forms that bind to cellular macromolecules. These metabolic process include glutathione conjugation of diol epoxides, sulfation and glucuronidation of phenols, and reduction of quinones by NADPH:quinone oxidoreductase (NQO). Numerous reviews on the metabolism of benzo[a]pyrene are available ([Miller and Ramos, 2001](#); [IPCS, 1998](#); [ATSDR, 1995](#); [Conney et al., 1994](#); [Grover, 1986](#); [Levin et al., 1982](#); [Gelboin, 1980](#)). Key concepts have been adapted largely from these reviews and supplemented with recent findings.

## 1 **Phase I Metabolism**

2 Phase I reactions of benzo[a]pyrene are catalyzed primarily by cytochrome P450 (CYP450)  
3 and produce metabolites including epoxides, dihydrodiols, phenols, and quinones (Figure D-2). The  
4 first step of Phase I metabolism is the oxidation of benzo[a]pyrene that forms a series of epoxides,  
5 the four major forms of which are the 2,3-, 4,5-, 7,8-, and 9,10-isomers ([Gelboin, 1980](#)). Once  
6 formed, these epoxides may undergo three different routes of metabolism: (1) spontaneous  
7 rearrangement to phenols; (2) hydration to trans-dihydrodiols catalyzed by microsomal epoxide  
8 hydrolase (EH); or (3) the Phase II detoxification of binding with glutathione (either spontaneously  
9 or catalyzed by cytosolic glutathione-S-transferases (GSTs) ([IARC, 1983](#))). The metabolism of  
10 benzo[a]pyrene to phenols results in five phenol isomers (1-, 3-, 6-, 7, and 9-OH benzo[a]pyrene)  
11 ([Pelkonen and Nebert, 1982](#)). Four benzo[a]pyrene epoxides (2,3-, 4,5-, 7,8-, and 9,10-) are  
12 hydrated to trans-dihydrodiols. Benzo[a]pyrene-7,8-diol (formed from benzo[a]pyrene-7,8-oxide)  
13 has been the focus of much of the study of benzo[a]pyrene metabolism. Benzo[a]pyrene-7,8-diol is  
14 the metabolic precursor to the potent DNA-binding metabolite, benzo[a]pyrene-7,8-diol-  
15 9,10-epoxide (BPDE). BPDE is formed from trans-benzo[a]pyrene 7,8-diol by multiple mechanisms  
16 including catalysis by cytochromes (CYPs) ([Grover, 1986](#); [Deutsch et al., 1979](#)), myeloperoxidase  
17 ([Mallet et al., 1991](#)), or prostaglandin h synthase (also known as cyclooxygenase) ([Marnett, 1990](#)),  
18 and lipid peroxidation ([Byczkowski and Kulkarni, 1990](#)). The diolepoxides can react further by  
19 spontaneously hydrolyzing to tetrols ([Hall and Grover, 1988](#)).

20 The metabolism of benzo[a]pyrene proceeds with a high degree of stereoselectivity. Liver  
21 microsomes from rats stereospecifically oxidize the 7,8-bond of benzo[a]pyrene to yield almost  
22 exclusively the (+)-benzo[a]pyrene-(7,8)-oxide (see Figure D-2). Each enantiomer of  
23 benzo[a]pyrene-7,8-oxide is stereospecifically converted by EH to a different stereoisomeric trans  
24 dihydrodiol. The (+)-benzo[a]pyrene-7,8-oxide is preferentially hydrated to the (-)-trans-  
25 benzo[a]pyrene-7,8-dihydrodiol enantiomer by rat CYP enzymes and the (-)-trans-  
26 benzo[a]pyrene-7,8-dihydrodiol is preferentially oxidized by CYP enzymes to (+)-benzo[a]pyrene-  
27 7R,8S-diol-9S,10R-epoxide [(+)-anti- BPDE], which is the most potent carcinogen among the four  
28 stereoisomers (Figure D-2). Formation of these stereoisomers does not occur at equimolar ratios,  
29 and the ratios differ between biological systems. For example, a study in rabbit livers  
30 demonstrated that purified microsomes oxidized the (-)-benzo[a]pyrene-7,8-dihydrodiol to  
31 isomeric diol epoxides in a ratio ranging from 1.8:1 to 11:1 in favor of the (+)-anti-BPDE isomer  
32 ([Deutsch et al., 1979](#)).



1

2 Source: [Grover \(1986\)](#).

3

4 **Figure D-2. The stereospecific activation of benzo[a]pyrene.**

5 Several studies have attempted to determine which CYP isozyme is predominantly  
 6 responsible for the metabolism of benzo[a]pyrene. Dermal administration of tritiated  
 7 benzo[a]pyrene to mice that have an aryl hydrocarbon (Ah) receptor (AhR) knock-out (AhR<sup>-/-</sup>)  
 8 had significantly decreased formation of (+)-anti-BPDE-DNA adducts compared to wild type (WT)  
 9 and 1B1<sup>-/-</sup> mice ([Kleiner et al., 2004](#)). Gavage administration of benzo[a]pyrene in AhR knock-out  
 10 mice found that the AhR<sup>-/-</sup> mice (with lower levels of CYP1A1) had higher levels of protein  
 11 adducts and unmetabolized benzo[a]pyrene than the AhR<sup>+/+</sup> or <sup>+/-</sup> mice ([Sagredo et al., 2006](#)).  
 12 Similarly, CYP1A1 (<sup>-/-</sup>) knock-out mice administered benzo[a]pyrene in feed for 18 days had  
 13 higher steady-state blood levels of benzo[a]pyrene and benzo[a]pyrene-DNA adducts ([Uno et al.,](#)  
 14 [2006](#)). These findings establish important roles in benzo[a]pyrene metabolism for CYP1A1, but the  
 15 relationship is not clear between the CYP enzymes and biological activation or detoxification.

16 Another important factor in evaluating variability in the metabolic activation of  
 17 benzo[a]pyrene by CYP450s is the effect of functional polymorphisms, which has been the subject  
 18 of numerous reviews (e.g., [Wormhoudt et al., 1999](#)). Recombinant CYP1A1 allelic variants  
 19 produced BPDE with generally lower catalytic activity and Km values than the WT allele ([Schwarz](#)  
 20 [et al., 2001](#)). However, the formation of diol epoxides is stereospecific, with the allelic variants  
 21 producing about 3 times the amount of (±)-anti-BPDE isomers as compared to the stereoisomer,  
 22 (±)-syn-BPDE ([Schwarz et al., 2001](#)). In a study of occupational exposures to benzo[a]pyrene, no

1 relationship was observed between benzo[a]pyrene metabolite formation and the CYP1A1 MspI  
2 polymorphism ([Wu et al., 2002](#)).

3 Another pathway of benzo[a]pyrene metabolism is the conversion of benzo[a]pyrene to  
4 6-OH benzo[a]pyrene, which can be further oxidized into quinones, primarily the 1,6-, 3,6-, and  
5 6,12- isomers. Trans-benzo[a]pyrene-7,8-dihydrodiol can be converted by aldo-keto reductases  
6 (AKR) to 7,8-dihydroxybenzo[a]pyrene (benzo[a]pyrene-7,8-catechol), which auto-oxidizes to  
7 benzo[a]pyrene-7,8-quinone (BPQ). BPQ can undergo redox cycling in the presence of cellular  
8 reducing equivalents. This reaction pathway produces reactive oxygen species (ROS), including  
9 peroxide anion radicals, benzo[a]pyrene semiquinone radicals, hydroxyl radicals, and H<sub>2</sub>O<sub>2</sub>, which  
10 in turn can cause extensive DNA fragmentation ([Penning et al., 1999](#); [Flowers et al., 1997](#); [Flowers  
11 et al., 1996](#)). 6-Hydroxybenzo[a]pyrene can be oxidized into 6-oxo-benzo[a]pyrene semi-quinone  
12 radical and further metabolized into 1,6-, 3,6-, or 6,12-quinones spontaneously, or catalytically by  
13 prostaglandin endoperoxide synthetase ([Eling et al., 1986](#)). The CYP and AKR enzymes both can  
14 metabolize trans-benzo[a]pyrene-7,8-dihydrodiol to different metabolites, BPDE and BPQ.  
15 Reconstituted in vitro systems of human lung cells show that CYP enzymes have faster steady-state  
16 reaction rate constants than AKR and basal expression of AKR is higher than CYP in lung cells,  
17 suggesting that AKR and CYP enzymes compete for metabolism of trans-benzo[a]pyrene-  
18 7,8-dihydrodiol ([Quinn and Penning, 2008](#)).

## 19 ***Phase II Metabolism***

20 The reactive products of Phase I metabolism are subject to the action of several Phase II  
21 conjugation and detoxification enzyme systems that display preferential activity for specific  
22 oxidation products of benzo[a]pyrene. These Phase II reactions play a critical role in protecting  
23 cellular macromolecules from binding with reactive benzo[a]pyrene diolepoxides, radical cations,  
24 or ROS. Therefore, the balance between Phase I activation of benzo[a]pyrene and its metabolites  
25 and detoxification by Phase II processes is an important determinant of toxicity.

26 The diol epoxides formed from benzo[a]pyrene metabolism by Phase I reactions are not  
27 usually found as urinary metabolites. Rather, they are detected as adducts of nucleic acids or  
28 proteins or further metabolized by glutathione (GSH) conjugation, glucuronidation, and sulfation.  
29 These metabolites make up a significant portion of total metabolites in excreta or tissues. For  
30 example, the identified metabolites in bile 6 hours after a 2 µg/kg benzo[a]pyrene dose by  
31 intratracheal instillation to male Sprague-Dawley rats were 49% glucuronides (quinol  
32 diglucuronides or monglucuronides), 30.4% thioether conjugates, 6.2% sulfate conjugates, and  
33 14.4% unconjugated metabolites ([Bevan and Sadler, 1992](#)).

34 Conjugation of benzo[a]pyrene with GSH is catalyzed by GSTs. Numerous studies using  
35 human GSTs expressed in mammalian cell lines have demonstrated the ability of GST to metabolize  
36 benzo[a]pyrene diol epoxides. Isolated human GSTs have significant catalytic activity toward  
37 benzo[a]pyrene-derived diol epoxides and (±)anti-BPDE with variation in activity across GST  
38 isoforms ([Dreij et al., 2002](#); [Rojas et al., 1998](#); [Robertson et al., 1986](#)). Benzo[a]pyrene quinones

1 can also be conjugated with GSH ([Agarwal et al., 1991](#); [IARC, 1983](#)). This compelling evidence for a  
2 role of GSTs in the metabolism of reactive benzo[a]pyrene metabolites has triggered several  
3 molecular epidemiology studies. However, recent studies on the impact of polymorphism on  
4 adduct levels in PAH-exposed human populations did not show a clear relationship between the  
5 Phase I (CYP1A1, EH) or Phase II (GST) enzyme polymorphisms and the formation of DNA adducts  
6 ([Hemminki et al., 1997](#)) or blood protein adducts ([Pastorelli et al., 1998](#)).

7 Conjugation with uridine diphosphate-glucuronide catalyzed by uridine diphosphate-  
8 glucuronosyltransferase (UDP-UGT) enzymes is another important detoxification mechanism for  
9 oxidative benzo[a]pyrene metabolites. UGT isoforms, as well as their allelic variants, are expressed,  
10 and have glucuronidation activity toward, benzo[a]pyrene-derived phenols and diols in the  
11 aerodigestive tract (tongue, tonsil, floor of the mouth, larynx, esophagus), but not in the lung or  
12 liver ([Fang and Lazarus, 2004](#); [Zheng et al., 2002](#)). UGT activity also shows significant  
13 interindividual variability. Incubation of lymphocytes with benzo[a]pyrene resulted in covalent  
14 binding to protein with a 143-fold interindividual variability and a statistically significant inverse  
15 correlation between glucuronidation and protein binding ([Hu and Wells, 2004](#)).

16 Sulfotransferases can catalyze the formation of sulfates of benzo[a]pyrene metabolites. In  
17 rat or mouse liver, cytosolic sulfotransferase (in the presence of 3'-phosphoadenosine 5'-phospho-  
18 sulfate) catalyzes formation of sulfates of three benzo[a]pyrene metabolites: benzo[a]pyrene-  
19 7,8,9,10-tetrahydro-7-ol, benzo[a]pyrene-7,8-dihydrodiol, and benzo[a]pyrene-7,8,9,10-tetrol. The  
20 benzo[a]pyrene-7,8,9,10-tetrahydro-7-ol-sulfate is able to form potentially damaging DNA adducts  
21 ([Surh and Tannenbaum, 1995](#)). In human lung tissue 3-hydroxybenzo[a]pyrene conjugation to  
22 sulfate produces benzo[a]pyrene-3-yl-hydrogen sulfate, a very lipid soluble compound that would  
23 not be readily excreted in the urine ([Cohen et al., 1976](#)).

24 Although not specific for benzo[a]pyrene, there is now considerable evidence that genetic  
25 polymorphisms of the GST, UGT, and EH genes impart an added risk to humans for developing  
26 cancer. Of some significance to the assessment of benzo[a]pyrene may be that smoking, in  
27 combination with genetic polymorphism at several gene loci, increases the risk for bladder cancer  
28 ([Moore et al., 2004](#); [Choi et al., 2003](#); [Park et al., 2003](#)) and lung cancer ([Alexandrie et al., 2004](#); [Lin  
29 et al., 2003](#)). Coke oven workers (who are exposed to PAHs, including benzo[a]pyrene)  
30 homozygous at the P187S site of the NQO1 gene (an inhibitor of benzo[a]pyrene-quinone adducts  
31 with DNA), or carrying the null variant of the glutathione-S-transferase M1 (GSTM1) gene, had a  
32 significantly increased risk of chromosomal damage in peripheral blood lymphocytes. Meanwhile,  
33 the risk was much lower than controls in subjects with a variant allele at the H113Y site of the EH  
34 gene ([Leng et al., 2004](#)).

### 35 ***Tissue-Specific Metabolism***

36 Benzo[a]pyrene metabolism has been demonstrated in vivo in laboratory animals for  
37 various tissues via multiple routes including inhalation, ingestion, and dermal absorption.  
38 Metabolism of benzo[a]pyrene at the site of administration such as in the respiratory tract, the GI

1 tract, or the skin impact the amount of benzo[a]pyrene and its form as benzo[a]pyrene or one of the  
2 metabolites that reach systemic circulation. Nasal instillation or inhalation of benzo[a]pyrene in  
3 monkeys, dogs, rats, and hamsters resulted in the formation of dihydrodiols, phenols, quinones, and  
4 tetrols in the nasal mucus and lung ([Wolff et al., 1989](#); [Petridou-Fischer et al., 1988](#); [Weyand and](#)  
5 [Lavoie, 1988](#); [Weyand and Bevan, 1987, 1986](#); [Dahl et al., 1985](#)). In rats, the fractions of  
6 metabolites in the lung at 6 hours after instillation were: 20% unmetabolized benzo[a]pyrene, 16%  
7 conjugates or polyhydroxylated compounds, 10.7% 4,5-, 7,8-, and 9,10-dihydrodiols, 9.3% 1,6-, 3,6-,  
8 and 6,12-quinone, and 6.9% 3- and 9-hydroxybenzo[a]pyrene ([Weyand and Bevan, 1986](#)). In  
9 hamsters, approximately 50% of the benzo[a]pyrene instilled was metabolized in the nose (nasal  
10 tissues had the highest metabolic activity per-gram of the respiratory tract tissues), and the  
11 metabolites produced were similar to other species ([Dahl et al., 1985](#)).

12 In vitro studies of human and laboratory cells and cell lines provide further quantitative and  
13 mechanistic details of the metabolism of benzo[a]pyrene in the cells of the respiratory tract, skin,  
14 liver, and other tissues. Tracheobronchial tissues in culture of several species (including humans,  
15 mice, rats, hamsters, and bovines) were all found to metabolize benzo[a]pyrene extensively to  
16 phenols, diols, tetrols, quinones, and their conjugates ([Autrup et al., 1980](#)). The results show a high  
17 degree of interindividual variability (a 33-fold difference in human bronchus, a 5-fold variation in  
18 human trachea, and a 3-fold difference in bovine bronchus), but minimal variation among  
19 individuals of the laboratory animal species ([Autrup et al., 1980](#)). Human bronchial epithelial and  
20 lung tissue conjugated benzo[a]pyrene metabolites to glutathione and sulfates, but not with  
21 glucuronide ([Kiefer et al., 1988](#); [Autrup et al., 1978](#); [Cohen et al., 1976](#)). Lung tissue slices exposed  
22 to benzo[a]pyrene induced expression of CYP1A1 and CYP1B1 at levels 10–20 times higher than in  
23 the liver ([Harrigan et al., 2006](#)) and total levels of benzo[a]pyrene-DNA adducts were  
24 approximately 2–6 times greater in the lung slices than liver ([Harrigan et al., 2004](#)).

25 Benzo[a]pyrene undergoes extensive metabolism in the GI tract and liver after oral  
26 administration. In rats after administration of an oral dose, the majority of benzo[a]pyrene  
27 detected in organs is as metabolites ([Ramesh et al., 2004](#); [Ramesh et al., 2001b](#); [Yamazaki and](#)  
28 [Kakiuchi, 1989](#)). In rats administered a 100-nmol dose, >90% was recovered in portal blood as  
29 metabolites ([Bock et al., 1979](#)). Orally administered benzo[a]pyrene produced strong induction of  
30 CYP1A1 in the intestine of mice ([Brooks et al., 1999](#)). DNA post-labeling studies of mice  
31 administered benzo[a]pyrene by gavage demonstrated higher benzo[a]pyrene-DNA adduct levels in  
32 CYP1A1(-/-) than CYP1A1(+/-) mice in small intestines ([Uno et al., 2004](#)). To compare the  
33 relative roles of the liver and intestine in benzo[a]pyrene metabolism and absorption, a  
34 multicompartiment perfusion system was developed; it was found that benzo[a]pyrene is  
35 extensively metabolized by the intestinal Caco-2 cells and that benzo[a]pyrene and its metabolites  
36 are transported to the apical side of the Caco-2 cells away from the liver HepG2 cells ([Choi et al.,](#)  
37 [2004](#)).

1 Dermal exposure in humans and animals resulted in benzo[a]pyrene metabolism, and the  
2 permeation of benzo[a]pyrene in skin is linked to benzo[a]pyrene metabolism. Human skin  
3 samples maintained in short-term organ culture (i.e., human epithelial tissue, samples from human  
4 hair follicles, and melanocytes isolated from adult human skin) can metabolize benzo[a]pyrene into  
5 dihydrodiols, phenols, quinones, and glucuronide and sulfate conjugates ([Agarwal et al., 1991](#);  
6 [Alexandrov et al., 1990](#); [Hall and Grover, 1988](#); [Merk et al., 1987](#)). Nonviable skin is unable to  
7 metabolize benzo[a]pyrene (the permeation into nonviable skin is lower than viable skin) as  
8 measured in a range of species including humans, rats, mice, rabbits, and marmosets ([Kao et al.,](#)  
9 [1985](#)). Viable human skin samples treated with 2 µg/cm<sup>2</sup> [<sup>14</sup>C]-benzo[a]pyrene in acetone and  
10 incubated for 24 hours produced the following percentages of benzo[a]pyrene metabolites: 52%  
11 water-soluble compounds, 8% polar compounds, 17% diols, 1% phenols, 2.5% quinones, and 18%  
12 unmetabolized benzo[a]pyrene ([Kao et al., 1985](#)).

13 Benzo[a]pyrene that reaches systemic circulation is also metabolized by multiple tissues  
14 that are targets of benzo[a]pyrene toxicity, including reproductive tissues such as prostate,  
15 endometrium, cervical epithelial and stromal, and testes ([Ramesh et al., 2003](#); [Bao et al., 2002](#);  
16 [Williams et al., 2000](#); [Melikian et al., 1999](#)).

### 17 **Age-Specific Metabolism**

18 Metabolism of benzo[a]pyrene occurs in the developing fetus and in children, as indicated  
19 by DNA or protein adducts or urinary metabolites ([Naufal et al., 2010](#); [Ruchirawat et al., 2010](#); [Suter](#)  
20 [et al., 2010](#); [Mielżyńska et al., 2006](#); [Perera et al., 2005a](#); [Tang et al., 1999](#); [Whyatt et al., 1998](#)).  
21 Transport of benzo[a]pyrene and benzo[a]pyrene metabolites to fetal tissues including plasma,  
22 liver, hippocampus, and cerebral cortex has been demonstrated in multiple studies ([McCabe and](#)  
23 [Flynn, 1990](#); [Neubert and Tapken, 1988](#); [Shendrikova and Aleksandrov, 1974](#)), and benzo[a]pyrene  
24 is metabolized by human fetal esophageal cell culture ([Chakradeo et al., 1993](#)). While expression of  
25 CYP enzymes are lower in fetuses and infants, the liver to body mass ratio and increased blood flow  
26 to liver in fetuses and infants may compensate for the decreased expression of CYP enzymes  
27 ([Ginsberg et al., 2004](#)). Prenatal exposure to benzo[a]pyrene upregulates CYP1A1 and may  
28 increase the formation of benzo[a]pyrene-DNA adducts ([Wu et al., 2003a](#)). Activity of Phase II  
29 detoxifying enzymes in neonates and children is adequate for sulfation, but decreased for  
30 glucuronidation and glutathione conjugation ([Ginsberg et al., 2004](#)). The conjugation of  
31 benzo[a]pyrene-4,5-oxide with glutathione was approximately one-third less in human fetal than  
32 adult liver cytosol ([Pacifiçi et al., 1988](#)). The differential Phase I and II enzyme expression and  
33 activity in the developing fetus and in children are consistent with an expectation that these  
34 lifestages can be more susceptible to benzo[a]pyrene toxicity.

### 35 **D.1.5. Elimination**

36 Benzo[a]pyrene metabolites have been detected in the urine of exposed humans, but fecal  
37 excretion has not been investigated in any detail. Benzo[a]pyrene and associated metabolites have

1 also been detected in breast milk, especially in smokers, indicating some portion of dose is excreted  
2 via lactation ([Yu et al., 2011](#); [Zanieri et al., 2007](#); [Madhavan and Naidu, 1995](#)).

3 Studies of benzo[a]pyrene elimination in animals following exposure via inhalation,  
4 ingestion, and dermal routes have shown that benzo[a]pyrene is excreted preferentially in the feces  
5 in multiple species of laboratory animals including rat, mice, hamsters, guinea pigs, monkeys, and  
6 dogs ([Wang et al., 2003](#); [Likhachev et al., 1992](#); [Wolff et al., 1989](#); [Yang et al., 1989](#); [Petridou-Fischer](#)  
7 [et al., 1988](#); [Weyand and Bevan, 1987](#); [Sun et al., 1982](#); [Hecht et al., 1979](#)). The metabolites in bile  
8 are primarily benzo[a]pyrene conjugates, predominantly thioether conjugates of varying extent in  
9 different species ([Weyand and Bevan, 1987](#)). Six hours after a single intratracheal instillation of  
10 benzo[a]pyrene (2 µg/kg) to male Sprague-Dawley rats, relative metabolite levels were 31.2%  
11 diglucuronides, 30.4% thioether conjugates, 17.8% monoglucuronides, 6.2% sulfate conjugates,  
12 and 14.4% unconjugated metabolites ([Bevan and Sadler, 1992](#)). Rats administered benzo[a]pyrene  
13 via i.v. excrete a larger fraction in urine than via inhalation or oral exposure, suggesting an  
14 important role for enterohepatic circulation of benzo[a]pyrene metabolite conjugates ([Moir et al.,](#)  
15 [1998](#); [Weyand and Bevan, 1986](#); [Hirom et al., 1983](#)). The vehicle impacts the amount of  
16 benzo[a]pyrene excreted and may, in part, be due to the elimination rate or to other factors such as  
17 the absorption rate. For tritiated benzo[a]pyrene administered to Sprague-Dawley rats in  
18 hydrophilic triethylene glycol, 70.5% of the dose was excreted into bile within 6 hours. When the  
19 lipophilic solvents, ethyl laurate and tricapyrylin, were used as vehicles, 58.4 and 56.2% of the dose  
20 was excreted, respectively ([Bevan and Ulman, 1991](#)). In addition to benzo[a]pyrene and its  
21 metabolites, adducts of benzo[a]pyrene with nucleotides have also been identified as a small  
22 fraction of the administered dose in feces and urine of animals. The level of BPDE adducts with  
23 guanine detected in urine of male Wistar rats was dose-dependent. Forty-eight hours after dosing  
24 with 100 µg/kg tritiated benzo[a]pyrene, 0.15% of the administered benzo[a]pyrene dose was  
25 excreted in the urine as an adduct with guanine ([Autrup and Seremet, 1986](#)). Benzo[a]pyrene is  
26 also eliminated, to a limited extent, through milk in lactating animals. Levels of benzo[a]pyrene  
27 eliminated via lactation after dietary administration constituted less than 0.003% of the maternal  
28 dose in rabbits ([West and Horton, 1976](#)) and goats ([Lapole et al., 2007](#)), whereas in sheep  
29 lactational elimination represented about 0.014% of the total maternal dose ([West and Horton,](#)  
30 [1976](#)).

31 Overall, the data in humans and laboratory animals are sufficient to describe  
32 benzo[a]pyrene elimination qualitatively, but are limited in estimating quantitative rates of  
33 elimination.

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## 34 **D.2. PHYSIOLOGICALLY BASED PHARMACOKINETIC (PBPK) MODELS**

35 Several toxicokinetic or pharmacokinetic models of benzo[a]pyrene have been developed  
36 for rodents (rat and hamster). However, human models have only been developed via allometric

1 scaling, and metabolic parameters in humans have not been calibrated against in vivo toxicokinetic  
2 data or in vitro experiments.

3 [Bevan and Weyand \(1988\)](#) performed compartmental pharmacokinetic analysis of  
4 distribution of radioactivity in male Sprague-Dawley rats, following the intratracheal instillation of  
5 benzo[a]pyrene to normal and bile duct-cannulated animals ([Weyand and Bevan, 1987, 1986](#)).  
6 However, implicit simulation approaches were used, as opposed to physiologically-based  
7 approaches. The model calculated linear rate constants among compartments, and assumed that  
8 the kinetics of benzo[a]pyrene and its metabolites were the same.

9 [Roth and Vinegar \(1990\)](#) reviewed the capacity of the lung to impact the disposition of  
10 chemicals and used benzo[a]pyrene as a case study. A PBPK model was presented based on data  
11 from [Wiersma and Roth \(1983b\)](#); [Wiersma and Roth \(1983a\)](#) and was evaluated against tissue  
12 concentration data from [Schlede et al. \(1970\)](#). The model was structured with compartments for  
13 arterial blood, venous blood, lung, liver, fat, and slowly and rapidly perfused tissues and an  
14 adequate fit was obtained for some compartments; however, tissue-level data for calibration and  
15 validation of this model were limited. Metabolism in liver and lung was estimated using kinetic  
16 data from control rats and rats pretreated with 3-methylcholanthrene to induce benzo[a]pyrene  
17 metabolism. In microsomal preparations from control and 3-methylcholanthrene induced rat livers  
18 and lungs, benzo[a]pyrene hydroxylase activity was 1,000-fold greater in liver. In isolated rat  
19 lungs, the clearance of benzo[a]pyrene was about one-sixth of the clearance in isolated rat livers  
20 and in 3-methylcholanthrene-pretreated rats the clearance in lungs and livers were of similar  
21 magnitude. The PBPK simulations model based on these data showed that for a bolus intravascular  
22 injection of benzo[a]pyrene in rats, the majority of benzo[a]pyrene metabolism usually occurs in  
23 the liver. Except for cases when rats are pretreated with enzyme-inducing agents or where the  
24 exposure occurs via inhalation, the metabolic clearance in the lung is minor.

25 [Moir et al. \(1998\)](#) conducted a pharmacokinetic study on benzo[a]pyrene to obtain data for  
26 model development. Rats were injected with varying doses of [<sup>14</sup>C]-benzo[a]pyrene to 15 mg/kg,  
27 and blood, liver, fat, and richly perfused tissue were sampled varying time points after dosing. [Moir](#)  
28 [\(1999\)](#) then described a model for lung, liver, fat, richly and slowly perfused tissues, and venous  
29 blood, with saturable metabolism occurring in the liver. The fat and richly perfused tissues were  
30 modeled as diffusion-limited, while the other tissues were flow-limited. The model predicted the  
31 blood benzo[a]pyrene concentrations well, although it overestimated the 6 mg/kg results at longer  
32 times (>100 minutes). The model also produced a poor fit to the liver data. The model simulations  
33 were also compared to data of [Schlede et al. \(1970\)](#), who injected rats with 0.056 mg/kg body  
34 weight of benzo[a]pyrene. The model predicted blood and fat benzo[a]pyrene concentrations well,  
35 but still poorly predicted liver benzo[a]pyrene concentrations. The model included only one  
36 saturable metabolic pathway, and only parent chemical concentrations were used to establish the  
37 model. No metabolites were included in the model. This model was re-calibrated by [Crowell et al.](#)  
38 [\(2011\)](#) by optimizing against additional rodent data and altering partition coefficient derivation.

1 However, it still did not incorporate metabolites, and some tissues continued to exhibit poor model  
2 fits.

3 An attempt to scale the [Moir et al. \(1998\)](#) rodent PBPK model to humans, relevant to risk  
4 assessment of oral exposures to benzo[a]pyrene, was presented by [Zeilmaker et al. \(1999a\)](#) and  
5 [Zeilmaker et al. \(1999b\)](#). The PBPK model for benzo[a]pyrene was derived from an earlier model  
6 for 2,3,7,8-tetrachlorodibenzo-*p*-dioxin (TCDD) in rats ([Zeilmaker and van Eijkeren, 1997](#)). Most  
7 compartments were perfusion-limited, and tissues modeled included blood, adipose (with diffusion  
8 limitation), slowly and richly perfused tissues, and liver. However, there was no separate  
9 compartment for the lung. The liver compartment featured the AhR-dependent CYP450 induction  
10 mechanism and DNA adduct formation as a marker for formation of genotoxic benzo[a]pyrene  
11 metabolites. It was assumed that DNA adduct formation and the bulk benzo[a]pyrene metabolism  
12 were mediated by two different metabolic pathways. The model was experimentally calibrated in  
13 rats with the data for 7-ethoxyresorufin-O-deethylase (EROD) and formation of DNA adducts in the  
14 liver after i.v. administration of a single dose and per os administration of a single or repeated doses  
15 of benzo[a]pyrene ([Zeilmaker et al., 1999a](#)).

16 [Zeilmaker et al. \(1999b\)](#) assumed identical values for several parameters in rats and  
17 humans (i.e., benzo[a]pyrene tissue partition coefficients, AhR concentration in liver, rate constant  
18 for the decay of the benzo[a]pyrene-CYP450 complex, half-life of the CYP450 protein, fraction and  
19 rate of GI absorption of benzo[a]pyrene, and rates of formation and repair of DNA adducts in liver).  
20 The basal CYP450 activity in humans was assumed to be lower than that in rat liver. The  
21 mechanism of AhR-dependent induction of CYP450 dominated the simulated benzo[a]pyrene-DNA  
22 adduct formation in the liver. The results of PBPK model simulations indicated that the same dose  
23 of benzo[a]pyrene administered to rats or humans might produce one order of magnitude higher  
24 accumulation of DNA adducts in human liver when compared with the rat ([Zeilmaker et al., 1999b](#)).

25 Even though the model of [Zeilmaker et al. \(1999b\)](#) represents a major improvement in  
26 predictive modeling of benzo[a]pyrene toxicokinetics, the interspecies extrapolation introduces  
27 significant uncertainties. As emphasized by the authors, the conversion of benzo[a]pyrene to its  
28 mutagenic and carcinogenic metabolites could not be explicitly modeled in human liver because no  
29 suitable experimental data were available. According to the authors, improvement of the model  
30 would require direct measurements of basal activities of CYP1A1 and CYP1A2 and formation of  
31 benzo[a]pyrene-DNA adducts in human liver. Metabolic clearance of benzo[a]pyrene in the lungs  
32 was also not addressed. Additionally, the toxicokinetic modeling by [Zeilmaker et al. \(1999b\)](#)  
33 addressed only one pathway of benzo[a]pyrene metabolic activation, a single target organ (the  
34 liver), and one route of administration (oral). In order to model health outcomes of exposures to  
35 benzo[a]pyrene, the PBPK model needs to simulate rate of accumulation of benzo[a]pyrene-DNA  
36 adducts and/or the distribution and fate of benzo[a]pyrene metabolites (e.g., BPDE) that bind to  
37 DNA and other macromolecules. Alternatively, stable toxic metabolites (e.g., trans-anti-tetrol-  
38 benzo[a]pyrene) may be used as an internal dose surrogate. While the metabolic pattern of

1 benzo[a]pyrene has been relatively well characterized qualitatively in animals, the quantitative  
2 kinetic relationships between the more complex metabolic reactions in potential target organs are  
3 not yet well defined.

#### 4 **D.2.1. Recommendations for the Use of PBPK Models in Toxicity Value Derivation**

5 PBPK models for benzo[a]pyrene were evaluated to determine the capability to extrapolate  
6 from rats to humans, or between oral and inhalation exposure routes. Due to significant  
7 uncertainties with respect to the inter-species scaling of the metabolic parameters between rats  
8 and humans, these models were not used for cross-species extrapolation. Furthermore, no  
9 complete mechanistic PBPK model for the inhalation route was identified, nor was there a model  
10 for humans that simulates the typical inhalation exposure to benzo[a]pyrene on poorly soluble  
11 carbonaceous particles. This precluded the model's use for cross-route extrapolation to the  
12 inhalation pathway.

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### 13 **D.3. HUMAN STUDIES**

#### 14 **D.3.1. Noncancer Endpoints**

##### 15 ***Cardiovascular Endpoints***

16 [Burstyn et al. \(2005\)](#) reported the association of death from cardiovascular disease with  
17 benzo[a]pyrene exposure in a cohort of 12,367 male European asphalt workers (Table D-1). These  
18 workers were first employed in asphalt paving between 1913 and 1999, and worked at least one  
19 season. Average duration of follow-up was  $17 \pm 9$  years (mean  $\pm$  standard deviation [SD]),  
20 encompassing 193,889 person-years of observation. Worker exposure to coal tar was estimated  
21 using industrial process and hygiene information and modeling (presented in a previous report),  
22 and coal tar exposure was found to be the strongest determinant of exposure to benzo[a]pyrene.  
23 Benzo[a]pyrene exposure was assessed quantitatively using measurement-driven mixed effects  
24 exposure models, using data collected from other asphalt industry workers, and this model was  
25 constructed and validated previously. Due to limited data availability, only information regarding  
26 the primary cause of death was collected, and this analysis was limited to diseases of the circulatory  
27 system (ICD codes 390–459), specifically ischemic heart disease (IHD: ICD codes 410–414). Diesel  
28 exhaust exposure was also assessed in this cohort, but varied little among the asphalt pavers, and  
29 was not associated with risk of death from cardiovascular disease. Of the initial cohort, 0.25% was  
30 lost to follow-up and 0.38% emigrated during the course of observation. Relative risks (RRs) and  
31 associated 95% confidence intervals (CIs) were estimated using Poisson regression, and all models  
32 included exposure index for agent of interest (coal tar or benzo[a]pyrene), age, calendar period of  
33 exit from cohort, total duration of employment, and country, using the category of lowest exposure  
34 as the reference. Confounding by tobacco smoke exposure was considered in relation to the  
35 strength of its association with cardiovascular disease and the smoking prevalence in the

1 population. The RR attributed to cigarette smoking in former and current smokers was assumed to  
 2 be 1.2 and 2, respectively, based upon literature reports. From analysis of smoking incidence in a  
 3 subcohort, the following smoking distribution was proposed: in the lowest exposure group, 40%  
 4 never-smokers, 30% former smokers, and 30% current smokers; and among the highest exposed,  
 5 the proportion shifted to 20/30/50%, respectively.

6 Exposed subjects were stratified into quintiles based upon IHD mortality, with  
 7 83–86 deaths per exposure category, composing approximately 2/3 of the 660 cardiovascular  
 8 disease-related deaths. Both cumulative and average exposure indices for benzo[a]pyrene were  
 9 positively associated with IHD mortality, with a RR of approximately 1.6 in the highest exposure  
 10 quintile from both metrics, independent of total employment duration. Similar monotonic trends  
 11 were observed for all cardiovascular diseases (combined), although a dose-response relationship  
 12 was evident only for IHD and not hypertension or other individual heart disease categories. Similar  
 13 trends were also observed for coal tar exposure and IHD. Adjusting the RR to account for possible  
 14 confounding by smoking yields a RR of 1.39 under the assumptions mentioned above, and is still  
 15 elevated (1.21) if the contribution of smoking to cardiovascular disease etiology was greater than  
 16 the original assumptions. Furthermore, the RR for the high versus low exposure quintile is  
 17 1.24 even if the distribution of nonsmokers/former smokers/current smokers shifts to 0/30/70%,  
 18 using the original assumptions of cigarette smoke casual potency.

19 **Table D-1. Exposure to benzo[a]pyrene and mortality from cardiovascular**  
 20 **diseases in a European cohort of asphalt paving workers**

Effect measured	Cumulative exposure (ng/m <sup>3</sup> -yrs)					p-value for trend
	0–189 <sup>a</sup>	189–501	502–931	932–2,012	≥2,013	
<i>Diseases of the circulatory system</i>						
Deaths	137	145	118	132	128	0.09
RR	1.00	1.08	1.06	1.24	1.42	
95% CI		0.85–1.38	0.80–1.42	0.89–1.71	0.96–2.09	
<i>IHD</i>						
Deaths	83	83	84	83	85	0.06
RR	1.00	0.99	1.22	1.24	1.58	
95% CI		0.72–1.36	0.86–1.74	0.82–1.85	0.98–2.55	
Effect measured	Average exposure (ng/m <sup>3</sup> )					p-value for trend
	0–68 <sup>a</sup>	68–105	106–146	147–272	≥273	
<i>Diseases of the circulatory system</i>						
Deaths	128	142	143	139	108	<0.001
RR	1.00	1.30	1.55	1.45	1.58	
95% CI		1.01–1.67	1.18–2.05	1.09–1.93	1.16–2.15	

Effect measured	Cumulative exposure (ng/m <sup>3</sup> -yrs)					p-value for trend
	0–189 <sup>a</sup>	189–501	502–931	932–2,012	≥2,013	
<i>IHD</i>						
Deaths	83	83	83	86	83	0.02
RR	1.00	1.13	1.33	1.20	1.64	
95% CI		0.82–1.55	0.94–1.90	0.84–1.71	1.13–2.38	

<sup>a</sup>Reference category.

Source: [Burstyn et al. \(2005\)](#).

[Friesen et al. \(2010\)](#) examined the association between benzo[a]pyrene exposure and deaths from chronic nonmalignant disease in a cohort of 6,423 male and 603 female Canadian aluminum smelter workers (Table D-2). Inclusion criteria required at least 3 years of continuous employment in either the smelter facility or power-generating station from 1954 to 1997, with worker history collected up through 1999. This cohort was probabilistically linked to the Canadian national mortality database for external comparison to the British Columbia population and calculation of standardized mortality ratios (SMRs), which were adjusted for age, sex, and time period. Ninety-five percent CIs were calculated for the SMRs assuming a Poisson distribution. Internal comparisons were also made during the analysis of IHD mortality in male workers, calculating hazard ratios (HRs) for IHD with or without acute myocardial infarction (AMI) after 1969, as AMI could not be differentiated from other IHD on death certificates issued previously. HRs were calculated using Cox regression models, with age as a metamerker of time, also including smoking status, time since first employed and work location status. Smoking information for 77% of this updated cohort was collected by questionnaire, and workers were categorized as 75% ever-smokers and 25% never-smokers. Quantitative exposure to coal tar pitch volatiles were estimated by benzo[a]pyrene measurements, calculated by a job classification and time-based exposure matrix, as described in a previous report; annual arithmetic mean values were calculated for exposures from 1977 to 2000, while pre-1977 levels were backwards-extrapolated from 1977 values, incorporating major technological changes in time periods as appropriate.

Cumulative exposure metrics were highly skewed. Cumulative benzo[a]pyrene with a 5-year lag (past benzo[a]pyrene exposure) and cumulative benzo[a]pyrene in the most recent 5 years (recent benzo[a]pyrene exposure) were only slightly positively correlated ( $r = 0.10$ ,  $p < 0.001$ ). Current benzo[a]pyrene exposure was highly correlated with cumulative exposure for the most recent 5 years of exposure ( $r = 0.86$ ,  $p < 0.001$ ), but not with 5-year lagged cumulative exposure ( $r = 0.03$ ,  $p < 0.001$ ). Lagged cumulative exposure metrics (0–10 years) were all highly correlated with each other ( $r = 0.96$ , all  $p$ -values  $< 0.001$ ); lagged metrics for cumulative exposure were used to distinguish between effects of current versus long-term exposure.

When exposed workers were pooled and compared externally to non-exposed referents, the IHD and AMI SMRs were all  $\leq 1.00$  for males, and the only significant association in females was an

1 SMR of 1.27 for AMI. For internal comparisons, exposed males were stratified into quintiles based  
 2 upon IHD mortality, with approximately 56 deaths per exposure category. Five-year lagged  
 3 cumulative benzo[a]pyrene exposure was significantly associated with elevated risk of IHD  
 4 mortality, HR = 1.62 (95% CI 1.06–2.46) in the highest exposure quintile, while no association was  
 5 observed between most recent (5 years) exposure and mortality. Restricting IHD events to only  
 6 AMI (1969 onward) resulted in similar monotonic trends, albeit of lower statistical significance. No  
 7 association was observed between benzo[a]pyrene exposure and non-AMI IHD. While there was  
 8 little difference in the exposure-response association among 0-, 2-, and 5-year lagged data, 10-year  
 9 lagged data resulted in a weaker association. All risk estimates were strengthened by the  
 10 incorporation of work status and time-since-hire to account for the healthy worker effect, as  
 11 evidenced by the SMR of 0.87 (95% CI 0.82–0.92) for all chronic nonmalignant diseases combined  
 12 in male exposed workers versus external referents. Using a continuous variable, the authors  
 13 calculated the risk of death from IHD as 1.002 (95% CI 1.000–1.005) per  $\mu\text{g}/\text{m}^3$  from cumulative  
 14 benzo[a]pyrene exposure; however, visual inspection of the categorical relationships indicated that  
 15 the association is nonlinear, suggesting that this value may be an underestimate. Restricting the  
 16 cohort to only members who died within 30 days of active employment at the worksite, cumulative  
 17 benzo[a]pyrene exposure was not significantly associated with IHD or AMI, although the HR for the  
 18 highest exposure group was 2.39 (95% CI 0.95–6.05). Exposure-response relationships were  
 19 similarly examined in male smelter workers for chronic obstructive pulmonary disease and  
 20 cerebrovascular disease, but neither was significantly associated with cumulative benzo[a]pyrene  
 21 exposure in either internal or external comparisons.

22 **Table D-2. Exposure to benzo[a]pyrene and mortality from cardiovascular**  
 23 **diseases in a Canadian cohort of male aluminum smelter workers**

Effect measured	Categorical cumulative exposure with a 5-yr lag ( $\mu\text{g}/\text{m}^3\text{-yr}$ )					p-value for trend <sup>a</sup>	Continuous <sup>b</sup>
	0	0–7.79	7.79–24.3	24.3–66.7	≥66.7		
<i>All IHD (1957 onward)</i>							
Deaths	56	56	57	56	56	0.053	281
Person-years of follow-up	33,111	37,581	34,838	31,533	13,688		150,751
HR	1	1.11	1.48	1.28	1.62		1.002
95% CI	referent	0.76–1.62	1.01–2.17	0.86–1.91	1.06–2.46		1.000–1.005

Effect measured	Categorical cumulative exposure with a 5-yr lag ( $\mu\text{g}/\text{m}^3\text{-yr}$ )					p-value for trend <sup>a</sup>	Continuous <sup>b</sup>
	0	0–7.79	7.79–24.3	24.3–66.7	$\geq 66.7$		
<i>AMI (1969 onward)</i>							
	0	0–7.51	7.51–27.7	27.7–67.4	$\geq 67.4$		
Deaths	35	37	37	38	37	0.19	184
Person-years of follow-up	25,071	30,454	34,621	24,081	13,261		127,488
HR	1	1.14	1.21	1.36	1.46		1.001
95% CI	referent	0.71–1.82	0.75–1.96	0.84–2.45	0.87–2.45		0.997–1.005

<sup>a</sup>Two-sided test for trend using the person-year-weighted mean value for each category as a linear, continuous variable.

<sup>b</sup>Exposure variable was entered as a continuous, linear variable in the model.

Source: [Friesen et al. \(2010\)](#).

### Reproductive and Developmental Endpoints

[Wu et al. \(2010\)](#) conducted a study of benzo[a]pyrene-DNA adduct levels in relation to risk of fetal death in Tianjin, China. This case-control study included women who experienced a delayed miscarriage before 14 weeks gestational age (i.e., a fetal death that remained in utero and therefore required surgical intervention). Cases were matched by age and gravidity to controls (women undergoing induced abortion due to an unplanned or unwanted pregnancy). The study excluded women who smoked, women with chronic disease and pregnancy complications, and women with occupational exposures to PAHs. Residency within Tianjin for at least 1 year was also an eligibility criterion. The participation rate was high: 81/84 eligible cases participated and 81/89 eligible controls participated. Data pertaining to demographic characteristics, reproductive history, and factors relating to potential PAH exposure were collected using a structured interview, and samples from the aborted tissue were obtained. In two of the four hospitals used in the study, blood samples from the women (n = 51 cases and 51 controls) were also collected. The presence of benzo[a]pyrene-BPDE adducts was assessed in the blood and tissue samples using high-performance liquid chromatography (HPLC). There was no correlation between blood and aborted tissue levels of benzo[a]pyrene adducts (r = -0.12 for the 102 blood-tissue pairs, r = -0.02 for the 51 case pairs, and r = -0.21 for the 51 control pairs). (The authors noted that there was little difference between women with and without blood samples in terms of the interview-based measures collected or in terms of the DNA-adduct levels in aborted tissue.) Benzo[a]pyrene-adduct levels were similar but slightly lower in the aborted tissue of cases compared with controls (mean  $\pm$  SD 4.8  $\pm$  6.0 in cases and 6.0  $\pm$  7.4 in controls, p = 0.29). In the blood samples, however, benzo[a]pyrene-adduct levels were higher in cases (6.0  $\pm$  4.7 and 2.7  $\pm$  2.2 in cases and controls, respectively, p < 0.001). In logistic regression analyses using a continuous adduct measure, the odds ratio (OR) was 1.35 (95% CI 1.11–1.64) per adduct/10<sup>8</sup> nucleotide. These results were

1 adjusted for education, household income, and gestational age, but were very similar to the  
2 unadjusted results. Categorizing exposure at the median value resulted in an adjusted OR of  
3 4.27 (95% CI 1.41–12.99) in the high compared with low benzo[a]pyrene-adduct group. There was  
4 no relation between benzo[a]pyrene-adduct levels in the aborted tissue and miscarriage in the  
5 logistic regression analyses using either the continuous (adjusted OR 0.97, 95% CI 0.93–1.02) or  
6 dichotomous exposure measure (adjusted OR 0.76, 95% CI 0.37–1.54). Associations between  
7 miscarriage and several interview-based measures of potential PAH exposure were also seen:  
8 adjusted ORs of 3.07 (95% CI 1.31–7.16) for traffic congestion near residence, 3.52 (95% CI  
9 1.44–8.57) for commuting by walking, 3.78 (95% CI 1.11–12.87) for routinely cooked during  
10 pregnancy, and 3.21 (95% CI 0.98–10.48) for industrial site or stack near residence, but there was  
11 no association with other types of commuting (e.g., by bike, car, or bus).

12 [Perera et al. \(2005a\)](#) studied 329 nonsmoking pregnant women ( $30 \pm 5$  years old) possibly  
13 exposed to PAHs from fires at the World Trade Center (WTC) during the 4 weeks after 09/11/2001.  
14 Maternal and umbilical cord blood levels of benzo[a]pyrene (BPDE)-DNA adducts were highest in  
15 study participants who lived within 1 mile of the WTC, with an inverse correlation between cord  
16 blood levels and distance from the WTC. Neither cord blood adduct level nor environmental  
17 tobacco smoke (ETS) alone was positively correlated with adverse birth outcomes. However, the  
18 interaction between ETS exposure and cord blood adducts was significantly associated with  
19 reduced birth weight and head circumference. Among babies exposed to ETS in utero, a doubling of  
20 cord blood benzo[a]pyrene-DNA adducts was associated with an 8% decrease in birth weight  
21 ( $p = 0.03$ ) and a 3% decrease in head circumference ( $p = 0.04$ ).

22 [Perera et al. \(2005b\)](#), a reanalysis of [Perera et al. \(2004\)](#), compared various exposures—  
23 ETS, nutrition, pesticides, material hardship—with birth outcomes (length, head circumference,  
24 cognitive development). ETS exposure and intake of PAH-rich foods by pregnant women were  
25 determined by questionnaire. Levels of BPDE-DNA adducts were determined in umbilical cord  
26 blood collected at delivery. The study population consisted of Dominican or African-American  
27 nonsmoking pregnant women ( $n = 214$ ;  $24 \pm 5$  years old) free of diabetes, hypertension, HIV, and  
28 drug or alcohol abuse. Benzo[a]pyrene adducts, ETS, and dietary PAHs were not significantly  
29 correlated with each other. However, the interaction between benzo[a]pyrene-DNA adducts and  
30 ETS exposure was significantly associated with reduced birth weights ( $-6.8\%$ ;  $p = 0.03$ ) and  
31 reduced head circumference ( $-2.9\%$ ;  $p = 0.04$ ).

32 [Tang et al. \(2006\)](#) measured BPDE-DNA adducts in maternal and umbilical cord blood  
33 obtained at delivery from a cohort of 150 nonsmoking women and their newborns in China.  
34 Exposure assessment was related to the seasonal operation of a local, coal-fired power plant;  
35 however, airborne PAH concentrations were not measured. Dietary PAH intake was not included as  
36 a covariate because it did not significantly contribute to the final models, but ETS, sex, and maternal  
37 height and weight were considered as covariates. DNA adduct levels were compared to several  
38 birth outcomes and physical development parameters, such as gestational age at birth; infant sex,

1 birth weight, length, head circumference, and malformations; maternal height and pregnancy  
2 weight total weight gain; complications of pregnancy and delivery; and medications used during  
3 pregnancy.

4 High cord blood adduct levels were significantly associated with reduced infant/child  
5 weight at 18 months ( $\beta = -0.048, p = 0.03$ ), 24 months ( $\beta = -0.041, p = 0.027$ ), and 30 months of age  
6 ( $\beta = -0.040, p = 0.049$ ); decreased birth head circumference was marginally associated with DNA  
7 adduct levels ( $\beta = -0.011, p = 0.057$ ). Maternal adduct levels were correlated neither with cord  
8 blood adduct levels nor with fetal and child growth. Among female infants, cord blood adduct levels  
9 were significantly associated with smaller birth head circumference ( $p = 0.022$ ) and with lower  
10 weight at 18 months ( $p = 0.014$ ), 24 months ( $p = 0.012$ ), and 30 months of age ( $p = 0.033$ ), and with  
11 decreased body length at 18 months of age ( $p = 0.033$ ). Among male infants, the corresponding  
12 associations were also inverse, but were not statistically significant.

13 Considerable evidence of a deleterious effect of smoking on male and female fertility has  
14 accumulated from epidemiological studies of time to pregnancy, ovulatory disorders, semen  
15 quality, and spontaneous abortion (reviewed in [Waylen et al., 2009](#); [Cooper and Moley, 2008](#);  
16 [Soares and Melo, 2008](#)). In addition, the effect of smoking, particularly during the time of the  
17 perimenopausal transition, on acceleration of ovarian senescence (menopause) has also been  
18 established ([Midgette and Baron, 1990](#)). More limited data are available pertaining specifically to  
19 measures of benzo[a]pyrene and reproductive outcomes.

20 [Neal et al. \(2008\)](#) examined levels of benzo[a]pyrene and other PAHs in follicular fluid and  
21 serum sample from 36 women undergoing in vitro fertilization at a clinic in Toronto, and compared  
22 the successful conception rate in relation to benzo[a]pyrene levels. The women were classified by  
23 smoking status, with 19 current cigarette smokers, 7 with passive or sidestream smoke exposure  
24 (i.e., nonsmoker with a partner who smoked), and 10 nonsmokers exposed. An early follicular  
25 phase blood sample and follicular fluid sample from the follicle at the time of ovum retrieval were  
26 collected and analyzed for the presence of benzo[a]pyrene, acenaphthelene, phenanthrene, pyrene,  
27 and chrysene using gas chromatography/mass spectrometry (MS) (detection limit 5 pg/mL). The  
28 frequency of nondetectable levels of serum benzo[a]pyrene was highest in the nonsmoking group  
29 (60.0, 14.3, and 21.0% below the detection limit in nonsmoking, sidestream smoke, and active  
30 smoking groups, respectively). A similar pattern was seen with follicular fluid benzo[a]pyrene  
31 (30.0, 14.3, and 10.5% below the detection limit in nonsmoking, sidestream smoke, and active  
32 smoking groups, respectively). In the analyses comparing mean values across groups, an assigned  
33 value of 0 was used for nondetectable samples. Follicular fluid benzo[a]pyrene levels were higher  
34 in the active smoking group (mean  $\pm$  standard error [SE],  $1.32 \pm 0.68$  ng/mL) than in the sidestream  
35 ( $0.05 \pm 0.01$  ng/mL) or nonsmoking ( $0.03 \pm 0.01$  ng/mL) groups ( $p = 0.04$ ). The between-group  
36 differences in serum benzo[a]pyrene levels were not statistically significant ( $0.22 \pm 0.15$ ,  
37  $0.98 \pm 0.56$ , and  $0.40 \pm 0.13$  ng/mL in nonsmoking, sidestream smoke, and active smoking groups,  
38 respectively), and there were no differences in relation to smoking status. Among active smokers,

1 the number of cigarettes smoked per day was strongly correlated with follicular fluid  
2 benzo[a]pyrene levels ( $r = 0.7, p < 0.01$ ). Follicular fluid benzo[a]pyrene levels were significantly  
3 higher among the women who did not conceive ( $1.79 \pm 0.86$  ng/mL) compared with women who  
4 did get pregnant (mean approximately 0.10 ng/mL, as estimated from graph) ( $p < 0.001$ ), but  
5 serum levels of benzo[a]pyrene were not associated with successful conception.

6 A small case-control study conducted between August 2005 and February 2006 in Lucknow  
7 city (Uttar Pradesh), India examined PAH concentrations in placental tissues ([Singh et al., 2008](#)) in  
8 relation to risk of preterm birth. The study included 29 cases (delivery between 28 and <36 weeks  
9 of gestation) and 31 term delivery controls. Demographic data on smoking history, reproductive  
10 history, and other information were collected by interview, and a 10-g sample of placental tissue  
11 was collected from all participants. Concentration of specific PAHs in placental tissue was  
12 determined using HPLC. In addition to benzo[a]pyrene, the PAHs assayed were naphthalene,  
13 acenaphthylene, phenanthrene, fluorene, anthracene, benzo[a]anthracene, fluoranthene, pyrene,  
14 benzo[k]fluoranthene, benzo[b]fluoranthene, benzo[g,h,i]perylene, and dibenzo[a,h]anthracene.  
15 PAH exposure in this population was from environmental sources and from cooking. The age of  
16 study participants ranged from 20 to 35 years. There was little difference in birth weight between  
17 cases and controls (mean 2.77 and 2.75 kg in the case and control groups, respectively). Placental  
18 benzo[a]pyrene levels were lower than the levels of the other PAHs detected (mean 8.83 ppb in  
19 controls for benzo[a]pyrene compared with 25–30 ppb for anthracene, benzo[k]fluoranthene,  
20 benzo[b]fluoranthene, and dibenzo[a,h]anthracene, 59 ppb for acenaphthylene, and 200–380 ppm  
21 for naphthalene, phenanthrene, fluoranthene, and pyrene; nondetectable levels of fluorine,  
22 benzo[a]anthracene, and benzo[g,h,i]perylene were found). There was little difference in  
23 benzo[a]pyrene levels between cases (mean  $\pm$  SE  $13.85 \pm 7.06$  ppb) and controls ( $8.83 \pm 5.84$  ppb),  
24 but elevated levels of fluoranthene ( $325.91 \pm 45.14$  and  $208.6 \pm 21.93$  ppb in cases and controls,  
25 respectively,  $p < 0.05$ ) and benzo[b]fluoranthene ( $61.91 \pm 12.43$  and  $23.84 \pm 7.01$  ppb in cases and  
26 controls, respectively,  $p < 0.05$ ) were seen.

## 27 **Neurotoxicity**

28 [Niu et al. \(2010\)](#) studied 176 Chinese coke-oven workers with elevated benzo[a]pyrene  
29 exposure and compared them against 48 referents (workers in a supply warehouse), matched by  
30 socioeconomic status, lifestyle, and health. Blood levels of monoamine, amino acid and chlorine  
31 neurotransmitters were measured, and the World Health Organization Neurobehavioral Core Test  
32 Battery was administered to assess emotional state, learning, memory, and hand-eye coordination.  
33 The authors self-designed a study questionnaire to gather information on worker education,  
34 vocational history, smoking and drinking habits, and personal habits, personal and family medical  
35 history, as well as any current symptoms and medications used in the previous several weeks.  
36 Workers were excluded from the study for any of the following criteria: if they reported feeling  
37 depressed at any point during the previous 6 months; if they had taken medicine in the previous  
38 2 weeks that could affect nervous system function; or if they reported undertaking vigorous

1 exercise less than 48 hours previously. “Smoking” was defined as  $\geq 10$  cigarettes/day during the  
2 past year. Similarly, “drinking” was defined as wine/beer/spirits consumed  $\geq 3$  times/week for the  
3 past 6 months. Workplace environmental sampling stations were established at each of the  
4 physical work locations, including the referent’s warehouse, and dual automatic air sampling  
5 pumps collected samples at personal breathing zone height for 6 hours/day, over 3 consecutive  
6 days. Benzo[a]pyrene content was determined by HPLC, and relative exposure was compared to  
7 post-shift urine levels of a benzo[a]pyrene metabolite, 1-hydroxypyrene (1-OH-Py). Blood was  
8 collected in the morning before breakfast; monoamine (norepinephrine and dopamine) and amino  
9 acid (glutamate, aspartate, glycine, and gamma-aminobutyric acid [GABA]) neurotransmitter levels  
10 were determined by HPLC, acetylcholine levels determined by hydroxyamine chromometry, and  
11 acetylcholine esterase (AChE) levels measured in lysed red blood cells (RBCs) using activity kits.

12 Benzo[a]pyrene mean concentrations were  $19.56 \pm 13.2$ ,  $185.96 \pm 38.6$ , and  
13  $1,623.56 \pm 435.8$  ng/m<sup>3</sup> at the bottom, side, and top of the coke oven, respectively, all of which were  
14 higher than the mean at the referents’ warehouse ( $10.26 \pm 7.6$  ng/m<sup>3</sup>). The authors did not report  
15 stratified analysis by different levels of benzo[a]pyrene exposure, and reported only comparisons  
16 between the referents and all exposed workers combined (Table D-3), or between workers grouped  
17 by urinary benzo[a]pyrene metabolite 1-OH-Py levels (Table D-4). There were no significant  
18 differences in age, education, or smoking or alcohol use between the coke oven and warehouse  
19 workers. Urinary 1-OH-Py levels were 32% higher in coke oven workers compared to the referent  
20 group, corresponding to the higher levels of benzo[a]pyrene detected in all coke oven workstation  
21 compared to the supply warehouse. Performance in two neurobehavioral function tests, digit span  
22 and forward digit span, were significantly decreased in the exposed oven workers versus the  
23 control group; when stratified by urinary metabolite level, scores significantly decreased with  
24 increasing 1-OH-Py levels. Of the neurotransmitters assessed, norepinephrine, dopamine,  
25 aspartate, and GABA were significantly decreased in exposed versus control workers;  
26 norepinephrine and aspartate were also significantly and inversely related with 1-OH-Py levels.  
27 Dopamine levels appeared to decrease with increased urinary metabolite levels, although the  
28 relationship was not statistically significant. GABA levels were highly variable, and appeared to  
29 increase with increasing 1-OH-Py levels, although this relationship was not statistically significant.  
30 Acetylcholine levels were 4-fold higher in coke oven workers compared to referents, and AChE  
31 activity was 30% lower; both acetylcholine and AChE were significantly associated with urinary  
32 benzo[a]pyrene metabolite levels, although acetylcholine increased and AChE activity decreased  
33 with increasing 1-OH-Py. The authors reported the results of correlation analysis, indicating that  
34 digit span scores correlated negatively with acetylcholine and positively with AChE (coefficients of  
35  $-0.230$ ,  $-0.276$  and  $0.120$ ,  $0.170$ , respectively), although no indication of statistical significance was  
36 given. No other associations were reported.

1 **Table D-3. Exposure-related effects in Chinese coke oven workers or**  
 2 **warehouse controls exposed to benzo[a]pyrene in the workplace**

Effect measured	Exposure group		p-value
	Controls (n = 48)	Exposed workers (n = 176)	
<i>Background information (mean ± SD, incidence or percent)</i>			
Age (yrs)	39.71 ± 7.51	37.86 ± 6.51	0.098
Education (junior/senior)	23/25	110/66	0.068
Smoking	77%	64%	0.093
Drinking	27%	39%	0.140
<i>Urine benzo[a]pyrene metabolite (μmol/mol creatinine; mean ± SD)</i>			
1-OH-Py	2.77 ± 1.45	3.66 ± 0.67	0.000
<i>Neurobehavioral function tests (mean ± SD)</i>			
Simple reaction time	413.88 ± 95.40	437.39 ± 88.44	0.109
Digit span	17.31 ± 4.54	15.47 ± 4.08	0.006
Forward digit span	10.65 ± 2.42	9.25 ± 2.64	0.001
<i>Neurotransmitter concentrations (mean ± SD)</i>			
Norepinephrine (ng/mL)	62.54 ± 58.07	40.62 ± 29.78	0.000
Dopamine (ng/mL)	1,566.28 ± 317.64	1,425.85 ± 422.66	0.029
Aspartate (μg/mL)	2.13 ± 1.66	1.58 ± 0.99	0.004
Glutamate (μg/mL)	11.21 ± 5.28	9.68 ± 5.72	0.074
GABA (μg/mL)	2.52 ± 5.16	1.01 ± 2.21	0.004
Acetylcholine (μg/mL)	172.60 ± 67.19	704.00 ± 393.86	0.000
AchE activity (U/mg protein)	71.31 ± 46.18	50.27 ± 34.02	0.012

3  
4 Source: [Niu et al. \(2010\)](#).

5 **Table D-4. Exposure-related effects in Chinese coke oven workers or**  
 6 **warehouse controls exposed to benzo[a]pyrene in the workplace, stratified by**  
 7 **urinary metabolite levels**

Effect measured	Exposure group categorized by 1-OH-Py level			p-value
	0–3.09 μmol/mol creatinine	3.09–3.90 μmol/mol creatinine	3.90–5.53 μmol/mol creatinine	
<b>Number of subjects</b>	<b>33</b>	<b>72</b>	<b>36</b>	
<i>Neurobehavioral function tests (mean ± SD)</i>				
Digit span	18.24 ± 4.58	16.04 ± 4.24	15.78 ± 3.71	0.003
Forward digit span	10.85 ± 2.12	9.80 ± 2.86	9.58 ± 2.33	0.019
Backward digit span	7.20 ± 3.07	6.38 ± 2.55	6.20 ± 2.15	0.089
Right dotting	152.15 ± 35.43	153.80 ± 31.55	167.22 ± 59.21	0.094

Effect measured	Exposure group categorized by 1-OH-Py level			p-value
	0–3.09 $\mu\text{mol/mol}$ creatinine	3.09–3.90 $\mu\text{mol/mol}$ creatinine	3.90–5.53 $\mu\text{mol/mol}$ creatinine	
Number of subjects	33	72	36	
<i>Neurotransmitter concentrations (mean <math>\pm</math> SD)</i>				
Norepinephrine (ng/mL)	67.31 $\pm$ 67.45	36.97 $\pm$ 23.58	46.75 $\pm$ 35.88	0.002
Dopamine (ng/mL)	1,614.45 $\pm$ 683.57	1,482.30 $\pm$ 323.66	1,405.06 $\pm$ 332.23	0.134
Aspartate ( $\mu\text{g/mL}$ )	2.29 $\pm$ 2.13	1.61 $\pm$ 0.71	1.47 $\pm$ 0.58	0.001
Glutamate ( $\mu\text{g/mL}$ )	11.56 $\pm$ 8.92	9.93 $\pm$ 4.14	9.06 $\pm$ 3.30	0.070
GABA ( $\mu\text{g/mL}$ )	1.40 $\pm$ 3.59	1.42 $\pm$ 3.44	1.56 $\pm$ 3.24	0.964
Acetylcholine ( $\mu\text{g/mL}$ )	334.66 $\pm$ 83.75	483.71 $\pm$ 57.87	665.85 $\pm$ 94.34	0.030
AchE activity (U/mg protein)	68.17 $\pm$ 9.28	54.98 $\pm$ 4.23	52.64 $\pm$ 4.60	0.043

1

2 Source: [Niu et al. \(2010\)](#).3 **Immunotoxicity**

4 [Zhang et al. \(2012\)](#) studied 129 Chinese coke-oven workers with elevated benzo[a]pyrene  
5 exposure and compared them against 37 referents (workers in a supply warehouse), matched by  
6 socioeconomic status, lifestyle, and health. Area benzo[a]pyrene levels were quantified in the  
7 various work areas, and the primary endpoint was the level of early and late apoptosis in  
8 peripheral blood mononuclear cells (PBMCs) isolated from each worker subgroup the morning  
9 following an overnight fast. The authors self-designed a study questionnaire to gather information  
10 on worker education, vocational history, smoking and drinking habits, personal habits, and  
11 personal and family medical history, as well as any current symptoms and medications used in the  
12 previous several weeks. “Smoking” was defined as  $\geq 10$  cigarettes/day during the past year, with  
13 “smoking index” defined as cigarettes/day  $\times$  years smoking. Similarly, “drinking” was defined as  
14 wine/beer/spirits consumed  $\geq 3$  times/week for the past 6 months, and “drinking index” defined as  
15 grams of alcohol consumed/day  $\times$  years drinking. Exposed workers were categorized by physical  
16 worksite location and expected differences in benzo[a]pyrene exposure: 34 oven bottom workers,  
17 48 oven side workers, and 47 oven top workers. Workplace environmental sampling stations were  
18 established at each of the physical work locations, including the referent’s warehouse, and dual  
19 automatic air sampling pumps collected samples at personal breathing zone height for 6 hours/day,  
20 over 3 consecutive days. Benzo[a]pyrene content was determined by HPLC, and relative exposure  
21 was compared to post-shift urine levels of a benzo[a]pyrene metabolite, 1-OH-Py. Collected and  
22 purified PBMCs were incubated with Annexin-V and PI prior to analysis by flow cytometry; early  
23 apoptotic cells were considered to be Annexin V+/PI-, while late apoptotic cells were considered  
24 Annexin V+/PI+.

25 All apoptosis data were displayed graphically, and in all groupings, early:late apoptotic  
26 PBMCs occurred at an approximate 2:1 frequency. PBMC apoptosis was similar in each of the three  
27 coke oven worker groups, which were all statistically significantly higher than referents

1 (approximately 2-fold) for both early and late apoptosis. While self-reported smoking incidence  
 2 varied significantly among the worker groups, stratification by smoking years or smoking index did  
 3 not reveal any significant association with PBMC apoptosis. Multiple linear stepwise regression  
 4 analysis suggested that urine 1-OH-Py levels and years of coke oven operation were positively  
 5 associated with increased early and late PBMC apoptosis (Table D-5), and that years of ethanol  
 6 consumption was negatively associated with only early apoptosis. These associations were tested  
 7 by stratifying workers into three groups by urinary 1-OH-Py levels or coke oven operation years,  
 8 and in both cases, the groups with the highest urinary metabolite levels or longest oven operating  
 9 experience had statistically significantly higher levels of both early and late apoptotic PBMCs versus  
 10 the lowest or shortest duration groups, respectively. Likewise, when sorted into groups based  
 11 upon years of ethanol consumption, the highest ethanol “years of consumption” group had  
 12 statistically significantly lower early apoptosis rates when compared to the lowest ethanol  
 13 consuming group.

14 **Table D-5. Background information on Chinese coke oven workers or**  
 15 **warehouse controls exposed to benzo[a]pyrene in the workplace**

Effect measured	Exposure group (ng/m <sup>3</sup> ; mean ± SD)				p-value
	10.2 ± 7.6	19.5 ± 13.2	185.9 ± 38.6	1,623.5 ± 435.8	
Number of subjects	37	34	48	47	
<i>Background information (mean ± SD or %)</i>					
Age (yrs)	37.16 ± 6.00	39.09 ± 5.53	36.98 ± 6.40	37.34 ± 6.78	0.451
Working years	17.35 ± 7.19	18.58 ± 7.23	16.78 ± 6.90	17.26 ± 7.44	0.742
Smoking	62.2	64.7	83.3	53.2	0.017
Drinking	24.3	41.2	39.6	44.7	0.259
<i>Urine benzo[a]pyrene metabolite (μmol/mol creatinine; mean ± SD)</i>					
1-OH-Py	2.78 ± 1.04	3.22 ± 0.81*	3.51 ± 0.55*	3.66 ± 0.58*	0.000

16  
 17 \**p* < 0.05 significantly different from control mean.

18  
 19 Source: [Zhang et al. \(2012\)](#).

### 20 D.3.2. Cancer-related Endpoints

#### 21 *Benzo[a]pyrene-Induced Cytogenetic Damage*

22 Many studies measure cytogenetic damage as biomarkers of early biological effects, which  
 23 also reflect exposure to genotoxic chemicals. Standard cytogenetic endpoints include chromosomal  
 24 aberration (CA), sister chromatid exchange (SCE), micronucleus (MN) formation, hypoxanthine  
 25 guanine phosphoribosyl transferase (hprt) mutation frequency, and glycophorin A mutation  
 26 frequency ([Gyorffy et al. 2008](#)). These biomarkers are often incorporated in multi-endpoint

1 studies with other biomarkers of exposure. Because they indicate related but different endpoints,  
2 there is often a lack of correlation between the different categories of biomarkers.

3 [Merlo et al. \(1997\)](#) evaluated DNA adduct formation (measured by [<sup>32</sup>P]-postlabelling) and  
4 MN in white blood cells (WBCs) of 94 traffic policemen versus 52 residents from the metropolitan  
5 area of Genoa, Italy. All study subjects wore personal air samplers for 5 hours of one work shift,  
6 and levels of benzo[a]pyrene and other PAHs were measured. Policemen were exposed to 4.55 ng  
7 benzo[a]pyrene/m<sup>3</sup> air, compared with urban residents who were exposed to 0.15 ng/m<sup>3</sup>. DNA  
8 adduct levels in policemen were 35% higher than in urban residents ( $p = 0.007$ ), but MN in urban  
9 residents were 20% higher than in policemen ( $p = 0.02$ ). Linear regressions of DNA adducts and  
10 MN incidence, respectively, versus benzo[a]pyrene exposure levels did not reveal significant  
11 correlations.

12 Perera and coworkers assessed DNA damage in Finnish iron foundry workers in two  
13 separate studies and using three methodologies. Based on results from personal sampling and  
14 stationary monitoring in both studies, three levels of benzo[a]pyrene air concentrations were  
15 defined: low (<5 ng/m<sup>3</sup> benzo[a]pyrene), medium (5–12 ng/m<sup>3</sup>), and high (>12 ng/m<sup>3</sup>) ([Perera et  
16 al., 1994](#); [Perera et al., 1993](#)). In the first study, involving 48 workers, several biomarkers were  
17 analyzed for dose-response and interindividual variability ([Perera et al., 1993](#)). PAH-DNA adducts  
18 were determined in WBCs using an immunoassay and enzyme-linked immunosorbent assay  
19 (ELISA) with fluorescence detection. Mutations at the hprt locus were also measured in WBC DNA.  
20 The latter assay is based on the fact that each cell contains only one copy of the hprt gene, which is  
21 located on the X-chromosome. While male cells have only one X-chromosome, female cells  
22 inactivate one of the two X-chromosomes at random. The gene is highly sensitive to mutations such  
23 that in the event of a crucial mutation in the gene, enzyme activity disappears completely from the  
24 cell. In addition, mutations at the glycophorin A gene locus were measured in RBCs. The  
25 glycophorin A mutation frequency was not correlated with either benzo[a]pyrene exposure or  
26 PAH-DNA adduct formation. However, both PAH-DNA adduct levels and hprt mutation frequency  
27 increased with increasing benzo[a]pyrene exposure. In addition, there was a highly significant  
28 correlation between incidence of hprt mutations and PAH-DNA adduct levels ( $p = 0.004$ ).

29 In a second study, [Perera et al. \(1994\)](#) surveyed 64 iron foundry workers with assessments  
30 conducted in 2 successive years; 24 of the workers provided blood samples in both years. Exposure  
31 to benzo[a]pyrene, collected by personal and area sampling in the first year of the study, ranged  
32 from <5 to 60 ng/m<sup>3</sup> and was estimated to have decreased by 40% in the second year. The levels of  
33 PAH-DNA adducts were roughly 50% lower in the 2nd year, presumably reflecting decreased  
34 exposure. The longer-lived hprt mutations were not as strongly influenced by the decreasing  
35 exposure to benzo[a]pyrene. Study subjects who did not have detectable levels of DNA adducts  
36 were excluded from the study. As in the previous study, a strong correlation between DNA adduct  
37 levels and incidence of hprt mutations was observed ([Perera et al., 1993](#)).

1           [Kalina et al. \(1998\)](#) studied several cytogenetic markers in 64 coke oven workers and  
2 34 controls employed at other locations within the same plant. Airborne benzo[a]pyrene and seven  
3 other carcinogenic PAHs were collected by personal air samplers, which showed ambient  
4 benzo[a]pyrene concentrations ranging widely from 0.002 to 50  $\mu\text{g}/\text{m}^3$  in coke oven workers and  
5 from 0.002 to 0.063  $\mu\text{g}/\text{m}^3$  in controls. CAs, SCEs, high-frequency cells (HFCs), and SCE  
6 heterogeneity index were all significantly increased with benzo[a]pyrene exposure. Except for  
7 increases in HFCs, no effect of smoking was observed. Consistent with studies of PAH-DNA adduct  
8 formation, reduced cytogenetic response at high exposure levels produced a nonlinear dose-  
9 response relationship. The authors also evaluated the potential influence of polymorphisms in  
10 enzymes involved in the metabolism of benzo[a]pyrene. GSTM1 and N-acetyl transferase-2  
11 polymorphisms were studied and no evidence of the two gene polymorphisms having any influence  
12 on the incidence of cytogenetic damage was found.

13           [Motykiewicz et al. \(1998\)](#) conducted a similar study of genotoxicity associated with  
14 benzo[a]pyrene exposure in 67 female residents of a highly polluted industrial urban area of Upper  
15 Silesia, Poland, and compared the results to those obtained from 72 female residents of another  
16 urban but less polluted area in the same province of Poland. Urinary mutagenicity and 1-OH-Py  
17 levels, PAH-DNA adducts in oral mucosa cells (detected by immunoperoxidase staining), SCEs,  
18 HFCs, CAs, bleomycin sensitivity, and GSTM1 and CYP1A1 polymorphisms in blood lymphocytes  
19 were investigated. High volume air samplers and gas chromatography were used to quantify  
20 ambient benzo[a]pyrene levels, which were 3.7  $\text{ng}/\text{m}^3$  in the polluted area and 0.6  $\text{ng}/\text{m}^3$  in the  
21 control area during the summer. During winter, levels rose to 43.4 and 7.2  $\text{ng}/\text{m}^3$  in the two areas,  
22 respectively. The cytogenetic biomarkers (CA and SCE/HFC), urinary mutagenicity, and urinary  
23 1-OH-Py excretion were significantly increased in females from the polluted area, and differences  
24 appeared to be more pronounced during winter time. PAH-DNA adduct levels were significantly  
25 increased in the study population, when compared to the controls, only in the winter season. No  
26 difference in sensitivity to bleomycin-induced lymphocyte chromatid breaks was seen between the  
27 two populations. As with the study by [Kalina et al. \(1998\)](#), genetic polymorphisms assumed to  
28 affect the metabolic transformation of benzo[a]pyrene were not associated with any difference in  
29 the incidence of DNA damage.

30           In a study of Thai school boys in urban (Bangkok) and rural areas, bulky (including but not  
31 limited to BPDE-type) DNA adduct levels were measured in lymphocytes along with DNA single-  
32 strand breaks (SSBs), using the comet assay, and DNA repair capacity ([Tuntawiroon et al., 2007](#)).  
33 Ambient air and personal breathing zone measurements indicated that Bangkok school children  
34 experienced significantly higher exposures to benzo[a]pyrene and total PAHs. A significantly  
35 higher level of SSBs (tail length  $1.93 \pm 0.09$  versus  $1.28 \pm 0.12 \mu\text{m}$ , +51%;  $p < 0.001$ ) was observed  
36 in Bangkok school children when compared with rural children, and this parameter was  
37 significantly associated with DNA adduct levels. A significantly reduced DNA repair capacity  
38 ( $0.45 \pm 0.01$  versus  $0.26 \pm 0.01 \gamma$ -radiation-induced deletions per metaphase, -42%;  $p < 0.001$ ) was

1 also observed in the city school children, again significantly associated with DNA adduct levels. It  
2 was not evident why higher environmental PAH exposure would be associated with lowered DNA  
3 repair capacity. However, because the personal breathing zone PAH levels and DNA adduct levels  
4 were not associated with each other, it is conceivable that the city school children had a priori  
5 lower DNA repair capacities that contributed significantly to the high adduct levels. The authors  
6 considered genetic differences between the two study populations as a possible reason for this  
7 observation.

### 8 **D.3.3. Epidemiologic Findings in Humans**

9 The association between human cancer and contact with PAH-containing substances, such  
10 as soot, coal tar, and pitch, has been widely recognized since the early 1900s ([Boström et al., 2002](#)).  
11 Although numerous epidemiology studies establish an unequivocal association between PAH  
12 exposure and human cancer, defining the causative role for benzo[a]pyrene and other specific PAHs  
13 remains a challenge. In essentially all reported studies, either the benzo[a]pyrene exposure and/or  
14 internal dose are not known, or the benzo[a]pyrene carcinogenic effect cannot be distinguished  
15 from the effects of other PAH and non-PAH carcinogens. Nevertheless, three types of investigations  
16 provide support for the involvement of benzo[a]pyrene in some human cancers: molecular  
17 epidemiology studies; population- and hospital-based, case-control studies; and occupational  
18 cohort studies. In some cohort studies, benzo[a]pyrene exposure concentrations were measured  
19 and thus provide a means to link exposure intensity with observed cancer rates. In case-control  
20 studies, by their nature, benzo[a]pyrene and total PAH doses can only be estimated.

### 21 ***Molecular Epidemiology and Case-Control Cancer Studies***

22 Defective DNA repair capacity leading to genomic instability and, ultimately, increased  
23 cancer risk is well documented ([Wu et al., 2007](#); [Wu et al., 2005](#)). Moreover, sensitivity to mutagen-  
24 induced DNA damage is highly heritable and thus represents an important factor that determines  
25 individual cancer susceptibility. Based on studies comparing monozygotic and dizygotic twins, the  
26 genetic contribution to BPDE mutagenic sensitivity was estimated to be 48.0% ([Wu et al., 2007](#)).  
27 BPDE has been used as an etiologically relevant mutagen in case-control studies to examine the  
28 association between elevated lung and bladder cancer risk and individual sensitivity to BPDE-  
29 induced DNA damage. Mutagen sensitivity is determined by quantifying chromatid breaks or DNA  
30 adducts in phytohemagglutinin-stimulated peripheral blood lymphocytes as an indirect measure of  
31 DNA repair capacity.

32 In a hospital-based, case-control study involving 221 lung cancer cases and 229 healthy  
33 controls, DNA adducts were measured in stimulated peripheral blood lymphocytes after incubation  
34 with BPDE in vitro ([Li et al., 2001](#)). Lung cancer cases showed consistent statistically significant  
35 elevations in induced BPDE-DNA adducts in lymphocytes, compared with controls, regardless of  
36 subgroup by age, sex, ethnicity, smoking history, weight loss, or family history of cancer. The  
37 lymphocyte BPDE-induced DNA adduct levels, when grouped by quartile using the levels in controls

1 as cutoff points, were significantly dose-related with lung cancer risk (ORs 1.11, 1.62, and 3.23;  
2 trend test,  $p < 0.001$ ). In a related hospital-based, case-control study involving 155 lung cancer  
3 patients and 153 healthy controls, stimulated peripheral blood lymphocytes were exposed to BPDE  
4 in vitro ([Wu et al., 2005](#)). DNA damage/repair was evaluated in lymphocytes using the comet assay,  
5 and impacts on cell cycle checkpoints were measured using a fluorescence-activated cell-sorting  
6 method. The lung cancer cases exhibited significantly higher levels of BPDE-induced DNA damage  
7 than the controls ( $p < 0.001$ ), with lung cancer risk positively associated with increasing levels of  
8 lymphocyte DNA damage when grouped in quartiles (trend test,  $p < 0.001$ ). In addition, lung cancer  
9 patients demonstrated significantly shorter cell cycle delays in response to BPDE exposure to  
10 lymphocytes, which correlated with increased DNA damage.

11 Sensitivity to BPDE-induced DNA damage in bladder cancer patients supports the results  
12 observed in lung cancer cases. In a hospital-based, case-control study involving 203 bladder cancer  
13 patients and 198 healthy controls, BPDE-induced DNA damage was specifically evaluated at the  
14 chromosome 9p21 locus in stimulated peripheral blood lymphocytes ([Gu et al., 2008](#)). Deletions of  
15 9p21, which includes critical components of cell cycle control pathways, are associated with a  
16 variety of cancers. After adjusting for age, sex, ethnicity, and smoking status, individuals with high  
17 BPDE-induced damage at 9p21 were significantly associated with increased bladder cancer risk  
18 (OR 5.28; 95% CI 3.26–8.59). Categorization of patients into tertiles for BPDE sensitivity relative to  
19 controls demonstrated a dose-related association between BPDE-induced 9p21 damage and  
20 bladder cancer risk. Collectively, the results of molecular epidemiology studies with lung and  
21 bladder cancer patients indicate that individuals with a defective ability to repair BPDE-DNA  
22 adducts are at increased risk for cancer and, moreover, that specific genes linked to tumorigenesis  
23 pathways may be molecular targets for benzo[a]pyrene and other carcinogens.

24 Due to the importance of the diet as a benzo[a]pyrene exposure source, several population-  
25 and hospital-based, case-control studies have investigated the implied association between dietary  
26 intake of benzo[a]pyrene and risk for several tumor types. In a study involving 193 pancreatic  
27 cancer cases and 674 controls ([Anderson et al., 2005](#)), another involving 626 pancreatic cancer  
28 cases and 530 controls ([Li et al., 2007](#)), and a third involving 146 colorectal adenoma cases and  
29 228 controls ([Sinha et al., 2005](#)), dietary intake of benzo[a]pyrene was estimated using food  
30 frequency questionnaires. In all studies, the primary focus was on estimated intake of  
31 benzo[a]pyrene (and other carcinogens) derived from cooked meat. Overall, cases when compared  
32 with controls, had higher intakes of benzo[a]pyrene and other food carcinogens, leading to the  
33 conclusion that benzo[a]pyrene plays a role in the etiology of these tumors in humans. In a  
34 supportive follow-up case-control study of colorectal adenomas, levels of leukocyte PAH-DNA  
35 adducts were significantly higher in cases when compared with controls ( $p = 0.02$ ), using a method  
36 that recognizes BPDE and several other PAHs bound to DNA ([Gunter et al., 2007](#)).

## 1 Cohort Cancer Studies

2 Epidemiologic studies of workers in PAH-related occupations indicate increased human  
3 cancer risks associated with iron and steel production, roofing, carbon black production, and  
4 exposure to diesel exhaust ([Bosetti et al., 2007](#)). Exposure to benzo[a]pyrene is only one of  
5 numerous contributors to the cancer risk from complex PAH-containing mixtures that occur in the  
6 workplace. Although some occupational cohort studies report measured or estimated inhalation  
7 exposure concentrations for benzo[a]pyrene, none report biomarkers of internal benzo[a]pyrene  
8 dose in study subjects (reviewed in [Bosetti et al., 2007](#); [Armstrong et al., 2004](#)). Several of these  
9 cohort studies (summarized below) demonstrate a positive exposure-response relationship with  
10 cumulative PAH exposure using benzo[a]pyrene—or a proxy such as benzene-soluble matter (BSM)  
11 that can be converted to benzo[a]pyrene—as an indicator substance. These studies provide insight  
12 and support for the causative role of benzo[a]pyrene in human cancer.

### 13 Cancer incidence in aluminum and electrode production plants

14 Exposure to benzo[a]pyrene and BSM in aluminum smelter workers is strongly associated  
15 with bladder cancer and weakly associated with lung cancer ([Boffetta et al., 1997](#); [Tremblay et al.,  
16 1995](#); [Armstrong et al., 1994](#); [Gibbs, 1985](#); [Theriault et al., 1984](#)). In an analysis of pooled data from  
17 nine cohorts of aluminum production workers, 688 respiratory tract cancer cases were observed  
18 versus 674.1 expected (pooled RR 1.03; CI 0.96–1.11) ([Bosetti et al., 2007](#)). A total of 196 bladder  
19 cancer cases were observed in eight of the cohorts, compared with 155.7 expected (pooled RR 1.29;  
20 CI 1.12–1.49). Based on estimated airborne benzo[a]pyrene exposures from a meta-analysis of  
21 eight cohort studies, the predicted lung cancer RR per 100  $\mu\text{g}/\text{m}^3$ -years of cumulative  
22 benzo[a]pyrene exposure was 1.16 (95% CI 1.05–1.28) ([Armstrong et al., 2004](#)).

23 [Spinelli et al. \(2006\)](#) reported a 14-year update to a previously published historical cohort  
24 study ([Spinelli et al., 1991](#)) of Canadian aluminum reduction plant workers. The results confirmed  
25 and extended the findings from the earlier epidemiology study. The study surveyed a total of  
26 6,423 workers with  $\geq 3$  years of employment at an aluminum reduction plant in British Columbia,  
27 Canada, between the years 1954 and 1997, and evaluated all types of cancers. The focus was on  
28 cumulative exposure to coal tar pitch volatiles, measured as BSM and as benzo[a]pyrene.  
29 Benzo[a]pyrene exposure categories were determined from the range of predicted exposures over  
30 time from statistical exposure models. There were 662 cancer cases, of which approximately 98%  
31 had confirmed diagnoses. The overall cancer mortality rate (SMR 0.97; CI 0.87–1.08) and cancer  
32 incidence rate (standardized incidence ratio [SIR] 1.00; CI 0.92–1.08) were not different from that  
33 of the British Columbia general population. However, this study identified significantly increased  
34 incidence rates for cancers of the bladder (SIR 1.80; CI 1.45–2.21) and stomach (SIR 1.46; CI  
35 1.01–2.04). The lung cancer incidence rate was only slightly higher than expected (SIR 1.10; CI  
36 0.93–1.30). Significant dose-response associations with cumulative benzo[a]pyrene exposure were  
37 seen for bladder cancer ( $p < 0.001$ ), stomach cancer ( $p < 0.05$ ), lung cancer ( $p < 0.001$ ), non-

1 Hodgkin lymphoma ( $p < 0.001$ ), and kidney cancer ( $p < 0.01$ ), although the overall incidence rates  
2 for the latter three cancer types were not significantly elevated versus the general population.  
3 Similar cancer risk results were obtained using BSM as the exposure measure; the cumulative  
4 benzo[a]pyrene and BSM exposures were highly correlated ( $r = 0.94$ ).

5 In several occupational cohort studies of workers in Norwegian aluminum production  
6 plants, personal and stationary airborne PAH measurements were performed.

7 In a study covering 11,103 workers and 272,554 person  $\times$  years of PAH exposure, cancer  
8 incidence was evaluated in six Norwegian aluminum smelters ([Romundstad et al., 2000a](#)) and  
9 ([Romundstad et al., 2000b](#)). Reported estimates of PAH exposure concentrations reached a  
10 maximum of 3,400  $\mu\text{g}/\text{m}^3$  PAH (680  $\mu\text{g}/\text{m}^3$  benzo[a]pyrene). The overall number of cancers  
11 observed in this study did not differ significantly from control values (SIR 1.03; CI 1.0–1.1). The  
12 data from this study showed significantly increased incidences for cancer of the bladder (SIR 1.3;  
13 CI 1.1–1.5) and elevated, but not significant, SIRs for larynx (SIR 1.3; CI 0.8–1.9), thyroid (SIR 1.4;  
14 CI 0.7–2.5), and multiple myeloma (SIR 1.4; CI 0.9–1.9). Incidence rates for bladder, lung, pancreas,  
15 and kidney cancer (the latter three with SIRs close to unity) were subjected to a cumulative  
16 exposure-response analysis. The incidence rate for bladder cancer showed a trend with increasing  
17 cumulative exposure and with increasing lag times (up to 30 years) at the highest exposure level.  
18 The incidence of both lung and bladder cancers was greatly increased in smokers. The authors  
19 reported that using local county rates rather than national cancer incidence rates as controls  
20 increased the SIR for lung cancer (SIR 1.4; CI 1.2–1.6) to a statistically significant level.

#### 21 Cancer incidence in coke oven, coal gasification, and iron and steel foundry workers

22 An increased risk of death from lung and bladder cancer is reported in some studies  
23 involving coke oven, coal gasification, and iron and steel foundry workers ([Boström et al., 2002](#);  
24 [Boffetta et al., 1997](#)). An especially consistent risk of lung cancer across occupations is noted when  
25 cumulative exposure is taken into consideration (e.g., RR of 1.16 per 100 unity-years for aluminum  
26 smelter workers, 1.17 for coke oven workers, and 1.15 for coal gasification workers). In an analysis  
27 of pooled data from 10 cohorts of coke production workers, 762 lung cancer cases were observed  
28 versus 512.1 expected (pooled RR 1.58; CI 1.47–1.69) ([Bosetti et al., 2007](#)). Significant variations in  
29 risk estimates among the studies were reported, particularly in the large cohorts (RRs of 1.1, 1.2,  
30 2.0, and 2.6). There was no evidence for increased bladder cancer risk in the coke production  
31 workers. Based on estimated airborne benzo[a]pyrene exposures from a meta-analysis of  
32 10 cohort studies, the predicted lung cancer RR per 100  $\mu\text{g}/\text{m}^3$ -years of cumulative benzo[a]pyrene  
33 exposure was 1.17 (95% CI 1.12–1.22) ([Armstrong et al., 2004](#)).

34 A meta-analysis of data from five cohorts of gasification workers reported 251 deaths from  
35 respiratory tract cancer, compared with 104.7 expected (pooled RR 2.58; 95% CI 2.28–2.92)  
36 ([Bosetti et al., 2007](#)). Pooled data from three of the cohorts indicated 18 deaths from urinary tract  
37 cancers, versus 6.0 expected (pooled RR 3.27; 95% CI 2.06–5.19). Based on estimated airborne  
38 benzo[a]pyrene exposures from a meta-analysis of four gas worker cohort studies, the predicted

1 lung cancer RR per 100  $\mu\text{g}/\text{m}^3$ -years of cumulative benzo[a]pyrene exposure was 1.15 (95% CI  
2 1.11–1.20) ([Armstrong et al., 2004](#)).

3 Increased risks were reported in iron and steel foundry workers for cancers of the  
4 respiratory tract, bladder, and kidney. In an analysis of pooled data from 10 cohorts,  
5 1,004 respiratory tract cancer cases were observed versus 726.0 expected (pooled RR 1.40;  
6 CI 1.31–1.49) ([Bosetti et al., 2007](#)). A total of 99 bladder cancer cases were observed in seven of the  
7 cohorts, compared with 83.0 expected (pooled RR 1.29; CI 1.06–1.57). For kidney cancer, 40 cases  
8 were observed compared with 31.0 expected based on four studies (pooled RR 1.30; 95% CI  
9 0.95–1.77).

10 [Xu et al. \(1996\)](#) conducted a nested case-control study, surveying the cancer incidence  
11 among 196,993 active or retired workers from the Anshan Chinese iron and steel production  
12 complex. A large number of historical benzo[a]pyrene measurements (1956–1995) were available.  
13 The study included 610 cases of lung cancer and 292 cases of stomach cancer, with 959 age- and  
14 gender-matched controls from the workforce. After adjusting for nonoccupational risk factors such  
15 as smoking and diet, significantly elevated risks for lung cancer and stomach cancer were identified  
16 for subjects employed for  $\geq 15$  years, with ORs varying among job categories. For either type of  
17 cancer, highest risks were seen among coke oven workers: lung cancer, OR = 3.4 (CI 1.4–8.5) and  
18 stomach cancer, OR = 5.4 (CI 1.8–16.0).

19 There were significant trends for long-term, cumulative benzo[a]pyrene exposure versus  
20 lung cancer ( $p = 0.004$ ) or stomach cancer ( $p = 0.016$ ) incidence. For cumulative total  
21 benzo[a]pyrene exposures of  $<0.84$ ,  $0.85$ – $1.96$ ,  $1.97$ – $3.2$ , and  $\geq 3.2$   $\mu\text{g}/\text{m}^3$ -year, the ORs for lung  
22 cancer were 1.1 (CI 0.8–1.7), 1.6 (CI 1.2–2.3), 1.6 (1.1–2.3), and 1.8 (CI 1.2–2.5), respectively. For  
23 cumulative total benzo[a]pyrene exposures of  $<0.84$ ,  $0.85$ – $1.96$ ,  $1.97$ – $3.2$ , and  $\geq 3.2$   $\mu\text{g}/\text{m}^3$ -year, the  
24 ORs for stomach cancer were 0.9 (CI 0.5–1.5), 1.7 (CI 1.1–2.6), 1.3 (0.8–2.1), and 1.7 (CI 1.1–2.7),  
25 respectively. However, the investigators noted that additional workplace air contaminants were  
26 measured, which might have influenced the outcome. Of these, asbestos, silica, quartz, and iron  
27 oxide-containing dusts may have been confounders. For lung cancers, cumulative exposures to  
28 total dust and silica dust both showed significant dose-response trends ( $p = 0.001$  and  $0.007$ ,  
29 respectively), while for stomach cancer, only cumulative total dust exposure showed a marginally  
30 significant trend ( $p = 0.061$ ). For cumulative total dust exposures of  $<69$ ,  $69$ – $279$ ,  $280$ – $882$ , and  
31  $\geq 883$   $\text{mg}/\text{m}^3$ , the ORs for lung cancer were 1.4 (CI 1.2–1.9), 1.2 (CI 1.0–2.19), 1.4 (CI 1.0–2.0), and  
32 1.9 (CI 1.3–2.5), respectively. For cumulative silica dust exposures of  $<3.7$ ,  $3.7$ – $10.39$ ,  $10.4$ – $27.71$ ,  
33 and  $\geq 27.72$   $\text{mg}/\text{m}^3$ , the ORs for lung cancer were 1.7 (CI 1.2–2.4), 1.5 (CI 1.0–2.1), 1.5 (CI 1.0–2.1),  
34 and 1.8 (CI 1.2–2.5), respectively. For cumulative total dust exposures of  $<69$ ,  $69$ – $279$ ,  $280$ – $882$ ,  
35 and  $\geq 883$   $\text{mg}/\text{m}^3$ , ORs for stomach cancer were 1.3 (CI 0.8–2.1), 1.4 (CI 0.9–2.2), 1.2 (CI 0.8–1.9),  
36 and 1.6 (CI 1.1–2.5), respectively.

37 Exposure-response data from studies of coke oven workers in the United States have often  
38 been used to derive quantitative risk estimates for PAH mixtures, and for benzo[a]pyrene as an

1 indicator substance ([Boström et al., 2002](#)). However, there are numerous studies of coke oven  
 2 worker cohorts that do not provide estimates of benzo[a]pyrene exposure. An overview of the  
 3 results of these and other studies can be obtained from the review of [Boffetta et al. \(1997\)](#).

4 Cancer incidence in asphalt workers and roofers

5 These groups encompass different types of work (asphalt paving versus roofing) and also  
 6 different types of historical exposure that have changed from using PAH-rich coal tar pitch to the  
 7 use of bitumen or asphalt, both of which are rather low in PAHs due to their source (crude oil  
 8 refinery) and a special purification process. Increased risks for lung cancer were reported in large  
 9 cohorts of asphalt workers and roofers; evidence for increased bladder cancer risk is weak  
 10 ([Burstyn et al., 2007](#); [Partanen and Boffetta, 1994](#); [Chiazze et al., 1991](#); [Hansen, 1991, 1989](#);  
 11 [Hammond et al., 1976](#)). In an analysis of pooled data from two cohorts of asphalt workers, 822 lung  
 12 cancer cases were observed versus 730.7 expected (pooled RR 1.14; 95% CI 1.07–1.22) ([Bosetti et](#)  
 13 [al., 2007](#)). In two cohorts of roofers, analysis of pooled data indicated that 138 lung cancer cases  
 14 were observed, compared with 91.9 expected (pooled RR 1.51; 95% CI 1.28–1.78) ([Bosetti et al.](#)  
 15 [2007](#)).

16 Epidemiology of patients treated with coal tar containing ointments

17 In addition to cohorts of workers occupationally exposed to PAH mixtures, another source  
 18 of potential exposure to benzo[a]pyrene is through topical coal tar formulations used for the  
 19 treatment of psoriasis, eczema, and dermatitis. Epidemiological studies examining skin cancer risk  
 20 in relation to various types of topical coal tar exposure are summarized below (see Table D-6); case  
 21 reports, reviews, and studies that did not include a measure of coal tar use (*e.g.* [Alderson and](#)  
 22 [Clarke, 1983](#)) are not included.

23 **Table D-6. Studies examining skin cancer risk in relation to therapeutic coal**  
 24 **tar**

Reference and study details	Results											
<i>General population studies</i>												
<a href="#">Mitropoulos and Norman (2005)</a> (United States, Arizona)  Case-control study (Southeastern Arizona Health Study-2), population-based; n = 404 squamous cell skin cancer cases, 395 controls, 1992–1996, age ≥30 yrs; controls selected using random digit dialing (frequency matched by 5-yr age group and gender); limited to whites; details regarding participation rates not reported	Squamous cell carcinoma (SCC), coal tar/dandruff shampoo use:  <table border="1" data-bbox="690 1528 1421 1654"> <thead> <tr> <th>Cases n (%)</th> <th>Controls n (%)</th> <th>OR<sup>a</sup> (95% CI)</th> <th>OR<sup>b</sup> (95% CI)</th> </tr> </thead> <tbody> <tr> <td>101 (25)</td> <td>73 (19)</td> <td>1.50 (1.05, 2.14)</td> <td>1.28 (0.85, 1.9)</td> </tr> </tbody> </table> <sup>a</sup> Adjusted for age and gender. <sup>b</sup> Adjusted for age, gender, actinic keratosis, current number of arm freckles, and reaction of skin to prolonged sun.				Cases n (%)	Controls n (%)	OR <sup>a</sup> (95% CI)	OR <sup>b</sup> (95% CI)	101 (25)	73 (19)	1.50 (1.05, 2.14)	1.28 (0.85, 1.9)
Cases n (%)	Controls n (%)	OR <sup>a</sup> (95% CI)	OR <sup>b</sup> (95% CI)									
101 (25)	73 (19)	1.50 (1.05, 2.14)	1.28 (0.85, 1.9)									

Reference and study details	Results						
<p>Exposure: Interview, focusing on occupational and other sources of sun exposure, chemical exposures, and coal tar/dandruff shampoo</p> <p>Outcome: Incident squamous cell cancer from regional skin cancer registry</p>							
<i>Studies of patients with skin conditions</i>							
<p><a href="#">Roelofzen et al. (2010)</a> (Netherlands)</p> <p>Cohort (retrospective); total n = 13,200 (4,315 psoriasis 8,885 eczema patients), identified through hospital records (manual). Diagnosed 1960–1990 (≥3 visits to dermatologist); median age 28 yrs; follow-up through 2003 (median follow-up 21 yrs)</p> <p>Exposure: Coal tar treatment (pix lithantracis and/or liquor carbonis detergens): 8,062 (39%); duration of use obtained from 1,100 users (14%), median = 6 mo</p> <p>Outcome: Skin cancer diagnosis from national cancer registry (operating since 1989) and cause of death registries, with some supplemental questionnaire data from 61% of the cohort</p>	<p>Skin cancer (excluding basal cell carcinoma); includes melanoma and squamous cell [number of cases = 145] HR (95% CI) for use of coal tar; referent category = only used dermatocorticosteroids:</p> <table border="0"> <tr> <td>Psoriasis</td> <td align="right">1.08 (0.43, 2.72)</td> </tr> <tr> <td>Eczema</td> <td align="right">1.06 (0.62, 1.83)</td> </tr> <tr> <td>Psoriasis or eczema</td> <td align="right">1.09 (0.69, 1.72)</td> </tr> </table> <p>Proportional hazards models, adjusted for age (continuous), gender, severity (&gt;10% of body area affected), interaction term of coal tar and severity, calendar period, psoralen + ultraviolet-A (PUVA) systemic therapy, and smoking (current and ever versus never). Also examined skin type, history of sun exposure, and alcohol consumption. Smoking data imputed for 58% of the cohort.</p>	Psoriasis	1.08 (0.43, 2.72)	Eczema	1.06 (0.62, 1.83)	Psoriasis or eczema	1.09 (0.69, 1.72)
Psoriasis	1.08 (0.43, 2.72)						
Eczema	1.06 (0.62, 1.83)						
Psoriasis or eczema	1.09 (0.69, 1.72)						
<p><a href="#">Jemec and Østerlind (1994)</a> (Denmark)</p> <p>Cohort (retrospective); n = 88 patients hospitalized for atopic dermatitis/eczema between 1917 and 1937; mean follow-up 38.5 yrs</p> <p>Exposure: Extensive treatment with coal tar was inferred based on the knowledge that this was the recommended treatment at the time of the patients' hospitalization</p> <p>Outcome: Incident cancer diagnosis between January 1943 and December, 1986 as determined by national cancer registry; comparison with general population cancer rates</p>	<p>No skin cancers observed.</p> <p>Authors noted that non-melanoma skin cancers may have been underreported in older records as there was no general record for this endpoint in the registry.</p>						

Reference and study details	Results									
<p><a href="#">Jones et al. (1985)</a> (Scotland)</p> <p>Cohort (retrospective); n = 719 psoriasis patients not treated with cytotoxic drugs, ionizing radiation, or UV therapy; age range from &lt;15 to &gt;64 yrs</p> <p>Exposure: Past intermittent treatment with tar for a 10-yr period between 1953 and 1973 as determined by clinic records; median age at start of therapy 27 yrs (male) and 23 yrs (female); exposure not quantified</p> <p>Outcome: Incident skin cancer diagnosis from regional cancer registry</p>	<p>Expected rates calculated from cancer registry data for group of the same size and age as patient population (not further described).</p> <p>Skin cancer with coal tar usage:</p> <table border="1" data-bbox="690 420 1421 567"> <thead> <tr> <th></th> <th>Observed</th> <th>Expected</th> </tr> </thead> <tbody> <tr> <td>Males (n = 305)</td> <td>3</td> <td>0.9</td> </tr> <tr> <td>Females (n = 414)</td> <td>0</td> <td>0.7</td> </tr> </tbody> </table>		Observed	Expected	Males (n = 305)	3	0.9	Females (n = 414)	0	0.7
	Observed	Expected								
Males (n = 305)	3	0.9								
Females (n = 414)	0	0.7								
<p><a href="#">Bhate et al. (1993)</a> (United Kingdom)</p> <p>Prevalence study within cohort of 2,247 psoriasis patients; mean age 41 yrs</p> <p>Exposure: Past treatment with tar and other therapeutics determined from medical records; exposure not quantified and duration not provided</p> <p>Outcome: Skin cancer diagnosis obtained from patient records and confirmed by medical examination</p>	<p>Skin cancer prevalence (percentage) among psoriatic patients treated with coal tar:</p> <table border="1" data-bbox="690 819 1421 924"> <tbody> <tr> <td>Male</td> <td>9/781 (1%)</td> </tr> <tr> <td>Female</td> <td>21/980 (2%)</td> </tr> </tbody> </table> <p>Referent group not treated with coal tar was not included.</p>	Male	9/781 (1%)	Female	21/980 (2%)					
Male	9/781 (1%)									
Female	21/980 (2%)									
<p>Coal tar use in studies with combined treatment with UVB therapy (Goeckerman regimen)</p>										
<p><a href="#">Hannuksela-Svahn et al. (2000)</a> (Finland)</p> <p>Nested case-control study within cohort of 5,687 patients hospitalized with a diagnosis of psoriasis between 1973 and 1984; n = 30 with squamous cell carcinoma and n = 137 sex- and age-matched referents without skin cancer; followed until 1995</p> <p>Exposure: Prior treatment with Goeckerman regimen or its modifications determined from hospital files; magnitude and duration of exposure not reported</p> <p>Outcome: Squamous cell carcinoma diagnosis determined from national cancer registry and confirmed by review of hospital records</p>	<p>Relative risk (95% CI) of skin cancer with Goeckerman treatment:</p> <table border="1" data-bbox="690 1281 1421 1333"> <tbody> <tr> <td>Squamous cell carcinoma</td> <td>1.5 (0.3–7.3)</td> </tr> </tbody> </table>	Squamous cell carcinoma	1.5 (0.3–7.3)							
Squamous cell carcinoma	1.5 (0.3–7.3)									

Reference and study details	Results															
<p><a href="#">Torinuki and Tagami (1988)</a> (Japan)</p> <p>Cohort (prospective); total n = 151 psoriasis patients including 43 treated with Goeckerman regimen without PUVA treatment, mean age 43 yrs; patients treated between 1976–1986; follow-up: 5/43 Goeckerman patients followed for &gt;6 yrs</p> <p>Exposure: Goeckerman regimen without PUVA treatment; duration of use not reported</p> <p>Outcome: Skin cancer diagnosis from case records</p>	<p>No skin cancers observed</p>															
<p><a href="#">Maughan et al. (1980)</a> (United States, Mayo Clinic)</p> <p>Cohort (retrospective); n = 426 atopic dermatitis or neurodermatitis patients, treated with Goeckerman regimen between 1950–1954; follow-up: 305 (72%) followed to approximately 1980 (25 yrs)</p> <p>Exposure: Goeckerman regimen (ultraviolet-B [UVB] + coal tar treatments) at hospital; follow-up questionnaire inquired about other treatment (including coal tar treatment) after hospitalization; coal tar use ranged from none to every day for 26 yrs</p> <p>Outcome: Skin cancer diagnosis by self-report (follow-up questionnaire) with confirmation through histology specimens; 9 of 11 nonmelanoma skin cancers confirmed</p>	<p>Eleven nonmelanoma skin cancer cases (observed) [eight basal cell, one squamous cell, two unknown]</p> <p>Expected rates from Third National Cancer Survey:</p> <table border="1" data-bbox="690 919 1421 1094"> <thead> <tr> <th></th> <th>Observed/Expected</th> <th>Expected</th> </tr> </thead> <tbody> <tr> <td>Minneapolis-St Paul</td> <td>6.7</td> <td>1.64</td> </tr> <tr> <td>San Francisco-Oakland</td> <td>9.4</td> <td>1.17</td> </tr> <tr> <td>Iowa</td> <td>5.3</td> <td>2.08</td> </tr> <tr> <td>Dallas-Fort Worth</td> <td>18.8</td> <td>0.59</td> </tr> </tbody> </table> <p>No difference in duration of coal tar use after hospitalization in skin cancer patients compared to those who did not develop skin cancer.</p>		Observed/Expected	Expected	Minneapolis-St Paul	6.7	1.64	San Francisco-Oakland	9.4	1.17	Iowa	5.3	2.08	Dallas-Fort Worth	18.8	0.59
	Observed/Expected	Expected														
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San Francisco-Oakland	9.4	1.17														
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Dallas-Fort Worth	18.8	0.59														
<p><a href="#">Pittelkow et al. (1981)</a> (United States, Mayo Clinic)</p> <p>Cohort (retrospective); n = 280 psoriasis patients, hospitalized 1950–1954 at Mayo Clinic; 260 (92%) followed to 1978 (25 yrs)</p> <p>Exposure: Goeckerman regimen (UVB + coal tar treatments) at hospital; other treatment (including coal tar treatment) recorded from clinical records. Median duration use</p>	<p>Among patients reporting coal tar therapy use: n = 19 nonmelanoma squamous cell or basal cell (or unknown) skin cancer cases (observed)</p> <p>Expected rates from Third National Cancer Survey:</p> <table border="1" data-bbox="690 1646 1421 1820"> <thead> <tr> <th></th> <th>Observed/Expected</th> <th>Expected</th> </tr> </thead> <tbody> <tr> <td>Minneapolis-St Paul</td> <td>18.7</td> <td>1.01</td> </tr> <tr> <td>San Francisco-Oakland</td> <td>23.1</td> <td>0.82</td> </tr> <tr> <td>Iowa</td> <td>15.5</td> <td>1.22</td> </tr> <tr> <td>Dallas-Fort Worth</td> <td>49.2</td> <td>0.39</td> </tr> </tbody> </table>		Observed/Expected	Expected	Minneapolis-St Paul	18.7	1.01	San Francisco-Oakland	23.1	0.82	Iowa	15.5	1.22	Dallas-Fort Worth	49.2	0.39
	Observed/Expected	Expected														
Minneapolis-St Paul	18.7	1.01														
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Reference and study details	Results						
<p>approximately 15 d in 1951–1955 and 21 d in 1956–1960</p> <p>Outcome: Skin cancer diagnosis by self-report (follow-up questionnaire) with confirmation through histology specimens; 20 of 22 confirmed</p>							
<i>Coal tar use in studies with combined treatment of PUVA therapy</i>							
<p><a href="#">Stern et al. (1998)</a>; <a href="#">Stern and Laird (1994)</a> (United States, 16 centers)</p> <p>Cohort (prospective); total n = 1,380 psoriasis patients, enrolled between 1975 and 1976 in the PUVA cohort study; mean age 44 yrs; follow-up at 12–15-mo intervals through 1996 (approximately 20 years); 1,049 (91%) patients interviewed at final follow-up</p> <p>Exposure: Non-PUVA treatments (including topical coal tar, ultraviolet B, methotrexate, and ionizing radiation) were collected at start of PUVA treatment and during follow-up; coal tar use was noted to be highly correlated with UVB therapy and thus reported as a single parameter; ‘high use’ defined as &gt;45 mo topical tar therapy or &gt;300 UVB treatments</p> <p>Outcome: Skin cancer diagnosis reported at follow-up, confirmed by histopathology</p>	<p>From 1996 follow-up (limited to first occurrence 1986–1996):</p> <table border="0"> <tr> <td>Cancer type</td> <td>OR (95% CI) [n cases]</td> </tr> <tr> <td>Squamous</td> <td>1.4 (1.0, 2.0) [1,047]</td> </tr> <tr> <td>Basal cell</td> <td>1.5 (1.1, 2.0) [821]</td> </tr> </table> <p>OR compares ‘high’ exposure to UVB/tar to ‘low’ exposure to UVB/tar, adjusted for age, sex, geographic area, anatomic site (head and neck, other), PUVA treatments through 1985 (five categories from &lt;100 to &gt;336), PUVA treatments after 1985 (≥50, &lt;50), methotrexate (≥208 wks, &lt;208 wks), and Grenz rays or x-rays for therapy (ever/never)</p>	Cancer type	OR (95% CI) [n cases]	Squamous	1.4 (1.0, 2.0) [1,047]	Basal cell	1.5 (1.1, 2.0) [821]
Cancer type	OR (95% CI) [n cases]						
Squamous	1.4 (1.0, 2.0) [1,047]						
Basal cell	1.5 (1.1, 2.0) [821]						
<p><a href="#">Maier et al. (1996)</a> (Austria)</p> <p>Cohort (retrospective); n = 496 psoriasis patients with more than 5 PUVA treatments and first treatment before 1987; median age 50 yrs; median follow-up was 82 mo</p> <p>Exposure: Non-PUVA treatments (arsenic, x-rays, tar, UVB, and methotrexate) were determined by interview</p> <p>Outcome: Skin cancer diagnosis determined by interview or biopsy at time of follow-up</p>	<p>Relative risk (<i>p</i>-value) of skin carcinoma with coal tar usage and more than 5 PUVA treatments (partial analysis):</p> <table border="0"> <tr> <td>Basal cell and squamous cell</td> <td>3.83 (0.04)</td> </tr> <tr> <td>Squamous cell</td> <td>7.85 (0.061)</td> </tr> </table> <p>Multivariate partial analysis considered sex, age, skin type, cumulative UVA dose, and exposure to arsenic, x-rays, UVB, and methotrexate.</p>	Basal cell and squamous cell	3.83 (0.04)	Squamous cell	7.85 (0.061)		
Basal cell and squamous cell	3.83 (0.04)						
Squamous cell	7.85 (0.061)						

Reference and study details	Results						
<p><a href="#">Stern et al. (1980)</a> (United States, 16 centers)                      Nested case-control study based on a study following 1,373 PUVA-treated patients (34 incident cases, 24 prevalent cases; 126 controls); matched by age (within 5 yrs), sex, skin type, geographic area, and ionizing radiation; incident cases also matched for number of PUVA treatments; average follow-up 2.7 yrs</p> <p>Exposure: Exposure to coal tar therapy and/or ultraviolet radiation based on follow-up interview; includes exposures before PUVA trial began; coal tar use quantified as number of months in which crude coal tar preparations was used at least weekly; high coal tar exposure defined as &gt;90 mo of use; high ultraviolet radiation exposure defined as ≥300 sunlamp treatments; assumption made that coal tar and ultraviolet radiation have the same quantitative effect on risk of skin cancer</p> <p>Outcome: Skin cancer, prevalent cases occurred before PUVA trial started; incident cases occurred during follow-up period</p>	<p>RR (95% CI) of skin cancer (skin cancer type not specified) among high exposure (≥90 mo of tar use or ≥300 sunlamp treatments)</p> <p>Matched analysis:</p> <table data-bbox="688 378 1421 514"> <tr> <td>All cases (n = 58)</td> <td>4.7 (2.2, 10.0)</td> </tr> <tr> <td>Incident cases (n = 34)</td> <td>5.6 (1.9, 16.2)</td> </tr> <tr> <td>Prevalent cases (n = 24)</td> <td>3.8 (1.2, 12.5)</td> </tr> </table>	All cases (n = 58)	4.7 (2.2, 10.0)	Incident cases (n = 34)	5.6 (1.9, 16.2)	Prevalent cases (n = 24)	3.8 (1.2, 12.5)
All cases (n = 58)	4.7 (2.2, 10.0)						
Incident cases (n = 34)	5.6 (1.9, 16.2)						
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<p><a href="#">Lindelöf and Sigurgeirsson (1993)</a> (Sweden)                      Nested case-control study based on a study following 4,799 PUVA-treated patients (24 cases, 96 controls); matched by gender, age, diagnosis, PUVA dose, number of treatments, type of psoralen regimen, site of treatment, and skin type; clinic location matching utilized when possible; mean age 52 yrs</p> <p>Exposure: Non-PUVA treatments (including tar, topical corticosteroids, UVB, and anthralin) collected by questionnaire; exposure not quantified and duration not provided</p> <p>Outcome: Skin cancer diagnosis obtained from Swedish cancer registry</p>	<p>SCC with coal tar usage:</p> <table data-bbox="688 1186 1421 1312"> <thead> <tr> <th>Cases n (%)</th> <th>Controls n (%)</th> <th>OR (95% CI)</th> </tr> </thead> <tbody> <tr> <td>17 (70)</td> <td>62 (64)</td> <td>1.3 (0.5, 3.5)</td> </tr> </tbody> </table> <p>(Similar results were seen for UVB exposure [OR 1.3, 95% CI 0.5, 3.5], reflecting the high correlation between these treatments)</p>	Cases n (%)	Controls n (%)	OR (95% CI)	17 (70)	62 (64)	1.3 (0.5, 3.5)
Cases n (%)	Controls n (%)	OR (95% CI)					
17 (70)	62 (64)	1.3 (0.5, 3.5)					

1  
 2           The U.S. Environmental Protection Agency (EPA) noted several limitations with respect to  
 3 study design and analysis in this literature, precluding the ability to provide a foundation for  
 4 evaluating the potential association between use of therapeutic coal tar treatment (particularly

1 long-term treatment) and risk of skin cancer. A primary limitation concerns the quality of the  
2 exposure assessment. Only one population-based, case-control study was identified ([Mitropoulos  
3 and Norman, 2005](#)); this study examined self-reported use of coal tar/dandruff shampoo and  
4 incidence of squamous cell cancer in a population in Arizona (adjusted OR 1.28, 95% CI 0.85, 1.9).  
5 This exposure measure is likely to be highly susceptible to misclassification bias. EPA considered  
6 the likelihood of non-differential misclassification to be high; differential misclassification was also  
7 considered to be possible, but of lower likelihood. Non-differential misclassification would arise  
8 from lack of awareness of the content of shampoos, inability to recall use of individual shampoos,  
9 and the lack of specificity of this particular question. Differential misclassification would arise from  
10 differential reporting based on disease status. EPA noted similar concerns regarding exposure  
11 quality in the nested case-control study conducted among patients receiving psoralen plus  
12 ultraviolet-A (PUVA) treatment (in addition to a variety of other treatments, including coal tar  
13 treatments and ultraviolet-B [UVB]) by [Lindelöf and Sigurgeirsson \(1993\)](#). Use of coal tar was  
14 collected through a mailed questionnaire, with no information on duration of use and no  
15 verification with medical records. A large study of psoriasis and eczema patients  
16 (n = 13,200 patients) by [Roelofzen et al. \(2010\)](#) with a 21-year follow-up period obtained data on  
17 coal tar treatment through manual chart review; this chart review was conducted in 2003 on  
18 medical records going back to 1960. Duration of use (median 6 months) was available for only 14%  
19 of the patients who had an indication of use. Thus, considerable non-differential misclassification  
20 of exposure (coal tar use) is likely, and the limited exposure data did not allow examination of  
21 variation in exposure level. Misclassification of disease was also noted to be a limitation of this  
22 study in that [Roelofzen et al. \(2010\)](#) included melanoma, in addition to squamous cell skin cancer,  
23 which introduces a lack of specificity of outcome into the analysis as melanoma is not thought to be  
24 associated with PAH exposure. Given these issues of exposure and disease misclassification, the  
25 RRs from these studies do not provide a sound basis for interpretation as no risk, and would be  
26 expected to diminish effect estimates.

27 Potential misclassifications of both exposure and outcome were also important limitations  
28 of the study by [Jemec and Østerlind \(1994\)](#). In this study, coal tar treatment was inferred (not  
29 established based on medical records or patient recall) based on the widespread use of this  
30 treatment between 1917 and 1937; in addition, the authors noted that nonmelanoma skin cancers  
31 were likely underreported in the early years of the cancer registry used to identify cases ([Jemec and  
32 Østerlind, 1994](#)). While [Bhate et al. \(1993\)](#) used patient medical records to determine exposure  
33 and skin cancer diagnosis, this study reported only the prevalence of skin cancer in psoriasis  
34 patients treated with coal tar; a referent group of patients not treated with coal tar was not  
35 included for comparison. Similarly, [Jones et al. \(1985\)](#) compared the skin cancer incidences in  
36 psoriatic patients treated with coal tar with cancer rates estimated from regional cancer registry  
37 data for a group of the same size and age as the patient population. Because the referent group did

1 not consist exclusively of psoriasis patients, the influence of coal tar treatment on skin cancer risk  
2 cannot be distinguished from the role of psoriasis in development of skin cancer.

3 A common regimen for treatment of psoriasis and other skin conditions combines coal tar  
4 treatment with UVB radiation (referred to as the Goeckerman regimen). One study of this regimen  
5 was very small (n = 43 patients) with only 5 of the patients followed for more than 6 years  
6 ([Torinuki and Tagami, 1988](#)). Two larger Goeckerman treatment studies (280–426 patients) had a  
7 longer follow-up period (25 years), but were limited in terms of the choice of referent groups and  
8 differences in disease ascertainment between cases and the reference population ([Pittelkow et al.,  
9 1981](#); [Maughan et al., 1980](#)). Specifically, dermatology patients were seen at the Mayo Clinic in  
10 Rochester, Minnesota, but the reference rates for cancer were obtained from survey data from  
11 Minneapolis-St Paul, San Francisco-Oakland, Iowa, and Dallas-Fort Worth. Therefore, it is unclear  
12 whether the reference population appropriately represents the case population. In a nested case-  
13 control study examining skin cancer and treatment with the Goeckerman regimen, disease  
14 ascertainment was accomplished using both a national cancer registry and review of patient files  
15 ([Hannuksela-Svahn et al., 2000](#)). However, this study is limited by potential misclassification of  
16 exposure, because exposure information was obtained only from hospital records, so coal tar  
17 treatment in an outpatient setting was not considered. In addition, the combination of UVB and  
18 coal tar in the Goeckerman regimen makes it impossible to attribute risk to either individual  
19 component. This limitation also affects the interpretation of the results of PUVA trial studies ([Stern  
20 et al., 1998](#); [Stern and Laird, 1994](#); [Stern et al., 1980](#)) in which the analysis was conducted using a  
21 definition of “high” exposure as >4 months of topical tar therapy or >300 UVB treatments.  
22 Similarly, the study by [Lindelöf and Sigurgeirsson \(1993\)](#) reported similar prevalence and risk  
23 estimates for coal tar use and for UVB, reflecting the high correlation between these treatments.  
24 Another study of skin cancer risk in psoriatic patients treated more than 5 times with PUVA did not  
25 report similar risk estimates for coal tar and UVB ([Maier et al., 1996](#)); however, both exposure to  
26 non-PUVA treatments and skin cancer diagnosis were determined by patient recall, a method that is  
27 susceptible to both exposure and outcome misclassification.

28 In summary, the available studies examining therapeutic topical coal tar use and risk of skin  
29 cancer were limited by low-quality exposure data with high potential of exposure misclassification  
30 (e.g., [Roelofzen et al., 2010](#); [Mitropoulos and Norman, 2005](#); [Hannuksela-Svahn et al., 2000](#); [Maier  
31 et al., 1996](#); [Jemec and Østerlind, 1994](#); [Lindelöf and Sigurgeirsson, 1993](#)); significant potential for  
32 outcome misclassification (e.g., [Jemec and Østerlind, 1994](#)); small size (e.g., [Jemec and Østerlind,  
33 1994](#); [Torinuki and Tagami, 1988](#)); short duration of follow-up (e.g., [Torinuki and Tagami, 1988](#));  
34 choice of referent group (e.g., [Bhate et al., 1993](#); [Jones et al., 1985](#); [Pittelkow et al., 1981](#); [Maughan  
35 et al., 1980](#)); and/or differences in disease ascertainment between cases and the reference  
36 population (e.g., [Pittelkow et al., 1981](#); [Maughan et al., 1980](#)). In addition, clinic-based studies  
37 focused on the commonly used regimen of coal tar in conjunction with UVB therapy cannot

1 distinguish effects of coal tar from the carcinogenic effects of UVB (e.g., [Hannuksela-Svahn et al.,](#)  
2 [2000](#); [Torinuki and Tagami, 1988](#); [Pittelkow et al., 1981](#); [Maughan et al., 1980](#)). Likewise, clinic-  
3 based studies of coal tar use among patients also treated with PUVA cannot discern the effects of  
4 coal tar from those of PUVA (e.g., [Stern et al., 1998](#); [Maier et al., 1996](#); [Stern and Laird, 1994](#);  
5 [Lindelöf and Sigurgeirsson, 1993](#); [Stern et al., 1980](#)). Therefore, the available studies do not  
6 provide an adequate basis for examining the potential association between coal tar treated patients  
7 and skin cancer.

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## 8 **D.4. ANIMAL STUDIES**

### 9 **D.4.1. Oral Bioassays**

#### 10 ***Subchronic Studies***

11 [De Jong et al. \(1999\)](#) treated male Wistar rats (eight/dose group) with benzo[a]pyrene  
12 (98.6% purity) dissolved in soybean oil by gavage 5 days/week for 35 days at doses of 0, 3, 10, 30,  
13 or 90 mg/kg-day (adjusted doses: 0, 2.14, 7.14, 21.4, and 64.3 mg/kg-day). At the end of the  
14 exposure period, rats were necropsied, organ weights were determined, and major organs and  
15 tissues were prepared for histological examination (adrenals, brain, bone marrow, colon, caecum,  
16 jejunum, heart, kidney, liver, lung, lymph nodes, esophagus, pituitary, spleen, stomach, testis, and  
17 thymus). Blood was collected for examination of hematological endpoints, but there was no  
18 indication that serum biochemical parameters were analyzed. Immune parameters included  
19 determinations of serum immunoglobulin (Ig) levels (IgG, IgM, IgE, and IgA), relative spleen cell  
20 distribution, and spontaneous cytotoxicity of spleen cell populations determined in a natural-killer  
21 (NK) cell assay.

22 Body weight gain was decreased beginning at week 2 at the high dose of 90 mg/kg-day;  
23 there was no effect at lower doses ([De Jong et al., 1999](#)). Hematology revealed a dose-related  
24 decrease in RBC count, hemoglobin, and hematocrit at  $\geq 10$  mg/kg-day (Table D-7). A minimal but  
25 significant increase in mean cell volume and a decrease in mean cell hemoglobin concentration  
26 were noted at 90 mg/kg-day, and may indicate dose-related toxicity for the RBCs and/or RBC  
27 precursors in the bone marrow. A decrease in WBCs, attributed to a decrease in the number of  
28 lymphocytes (approximately 50%) and eosinophils (approximately 90%), was observed at  
29 90 mg/kg-day; however, there was no effect on the number of neutrophils or monocytes. A  
30 decrease in the cell number in the bone marrow observed in the 90 mg/kg-day dose group was  
31 consistent with the observed decrease in the RBC and WBC counts at this dose level. In the  
32 90 mg/kg-day dose group, brain, heart, kidney, and lymph node weights were decreased and liver  
33 weight was increased (Table D-7). Decreases in heart weight at 3 mg/kg-day and in kidney weight  
34 at 3 and 30 mg/kg-day were also observed, but these changes did not show dose-dependent  
35 responses. Dose-related decreases in thymus weight were statistically significant at  
36  $\geq 10$  mg/kg-day (Table D-7).

1 **Table D-7. Exposure-related effects in male Wistar rats exposed to**  
 2 **benzo[a]pyrene by gavage 5 days/week for 5 weeks**

Effect	Dose (mg/kg-d)				
	0	3	10	30	90
<i>Hematologic effects</i> (mean ± SD; n = 7–8)					
WBCs (10 <sup>9</sup> /L)	14.96 ± 1.9	13.84 ± 3.0	13.69 ± 1.8	13.58 ± 2.9	8.53 ± 1.1*
RBCs (10 <sup>9</sup> /L)	8.7 ± 0.2	8.6 ± 0.2	8.3 ± 0.2*	7.8 ± 0.4*	7.1 ± 0.4*
Hemoglobin (mmol/L)	10.5 ± 0.2	10.4 ± 0.3	9.8 ± 0.2*	9.5 ± 0.4*	8.6 ± 0.6*
Hematocrit (L/L)	0.5 ± 0.01	0.5 ± 0.01	0.47 ± 0.01*	0.46 ± 0.02*	0.43 ± 0.02*
<i>Serum Ig levels</i> (mean ± SD; n = 7–8)					
IgM	100 ± 13	87 ± 16	86 ± 31	67 ± 16*	81 ± 26
IgG	100 ± 40	141 ± 106	104 ± 28	106 ± 19	99 ± 29
IgA	100 ± 28	73 ± 29	78 ± 67	72 ± 22	39 ± 19*
IgE	100 ± 65	50 ± 20	228 ± 351	145 ± 176	75 ± 55
<i>Cellularity (mean ± SD; n = 7–8)</i>					
Spleen (cell number × 10 <sup>7</sup> )	59 ± 15	71 ± 14	59 ± 13	63 ± 10	41 ± 10*
Bone marrow (G/L)	31 ± 7	36 ± 5	31 ± 8	27 ± 8	19 ± 4*
<i>Spleen cell distribution (%)</i>					
B cells	39 ± 4	36 ± 2	34 ± 3*	32 ± 4*	23 ± 4*
T cells	40 ± 9	48 ± 12	40 ± 9	36 ± 2	44 ± 6
Th cells	23 ± 7	26 ± 7	24 ± 5	22 ± 4	26 ± 4
Ts cells	24 ± 5	26 ± 6	24 ± 7	19 ± 2	27 ± 5
<i>Body (g) and organ (mg) weights</i> (means; n = 7–8)					
Body weight	305	282*	300	293	250*
Brain	1,858	1,864	1,859	1,784	1,743*
Heart	1,030	934*	1,000	967	863*
Kidney	1,986	1,761*	1,899	1,790*	1,626*
Liver	10,565	9,567	11,250	11,118	12,107*
Thymus	517 ± 47	472 ± 90	438 ± 64*	388 ± 71*	198 ± 65*
Spleen	551	590	538	596	505
Mandibular lymph nodes	152	123	160	141	89*
Mesenteric lymph nodes	165	148	130*	158	107*
Popliteal lymph nodes	19	18	19	17	10*
Thymus cortex surface area (% of total surface area of thymus; mean ± SD; n = 6–8)	77.9 ± 3.8	74.4 ± 2.2	79.2 ± 5.9	75.8 ± 4.0	68.9 ± 5.2*

3  
 4 \*Significantly ( $p < 0.05$ ) different from control mean. For body weight and organ weight means, SDs were only  
 5 reported for thymus weights.

6  
 7 Source: [De Jong et al. \(1999\)](#).

8

1 Statistically significant reductions were also observed in the relative cortex surface area of  
2 the thymus and thymic medullar weight at 90 mg/kg-day, but there was no difference in cell  
3 proliferation between treated and control animals using the proliferating cell nuclear antigen  
4 (PCNA) technique. Changes in the following immune parameters were noted: dose-related and  
5 statistically significant decrease in the relative number of B cells in the spleen at 10 (13%),  
6 30 (18%), and 90 mg/kg-day (41%); significant decreases in absolute number of cells harvested in  
7 the spleen (31%), in the number of B cells in the spleen (61%), and NK cell activity in the spleen  
8 (E:T ratio was  $40.9 \pm 28.4\%$  that of the controls) at 90 mg/kg-day; and a decrease in serum IgM  
9 (33%) and IgA (61%) in rats treated with 30 and 90 mg/kg-day, respectively. The decrease in the  
10 spleen cell count was attributed by the study authors to the decreased B cells and suggested a  
11 possible selective toxicity of benzo[a]pyrene to B cell precursors in the bone marrow. The study  
12 authors considered the decrease in IgA and IgM to be due to impaired production of antibodies,  
13 suggesting a role of thymus toxicity in the decreased (T-cell dependent) antibody production. In  
14 addition to the effects on the thymus and spleen, histopathologic examination revealed treatment-  
15 related lesions only in the liver and forestomach at the two highest dose levels, but the incidence  
16 data for these lesions were not reported by [De Jong et al. \(1999\)](#). Increased incidence for  
17 forestomach basal cell hyperplasia ( $p < 0.05$  by Fisher's exact test) was reported at 30 and  
18 90 mg/kg-day, and increased incidence for oval cell hyperplasia in the liver was reported at  
19 90 mg/kg-day ( $p < 0.01$ , Fisher's exact test). The results indicate that 3 mg/kg-day was a no-  
20 observed-adverse-effect level (NOAEL) for effects on hematological parameters (decreased RBC  
21 count, hemoglobin, and hematocrit) and immune parameters (decreased thymus weight and  
22 percent of B cells in the spleen) noted in Wistar rats at 10 mg/kg-day (the lowest-observed-  
23 adverse-effect level [LOAEL]) and above. Lesions of the liver (oval cell hyperplasia) and  
24 forestomach (basal cell hyperplasia) occurred at doses  $\geq 30$  mg/kg-day.

25 [Knuckles et al. \(2001\)](#) exposed male and female F344 rats (20/sex/dose group) to  
26 benzo[a]pyrene (98% purity) at doses of 0, 5, 50, or 100 mg/kg-day in the diet for 90 days. Food  
27 consumption and body weight were monitored, and the concentration of benzo[a]pyrene in the  
28 food was adjusted every 3–4 days to maintain the target dose. The authors indicated that the actual  
29 intake of benzo[a]pyrene by the rats was within 10% of the calculated intake, and the nominal  
30 doses were not corrected to actual doses. Hematology and serum chemistry parameters were  
31 evaluated. Urinalysis was also performed. Animals were examined for gross pathology, and  
32 histopathology was performed on selected organs (stomach, liver, kidney, testes, and ovaries).  
33 Statistically significant decreases in RBC counts and hematocrit level (decreases as much as 10 and  
34 12%, respectively) were observed in males at doses  $\geq 50$  mg/kg-day and in females at  
35 100 mg/kg-day. A maximum 12% decrease (statistically significant) in hemoglobin level was noted  
36 in both sexes at 100 mg/kg-day. Blood chemistry analysis showed a significant increase in blood  
37 urea nitrogen (BUN) only in high-dose (100 mg/kg-day) males. Histopathology examination  
38 revealed an apparent increase in the incidence of abnormal tubular casts in the kidney in males at

1 5 mg/kg-day (40%), 50 mg/kg-day (80%), and 100 mg/kg-day (100%), compared to 10% in the  
2 controls. Only 10% of the females showed significant kidney tubular changes at the two high-dose  
3 levels compared to zero animals in the female control group. The casts were described as molds of  
4 distal nephron lumen and were considered by the study authors to be indicative of renal  
5 dysfunction. From this study, male F344 rats appeared to be affected more severely by  
6 benzo[a]pyrene treatment than the female rats. However, the statistical significance of the kidney  
7 lesions is unclear. Several reporting gaps and inconsistencies regarding the reporting of kidney  
8 abnormalities in [Knuckles et al. \(2001\)](#) make interpretation of the results difficult. Results of  
9 histopathological kidney abnormalities (characterized primarily as kidney casts) were presented  
10 graphically and the data were not presented numerically in this report. No indication was given in  
11 the graph that any groups were statistically different than controls, although visual examination of  
12 the magnitude of response and error bars appears to indicate a 4-fold increase in kidney casts in  
13 males compared to the control group (40 compared to 10%). The figure legend reported the data  
14 as “percentage incidence of abnormal kidney tissues” and reported values as mean ± SD. However,  
15 the text under the materials and methods section stated that Fisher’s exact test was used for  
16 histopathological data, which would involve the pairwise comparison of incidence and not means.  
17 There are additional internal inconsistencies in the data presented. The data appeared to indicate  
18 that incidences for males were as follows: control, 10%; 5 mg/kg-day, 40%; 50 mg/kg-day, 80%;  
19 and 100 mg/kg-day, 100%; however, these incidences are inconsistent with the size of the study  
20 groups, which were reported as 6–8 animals per group. The study authors were contacted, but did  
21 not respond to EPA’s request for clarification of study design and/or results. Due to issues of data  
22 reporting, a LOAEL could not be established for the increased incidence of kidney lesions. Based on  
23 the statistically significant hematological effects including decreases in RBC counts, hematocrit, and  
24 BUN, the NOAEL in males was 5 mg/kg-day and the LOAEL was 50 mg/kg-day, based on in F344  
25 rats. No exposure-related histological lesions were identified in the stomach, liver, testes, or  
26 ovaries in this study.

27 In a range-finding study, Wistar (specific pathogen-free Riv:TOX) rats (10/sex/dose group)  
28 were administered benzo[a]pyrene (97.7% purity) dissolved in soybean oil by gavage at dose levels  
29 of 0, 1.5, 5, 15, or 50 mg/kg body weight-day, 5 days/week for 5 weeks ([Kroese et al., 2001](#)).  
30 Behavior, clinical symptoms, body weight, and food and water consumption were monitored. None  
31 of the animals died during the treatment period. Animals were sacrificed 24 hours after the last  
32 dose. Urine and blood were collected for standard urinalysis and hematology and clinical chemistry  
33 evaluation. Liver enzyme induction was monitored based on EROD activity in plasma. Animals  
34 were subjected to macroscopic examination, and organ weights were recorded. The esophagus,  
35 stomach, duodenum, liver, kidneys, spleen, thymus, lung, and mammary gland (females only) from  
36 the highest-dose and control animals were evaluated for histopathology. Intermediate-dose groups  
37 were examined if abnormalities were observed in the higher-dose groups.

1 A significant, but not dose-dependent, increase in food consumption in males at  
 2  $\geq 1.5$  mg/kg-day and a decrease in food consumption in females at  $\geq 5$  mg/kg-day was observed  
 3 ([Kroese et al., 2001](#)). Water consumption was statistically significantly altered in males only: a  
 4 decrease at 1.5, 5, and 15 mg/kg-day and an increase at 50 mg/kg-day. Organ weights of lung,  
 5 spleen, kidneys, adrenals, and ovaries were not affected by treatment. There was a dose-related,  
 6 statistically significant decrease in thymus weight in males at 15 and 50 mg/kg-day (decreased by  
 7 28 and 33%, respectively) and a significant decrease in thymus weight in females at 50 mg/kg-day  
 8 (decreased by 17%) (Table D-8). In both sexes, liver weight was statistically significantly increased  
 9 only at 50 mg/kg-day by about 18% (Table D-8).

10 **Table D-8. Exposure-related effects in Wistar rats exposed to benzo[a]pyrene**  
 11 **by gavage 5 days/week for 5 weeks**

Organ	Dose (mg/kg-d)				
	0	1.5	5	15	50
Liver weight (g; mean $\pm$ SD)					
Males	6.10 $\pm$ 0.26	6.19 $\pm$ 0.19	6.13 $\pm$ 0.10	6.30 $\pm$ 0.14	7.20 $\pm$ 0.18*
Females	4.28 $\pm$ 0.11	4.40 $\pm$ 0.73	4.37 $\pm$ 0.11	4.67 $\pm$ 0.17	5.03 $\pm$ 0.15*
Thymus weight (mg; mean $\pm$ SD)					
Males	471 $\pm$ 19	434 $\pm$ 20	418 $\pm$ 26	342 $\pm$ 20*	317 $\pm$ 21*
Females	326 $\pm$ 12	367 $\pm$ 23	351 $\pm$ 25	317 $\pm$ 30	271 $\pm$ 16*
Basal cell hyperplasia of the forestomach (incidence with slight severity)					
Males	1/10	1/10	4/10	3/10	7/10
Females	0/10	1/10	1/10	3/10*	7/10*

12  
 13 \*Significantly ( $p < 0.05$ ) different from control mean; n = 10/sex/group.

14  
 15 Source: [Kroese et al. \(2001\)](#).

16  
 17 Hematological evaluation revealed only statistically nonsignificant, small, dose-related  
 18 decreases in hemoglobin in both sexes and RBC counts in males. Clinical chemistry analysis  
 19 showed a small, but statistically significant, increase in creatinine levels in males only at  
 20 1.5 mg/kg-day, but this effect was not dose-dependent. A dose-dependent induction of liver  
 21 microsomal EROD activity was observed, with a 5-fold induction at 1.5 mg/kg-day compared to  
 22 controls, reaching 36-fold in males at 50 mg/kg-day; the fold induction in females at the top dose  
 23 was less than in males. At necropsy, significant, dose-dependent macroscopic findings were not  
 24 observed.

25 Histopathology examination revealed a statistically significant increase in basal cell  
 26 hyperplasia in the forestomach of females at doses  $\geq 15$  mg/kg-day ([Kroese et al., 2001](#)). The  
 27 induction of liver microsomal EROD was not accompanied by any adverse histopathologic findings

1 in the liver at the highest dose, 50 mg/kg-day, so the livers from intermediate-dose groups were,  
2 therefore, not examined. An increased incidence of brown pigmentation of red pulp (hemosiderin)  
3 in the thymus was observed in treated animals of both sexes. However, this tissue was not  
4 examined in intermediate-dose groups. This range-finding, 5-week study identified a NOAEL of  
5 5 mg/kg-day and a LOAEL of 15 mg/kg-day, based on decreased thymus weight and forestomach  
6 hyperplasia in Wistar rats.

7 [Kroese et al. \(2001\)](#) exposed Wistar (Riv:TOX) rats (10/sex/dose group) to benzo[a]pyrene  
8 (98.6% purity, dissolved in soybean oil) by gavage at 0, 3, 10, or 30 mg/kg body weight-day,  
9 5 days/week for 90 days. The rats were examined daily for behavior and clinical symptoms and by  
10 palpation. Food and water consumption, body weights, morbidity, and mortality were monitored.  
11 At the end of the exposure period, rats were subjected to macroscopic examination and organ  
12 weights were recorded. Blood was collected for hematology and serum chemistry evaluation, and  
13 urine was collected for urinalysis. All gross abnormalities, particularly masses and lesions  
14 suspected of being tumors, were evaluated. The liver, stomach, esophagus, thymus, lung, spleen,  
15 and mesenteric lymph node were examined histopathologically. In addition, cell proliferation in  
16 forestomach epithelium was measured as the prevalence of S-phase epithelial cells displaying  
17 bromodeoxyuridine (BrdU) incorporation.

18 There were no obvious effects on behavior of the animals, and no difference was observed  
19 in survival or food consumption between exposed animals and controls ([Kroese et al., 2001](#)).  
20 Higher water consumption and slightly lower body weights than the controls were observed in  
21 males, but not females, at the high dose of 30 mg/kg-day. Hematological investigations showed  
22 only nonsignificant, small dose-related decreases in RBC count and hemoglobin level in both sexes.  
23 Clinical chemistry evaluation did not show any treatment-related group differences or dose-  
24 response relationships for alanine aminotransferase, serum aspartate transaminase (AST), lactate  
25 dehydrogenase (LDH), or creatinine, but a small dose-related decrease in  $\gamma$ -glutamyl transferase  
26 activity was observed in males only. Urinalysis revealed an increase in urine volume in males at  
27 30 mg/kg-day, which was not dose related. At the highest dose, both sexes showed increased levels  
28 of urinary creatinine and a dose-related increase in urinary protein. However, no further  
29 investigation was conducted to determine the underlying mechanisms for these changes. At  
30 necropsy, reddish to brown/gray discoloration of the mandibular lymph nodes was consistently  
31 noted in most rats; occasional discoloration was also observed in other regional lymph nodes  
32 (axillary). Statistically significant increases in liver weight were observed at 10 and 30 mg/kg-day  
33 in males (15 and 29%) and at 30 mg/kg-day in females (17%). A decrease in thymus weight was  
34 seen in both sexes at 30 mg/kg-day (17 and 33% decrease in females and males, respectively,  
35 compared with controls) (Table D-9). At 10 mg/kg-day, thymus weight in males was decreased by  
36 15%, but the decrease did not reach statistical significance.

1 **Table D-9. Means  $\pm$  SD<sup>a</sup> for liver and thymus weights in Wistar rats exposed to**  
 2 **benzo[a]pyrene by gavage 5 days/week for 90 days**

Organ	Dose (mg/kg-d)			
	0	3	10	30
Liver weight (g)				
Males	7.49 $\pm$ 0.97	8.00 $\pm$ 0.85	8.62 $\pm$ 1.30*	9.67 $\pm$ 1.17*
Females	5.54 $\pm$ 0.70	5.42 $\pm$ 0.76	5.76 $\pm$ 0.71	6.48 $\pm$ 0.78*
Thymus weight (mg)				
Males	380 $\pm$ 60	380 $\pm$ 110	330 $\pm$ 60	270 $\pm$ 40*
Females	320 $\pm$ 60	310 $\pm$ 50	300 $\pm$ 40	230 $\pm$ 30*

3  
 4 \*Significantly ( $p < 0.05$ ) different from control mean; student t-test (unpaired, two-tailed); n = 10/sex/group.

5 <sup>a</sup>Reported as SE, but judged to be SD (and confirmed by study authors).

6  
 7 Source: [Kroese et al. \(2001\)](#).

8  
 9 Histopathologic examination revealed what was characterized by [Kroese et al. \(2001\)](#) as  
 10 basal cell disturbance in the epithelium of the forestomach in males ( $p < 0.05$ ) and females  
 11 ( $p < 0.01$ ) at 30 mg/kg-day. The basal cell disturbance was characterized by increased number of  
 12 basal cells, mitotic figures, and remnants of necrotic cells; occasional early nodule development;  
 13 infiltration by inflammatory cells (mainly histiocytes); and capillary hyperemia, often in  
 14 combination with the previous changes ([Kroese et al., 2001](#)). Incidences for these lesions (also  
 15 described as “slight basal cell hyperplasia”) in the 0, 3, 10, and 30-mg/kg-day groups were 0/10,  
 16 2/10, 3/10, and 7/10, respectively, in female rats and 2/10, 0/10, 6/10, and 7/10, respectively, in  
 17 male rats. Nodular hyperplasia was noted in one animal of each sex at 30 mg/kg-day. A significant  
 18 ( $p < 0.05$ ) increase in proliferation of forestomach epithelial cells was detected at doses  
 19  $\geq 10$  mg/kg-day by morphometric of analysis of nuclei with BrdU incorporation. The mean numbers  
 20 of BrdU-staining nuclei per unit surface area of the underlying lamina muscularis mucosa were  
 21 increased by about 2- and 3–4-fold at 10 and 30 mg/kg-day, respectively, compared with controls.

22 A reduction of thymus weight and increase in the incidence of thymus atrophy (the report  
 23 described the atrophy as slight, but did not specify the full severity scale used in the pathology  
 24 examination) was observed in males only at 30 mg/kg-day ( $p < 0.01$  compared with controls).  
 25 Respective incidences for thymus atrophy for the control through high-dose groups were 0/10,  
 26 0/10, 0/10, and 3/10 for females and 0/10, 2/10, 1/10, and 6/10 for males. No significant  
 27 differences were observed in the lungs of control and treated animals. In the esophagus,  
 28 degeneration and regeneration of muscle fibers and focal inflammation of the muscular wall were  
 29 judged to be a result of the gavage dosing rather than of benzo[a]pyrene treatment.

30 The target organs of benzo[a]pyrene toxicity in this 90-day dietary study of Wistar rats  
 31 were the forestomach, thymus, and liver. The LOAEL for forestomach hyperplasia, decreased  
 32 thymus weight, and thymus atrophy was 30 mg/kg-day and the NOAEL was 10 mg/kg-day.

1 **Chronic Studies and Cancer Bioassays**

2 [Kroese et al. \(2001\)](#) exposed Wistar (Riv:TOX) rats (52/sex/dose group) to benzo[a]pyrene  
3 (98.6% purity) in soybean oil by gavage at nominal doses of 0, 3, 10, or 30 mg/kg-day, 5 days/week,  
4 for 104 weeks. Mean achieved dose levels were 0, 2.9, 9.6, and 29 mg/kg-day. Additional rats  
5 (6/sex/group) were sacrificed after 4 and 5 months of exposure for analysis of DNA adduct  
6 formation in blood and major organs and tissues. The rats were 6 weeks old at the start of  
7 exposure. The rats were examined daily for behavior and clinical symptoms and by palpation.  
8 Food and water consumption, body weights, morbidity, and mortality were monitored during the  
9 study. Complete necropsy was performed on all animals that died during the course of the study,  
10 that were found moribund, or at terminal sacrifice ([organ weight measurement was not mentioned](#)  
11 [in the report by Kroese et al., 2001](#)). The organs and tissues collected and prepared for microscopic  
12 examination included brain, pituitary, heart, thyroid, salivary glands, lungs, stomach, esophagus,  
13 duodenum, jejunum, ileum, caecum, colon, rectum, thymus, kidneys, urinary bladder, spleen, lymph  
14 nodes, liver pancreas, adrenals, sciatic nerve, nasal cavity, femur, skin including mammary tissue,  
15 ovaries/uterus, and testis/accessory sex glands. Some of these tissues were examined only when  
16 gross abnormalities were detected. All gross abnormalities, particularly masses and lesions that  
17 appeared to be tumors, were also examined.

18 At 104 weeks, survival in the control group was 65% (males) and 50% (females), whereas  
19 mortality in the 30 mg/kg-day dose group was 100% after about week 70. At 80 weeks, survival  
20 percentages were about 90, 85, and 75% in female rats in the 0, 3, and 10 mg/kg-day groups,  
21 respectively; in males, respective survival percentages were ~95, 90, and 85% at 80 weeks.  
22 Survival of 50% of animals occurred at 104, 104, ~90, and 60 weeks for control through high-dose  
23 females; for males, the respective times associated with 65% survival were 104, 104, 104, and  
24 ~60 weeks. The high mortality rate in high-dose rats was attributed to liver or forestomach tumor  
25 development, not to noncancer systemic effects. After 20 weeks, body weight was decreased  
26 (compared with controls by >10%) in 30-mg/kg-day males, but not in females. This decrease was  
27 accompanied by a decrease in food consumption. Body weights and food consumption were not  
28 adversely affected in the other dose groups compared to controls. In males, there was a dose-  
29 dependent increase in water consumption starting at week 13, but benzo[a]pyrene treatment had  
30 no significant effects on water consumption in females.

31 Tumors were detected at significantly elevated incidences at several tissue sites in female  
32 and male rats at doses  $\geq 10$  and  $\geq 3$  mg/kg-day, respectively (Table D-10) ([Kroese et al., 2001](#)). The  
33 tissue sites with the highest incidences of tumors were the liver (hepatocellular adenoma and  
34 carcinoma) and forestomach (squamous cell papilloma and carcinoma) in both sexes (Table D-10).  
35 The first liver tumors were detected in week 35 in high-dose male rats. Liver tumors were  
36 described as complex, with a considerable proportion (59/150 tumors) metastasizing to the lungs.  
37 At the highest dose level, 95% of rats with liver tumors had malignant carcinomas (95/100;  
38 Table D-10). Forestomach tumors were associated with the basal cell proliferation observed

1 (without diffuse hyperplasia) in the forestomach of rats in the preliminary range-finding and  
 2 90-day exposure studies. At the highest dose level, 59% of rats with forestomach tumors had  
 3 malignant carcinomas (60/102; Table D-10). Other tissue sites with significantly elevated  
 4 incidences of tumors in the 30 mg/kg-day dose group included the oral cavity (papilloma and  
 5 squamous cell carcinoma [SCC]) in both sexes, and the jejunum (adenocarcinoma), kidney (cortical  
 6 adenoma), and skin (basal cell adenoma and carcinoma) in male rats (Table D-10). In addition,  
 7 auditory canal tumors (carcinoma or squamous cell papilloma originating from pilo-sebaceous  
 8 units including the Zymbal's gland) were also detected in both sexes at 30 mg/kg-day, but auditory  
 9 canal tissue was not histologically examined in the lower dose groups and the controls  
 10 (Table D-10). Gross examination revealed auditory canal tumors only in the high-dose group.

11 **Table D-10. Incidences of exposure-related neoplasms in Wistar rats treated**  
 12 **by gavage with benzo[a]pyrene, 5 days/week, for 104 weeks**

	Dose (mg/kg-d)			
	0	3	10	30 <sup>a</sup>
<b>Site</b>	<b>Females<sup>b</sup></b>			
Oral cavity				
Papilloma	0/19	0/21	0/9	9/31*
SCC	1/19	0/21	0/9	9/31*
Basal cell adenoma	0/19	0/21	1/9	4/31
Sebaceous cell carcinoma	0/19	0/21	0/9	1/31
Esophagus				
Sarcoma undifferentiated	0/52	0/52	2/52	0/52
Rhabdomyosarcoma	0/52	1/52	4/52	0/52
Fibrosarcoma	0/52	0/52	3/52	0/52
Forestomach				
Squamous cell papilloma	1/52	3/51	20/51*	25/52*
SCC	0/52	3/51	10/51*	25/52*
Liver				
Hepatocellular adenoma	0/52	2/52	7/52*	1/52
Hepatocellular carcinoma	0/52	0/52	32/52*	50/52*
Cholangiocarcinoma	0/52	0/52	1/52	0/52
Anaplastic carcinoma	0/52	0/52	1/52	0/52
Auditory canal				
Benign tumor	0/0	0/0	0/0	1/20
Squamous cell papilloma	0/0	0/1	0/0	1/20
Carcinoma	0/0	0/1	0/0	13/20*

**Supplemental Information—Benzo[a]pyrene**

	Dose (mg/kg-d)			
	0	3	10	30 <sup>a</sup>
Site	Males <sup>b</sup>			
Oral cavity				
Papilloma	0/24	0/24	2/37	10/38*
SCC	1/24	0/24	5/37	11/38*
Basal cell adenoma	0/24	0/24	0/37	2/38
Sebaceous cell carcinoma	0/24	0/24	0/37	2/38
Forestomach				
Squamous cell papilloma	0/52	7/52*	18/52*	17/52*
SCC	0/52	1/52	25/52*	35/52*
Jejunum				
Adenocarcinoma	0/51	0/50	1/51	8/49*
Liver				
Hepatocellular adenoma	0/52	3/52	15/52*	4/52
Hepatocellular carcinoma	0/52	1/52	23/52*	45/52*
Cholangiocarcinoma	0/52	0/52	0/52	1/52
Kidney				
Cortical adenoma	0/52	0/52	7/52*	8/52*
Adenocarcinoma	0/52	0/52	2/52	0/52
Urothelial carcinoma	0/52	0/52	0/52	3/52
Auditory canal				
Benign	0/1	0/0	1/7	0/33
Squamous cell papilloma	0/1	0/0	0/7	4/33
Carcinoma	0/1	0/0	2/7	19/33*
Sebaceous cell adenoma	0/1	0/0	0/7	1/33
Skin and mammary				
Basal cell adenoma	2/52	0/52	1/52	10/51*
Basal cell carcinoma	1/52	1/52	0/52	4/51
SCC	0/52	1/52	1/52	5/51
Keratoacanthoma	1/52	0/52	1/52	4/51
Trichoepithelioma	0/52	1/52	2/52	8/51*
Fibrosarcoma	0/52	3/52	5/52	0/51
Fibrous histiocytoma (malignant)	0/52	0/52	1/52	1/52

\*Statistically significant difference ( $p \leq 0.01$ ), Fisher's exact test; analysis of auditory canal tumor incidence was based on assumption of  $n = 52$  and no tumors in the controls.

<sup>a</sup>This group had significantly decreased survival.

<sup>b</sup>Incidences are for number of rats with tumors compared with number of tissues examined histologically.

Auditory canal and oral cavity tissues were only examined histologically when abnormalities were observed upon macroscopic examination.

Source: [Kroese et al. \(2001\)](#).

[Kroese et al. \(2001\)](#) did not systematically investigate nonneoplastic lesions detected in rats sacrificed during the 2-year study because the focus was to identify and quantitate tumor

1 occurrence. However, incidences were reported for nonneoplastic lesions in tissues or organs in  
2 which tumors were detected (i.e., oral cavity, esophagus, forestomach, jejunum, liver, kidney, skin,  
3 mammary, and auditory canal). The reported nonneoplastic lesions associated with exposure were  
4 the forestomach basal cell hyperplasia and clear cell foci of cellular alteration in the liver.  
5 Incidences for forestomach basal cell hyperplasia in the control through high-dose groups were  
6 1/52, 8/51, 13/51, and 2/52 for females and 2/50, 8/52, 8/52, and 0/52 for males. Incidences for  
7 hepatic clear cell foci of cellular alteration were 22/52, 33/52, 4/52, and 2/52 for females and  
8 8/52, 22/52, 1/52, and 1/52 for males. These results indicate that the lowest dose group,  
9 3 mg/kg-day, was a LOAEL for increased incidence of forestomach hyperplasia and hepatic  
10 histological changes in male and female Wistar rats exposed by gavage to benzo[a]pyrene for up to  
11 104 weeks (see Table D-10). The lack of an increase in incidence of these nonneoplastic lesions in  
12 the forestomach and liver at the intermediate and high doses (compared with controls) was  
13 associated with increased incidences of forestomach and liver tumors at these dose levels. The  
14 authors of this study noted that nonneoplastic effects were not quantified in organs with tumors.

15 As an adjunct study to the 2-year gavage study with Wistar rats, [Kroese et al. \(2001\)](#)  
16 sacrificed additional rats (6/sex/group) after 4 and 5 months of exposure (0, 1, 3, 10, or  
17 30 mg/kg-day) for analysis of DNA adduct formation in WBCs and major organs and tissues.  
18 Additional rats (6/sex/time period) were exposed to 0.1 mg/kg-day benzo[a]pyrene for 4 and  
19 5 months for analysis of DNA adduct formation. Using the [<sup>32</sup>P]-postlabeling technique, five  
20 benzo[a]pyrene-DNA adducts were identified in all of the examined tissues at 4 months (WBCs,  
21 liver, kidney, heart, lung, skin, forestomach, glandular stomach, brain). Only one of these adducts  
22 (adduct 2) was identified based on co-chromatography with a standard. This adduct, identified as  
23 10β-(deoxyguanosin-N2-yl)-7β,8α,9α-trihydroxy-7,8,9,10 tetrahydro-benzo[a]pyrene, was the  
24 predominant adduct in all organs of female rats exposed to 10 mg/kg-day, except the liver and  
25 kidney, in which another adduct (unidentified adduct 4) was predominant. Levels of total adducts  
26 (number of benzo[a]pyrene-DNA adducts per 10<sup>10</sup> nucleotides) in examined tissues (from the  
27 single 10 mg/kg-day female rat) showed the following order: liver > heart > kidney > lung > skin >  
28 forestomach ≈ WBCs > brain. Mean values for female levels of total benzo[a]pyrene-DNA adducts  
29 (number per 10<sup>10</sup> nucleotides) in four organs showed the same order, regardless of exposure  
30 group: liver > lung > forestomach ≈ WBCs; comparable data for males were not reported. Mean  
31 total benzo[a]pyrene-DNA adduct levels in livers increased in both sexes from about 100 adducts  
32 per 10<sup>10</sup> nucleotides at 0.1 mg/kg-day to about 70,000 adducts per 10<sup>10</sup> nucleotides at  
33 30 mg/kg-day. In summary, these results suggest that total benzo[a]pyrene-DNA adduct levels in  
34 tissues at 4 months were not independently associated with the carcinogenic responses noted after  
35 2 years of exposure to benzo[a]pyrene. The liver showed the highest total DNA adduct levels and a  
36 carcinogenic response, but total DNA adduct levels in heart, kidney, and lung (in which no  
37 carcinogenic responses were detected) were higher than levels in forestomach and skin (in which  
38 carcinogenic responses were detected).

1 Groups of Sprague-Dawley rats (32/sex/dose) were fed diets delivering a daily dose of  
 2 0.15 mg benzo[a]pyrene/kg body weight every ninth day or 5 times/week ([Brune et al., 1981](#)).  
 3 Other groups (32/sex/dose) were given gavage doses of 0.15 mg benzo[a]pyrene (in aqueous 1.5%  
 4 caffeine solution)/kg every ninth day, every third day, or 5 times/week. The study included an  
 5 untreated control group (to compare with the dietary exposed groups) and a gavage vehicle control  
 6 group (each with 32 rats/sex). Rats were treated until moribundity or death occurred, with  
 7 average annual doses reported in Table D-11 [mg/kg-year, calculated by [Brune et al. \(1981\)](#)]. The  
 8 following tissues were prepared for histopathological examination: tongue, larynx, lung, heart,  
 9 trachea, esophagus, stomach, small intestine, colon, rectum, spleen, liver, urinary bladder, kidney,  
 10 adrenal gland, and any tissues showing tumors or other gross changes. Survival was similar among  
 11 the groups, with the exception that the highest gavage-exposure group showed a decreased median  
 12 time of survival (Table D-11). Significantly increased incidences of portal-of-entry tumors  
 13 (forestomach, esophagus, and larynx) were observed in all of the gavage-exposed groups and in the  
 14 highest dietary exposure group (Table D-11). Following dietary administration, all observed  
 15 tumors were papillomas. Following gavage administration, two malignant forestomach tumors  
 16 were found (one each in the mid- and high-dose groups) and the remaining tumors were benign.  
 17 The data in Table D-11 show that the carcinogenic response to benzo[a]pyrene was stronger with  
 18 the gavage protocol compared with dietary exposure, and that no distinct difference in response  
 19 was apparent between the sexes. Tumors at distant sites (mammary gland, kidney, pancreas, lung,  
 20 urinary bladder, testes, hematopoietic, and soft tissue) were not considered treatment-related as  
 21 they were also observed at similar rates in the control group (data not provided). The study report  
 22 did not address noncancer systemic effects.

23 **Table D-11. Incidences of alimentary tract tumors in Sprague-Dawley rats**  
 24 **chronically exposed to benzo[a]pyrene in the diet or by gavage in caffeine**  
 25 **solution**

Average annual dose (mg/kg-yr)	Estimated average daily dose <sup>a</sup> (mg/kg-d)	Forestomach tumors <sup>b</sup>	Total alimentary tract tumors <sup>c</sup> (larynx, esophagus, forestomach)	Median survival time (wks)
<i>Benzo[a]pyrene by gavage in 1.5% caffeine solution</i>				
0	0	3/64 (4.7%)	6/64 (9.4%)	102
6	0.016	12/64 (18.8%)*	13/64 (20.3%)	112
18	0.049	26/64 (40.1%)**	26/64 (40.6%)	113
39	0.107	14/64 (21.9%)**	14/64 (21.9%)	87

Average annual dose (mg/kg-yr)	Estimated average daily dose <sup>a</sup> (mg/kg-d)	Forestomach tumors <sup>b</sup>	Total alimentary tract tumors <sup>c</sup> (larynx, esophagus, forestomach)	Median survival time (wks)
<i>Benzo[a]pyrene in diet</i>				
0	0	2/64 (3.1%)	3/64 (4.7%)	129
6	0.016	1/64 (1.6%)	3/64 (4.7%)	128
39	0.107	9/64 (14.1%)*	10/64 (15.6%)	131

\*Significantly ( $p < 0.1$ ) different from control using a modified  $\chi^2$  test that accounted for group differences in survival time.

\*\*Significantly ( $p < 0.05$ ) different from control using a modified  $\chi^2$  test that accounted for group differences in survival time.

<sup>a</sup>Average annual dose divided by 365 days.

<sup>b</sup>No sex-specific forestomach tumor incidence data were reported by [Brune et al. \(1981\)](#).

<sup>c</sup>Sex-specific incidences for total alimentary tract tumors were reported as follows:

Gavage (control, high dose):	Male:	6/32, 7/32, 15/32, 8/32
	Female:	0/32, 6/32, 11/32, 6/32
Diet (control, high dose):	Male:	3/32, 3/32, 8/32
	Female:	0/32, 0/32, 2/32

Source: [Brune et al. \(1981\)](#).

In the other modern cancer bioassay with benzo[a]pyrene, female B6C3F<sub>1</sub> mice (48/dose group) were administered benzo[a]pyrene (98.5% purity) at concentrations of 0 (acetone vehicle), 5, 25, or 100 ppm in the diet for 2 years ([Beland and Culp, 1998](#); [Culp et al., 1998](#)). This study was designed to compare the carcinogenicity of coal tar mixtures with that of benzo[a]pyrene and it included groups of mice fed diets containing one of several concentrations of two coal tar mixtures. Benzo[a]pyrene was dissolved in acetone before mixing with the feed. Control mice received only acetone-treated feed. Female mice were chosen because they have a lower background incidence of lung tumors than male B6C3F<sub>1</sub> mice. [Culp et al. \(1998\)](#) reported that the average daily intakes of benzo[a]pyrene in the 25- and 100-ppm groups were 104 and 430  $\mu\text{g}/\text{day}$ , but did not report the intake for the 5-ppm group. Based on the assumption that daily benzo[a]pyrene intake at 5 ppm was one-fifth of the 25-ppm intake (about 21  $\mu\text{g}/\text{day}$ ), average daily doses for the three benzo[a]pyrene groups are estimated as 0.7, 3.3, and 16.5 mg/kg-day. Estimated doses were calculated using time-weighted average (TWA) body weights of 0.032 kg for the control, 5- and 25-ppm groups and 0.026 kg for the 100-ppm group (estimated from graphically presented data). Food consumption, body weights, morbidity, and mortality were monitored at intervals, and lung, kidneys, and liver were weighed at sacrifice. Necropsy was performed on all mice that died during the experiment or survived to the end of the study period. Limited histopathologic examinations (liver, lung, small intestine, stomach, tongue, esophagus) were performed on all control and high-dose mice and on all mice that died during the experimental period, regardless of treatment group.

1 In addition, all gross lesions found in mice of the low- and mid-dose groups were examined  
2 histopathologically.

3 None of the mice administered 100 ppm benzo[a]pyrene survived to the end of the study,  
4 and morbidity/mortality was 100% by week 78. Decreased survival was also observed at 25 ppm  
5 with only 27% survival at 104 weeks, compared with 56 and 60%, in the 5-ppm and control groups,  
6 respectively. In the mid- and high-dose groups, 60% of mice were alive at about 90 and 60 weeks,  
7 respectively. Early deaths in exposed mice were attributed to tumor formation rather than other  
8 causes of systemic toxicity. Food consumption was not statistically different in benzo[a]pyrene-  
9 exposed and control mice. Body weights of mice fed 100 ppm were similar to those of the other  
10 treated and control groups up to week 46, and after approximately 52 weeks, body weights were  
11 reduced in 100-ppm mice compared with controls. Body weights for the 5- and 25-ppm groups  
12 were similar to controls throughout the treatment period. Compared with the control group, no  
13 differences in liver, kidney, or lung weights were evident in any of the treated groups (other organ  
14 weights were not measured).

15 Papillomas and/or carcinomas of the forestomach, esophagus, tongue, and larynx at  
16 elevated incidences occurred in groups of mice exposed to 25 or 100 ppm, but no exposure-related  
17 tumors occurred in the liver or lung ([Beland and Culp, 1998](#); [Culp et al., 1998](#)). The forestomach  
18 was the most sensitive tissue, demonstrated the highest tumor incidence among the examined  
19 tissues, and was the only tissue with an elevated incidence of tumors at 25 ppm (Table D-12). In  
20 addition, most of the forestomach tumors in the exposed groups were carcinomas, as 1, 31, and  
21 45 mice had forestomach carcinomas in the 5-, 25-, and 100-ppm groups, respectively.  
22 Nonneoplastic lesions were also found in the forestomach at significantly ( $p < 0.05$ ) elevated  
23 incidences: hyperplasia at  $\geq 25$  ppm and hyperkeratosis at  $\geq 25$  ppm (Table D-12). The esophagus  
24 was the only other examined tissue showing elevated incidence of a nonneoplastic lesion (basal cell  
25 hyperplasia, see Table D-12). Tumors (papillomas and carcinomas) were also significantly elevated  
26 in the esophagus and tongue at 100 ppm (Table D-12). Esophageal carcinomas were detected in  
27 1 mouse at 25 ppm and 11 mice at 100 ppm. Tongue carcinomas were detected in seven 100-ppm  
28 mice; the remaining tongue tumors were papillomas. Although incidences of tumors of the larynx  
29 were not significantly elevated in any of the exposed groups, a significant dose-related trend was  
30 apparent (Table D-12).

**Table D-12. Incidence of nonneoplastic and neoplastic lesions in female B6C3F<sub>1</sub> mice fed benzo[a]pyrene in the diet for up to 2 years**

Tissue and lesion	Incidence (%)			
	Benzo[a]pyrene concentration (ppm) in diet			
	0	5	25	100
	Average daily doses (mg/kg-d)			
	0	0.7	3.3	16.5
Liver (hepatocellular adenoma)	2/48 (2)	7/48 (15)	5/47 (11)	0/45 (0)
Lung (alveolar/bronchiolar adenoma and/or carcinoma)	5/48 (10)	0/48 (0)	4/45 (9)	0/48 (0)
Forestomach (papilloma and/or carcinoma)	1/48 <sup>a</sup> (2)	3/47 (6)	36/46* (78)	46/47* (98)
Forestomach (hyperplasia)	13/48 <sup>a</sup> (27)	23/47 (49)	33/46* (72)	37/47* (79)
Forestomach (hyperkeratosis)	13/48 <sup>a</sup> (27)	22/47 (47)	33/46* (72)	38/47* (81)
Esophagus (papilloma and/or carcinoma)	0/48 <sup>a</sup> (0)	0/48 (0)	2/45 (0)	27/46* (59)
Esophagus (basal cell hyperplasia)	1/48 <sup>a</sup> (2)	0/48 (0)	5/45 (11)	30/46* (65)
Tongue (papilloma and/or carcinoma)	0/49 <sup>a</sup> (0)	0/48 (0)	2/46 (4)	23/48* (48)
Larynx (papilloma and/or carcinoma)	0/35 <sup>a</sup> (0)	0/35 (0)	3/34 (9)	5/38 (13)

\*Significantly different from control incidence ( $p < 0.05$ ); using a modified Bonferonni procedure for multiple comparisons to the same control.

<sup>a</sup>Significant ( $p < 0.05$ ) dose-related trend calculated for incidences of these lesions.

Sources: [Beland and Culp \(1998\)](#); [Culp et al. \(1998\)](#).

[Neal and Rigdon \(1967\)](#) fed benzo[a]pyrene (purity not reported) at concentrations of 0, 1, 10, 20, 30, 40, 45, 50, 100, and 250 ppm to male and female CFW-Swiss mice in the diet. Corresponding doses (in mg/kg-day) were calculated<sup>1</sup> as 0, 0.2, 1.8, 3.6, 5.3, 7.1, 8, 8.9, 17.8, and 44.4 mg/kg-day. The age of the mice ranged from 17 to 180 days old and the treatment time was from 1 to 197 days; the size of the treated groups ranged from 9 to 73. There were 289 mice (number of mice/sex not stated) in the control group. No forestomach tumors were reported at 0, 0.2, or 1.8 mg/kg-day. The incidences of forestomach tumors at 20, 30, 40, 45, 50, 100, and 250 ppm dose groups (3.6, 5.3, 7.1, 8, 8.9, 17.8, and 44.4 mg/kg-day) were 1/23, 0/37, 1/40, 4/40, 23/34, 19/23, and 66/73, respectively.

<sup>1</sup>Calculation: mg/kg-day = (ppm in feed × kg food/day)/kg body weight. Reference food consumption rates of 0.0062 kg/day (males) and 0.0056 kg/day (females) and reference body weights of 0.0356 kg (males) and 0.0305 kg (females) were used by the [U.S. EPA \(1988\)](#) and resulting doses were averaged between males and females.

1 **Other Oral Exposure Cancer Bioassays in Mice**

2 Numerous other oral exposure cancer bioassays in mice have limitations that restrict their  
 3 usefulness for characterizing dose-response relationships between chronic-duration oral exposure  
 4 to benzo[a]pyrene and noncancer effects or cancer, but collectively, they provide strong evidence  
 5 that oral exposure to benzo[a]pyrene can cause portal-of-entry site tumors (see Table D-13 for  
 6 references).

7 **Table D-13. Other oral exposure cancer bioassays in mice**

Species/strain	Exposure	Results	Comments	Reference
Rat/Sprague-Dawley	Groups of rats (32/sex/dose) were fed diets delivering a daily dose of 0.15 mg benzo[a]pyrene/kg body weight every 9 <sup>th</sup> d or 5 times/wk ( <a href="#">Brune et al., 1981</a> ). Other groups (32/sex/dose) were given gavage doses of 0.15 mg benzo[a]pyrene (in aqueous 1.5% caffeine solution)/kg every 9 <sup>th</sup> d, every 3 <sup>rd</sup> d, or 5 times/wk.	Larynx, esophagus, and forestomach tumors  Dose (gavage) 0            6/64 0.016      13/64 0.049      26/64 0.107      14/64  Dose (diet) 0            3/64 0.016      3/64 0.107      10/64	Doses are annual averages. Nonstandard treatment protocol involved animals being treated for ≤5 d/wk; relatively high control incidence compared to other gavage studies.	<a href="#">Brune et al. (1981)</a>
Mouse/HaICR	Groups of 12–20 mice (10 wks old) were fed benzo[a]pyrene in the diet (0.1, 0.3, or 1.0 mg/g diet) for 12–20 wks. Estimated doses were 14.3, 42.0, or 192 mg/kg-d.	Incidence with forestomach tumors: Low, 11/20 (18 wks) Mid, 13/19 (20 wks) High, 12/12 (12 wks)	Less-than-lifetime exposure duration; only stomachs were examined for tumors; tumors found only in forestomach.	<a href="#">Wattenberg (1972)</a>
Mouse/HaICR	Groups of nine mice (9 wks old) were fed benzo[a]pyrene in the diet (0, 0.2, or 0.3 mg/g diet) for 12 wks and sacrificed. Estimated doses were 0, 27.3, or 41 mg/kg-d.	Incidence with forestomach tumors: Control, 0/9 Low, 6/9 High, 9/9	Less-than-lifetime exposure duration; glandular stomach, lung, and livers from control and exposed mice showed no tumors.	<a href="#">Triolo et al. (1977)</a>

**Supplemental Information—Benzo[a]pyrene**

<b>Species/strain</b>	<b>Exposure</b>	<b>Results</b>	<b>Comments</b>	<b>Reference</b>
Mouse/HaICR	20 mice (9 wks old) were given benzo[a]pyrene in the diet (0.3 mg benzo[a]pyrene/g diet) for 6 wks and sacrificed after 20 wks in the study.	8/20 exposed mice had forestomach tumors	Less-than-lifetime exposure duration; only stomachs were examined for tumors; tumors found only in forestomach; no nonexposed controls were mentioned.	<a href="#">Wattenberg (1974)</a>
Mouse/CD-1	20 female mice (9 wks old) were given 1 mg benzo[a]pyrene by gavage 2 times/wk for 4 wks and observed for 19 wks. Estimated dose was 33 mg/kg-d, using an average body weight of 0.030 kg from reported data.	Incidence with forestomach tumors: Exposed, 17/20 (85%) Controls, 0/24	Less-than-lifetime exposure duration; only stomach were examined for tumors; tumors found only in forestomach.	<a href="#">El-Bayoumy (1985)</a>
Mouse/BALB	25 mice (8 wks old) were given 0.5 mg benzo[a]pyrene 2 times/wk for 15 wks.	5/25 mice had squamous carcinomas of the forestomach; tumors were detected 28–65 wks after treatment	Less-than-lifetime exposure duration; the following details were not reported: inclusion of controls, methods for detecting tumors, and body weight data.	<a href="#">Biancifiori et al. (1967)</a>
Mouse/C3H	19 mice (about 3 mo old) were given 0.3 mL of 0.5% benzo[a]pyrene in polyethylene glycol-400 by gavage, once/d for 3 d.	By 30 wks, 7/10 mice had papillomas; no carcinomas were evident	Less-than-lifetime exposure duration.	<a href="#">Berenblum and Haran (1955)</a>

**Supplemental Information—Benzo[a]pyrene**

Species/strain	Exposure	Results	Comments	Reference																														
Mouse/albino	Groups of 17–18 mice were given single doses of benzo[a]pyrene and allowed to survive until terminal sacrifice at 569 d.	Incidence of mice (that survived at least to 60 d) with forestomach papillomas: Incidence (Experiment 1) Dose (µg) (Experiment 2)  Control     0/17 0/18 12.5         3/17 2/18 50            0/17 1/17 200          8/17 Not evaluated	Less-than-lifetime exposure duration; GI tract examined for tumors with hand lens; body weight data not reported.	<a href="#">Field and Roe (1965)</a>																														
Mouse/albino	Groups of about 160 female mice (70 d of age; strain unknown) were given 0 or 8 mg benzo[a]pyrene mixed in the diet over a period of 14 mo.	Gastric tumors were observed at the following incidence: Control, 0/158 8 mg benzo[a]pyrene total, 13/160	Close to lifetime exposure duration; daily dose levels and methods of detecting tumors were not clearly reported.	<a href="#">Chouroulinkov et al. (1967)</a>																														
Mouse/CFW	Groups of mice (mixed sex) were fed benzo[a]pyrene in the diet (dissolved in benzene and mixed with diet) at 0, 1, 10, 20, 30, 40, 45, 50, 100, or 250 ppm in the diet.	<table border="0"> <thead> <tr> <th>ppm</th> <th>Exposure (d)</th> <th>Fore-stomach tumor incidence</th> </tr> </thead> <tbody> <tr><td>1</td><td>110</td><td>0/25</td></tr> <tr><td>10</td><td>110</td><td>0/24</td></tr> <tr><td>20</td><td>110</td><td>1/23</td></tr> <tr><td>30</td><td>110</td><td>0/37</td></tr> <tr><td>40</td><td>110</td><td>1/40</td></tr> <tr><td>45</td><td>110</td><td>4/40</td></tr> <tr><td>50</td><td>152</td><td>24/34</td></tr> <tr><td>100</td><td>110</td><td>19/23</td></tr> <tr><td>250</td><td>118</td><td>66/73</td></tr> </tbody> </table>	ppm	Exposure (d)	Fore-stomach tumor incidence	1	110	0/25	10	110	0/24	20	110	1/23	30	110	0/37	40	110	1/40	45	110	4/40	50	152	24/34	100	110	19/23	250	118	66/73	Less-than-lifetime exposure duration; no vehicle control group; animals ranged from 3 wks to 6 mo old at the start of dosing; only alimentary tract was examined for tumors.	<a href="#">Neal and Rigdon (1967)</a>
ppm	Exposure (d)	Fore-stomach tumor incidence																																
1	110	0/25																																
10	110	0/24																																
20	110	1/23																																
30	110	0/37																																
40	110	1/40																																
45	110	4/40																																
50	152	24/34																																
100	110	19/23																																
250	118	66/73																																
Mouse/Swiss albino	Groups of mice (9–14 wks old) were given single doses of 0 or 0.05 mg benzo[a]pyrene in polyethylene glycol-400 by gavage. Surviving mice were killed at 18 mo of age and examined for macroscopic tumors.	Forestomach tumor incidence:  Dose (µg)     Carcinoma papilloma 0                0/65 2/65 50               1/61 20/61	Less-than-lifetime duration of exposure; exposure-related tumors only found in forestomach.	<a href="#">Roe et al. (1970)</a>																														

**Supplemental Information—Benzo[a]pyrene**

Species/strain	Exposure	Results	Comments	Reference
Mouse/ICR	Groups of 20 or 24 mice (71 d old) were given 1.5 mg benzo[a]pyrene by gavage 2 times/wk for 4 wks; terminal sacrifice was at 211 d of age. Estimated dose was about 50 mg benzo[a]pyrene/kg, using an average body weight of 0.03 kg during exposure from reported data.	Incidence of mice with forestomach neoplasms Experiment 1, 23/24 Experiment 2, 19/20	Less-than-lifetime duration of exposure; only stomachs were examined for tumors; tumors found only in forestomach; nonexposed controls were not mentioned.	<a href="#">Benjamin et al. (1988)</a>
Mouse/white	Groups of 16–30 mice were given benzo[a]pyrene in triethylene glycol (0.001–10 mg) weekly for 10 wks and observed until 19 mo.	Tumors in stomach antrum Carcinoma Dose (mg) papilloma 0.001 0/16 0/16 0.01 0/26 2/26 0.1 0/24 5/24 1.0 11/30 12/30 10 16/27 7/27	Less-than-lifetime exposure duration.	<a href="#">Fedorenko and Yansheva (1967)</a> ; as cited in <a href="#">U.S. EPA (1991a)</a>
Mouse/A/HeJ	12 female mice (9 wks old) were given standard diet for 25 d, and 3 mg benzo[a]pyrene by gastric intubation on d 7 and 21 of the study. Mice were killed at 31 wks of age and examined for lung tumors.	12/12 exposed mice had lung tumors	Less-than-lifetime exposure duration; only lungs examined for tumors; no nonexposed controls were mentioned.	<a href="#">Wattenberg (1974)</a>
Mouse/A/J	Groups of female mice were fed benzo[a]pyrene in the diet at 0, 16, or 98 ppm for 260 d. Average intakes of benzo[a]pyrene were 0, 40.6, and 256.6 µg/mouse/d. Estimated doses were 0, 1.6, and 9.9 mg/kg-d using a chronic reference body weight value of 0.026 kg ( <a href="#">U.S. EPA, 1988</a> ).	Incidence of mice surviving to 260 d: Lung tumors Control, 4/21 16 ppm, 9/25 98 ppm, 14/27 Forestomach tumors Control, 0/21 16 ppm, 5/25 98 ppm, 27/27	Close to lifetime exposure duration; A/J strain of mice particularly sensitive to chemically induced cancer; only lungs and stomachs were examined for tumors.	<a href="#">Weyand et al. (1995)</a>

Species/strain	Exposure	Results	Comments	Reference
Mouse/A/J	Groups 40 female mice (8 wks old) were given 0 or 0.25 mg benzo[a]pyrene (in 2% emulphor) by gavage 3 times/wk for 8 wks. Mice were killed at 9 mo of age and examined for lung or forestomach tumors.	Incidence for mice surviving at 9 mo of age: Lung tumors Control, 11/38 Exposed, 22/36 Forestomach tumors Control, 0/38 Exposed, 33/36	Less-than-lifetime duration of exposure; only lungs and GI tract were examined for tumors.	<a href="#">Robinson et al. (1987)</a>

## 1 D.4.2. Inhalation Studies

### 2 *Short-Term and Subchronic Studies*

3 [Wolff et al. \(1989\)](#) exposed groups of 40 male and 40 female F344/Crl rats, via nose only, to  
4 7.5 mg benzo[a]pyrene/m<sup>3</sup> for 2 hours/day, 5 days/week for 4 weeks (corresponding to a TWA of  
5 0.45 mg/m<sup>3</sup>). Rats were 10–11 weeks old at the beginning of the experiment. Benzo[a]pyrene  
6 (>98% pure) aerosols were formed by heating and then condensing the vaporized benzo[a]pyrene.  
7 The particle mass median aerodynamic diameter (MMAD) was 0.21 µm. Subgroups of these  
8 animals (six/sex/dose) were exposed for 4 days or 6 months after the end of the 4-week exposure  
9 to radiolabeled aluminosilicate particles. Lung injury was assessed by analyzing clearance of  
10 radiolabeled aluminosilicate particles and via histopathologic evaluations. Body and lung weights,  
11 measured in subgroups from 1 day to 12 months after the exposure did not differ between controls  
12 and treated animals. Radiolabeled particle clearance did not differ between the control and treated  
13 groups, and there were no significant lung lesions. This study identified a NOAEL for lung effects of  
14 0.45 mg/m<sup>3</sup> for a short-term exposure.

### 15 *Chronic Studies and Cancer Bioassays*

16 [Thyssen et al. \(1981\)](#) conducted an inhalation study in which male Syrian golden hamsters  
17 were exposed to benzo[a]pyrene for their natural lifetime. Groups of 24 animals (8 weeks old)  
18 were exposed by nose-only inhalation to NaCl aerosols (controls; 240 µg NaCl/m<sup>3</sup>) or  
19 benzo[a]pyrene condensed onto NaCl aerosols at three target concentrations of 2, 10, or 50 mg  
20 benzo[a]pyrene/m<sup>3</sup> for 3–4.5 hours/day, 5 days/week for 1–41 weeks, followed by 3 hours/day,  
21 7 days/week for the remainder of study (until hamsters died or became moribund). [Thyssen et al.](#)  
22 [\(1981\)](#) reported average measured benzo[a]pyrene concentrations to be 0, 2.2, 9.5, or 46.5 mg/m<sup>3</sup>.  
23 More than 99% of the particles were between 0.2 and 0.5 µm in diameter, and over 80% had  
24 diameters between 0.2 and 0.3 µm. The particle analysis of the aerosols was not reported to  
25 modern standards (MMAD and geometric SD were not reported). Final overall group sizes were  
26 larger as animals dying during the first 12 months of the study were replaced.

27 Review of the individual animal data (including individual animal pathology reports, time-  
28 to-death data, and exposure chamber monitoring data) provided by Thyssen et al. to EPA ([U.S. EPA,](#)  
29 [1990a](#)) revealed several discrepancies in the reported exposure protocol. The actual exposure

1 protocol was as follows: 4.5 hours/day, 5 days/week on weeks 1–12; 3 hours/day, 5 days/week on  
2 weeks 13–29; 3.7 hours/day, 5 days/week on week 30; 3 hours/day, 5 days/week on weeks 31–41;  
3 and 3 hours/day, 7 days/week for the remainder of the experiment.

4 Analytical chamber monitoring data were generally recorded about once or twice per week,  
5 with some exceptions ranging from no measurements for a 3-week period to as many as five  
6 measurements in 1 week. Individual measurements (in mg/m<sup>3</sup>) were 0.2–4.52, 1.16–19.2, and  
7 0.96–118.6 in the 2, 10, and 50 mg/m<sup>3</sup> target concentration groups, respectively. Overall, weekly  
8 average exposure concentrations varied 2–5-fold from the overall average for each group over the  
9 course of the study, with no particular trends over time (data not shown). The 95% confidence  
10 limits for the average exposure level over time in each group varied within 4–7% of the averages.  
11 Because some animals were started at different times and the exposure protocol changed over time,  
12 each individual animal had an exposure history somewhat different than others in the same  
13 exposure group. In order to address this variability, [U.S. EPA \(1990a\)](#) used the individual animal  
14 data and the chamber monitoring data to calculate a lifetime average continuous exposure for each  
15 individual hamster. Group averages of these individual TWA concentrations were 0, 0.25, 1.01, and  
16 4.29 mg/m<sup>3</sup> for the control through high-exposure groups.

17 Statistical analysis of outcomes was not reported by [Thyssen et al. \(1981\)](#). Survival was  
18 similar in the control, low-, and mid-exposure groups, but was decreased about 40% in the high-  
19 exposure group. Average survival times in the control, low-, mid-, and high-exposure groups were  
20  $96.4 \pm 27.6$ ,  $95.2 \pm 29.1$ ,  $96.4 \pm 27.8$ , and  $59.5 \pm 15.2$  weeks, respectively. After the 60<sup>th</sup> week, body  
21 weights decreased and mortality increased steeply in the highest exposure group. Histologic  
22 examination of organs<sup>2</sup> revealed an exposure-related increase in the mid- and high-exposure  
23 groups of benign and malignant tumors of the upper respiratory tract, including the nasal cavity,  
24 larynx, and trachea, and of the upper digestive tract, including the pharynx, esophagus, and  
25 forestomach (Table D-14). No lung tumors were observed. Tumors were detected in other sites,  
26 but none of these appeared to be related to exposure.

27

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<sup>2</sup>[Thyssen et al. \(1981\)](#) did not report a complete list of organs examined histologically. The individual animal pathology reports documented examination of brain, pituitary, eyes, salivary gland, larynx, pharynx, thyroid, trachea, esophagus, thymus, heart lung, stomach, liver, spleen, pancreas, duodenum, jejunum and ileum, cecum, colon and rectum, kidneys, adrenals, bladder, testicle, epididymides, prostate, submandibular and mesenterial lymph nodes, aorta, sternum, bone, and muscle.

1 **Table D-14. Tumor incidence in the respiratory tract and upper digestive**  
 2 **tract for male Syrian golden hamsters exposed to benzo[a]pyrene via**  
 3 **inhalation for lifetime—[Thyssen et al. \(1981\)](#)<sup>a</sup>**

Target exposure concentration and (lifetime average continuous exposure) <sup>b</sup> , mg/m <sup>3</sup>	Papillomas, polyps, papillary polyps, or carcinomas (total malignant tumors)						Incidence of pharynx or respiratory tract tumors <sup>c</sup>
	Respiratory tract			Upper digestive tract			
	Larynx	Trachea	Nasal cavity	Pharynx	Esophagus	Forestomach	
0	0/23 <sup>d</sup>	0/24	0/23	0/21	0/24	0/24	0/21 <sup>e</sup>
2 (0.25)	0/19	0/20	0/20	0/18	0/20	0/20	0/18
10 (1.01)	11/23 (8) <sup>f</sup>	2/23 (0)	4/23 (1)	9/19 (7)	0/23 (0)	1/23 (1)	17/22 (11) <sup>f</sup>
50 (4.29)	11/23 (8)	3/23 (1)	1/23 (0)	18/22 (17)	2/23 (0)	2/23 (0)	18/22 (17)

4  
 5 <sup>a</sup>Histopathology incidence data from the raw data obtained from the Thyssen study ([Clement Associates, 1990](#)),  
 6 adjusted to show animals only on study long enough to be at risk of tumor development: at least 1 year (0, 2, or  
 7 10 mg/m<sup>3</sup> groups) or until the first tumor occurrence (week 40 in the 50 mg/m<sup>3</sup> group). See Table E-30 for a list of  
 8 all animals with histopathology results.

9 <sup>b</sup>See text.

10 <sup>c</sup>Excludes animals with unexamined tissues, unless a tumor was diagnosed in the tissues that were examined.

11 <sup>d</sup>Fractions represent the number of animals diagnosed with at least one of the specified tumors, among the  
 12 animals examined for each tissue.

13 <sup>e</sup>Statistically significant trends by Cochran-Armitage trend test, conducted by EPA: all tumors:  $p < 0.0001$ ,  
 14 malignant tumors only:  $p < 0.0001$ .

15 <sup>f</sup>Includes one animal with an in situ carcinoma in the larynx.

16  
 17 The tumor types observed in the upper respiratory and upper digestive tract were very  
 18 similar, characterized as polyps, papillomas, papillary polyps, and squamous carcinomas, with the  
 19 exceptions of one in situ carcinoma and one adenocarcinoma (both in the mid-exposure group),  
 20 reflecting similar cell types. Consequently, evaluation of the overall cancer hazard included  
 21 consideration of the joint incidence of these tumor types. The pharynx and larynx (including the  
 22 epiglottis), clearly the main cancer targets, can be difficult to distinguish given their close proximity.  
 23 There were a few instances of nasal cavity or trachea tumors among animals without larynx or  
 24 pharynx tumors. Tumors of the upper digestive tract may have been a consequence of mucociliary  
 25 particle clearance ([Thyssen et al., 1981](#)), but the tumors in the esophagus and forestomach  
 26 observed in the mid- and high-exposure groups all occurred in animals that also had pharynx or  
 27 respiratory tract tumors. Overall, there were increasing trends in tumor incidence with increasing  
 28 exposure, both for the combined incidence of benign or malignant tumors, or for only malignant  
 29 tumors (Table D-14), and earlier occurrence of tumors with increasing exposure levels. Several  
 30 studies have investigated the carcinogenicity of benzo[a]pyrene in hamsters exposed by  
 31 intratracheal instillation. Single-dose studies verified that benzo[a]pyrene is tumorigenic, but do

1 not provide data useful for characterizing dose-response relationships because of their design  
2 ([Kobayashi, 1975](#); [Renzik-Schüller and Mohr, 1974](#); [Henry et al., 1973](#); [Mohr, 1971](#); [Saffiotti et al.,](#)  
3 [1968](#); [Gross et al., 1965](#); [Herrold and Dunham, 1962](#)). One multiple-dose study, which utilized very  
4 low doses (0.005, 0.02, and 0.04 mg once every 2 weeks), failed to find any tumorigenic response  
5 ([Kunstler, 1983](#)). Tumorigenic responses (mostly in the respiratory tract) were found at higher  
6 dosage levels (0.25–2 mg benzo[a]pyrene once per week for 30–52 weeks) in four multiple-dose  
7 studies ([Feron and Krusysse, 1978](#); [Ketkar et al., 1978](#); [Feron et al., 1973](#); [Saffiotti et al., 1972](#)).  
8 These studies identify the respiratory tract as a cancer target with exposure to benzo[a]pyrene by  
9 intratracheal instillation and provide supporting evidence for the carcinogenicity of  
10 benzo[a]pyrene at portal-of-entry sites.

#### 11 **D.4.3. Dermal studies**

##### 12 ***Skin-Tumor Initiation-Promotion Assays***

13 Results from numerous studies indicate that acute dermal exposure to benzo[a]pyrene  
14 induces skin tumors in mice when followed by repeated exposure to a potent tumor promoter  
15 ([Weyand et al., 1992](#); [Cavalieri et al., 1991](#); [Rice et al., 1985](#); [El-Bayoumy et al., 1982](#); [LaVoie et al.,](#)  
16 [1982](#); [Raveh et al., 1982](#); [Cavalieri et al., 1981](#); [Slaga et al., 1980](#); [Wood et al., 1980](#); [Slaga et al.,](#)  
17 [1978](#); [Hoffmann et al., 1972](#)). The typical exposure protocol in these studies involved the  
18 application of a single dose of benzo[a]pyrene (typically  $\geq 20$  nmol per mouse) to dorsal skin of mice  
19 followed by repeated exposure to a potent tumor promoter, such as 12-O-tetradecanoylphorbol-  
20 13-acetate (TPA).

##### 21 ***Carcinogenicity Bioassays***

22 Repeated application of benzo[a]pyrene to skin (in the absence of exogenous promoters)  
23 has been variously demonstrated to induce skin tumors in mice, rats, rabbits, and guinea pigs  
24 ([IARC, 2010](#); [IPCS, 1998](#); [ATSDR, 1995](#); [IARC, 1983, 1973](#)). Mice have been most extensively  
25 studied, presumably because of early evidence that they may be more sensitive than other animal  
26 species, but comprehensive comparison of species differences in sensitivity to lifetime dermal  
27 exposure are not available. Early studies of complete dermal carcinogenicity in other species (rats,  
28 hamsters, guinea pigs, and rabbits) have several limitations that make them not useful for dose-  
29 response analysis [see [IARC \(1973\)](#) for descriptions of studies]. The limitations in these studies  
30 include inadequate reporting of the amount of benzo[a]pyrene applied, use of the carcinogen  
31 benzene as a vehicle, and less-than-lifetime exposure duration.

32 This section discusses complete carcinogenicity bioassays in mice that provide the best  
33 available dose-response data for skin tumors caused by repeated dermal exposure to  
34 benzo[a]pyrene ([Sivak et al., 1997](#); [Higginbotham et al., 1993](#); [Albert et al., 1991](#); [Grimmer et al.,](#)  
35 [1984](#); [Habs et al., 1984](#); [Grimmer et al., 1983](#); [Habs et al., 1980](#); [Schmähl et al., 1977](#); [Schmidt et al.,](#)  
36 [1973](#); [Roe et al., 1970](#); [Poel, 1963, 1959](#)). Early studies of benzo[a]pyrene complete carcinogenicity

1 in mouse skin ([Wynder and Hoffmann, 1959](#); [Wynder et al., 1957](#)) are not further described herein,  
2 because the investigators applied solutions of benzo[a]pyrene at varying concentrations on the  
3 skin, but did not report volumes applied. As such, applied doses in these studies cannot be  
4 determined. Other complete carcinogenicity mouse skin tumor bioassays with benzo[a]pyrene are  
5 available, but these are not described further in this review, because: (1) they only included one  
6 benzo[a]pyrene dose level (e.g., [Emmett et al., 1981](#)) or only dose levels inducing 90–100%  
7 incidence of mice with tumors (e.g., [Wilson and Holland, 1988](#); [Warshawsky and Barkley, 1987](#)) and  
8 thus provide no information about the shape of the dose-response relationship; (2) they used a  
9 1-time/week (e.g., [Nesnow et al., 1983](#)) or 1-time every 2 weeks (e.g., [Levin et al., 1977](#)) exposure  
10 protocol, which is less useful for extrapolating to daily human exposure; or (3) they used a vehicle  
11 demonstrated to interact with or enhance benzo[a]pyrene carcinogenicity ([Bingham and Falk,  
12 1969](#)).

13 [Poel \(1959\)](#) applied benzo[a]pyrene in toluene to shaved interscapular skin of groups of  
14 13–56 male C57L mice at doses of 0, 0.15, 0.38, 0.75, 3.8, 19, 94, 188, 376, or 752 µg, 3 times/week  
15 for up to 103 weeks or until the appearance of a tumor by gross examination (3 times weekly).  
16 Some organs (not further specified) and interscapular skin in sacrificed mice were examined  
17 histologically. With increasing dose level, the incidence of mice with skin tumors increased and the  
18 time of tumor appearance decreased (see Table D-15). Doses >3.8 µg were associated with 100%  
19 mortality after increasingly shorter exposure periods, none greater than 44 weeks. [Poel \(1959\)](#) did  
20 not mention the appearance of exposure-related tumors in tissues other than interscapular skin.

1 **Table D-15. Skin tumor incidence and time of appearance in male C57L mice**  
 2 **dermally exposed to benzo[a]pyrene for up to 103 weeks**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	Incidence of mice with gross skin tumors	Time o first tumor appearance (wks)	Incidence of mice with epidermoid carcinoma <sup>b</sup>	Length of exposure period (wks)
0 (toluene)	0/33 (0%)	–	0/33 (0%)	92
0.15	5/55 (9%)	42–44 <sup>c</sup>	0/55 (0%)	98
0.38	11/55 (20%)	24	2/55 (4%)	103
0.75	7/56 (13%)	36	4/56 (7%)	94
3.8	41/49 (84%)	21–25	32/49 (65%)	82
19	38/38 (100%)	11–21	37/38 (97%)	25–44 <sup>c</sup>
94	35/35 (100%)	8–19	35/35 (100%)	22–43
188	12/14 (86%)	9–18	10/14 (71%)	20–35
376	14/14 (100%)	4–15	12/14 (86%)	19–35
752	13/13 (100%)	5–13	13/13 (100%)	19–30

3  
 4 <sup>a</sup>Indicated doses were applied to interscapular skin 3 times/week for up to 103 weeks or until time of appearance  
 5 of a grossly detected skin tumor.

6 <sup>b</sup>Carcinomas were histologically confirmed.

7 <sup>c</sup>Ranges reflect differing information in Tables 4 and 6 of [Poel \(1959\)](#).

8  
 9 Source: [Poel \(1959\)](#).

10  
 11 [Poel \(1963\)](#) applied benzo[a]pyrene in a toluene vehicle to shaved interscapular skin of  
 12 groups of 14–25 male SWR, C3HeB, or A/He mice 3 times/week at doses of 0, 0.15, 0.38, 0.75, 3.8,  
 13 19.0, 94.0, or 470  $\mu\text{g}$  benzo[a]pyrene per application, until mice died or a skin tumor was observed.  
 14 Time ranges for tumor observations were provided, but not times of death for mice without tumors,  
 15 so it was not possible to evaluate differential mortality among all dose groups or the length of  
 16 exposure for mice without tumors. With increasing dose level, the incidence of mice with skin  
 17 tumors increased and the time of tumor appearance decreased (Table D-16). The lowest dose level  
 18 did not induce an increased incidence of mice with skin tumors in any strain, but strain differences  
 19 in susceptibility were evident at higher dose levels. SWR and C3HeB mice showed skin tumors at  
 20 doses  $\geq 0.38$   $\mu\text{g}$  benzo[a]pyrene, whereas AH/e mice showed tumors at doses  $\geq 19$   $\mu\text{g}$   
 21 benzo[a]pyrene (Table D-16). Except for metastases of the skin tumors to lymph nodes and lung,  
 22 [Poel \(1963\)](#) did not mention the appearance of exposure-related tumors in tissues other than  
 23 interscapular skin.

1 **Table D-16. Skin tumor incidence and time of appearance in male SWR,**  
 2 **C3HeB, and A/He mice dermally exposed to benzo[a]pyrene for life or until a**  
 3 **skin tumor was detected**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	SWR Mice		C3HeB Mice		A/He Mice	
	Tumor incidence <sup>b</sup>	Time of tumor appearance (wks)	Tumor incidence <sup>b</sup>	Time of tumor appearance (wks)	Tumor incidence <sup>b</sup>	Time of tumor appearance (wks)
0 (toluene)	0/20 (0%)	–	0/17 (0%)	–	0/17 (0%)	–
0.15	0/25 (0%)	–	0/19 (0%)	–	0/18 (0%)	–
0.38	2/22 (9%)	55	3/17 (18%)	81–93	0/19 (0%)	–
0.75	15/18 (83%)	25–72	4/17 (24%)	51–93	0/17 (0%)	–
3.8	12/17 (70%)	25–51	11/18 (61%)	35–73	0/17 (0%)	–
19.0	16/16 (100%)	12–28	17/17 (100%)	13–32	21/23 (91%)	21–40
94.0	16/17 (94%)	9–17	18/18 (100%)	10–22	11/16 (69%)	14–31
470.0	14/14 (100%)	5–11	17/17 (100%)	4–19	17/17 (100%)	4–21

4  
 5 <sup>a</sup>Indicated doses were applied 3 times/week for life or until a skin tumor was detected. Mice were 10–14 weeks  
 6 old at initial exposure.

7 <sup>b</sup>Incidence of mice exposed  $\geq 10$  weeks with a skin tumor.

8  
 9 Source: [Poel \(1963\)](#).

10  
 11 [Roe et al. \(1970\)](#) treated groups of 50 female Swiss mice with 0 (acetone vehicle), 0.1, 0.3, 1,  
 12 3, or 9  $\mu\text{g}$  benzo[a]pyrene applied to the shaved dorsal skin 3 times/week for up to 93 weeks; all  
 13 surviving mice were killed and examined for tumors during the following 3 weeks. The dorsal skin  
 14 of an additional control group was shaved periodically but was not treated with the vehicle. Mice  
 15 were examined every 2 weeks for the development of skin tumors at the site of application.  
 16 Histologic examinations included: (1) all skin tumors thought to be possibly malignant; (2) lesions  
 17 of other tissues thought to be neoplastic; and (3) limited nonneoplastic lesions in other tissues. As  
 18 shown in Table D-17, markedly elevated incidences of mice with skin tumors were only found in  
 19 the two highest dose groups (3 and 9  $\mu\text{g}$ ), compared with no skin tumors in the control groups.  
 20 Malignant skin tumors (defined as tumors with invasion or penetration of the panniculus carnosus  
 21 muscle) were detected in 4/41 and 31/40 mice in the 3- and 9- $\mu\text{g}$  groups, respectively, surviving to  
 22 at least 300 days. Malignant lymphomas were detected in all groups, but the numbers of cases were  
 23 not elevated compared with expected numbers after adjustment for survival differences. Lung  
 24 tumors were likewise detected in control and exposed groups at incidences that were not  
 25 statistically different.

1 **Table D-17. Tumor incidence in female Swiss mice dermally exposed to**  
 2 **benzo[a]pyrene for up to 93 weeks**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	Cumulative number of mice with skin tumor/survivors						Skin tumor incidence <sup>b</sup>	Malignant lymphoma incidence <sup>c</sup>	Lung tumor incidence <sup>c</sup>
	200 d	300 d	400 d	500 d	600 d	700 d			
No treatment	0/48	0/43	0/40	0/31	0/21	0/0	0/43 (0%)	19/44 (43%)	12/41 (29%)
Acetone	0/49	0/47	0/45	0/37	0/23	0/0	0/47 (0%)	12/47 (26%)	10/46 (22%)
0.1	0/45	1/42	1/35	1/31	1/22	1/0	1/42 (2%)	11/43 (26%)	10/40 (25%)
0.3	0/46	0/42	0/37	0/30	0/19	0/0	0/42 (0%)	10/43 (23%)	13/43 (30%)
1	0/48	0/43	0/37	1/30	1/18	1/0	1/43 (2%)	16/44 (36%)	15/43 (35%)
3	0/47	0/41	1/37	7/35	8/24	8/0	8/41 (20%)	23/42 (55%)	12/40 (30%)
9	0/46	4/40	21/32	28/21	33/8	34/0	34/46 (74%)	9/40 (23%)	5/40 (13%)

3  
 4 <sup>a</sup>Doses were applied 3 times/week for up to 93 weeks to shaved dorsal skin.

5 <sup>b</sup>Numerator: number of mice detected with a skin tumor. Denominator: number of mice surviving to 300 days for  
 6 all groups except the highest dose group. For the highest dose group (in which skin tumors were first detected  
 7 between 200 and 300 days), the number of mice surviving to 200 days was used as the denominator.

8 <sup>c</sup>Numerator: number of mice detected with specified tumor. Denominator: number of mice surviving to 300 days  
 9 unless a tumor was detected earlier, in which case, the number dying before 300 days without a tumor was  
 10 subtracted from the number of animals reported to have been examined.

11  
 12 Source: [Roe et al. \(1970\)](#).

13  
 14 [Schmidt et al. \(1973\)](#) dermally administered benzo[a]pyrene in acetone to female NMRI  
 15 mice (100/group) and female Swiss mice. Benzo[a]pyrene was applied to the shaved dorsal skin  
 16 twice weekly at doses of 0, 0.05, 0.2, 0.8, or 2  $\mu\text{g}$  until spontaneous death occurred or until an  
 17 advanced carcinoma was observed. Skin carcinomas were identified by the presence of crater-  
 18 shaped ulcerations, infiltrative growth, and the beginning of physical wasting (i.e., cachexia).  
 19 Necropsy was performed for all animals, and histopathological examination of the dermal site of  
 20 application and any other tissues with gross abnormalities was conducted. Skin tumors were  
 21 observed at the two highest doses in both strains of female mice (see Table D-18), with induction  
 22 periods of 53.0 and 75.8 weeks for the 0.8 and 2.0  $\mu\text{g}$  NMRI mice and 57.8 and 60.7 weeks for the  
 23 Swiss mice, respectively. The authors indicated that the latency period for tumor formation was  
 24 highly variable, and significant differences among exposure groups could not be identified, but no  
 25 further timing information was available, including overall survival. Carcinoma was the primary  
 26 tumor type seen after lifetime application of benzo[a]pyrene to mouse skin.

1 **Table D-18. Skin tumor incidence in female NMRI and Swiss mice dermally**  
 2 **exposed to benzo[a]pyrene**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma
<i>Female NMRI mice</i>			
0 (acetone)	0/100 (0%)	0/100 (0%)	0/100 (0%)
0.05	0/100 (0%)	0/100 (0%)	0/100 (0%)
0.2	0/100 (0%)	0/100 (0%)	0/100 (0%)
0.8	2/100 (2%)	0/100 (0%)	2/100 (2%)
2	30/100 (30%)	2/100 (2%)	28/100 (28%)
<i>Female Swiss mice</i>			
0 (acetone)	0/80 (0%)	0/80 (0%)	0/80 (0%)
0.05	0/80 (0%)	0/80 (0%)	0/80 (0%)
0.2	0/80 (0%)	0/80 (0%)	0/80 (0%)
0.8	5/80 (6%)	0/80 (0%)	5/80 (6%)
2	45/80 (56%)	3/80 (4%)	42/80 (52%)

3  
 4 <sup>a</sup>Mice were exposed until natural death or until they developed a carcinoma at the site of application; indicated  
 5 doses were applied 2 times/week to shaved skin of the back.

6  
 7 Source: [Schmidt et al. \(1973\)](#).

8  
 9 [Schmähl et al. \(1977\)](#) applied benzo[a]pyrene 2 times/week to the shaved dorsal skin of  
 10 female NMRI mice (100/group) at doses of 0, 1, 1.7, or 3  $\mu\text{g}$  in 20  $\mu\text{L}$  acetone. The authors reported  
 11 that animals were observed until natural death or until they developed a carcinoma at the site of  
 12 application. The effective numbers of animals at risk was about 80% of the nominal group sizes,  
 13 which the authors attributed to autolysis; no information was provided concerning when tumors  
 14 appeared in the relevant groups, how long treatment lasted in each group, or any times of death.  
 15 Necropsy was performed on all mice and the skin of the back, as well as any organs that exhibited  
 16 macroscopic changes, were examined histopathologically. The incidence of all types of skin tumors  
 17 was increased in a dose-related manner compared to controls (see Table D-19). Carcinoma was the  
 18 primary tumor type observed following chronic dermal exposure to benzo[a]pyrene, and skin  
 19 papillomas occurred infrequently. Dermal sarcoma was not observed.

1 **Table D-19. Skin tumor incidence in female NMRI mice dermally exposed to**  
 2 **benzo[a]pyrene**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma
0	1/81 (1%) <sup>b</sup>	0/81 (0%)	0/81 (0%)
1	11/77 (14%)	1/77 (1%)	10/77 (13%)
1.7	25/88 (28%)	0/88 (0%)	25/88 (28%)
3	45/81 (56%)	2/81 (3%)	43/81 (53%)

3  
 4 <sup>a</sup>Mice were exposed until natural death or until they developed a carcinoma at the site of application; indicated  
 5 doses were applied 2 times/week to shaved skin of the back.

6 <sup>b</sup>Sarcoma.

7  
 8 Source: [Schmähl et al. \(1977\)](#).

9  
 10 [Habs et al. \(1980\)](#) applied benzo[a]pyrene to the shaved interscapular skin of female NMRI  
 11 mice (40/group) at doses of 0, 1.7, 2.8, or 4.6  $\mu\text{g}$  in 20  $\mu\text{L}$  acetone twice weekly, from 10 weeks of  
 12 age until natural death or gross observation of infiltrative tumor growth. Latency of tumors, either  
 13 as time of first appearance or as average time of appearance of tumors, was not reported. Necropsy  
 14 was performed on all animals, and the dorsal skin, as well as any organs showing gross alterations  
 15 at autopsy, was prepared for histopathological examination. Age-standardized mortality rates,  
 16 using the total population of the experiment as the standard population, were used to adjust tumor  
 17 incidence findings in the study. Benzo[a]pyrene application was associated with a statistically  
 18 significant increase in the incidence of skin tumors at each dose level (see Table D-20).

19 **Table D-20. Skin tumor incidence in female NMRI mice dermally exposed to**  
 20 **benzo[a]pyrene**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	Skin tumor incidence	Age-standardized tumor incidence <sup>b</sup>
0 (acetone)	0/35 (0%)	0%
1.7	8/34 (24%)	24.8%
2.8	24/35 (68%)	89.3%
4.6	22/36 (61%)	91.7%

21  
 22 <sup>a</sup>Mice were exposed until natural death or until they developed a carcinoma at the site of application; indicated  
 23 doses were applied 2 times/week to shaved skin of the back.

24 <sup>b</sup>Mortality data of the total study population were used to derive the age-standardized tumor incidence.

25  
 26 Source: [Habs et al. \(1980\)](#).

27  
 28 [Grimmer et al. \(1984\)](#) and [Grimmer et al. \(1983\)](#) applied benzo[a]pyrene (in 0.1 mL of a  
 29 1:3 solution of acetone:dimethyl sulfoxide [DMSO]) to the interscapular skin of female CFLP mice

(65–80/group) 2 times/week for 104 weeks. Doses were 0, 3.9, 7.7, and 15.4 µg in the 1983 experiment, and 0, 3.4, 6.7, and 13.5 µg in the 1984 experiment. Mice were observed until spontaneous death, unless an advanced tumor was observed or if animals were found moribund. Survival information was not provided; incidences reflect the number of animals placed on study. Necropsy was performed on all mice. Histopathological examination of the skin and any other organ showing gross abnormalities was performed. Chronic dermal exposure to benzo[a]pyrene produced a dose-related increase in skin tumor incidence and a decrease in tumor latency (see Table D-21). Carcinoma was the primary tumor type observed and a dose-response relationship was evident for carcinoma formation and incidence of all types of skin tumors.

**Table D-21. Skin tumor incidence and time of appearance in female CFLP mice dermally exposed to benzo[a]pyrene for 104 weeks**

Dose (µg) <sup>a</sup>	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma	Tumor appearance (Wks)
<a href="#">Grimmer et al. (1983)</a>				
0 (1:3 Solution of acetone:DMSO)	0/80 (0%)	0/80 (0%)	0/80 (0%)	–
3.9	22/65 (34%)	7/65 (11%)	15/65 (23%)	74.6 ± 16.78 <sup>b</sup>
7.7	39/64 (61%)	5/64 (8%)	34/64 (53%)	60.9 ± 13.90
15.4	56/64 (88%)	2/64 (3%)	54/64 (84%)	44.1 ± 7.66
<a href="#">Grimmer et al. (1984)</a>				
0 (1:3 Solution of acetone:DMSO)	0/65 (0%)	0/65 (0%)	0/65 (0%)	–
3.4	43/64 (67%)	6/64 (9%)	37/64 (58%)	61 (53–65) <sup>c</sup>
6.7	53/65 (82%)	8/65 (12%)	45/65 (69%)	47 (43–50)
13.5	57/65 (88%)	4/65 (6%)	53/65 (82%)	35 (32–36)

<sup>a</sup>Indicated doses were applied twice/week to shaved skin of the back.

<sup>b</sup>Mean ± SD.

<sup>c</sup>Median with 95% CI.

Sources: [Grimmer et al. \(1984\)](#) and [Grimmer et al. \(1983\)](#).

[Habs et al. \(1984\)](#) applied benzo[a]pyrene (in 0.01 mL acetone) to the shaved interscapular skin of female NMRI mice at doses of 0, 2, or 4 µg, 2 times/week for life. Animals were observed twice daily until spontaneous death, unless an invasive tumor was observed. All animals were necropsied and histopathological examination was performed on the dorsal skin and any other organ with gross abnormalities. Chronic dermal exposure to benzo[a]pyrene did not affect body weight gain, but appeared to reduce survival at the highest dose with mean survival times of 691, 648, and 528 days for the 0, 2, and 4 µg/day groups, respectively. The total length of exposure for

1 each group was not reported, but can be inferred from the survival data. Latency also was not  
 2 reported. Benzo[a]pyrene application resulted in a dose-related increase the incidence of total skin  
 3 tumors and skin carcinomas (see Table D-22). Hematopoietic tumors (at 6/20, 3/20, and 3/20)  
 4 and lung adenomas (at 2/20, 1/20, and 0/20) were observed in the controls and in the  
 5 benzo[a]pyrene treatment groups, but did not appear to be treatment related according to the  
 6 study authors.

7 **Table D-22. Skin tumor incidence in female NMRI mice dermally exposed to**  
 8 **benzo[a]pyrene for life**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma	Mean survival time, days (95% CI)
0 (Acetone)	0/20 (0%)	0/20 (0%)	0/20 (0%)	691 (600–763)
2	9/20 (45%)	2/20 (10%)	7/20 (35%)	648 (440–729)
4	17/20 (85%)	0/20 (0%)	17/20 (85%)	528 (480–555)

9  
 10 <sup>a</sup>Mice were exposed until natural death or until they developed an invasive tumor at the site of application;  
 11 indicated doses were applied 2 times/week to shaved interscapular skin.

12  
 13 Source: [Habs et al. \(1984\)](#).

14  
 15 Groups of 23–27 female Ah-receptor-responsive Swiss mice were treated on a shaved area  
 16 of dorsal skin with 0, 1, 4, or 8 nmol (0, 0.25, 1, or 2  $\mu\text{g}$ /treatment) benzo[a]pyrene (>99% pure) in  
 17 acetone 2 times weekly for 40 weeks ([Higginbotham et al., 1993](#)). Surviving animals were  
 18 sacrificed 8 weeks later. Complete necropsies were performed, and tissues from the treated area,  
 19 lung, liver, kidney, spleen, urinary bladder, ovary, and uterus were harvested for histopathologic  
 20 examination. Histopathologic examination was performed on tissues from the treated area, lungs,  
 21 liver, kidneys, spleen, urinary bladder, uterus, and ovaries, as well as any other grossly abnormal  
 22 tissue. Lung adenomas occurred in each group (1/27, 2/24, 1/23, 1/23), and other tumors were  
 23 noted in isolated mice (i.e., malignant lymphoma [spleen] in one low-dose and one mid-dose mouse;  
 24 malignant lymphoma with middle organ involvement in one high-dose mouse; and hemangioma  
 25 [liver] in one mid-dose mouse) and were not considered dose related. In addition, benzo[a]pyrene  
 26 showed no skin tumors under the conditions of this bioassay.

27 [Sivak et al. \(1997\)](#) designed a study to compare the carcinogenicity of condensed asphalt  
 28 fumes (including benzo[a]pyrene and other PAHs) with several doses of benzo[a]pyrene alone. For  
 29 the purposes of this assessment, the exposure groups exposed to PAH mixtures are not discussed.  
 30 Groups of 30 male C3H/HeJ mice were treated dermally twice/week to 0, 0.0001, 0.001, or 0.01%  
 31 (0, 0.05, 0.5, or 5  $\mu\text{g}$ ) benzo[a]pyrene in a 50  $\mu\text{L}$  volume of cyclohexanone/acetone (1:1) for  
 32 104 weeks beginning at 8 weeks of age. Mice dying during the exposure period or sacrificed at the  
 33 24-month termination were necropsied; mice with skin tumors that persisted for 4 consecutive

1 weeks with diameters >3 cm were sacrificed before the study termination and also necropsied.  
 2 Skin samples and any grossly observed lesions were subjected to histopathological examination.  
 3 Carcinomas and sarcomas were referred to as carcinomas, whereas papillomas, keratoacanthomas,  
 4 and fibromas were referred to as papillomas. The incidences of mice with skin tumors and mean  
 5 survival times for each group are shown in Table D-23. All high-dose mice died before the final  
 6 sacrifice, and 80% showed scabs and sores at the site of application. The time of first tumor  
 7 appearance was not reported for the tumor-inducing groups, but from a plot of the tumor incidence  
 8 in the high-dose group versus treatment days, an estimate of ~320 days (~43 weeks) is obtained  
 9 for this group. The extent of deaths prior to 1 year in each group was not provided, so the reported  
 10 incidence may underestimate the tumor rate of animals exposed long enough to develop tumors.  
 11 However, the crude skin tumor rates show an increasing trend in incidence.

12 **Table D-23. Skin tumor incidence in male C3H/HeJ mice dermally exposed to**  
 13 **benzo[a]pyrene for 24 months**

Dose ( $\mu\text{g}$ ) <sup>a</sup>	Skin tumor incidence (all types) <sup>b</sup>	Number of mice that died before final sacrifice	Mean survival time (days)
0 cyclohexanone/acetone (1:1)	0/30 (0%)	19	607
0.05	0/30 (0%)	15	630
0.5	5/30 (20%)	15	666
5.0	27/30 (90%)	30	449

14  
 15 <sup>a</sup>Indicated doses were applied twice/week to shaved dorsal skin.

16 <sup>b</sup>Number of skin tumor-bearing mice. In the high-dose group, 1 papilloma and 28 carcinomas were detected; in  
 17 the 0.5  $\mu\text{g}$  group, 2 papillomas and 3 carcinomas were detected.

18  
 19 Source: [Sivak et al. \(1997\)](#).

20  
 21 To examine dose-response relationships and the time course of benzo[a]pyrene-induced  
 22 skin damage, DNA adduct formation, and tumor formation, groups of 43–85 female Harlan mice  
 23 were treated dermally with 0, 16, 32, or 64  $\mu\text{g}$  of benzo[a]pyrene in 50  $\mu\text{L}$  of acetone once per week  
 24 for 29 weeks ([Albert et al., 1991](#)). Interscapular skin of each mouse was clipped 3 days before the  
 25 first application and every 2 weeks thereafter. Additional groups of mice were treated for 9 weeks  
 26 with 0, 8, 16, 32, or 64  $\mu\text{g}$  radiolabeled benzo[a]pyrene to determine BPDE-DNA adduct formation  
 27 in the epidermis at several time points (1, 2, 4, and 9 weeks). Tumor formation was monitored only  
 28 in the skin.

29 No tumors were present in vehicle-treated or untreated control mice. In exposed groups,  
 30 incidences of mice with skin tumors were not reported, but time-course data for cumulative  
 31 number of tumors per mouse, corrected for deaths from nontumor causes, were reported. Tumors  
 32 began appearing after 12–14 weeks of exposure for the mid- and high-dose groups and at 18 weeks

1 for the low-dose group. At study termination (35 weeks after start of exposure), the mean number  
2 of tumors per mouse was approximately one per mouse in the low- and mid-dose groups and eight  
3 per mouse in the high-dose group, indicating that most, if not all, mice in each exposure group  
4 developed skin tumors and that the tumorigenic response was greatest in the highest dose group.  
5 The majority of tumors were initially benign, with an average time of 8 weeks for progression from  
6 benign papillomas to malignant carcinomas. Epidermal damage occurred in a dose-related manner  
7 (more severe in the high-dose group than in the low- and mid-dose groups) and included  
8 statistically significant increases (compared with controls) in: [<sup>3</sup>H]-thymidine labeling and mitotic  
9 indices; incidence of pyknotic and dark cells (signs of apoptosis); and epidermal thickness. Only a  
10 minor expansion of the epidermal cell population was observed. In the high-dose group, indices of  
11 epidermal damage increased to a plateau by 2 weeks of exposure. The early time course of  
12 epidermal damage indices was not described in the low- or mid-dose groups, since data for these  
13 endpoints were only collected at 20, 24, and 30 weeks of exposure. An increased level of BPDE-  
14 DNA adducts, compared with controls, was apparent in all exposed groups after 4 weeks of  
15 exposure in the following order: 64 > 32 > 16 > 8 µg/week. The time-course data indicate that  
16 benzo[a]pyrene-induced increases in epidermal damage indices and BPDE-DNA adducts preceded  
17 the appearance of skin tumors.

#### 18 **D.4.4. Reproductive and Developmental Toxicity Studies**

##### 19 ***Oral***

20 In a study evaluating the combined effects of dibutyl phthalate and benzo[a]pyrene on the  
21 male reproductive tract, [Chen et al. \(2011\)](#) administered benzo[a]pyrene alone in corn oil via daily  
22 gavage at 5 mg/kg-day to 30 male Sprague-Dawley rats (28–30 days old); a group of 30 rats  
23 received only vehicle. Body weight was measured weekly. Groups of 10 rats per group were  
24 sacrificed after 4, 8, and 12 weeks of exposure. At sacrifice, blood was collected for analysis of  
25 serum testosterone levels by radioimmunoassay. The testes and epididymides were weighed, and  
26 the right testis and epididymis were examined microscopically. The left epididymis was used for  
27 evaluation of sperm parameters (sperm count and morphology). Oxidative stress, as measured by  
28 superoxide dismutase (SOD), glutathione peroxidase, and catalase activity and malondialdehyde  
29 levels, was evaluated in the left testis of each rat. Exposure to benzo[a]pyrene did not affect body  
30 weight, and no signs of toxicity were seen. Testes and epididymides weights of exposed rats were  
31 similar to controls at all time points. Sperm counts and percent abnormal sperm were also similar  
32 to controls at 4 and 8 weeks of exposure, but were significantly ( $p < 0.05$ ) different from controls  
33 after 12 weeks of exposure to benzo[a]pyrene (29% decrease in sperm count and 54% increase in  
34 percent abnormal sperm). Serum testosterone levels were significantly increased relative to  
35 controls after 4 weeks (>2-fold higher) and 8 weeks (~1.5-fold higher) of benzo[a]pyrene exposure,  
36 but were comparable to controls after 12 weeks. Histopathology evaluation of the testes revealed  
37 irregular and disordered arrangement of germ cells in the seminiferous tubules of treated rats; the

1 authors did not report incidence or severity of these changes. Among measures of testicular  
2 oxidative stress, only catalase activity was significantly affected by benzo[a]pyrene exposure,  
3 showing an increase of ~50% after 12 weeks of exposure. These data suggest a LOAEL of 5 mg/kg-  
4 day (the only dose tested) for decreased sperm count, increased percentage of abnormal sperm,  
5 altered testosterone levels, and histopathology changes in the testes following 13 weeks of  
6 exposure.

7 [Chung et al. \(2011\)](#) evaluated the effects of low-dose benzo[a]pyrene exposure on  
8 spermatogenesis and the role of altered steroidogenesis on the sperm effects. Groups of  
9 20–25 male Sprague-Dawley rats (8 weeks old) were given daily gavage doses of 0, 0.001, 0.01, or  
10 0.1 mg/kg-day benzo[a]pyrene in DMSO for 90 consecutive days. At the end of exposure, the  
11 animals were sacrificed for removal of the pituitary, testes, and epididymides, and collection of  
12 serum and testicular interstitial fluid. Subgroups of each exposure group were used for various  
13 analyses. Serum levels of testosterone and luteinizing hormone (LH) were measured, as was  
14 testosterone concentration in the interstitial fluid (ELISA). Body and testes weights were recorded.  
15 Sections of the testis were analyzed for apoptotic germ cells using the terminal deoxynucleotidyl  
16 transferase dUTP nick end labeling (TUNEL) assay. Evaluation of the epididymis included  
17 histopathology as well as measurement of caput and caudal epididymal tubule diameters. In  
18 addition, sperm were isolated from the cauda epididymis for analysis of sperm number and  
19 motility, acrosomal integrity, and immunocytochemistry for ADAM3 (a disintegrin and  
20 metalloproteinase domain 3; a sperm surface protein associated with fertilization).

21 Leydig cells were isolated from the right testis of animals from each dose group and  
22 cultured with or without human chorionic gonadotropin (hCG) or dibutyl cyclic adenosine  
23 monophosphate (dbcAMP) to evaluate testosterone production ([Chung et al., 2011](#)). Cultured  
24 Leydig cells were also subjected to western blot and immunocytochemistry analyses to evaluate  
25 changes in the expression of genes involved in steroidogenesis (steroidogenic acute regulatory  
26 protein, p450 side-chain cleavage, and 3 $\beta$ -hydroxysteroid dehydrogenase isomerase). Finally,  
27 pituitary gland extracts were evaluated for LH protein content using immunohistochemistry. Data  
28 were reported graphically and analyzed by analysis of variance (ANOVA) followed by Duncan's post  
29 hoc test, using a *p*-value cutoff of 0.05 for significant difference.

30 At termination of exposure, body weights of treated animals were similar to controls, as  
31 were absolute testes weights ([Chung et al., 2011](#)). Testosterone concentrations in both serum and  
32 testicular interstitial fluid were significantly reduced at the high dose of benzo[a]pyrene  
33 (0.1 mg/kg-day); based on visual inspection of the data, the mean serum concentration in this  
34 group was ~20% of the control and the mean interstitial fluid concentration was ~60% of the  
35 control (n = 9 animals/dose for these evaluations). In addition, baseline production of testosterone  
36 by cultured Leydig cells was significantly decreased (~50% based on data shown graphically) at  
37 0.1 mg/kg-day. Both hCG- and dbcAMP-stimulated testosterone production measurements were  
38 lower (~60% lower than controls) in Leydig cells from rats exposed to either 0.01 or

1 0.1 mg/kg-day. Serum LH was significantly increased at both 0.01 and 0.1 mg/kg-day (~65–75%  
2 higher than controls based on visual inspection of graphs); concordant increases in the intensity of  
3 LH immunoreactivity were evident in pituitary extracts from exposed rats.

4 Dose-related increases in the number of apoptotic germ cells, primarily spermatogonia,  
5 were demonstrated both via TUNEL assay and caspase-3 staining; the number per tubule was  
6 significantly increased over control at all doses ([Chung et al., 2011](#)). Numbers of sperm were lower  
7 in the treatment groups, but did not differ significantly from the control group. However, sperm  
8 motility was significantly reduced in exposed groups compared with controls. The authors did not  
9 report sperm motility for all dose groups, but showed only the significant decrease in the  
10 0.01 mg/kg-day mid-dose group (~30% lower than controls based on visual inspection of graph).  
11 Acrosomal integrity (measured by LysoTracker staining) was diminished in sperm heads from  
12 exposed rats; likewise, the expression of ADAM3 protein was downregulated by exposure to  
13 benzo[a]pyrene; the authors reported a significant decrease in the 0.01 mg/kg-day group, but did  
14 not provide details of the analysis of other exposure groups. Histopathology examination of the  
15 caput and cauda epididymides revealed dose-related decreases in both cauda and caput tubule  
16 diameters that were statistically significantly lower than controls at all doses (~10–30% smaller  
17 mean diameter than control based on measurements of 175 tubules collected from five samples in  
18 each group; data reported graphically).

19 Statistically significant effects observed at the lowest dose (0.001 mg/kg-day) of  
20 benzo[a]pyrene in this study included decreased caput and cauda epididymal tubule diameters  
21 (~10–15% lower than controls) and increased numbers of apoptotic germ cells (~2-fold higher  
22 than controls) by TUNEL assay ([Chung et al., 2011](#)). The authors reported that “sperm motility was  
23 significantly reduced in the benzo[a]pyrene-exposed groups in comparison to that of the control”  
24 but provided quantitative data only for the middle dose group, which exhibited a ~30% decrease in  
25 percent motile sperm. No statistically significant decrease in sperm count was reported at any  
26 dose. The middle dose (0.01 mg/kg-day) is considered to be a LOAEL based on reduced sperm  
27 motility.

28 [Gao et al. \(2011\)](#) examined effects of benzo[a]pyrene exposure via on cervical cell  
29 morphology within the uterus. Female ICR mice (18–22 g) were exposed to doses of 0, 2.5, 5, or 10  
30 mg/kg twice per week for 14 weeks, either by gavage or by intraperitoneal (i.p.) injection (for this  
31 review, only oral results are reported). After adjustment for equivalent continuous dosing (2/7  
32 days/week), the equivalent daily doses are estimated to be 0.7, 1.4, and 2.9 mg/kg-day. Both  
33 vehicle (sesame oil) and untreated control groups were maintained. Body weights were  
34 determined weekly. Groups of 26 mice per dose per exposure route were sacrificed at the end of  
35 exposure for evaluation of cervical weight and histopathology. Additional groups of 10 mice were  
36 exposed for 14 weeks and used for determination of lipid peroxidation (malondialdehyde and  
37 glutathione-S-transferase levels) and CYP1A1 activity (EROD) in both liver and cervix, as well as  
38 creatine kinase activity, AST activity, and IL-6 levels in cervix and serum.

1 Mortality was observed in all exposure groups with the exception of the low-dose oral  
 2 exposure group; the authors did not indicate the timing or causes of death ([Gao et al. 2011](#)). There  
 3 were no control deaths. Mortality incidences in the oral exposure groups (low to high dose) were  
 4 0/26 (untreated control), 0/26 (vehicle control), 0/26, 1/36, and 2/26. Benzo[a]pyrene treatment  
 5 resulted in dose-dependent decreases in body weight gain. In the high-dose group of both  
 6 treatments, body weight began to decline after ~7 weeks of exposure. Based on visual examination  
 7 of data presented graphically, mean terminal body weights in the low-, mid-, and high-dose oral  
 8 exposure groups were ~10, 15, and 30% lower (respectively) than the vehicle control mean. The  
 9 untreated control mean body weight for the oral exposure group was similar to the vehicle control  
 10 mean body weight. Uterine weight as a function of body weight was not affected by oral  
 11 benzo[a]pyrene exposure. Microscopic examination of the cervix revealed increased incidences of  
 12 epithelial hyperplasia and inflammatory cells in the cervix of all groups of exposed mice, and  
 13 atypical hyperplasia of the cervix in mice exposed to 1.4 or 2.9 mg/kg -day benzo[a]pyrene.  
 14 Statistical analysis of the findings was conducted, but was poorly reported in the publication.  
 15 Table D-24 shows the incidences in the oral exposure groups, along with the results of Fisher's  
 16 exact tests performed for this review.

17 **Table D-24. Mortality and cervical histopathology incidences in female ICR**  
 18 **mice exposed to benzo[a]pyrene via gavage for 14 weeks**

Endpoint	Dose (mg/kg-d)				
	Untreated control	Vehicle control	0.7	1.4	2.9
Mortality	0/26	0/26	0/26	1/26	2/26
Cervical epithelial hyperplasia	0/26	0/26	4/26	6/25*	7/24*
Atypical hyperplasia of cervix	0/26	0/26	0/26	2/25	4/24*
Inflammatory cells in cervix	2/26	3/26	10/26*	12/25*	18/24*

19 \*Significantly different from vehicle control by Fisher's exact test performed for this review (one-sided  $p < 0.05$ ).  
 20

21 Source: [Gao et al. \(2011\)](#).  
 22

23  
 24 Levels of malondialdehyde in both the cervix and liver were significantly higher than  
 25 controls in all dose groups of animals treated by either oral (1.5–2-fold higher in the cervix and  
 26 ~3–7-fold higher in the liver after oral exposure,  $p < 0.05$ ) or i.p. exposure. Concomitant decreases  
 27 in GST activity (~15–50% lower than controls in the cervix and ~30–60% lower in the liver after  
 28 oral exposure,  $p < 0.05$ ) were also observed at all doses and in both organs and both treatments.  
 29 EROD activity was increased in the cervix (~4–~12-fold) and liver (~12–~35-fold) of all exposure  
 30 groups. Measurement of creatine kinase and AST activity in the cervix and serum also showed  
 31 significant increases at all doses and after both exposures (~1.5–2-fold in the cervix, and ~20–50%

1 higher than controls in the liver after oral exposure). Finally, levels of the inflammatory cytokine  
2 IL-6 were significantly ( $p < 0.05$ ) increased in the cervix of all treated mice, and were markedly  
3 increased (from more than 2-fold higher than untreated or vehicle controls at the low dose, to  
4 ~6-fold higher at the high dose) in the serum of treated mice.

5 Based on the observations of decreased body weight and increased cervical epithelial  
6 inflammation and hyperplasia, a LOAEL of 0.7 mg/kg-day (the lowest dose tested) is identified for  
7 this study.

8 [Mohamed et al. \(2010\)](#) investigated multi-generational effects in male mice following  
9 exposure of 6-week-old C57BL/6 mice (10/group) to 0 (corn oil), 1, or 10 mg/kg-day  
10 benzo[a]pyrene for 6 weeks by gavage. Following final treatment, male mice were allowed to  
11 stabilize for 1 week prior to being mated with two untreated female mice to produce an  
12 F1 generation. Male mice were sacrificed 1 week after mating. F1 males were also mated with  
13 untreated female mice, as were F2 males. The mice of the F1, F2, and F3 generations were not  
14 exposed to benzo[a]pyrene. The F0, F1, F2, and F3 mice were all sacrificed at the same age  
15 (14 weeks) and endpoints including testis histology, sperm count, sperm motility, and in vitro  
16 sperm penetration (of hamster oocytes) were evaluated. These endpoints were analyzed  
17 statistically using ANOVA and Tukey's honest significance test and results were reported  
18 graphically as means  $\pm$  SD.

19 Testicular atrophy was observed in the benzo[a]pyrene treatment groups, but was not  
20 statistically different than controls. Statistically significant reductions were observed in epididymal  
21 sperm counts of F0 and F1 generations treated with the high or low dose of benzo[a]pyrene. For F0  
22 and F1 generations, epididymal sperm counts were reduced approximately 50 and 70%,  
23 respectively, in the low- and high-dose groups. Additionally, sperm motility was statistically  
24 significantly decreased at the high dose in the F0 and F1 generations. Sperm parameters of the F3  
25 generation were not statistically different from controls. An in vitro sperm penetration assay  
26 revealed statistically significantly reduced fertilization in F0 and F1 generations of the low- and  
27 high-dose groups. However, the value of this in vitro test is limited as it bypasses essential  
28 components of the intact animal system ([U.S. EPA, 1996](#)). Based on decreased epididymal sperm  
29 counts of F0 and F1 generations, a LOAEL of 1 mg/kg-day was established from this study (no  
30 NOAEL was identified).

31 [Arafa et al. \(2009\)](#) exposed groups of 12 male Swiss albino rats to benzo[a]pyrene in olive  
32 oil (0 or 50 mg/kg-day via gavage) for 10 consecutive days, either alone or after similar treatment  
33 with 200 mg/kg-day of the flavonoid hesperidin, which has been shown to exert anti-inflammatory,  
34 antioxidant, and anticarcinogenic activity. One day after the final dose, the animals were sacrificed  
35 for removal of the cauda epididymides and testes. Epididymal sperm count and motility were  
36 assessed, as was daily sperm production in the testes. The study authors also investigated the  
37 testicular activity of LDH, SOD, and GST, as well as GSH, malondialdehyde, and protein content. The  
38 testes were examined under light microscope.

1 Relative testes weights (normalized to body weight) of benzo[a]pyrene exposed-animals  
2 were significantly decreased compared with controls (35% lower,  $p < 0.05$ ) ([Arafa et al., 2009](#)). In  
3 addition, exposure to benzo[a]pyrene alone resulted in significantly decreased sperm count,  
4 numbers of motile sperm, and daily sperm production (~40% decrease from control in each  
5 parameter,  $p < 0.05$ ). Effects on sperm count and production were abolished by hesperidin  
6 pretreatment, but the number of motile sperm remained significantly depressed (compared with  
7 the control group) in the group exposed to both benzo[a]pyrene and hesperidin. Measures of  
8 antioxidant enzymes and lipid peroxidation showed statistically significant induction of oxidative  
9 stress in the testes of benzo[a]pyrene-exposed rats. With the exception of the decrease in testicular  
10 GSH content (which was partially mitigated), pretreatment with hesperidin eliminated the effects of  
11 benzo[a]pyrene on lipid peroxidation and antioxidant enzymes.

12 [Xu et al. \(2010\)](#) treated female Sprague-Dawley rats (6/group) to 0 (corn oil only), 5, or  
13 10 mg/kg-day benzo[a]pyrene by gavage every other day for a duration of 60 days. This resulted in  
14 TWA doses of 0, 2.5, and 5 mg/kg-day over the study period of 60 days. Endpoints examined  
15 included ovary weight, estrous cycle, 17B-estradiol blood level, and ovarian follicle populations  
16 (including primordial, primary, secondary, atretic, and corpora lutea). Animals were observed daily  
17 for any clinical signs of toxicity and following sacrifice, gross pathological examinations were made  
18 and any findings were recorded. All animals survived to necropsy. A difference in clinical signs was  
19 not observed for the treated groups and body weights were not statistically different in treated  
20 animals (although they appear to be depressed 6% at the high dose). Absolute ovary weight was  
21 statistically significantly reduced in both the low- and high-dose groups (11 and 15%, respectively)  
22 (see Table D-25). Animals treated with the high dose were noted to have a statistically significantly  
23 prolonged duration of the estrous cycle and nonestrus phase compared to controls. Animals in the  
24 high-dose group also had statistically significantly depressed levels of estradiol (by approximately  
25 25%) and decreased numbers of primordial follicles (by approximately 20%). This study also  
26 indicated a strong apoptotic response of ovarian granulosa cells as visualized through TUNEL  
27 labeling; however, the strongest response was seen at the low dose; decreased apoptosis was also  
28 observed at the high dose. Based on decreased ovary weight following a 60-day oral exposure to  
29 benzo[a]pyrene, a LOAEL of 2.5 mg/kg-day was established from this study (no NOAEL was  
30 identified).

1 **Table D-25. Means ± SD for ovary weight in female Sprague-Dawley rats**

	Dose (mg/kg-d) <sup>a</sup>		
	0	2.5	5
Ovary weight (g)	0.160 ± 0.0146	0.143 ± 0.0098*	0.136 ± 0.0098*
Body weight (g)	261.67 ± 12.0	249.17 ± 11.2	247.25 ± 11.2

2  
3 \*Statistically different from controls ( $p < 0.05$ ) using one-way ANOVA.

4 <sup>a</sup>TWA doses over the 60-day study period.

5  
6 Source: [Xu et al. \(2010\)](#).

7  
8 [Zheng et al. \(2010\)](#) treated male Sprague-Dawley rats to 0 (corn oil only), 1, or 5 mg/kg-day  
9 benzo[a]pyrene by daily gavage for a duration of 30 (8/group) or 90 days (8/group). At necropsy,  
10 the left testis of each animal was collected and weighed. Testes testosterone concentrations were  
11 determined by radioimmunoassay and results were expressed as ng/g testis and reported  
12 graphically. Testicular testosterone was statistically significantly decreased in the high-dose group  
13 approximately 15% following 90 days of exposure. The low-dose group also appeared to have a  
14 similar average depression of testosterone levels; however, the change did not reach statistical  
15 significance. Testosterone levels measured in animals sacrificed following 30 days of  
16 benzo[a]pyrene exposure were not statistically different than controls. Based on decreased  
17 testicular testosterone levels following a 90-day oral exposure to benzo[a]pyrene, a LOAEL of  
18 5 mg/kg-day and a NOAEL of 1 mg/kg-day were identified.

19 [McCallister et al. \(2008\)](#) administered 0 or 300 µg/kg-day benzo[a]pyrene by gavage in  
20 peanut oil to pregnant Long-Evans rats (n = 5 or 6) on gestation days (GDs) 14–17. At this  
21 exposure level, no significant changes were seen in number of pups per litter, pup growth, or liver to  
22 body weight ratios in control compared to benzo[a]pyrene exposed offspring. Treatment-related  
23 differences in brain to body weight ratios were observed only on postnatal days (PNDs) 15 and 30.  
24 Decreases in cerebrocortical messenger ribonucleic acid (mRNA) expression of the glutamatergic  
25 N-methyl-D-aspartate (NMDA) receptor subunit was significantly reduced (50%) in treated  
26 offspring compared to controls. In addition, in utero exposed offspring exhibited decreased evoked  
27 cortical neuronal activity in the barrel field cortex when tested at PNDs 90–120.

28 [Rigdon and Neal \(1965\)](#) administered diets containing 1,000 ppm benzo[a]pyrene to  
29 pregnant mice (nine/group) on GDs 10–21 or 5–21. The pups were reported as appearing  
30 generally normal at birth, but cannibalism was elevated in the exposed groups. These results are in  
31 contrast with an earlier study ([Rigdon and Rennels, 1964](#)) in which rats (strain not specified) were  
32 fed diets containing benzo[a]pyrene at 1,000 ppm for approximately 28 days prior to mating and  
33 during gestation. In the earlier study, five of eight treated females mated with untreated males  
34 became pregnant, but only one delivered live young. The treated dam that delivered had two live  
35 and two stillborn pups; one dead pup was grossly malformed. In the remaining treated females,

1 vaginal bleeding was observed on GDs 23 or 24. In the inverse experimental design, three of six  
2 controls mated to benzo[a]pyrene-treated males became pregnant and delivered live young.  
3 Visceral and skeletal examinations of the pups were not conducted. These studies were limited by  
4 the small numbers of animals, minimal evaluation of the pups, lack of details on days of treatment  
5 (food consumption, weight gain), and occurrence of cannibalism.

### 6 ***Reproductive Effects of In Utero Exposure Via Oral Route***

7 [Mackenzie and Angevine \(1981\)](#) conducted a two-generation reproductive and  
8 developmental toxicity study for benzo[a]pyrene in CD-1 mice. Benzo[a]pyrene was administered  
9 by gavage in 0.2 mL of corn oil to groups of 30 or 60 pregnant (the F0 generation) mice at doses of  
10 0, 10, 40, or 160 mg/kg-day on GDs 7–16 only. Therefore, unlike the standard two-generation  
11 study, F1 animals were exposed only in utero. F1 offspring were evaluated for postnatal  
12 development and reproductive function as follows. F1 pups (four/sex when possible) were allowed  
13 to remain with their mothers until weaning on PND 20. Crossover mating studies were then  
14 conducted. Beginning at 7 weeks of age, each F1 male mouse (n = 20–45/group) was allowed to  
15 mate with two untreated virgin females for 5-day periods for 25 days (for a total exposure of  
16 10 untreated females/F1 male), after which time the males were separated from the females.  
17 Fourteen days after separation from the males (i.e., on days 14–19 of gestation), the females were  
18 sacrificed and the numbers of implants, fetuses, and resorptions were recorded. The F2 fetuses  
19 were then examined for gross abnormalities. Similarly, each F1 female mouse (n = 20–55/group),  
20 beginning at 6 weeks of age, was paired with an untreated male for a period of 6 months. Males  
21 were replaced if the females failed to produce a litter during the first 30-day period. All F2 young  
22 were examined for gross abnormalities on day 1 of life and their weights were recorded on day 4.  
23 This F2 group was sacrificed on day 20 postpartum, while the F1 female was left with a male until  
24 the conclusion of the study. At 6 weeks of age, gonads of groups of 10 male and 10 female F1 mice  
25 exposed to 0, 10, or 40 mg/kg-day benzo[a]pyrene in utero were subjected to gross pathology and  
26 histologic examinations.

27 No maternal toxicity was observed. The number of F0 females with viable litters at  
28 parturition at the highest dose was statistically significantly reduced by about 35% (Table D-26),  
29 but progeny were normal by gross observation. Parturition rates of the low- and mid-dose groups  
30 were unaffected by treatment, and litter sizes of all treated groups were similar to the control group  
31 throughout lactation. However, body weights of the F1 pups in the mid- and high-dose groups were  
32 statistically significantly decreased on PND 20, by 7 and 13%, respectively, and in all treated pups  
33 on PND 42, 6, 6, and 10% for the low, mid, and high dose, respectively (Table D-26). The number of  
34 F1 pups surviving to PNDs 20 and 42 was significantly reduced at the high dose ( $p < 0.01$ ), by 8 and  
35 16%, respectively. When F1 males were bred to untreated females and F1 females were mated  
36 with untreated males, a marked dose-related decrease in fertility of >30% was observed in both  
37 sexes, starting at the lowest exposure. There were no treatment-associated gross abnormalities or  
38 differences in body weights in the F2 offspring.

1 **Table D-26. Reproductive effects in male and female CD-1 F1 mice exposed in**  
 2 **uterus to benzo[a]pyrene**

Effect	Dose (mg/kg-d) <sup>a</sup>			
	0	10	40	160
F0 mice with viable litters at parturition	46/60 (77%)	21/30 (70%)	44/60 (73%)	13/30 (43%)*
Mean ± SEM pup weight (g) at PND 20	11.2 ± 0.1	11.6 ± 0.1	10.4 ± 0.1*	9.7 ± 0.2*
Mean ± SEM pup weight (g) at PND 42	29.9 ± 0.2	28.2 ± 0.3*	28.0 ± 0.2*	26.8 ± 0.4*
F1 male fertility index <sup>b</sup>	80.4	52.0*	4.7*	0.0*
F1 female fertility index <sup>c</sup>	100.0	65.7*	0.0*	0.0*

3  
4 \*Significantly ( $p < 0.05$ ) different from control by unspecified tests.

5 <sup>a</sup>Pregnant F0 mice were administered daily doses of benzo[a]pyrene in corn oil on GDs 7–16.

6 <sup>b</sup>Beginning at 7 weeks of age, each F1 male mouse (20–45/group) was exposed to 10 untreated females over a  
7 period of 25 days. Index = (females pregnant/females exposed to males) × 100.

8 <sup>c</sup>Beginning at 6 weeks of age, each F1 female mouse (20–55/group) was cohabitated with an untreated male for a  
9 period of 6 months.

10  
11 SEM = standard error of the mean.

12  
13 Source: [Mackenzie and Angevine \(1981\)](#).

14  
15 Exposure to benzo[a]pyrene caused a marked dose-related decrease in the size of the  
16 gonads. In F1 males, testes weights were statistically significantly reduced. Testes from animals  
17 exposed in utero to 10 and 40 mg/kg-day weighed approximately 42 and 82%, respectively, of the  
18 weight of testes from the control animals (no F2 offspring were produced in the high-dose group).  
19 This was confirmed by histopathologic observation of atrophic seminiferous tubules in the  
20 40 mg/kg-day group that were smaller than those of controls and were empty except for a basal  
21 layer of cells. The number of interstitial cells in the testes was also increased in this group. Males  
22 from the 10 mg/kg-day group showed limited testicular damage; although all exhibited evidence of  
23 tubular injury, each animal had some seminiferous tubules that displayed active spermatogenesis.  
24 Ovarian tissue was absent or reduced in F1 females such that organ weights were not possible to  
25 obtain. Examination of available tissue in these females revealed hypoplastic ovaries with few  
26 follicles and corpora lutea (10 mg/kg-day) or with no evidence of folliculogenesis (40 mg/kg-day).  
27 Ovarian tissue was not examined in highest-dose females.

28 The LOAEL in this study was 10 mg/kg-day based on decreases in mean pup weight (<5%)  
29 at PND 42 of F1 offspring of dams treated with 10, 40, or 160 mg/kg-day benzo[a]pyrene, marked  
30 decreases in the reproductive capacity (as measured by fertility index) of both male and female F1  
31 offspring exposed at all three treatment levels of benzo[a]pyrene (by approximately 30% in males  
32 and females), decreased litter size (by about 20%) in offspring of F1 dams, and the dramatic

1 decrease in size and alteration in anatomy of the gonads of both male and female F1 mice exposed  
2 to 10 and 40 mg/kg-day benzo[a]pyrene in utero. A NOAEL was not identified.

3 In another reproductive and developmental toxicity study, benzo[a]pyrene was  
4 administered by gavage in corn oil to nine female NMRI mice at a dose of 10 mg/kg-day on  
5 GDs 7–16; a group of nine controls received corn oil ([Kristensen et al., 1995](#)). Body weights were  
6 monitored. F0 females were kept with their offspring until after weaning (21 days after delivery).  
7 At 6 weeks of age, one F1 female from each litter (n = 9) was caged with an untreated male. The  
8 F2 offspring were inspected for gross deformities at birth, weight and sex were recorded 2 days  
9 after birth, and the pups were sacrificed. The F1 females were sacrificed after 6 months of  
10 continuous breeding. The effects of benzo[a]pyrene treatment on fertility, ovary weights, follicles,  
11 and corpora lutea were evaluated. F0 females showed no signs of general toxicity, and there was no  
12 effect on fertility. F1 females had statistically significantly lower median numbers of offspring,  
13 number of litters, and litter sizes and a statistically significantly greater median number of days  
14 between litters as compared with the controls (Table D-27). At necropsy, the F1 females from  
15 treated F0 females had statistically significantly reduced ovary weights; histologic examination of  
16 the ovaries revealed decreased numbers of small, medium, or large follicles and corpora lutea  
17 (Table D-27). Only one dose group was used in this study, with decreased F1 female fertility  
18 observed following in utero exposure at the LOAEL of 10 mg/kg-day; no NOAEL was identified.

19 **Table D-27. Effect of prenatal exposure to benzo[a]pyrene on indices of**  
20 **reproductive performance in F1 female NMRI mice**

Endpoint (median with range in parentheses)	Control <sup>a</sup>	Benzo[a]pyrene exposed <sup>a</sup> (10 mg/kg-d)
Number of F2 offspring	92 (26–121)	22* (0–86)
Number of F2 litters	8 (3–8)	3* (0–8)
F2 litter size (number of pups per litter)	11.5 (6–15)	8* (3–11)
Number of d between F2 litters	20.5 (20–21)	21* (20–23)
F1 ovary weight (mg)	13 (13–20)	9* (7–13)
Number of small follicles	44 (1–137)	0* (0–68)
Number of medium follicles	9 (5–25)	0* (0–57)
Number of large follicles	14 (6–23)	0* (0–19)
Number of corpora lutea	16 (6–35)	0* (0–14)

21  
22 \*Significantly ( $p < 0.05$ ) different from control group by Wilcoxon rank sum test or Kruskal-Wallis two-tailed test.

23 <sup>a</sup>Groups of nine female NMRI F0 mice were administered 0 or 10 mg benzo[a]pyrene/kg-day by gavage in corn oil  
24 on GDs 7–16. One F1 female from each litter was continuously bred with an untreated male for 6 months.

25  
26 Source: [Kristensen et al. \(1995\)](#).

27

1            [Chen et al. \(2012\)](#) treated male and female neonatal Sprague-Dawley rats (10/sex/group)  
2 with benzo[a]pyrene (unspecified purity) dissolved in peanut oil by gavage daily on PNDs 5–11, at  
3 doses of 0.02, 0.2, or 2 mg/kg in 3 mL vehicle/kg body weight, determined individually based upon  
4 daily measurements. This time period was described as representing the brain growth spurt in  
5 rodents, analogous to brain developmental occurring from the third trimester to 2 years of age in  
6 human infants. Breeding was performed by pairs of 9-week-old rats, with delivery designated as  
7 PND 0. Litters were culled to eight pups/dam (four males and four females, when possible) and  
8 randomly redistributed at PND 1 among the nursing dams; dams themselves were rotated every  
9 2–3 days to control for caretaking differences, and cage-side observations of maternal behavior  
10 were made daily. One male and female from each litter were assigned per treatment group, and the  
11 following physical maturation landmarks were assessed daily in all treatment groups until weaning  
12 at PND 21: incisor eruption, eye opening, development of fur, testis decent, and vaginal opening.

13            Neonatal sensory and motor developmental tests were administered to pups during the  
14 preweaning period at PNDs 12, 14, 16, and 18, and were behavioral tests administered to rats as  
15 adolescents (PNDs 35 and 36) or as adults (PNDs 70 and 71): each rat was only tested during one  
16 developmental period. All dosing was performed from 1300 to 1600 hours, and behavioral testing  
17 was during the “dark” period from 1900 to 2300 hours, although tests were performed in a lighted  
18 environment. Pups were observed individually and weighed daily, the order of testing litters was  
19 randomized each day, and all observations were recorded by investigators blinded to group  
20 treatment.

21            Sensory and motor developmental tests, including the surface righting reflex test, negative  
22 geotaxis test, and cliff aversion test, were performed only once, while the forelimb grip strength test  
23 was assessed during three 60-second trials on PND 12. Rat movements during the open-field test  
24 were recorded by camera, and two blinded investigators scored movement and rearing separately  
25 during a 5-minute evaluation period. Blinded investigators directly observed video monitoring of  
26 rat movements during the elevated plus maze, and after a 5-minute free exploration period,  
27 recorded number of entries into the closed and open arms, time spent in the open arms, and latency  
28 to the first arm entry. Assessment of the Morris water maze was slightly different, in that the rats  
29 were habituated to the testing pool by a 60-second swim without a platform on the day prior to  
30 testing. The rats were then tested during a 60-second swim with a hidden platform present at a  
31 constant position each day for 4 days; on the 5<sup>th</sup> day, the rats were evaluated during a 60-second  
32 probe swim without a platform. The number of times each animal crossed the original platform  
33 location and the duration of time spent in the platform quadrant were recorded during this final  
34 evaluation. One pup/sex/litter were assigned for behavioral testing to each of four tracks: Track 1,  
35 surface righting reflex test, cliff aversion test, and open-field test (PNDs 12–18); Track 2, negative  
36 geotaxis test, forelimb grip strength test, and open-field test (PNDs 12–20); Track 3, elevated plus  
37 maze, Morris water maze, and open-field test (PNDs 34–36); and Track 4, elevated plus maze,

1 Morris water maze, and open-field test (PNDs 69–71). All results were presented in graphic form  
2 only.

3 No significant effects on pup body weight were observed during the 7-day treatment period  
4 (PNDs 5–11). Three-way ANOVA (time × benzo[a]pyrene treatment × sex) indicated that effects of  
5 benzo[a]pyrene were not sex-dependent throughout the 71-day experiment, so both sexes were  
6 pooled together. From this pooled analysis, pups in the 2 mg/kg-day treatment group gained  
7 significantly less weight at both PND 36 and 71. There were no differences among treatment  
8 groups in incisor eruption, eye opening, development of fur, testis decent, or vaginal opening.

9 For all measurements of neonatal sensory and motor development, results from both sexes  
10 were analyzed together since benzo[a]pyrene was reported to have no significant interaction with  
11 sex by 3-way ANOVA. No significant differences were observed in either the cliff aversion or  
12 forelimb grip strength tests. In the surface righting reflex test, latency was increased in the  
13 0.2 mg/kg-day group at PND 12, in the 0.02 and 2 mg/kg-day groups at PND 14, and in only the  
14 high-dose group at PND 16; latency was not significantly different in any group at PND 18. At  
15 PND 12, there was a dose-related increase in negative geotaxis latency associated with 0.02, 2, and  
16 2 mg/kg-day benzo[a]pyrene, which was also present in the 2 mg/kg-day group at PND 14, but  
17 returned to control levels at PND 16 and 18. In the open field test, there were no significant  
18 differences in either locomotion or rearing activity at PND 18 or 20. At PND 34, the 2 mg/kg-day  
19 group exhibited significantly increased movement, but increases in rearing were not significant. At  
20 PND 69, increased locomotion was observed in both the 0.2 and 2 mg/kg-day groups, while rearing  
21 was significantly increased in only the 2 mg/kg-day treatment group.

22 The elevated plus maze performance was only evaluated in adolescent and adult rats.  
23 Unlike the previous tests, 3-way ANOVA revealed a statistically significant interaction between  
24 neonatal benzo[a]pyrene treatment and sex, so male and female performance was analyzed  
25 independently. No significant differences in PND 35 males were observed, and the only significant  
26 observation in PND 35 females was increased time spent in the open maze arms by the  
27 2 mg/kg-day treatment group. Significantly decreased latency time to first open arm entry was  
28 observed in PND 70 males and females in both 0.2 and 2 mg/kg-day treatment groups; these groups  
29 also spent significantly more time in open maze arms, along with the 0.02 mg/kg-day female group.  
30 At PND 70, the 2 mg/kg-day males, along with the 0.2 and 2 mg/kg-day females, entered more  
31 frequently into open arms and less frequently into closed arms than the vehicle controls. In the  
32 Morris water maze, escape latency (time to reach the platform during each of the four testing days)  
33 was consistently increased in the 2 mg/kg-day treatment group of both sexes, in both adolescent  
34 and adult animals. These increases were statistically significant in both males and females treated  
35 with 2 mg/kg-day benzo[a]pyrene at both PNDs 39 and 74, and were also significantly elevated in  
36 0.2 mg/kg-day animals of both sexes at PND 74. Likewise, performance during the 5<sup>th</sup> test day, in  
37 the absence of the escape platform, was significantly adversely affected by both metrics (decreased  
38 time spent in the target quadrant and decreased number of attempts to cross the platform location)

1 in 2 mg/kg-day rats of both sexes at both PNDs 40 and 75. PND 75 females treated with  
2 0.2 mg/kg-day benzo[a]pyrene also showed significant decreases in both performance metrics,  
3 while PND 75 0.2 mg/kg-day males only demonstrated significant differences in “time spent in  
4 target quadrant.” Swim speed was also assessed, but there were no differences among any  
5 treatment group at either age evaluated.

6 [Jules et al. \(2012\)](#) treated pregnant Long-Evans Hooded rats with benzo[a]pyrene  
7 (unspecified purity) dissolved in 0.875 mL peanut oil by gavage daily on GDs 14–17, at doses of  
8 150, 300, 600, and 1,200 µg benzo[a]pyrene/kg body weight, with animals weighed daily. Cage-  
9 side observations were performed until pup weaning, and litter size was evaluated for each  
10 treatment group. Pups from four to five individual litters were analyzed for each endpoint, which  
11 was independently repeated for a total of three replicates. Delivery was designated PND 0, and  
12 pups were harvested on PNDs 0–15 for benzo[a]pyrene metabolite identification, or for other  
13 endpoints as young adults at PND 53. Systolic/diastolic blood pressure and heart rate was  
14 recorded by a volume pressure recording sensor and occlusion tail-cuff applied to conscious, non-  
15 anesthetized animals. Animals were preconditioned to the restraint device and tail-cuff by daily  
16 acclimatization sessions during PNDs 46–50, to minimize stress effects during data collection.  
17 Cardiac function values were averaged from 15 readings each collected over a 1-minute interval  
18 every other minute for 30 minutes on PND 53.

19 No significant differences in litter size or pup weight gain from PND 0 to 15 were reported  
20 in any treatment group, and no convulsions, tremors, or abnormal movements were reproducibly  
21 observed. Most analytical data were reported graphically, as mean ± standard error of the mean  
22 (SEM) of three replicates of 3–5 offspring measured/group. Plasma and heart tissue total  
23 benzo[a]pyrene metabolite levels were maximal at PND 0 (the first time point sampled) and  
24 progressively decreased from PNDs 0 to 13. Compared to the low-dose group (150 µg/kg), plasma  
25 metabolite levels were significantly elevated in the 600 and 1,200 µg/kg-day benzo[a]pyrene  
26 groups through PND 13, while heart metabolite levels were significantly increased through PND 11.  
27 Metabolites in mid-dose group, 300 µg/kg-day, trended between the 150 and 600 µg/kg-day group  
28 levels from PND 0 to 7, while not achieving statistically significant differences in pair-wise  
29 comparisons. Three principal groups of benzo[a]pyrene metabolites were identified. More than  
30 70% of the total heart metabolite burden was composed of diol metabolites through PND 13, while  
31 the more reactive hydroxyl metabolites increased in relative composition from PND 9 to 13, and the  
32 dione population remained constant at ≤5%.

33 Cardiovascular function was evaluated in pups exposed in utero to 600 or 1,200 µg/kg-day  
34 benzo[a]pyrene versus controls (see Table D-28). A dose-related and statistically significant  
35 increase in both systolic (20, 50%) and diastolic pressure (30, 80%) was observed in mid- and  
36 high-dose pups, respectively. Heart rate was also significantly altered; a 10% increased heart rate  
37 was reported in the 600 µg/kg-day benzo[a]pyrene group, while the average heart rate of the  
38 1,200 µg/kg-day benzo[a]pyrene groups decreased 8%.

1 **Table D-28. Exposure-related effects in Long-Evans Hooded rats exposed to**  
 2 **benzo[a]pyrene by gavage daily in utero from GD 14 to 17**

Effect measured	Dose (mg/kg-d)		
	0	0.600	1.20
Heart rate (bpm; mean ± SEM)	504.6 ± 15.7	554.6 ± 26.2*	466.3 ± 16.9*
Blood pressure measured by tail cuff (mmHg; mean ± SEM)			
Systolic pressure	131.6 ± 1.2	151.6 ± 45*	200.4 ± 2.4*
Diastolic pressure	85.0 ± 4.2	113.0 ± 3.3*	155.6 ± 3.2*

3  
 4 \*Significantly ( $p < 0.05$ ) different from control mean; n = 4–5/replicate, 3 replicates performed.

5  
 6 Source: [Jules et al. \(2012\)](#).

7  
 8 [Bouayed et al. \(2009a\)](#) treated nursing female Swiss Albino OF1 mice (5/dose group) with  
 9 benzo[a]pyrene (unspecified purity) dissolved in avocado oil by gavage daily while nursing pups  
 10 from PND 1 to 14 at 0, 2, or 20 mg/kg-day in 10 mL/kg body weight, individually determined each  
 11 day. Prior to benzo[a]pyrene treatment, litters were culled to 10 pups (5/sex when possible), and  
 12 nurturing females were assigned to litters that were stratified randomly to achieve equivalent  
 13 mean pup litter body weights across the designated treatment groups. As the effects of  
 14 benzo[a]pyrene on maternal nurturing behavior was unknown, dam behavior was visually  
 15 monitored daily until weaning. Furthermore, maternal nurturing performance from PND 0 to 21  
 16 was assessed by two methods: a nest-building test administered twice a day where nest quality/  
 17 complexity was scored 15 minutes after cotton material was supplied; and pup retrieval, in which  
 18 latency to return the displaced pup to the nest was measured twice and averaged, was evaluated  
 19 once daily. At the indicated times, two mice/sex/litter were randomly selected and weighed, and  
 20 their brains were resected for later mRNA expression analysis (n = 20/group).

21 Pup neuromotor maturation and behavior was assessed during pre-weaning by four  
 22 standard methods (administered between 10 am and 1 pm on testing days, and in temporal order  
 23 as indicated): (1) *righting reflex test*, maximum duration of 120 seconds, administered on PNDs 3, 5,  
 24 7, and 9; (2) *negative geotaxis test*, maximum duration of 120 seconds, administered on PNDs 5, 7,  
 25 9, and 11; (3) *forelimb grip test*, duration until failure, administered on PNDs 9 and 11; and (4) *open*  
 26 *field test*, 6-minute evaluation of locomotor activity and rearing following a 1-minute habituation  
 27 period, administered on PND 15. Adolescent function was evaluated by three methods: *water*  
 28 *escape pole climbing (WESPOC) test*, administered at PND 20, in which the time to find the pole, time  
 29 to climb the pole, and the time to reach the safety platform were reported; *elevated plus maze*,  
 30 administered at PND 32 for 5 minutes, in which the latency time to first open arm entry, number of  
 31 entries into open arms, total number of entries, percent of time spent in open arms, and percent of  
 32 entries into open arms was determined; and *Y-maze spontaneous alternation test*, administered at

1 PND 40 for 5 minutes, in which the percentage of spontaneous alternation was calculated by: [(the  
2 number of successful overlapping triplets)/(total number of arm entries - 2) × 100%].

3 Benzo[a]pyrene treatment did not significantly affect the body weight of nursing mothers  
4 during the 2-week treatment period. Since 3-way ANOVA indicated that changes in pup weight as a  
5 result of benzo[a]pyrene treatment were not sex-dependent, data from male and female pups were  
6 combined. Benzo[a]pyrene treatment of nursing mothers was associated with a 8–9% weight gain  
7 in pups nursing from the 2 mg/kg-day group and a 10–12% weight gain in pups from the 20  
8 mg/kg-day group at PNDs 12–20 (see Table D-29). While not significantly different from PND 26 to  
9 40, pup weight in the 20 mg/kg-day group was continuously higher than either the 2 mg/kg-day  
10 group or vehicle-treated controls. There were no significant differences in pup brain weight or eye  
11 opening observed. Likewise, benzo[a]pyrene treatment of nursing mothers did not affect nest-  
12 building interest or quality, and while not significantly impacting pup retrieval time, the retrieval  
13 latency period was observed to increase with increasing treatment duration in both  
14 benzo[a]pyrene groups versus controls.

15 **Table D-29. Exposure-related pup body weight effects in Swiss Albino OF1**  
16 **mice exposed as pups to benzo[a]pyrene in breast milk from dams treated by**  
17 **gavage daily from PND 1 to 14**

Pup body weight (g; mean ± SEM, n = 20)	Dose (mg/kg-d)		
	0	2	20
PND 0	1.70 ± 0.02	1.73 ± 0.02	1.74 ± 0.02
PND 4	3.01 ± 0.08	3.08 ± 0.06	3.16 ± 0.04
PND 8	5.08 ± 0.1	5.26 ± 0.09	5.30 ± 0.08
PND 12	6.57 ± 0.12	7.16 ± 0.06*	7.39 ± 0.05*
PND 20	12.51 ± 0.24	13.55 ± 0.25**	13.79 ± 0.14*
PND 26	17.71 ± 0.49	18.60 ± 0.36	18.35 ± 0.34
PND 32	24.47 ± 0.55	25.59 ± 0.57	25.38 ± 0.54
PND 40	30.55 ± 0.94	30.90 ± 0.93	31.78 ± 0.97

18 \* $p < 0.001$  significantly different from control mean.

19 \*\* $p < 0.01$ .

20 Source: [Bouayed et al. \(2009a\)](#).

21 Behavioral test data was reported graphically, as mean ± SEM of n = 20/group. For the pre-  
22 weaning neuromotor developmental tests, benzo[a]pyrene treatment was found to not depend on  
23 sex; therefore, data from male and female pups were combined. Pups nursing from mothers  
24 administered 2 or 20 mg/kg-day benzo[a]pyrene had significantly elevated righting reflex times at  
25 PNDs 3–5, which decreased to control times at PNDs 7–9. Only pups from the 20 mg/kg-day  
26  
27  
28

1 treatment group demonstrated significantly increased negative geotaxis latency, which was 2-fold  
2 greater than controls at PNDs 5, 7, and 9, but returned to control levels at PND 11. Interestingly,  
3 mice in the 20 mg/kg-day group had increased forelimb grip strength, which was significantly  
4 greater than control mice at PNDs 9 and 11, corresponding to increased body weight in the  
5 benzo[a]pyrene-treated mice versus controls. Mice in the 2 mg/kg-day group also performed  
6 better than controls at PND 9, but were equivalent at PND 11. No treatment or sex-related effects  
7 were reported on locomotion or rearing activity during the open field test. Sex-dependency on test  
8 performance became evident during the analysis of the WESPOC test data: female pups were not  
9 significantly affected using any metric, while males in the 20 mg/kg-day group demonstrated a  
10 statistically significantly longer pole-grasping latency (3-fold), and took 13 times longer to escape  
11 the pole and board the safety platform versus vehicle controls. While performance of male pups  
12 from the 2 mg/kg-day group was not statistically significantly worse than vehicle controls by pair-  
13 wise comparison, latency for both pole-grasping and escape in this treatment group contributed to  
14 a significant trend for treatment dose and these effects. In the evaluation of the elevated plus maze,  
15 treatment effects did not appear to depend upon sex, so both male and female performance was  
16 analyzed together. Mice in both benzo[a]pyrene treatment groups demonstrated decreased latency  
17 time to first entering an open arm (30–50%), as well as entered open arms 2 times more frequently  
18 and spent twice as much time there versus vehicle controls. While mice in the 2 mg/kg-day  
19 treatment group entered into closed arms 20% less frequently than controls, mice in the  
20 20 mg/kg-day group were not significantly different. Likewise, mice nursing from mothers treated  
21 with 2 mg/kg-day benzo[a]pyrene performed 15% more spontaneous alternations in the Y-maze  
22 spontaneous alternation test compared to controls, while mice in the high-dose group were not  
23 significantly different. The brains of pups nursing from the 20 mg/kg-day group expressed  
24 approximately 50% lower levels of 5-hydroxytryptamine (serotonin) 1A (5HT1A), and mu 1-opioid  
25 (MOR1) mRNA, and a trend was observed in the low-dose group as well. No significant changes in  
26 alpha-1D adrenergic or GABA-A mRNA levels were detected.

### 27 ***Reproductive Effects in Adults and Repeated Oral Exposure***

28 [Rigdon and Neal \(1965\)](#) conducted a series of experiments to assess the reproductive  
29 effects of orally administered benzo[a]pyrene to Ah-responsive white Swiss mice. Female animals  
30 (number not stated) were administered benzo[a]pyrene at 250, 500, or 1,000 ppm in the feed  
31 before or during a 5-day mating period. Based on the initial body weight, the doses can be  
32 estimated as 32, 56, and 122 mg/kg-day, respectively. No effect on fertility was observed at any  
33 treatment dose, even when animals were fed 1,000 ppm benzo[a]pyrene for 20 days prior to  
34 mating, but interpretation of this finding was marred by large variability in numbers of pregnant  
35 females and litter sizes for both treated and control mice. In separate experiments, the fertility of  
36 five male mice/group was not affected by exposure to 1,000 ppm in food for up to 30 days prior to  
37 mating with untreated females. Histologic examinations showed that male mice fed 500 ppm  
38 benzo[a]pyrene for 30 days had spermatozoa present in their testes; further details were not

1 provided. The only treatment-related effect was a lack of weight gain related to feed unpalatability.  
2 While this study suggests that pre-mating exposure of male or female mice to doses up to  
3 122 mg/kg-day for 20 days may not affect fertility, the sample sizes were too small and the study  
4 designs were too inconsistent to provide reliable NOAELs and LOAELs for reproductive/  
5 developmental toxicity.

6 In an earlier study ([Rigdon and Rennels, 1964](#)), rats (strain not specified) were fed diets  
7 containing benzo[a]pyrene at 1,000 ppm for approximately 28 days prior to mating and during  
8 gestation. In this study, five of eight treated females mated with untreated males became pregnant,  
9 but only one delivered live young. The treated dam that delivered had two live and two stillborn  
10 pups; one dead pup was grossly malformed. In the remaining treated females, vaginal bleeding was  
11 observed on GDs 23 or 24. In the inverse experimental design, three of six controls mated to  
12 benzo[a]pyrene-treated males became pregnant and delivered live young. Visceral and skeletal  
13 examinations of the pups were not conducted. These studies are insufficiently reported and of  
14 insufficient design (e.g., inadequate numbers of animals for statistical analysis) to provide reliable  
15 NOAELs or LOAELs for reproductive effects from repeated oral exposure to benzo[a]pyrene.

#### 16 **D.4.5. Inhalation**

##### 17 ***Reproductive Toxicity and In Utero Exposure via Inhalation***

18 [Archibong et al. \(2002\)](#) evaluated the effect of exposure to inhaled benzo[a]pyrene on fetal  
19 survival and luteal maintenance in timed-pregnant F344 rats. Prior to exposure on GD 8,  
20 laparotomy was performed to determine the number of implantation sites, and confirmed pregnant  
21 rats were divided into three groups, consisting of rats that had four to six, seven to nine, or more  
22 than nine conceptuses in utero. Rats in these groups were then assigned randomly to the treatment  
23 groups or control groups to ensure a similar distribution of litter sizes. Animals (10/group) were  
24 exposed to benzo[a]pyrene:carbon black aerosols at concentrations of 25, 75, or 100 µg/m<sup>3</sup> via  
25 nose-only inhalation, 4 hours/day on GDs 11–20. Control animals were either sham-exposed to  
26 carbon black or remained entirely unexposed. Results of particle size analysis of generated  
27 aerosols were reported by several other reports from this laboratory ([Inyang et al., 2003](#); [Ramesh  
28 et al., 2001a](#); [Hood et al., 2000](#)). Aerosols showed a trimodal distribution (average of cumulative  
29 mass, diameter) <95%, 15.85 µm; 89%, <10 µm; 55%, <2.5 µm; and 38%, <1 µm ([Inyang et al.,  
30 2003](#)). [Ramesh et al. \(2001a\)](#) reported that the MMAD (± geometric SD) for the 55% mass fraction  
31 with diameters <2.5 µm was 1.7 ± 0.085. Progesterone, estradiol-17β, and prolactin concentrations  
32 were determined in plasma collected on GDs 15 and 17. Fetal survival was calculated as the total  
33 number of pups divided by the number of all implantation sites determined on GD 8. Individual  
34 pup weights and crown-rump length per litter per treatment were determined on PND 4  
35 (PND 0 = day of parturition).

36 [Archibong et al. \(2002\)](#) reported that exposure of rats to benzo[a]pyrene caused  
37 biologically and statistically significant ( $p \leq 0.05$ ) reductions in fetal survival compared with the

1 two control groups; fetal survival rates were 78.3, 38.0, and 33.8% per litter at 25, 75, and  
 2 100  $\mu\text{g}/\text{m}^3$ , respectively, and 96.7% with carbon black or 98.8% per litter in untreated controls (see  
 3 Table D-30). Consequently, the number of pups per litter was also decreased in a concentration-  
 4 dependent manner. The decrease was ~50% at 75  $\mu\text{g}/\text{m}^3$  and ~65% at 100  $\mu\text{g}/\text{m}^3$ , compared with  
 5 sham-exposed and unexposed control groups. No effects on hormone levels were observed on  
 6 GDs 15 or 17 at the low dose. Biologically significant decreases in mean pup weights (expressed as  
 7 g per litter) of >5% relative to the untreated control group were observed at doses  $\geq 75 \mu\text{g}/\text{m}^3$   
 8 (14 and 16% decreases at 75 and 100  $\mu\text{g}/\text{m}^3$ , respectively,  $p < 0.05$ ). There were no statistically  
 9 significant differences from the control groups in crown-rump length (see Table D-30).

10 **Table D-30. Pregnancy outcomes in female F344 rats treated with**  
 11 **benzo[a]pyrene on GDs 11–21 by inhalation**

Parameter <sup>a</sup>	Administered concentration of benzo[a]pyrene ( $\mu\text{g}/\text{m}^3$ )				
	0 (unexposed control)	0 (carbon black)	25	75	100
Implantation sites	8.6 $\pm$ 0.2	8.8 $\pm$ 0.1	8.8 $\pm$ 0.5	9.0 $\pm$ 0.2	8.8 $\pm$ 0.1
Pups per litter	8.5 $\pm$ 0.2	8.7 $\pm$ 0.2	7.4 $\pm$ 0.5*	4.2 $\pm$ 0.1*	3.0 $\pm$ 0.2*
Survival (litter %)	98.9 $\pm$ 1.1	96.7 $\pm$ 1.7	78.3 $\pm$ 4.1*	38.0 $\pm$ 2.1*	33.8 $\pm$ 1.3*
Pup weight (g/litter)	10.6 $\pm$ 0.1	8.8 $\pm$ 0.1	10.5 $\pm$ 0.2	9.1 $\pm$ 0.2*	8.9 $\pm$ 0.1*
Crown-rump length (mm/litter)	29.4 $\pm$ 0.6	29.3 $\pm$ 0.5	28.0 $\pm$ 0.6	27.3 $\pm$ 0.7	27.9 $\pm$ 0.7

12  
 13 \*Significantly different from controls at  $p < 0.05$  by one-tailed post-hoc t-testing following ANOVA.

14 <sup>a</sup>Values presented as means  $\pm$  SEM.

15  
 16 Source: [Archibong et al. \(2002\)](#).

17  
 18 Benzo[a]pyrene exposure at 75  $\mu\text{g}/\text{m}^3$  caused a statistically significant decrease in plasma  
 19 progesterone, estradiol, and prolactin on GD 17; these levels were not determined in the rats  
 20 exposed to 100  $\mu\text{g}/\text{m}^3$  ([Archibong et al., 2002](#)). Plasma prolactin is an indirect measure of the  
 21 activity of decidual luteotropin, a prolactin-like hormone whose activity is necessary for luteal  
 22 maintenance during pregnancy in rats. Control levels of prolactin increased from GD 15 to 17, but  
 23 this increase did not occur in the rats exposed to 75  $\mu\text{g}/\text{m}^3$ . Although the progesterone  
 24 concentration at 75  $\mu\text{g}/\text{m}^3$  was significantly lower than in controls on GD 17, the authors thought  
 25 that the circulating levels should have been sufficient to maintain pregnancy; thus, the increased  
 26 loss of fetuses was thought to be caused by the lower prolactin levels rather than progesterone  
 27 deficiency. The reduced circulating levels of progesterone and estradiol-17 $\beta$  among  
 28 benzo[a]pyrene-treated rats were thought to be a result of limited decidual luteotropic support for  
 29 the corpora lutea. The authors proposed the following mechanism for the effects of benzo[a]pyrene

1 on fertility: benzo[a]pyrene or its metabolites decreased prolactin and decidual luteotropin levels,  
2 compromising the luteotropic support for the corpora lutea and thereby decreasing the plasma  
3 levels of progesterone and estradiol-17 $\beta$ . The low estradiol-17 $\beta$  may decrease uterine levels of  
4 progesterone receptors, thereby resulting in fetal mortality. Based on biologically and statistically  
5 significant decreases in pups/litter and percent fetal survival/per litter, the LOAEL was 25  $\mu\text{g}/\text{m}^3$ ;  
6 no NOAEL was identified.

### 7 ***Neurotoxicity and In Utero Exposure via Inhalation***

8 To evaluate the effects of benzo[a]pyrene on the developing central nervous system,  
9 [Wormley et al. \(2004\)](#) studied rat offspring from those produced by the [Archibong et al. \(2002\)](#)  
10 investigation (personal communication, D. Hood to K. Hogan, 5/11/2016), in which exposed timed-  
11 pregnant F344 rats (10/group) to benzo[a]pyrene:carbon black aerosols by nose-only inhalation on  
12 GDs 11–21 for 4 hours/day at a concentration of 100  $\mu\text{g}/\text{m}^3$ . Results of particle size analysis of  
13 generated aerosols were reported by other reports from this laboratory ([Ramesh et al., 2001a](#);  
14 [Hood et al., 2000](#)). Particle size analysis of a 100- $\mu\text{g}/\text{m}^3$  aerosol showed a trimodal distribution  
15 (average of cumulative mass, diameter): <95%, 15.85  $\mu\text{m}$ ; 90%, <10  $\mu\text{m}$ ; 67.5%, <2.5  $\mu\text{m}$ ; and  
16 66.2%, <1  $\mu\text{m}$ ; the MMAD  $\pm$  geometric SD for the latter fraction was 0.4  $\pm$  0.02  $\mu\text{m}$  ([Hood et al.,](#)  
17 [2000](#)). As noted by [Archibong et al. \(2002\)](#), benzo[a]pyrene reduced the number of live pups at this  
18 exposure level to one-third of control values. During PNDs 60–70, electrical stimulation and  
19 evoked field potential responses were recorded via electrodes implanted into the brains of the  
20 animals. Direct stimulation of perforant paths in the entorhinal region revealed a diminution in  
21 long-term potentiation of population spikes across the perforant path-granular cell synapses in the  
22 dentate gyrus of the hippocampus of F1 generation benzo[a]pyrene-exposed animals; responses in  
23 exposed offspring were about 25% weaker than in control offspring. Additionally, NMDA receptor  
24 subunit 1 protein (important for synaptic functioning) was down-regulated in the hippocampus of  
25 benzo[a]pyrene-exposed F1 pups. The authors interpreted their results as suggesting that  
26 gestational exposure to benzo[a]pyrene inhalation attenuates the capacity for long-term  
27 potentiation (a cellular correlate of learning and memory) in the F1 generation.

28 In another study by this same group of investigators, [Wu et al. \(2003a\)](#) evaluated the  
29 generation of benzo[a]pyrene metabolites in F1 generation pups, as well as the developmental  
30 profile for AhR and mRNA. In this study, confirmed-pregnant F344 rats were exposed to  
31 benzo[a]pyrene:carbon black aerosols at 25, 75, or 100  $\mu\text{g}/\text{m}^3$  via nose-only inhalation,  
32 4 hours/day, for 10 days (GDs 11–21). Control animals either were exposed to carbon black  
33 (sham) to control for inert carrier effects or remained untreated. Each benzo[a]pyrene  
34 concentration had its own set of controls (carbon black and untreated). Two randomly selected  
35 pups were sacrificed on each of PNDs 0, 3, 5, 10, 15, 20, and 30. Body, brain, and liver weights were  
36 recorded. Benzo[a]pyrene metabolites were analyzed in the cerebral cortex, hippocampus, liver,  
37 and plasma. A dose-related increase in plasma and cortex benzo[a]pyrene metabolite  
38 concentrations in pups was observed. Dihydrodiols (4,5-; 7,8-; 9,10-) dominated the metabolite

1 distribution profile up to PND 15 and the hydroxy (3-OH-benzo[a]pyrene; 9-OH-benzo[a]pyrene)  
2 metabolites after PND 15 at 100 µg/m<sup>3</sup> (the only exposure concentration reported). Results  
3 indicated a dose-related decrease in the ratio of the total number of pups born per litter to the total  
4 number of implantation sites per litter. The number of resorptions at 75 and 100 µg/m<sup>3</sup>, but not at  
5 25 µg/m<sup>3</sup>, was statistically significantly increased compared with controls.

### 6 ***Adult Male Reproductive Effects and Repeated Inhalation Exposure***

7 [Inyang et al. \(2003\)](#) evaluated the effect of subacute exposure to inhaled benzo[a]pyrene on  
8 testicular steroidogenesis and epididymal function in rats. Male F344 rats (10/group), 13 weeks of  
9 age, were exposed to benzo[a]pyrene:carbon black aerosols at 25, 75, or 100 µg/m<sup>3</sup> via nose-only  
10 inhalation, 4 hours/day for 10 days. Control animals either were exposed to carbon black (sham) to  
11 control for exposure to the inert carrier or remained untreated. Each benzo[a]pyrene  
12 concentration had its own set of controls (carbon black and untreated). Aerosols showed a  
13 trimodal distribution (average of cumulative mass, diameter): 95%, <15.85 µm; 89%, <10 µm; 55%,  
14 <2.5 µm; and 38%, <1 µm ([Inyang et al., 2003](#)); an earlier report from this laboratory indicated that  
15 the 55% mass fraction had a MMAD ± geometric SD of 1.7 ± 0.085 ([Ramesh et al., 2001a](#)). Blood  
16 samples were collected at 0, 24, 48, and 72 hours after cessation of exposure to assess the effect of  
17 benzo[a]pyrene on systemic concentrations of testosterone and LH, hormones that regulate  
18 testosterone synthesis. Reproductive endpoints such as testis weight and motility and density of  
19 stored (epididymal) sperm were evaluated.

20 Regardless of the exposure concentration, inhaled benzo[a]pyrene did not affect testis  
21 weight or the density of stored sperm compared with controls. However, inhaled benzo[a]pyrene  
22 caused a concentration-dependent reduction in the progressive motility of stored sperm.  
23 Progressive motility was similar at 75 and 100 µg/m<sup>3</sup>, but these values were significantly lower  
24 ( $p < 0.05$ ) than in any other group. The reduction in sperm motility postcessation of exposure was  
25 thought to be the result of benzo[a]pyrene limiting epididymal function. Benzo[a]pyrene exposure  
26 to 75 µg/m<sup>3</sup> caused a decrease in circulating concentrations of testosterone compared with controls  
27 from the time of cessation of exposure (time 0) to 48 hours posttermination of exposure ( $p < 0.05$ ).  
28 However, the decrease was followed by a compensatory increase in testosterone concentration at  
29 72 hours postcessation of exposure. Exposure to 75 µg/m<sup>3</sup> caused a nonsignificant increase in  
30 plasma LH concentrations at the end of exposure compared with controls, which increased further  
31 and turned significant ( $p < 0.05$ ) for the remaining time of the study period. The decreased plasma  
32 concentration of testosterone, accompanied by an increased plasma LH level, was thought to  
33 indicate that benzo[a]pyrene did not have a direct effect on LH. The authors also noted that the  
34 decreased circulating testosterone may have been secondary to induction of liver CYP450 enzymes  
35 by benzo[a]pyrene. The authors concluded that subacute exposure to benzo[a]pyrene contributed  
36 to impaired testicular endocrine function that ultimately impaired epididymal function. For this  
37 study, the NOAEL was 25 µg/m<sup>3</sup> and the LOAEL was 75 µg/m<sup>3</sup>, based on a statistically significant

1 reduction in the progressive motility of stored sperm and impairment of testicular function with  
2 10 days of exposure at 75 µg/m<sup>3</sup>.

3 In a follow-up study with longer exposure duration, adult male F344 rats (10 per group)  
4 were exposed to benzo[a]pyrene:carbon black aerosols at 75 µg/m<sup>3</sup> via nose-only inhalation,  
5 4 hours/day for 60 days (Archibong et al., 2008; Ramesh et al., 2008). Rats in the control group  
6 were subjected to the nose-only restraint, but were not exposed to the carbon black carrier. Blood  
7 samples were collected at 0, 24, 48, and 72 hours after exposure terminated, and the animals were  
8 sacrificed for tissue analyses following the last blood sampling. Data were analyzed statistically for  
9 benzo[a]pyrene effects on weekly body weights, total plasma testosterone and LH concentrations,  
10 testis weights, density of stored spermatozoa, sperm morphological forms and motility,  
11 benzo[a]pyrene metabolite concentrations and aryl hydrocarbon hydroxylase (AHH) activity, and  
12 morphometric assessments of testicular histologies. Relative to controls, the results indicated 34%  
13 reduced testis weight ( $p < 0.025$ ), reduced daily sperm production ( $p < 0.025$ ), and reduced  
14 intratesticular testosterone concentrations ( $p < 0.025$ ). Plasma testosterone concentrations were  
15 reduced to about one-third of the level in controls on the last day of exposure (day 60) and at 24,  
16 48, and 72 hours later ( $p < 0.05$ ). However, plasma LH concentrations in benzo[a]pyrene-exposed  
17 rats were elevated throughout the blood sampling time periods compared with controls ( $p < 0.05$ ).  
18 In testis, lung, and liver, AHH activity and benzo[a]pyrene-7,8-dihydrodiol (precursor to the  
19 DNA-reactive BPDE) and benzo[a]pyrene-3,6-dione metabolites were significantly ( $p < 0.05$ )  
20 elevated relative to controls. Progressive motility and mean density of stored spermatozoa were  
21 significantly reduced ( $p < 0.05$ ). Weekly body weight gains were not affected by benzo[a]pyrene  
22 exposure. These results indicate that a 60-day exposure of adult male rats to benzo[a]pyrene:  
23 carbon black aerosols at 75 µg/m<sup>3</sup> produced decreased testis weight; decreased intratesticular and  
24 plasma testosterone concentrations; and decreased sperm production, motility, and density.

## 25 D.5. OTHER PERTINENT TOXICITY INFORMATION

### 26 D.5.1. Genotoxicity Information

27 Information summarizing methods commonly used to detect DNA adducts following PAH or  
28 benzo[a]pyrene exposure is presented in Table D-31. Information regarding the genotoxicity of  
29 benzo[a]pyrene in in vitro and in vivo systems is presented in Tables D-32, D-33, D-34, and D-35.

30 **Table D-31. Select PAH-DNA adduct detection methods<sup>a</sup>**

Adduct detection method	Adduct detection limit (nucleotides)	Quantitation	Adduct identification
<i>Radiolabeled compounds</i>			

Adduct detection method	Adduct detection limit (nucleotides)	Quantitation	Adduct identification
Accelerator mass spectroscopy (AMS) (typically $^{14}\text{C}$ or $^3\text{H}$ ); with or without separation	$10^{12}$	Highest sensitivity	High specificity due to radiolabeled chemical exposure (no structural information)
Dosing with radiolabeled compound (typically $^{14}\text{C}$ or $^3\text{H}$ ) + quantification of radioactive DNA using liquid scintillation counting	$10^9$	High to moderate sensitivity (potential isotope artefacts may lower sensitivity)	Moderate specificity (additional characterization may be required)
<i>Unlabeled adduct detection</i>			
$^{32}\text{P}$ -postlabeling + separation by TLC or HPLC	$10^9$	High sensitivity	Low specificity (chemical nature of adducts unknown—additional characterization required)
Separation by chromatography (GC or LC) + mass spectrometry (MS)	$10^9$	High sensitivity	Highest specificity; structural identification possible
Separation (HPLC or electrophoresis) + fluorescence spectroscopy, electrochemical, or UV detection	$10^8$	Moderate to high sensitivity for PAH adducts	High specificity and structural identification (depending on quality of standard)
<i>Immunoassays</i>			
Immunoassay using antisera raised against BP-modified DNA or adducts	$10^8$	High sensitivity	Broad specificity for family of carcinogenic PAH-DNA adducts
Immunohistochemistry (in situ detection in intact tissues)	$10^7$	Low sensitivity	Broad specificity for family of carcinogenic PAH-DNA adducts

1  
2 <sup>a</sup>Summarized from [Himmelstein et al. \(2009\)](#); [Arlt et al. \(2006\)](#) [Phillips et al. \(2000\)](#) and [Poirier et al. \(2000\)](#).  
3  
4 GC = gas chromatography; HPLC = high-performance liquid chromatography; LC = liquid chromatography;  
5 TLC = thin-layer chromatography.

6 **Table D-32. In vitro genotoxicity studies of benzo[a]pyrene in non-**  
7 **mammalian cells**

	Result		Reference
	+S9	-S9	
<b>Endpoint/test system:</b> <i>prokaryotic cells</i>			
<b>Forward mutation</b>			
<i>Salmonella typhimurium</i> TM677	+	-	<a href="#">Rastetter et al. (1982)</a>
<i>S. typhimurium</i> TM677	+	ND	<a href="#">Babson et al. (1986)</a>
<b>Reverse mutation</b>			

**Supplemental Information—Benzo[a]pyrene**

	Result		Reference
	+S9	-S9	
<i>S. typhimurium</i> TA98, TA1538	+	ND	<a href="#">Ames et al. (1975)</a>
<i>S. typhimurium</i> TA98, TA100, TA1538	+	ND	<a href="#">McCann et al. (1975)</a>
<i>S. typhimurium</i> TA1538, TA98	+	-	<a href="#">Wood et al. (1976)</a>
<i>S. typhimurium</i> TA98, TA100, TA1537	+	-	<a href="#">Epler et al. (1977)</a>
<i>S. typhimurium</i> TA98, TA100	+	-	<a href="#">Obermeier and Froberg (1977)</a>
<i>S. typhimurium</i> TA98	+	-	<a href="#">Pitts et al. (1978)</a>
<i>S. typhimurium</i> TA98, TA100	+	ND	<a href="#">Lavoie et al. (1979)</a>
<i>S. typhimurium</i> TA98, TA100	+	-	<a href="#">Simmon (1979a)</a>
<i>S. typhimurium</i> TA98	+	ND	<a href="#">Hermann (1981)</a>
<i>S. typhimurium</i> TA98, TA100	+	ND	<a href="#">Alfheim and Ramdahl (1984)</a>
<i>S. typhimurium</i> TA98, TA100, TA1538	ND	-	<a href="#">Glatt et al. (1985)</a>
<i>S. typhimurium</i> TA97, TA98, TA100	+	-	<a href="#">Sakai et al. (1985)</a>
<i>S. typhimurium</i> TA97, TA98, TA100, TA1537	+	-	<a href="#">Glatt et al. (1987)</a>
<i>S. typhimurium</i> TA97, TA98, TA100	+	ND	<a href="#">Marino (1987)</a>
<i>S. typhimurium</i> TA98	+	-	<a href="#">Alzieu et al. (1987)</a>
<i>S. typhimurium</i> TA98, TA100	+	-	<a href="#">Prasanna et al. (1987)</a>
<i>S. typhimurium</i> TA98	+	ND	<a href="#">Ampy et al. (1988)</a>
<i>S. typhimurium</i> TA98, TA100	+	ND	<a href="#">Bos et al. (1988)</a>
<i>S. typhimurium</i> TA98	+	ND	<a href="#">Lee and Lin (1988)</a>
<i>S. typhimurium</i> TA98	+	ND	<a href="#">Antignac et al. (1990)</a>
<i>S. typhimurium</i> TA98	-	ND	<a href="#">Gao et al. (1991)</a>
<i>S. typhimurium</i> TA98	+	ND	<a href="#">Balansky et al. (1994)</a>
<i>S. typhimurium</i> TA100	+	ND	<a href="#">Norpoth et al. (1984)</a>
<i>S. typhimurium</i> TA100	+	-	<a href="#">Carver et al. (1986)</a>
<i>S. typhimurium</i> TA100	+	ND	<a href="#">Pahlman and Pelkonen (1987)</a>
<i>S. typhimurium</i> TA100	+	ND	<a href="#">Tang and Friedman (1977)</a>
<i>S. typhimurium</i> TA100	+	ND	<a href="#">Bruce and Heddle (1979)</a>
<i>S. typhimurium</i> TA100	+	ND	<a href="#">Phillipson and Ioannides (1989)</a>
<i>S. typhimurium</i> TA100	-	ND	<a href="#">Balansky et al. (1994)</a>
<i>S. typhimurium</i> TA1537, TA1538	+	-	<a href="#">Ames et al. (1973)</a>
<i>S. typhimurium</i> TA1537, TA1538	+	-	<a href="#">Glatt et al. (1975)</a>
<i>S. typhimurium</i> TA1537	+	ND	<a href="#">Oesch et al. (1976)</a>

	Result		Reference
	+S9	–S9	
<i>S. typhimurium</i> TA1538	+	ND	<a href="#">Egert and Greim (1976)</a>
<i>S. typhimurium</i> TA1538	+	–	<a href="#">Rosenkranz and Poirier (1979)</a>
<i>S. typhimurium</i> TA1535	–	–	<a href="#">Ames et al. (1973)</a>
<i>S. typhimurium</i> TA 1535	–	–	<a href="#">Glatt et al. (1975)</a>
<i>S. typhimurium</i> TA 1535	–	ND	<a href="#">Mccann et al. (1975)</a>
<i>S. typhimurium</i> TA1535	–	–	<a href="#">Epler et al. (1977)</a>
<b>DNA damage</b>			
<i>Escherichia coli/pol A</i>	+	–	<a href="#">Rosenkranz and Poirier (1979)</a>
<i>E. coli/differential killing test</i>	+	–	<a href="#">Tweats (1981)</a>
<i>E. coli</i> WP2-WP100/rec-assay	+	ND	<a href="#">Mamber et al. (1983)</a>
<i>E. coli/SOS chromotest Pq37</i>	+	–	<a href="#">Mersch-Sundermann et al. (1992)</a>
<b>Endpoint/test system: nonmammalian eukaryotes</b>			
<b>Mitotic recombination</b>			
<i>Saccharomyces cerevisiae</i> D4-RDII	ND	–	<a href="#">Siebert et al. (1981)</a>
<i>S. cerevisiae</i> D3	–	–	<a href="#">Simmon (1979b)</a>

1  
2 + = positive; – = negative; ND = not determined.

3 **Table D-33. In vitro genotoxicity studies of benzo[a]pyrene in mammalian**  
4 **cells**

Assay/test system	Result		Reference
	+S9	–S9	
<b>Forward mutation</b>			
Human AHH-1 lymphoblastoid cells	ND	+	<a href="#">Danheiser et al. (1989)</a>
Human lymphoblast (AHH-1) cells ( <i>hprt</i> )	ND	+	<a href="#">Crespi et al. (1985)</a>
Human lymphoblastoid (AHH-1) cell line	ND	+	<a href="#">Chen et al. (1996)</a>
Human fibroblast (MRC5CV1) cell line ( <i>hprt</i> )	–	ND	<a href="#">Hanelt et al. (1997)</a>
Human lymphoblast (TK) cells	ND	+	<a href="#">Barfknecht et al. (1982)</a>
Human lymphoblast (TK6) cells	+	ND	<a href="#">Crespi et al. (1985)</a>
Human embryonic epithelial (EUE) cells	ND	+	<a href="#">Rocchi et al. (1980)</a>
Human HSC172 lung fibroblasts	+	–	<a href="#">Gupta and Goldstein (1981)</a>
Human Q3-wp normal lung keratinocytes	+	ND	<a href="#">Allen-Hoffmann and Rheinwald (1984)</a>
Human SCC-13Y lung keratinocytes	ND	+	<a href="#">Allen-Hoffmann and Rheinwald (1984)</a>

**Supplemental Information—Benzo[a]pyrene**

Assay/test system	Result		Reference
	+S9	-S9	
Mouse <i>lacZ</i> transgenic Muta <sup>TM</sup> Mouse primary hepatocytes	ND	+	<a href="#">Chen et al. (2010)</a>
Mouse L5178Y/HGPRT	+	-	<a href="#">Clive et al. (1979)</a>
Mouse lymphoma (L5178Y/TK+/-) cells	+	-	<a href="#">Clive et al. (1979)</a>
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	<a href="#">Amacher et al. (1980)</a> ; <a href="#">Amacher and Turner (1980)</a>
Mouse lymphoma (L5178Y/TK+/-) cells	+	-	<a href="#">Amacher and Paillet (1983)</a>
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	<a href="#">Arce et al. (1987)</a>
Chinese hamster ovary (CHO) cells ( <i>aprt</i> )	+	ND	<a href="#">Yang et al. (1999)</a>
CHO cells (5 marker loci)	+	+	<a href="#">Gupta and Singh (1982)</a>
Chinese hamster V79 cells (co-cultured with irradiated HepG2 cells)	+	ND	<a href="#">Diamond et al. (1980)</a>
Chinese hamster V79 lung epithelial cells	+	ND	<a href="#">Huberman et al. (1976)</a>
Chinese hamster V79 lung epithelial cells	+	ND	<a href="#">Arce et al. (1987)</a>
Chinese hamster V79 lung epithelial cells	+	ND	<a href="#">O'Donovan (1990)</a>
Rat/Fischer, embryo cells/OuaR	ND	+	<a href="#">Mishra et al. (1978)</a>
<b>DNA damage</b>			
<i>DNA adducts</i>			
Human peripheral blood lymphocytes	ND	+	<a href="#">Wiencke et al. (1990)</a>
Human peripheral blood lymphocytes	ND	+	<a href="#">Li et al. (2001)</a>
Human peripheral blood lymphocytes	ND	+	<a href="#">Wu et al. (2005)</a>
Human peripheral blood lymphocytes	ND	+	<a href="#">Gu et al. (2008)</a>
Human fibroblast (MRC5CV1) cell line	+	ND	<a href="#">Hanelt et al. (1997)</a>
Human hepatoma (HepG2) cell line	ND	+	<a href="#">Tarantini et al. (2009)</a>
Hamster tracheal cells	ND	+	<a href="#">Roggeband et al. (1994)</a>
Chinese hamster V79 lung epithelial cells	+	ND	<a href="#">Arce et al. (1987)</a>
Virus transformed SHE and mouse C3H10T1/2 cells	ND	+	<a href="#">Arce et al. (1987)</a>
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	<a href="#">Arce et al. (1987)</a>
Rat tracheal cells	ND	+	<a href="#">Roggeband et al. (1994)</a>
<i>Unscheduled DNA synthesis</i>			
HeLa cells	+	ND	<a href="#">Martin et al. (1978)</a>
Human fibroblasts	+	ND	<a href="#">Agrelo and Amos (1981)</a>
Human fibroblasts	+	-	<a href="#">Robinson and Mitchell (1981)</a>
Human HepG2	ND	+	<a href="#">Valentin-Severin et al. (2004)</a>
Hamster primary embryo cells	ND	+	<a href="#">Casto et al. (1976)</a>
Hamster tracheal cells	ND	+	<a href="#">Roggeband et al. (1994)</a>

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**Supplemental Information—Benzo[a]pyrene**

Assay/test system	Result		Reference
	+S9	-S9	
Rat hepatocytes	ND	+	<a href="#">Michalopoulos et al. (1978)</a>
Rat tracheal cells	ND	-	<a href="#">Roggeband et al. (1994)</a>
<i>DNA repair</i>			
Human mammary epithelial cells	ND	+	<a href="#">Leadon et al. (1988)</a>
Human skin fibroblasts	ND	+	<a href="#">Milo et al. (1978)</a>
Baby hamster kidney (BHK21/c13) cells	ND	+	<a href="#">Feldman et al. (1978)</a>
secondary mouse embryo fibroblasts (C57BL/6) and human lymphocytes	ND	+	<a href="#">Shinohara and Cerutti (1977)</a>
Rat/F344 hepatocytes	ND	+	<a href="#">Williams et al. (1982)</a>
<b>Cytogenetic damage</b>			
<i>CAs</i>			
Human blood cells	ND	+	<a href="#">Salama et al. (2001)</a>
Human WI38 fibroblasts	+	-	<a href="#">Weinstein et al. (1977)</a>
Chinese hamster lung cells	+	-	<a href="#">Matsuoka et al. (1979)</a>
Chinese hamster V79-4 lung epithelial cells	-	-	<a href="#">Popescu et al. (1977)</a>
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	<a href="#">Arce et al. (1987)</a>
Rat Liver RL1 cells	+	ND	<a href="#">Dean (1981)</a>
<i>MN</i>			
Human AHH-1 lymphoblastoid cells	ND	+	<a href="#">Crofton-Sleigh et al. (1993)</a>
Human HepG2 liver cells	ND	+	<a href="#">Wu et al. (2003a)</a>
Human lymphoblastoid (TK) cells	ND	+	<a href="#">Fowler et al. (2010)</a>
Human MCL-5 lymphoblastoid cells	ND	+	<a href="#">Crofton-Sleigh et al. (1993)</a>
Human peripheral blood lymphocytes	+	ND	<a href="#">Lo Jacono et al. (1992)</a>
Chinese hamster V79 cells	ND	+	<a href="#">Whitwell et al. (2010)</a>
Chinese hamster V79-MZ cells	ND	+	<a href="#">Matsuoka et al. (1999)</a>
<i>DNA strand breaks</i>			
Human sperm	+	+	<a href="#">Sipinen et al. (2010)</a>
Human peripheral blood lymphocytes	+	+	<a href="#">Rodriguez-Romero et al. (2012)</a>
Human fibroblast (MRC5CV1) cell line	+	ND	<a href="#">Hanelt et al. (1997)</a>
Human hepatoma (HepG2) cell line	ND	+	<a href="#">Tarantini et al. (2009)</a>
Human prostrate carcinoma (DU145) cell line	ND	+	<a href="#">Nwagbara et al. (2007)</a>
Mouse embryo fibroblast (C3H/10T1/2 CL 8) cells	ND	+	<a href="#">Lubet et al. (1983)</a>
Rat C18 trachea epithelial cells	ND	+	<a href="#">Cosma and Marchok (1988); Cosma et al. (1988)</a>
Rat lymphocytes	ND	+	<a href="#">Gao et al. (1991)</a>
<i>SCEs</i>			

**Supplemental Information—Benzo[a]pyrene**

Assay/test system	Result		Reference
	+S9	-S9	
Human C-HC-4 and C-HC-20 hepatoma cells	ND	+	<a href="#">Abe et al. (1983b)</a> ; <a href="#">Abe et al. (1983a)</a>
Human diploid fibroblast (TIG-II) cell line	+	+	<a href="#">Huh et al. (1982)</a>
Human fibroblasts	ND	+	<a href="#">Juhl et al. (1978)</a>
Human blood cells	ND	+	<a href="#">Salama et al. (2001)</a>
Human peripheral blood lymphocytes	ND	+	<a href="#">Rudiger et al. (1976)</a>
Human peripheral blood lymphocytes	ND	+	<a href="#">Craig-Holmes and Shaw (1977)</a>
Human peripheral blood lymphocytes	ND	+	<a href="#">Schonwald et al. (1977)</a>
Human peripheral blood lymphocytes	ND	+	<a href="#">Wiencke et al. (1990)</a>
Human peripheral blood lymphocytes	+	-	<a href="#">Tohda et al. (1980)</a>
Human peripheral blood lymphocytes	+	ND	<a href="#">Lo Jacono et al. (1992)</a>
Chinese hamster Don-6 cells	ND	+	<a href="#">Abe et al. (1983b)</a> ; <a href="#">Abe et al. (1983a)</a>
Chinese hamster V79 lung epithelial cells	+	-	<a href="#">Popescu et al. (1977)</a>
Chinese hamster V79 lung epithelial cells	+	ND	<a href="#">Mane et al. (1990)</a>
Chinese hamster V79 lung epithelial cells	+	ND	<a href="#">Wojciechowski et al. (1981)</a>
Chinese hamster V79 lung epithelial cells	+	ND	<a href="#">Arce et al. (1987)</a>
Chinese hamster V79 lung epithelial cells	ND	+	<a href="#">Kulka et al. (1993a)</a>
CHO cells	+	-	<a href="#">de Raat (1979)</a>
CHO cells	+	-	<a href="#">Husgafvel-Pursiainen et al. (1986)</a>
CHO cells	ND	+	<a href="#">Wolff and Takehisa (1977)</a>
CHO cells	ND	+	<a href="#">Pal et al. (1978)</a>
Chinese hamster lung cells	ND	+	<a href="#">Shimizu et al. (1984)</a>
Rabbit peripheral blood lymphocytes	ND	+	<a href="#">Takehisa and Wolff (1978)</a>
Rat ascites hepatoma AH66-B	ND	+	<a href="#">Abe et al. (1983b)</a> ; <a href="#">Abe et al. (1983a)</a>
Rat esophageal tumor R1	ND	+	<a href="#">Abe et al. (1983b)</a> ; <a href="#">Abe et al. (1983a)</a>
Rat hepatocyte (immortalized) cell lines (NRL cl-B, NRL cl-C, and ARL)	+	ND	<a href="#">Kulka et al. (1993b)</a>
Rat hepatoma (Reuber H4-II-E) cells	ND	+	<a href="#">Dean et al. (1983)</a>
Rat liver cell line ARL18	ND	+	<a href="#">Tong et al. (1981)</a>
Rat pleural mesothelial cells	ND	+	<a href="#">Achard et al. (1987)</a>
<i>Aneuploidy</i>			
Chinese hamster V79-MZ cells	ND	+	<a href="#">Matsuoka et al. (1998)</a>
<i>Cell transformation</i>			
Human BEAS-2B lung cells	ND	+	<a href="#">van Agen et al. (1997)</a>
Human breast epithelial (MCF-10F, MCF-7, T24) cell lines	ND	+	<a href="#">Calaf and Russo (1993)</a>
Baby hamster kidney (BHK21/c13) cells	+	ND	<a href="#">Greb et al. (1980)</a>

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**Supplemental Information—Benzo[a]pyrene**

Assay/test system	Result		Reference
	+S9	-S9	
Golden hamster embryo cells	+	ND	<a href="#">Mager et al. (1977)</a>
Syrian hamster embryo (SHE) cells	ND	+	<a href="#">Dipaolo et al. (1971)</a> ; <a href="#">Dipaolo et al. (1969)</a>
SHE cells	ND	+	<a href="#">Dunkel et al. (1981)</a>
SHE cells	ND	+	<a href="#">Leboeuf et al. (1990)</a>
SHE cells/focus assay	ND	+	<a href="#">Casto et al. (1977)</a>
Fetal Syrian hamster lung cells	ND	+	<a href="#">Emura et al. (1987)</a> ; <a href="#">Emura et al. (1980)</a>
Virus infected rat embryo RLV/RE and RAT cells; mouse embryo AKR/Me cells; Syrian hamster embryo cells	ND	+	<a href="#">Heidelberger et al. (1983)</a>
Virus transformed SHE and mouse C3H10T1/2 cells	ND	+	<a href="#">Arce et al. (1987)</a>
Mouse C3H/10T1/2 embryo fibroblasts	ND	+	<a href="#">Nesnow et al. (2002)</a> ; <a href="#">Nesnow et al. (1997)</a>
Mouse embryo fibroblast (C3H/10T1/2 CL 8) cells	ND	+	<a href="#">Peterson et al. (1981)</a>
Mouse embryo fibroblast (C3H/10T1/2 CL 8) cells	ND	+	<a href="#">Lubet et al. (1983)</a>
Mouse SHE cells; BALB/c-3T3 cells; C3H/10T1/2 cells; prostate cells	ND	+	<a href="#">Heidelberger et al. (1983)</a>
Mouse BALB/c-3T3 cells	ND	+	<a href="#">Dunkel et al. (1981)</a>
Mouse BALB/c-3T3 cells	ND	+	<a href="#">Matthews (1993)</a>
Mouse BALB/c-3T3 clone A31-1-1	ND	+	<a href="#">Little and Vetrovs (1988)</a>
Rat/Fischer, embryo cells (leukemia virus transformed)	ND	+	<a href="#">Dunkel et al. (1981)</a>
Rat/Fischer, embryo cells/Oua <sup>R</sup>	ND	+	<a href="#">Mishra et al. (1978)</a>

- 1  
2 + = positive; - = negative; CHO = Chinese hamster ovary; ND = not determined; SHE = Syrian hamster embryo;  
3 TK = thymidine kinase.

1 **Table D-34. Studies of benzo[a]pyrene-induced genotoxicity in humans exposed to PAHs**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
Mutation	Human, hprt locus mutation assay in T lymphocytes	T-cells of lung cancer patients (smokers and nonsmokers from lung cancer patients and population controls with known smoking status) analyzed for hprt locus mutations.	+		Splicing mutations, base-pair substitutions, frameshift, and deletion mutations observed. Smokers and nonsmokers had GC→TA transversions (13 and 6%, respectively) and GC→AT transitions (24 and 35%, respectively) in hprt gene consistent with in vitro mutagenicity of benzo[a]pyrene.	<a href="#">Hackman et al. (2000)</a>
Mutation	Human, <i>K-ras</i> and <i>p53</i> mutations in tumor tissues	Lung tumors from 24 nonsmoking women who used smoky coal in their homes in Xuan Wei County, Yunnan Province, China. Mutations determined by multiplex PCR amplification and cycle-sequencing.	+		86% of KRAS mutations and 76% of TP53 mutations were G→T transversions.	<a href="#">Demarini et al. (2001)</a>
Mutation	Human, <i>K-ras</i> mutations in tumor tissues	Comparison of lung tumors or sputum samples from 102 lung cancer patients (41 nonsmoking women and 61 smoking men) who used smoky coal in their homes in Xuan Wei County, Yunnan Province, China, and 50 lung cancer patients (14 nonsmoking women, 33 smoking men, 3 nonsmoking men) from Beijing and Henan using natural gas in the home.	+		The frequency of nonsmoking women in Xuan Wei county with mutations (21.9%) and G→T transversions (66.7%) were similar to that of smoking men in Beijing and Henan (16.7% and 66.7%, respectively).	<a href="#">Keohavong et al. (2003)</a>

**Supplemental Information—Benzo[a]pyrene**

<b>Endpoint</b>	<b>Test system</b>	<b>Test conditions</b>	<b>Results</b>	<b>Dose</b>	<b>Comment</b>	<b>Reference</b>
BPDE-DNA adducts	Human, WBCs	96 people occupationally or medically exposed to PAH mixtures (psoriatic patients, coke oven workers, chimney sweeps, and aluminum anode plant workers); anti-BPDE-DNA adducts in lymphocyte plus monocyte fraction (LMF) measured by HPLC/fluorescence analysis.	+		Percentages of subjects with BPDE-DNA adduct levels greater than the 95th percentile control value were 47% (7/15) in coke oven workers and 21% (4/19) in chimney sweeps, compared to 3% (1/34) in controls.	<a href="#">Pavanello et al. (1999)</a>
BPDE-DNA adducts	Human, WBCs	95 male coke-oven workers from two plants were tested for GSTM1 polymorphisms and anti-BPDE-DNA adducts in lymphocyte plus monocyte fraction (LMF) measured by HPLC/fluorescence analysis.	+		Compared to GSTM1-active, GSTM1*0/*0 workers had significantly higher BPDE-DNA adducts (p=0.011); these were significantly related to exposures to PAHs (p<0.01) and to lack of GSTM1 (p<0.001) and not to other sources of exposure.	<a href="#">Pavanello et al. (2004)</a>
BPDE-DNA adducts	Human, WBCs	67 highly exposed coke oven workers were tested for genetic factors that can modulate individual responses to carcinogenic PAHs; adducts measured by HPLC/fluorescence analysis.	+		Levels of BPDE-DNA adducts were significantly associated with workplace PAH exposure (as correlated with urinary excretion of 1-pyrenol), lack of GSTM1 activity, and low nucleotide excision repair capacity.	<a href="#">Pavanello et al. (2005)</a>

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
BPDE-DNA adducts	Human, WBCs	585 Caucasian municipal workers (52% males, 20–62 years old) from northeast Italy environmentally exposed to PAH mixtures were screened for anti-BPDE-DNA adducts in lymphocyte plus monocyte fraction (LMF) measured by HPLC/fluorescence analysis.	+		42% of the participants had elevated anti-BPDE-DNA adduct levels, defined as >0.5 adducts/10 <sup>8</sup> nucleotides (mean, 1.28 ± 2.80 adducts/10 <sup>8</sup> nucleotides). Comparison of adduct levels with questionnaire responses indicated that smoking, frequent consumption of PAH-rich meals (>52 versus <52 times/yr), and long time periods spent outdoors (>4 versus <4 hrs/d) were risk factors as all increased BPDE-DNA adduct levels significantly.	<a href="#">Pavanello et al. (2006)</a>
BPDE-DNA adducts	Human, WBCs	39 male coke oven workers and 39 matched controls, smokers and non-smokers, exposed to PAHs for 6–8 hrs/d for at least 4–6 mo before blood collection; leukocyte DNA isolated and digested, and benzo[a]pyrene tetrols analyzed by HPLC with fluorescent detection. Low, medium, and high exposure groups correspond to <0.15, 0.15–4, and >4 mg/m <sup>3</sup> of benzo[a]pyrene, respectively.	+	<0.15, 0.15–4, or >4 µg/m <sup>3</sup> of benzo[a]pyrene	Anti-BPDE-DNA adducts detected in 51% of coke oven workers (mean 15.7±37.8/10 <sup>8</sup> nucleotides) vs. 18% non-exposed (mean 2.0±8.7/10 <sup>8</sup> nucleotides). Interindividual variation of adduct levels was 100-fold in workers and 50-fold in control; smokers had 3.5-fold more adducts than non-smokers.	<a href="#">Rojas et al. (1995)</a>

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
BPDE-DNA adducts	Human, WBCs	20 male coke oven workers, all smokers, were selected from workers studied in Rojas et al. (1995); workers were exposed to PAHs for 6–8 hrs/d for at least 4–6 mo before blood collection; leukocyte DNA isolated and digested, and benzo[a]pyrene tetrols analyzed by HPLC with fluorescent detection. Low, medium, and high exposure groups correspond to <0.15, 0.15–4, and >4 mg/m <sup>3</sup> of benzo[a]pyrene, respectively.	+	<0.15, 0.15–4, or >4 µg/m <sup>3</sup> of benzo[a]pyrene	Levels of anti-BPDE-DNA adducts significantly correlated with genotype: GSTM1*0/*0 + CYP1A1*2A/*2A or *2A/*2B >> GSTM1*0/*0 + CYP1A1*1/*1 or *1/*2A or *1/*2B >> GSTM1-active (no detectable adducts). Results correlated with adduct levels in non-tumorous lung tissues from 20 lung cancer patients.	<a href="#">Rojas et al. (1998)</a>
BPDE-DNA adducts	Human, WBCs	89 male coke oven workers and 44 power plant workers were exposed to PAHs for 6–8 hrs/d for at least 4–6 mo before blood collection; leukocyte DNA isolated and digested, and benzo[a]pyrene tetrols analyzed by HPLC with fluorescent detection. Low, medium, and high exposure groups correspond to <0.15, 0.15–4, and >4 mg/m <sup>3</sup> of benzo[a]pyrene, respectively.	+	<0.15, 0.15–4, or >4 µg/m <sup>3</sup> of benzo[a]pyrene	PAH exposure, CYP1A1 status and smoking significantly affected DNA adduct levels, i.e., CYP1A1(*1/*2 or *2A/*2a) > CYP1A1*1/*1; occupational > environmental exposure; smokers > nonsmokers; adducts increased with dose and duration of smoking.	<a href="#">Rojas et al. (2000)</a>
BPDE-DNA adducts	Human, WBCs	Coke oven workers were exposed to PAHs and benzo[a]pyrene-WBC DNA analyzed by HPLC-fluorescence detection for BPDE-DNA adducts.	±	0.14 µg/m <sup>3</sup>	Median detectable BPDE-DNA adducts in workers versus controls not significant (p=0.51) due to low number of subjects (9 workers, 26 controls); 4/9 workers had adducts substantially higher than all controls. No significant difference between smokers and nonsmokers; no correlation with air benzo[a]pyrene levels and adduct levels.	<a href="#">Mensing et al. (2005)</a>

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
BPDE-DNA adducts	Human, WBCs	35 iron foundry workers (12 nonsmokers and 23 smokers) and 10 matched controls (6 nonsmokers and 4 smokers) between August 1985 and May 1986; workers stratified according to job title and assigned exposure category. BPDE-DNA adducts measured by ELISA (immunoassay).	+	<0.05, 0.05–0.2, or >0.2 µg/m <sup>3</sup> of benzo[a]pyrene	Benzo[a]pyrene exposures significantly associated with adduct formation (p=0.0001). Low, medium, and high exposure groups all significantly elevated compared to controls; low group significantly higher than medium or high categories.	<a href="#">Perera et al. (1988)</a>
BPDE-DNA adducts	Human, WBCs from maternal and umbilical cord blood	Cohort study of 329 nonsmoking pregnant women exposed to emissions from fires during the 4 wks following the collapse of the WTC building in New York City on 09/11/2001; BPDE-DNA adducts measured by HPLC/fluorescence analysis.	+		BPDE-DNA adduct levels in cord and maternal blood were highest in study participants who lived within 1 mile of the WTC, with inverse correlation between cord blood levels and distance from WTC.	<a href="#">Perera et al. (2005b)</a> ; <a href="#">Perera et al. (2004)</a>
BPDE-DNA adducts	Human, WBCs from umbilical cord blood	164 pregnant women in NYC wearing personal air monitors during the third trimester; umbilical cord blood was screened for BPDE-DNA adducts and global DNA methylation levels using HPLC/fluorescence analysis.	±	50% above and 50% below median of 5.314 ng/m <sup>3</sup> (all PAHs including pyrene)	BPDE-DNA adducts were not significantly associated with individual PAH exposures, but did correlate with increased global DNA methylation.	<a href="#">Herbstman et al. (2012)</a>
BPDE-DNA adducts	Human, placenta	28 smoking (15) and nonsmoking (13) pregnant women with uncomplicated pregnancies; placental nuclei analyzed by immunoaffinity chromatography, HPLC/SFS and GC/MS to identify BPDE-DNA adducts.	+		BPDE-DNA adducts detected; no correlation with smoking history.	<a href="#">Manchester et al. (1988)</a>

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
BPDE-DNA adducts	Human, skin punch biopsies	10 eczema patients (3 males and 7 females) treated with coal tar ointment 2 times/day for 7–33 days were biopsied and DNA from skin analyzed by HPLC-fluorescence detection for BPDE-DNA adducts.	+	3–10% coal tar ointment	Presence of BPDE-DNA adducts significantly correlated with normal myeloperoxidase levels (wild-type MPO-463GG) compared to reduced levels in patients with the MPO-463AA/AG polymorphism	<a href="#">Rojas et al. (2001)</a>
BPDE-DNA adducts	Human, lung parenchyma	13 lung cancer patients (11 smokers, 2 exsmokers); nontumorous lung parenchyma analyzed by HPLC-fluorescence detection for anti- and syn-BPDE-DNA adducts.	+		Anti- and syn-BPDE-DNA adducts detected in 9 of 11 smokers and 2 of 2 exsmokers.	<a href="#">Alexandrov et al., 1992</a>
BPDE-DNA adducts	Human, lung tissues	39 lung cancer patients (26 smokers, 11 exsmokers, 2 nonsmokers); tumor and nontumor tissues (not specified) analyzed by <sup>32</sup> P-postlabelling and synchronous fluorescence spectrophotometry after immunoaffinity chromatography and HPLC to detect BPDE-DNA adducts.	+		Detectable adducts in 33/39 by postlabelling, 11/39 by SFS+IAC, and 6 of these 11 when adding HPLC. Significantly higher levels of adducts in heavy smokers; weak association between adducts and TP53 mutations.	<a href="#">Andreassen et al. (1996)</a>
BPDE-DNA adducts	Human, lung tissues	24 lung cancer patients (13 smokers, 11 nonsmokers); nontumorous lung tissues adjacent to tumor tissue analyzed for PAH-DNA adducts by <sup>32</sup> P-postlabeling and chromatographic co-migration with BPDE standard.	+		Putative BPDE-DNA adducts were significantly higher in smokers ( $1.5 \pm 1.0/10^8$ nucleotides) than nonsmokers ( $0.2 \pm 0.2/10^8$ nucleotides) ( $p < 0.001$ ); may be overestimation due to co-migration of other PAH adducts.	<a href="#">Godschalk et al. (2002)</a>

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**Table D-35. Non-human in vivo genotoxicity studies of benzo[a]pyrene**

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
Mutation, germline	Mouse, T-stock, (SEC × C57BL)F1, (C3H × 101)F1, or (C3H × C57BL)F1 for females; (101 × C3H)F1 or (C3H × 101)F1 for males; dominant-lethal mutation assay	12-wk-old males dosed with benzo[a]pyrene i.p. and mated 3.5–6.5 d posttreatment with 12-wk-old females from different stocks; sacrificed on d 12–15 after vaginal plug was observed; females kept in a 5-hr dark phase to synchronize ovulation 5 wks before the start of the experiment; fertilized eggs collected 9–11 hrs after mating and first-cleavage metaphase chromosomes prepared 20 hrs after mating.	+	500 mg/kg	The percent of dominant lethal mutations were in the order of T-stock = (C3H × 101)F1 > (SEC × C57BL)F1 > (C3H × C57BL)F1.	<a href="#">Generoso et al. (1979)</a>
Mutation, germline	Mouse, male stocks: (101 × C3H)F1; female stocks (A): (101 × C3H)F1, (B): (C3H × 101)F1, (C): (C3H × C57BL)F1, (D):(SEC × C57BL)F1, (E):T-stock females; dominant lethal mutations	In dominant lethal assay, 12-wk-old males dosed i.p. with benzo[a]pyrene and mated with 10–12-wk-old (#1) stock A females; or (#2) stock B females on the day of dosing; or with (#3a) with stocks B, C, and D females 3.5–7.5 d postdosing, or with (#3b) with stocks B, C, D, and E females 3.5–6.5 d postdosing. Control group mated at time corresponding to 1.5–4.5 d posttreatment in the test groups.	+	500 mg/kg	Dominant lethal effects were observed in early to middle (4.5–5.5 and 6.5–7.5 d posttreatment, respectively) spermatozoa and in preleptotene spermatocytes (32.5–33.5 and 34.5–35.5 d posttreatment).	<a href="#">Generoso et al. (1982)</a>
Mutation, germline	Mouse, male stocks: (101 × C3H)F1; female stocks (A): (101 × C3H)F1, (B): (C3H × 101)F1, (C): (C3H × C57BL)F1, (D): (SEC × C57BL)F1, (E): T-stock females; heritable translocations	For heritable translocation assay, males were mated with stocks B and D females 3.5–7.7 d post-benzo[a]pyrene treatment and male progeny screened for translocation heterozygosity.	–	500 mg/kg	No significant differences were observed between treated and control progeny.	<a href="#">Generoso et al. (1982)</a>

**Supplemental Information—Benzo[a]pyrene**

<b>Endpoint</b>	<b>Test system</b>	<b>Test conditions</b>	<b>Results</b>	<b>Dose</b>	<b>Comment</b>	<b>Reference</b>
Mutations and BPDE-DNA adducts, germline	Mouse, C57BL/6, <i>cII</i> transgenic (Big Blue®)	Benzo[a]pyrene administered i.p. in corn oil on d 0, 1, and 2; sacrificed at d 4, 16, 30, 44, or 119. Caput and cauda epididymal spermatozoa analyzed for <i>cII</i> mutation frequency, and DNA adducts analyzed in testis by liquid chromatography-MS/MS selected reaction monitoring with <sup>15</sup> N-deoxyguanosine labeling.	+	50 mg/kg	Exposed spermatocytes acquired persistent BPDE-DNA adducts; exposed spermatogonia gave rise to spermatocytes with mutations consistent with a benzo[a]pyrene spectrum (GC>TA transversions).	<a href="#">Olsen et al. (2010)</a>
Mutations and BPDE-DNA adducts, germline	Mouse, C57BL/6 males, WT and <i>Xpc</i> <sup>-/-</sup> with pUR288 <i>lacZ</i> reporter gene	Benzo[a]pyrene given via gavage in sunflower oil 3 times/wk for 1, 4, or 6 wks ( <i>Xpc</i> <sup>-/-</sup> ) or 6 wks (WT). Spleen, testis, and sperm cells analyzed for <i>lacZ</i> mutation frequency, and DNA adducts analyzed in testis by [ <sup>32</sup> P]-postlabeling.	+	13 mg/kg	Statistically significant increases in <i>lacZ</i> mutation frequencies in <i>Xpc</i> <sup>-/-</sup> spleen at 4 and 6 wks (dose dependent) and in WT spleen and sperm at 6 wks; DNA adducts were statistically significant in testis in all exposed groups.	<a href="#">Verhofstad et al. (2011)</a>
Mutations and BPDE-DNA adducts	Mouse, C57BL/6 <i>lacZ</i> transgenic	Mice dosed with single i.p. injection of benzo[a]pyrene in DMSO; sacrificed 1, 3, 5, 7, 14, 21, and 28 d posttreatment; spleen, lung, liver, kidney, and brain collected, DNA isolated and analyzed for mutations in <i>lacZ</i> reporter gene in <i>E. coli</i> and adducts by [ <sup>32</sup> P]-postlabeling assay.	+	50 mg/kg	BPDE-dG adduct levels peaked between 5 and 7 d posttreatment, followed by gradual decline; rate of removal highest in lung, liver, and spleen and lowest in kidney and brain; mutant frequencies peaked between 7 and 14 d in lung, spleen, liver, and kidney; brain was not significant at any time point.	<a href="#">Boerrigter (1999)</a>

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Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
Mutation	Mouse, C57BL female × T-strain male; somatic mutation assay	Mice mated for a 5-d period; 10.25 d post-appearance of vaginal plug, females injected i.p. with benzo[a]pyrene or vehicle; offspring (pups) scored for survival, morphology, and presence of white near-midline ventral spots and recessive spots.	+	100 or 500 mg/kg	Induced coat color mosaics represent genetic changes (e.g., point mutations) in somatic cells. White near-midline ventral spots and recessive spots represent melanocyte cell killing and mutagenicity, respectively. Benzo[a]pyrene caused high incidence of recessive spots but did not correlate with white near-midline ventral spots.	<a href="#">Russell (1977)</a>
Mutation	Mouse, <i>lacZ</i> transgenic (Muta™Mouse)	Benzo[a]pyrene given via gavage in olive oil daily for 28 consecutive d; sacrificed 3 d after last dosing; four organs analyzed for <i>lacZ</i> mutation frequency.	+	25, 50, and 75 mg/kg-d	Highest <i>lacZ</i> mutation frequency observed in small intestine, followed by bone marrow, glandular stomach, and liver.	<a href="#">Lemieux et al. (2011)</a>
Mutation	Mouse, <i>lacZ</i> transgenic (Muta™Mouse)	Benzo[a]pyrene given orally in corn oil for 5 consecutive d; sacrificed 14 d after last dosing; 11 organs analyzed for <i>lacZ</i> mutation frequency.	+	125 mg/kg-d	Highest mutation frequency observed in colon followed by ileum > forestomach > bone marrow = spleen > glandular stomach > liver = lung > kidney = heart.	<a href="#">Hakura et al. (1998)</a>
Mutation	Mouse, C57BL/6J <i>Dlb-1</i> congenic; <i>Dlb-1</i> locus assay	Animals dosed: (1) i.p. with vehicle or benzo[a]pyrene two, four, or six doses at 96-hr intervals; or (2) single dose of benzo[a]pyrene given i.p. or orally alone or 96 hrs following a single i.p. dosing with 10 µg/kg TCDD.	+	40 mg/kg	Benzo[a]pyrene caused a dose-dependent increase in mutant frequency; i.p. route showed higher mutant frequency than oral route; induction of mutations were associated with Ah-responsiveness.	<a href="#">Brooks et al. (1999)</a>
Mutation	Mouse, C57BL/6 ( <i>lacZ</i> negative and <i>XPA</i> <sup>+/+</sup> and <i>XPA</i> <sup>-/-</sup> ); hprt mutations in T lymphocytes	Gavage in corn oil 3 times/wk for 0, 1, 5, 9, or 13 wks; sacrificed 7 wks after last treatment.	+	13 mg/kg	Mutation sensitivity: <i>XPA</i> <sup>-/-</sup> > <i>XPA</i> <sup>+/+</sup> .	<a href="#">Bol et al. (1998)</a>

*This document is a draft for review purposes only and does not constitute Agency policy.*

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
Mutation	Mouse, Cockayne syndrome-deficient ( <i>Csb</i> <sup>-/-</sup> ); heterozygous ( <i>Csb</i> <sup>+/-</sup> ) and WT controls ( <i>Csb</i> <sup>+/+</sup> ); hprt mutation frequency assay	<i>Csb</i> <sup>-/-</sup> / <i>lacZ</i> <sup>+/-</sup> and <i>Csb</i> <sup>+/-</sup> / <i>lacZ</i> <sup>+/-</sup> mice were dosed i.p. with benzo[a]pyrene 3 times/wk for 5, 9, or 13 wks; for hprt mutation frequency analysis mice were sacrificed 3 wks after last treatment; splenocytes collected; for <i>lacZ</i> mutation frequency analysis, mice were sacrificed 3 d after last treatment and liver, lung, and spleen were collected.	+	13 mg/kg	<i>lacZ</i> mutation frequency detected in all tissues but no differences between WT and <i>Csb</i> <sup>-/-</sup> mice; hprt mutations significantly higher in <i>Csb</i> <sup>-/-</sup> mice than control mice. BPDE-dGuo adducts in hprt gene are preferentially removed in WT mice than <i>Csb</i> <sup>-/-</sup> mice.	<a href="#">Wijnhoven et al. (2000)</a>
Mutation	Mouse, B6C3F <sub>1</sub> , forestomach <i>H-ras</i> , <i>K-ras</i> , and <i>p53</i> mutations	Benzo[a]pyrene given in feed in a 2-yr chronic feeding study.	+	5, 25, or 100 ppm	68% <i>K-ras</i> (codons 12, 13), 10% <i>H-ras</i> (codon 13), 10% <i>p53</i> mutations; all G→T transversions.	<a href="#">Culp et al. (2000)</a>
Mutation	Mouse, <i>lacZ/galE</i> (Muta™ Mouse); skin painting study	Mice topically treated with a single dose or in five divided doses daily; sacrificed 7 or 21 d after the single or final treatment; DNA from skin, liver, and lung analyzed for mutations.	+ <sup>Sk</sup> or - <sup>Li,Lu</sup>	1.25 or 2.5 mg/kg (25 or 50 µg/mouse)	Skin showed significant dose- and time-dependent increase in mutation frequency; liver and lung showed no mutations; mutation frequency for single- or multiple-dose regimens was similar.	<a href="#">Dean et al. (1998)</a>
Mutation	Mouse, T-strain	Benzo[a]pyrene given to pregnant mice by gavage in 0.5 mL corn oil on GDs 5–10.	+	10 mg/mouse (5 × 2 mg)		<a href="#">Davidson and Dawson (1976)</a>
Mutation	Mouse, 129/Ola (WT); hprt mutations in splenic T lymphocytes	Single i.p. injection followed by sacrifice 7 wks posttreatment.	+	0, 50, 100, 200, or 400 mg/kg	Dose-dependent increase in hprt mutation frequency.	<a href="#">Bol et al. (1998)</a>
Mutation	Mouse, A/J, male	Single i.p. injection followed by sacrifice 28 days posttreatment.	+	0, 0.05, 0.5, 5, or 50 mg/kg	Dose-dependent increase in lung tissue <i>K-ras</i> codon 12 G→T mutation frequency.	<a href="#">Meng et al. (2010)</a>

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
Mutation	Mouse, CD-1; skin papillomas (Ha- <i>ras</i> mutations)	Female mice were initiated topically with a single dose of benzo[a]pyrene and 1 wk after initiation promoted twice weekly with 5 nmol TPA for 14 wks. One month after stopping TPA application, papillomas were collected and DNA from 10 individual papillomas was analyzed for Ha- <i>ras</i> mutations by polymerase chain reaction and direct sequencing.	+	600 nmol/mouse	About 90% of papillomas contained Ha- <i>ras</i> mutations, all of them being transversions at codons 12 (20% GGA→GTA), 13 (50% GGC→GTC), and 61 (20% CAA→CTA).	<a href="#">Colapietro et al. (1993)</a>
Mutation	Rat, Wistar	Single dose by gavage; urine and feces collected 0–24, 24–48, and 48–72 hrs posttreatment; urine and extracts of feces tested in <i>S. typhimurium</i> TA100 strain with or without S9 mix and β-glucuronidase.	+	0, 1, 5, 10, or 100 mg/kg	Fecal extracts and urine showed mutagenicity ≥1 and 10 mg/kg body weight benzo[a]pyrene, respectively. Highest mutagenic activity observed for 0–24 hrs posttreatment for feces and 24–48 hrs posttreatment for urine with β-glucuronidase ± S9 mix.	<a href="#">Willems et al. (1991)</a>
BPDE-DNA adducts	Mouse, <i>lacZ</i> transgenic (Muta™Mouse)	Benzo[a]pyrene given via gavage in olive oil daily for 28 consecutive d; sacrificed 3 d after last dosing; four organs analyzed for DNA adducts using [ <sup>32</sup> P]-postlabeling with nuclease P1 digestion enrichment.	+	25, 50, and 75 mg/kg-day	Highest adduct levels observed in liver, followed by glandular stomach, small intestine, and bone marrow.	<a href="#">Lemieux et al. (2011)</a>
BPDE-DNA adducts	Mouse, ( <i>Ahr</i> +/, <i>Ahr</i> +/-, <i>Ahr</i> -/-)	Gavage; sacrificed 24 hrs posttreatment.	+	100 mg/kg	No induction of CYP in <i>Ahr</i> -/-, but all alleles positive for adduct formation.	<a href="#">Sagredo et al. (2006)</a>
BPDE-DNA adducts	Mouse, C57BL/6J <i>Cyp1a1</i> (+/-) and <i>Cyp1a1</i> (-/-)	Single i.p. injection; sacrificed 24 hrs posttreatment; liver DNA analyzed by [ <sup>32</sup> P]-postlabeling assay.	+	500 mg/kg	BPDE-DNA adduct levels 4-fold higher in <i>Cyp1a1</i> (-/-) mice than <i>Cyp1a1</i> (+/-) mice.	<a href="#">Uno et al. (2001)</a>

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
BPDE-DNA adducts	Mouse, B6C3F <sub>1</sub>	Benzo[a]pyrene fed in diet for 4 wks (100 ppm) or for 1, 2, 8, 16, and 32 wks (5 ppm); sacrificed and liver, lungs, forestomach, and small intestine collected; DNA analyzed by [ <sup>32</sup> P]-postlabeling assay.	+	5 ppm (32 wks) and 100 ppm (4 wks)	Linear dose-response in 4-wk study; the 5 ppm groups showed a plateau after 4 wks of feeding.	<a href="#">Culp et al. (2000)</a>
BPDE-DNA adducts	Mouse, BALB/c	Single i.p. injection; sacrificed 12 hrs postinjection; liver and forestomach collected; DNA binding of [ <sup>3</sup> H]-benzo[a]pyrene analyzed by scintillation counting.	+	140 µCi/100 g body weight	Liver DNA had 3-fold higher binding of benzo[a]pyrene than that of forestomach.	<a href="#">Gangar et al. (2006)</a>
BPDE-DNA adducts	Mouse, BALB/cAnN (BALB), CBA/JN (CBA); [ <sup>32</sup> P]-postlabeling assay	Animals dosed i.p. with or without 24-hr pretreatment with TCDD.	+	50 and 200 mg/kg	Adduct levels similar in both strains dosed with benzo[a]pyrene alone. TCDD pretreatment had a greater suppressive effect on adduct formation in BALB relative to CBA mice at low dose but resulted in no significant difference in adduct levels at high dose.	<a href="#">Wu et al. (2008)</a>
BPDE-DNA adducts	Mouse, BALB/c, skin	Four doses of benzo[a]pyrene topically applied to the shaved backs of animals at 0, 6, 30, and 54 hrs; sacrificed 1 d after last treatment; DNA analyzed by [ <sup>32</sup> P]-postlabeling assay.	+	4 × 1.2 µmol/animal	Five adducts spots detected.	<a href="#">Reddy et al. (1984)</a>
BPDE-DNA adducts	Mouse, Swiss, epidermal and dermal skin	Single topical application on shaved backs; sacrificed 1, 3, and 7 d posttreatment; epidermal and dermal cells separated; DNA isolated, digested with DNaseI, and estimated DNA binding; adducts separated by HPLC.	+	250 nmol in 150 µL acetone	Both cells positive for benzo[a]pyrene adducts; epidermis > dermis; adducts persisted up to 7 d with a gradual decline in levels.	<a href="#">Oueslati et al. (1992)</a>

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<b>Endpoint</b>	<b>Test system</b>	<b>Test conditions</b>	<b>Results</b>	<b>Dose</b>	<b>Comment</b>	<b>Reference</b>
BPDE-DNA adducts	Rat, CD, peripheral blood lymphocytes, lungs, and liver	Single i.p. injection; sacrificed 3 d posttreatment; DNA analyzed by Nuclease P1-enhanced [ <sup>32</sup> P]-postlabeling assay.	+	2.5 mg/animal	BPDE-dG as major adducts and several minor adducts detected in all tissues.	<a href="#">Ross et al. (1991)</a>
BPDE-DNA adducts	Rat, Sprague-Dawley, liver	Single i.p. injection followed by sacrifice at 4 hrs posttreatment; liver DNA isolated and analyzed by [ <sup>32</sup> P]-postlabeling assay.	+	100 mg/kg	Two adduct spots detected.	<a href="#">Reddy et al. (1984)</a>
BPDE-DNA adducts	Rat, Lewis, lung and liver	Animals received a single oral dose of benzo[a]pyrene in tricaprylin; sacrificed 1, 2, 4, 11, and 21 d postdosing; analyzed liver and lung DNA for BP-DNA adducts by [ <sup>32</sup> P]-postlabeling assay and urine for 8-oxo-7,8-dihydro-2'-deoxyguanosine adducts by HPLC-electrochemical detection.	+	10 mg/kg	BPDE-dG levels peaked 2 d after exposure in both tissues, higher in lungs than liver at all time points, decline faster in liver than lung; Increased 8-oxo-7,8-dihydro-2'-deoxyguanosine levels in urine and decreased levels in liver and lung.	<a href="#">Briedé et al. (2004)</a>
BPDE-DNA adducts	Rat, F344; [ <sup>32</sup> P]-postlabeling assay	Benzo[a]pyrene given in the diet for 30, 60, or 90 d; animals sacrificed and liver and lung isolated and DNA extracted and analyzed for adducts.	+	0, 5, 50, or 100 mg/kg	Adduct levels linear at low and intermediate doses, nonlinear at high dose.	<a href="#">Ramesh and Knuckles (2006)</a>
BPDE-DNA adducts	Rat, Wistar; liver and peripheral blood lymphocyte adducts	Single dose by gavage; sacrificed 24 hrs postdosing; peripheral blood lymphocytes and liver DNA analyzed by [ <sup>32</sup> P]-postlabeling for BPDE-DNA adducts.	+	0, 10, or 100 mg/kg	At 100 mg/kg dose, total adduct levels in peripheral blood lymphocytes were 2-fold higher than the levels in liver; adduct profiles differed between peripheral blood lymphocytes and liver.	<a href="#">Willems et al. (1991)</a>

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Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
CAs	Mouse, C57 (high AHH inducible) and DBA (low AHH inducible) strains; 11-d-old embryos; adult bone marrows	Study used four matings (female × male): C57 × C57; DBA × DBA; C57 × DBA; and DBA × C57; pregnant mice treated orally on GD 11 with benzo[a]pyrene; sacrificed 15 hrs posttreatment; material liver, bone marrow and placenta and embryos collected; male mice dosed similarly and bone marrows collected; individual embryo cell suspensions and bone marrow preparations scored for CAs. Tissue AHH activity measured.	+	150 mg/kg	Levels of CAs: hybrid embryos > homozygous DBA embryos > homozygous C57 embryos; tissue AHH activity: C57 mothers and their embryos > DBA females and their homozygous embryos. No quantitative correlation between benzo[a]pyrene-induced CAs and AHH inducibility. No differences in bone marrow mitotic index of males of different strains between control and treatment groups.	<a href="#">Adler et al. (1989)</a>
CAs	Mouse, 1C3F1 hybrid (101/E1 × C31 × E1)F1; CAs in bone marrow	Single dose by gavage; sacrificed 30 hrs postdosing; bone marrow from femur isolated and analyzed for CAs.	+	63 mg/kg	Significant increase in CAs in benzo[a]pyrene-treated animals compared to controls.	<a href="#">Adler and Ingwersen (1989)</a>
CAs	Rat, Wistar; peripheral blood lymphocytes	Single dose by gavage; sacrificed 6, 24, and 48 hrs posttreatment; blood from abdominal aorta collected, whole blood cultures set up, CAs scored in 100 first-division peripheral blood lymphocytes per animal.	-	0, 10, 100, or 200 mg/kg	No difference between control and treatment groups at any dose or at any sampling time observed.	<a href="#">Willems et al. (1991)</a>
CAs	Hamster; bone marrow	Single, i.p. injection of benzo[a]pyrene dissolved in tricapyryline; animals sacrificed 24 hrs post-exposure.	+	25, 50, or 100 mg/kg	Benzo[a]pyrene induced CAs at 50 mg/kg body weight only, with negative responses at the low and high dose.	<a href="#">Bayer (1978)</a>
MN	Mouse, <i>lacZ</i> transgenic (Muta <sup>TM</sup> Mouse)	Benzo[a]pyrene given via gavage in olive oil daily for 28 consecutive d; blood samples were collected 48 h after last dose; percent of PCEs and NCEs reported.	+	25, 50, and 75 mg/kg-d	Statistically significant, dose-dependent increases in percent of PCEs and NCEs at all doses.	<a href="#">Lemieux et al. (2011)</a>

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Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
MN	Mouse, CD-1 and BDF1; bone marrow	Dosed orally once, twice, or thrice at 24-hr intervals; sacrificed 24 hrs after last treatment.	+	250, 500, 1,000, or 2,000 mg/kg	Significant increase at all doses; no dose-response; double dosing at 500 mg/kg dose gave best response.	<a href="#">Shimada et al. (1990)</a>
MN	Mouse, CD-1 and BDF1, peripheral blood reticulocytes	Given single i.p injection; tail blood collected at 24-hr intervals from 0 to 72 hrs.	+	62.5, 125, 250, or 500 mg/kg	Maximum response seen at 48 hrs posttreatment.	<a href="#">Shimada et al. (1992)</a>
MN	Mouse, ICR [Hsd: (ICR)Br]	Benzo[a]pyrene was heated in olive oil and given orally as a single dose; males, females, and pregnant mothers used; pregnant mice dosed on GDs 16–17 and sacrificed on GDs 17–18; micronuclei evaluated in adult bone marrow and fetal liver.	+	150 mg/kg	All groups significantly higher than controls for MN; fetal liver more sensitive than any other group.	<a href="#">Harper et al. (1989)</a>
MN	Mouse, Swiss albino; bone marrow	Given orally in corn oil; sacrificed 24 hrs post-exposure.	+	75 mg/kg		<a href="#">Koratkar et al. (1993)</a>
MN	Mouse, Swiss; bone marrow polychromatic erythrocytes	Given by gavage and sacrificed 36 hrs posttreatment.	+	75 mg/kg		<a href="#">Rao and Nandan (1990)</a>
MN	Mouse, CD-1 and MS/Ae strains	i.p. and oral administration.	+	62.5, 125, 250, or 500 mg/kg	Good dose-response by both routes, strains; i.p. better than oral; MS/Ae strain more sensitive than CD-1 strain.	<a href="#">Awogi and Sato (1989)</a>
MN	Mouse, BDF1, bone marrow	Male and female mice aged 12–15 wks given single i.p. injection of benzo[a]pyrene or corn oil; sacrificed 24, 48, and 72 hrs posttreatment; bone marrow smears prepared, stained with May-Grunwald-Giemsa technique and scored for MN PCEs.	+	0, 25, 50, or 60 mg/kg	Positive at all doses, time points, and sexes tested. Dose-dependent increase in MN observed in both sexes; males responded better than females; highest positive response observed at 72 hrs postinjection.	<a href="#">Balansky et al. (1994)</a>

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Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
MN	Mouse, HRA/Skh hairless, keratinocytes	Single topical application.	+	0.5, 5, 50, 100, or 500 mg/mouse		<a href="#">He and Baker (1991)</a>
MN	Mouse, HOS:HR-1, hairless; skin micronuclei	Topical application once daily for 3 d; sacrificed 24 hrs after last treatment.	+	0.4, 1, 2, or 4 mg		<a href="#">Nishikawa et al. (2005)</a>
MN	Mouse, HR-1 hairless, skin (benzo[a]pyrene with slight radiation)		+		Exposure to sunlight simulator to evaluate photogenotoxicity and chemical exposure.	<a href="#">Hara et al. (2007)</a>
MN	Rat, Sprague-Dawley, peripheral blood reticulocytes	Given single i.p injection; tail blood collected at 24-hr intervals from 0 to 96 hrs.	+	62.5, 125, 250, 500, or 1,000 mg/kg	Maximum response seen at 72 hrs posttreatment.	<a href="#">Shimada et al. (1992)</a>
MN	Rat, Sprague-Dawley, pulmonary alveolar macrophages	Intratracheal instillation, once/day for 3 d.	+	25 mg/kg		<a href="#">De Flora et al. (1991)</a>
MN	Rat, Sprague-Dawley, bone marrow cells	Intratracheal instillation, once/day for 3 d.	-	25 mg/kg		<a href="#">De Flora et al. (1991)</a>
MN	Hamster; bone marrow	Single, i.p. injection of benzo[a]pyrene dissolved in tricapylin; animals sacrificed 30 hrs post-exposure.	-	100, 300, or 500 mg/kg		<a href="#">Bayer (1978)</a>
MN	Fish (carp, rainbow trout, clams); blood and hemolymph		+	0.05, 0.25, 0.5, or 1 ppm		<a href="#">Kim and Hyun (2006)</a>

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Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
DNA strand breaks	Rat, Sprague-Dawley; comet assay	Instilled intratracheally with: (1) single dose of benzo[a]pyrene in aqueous suspension; sacrificed at 3, 24, and 48 hrs posttreatment; alveolar macrophages, lung cells, lymphocytes, and hepatocytes collected or (2) dose-response study and sacrificed at 24 hrs posttreatment; lungs collected; controls received normal saline instillation; all cells analyzed by comet assay.	+	Experiment #1: 3 mg of benzo[a]pyrene; Experiment #2: dose-response study with 0.75, 1.5, or 3 mg benzo[a]pyrene	All time points showed significant increase in SSBs (Experiment #1); a dose-response in SSBs was observed (Experiment #2).	<a href="#">Garry et al. (2003a)</a> ; <a href="#">Garry et al. (2003b)</a>
DNA strand breaks	Aquatic organisms: carp ( <i>Cyprinus carpio</i> ), rainbow trout ( <i>Oncorhynchus mykiss</i> ), and clams ( <i>Spisula sachalinensis</i> ); Comet assay	All organisms acclimatized in tanks for 2 d, water changed every 24 hrs; exposed to benzo[a]pyrene in DMSO in a tank; one-third volume of tank contents changed every 12 hrs; organisms sacrificed at 24, 48, 72, and 96 hrs posttreatment; cell suspensions prepared from liver (carp and trout) or digestive gland (clam) for comet assay.	+	0.05, 0.25, 0.5, and 1 ppm	Significant dose-response for strand breaks observed; carp and trout liver showed highest response at 48 hrs and clam digestive gland showed time-dependent increase at highest concentration.	<a href="#">Kim and Hyun (2006)</a>
DNA strand breaks	Rat, Brown Norway	UDS determined after 5 and 18 hrs of a single intragastric dosing.	-	62.5 mg/kg	Negative at both time points.	<a href="#">Mullaart et al. (1989)</a>
UDS	Rat, F344	Single i.p. injection of benzo[a]pyrene or DMSO; sacrificed at 2 or 12 hrs post-exposure; liver isolated, hepatocyte cultures were set up and incubated with 10 mCi/mL [ <sup>3</sup> H]-thymidine for 4 hrs; washed and autoradiography performed.	-	100 mg/kg	Benzo[a]pyrene was negative at both time points.	<a href="#">Mirsalis et al. (1982)</a>

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Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
UDS	Mouse, HOS:HR-1 hairless; skin	Single topical application on two spots on the backs after stripping stratum corneum with adhesive tape to enhance penetration; sacrificed 24 hrs posttreatment, skin isolated [ <sup>3</sup> H]-thymidine; cultured; epidermal UDS measured.	+	0, 0.25, 0.5, and 1% (w/v) in acetone	UDS index showed a dose-dependent increase up to 0.5% benzo[a]pyrene dose and then plateaued.	<a href="#">Mori et al. (1999)</a>
UDS	Rat, Brown Norway; liver	Single intragastric injection; sacrificed at 5 and 18 hrs post-injection.	-	62.5 mg/kg	Benzo[a]pyrene was negative at both time points.	<a href="#">Mullaart et al. (1989)</a>
UDS	Mouse, (C3Hf × 101)F1 hybrid, germ cells	i.p. injection of benzo[a]pyrene; [ <sup>3</sup> H]-thymidine injection later.	-	0.3 mL	Concentration not specified.	<a href="#">Sega (1979)</a>
UDS	Mouse, early spermatid	i.p. injection.	-	250–500 mg/kg	Reviewed by <a href="#">Sotomayor and Sega (2000)</a> .	<a href="#">Sega (1982)</a>
SCEs	Hamster; SCEs in bone marrow	8–12-wk-old animals dosed with two i.p. injections of benzo[a]pyrene given 24 hrs apart; animals sacrificed 24 hrs after last treatment; bone marrow from femur isolated and metaphases analyzed.	+	450 mg/kg	Significant increase in metaphase SCEs in benzo[a]pyrene-treated animals compared to vehicle-treated controls.	<a href="#">Roszinsky-Koecher et al. (1979)</a>
SCEs	Hamster	Animals implanted subcutaneously (s.c.) with BrdU tablet; 2 hrs later given phorone (125 or 250 mg/kg) i.p.; another 2 hrs later dosed i.p. with benzo[a]pyrene; 24 hrs post-BrdU dosing, animals injected with colchicine 10 mg/kg body weight, sacrificed 2 hrs later; bone marrow from femur prepared for SCE assay.	+	50 or 100 mg/kg	SCEs increased with low dose of phorone significantly.	<a href="#">Bayer et al. (1981)</a>
SCEs	Hamster; fetal liver	i.p. injection to pregnant animals on GDs 11, 13, or 15; fetal liver SCEs were analyzed.	+	50 and 125 mg/kg	Produced doubling of SCE frequency.	<a href="#">Pereira et al. (1982)</a>
SCEs	Hamster; bone marrow	Not available	+	2.5, 25, 40, 50, 75, or 100 mg/kg	Frequency of SCEs increased ≥40 mg/kg body weight.	<a href="#">Bayer (1978)</a>

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
SCEs	Mouse, DBA/2 and C57BL/6, bone marrow cells	Two intragastric injections given; mice implanted with BrdU tablets, sacrificed on d 5, SCEs estimated.	+	10 or 100 mg/kg	SCEs and benzo[a]pyrene-DNA adducts in the order of C57BL/6 (AHH-inducible) < DBA/2 (AHH-noninducible).	<a href="#">Wielgosz et al. (1991)</a>
SCEs	Mouse, DBA/2 and C57BL/6, splenic lymphocytes	Two intragastric injections given; mice killed on 5th day and cells cultured for 48 hrs with BrdU.	+	10 or 100 mg/kg	SCEs and benzo[a]pyrene-DNA adducts in the order of C57BL/6 (AHH-inducible) < DBA/2 (AHH-noninducible).	<a href="#">Wielgosz et al. (1991)</a>
SCEs	Rat, Wistar; peripheral blood lymphocytes	Single dose by gavage; sacrificed 6, 24, and 48 hrs posttreatment; blood from abdominal aorta collected, whole blood cultures set up, SCEs scored in 50 second-division metaphases in peripheral blood lymphocytes per animal.	+	0, 10, 100, or 200 mg/kg	Linear dose-response at any sampling time; however, significant at the highest dose only; no interaction between dose and sampling time.	<a href="#">Willems et al. (1991)</a>
Mutation	<i>Drosophila melanogaster</i> , sex-linked recessive lethal test	<i>Basc</i> males exposed to benzo[a]pyrene were mated with virgin females of Berlin K or <i>mei-9<sup>L1</sup></i> strains.	±	10 mM	Data inconclusive due to low fertility rates of <i>mei-9<sup>L1</sup></i> females.	<a href="#">Vogel et al. (1983)</a>
Mutation	<i>D. melanogaster</i> , sex-linked recessive lethal test	Adult Berlin males treated orally with benzo[a]pyrene.	+	5 or 7.5 mM	Low mutagenic activity.	<a href="#">Vogel et al. (1983)</a>
Mutation	<i>D. melanogaster</i> , Berlin-K and Oregon-K strains; sex-linked recessive lethal test	Benzo[a]pyrene dissolved in special fat and injected into the abdomen of flies.	-	2 or 5 mM	Negative at both doses.	<a href="#">Zijlstra and Vogel (1984)</a>
Mutation	<i>D. melanogaster</i> , sex-linked recessive lethal test	Male Berlin K larvae treated with benzo[a]pyrene for 9–11 d.	+	0.1–4 mM	3-Fold enhancement in lethals in treated versus controls.	<a href="#">Vogel et al. (1983)</a>

**Supplemental Information—Benzo[a]pyrene**

Endpoint	Test system	Test conditions	Results	Dose	Comment	Reference
Mutation	<i>D. melanogaster</i> , Canton-S (WT) males, FM6 (homozygous for an X-chromosome) females; sex-linked recessive lethal test	Adult male flies were fed on filters soaked in benzo[a]pyrene for 48 or 72 hrs; treated and control males mated with FM6 <sup>a</sup> females, males transferred to new groups of females at intervals of 3, 2, 2, and 3 d; four broods obtained; a group of 100 daughters of each male were mated again; scored for percent lethal.	–	250 or 500 ppm	Authors report incomplete dissolution of benzo[a]pyrene in DMSO as a possible cause of negative result.	<a href="#">Valencia and Houtchens (1981)</a>
Mutation	<i>D. melanogaster</i> ; somatic mutation, eye color mosaicism	Fifty females and 20 females were mated in a culture bottle for 48 hrs allowing females to oviposit; adults were then discarded and the eggs were allowed to hatch; larvae fed on benzo[a]pyrene deposited on food surface and the emerging adult males were scored for mosaic eye sectors.	+	1, 2, or 3 mM	Benzo[a]pyrene was effective as a mutagen; no dose-response observed.	<a href="#">Fahmy and Fahmy (1980)</a>
Cell transformation	Hamster, LVG:LAK strain (virus free); transplacental host-mediated assay	Pregnant animals dosed i.p. with benzo[a]pyrene on GD 10; sacrificed on GD 13, fetal cell cultures prepared, 10 × 10 <sup>6</sup> cells/plate; 5 d post-culture trypsinized; subcultured every 4–6 d thereafter and scored for plating efficiency and transformation.	+	3 mg/100 g body weight		<a href="#">Quarles et al. (1979)</a>

<sup>a</sup>FM6 = First Multiple No. 6 is an X-chromosome with a complex of inversions (to suppress cross-over) and visible markers such as yellow body and white and narrow eyes.

NCE = normochromatic erythrocyte; PCE = polychromatic erythrocyte; UDS = unscheduled DNA synthesis; XPA = xeroderma pigmentosum group A.

## 1 D.5.2. Tumor Promotion and Progression

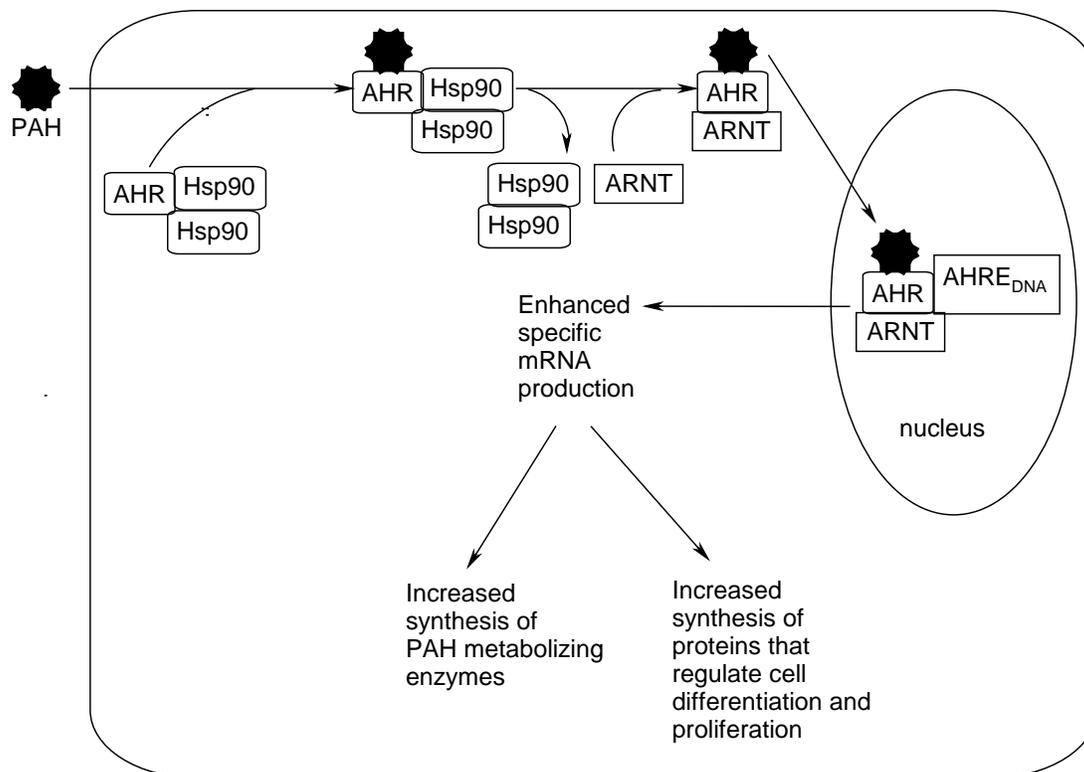
### 2 *Cytotoxicity and Inflammatory Response*

3 The cytotoxicity of benzo[a]pyrene metabolites may contribute to tumor promotion via  
4 inflammatory responses leading to cell proliferation ([Burdick et al., 2003](#)). Benzo[a]pyrene is  
5 metabolized to *o*-quinones, which are cytotoxic, and can generate ROS ([Bolton et al., 2000](#); [Penning  
6 et al., 1999](#)). Benzo[a]pyrene *o*-quinones reduce the viability and survival of rat and human  
7 hepatoma cells ([Flowers-Geary et al., 1996](#); [Flowers-Geary et al., 1993](#)). Cytotoxicity was also  
8 induced by benzo[a]pyrene and BPDE in a human prostate carcinoma cell line ([Nwagbara et al.,  
9 2007](#)). Inflammatory responses to cytotoxicity may contribute to the tumor promotion process.  
10 For example, benzo[a]pyrene quinones (1,6-, 3,6-, and 6,12-benzo[a]pyrene-quinone) generated  
11 ROS and increased cell proliferation by enhancing the epidermal growth factor receptor pathway in  
12 cultured breast epithelial cells ([Burdick et al., 2003](#)).

13 Several studies have demonstrated that exposure to benzo[a]pyrene increases the  
14 production of inflammatory cytokines, which may contribute to cancer progression. [Garçon et al.  
15 \(2001a\)](#) and [Garçon et al. \(2001b\)](#) exposed Sprague-Dawley rats by inhalation to benzo[a]pyrene  
16 with or without ferrous oxide (Fe<sub>2</sub>O<sub>3</sub>) particles. They found that benzo[a]pyrene alone or in  
17 combination with Fe<sub>2</sub>O<sub>3</sub> particles elicited mRNA and protein synthesis of the inflammatory  
18 cytokine, IL-1. [Tamaki et al. \(2004\)](#) also demonstrated a benzo[a]pyrene-induced increase in IL-1  
19 expression in a human fibroblast-like synoviocyte cell line (MH7A). Benzo[a]pyrene increases the  
20 expression of the mRNA for CCL1, an inflammatory chemokine, in human macrophages ([N'Diaye et  
21 al., 2006](#)). The benzo[a]pyrene-induced increase in CCL1 mRNA was inhibited by the potent AhR  
22 antagonist, 3'-methoxy-4'-nitroflavone.

### 23 *AhR-Mediated Effects*

24 The promotional effects of benzo[a]pyrene may also be related to AhR affinity and the  
25 upregulation of genes related to biotransformation (i.e., induction of CYP1A1), growth, and  
26 differentiation ([Boström et al., 2002](#)). Figure D-3 illustrates the function of the AhR and depicts the  
27 genes regulated by this receptor as belonging to two major functional groups (i.e., induction of  
28 metabolism or regulation cell differentiation and proliferation). PAHs bind to the cytosolic AhR in  
29 complex with heat shock protein 90 (Hsp90). The ligand-bound receptor is then transported to  
30 nucleus in complex with the Ah receptor nuclear translocator. The AhR complex interacts with the  
31 Ah responsive elements of the DNA to increase the transcription of proteins associated with  
32 induction of metabolism and regulation of cell differentiation and proliferation.



1

2 AHRE<sub>DNA</sub> = Ah-responsive elements of DNA; ARNT = Ah receptor nuclear translocator.

3

4 Source: [Okey et al. \(1994\)](#).5 **Figure D-3. Interaction of PAHs with the AhR.**

6

7 Binding to the AhR induces enzymes that increase the formation of reactive metabolites,  
 8 resulting in DNA binding and, eventually, tumor initiation. In addition, with persistent exposure,  
 9 the ligand-activated AhR triggers epithelial hyperplasia, which provides the second step leading  
 10 from tumor initiation to promotion and progression ([Nebert et al., 1993](#)). [Ma and Lu \(2007\)](#)  
 11 reviewed several studies of benzo[a]pyrene toxicity and tumorigenicity in mouse strains with high  
 12 and low affinity AhRs. Disparities were observed in the tumor pattern and toxicity of  
 13 Ah-responsive (+/+ and +/-) and Ah-nonresponsive (-/-) mice. Ah-responsive mice were more  
 14 susceptible to toxicity and tumorigenicity in proximal target tissues such as the liver, lung, and skin.  
 15 For example, [Shimizu et al. \(2000\)](#) reported that AhR knock-out mice (-/-), treated with  
 16 benzo[a]pyrene by s.c. injection or dermal painting, did not develop skin cancers at the treatment  
 17 site, while AhR-responsive (+/+) or heterozygous (+/-) mice developed tumors within  
 18 18–25 weeks after treatment. Benzo[a]pyrene treatment increased CYP1A1 expression in the skin  
 19 and liver of AhR-positive mice (+/- or +/+), but CYP1A1 expression was not altered by  
 20 benzo[a]pyrene treatment in AhR knock-out mice (-/-). [Talaska et al. \(2006\)](#) also showed that  
 21 benzo[a]pyrene adduct levels in skin were reduced by 50% in CYP1A2 knock-out mice and by 90%

1 in AhR knock-out mice compared with WT C57Bl6/J mice following a single dermal application of  
2 33 mg/kg benzo[a]pyrene for 24 hours. [Ma and Lu \(2007\)](#) further noted that Ah-nonresponsive  
3 mice were at greater risk of toxicity and tumorigenicity in remote organs, distant from the site of  
4 exposure (i.e., bone marrow). As an example, [Uno et al. \(2006\)](#) showed that benzo[a]pyrene  
5 (125 mg/kg-day, orally for 18 days) caused marked wasting, immunosuppression, and bone  
6 marrow hypocellularity in CYP1A1 knock-out mice, but not in WT mice.

7 Some studies have demonstrated the formation of DNA adducts in the liver of AhR knock-  
8 out mice following i.p. or oral exposure to benzo[a]pyrene ([Sagredo et al., 2006](#); [Uno et al., 2006](#);  
9 [Kondraganti et al., 2003](#)). These findings suggest that there may be alternative (i.e., non-AhR  
10 mediated) mechanisms of benzo[a]pyrene activation in the mouse liver. [Sagredo et al. \(2006\)](#)  
11 studied the relationship between the AhR genotype and CYP metabolism in different organs of the  
12 mouse. AhR<sup>+/+</sup>, AhR<sup>+/-</sup>, and AhR<sup>-/-</sup> mice were treated once with 100 mg/kg benzo[a]pyrene by gavage.  
13 CYP1A1, CYP1B1, and AhR expression was evaluated in the lung, liver, spleen, kidney, heart, and  
14 blood, via real-time or reverse transcriptase polymerase chain reaction, 24 hours after treatment.  
15 CYP1A1 RNA was increased in the lung and liver and CYP1B1 RNA was increased in the lung  
16 following benzo[a]pyrene treatment in AhR<sup>+/+</sup> and AhR<sup>+/-</sup> mice (generally higher in heterozygotes).  
17 Benzo[a]pyrene treatment did not induce CYP1A1 or CYP1B1 enzymes in AhR<sup>-/-</sup> mice. The  
18 expression of CYP1A1 RNA, as standardized to  $\beta$ -actin expression, was generally about 40 times  
19 that of CYP1B1. The concentration of benzo[a]pyrene metabolites and the levels of DNA and  
20 protein adducts were increased in mice lacking the AhR, suggesting that there may be an  
21 AhR-independent pathway for benzo[a]pyrene metabolism and activation. The high levels of  
22 benzo[a]pyrene DNA adducts in organs other than the liver of AhR<sup>-/-</sup> mice may be the result of  
23 slow detoxification of benzo[a]pyrene in the liver, allowing high concentrations of the parent  
24 compound to reach distant tissues.

25 [Uno et al. \(2006\)](#) also demonstrated a paradoxical increase in liver DNA adducts in AhR  
26 knock-out mice following oral exposure to benzo[a]pyrene. WT C57BL/6 mice and several knock-  
27 out mouse strains (CYP1A2<sup>-/-</sup> and CYP1B1<sup>-/-</sup> single knock-out, CYP1A1/1B1<sup>-/-</sup> and  
28 CYP1A2/1B1<sup>-/-</sup> double knock-out) were studied. Benzo[a]pyrene was administered in the feed at  
29 1.25, 12.5, or 125 mg/kg for 18 days (this dose is well-tolerated by WT C57BL/6 mice for 1 year,  
30 but lethal within 30 days to the CYP1A1<sup>-/-</sup> mice). Steady-state blood levels of benzo[a]pyrene,  
31 reached within 5 days of treatment, were ~25 times higher in CYP1A1<sup>-/-</sup> and ~75 times higher in  
32 CYP1A1/1B1<sup>-/-</sup> than in WT mice, while clearance was similar to WT mice in the other knock-out  
33 mouse strains. DNA adduct levels, measured by [<sup>32</sup>P]-postlabeling in liver, spleen, and bone  
34 marrow, were highest in the CYP1A1<sup>-/-</sup> mice at the two higher doses, and in the CYP1A1/1B1<sup>-/-</sup>  
35 mice at the mid dose only. Adduct patterns, as revealed by 2-dimensional chromatography, differed  
36 substantially between organs in the various knock-out types.

37 AhR signaling may play a role in cytogenetic damage caused by benzo[a]pyrene ([Dertinger](#)  
38 [et al., 2001](#); [Dertinger et al., 2000](#)). The in vivo formation of MN in peripheral blood reticulocytes of

1 C57Bl/6J mice induced by a single i.p. injection of benzo[a]pyrene (150 mg/kg) was eliminated by  
2 prior treatment with the potent AhR antagonist 3'-methoxy-4'-nitroflavone. This antagonist also  
3 protected AhR-null allele mice from benzo[a]pyrene-induced increases in MN formation, suggesting  
4 that 3'-methoxy-4'-nitroflavone may also act through a mechanism independent of the AhR  
5 ([Dertinger et al., 2000](#)).

6 Several in vitro studies have suggested that the AhR plays a role in the disruption of cell  
7 cycle control, possibly leading to cell proliferation and tumor promotion following exposure to  
8 benzo[a]pyrene ([Andryśík et al., 2007](#); [Chung et al., 2007](#); [Chen et al., 2003](#)). [Chung et al. \(2007\)](#)  
9 showed that benzo[a]pyrene-induced cytotoxicity and apoptosis in mouse hepatoma (Hepa1c1c7)  
10 cells occurred through a p53 and caspase-dependent process requiring the AhR. An accumulation  
11 of cells in the S-phase of the cell cycle (i.e., DNA synthesis and replication) was also observed,  
12 suggesting that this process may be related to cell proliferation. [Chen et al. \(2003\)](#) also  
13 demonstrated the importance of the AhR in benzo[a]pyrene-7,8-dihydrodiol- and BPDE-induced  
14 apoptosis in human HepG2 cells. Both the dihydrodiol and BPDE affected Bcl2 (a member of a  
15 family of apoptosis suppressors) and activated caspase and p38 mitogen-activated protein (MAP)  
16 kinases, both enzymes that promote apoptosis. When the experiments were conducted in a cell line  
17 that does not contain Ah receptor nuclear translocator (see Figure D-3), the dihydrodiol was not  
18 able to initiate apoptotic event sequences, indicating that activation to BPDE by CYP1A1 was  
19 required. BPDE did not induce apoptosis-related events in a p38-defective cell line, illustrating the  
20 importance of MAP kinases in this process. In rat liver epithelial cells (WB-F344 cells), in vitro  
21 exposure to benzo[a]pyrene resulted in apoptosis, a decrease in cell number, an increase in the  
22 percentage of cells in S-phase (comparable to a proliferating population of WB-F344 cells), and  
23 increased expression of cell cycle proteins (e.g., cyclin A) ([Andryśík et al., 2007](#)). Benzo[a]pyrene-  
24 induced apoptosis was attenuated in cells transfected with a dominant-negative mutation of the  
25 AhR.

#### 26 Inhibition of gap junctional intercellular communication (GJIC)

27 Gap junctions are channels between cells that allow substances of a molecular weight up to  
28 roughly 1 kDa to pass from one cell to the other. This process of metabolic cooperation is crucial  
29 for differentiation, proliferation, apoptosis, and cell death and consequently for the two epigenetic  
30 steps of tumor formation, promotion, and progression. Chronic exposure to many toxicants results  
31 in down-regulation of gap junctions. For tumor promoters, such as TPA or TCDD, inhibition of  
32 intercellular communication is correlated with their promoting potency ([Sharovskaya et al., 2006](#);  
33 [Yamasaki, 1990](#)).

34 [Bláha et al. \(2002\)](#) surveyed the potency of 35 PAHs, including benzo[a]pyrene, to inhibit  
35 GJIC. The scrape loading/dye transfer assay was employed using a rat liver epithelial cell line that  
36 was incubated in vitro for 15, 30, or 60 minutes with 50 µM benzo[a]pyrene. After incubation, cells  
37 were washed, and then a line was scraped through the cells with a surgical blade. Cells were  
38 exposed to the fluorescent dye lucifer yellow for 4 minutes and then fixed with formalin. Spread of

1 the dye from the scrape line into cells remote from the scrape was estimated under a fluorescence  
 2 microscope. Benzo[a]pyrene reduced spread of the dye after 30 minutes of exposure  
 3 (approximately 50% of control). Recovery of GJIC was observed 60 minutes after exposure.

4 [Sharovskaya et al. \(2006\)](#) studied the effects of carcinogenic and noncarcinogenic PAHs on  
 5 GJIC in HepG2 cells. Individual carcinogenic PAHs inhibited GJIC in a temporary fashion (70–100%  
 6 within 24 hours), but removal of the PAH from culture reversed the effect. Noncarcinogenic PAHs  
 7 had very little effect on GJIC. Benzo[a]pyrene at 20  $\mu$ M inhibited GJIC completely within 24 hours,  
 8 while its noncarcinogenic homolog, benzo[e]pyrene, produced <20% inhibition. The effect was not  
 9 AhR-dependent, because benzo[a]pyrene inhibited GJIC in HepG2 cells to the same extent as in  
 10 hepatoma G27 cells, which express neither CYP1A1 nor AhR. The authors concluded that the  
 11 effects of benzo[a]pyrene and benzo[e]pyrene on GJIC were direct (i.e., not caused by metabolites).

### 12 D.5.3. Benzo[a]pyrene Transcriptomic Microarray Analysis

13 The objective of this analysis was to use transcriptomic microarray analysis to help inform  
 14 the cancer mode of action for benzo[a]pyrene. A systematic review and meta-analysis approach  
 15 was used to: (1) identify studies; (2) analyze the raw data; (3) assess data quality; and (4) combine  
 16 evidence from multiple studies to identify genes that were reproducibly active across all of the  
 17 studies.

18 The Gene Expression Omnibus and Array Express microarray repositories were searched  
 19 for studies that used benzo[a]pyrene as a test chemical and raw data were available. The search  
 20 terms used and the number of studies retrieved are listed in Table D-36. Many of the search terms  
 21 included terms for specific PAH mixtures, as benzo[a]pyrene is commonly used as a reference  
 22 chemical in PAH mixture studies, to ensure the available and usable benzo[a]pyrene microarray  
 23 data were identified.

24 **Table D-36. Search terms and the number of studies retrieved from the gene**  
 25 **expression omnibus and array express microarray repositories**

Search term	Number of microarray studies retrieved
Coal tar	2
Polycyclic aromatic hydrocarbons	13
B[a]P	52
Diesel	11
Smoke NOT cigarette	16
Benzo[a]pyrene	53
Fuel oil	1
Cigarette smoke	63
Tobacco smoke	16

1  
2 Forty responsive gene expression datasets were identified, representing 26 peer-reviewed  
3 publications. These datasets were further culled for analysis by focusing on publicly available  
4 results and species and organs represented by more than one available dataset on the same  
5 microarray platform. Crossing microarray platforms and species boundaries adds significant  
6 uncertainty to the interpretation with respect to comparisons of the probes being measured, how  
7 those different probes align to the genome and are mapped to specific genes, and creates an open  
8 question regarding the discovery and mapping of orthologous genes across species. Thus, the  
9 analysis included two studies that focused on mouse in vivo transcriptomic studies of the liver  
10 (Gene Expression Omnibus accessions: GSE24907 and GSE18789).

11 The first study ([Malik et al., 2012](#)), GSE24907, exposed five male Muta mice (a LacZ  
12 transgenic mouse line) per group to 25, 50, or 75 mg/kg benzo[a]pyrene or olive oil vehicle for  
13 28 days by gavage. The second study ([Yauk et al., 2011](#)), GSE18789, exposed 27–30-day-old male  
14 B6C3F<sub>1</sub> mice to 150 mg/kg benzo[a]pyrene by gavage for 3 days and sacrificed 4 or 24 hours after  
15 the final dose. Both studies were subjected to study quality evaluation by the Systematic Omics  
16 Analysis Review (SOAR) tool.

17 SOAR was developed to assist in the quick and transparent identification of studies that are  
18 suitable for hazard assessment development. SOAR consists of a series of objective questions that  
19 examine the overall study quality of a transcriptomic microarray study. SOAR combines questions  
20 from the Toxicological Reliability Assessment (ToxR) Tool, the Minimum Information About a  
21 Microarray Experiment (MIAME) standard, and the Checklist for Exchange and Interpretation of  
22 Data from a Toxicology Study. Both studies were determined to be relevant and suitable for hazard  
23 assessment development using SOAR.

#### 24 ***Data Analysis Overview***

25 Raw data for both studies were obtained from the Gene Expression Omnibus  
26 (<http://www.ncbi.nlm.nih.gov/geo/>) using the GEOquery package ([Davis and Meltzer, 2007](#)) in  
27 Bioconductor (a bioinformatics software repository for packages that may be used in the  
28 R statistical environment). Each study was pre-processed, normalized, subjected to quality control  
29 analysis (see below) and analyzed independently to determine the number of active genes using a  
30 fold-change cut-off, and then a subsequent *p*-value cut-off.

31 Pre-processing involves the acquisition of data, background subtraction (not performed  
32 here), and synthesis of gene expression data across multiple probesets (only for Affymetrix data,  
33 and only if analysis is performed on a probeset basis). Normalization is the mathematical  
34 adjustment of data to correct. Data were normalized using fastlo within-groups to control for  
35 technical variance ([Eckel et al., 2005](#)).

36 The raw microarray data from both studies were analyzed for quality using Principal  
37 Components Analysis (PCA) and boxplot analysis. PCA is commonly used for cluster analysis based  
38 on the variance within the dataset. The PCA algorithm (in this case, singular value decomposition

1 was used) can be thought of projecting the data into a multidimensional space, and drawing an axis  
2 through the data cloud to explain the largest amount of variance. The next axis is drawn through  
3 the cloud to explain the next largest amount of variance while also being orthogonal to the first axis  
4 (e.g., the Y-axis is orthogonal to the X-axis in a Cartesian plane). The idea is that samples will  
5 naturally cluster in a way that is easily visualized in a simple 2-dimensional plot, where the axis  
6 representing the largest variance is the X-axis. For quality control purposes, observation of  
7 samples from the same biological grouping (e.g., all of the controls, or all of the samples treated the  
8 same way for the same duration) clustered in the X–Y plane is preferable. The samples in  
9 GSE24907 separated mostly by group when the normalized data were visualized by PCA. The  
10 boxplots exhibited a somewhat compressed interquartile range. Overall, the data were deemed to  
11 be of high enough quality to continue analysis, although the compressed interquartile range could  
12 manifest data compression issues which may decrease the overall statistical power.

13 The normalized samples in GSE18709 also separated mostly by group; however, one  
14 benzo[a]pyrene treated 24-hour sample and one 4-hour control sample clustered distantly from the  
15 rest of their groups. This raises concerns that there remains a significant amount of variance in the  
16 data that the normalization could not overcome. This variance may decrease the overall statistical  
17 power of the meta-analysis. The boxplots of normalized data for this study were more compressed  
18 than that for GSE24907.

19 Data were analyzed using limma and an empirical Bayes moderated t-test ([Smyth, 2004](#)).  
20 Following analysis, active genes were identified. A gene was considered active if it exhibited a  
21 1.5-fold-change and a  $p$ -value  $<0.1$  in at least one condition or group (e.g., time-point or dose).

22 A data mining/pathway analysis approach was undertaken using the GeneGo Metacore  
23 software and using the active gene lists. This approach compares the pathways identified from  
24 bioinformatics analyses of the active gene lists from both studies. The active gene lists from both  
25 studies were analyzed using the GeneGo Metacore software. The data were mined to identify  
26 GeneGo Metacore pathways that represent a large number of genes from both datasets. Gene  
27 expression data were overlaid only for those conditions where the gene was at least 1.5-fold up- or  
28 down-regulated. The GeneGo pathways were analyzed for relevance to the hypothesized mode of  
29 action for benzo[a]pyrene, and for pathways that may illustrate new modes of action. This analysis  
30 is strictly an exploratory pathway analysis to help inform the interpretation of the transcriptomics  
31 data.

32 The pathway analysis is a powerful method for comparing study results and identifying  
33 consistency than a direct comparison of the active gene list. For instance, differentially expressed  
34 gene lists reported in the peer-reviewed literature are not reproducible across similar studies ([Shi  
35 et al., 2008](#); [Chuang et al., 2007](#); [Ein-Dor et al., 2005](#); [Lossos et al., 2004](#); [Fortunel et al., 2003](#)). In  
36 one example, three different studies aimed at identifying genes that confer “stemness” (i.e., genes  
37 which are responsible for conferring stem-cell like capabilities) each yielded 230, 283, and  
38 385 active genes, yet the overlap between them was only one gene ([Fortunel et al., 2003](#)). This

1 demonstrates that the use of simple Venn diagrams to show the overlap of genes across studies are  
 2 not as informative as pathway analysis, and are less likely to provide support to potential mode-of-  
 3 action hypotheses.

4 Three candidate pathways were identified. These are:

- 5 • AhR signaling
- 6 • DNA damage regulation of the G1/S phase transition
- 7 • Nrf2 regulation of oxidative stress

8 Gene differential expression is represented on the pathway map as a “thermometer” next to  
 9 the protein symbol. Upregulation is symbolized by an upward pointing thermometer, where the  
 10 length of the red bar represents a relative log<sub>2</sub> fold-change. Downregulation is symbolized by a  
 11 downward pointing thermometer, where the length of the blue bar represents a relative log<sub>2</sub> fold-  
 12 change. A red line connecting proteins represents inhibition. A green line connecting proteins  
 13 represents activation. A symbol legend accompanies this report.

14 **Table D-37. Mapping of group numbers to time/dose groups**

Number under Thermometer in Figures D-4–D-6	Dose	Time point	Reference
2	150 mg/kg	3-d exposure (sacrificed 4 hrs after final dose)	<a href="#">Yauk et al. (2011)</a>
3	150 mg/kg	3-d exposure (sacrificed 24 hrs after final dose)	<a href="#">Yauk et al. (2011)</a>
4	75 mg/kg	28-d exposure	<a href="#">Malik et al. (2012)</a>
5	50 mg/kg	28-d exposure	<a href="#">Malik et al. (2012)</a>
6	25 mg/kg	28-d exposure	<a href="#">Malik et al. (2012)</a>

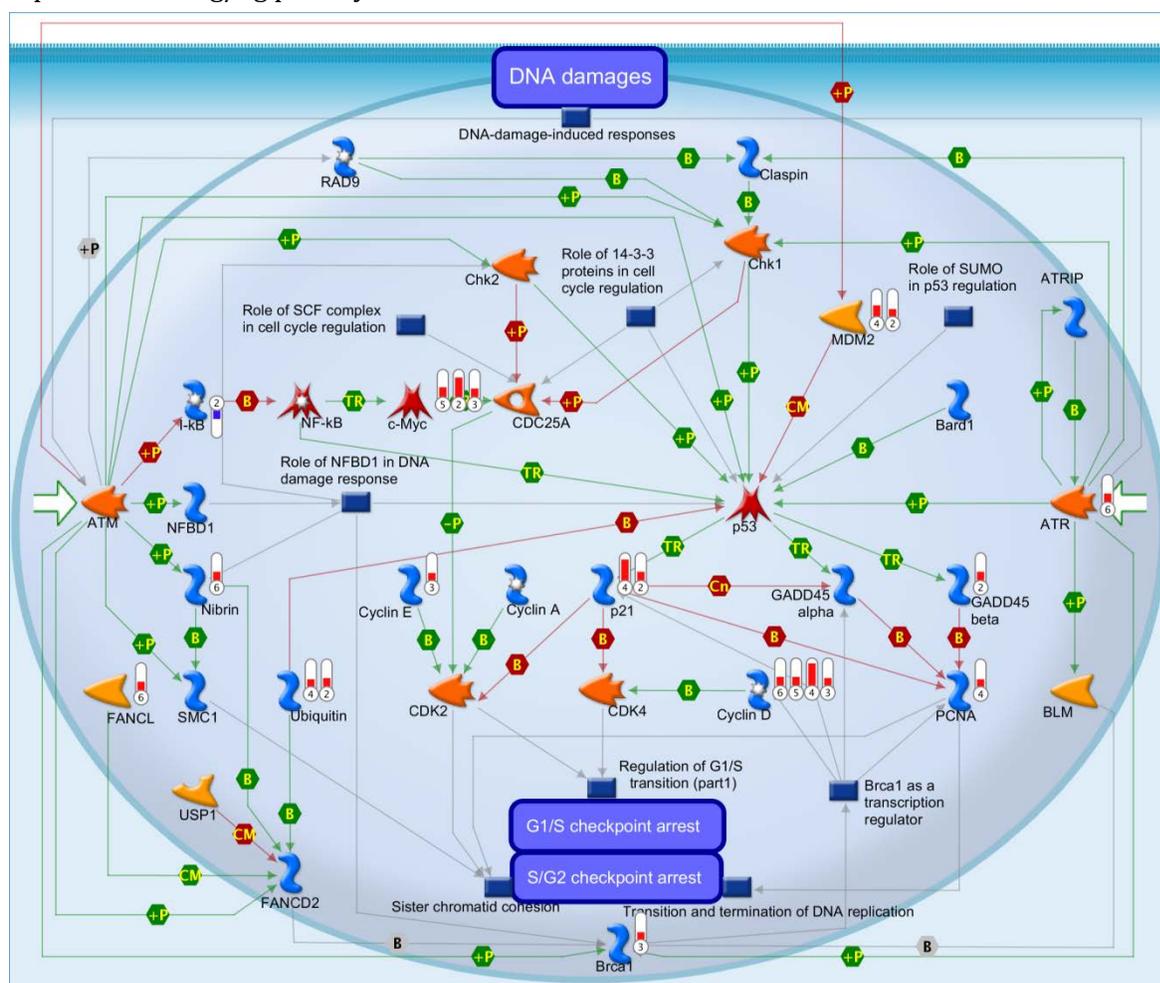
### 15 **AhR Signaling**

16 The AhR regulates the transcription of several genes, including xenobiotic metabolism  
 17 genes (Figure D-4). It appears that benzo[a]pyrene is activating the AhR in these studies based on  
 18 the expression of many of its transcriptional targets. Relevant to further analysis and investigating  
 19 the mode of action, the c-Myc gene is upregulated at 4 and 24 hours in the time-course and at the  
 20 50 mg/kg dose in the dose-response, while Nrf2 is upregulated at the 4-hour time-point and at the  
 21 25 and 75 mg/kg doses. c-Myc has been shown to be upregulated following exposure to TCDD, and  
 22 a putative dioxin response element has been detected in the c-Myc promoter ([Dere et al., 2011](#); [Kim  
 23 et al., 2000](#)). The AhR has been demonstrated to bind and regulate the Nrf2 promoter ([Dere et al.,  
 24 2011](#); [Lo et al., 2011](#); [Nair et al., 2008](#)).

25



1 gene of *p53*, and also negatively feedback inhibits *p53* signaling through ubiquitination. Ubiquitin is  
 2 also upregulated at 4 hours and 75 mg/kg, further suggesting that that *p53* may initially be  
 3 upregulated at times prior to 4 hours and prior to sacrifice in the 75 mg/kg groups, and that at the  
 4 time of sacrifice, the *p53* signal may be degraded due to MDM2-mediated ubiquitination. Coupled  
 5 with the upregulation of Cyclin D and PCNA at 75 mg/kg (among other conditions), this suggests a  
 6 pro-mitotic shift may be occurring which could lead to cellular proliferation in the liver in the mice  
 7 exposed to 75 mg/kg per day.



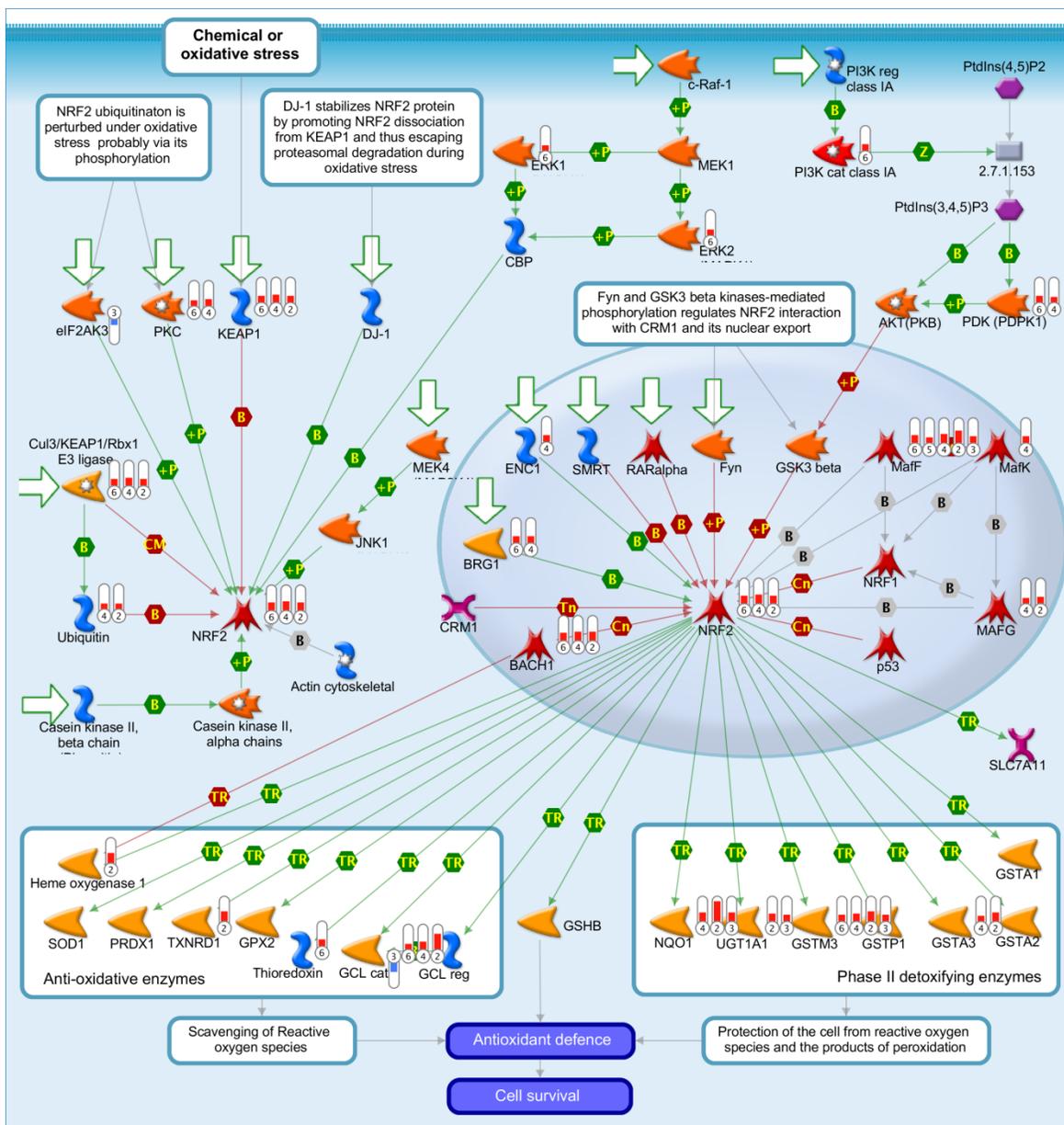
8  
 9 Activation of transcriptional targets of *p53*, including *p21* and *GADD45*, and upregulation of the downstream  
 10 transcriptional target, *PCNA*, suggests that *p53* is activated.

11 **Figure D-5. DNA damage pathway.**

## 12 *Nrf2* Signaling

13 *Nrf2* transcription may be upregulated by benzo[a]pyrene through activation of the AhR  
 14 (Figure D-4). The *Nrf2* protein heterodimerizes with the MafF protein ([Surh et al., 2008](#); [Marini et](#)  
 15 [al., 2002](#); [Kim et al., 2000](#)) to regulate the transcription of Phase II metabolism and anti-oxidative  
 16 enzymes (Figure D-6). Activated *p53* competes with *Nrf2* anti-oxidant signaling, perhaps to ensure

1 a large oxidative stress response is present in the cell to promote the induction of apoptosis  
 2 ([Faraonio et al., 2006](#)). Upregulation of Cul3 at 4 hours and the 75 mg/kg dose in concert with the  
 3 upregulation of ubiquitin at the same time and dose suggests that repression of Nrf2 activity may  
 4 occur. This would support the *p53*-mediated pro-oxidant hypothesis, which is further  
 5 substantiated by the lack of upregulation of anti-oxidant genes at 75 mg/kg, with the exception of  
 6 GCL cat.  
 7



8 Nrf2 is upregulated by benzo[a]pyrene exposure, which results in the upregulation of Phase II detoxifying  
 9 enzymes. This appears to be a compensatory response due to increased oxidative status within cells.

10 **Figure D-6. Nrf2 pathway.**

1 ***Pathway Analysis Summary***

2           Activation of the AhR appears to be present based on the transcriptional data. This may  
3 lead to formation of oxidative metabolites and radicals which may lead to oxidative damage and  
4 DNA damage. Although the alterations to the Nrf2 pathway suggest cells are gearing up for a pro-  
5 apoptotic environment, there is no transcriptional evidence that the apoptotic pathways are being  
6 activated. Thus, there is significant uncertainty as to whether or not apoptosis may occur.

7           The transcriptomics data support a potential mutagenic and cellular proliferation mode of  
8 action. The transcriptomics data support the hypothesis that DNA damage is occurring at 4 hours  
9 following three daily doses of 150 mg/kg-day of benzo[a]pyrene and 75 mg/kg-day for 28 days.  
10 This is supported by the transcriptional activation of *p53* target genes, including *p21* and *MDM2*.  
11 The transcriptional data further suggest that *p53* signaling may be waning under these conditions,  
12 as ubiquitin and *MDM2* are both upregulated, and work together to degrade *p53*. Furthermore, the  
13 transcriptional upregulation of *Cyclin D* in the 75 mg/kg-day exposure may result in enough *Cyclin D*  
14 protein to overcome the *p21* inhibitory competition for *CDK4*, allowing for G1/S phase transition  
15 to occur. In addition, the upregulation of *PCNA* in the 75 mg/kg-day exposure group together with  
16 upregulation of ubiquitin further supports the argument that cells are moving towards a more  
17 G1/S phase transition friendly environment. Translesion synthesis (i.e., a DNA repair/bypass  
18 mechanism, whereby DNA adducts are allowed to remain in newly synthesized DNA, so as to allow  
19 the cell to continue with DNA synthesis and complete the cell cycle) by ubiquitinated *PCNA* may  
20 favor mutagenesis if the G1/S phase transition occurs by allowing DNA adducts to persist in  
21 daughter cells.

22           There are a number of areas of uncertainty within the transcriptomics data that require  
23 additional research. For instance, transcriptomics data only measure changes in gene expression;  
24 these studies did not monitor changes in protein or metabolite expression, which would be more  
25 indicative of an actual cellular state change. Inferences of protein activation and changes in protein  
26 activity and cellular signaling are made based on the transcriptomics data. Further research is  
27 required at the molecular level to demonstrate that the cellular signaling events being inferred are  
28 actually taking place, and that these events result in phenotypic changes, consistent with the overall  
29 mode of action. The studies also have inherent uncertainty with respect to extrapolation from short  
30 term, high dose studies to low dose exposures across a lifetime. In addition, this work uses a  
31 hypothesized mode of action in the liver to support an overall mode of action.

32  
33

# APPENDIX E. DOSE-RESPONSE MODELING FOR THE DERIVATION OF REFERENCE VALUES FOR EFFECTS OTHER THAN CANCER AND THE DERIVATION OF CANCER RISK ESTIMATES

This appendix provides technical detail on dose-response evaluation and determination of points of departure (PODs) for relevant toxicological endpoints, organized by risk value (reference value or cancer risk value). Except where other software is noted, all endpoints were modeled using the U.S. Environmental Protection Agency's (EPA's) Benchmark Dose Software (BMDS) ([U.S. EPA, 2012a](#)); version 2.0 or later. The preambles for the cancer and noncancer parts below describe the practices used in evaluating the model fit and selecting the appropriate model for determining the POD, as outlined in the *Benchmark Dose Technical Guidance* ([U.S. EPA, 2012b](#)).

## E.1. NONCANCER ENDPOINTS

### E.1.1. Data Sets

The noncancer endpoints that were considered for dose-response modeling are presented in Tables E-1 (for the RfD, from oral exposure) and E-2 (for the RfC, from inhalation exposure). For each endpoint, the exposures and response data used for the modeling are presented. See Sections 2.1 and 2.2 for discussion of selecting these particular data sets. Further details for some data sets—e.g., regarding data transformations or digitization from figures, highlighting particular subsets or combining similar subsets of data from an investigation—are provided below.

All data reported by [Chen et al. \(2012\)](#) were presented graphically; dose group means and standard deviations (SDs) were digitized from the publication. For the Morris water maze data, individual animal data for PND 74 were provided upon request by the study authors. For the elevated plus maze data [Chen et al. \(2012\)](#), the results from female rats at PND 70 were chosen for dose-response analyses, as effects in females and older animals were greater relative to control than in males or at PND 35. For the other outcomes from this study considered for dose-response analysis, data for male and female rats were combined because there was no substantive difference between males and females for each dose group (supported by the authors' statistical testing using two-way analysis of variance [ANOVA], and allowing for interactions), and because there was no rationale or information available suggesting there would be sex-mediated differences for these tests. However, although there were then 20 rats in each dose group, there were 10 litters, with 1 male and 1 female from each litter who were not technically independent due to intralitter

1 correlation. These analyses were carried out using N = 20/group, then repeated using  
 2 N = 10/group, under a bounding assumption of 100% intralitter correlation.

3 **Table E-1. Noncancer endpoints selected for dose-response modeling for**  
 4 **benzo[a]pyrene: RfD**

Study, Species (strain), Endpoint	Doses (mg/kg-d) and effect data				
	<a href="#">Kroese et al. (2001)</a> ; Rat (Wistar)	Dose	0	3	10
	N	10	10	10	10
Thymus weight (mg), Male	Mean ± SD <sup>a</sup>	380 ± 60	380 ± 110	330 ± 60	270 ± 40
Thymus weight (mg), Female	Mean ± SD <sup>a</sup>	320 ± 60	310 ± 50	300 ± 40	230 ± 30
<a href="#">Xu et al. (2010)</a> ; Rat (Sprague-Dawley)/ Female	Dose <sup>b</sup>	0	2.5	5	
	N	6	6	6	
Ovary weight (mg)	Mean ± SD	0.160 ± 0.0146	0.143 ± 0.0098	0.136 ± 0.0098	
Primordial follicles (count)	Mean ± SD	147 ± 13.8	138 ± 23.0	115 ± 12.3	
<a href="#">Chen et al. (2012)</a> ; Rat (Sprague-Dawley)	Dose	0	0.02	0.2	2.0
Open field, number of crossed squares, M+F, PND 69	Mean ± SD N	68.1 ± 16.2 (20)	68.4 ± 13.2 (20)	82.5 ± 19.3 (20)	94.5 ± 17.1 (20)
Elevated plus maze—Number of open arm entries, F—PND 70	Mean ± SD N	10.24 ± 1.9 (10)	10.36 ± 3.0 (10)	12.89 ± 2.7 (10)	16.39 ± 3.0 (10)
Morris water maze, M+F:	N	(20)	(20)	(20)	(20)
Escape latency (sec), PND 71	Mean ± SD	33.1 ± 11.4	35.8 ± 11.6	38.6 ± 9.9	50.8 ± 9.3
PND 72	Mean ± SD	24.4 ± 9.9	26.5 ± 7.9	31.0 ± 8.4	47.8 ± 8.4
PND 73	Mean ± SD	18.0 ± 9.9	19.7 ± 10.1	25.5 ± 7.2	39.7 ± 11.3
PND 74	Mean ± SD	9.9 ± 5.8	12.5 ± 5.1	19.1 ± 5.9	33.5 ± 9.9
<a href="#">Gao et al. (2011)</a> ; Mouse (ICR)/female	Dose <sup>c</sup>	0	0.71	1.4	2.9
	N	26	26	25	24
Cervical epithelial hyperplasia	Incidence	0/26	4/26	6/25	7/24

5  
 6 <sup>a</sup>Reported as standard error (SE), but confirmed to be standard deviation (SD) by study authors.

7 <sup>b</sup>Time-weighted average (TWA) doses corresponding to dosing every other day.

8 <sup>c</sup>TWA doses corresponding to dosing twice per week (2/7 days/week).

9

1 **Table E-2. Noncancer endpoints selected for dose-response modeling for**  
 2 **benzo[a]pyrene: RfC**

Study, Species (strain), Endpoint	Doses (mg/kg-d) and effect data				
	Exposure level, $\mu\text{g}/\text{m}^3$	0 (Carbon black)	25	75	100
<a href="#">Archibong et al. (2002)</a> , Female Rats (F344)	N	10	10	10	10
	Fetal Survival (litter %)	Mean $\pm$ SE <sup>a</sup>	96.7 $\pm$ 1.7	78.3 $\pm$ 4.1	38.0 $\pm$ 2.1
<a href="#">Archibong et al. (2012)</a> , Female Rats (F344)	Exposure level, $\mu\text{g}/\text{m}^3$	0	50	75	100
	N	5	5	5	5
Ovary weight (g) Ovulation rate (eggs/dam)	Mean $\pm$ SE <sup>a</sup>	0.68 $\pm$ 0.004	0.61 $\pm$ 0.003	0.59 $\pm$ 0.002	0.60 $\pm$ 0.003
	Mean $\pm$ SE <sup>a</sup>	15.3 $\pm$ 2.0	13.9 $\pm$ 3.0	12.8 $\pm$ 2.5	8.3 $\pm$ 1.0

3  
 4 <sup>a</sup>SE reported in source, converted to SD for modeling using  $\text{SD} = \text{SE} \times \text{N}^{1/2}$ .

5  
 6 While the preferred measure for elevated plus maze results is percent of open arm entries  
 7 or percent of time in the open arms, as a function of total arm entries or time, in order to rule out  
 8 potential differences in motor activity or general exploration ([Hogg, 1996](#)), the data reported by  
 9 [Chen et al. \(2012\)](#) were not normalized by either quantity. However, since sufficient information  
 10 was reported to rule out an impact of treatment on total arm entries, the number of open arm  
 11 entries was considered a suitable measure for dose-response analysis.

## 12 E.1.2. Dose Response Modeling for Noncancer Endpoints

### 13 E.1.2.1. Models and Evaluation of Model Fit

14 For each dichotomous endpoint, BMDS dichotomous models were fitted to the data using  
 15 the maximum likelihood method. For the log-logistic and dichotomous Hill models, slope  
 16 parameters were restricted to be  $\geq 1$ ; for the gamma and Weibull models, power parameters were  
 17 restricted to be  $\geq 1$ ; and for the multistage models, betas were restricted to be non-negative ( $b_i \geq 0$ ).  
 18 Each model was tested for goodness-of-fit using a chi-square goodness-of-fit test ( $\chi^2$   $p$ -value  $< 0.10$   
 19 indicates lack of fit). Other factors were also used to assess model fit, such as scaled residuals,  
 20 visual fit, and adequacy of fit in the low-dose region and in the vicinity of the benchmark response  
 21 (BMR).

22 For each continuous endpoint, BMDS continuous models were fitted to the data using the  
 23 maximum likelihood method. For the polynomial models, betas were restricted to be non-negative  
 24 (in the case of increasing response) or non-positive (in the case of decreasing response data); and  
 25 for the Hill, power, and exponential models, power parameters were restricted to be  $\geq 1$ . Model fit  
 26 was assessed by a series of tests as follows. For each model, first the homogeneity of the variances  
 27 was tested using a likelihood ratio test (BMDS Test 2). If Test 2 was not rejected ( $\chi^2$   $p$ -value  $\geq 0.10$ ),

1 then the model was fitted to the data assuming constant variance. If Test 2 was rejected ( $\chi^2$  *p*-value  
2 <0.10), then the variance was modeled as a power function of the mean, and the variance model  
3 was tested for adequacy of fit using a likelihood ratio test (BMDS Test 3). For fitting models using  
4 either constant variance or modeled variance, models for the mean response were tested for  
5 adequacy of fit using a likelihood ratio test (BMDS Test 4, with  $\chi^2$  *p*-value <0.10 indicating  
6 inadequate fit). Other factors were also used to assess the model fit, such as scaled residuals, visual  
7 fit, and adequacy of fit in the low-dose region and in the vicinity of the BMR.

#### 8 **E.1.2.2. Model selection**

9 For each endpoint selected for modeling, the BMDL estimate (95% lower confidence limit  
10 on the benchmark dose [BMD], as estimated by the profile likelihood method) and Akaike's  
11 Information Criterion (AIC) value were used to select a best-fit model from among the models  
12 exhibiting adequate fit. If the BMDL estimates were "sufficiently close," that is, differed by at most  
13 3-fold, then the model selected was the one that yielded the lowest AIC value. If the BMDL  
14 estimates were not sufficiently close, then the lowest BMDL was selected as the POD.

#### 15 **E.1.2.3. Modeling results**

16 The following tables and figures summarize the modeling results for the noncancer  
17 endpoints modeled (RfD: Tables E-3 through E-14, Figures E-1 through E-12; RfC: Tables E-15  
18 through E-18, Figures E-13, E-14).

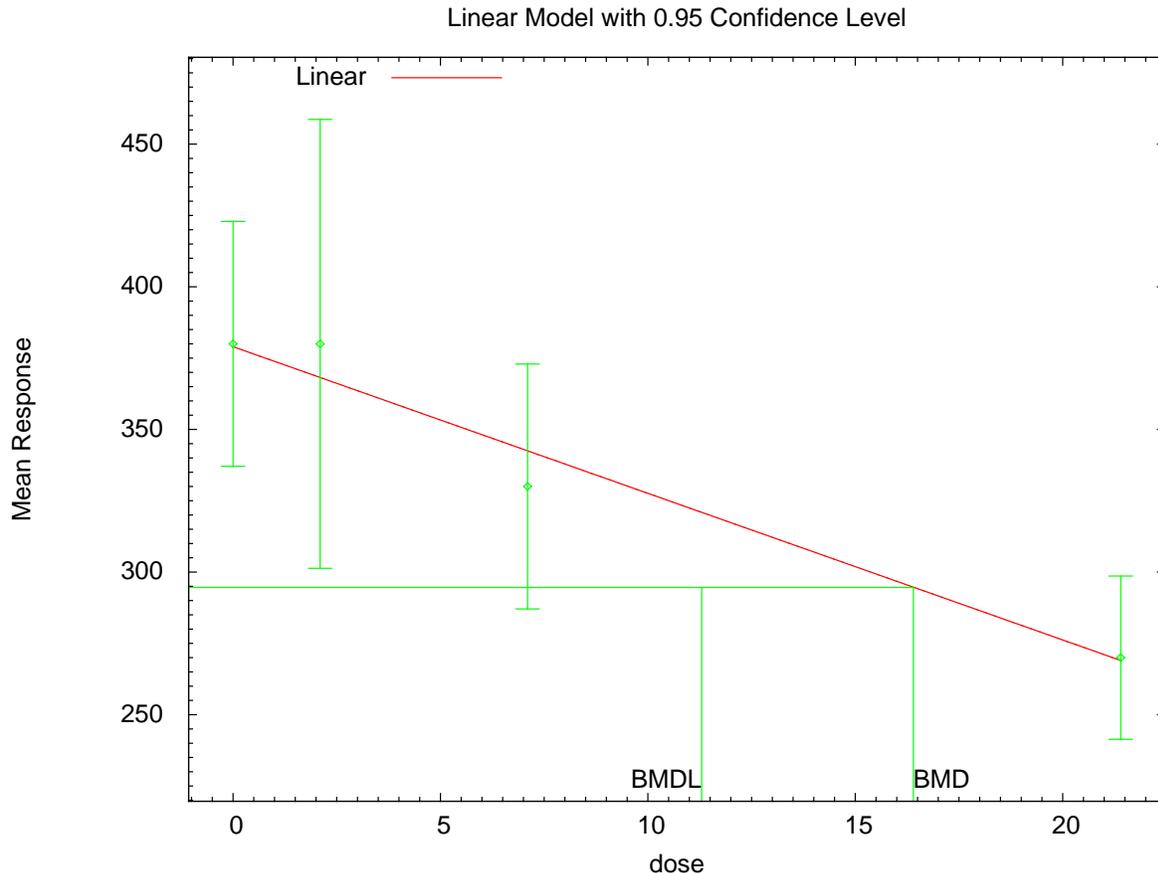
19 For the dose-response analyses of the [Chen et al. \(2012\)](#) outcomes involving combined male  
20 and female responses, the alternate analyses allowing for 100% intralitter correlation yielded  
21 BMDLs up to 30% lower than assuming complete independence of the pups (analyses not shown).

22

1 **Table E-3. Summary of BMD modeling results for decreased thymus weight in**  
 2 **male Wistar rats exposed to benzo[a]pyrene by gavage for 90 days ([Kroese et](#)**  
 3 **[al., 2001](#)); BMR = 1 SD change from the control mean**

Model	Variance <i>p</i> -value <sup>a</sup>	Goodness of fit		BMD <sub>1SD</sub> (mg/kg-d)	BMDL <sub>1SD</sub> (mg/kg-d)
		<i>p</i> -value	AIC		
Constant variance					
Linear	0.01	0.74	384.84	12.97	8.97
Nonconstant variance					
Hill <sup>b</sup>	Insufficient degrees of freedom				
<b>Linear, polynomial (2-degree), power</b>	<b>0.30</b>	<b>0.23</b>	<b>380.71</b>	<b>16.40</b>	<b>11.30</b>

4  
 5 <sup>a</sup>Values <0.10 fail to meet conventional goodness-of-fit criteria.  
 6 <sup>b</sup>Power restricted to ≥1.



7 15:33 10/15 2009  
 8 BMDs and BMDLs indicated are associated with a change of 1 SD from the control, and are in units of mg/kg-day.

9 **Figure E-1. Fit of linear model (nonconstant variance) to data on decreased**  
 10 **thymus weight in male Wistar rats—90 days ([Kroese et al., 2001](#)).**

**Supplemental Information—Benzo[a]pyrene**

```

1 =====
2 Polynomial Model. (Version: 2.13; Date: 04/08/2008)
3 Input Data File:
4 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\male\durationadjusted\2Linkrolin.(
5 d)
6 Gnuplot Plotting File:
7 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\male\durationadjusted\2Linkrolin.p
8 lt
9 =====
10 BMDS Model Run
11 ~~~~~
12 The form of the response function is:
13
14 Y[dose] = beta_0 + beta_1*dose + beta_2*dose^2 + ...
15
16 Dependent variable = mean
17 Independent variable = dose
18 The polynomial coefficients are restricted to be negative
19 The variance is to be modeled as Var(i) = exp(lalpha + log(mean(i)) * rho)
20
21 Total number of dose groups = 4
22 Total number of records with missing values = 0
23 Maximum number of iterations = 250
24 Relative Function Convergence has been set to: 1e-008
25 Parameter Convergence has been set to: 1e-008
26
27
28 Default Initial Parameter Values
29     lalpha =      8.56121
30     rho =          0
31     beta_0 =     380.763
32     beta_1 =     -5.3285
33
34
35 Asymptotic Correlation Matrix of Parameter Estimates
36
37     lalpha      rho      beta_0      beta_1
38 lalpha         1         -1         0.048        -0.061
39 rho           -1         1        -0.048         0.061
40 beta_0        0.048      -0.048         1         -0.84
41 beta_1       -0.061        0.061      -0.84         1
42
43
44 Parameter Estimates
45
46 Variable      Estimate      Std. Err.      95.0% Wald Confidence Interval
47 lalpha        -18.8293      9.75429        Lower Conf. Limit  Upper Conf. Limit
48 rho           4.66515      1.67581        -37.9473          0.288754
49 beta_0        378.954      16.5291        1.38062           7.94967
50 beta_1        -5.14219      1.00497        346.558           411.351
51
52
53
54
55
56
57 Table of Data and Estimated Values of Interest
58
59 Dose      N      Obs Mean      Est Mean      Obs Std Dev      Est Std Dev      Scaled Res.
60 -----
61
62 0         10         380           379            60             84.3            0.0392
63 2.1       10         380           368           110            78.8            0.475
64 7.1       10         330           342            60             66.6           -0.591
65 21.4      10         270           269            40             37.9            0.0908
66
67
68
69 Model Descriptions for likelihoods calculated
70
71 Model A1:      Yij = Mu(i) + e(ij)

```

*This document is a draft for review purposes only and does not constitute Agency policy.*

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Var $\{e(ij)\}$  =  $\Sigma^2$   
 Model A2:  $Y_{ij} = \mu(i) + e(ij)$   
 Var $\{e(ij)\}$  =  $\Sigma(i)^2$   
 Model A3:  $Y_{ij} = \mu(i) + e(ij)$   
 Var $\{e(ij)\}$  =  $\exp(\alpha + \rho \ln(\mu(i)))$   
 Model A3 uses any fixed variance parameters that were specified by the user  
 Model R:  $Y_i = \mu + e(i)$   
 Var $\{e(i)\}$  =  $\Sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	# Param's	AIC
A1	-189.116991	5	388.233982
A2	-183.673279	8	383.346558
A3	-184.883626	6	381.767253
fitted	-186.353541	4	380.707081
R	-196.353362	2	396.706723

Explanation of Tests

Test 1: Do responses and/or variances differ among Dose levels?  
 (A2 vs. R)  
 Test 2: Are Variances Homogeneous? (A1 vs A2)  
 Test 3: Are variances adequately modeled? (A2 vs. A3)  
 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)  
 (Note: When  $\rho=0$  the results of Test 3 and Test 2 will be the same.)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	25.3602	6	0.0002928
Test 2	10.8874	3	0.01235
Test 3	2.42069	2	0.2981
Test 4	2.93983	2	0.2299

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data.

The p-value for Test 2 is less than .1. A non-homogeneous variance model appears to be appropriate.

The p-value for Test 3 is greater than .1. The modeled variance appears to be appropriate here.

The p-value for Test 4 is greater than .1. The model chosen seems to adequately describe the data.

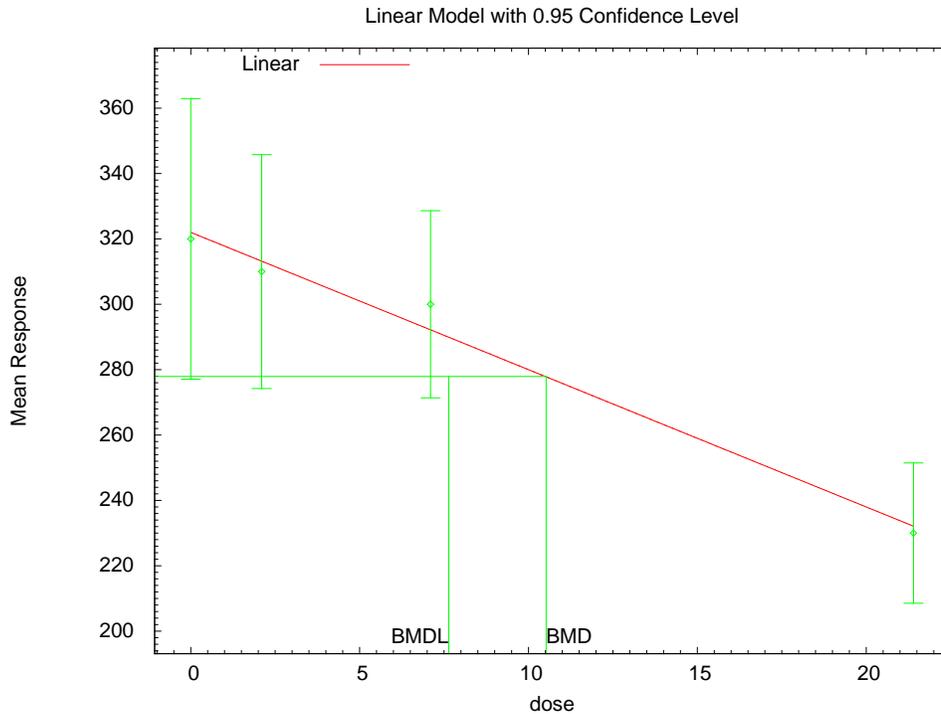
Benchmark Dose Computation

Specified effect = 1  
 Risk Type = Estimated standard deviations from the control mean  
 Confidence level = 0.95  
 BMD = 16.4008  
 BMDL = 11.2965

1 **Table E-4. Summary of BMD modeling results for decreased thymus weight in**  
 2 **female Wistar rats exposed to benzo[a]pyrene by gavage for 90 days (Kroese**  
 3 **et al., 2001); BMR = 1 SD change from the control mean**

Model (constant variance)	Goodness of fit			BMD <sub>1SD</sub> (mg/kg-d)	BMDL <sub>1SD</sub> (mg/kg-d)
	Variance p-value <sup>a</sup>	Mean p-value <sup>a</sup>	AIC		
Hill <sup>b</sup>	NA				
Linear <sup>c</sup>	0.17	0.81	349.12	10.52	7.64
Polynomial (2-degree) <sup>b</sup>	0.17	0.77	350.80	13.29	7.77
Power	NA				

4  
 5 <sup>a</sup>Values <0.10 fail to meet conventional goodness-of-fit criteria.  
 6 <sup>b</sup>Lowest degree polynomial with an adequate fit is reported.  
 7  
 8 BMD/BMC = maximum likelihood estimate (MLE) of the dose/concentration associated with the selected BMR;  
 9 NA = not applicable; model failed to generate.



10 16:27 10/15 2009  
 11 BMDs and BMDLs indicated are associated with a change of 1 SD from the control, and are in units of mg/kg-day.

12 **Figure E-2. Fit of linear model (constant variance) to decreased thymus**  
 13 **weight in female Wistar rats exposed to benzo[a]pyrene by gavage for 90 days**  
 14 **(Kroese et al., 2001).**

**Supplemental Information—Benzo[a]pyrene**

```

1 =====
2 Polynomial Model. (Version: 2.13; Date: 04/08/2008)
3 Input Data File:
4 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\female\durationadjusted\2Linkrolin
5 .(d)
6 Gnuplot Plotting File:
7 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\female\durationadjusted\2Linkrolin
8 .plt Thu Oct 15 16:27:44 2009
9 =====
10 BMDS Model Run
11 ~~~~~
12
13 The form of the response function is:
14
15  $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$ 
16
17
18 Dependent variable = mean
19 Independent variable = dose
20 rho is set to 0
21 The polynomial coefficients are restricted to be negative
22 A constant variance model is fit
23
24 Total number of dose groups = 4
25 Total number of records with missing values = 0
26 Maximum number of iterations = 250
27 Relative Function Convergence has been set to: 1e-008
28 Parameter Convergence has been set to: 1e-008
29
30
31
32 Default Initial Parameter Values
33 alpha = 1
34 rho = 0 Specified
35 beta_0 = 322.144
36 beta_1 = -4.2018
37
38
39 Asymptotic Correlation Matrix of Parameter Estimates
40
41 ( *** The model parameter(s) -rho
42 have been estimated at a boundary point, or have been specified by the user,
43 and do not appear in the correlation matrix )
44
45 alpha beta_0 beta_1
46
47 alpha 1 2.4e-008 -2.3e-008
48
49 beta_0 2.4e-008 1 -0.68
50
51 beta_1 -2.3e-008 -0.68 1
52
53
54
55 Parameter Estimates
56
57 Variable Estimate Std. Err. 95.0% Wald Confidence Interval
58 Lower Conf. Limit Upper Conf. Limit
59 alpha 1954.92 437.134 1098.16 2811.69
60 beta_0 322.144 9.48287 303.558 340.73
61 beta_1 -4.2018 0.837537 -5.84334 -2.56026
62
63
64
65 Table of Data and Estimated Values of Interest
66
67 Dose N Obs Mean Est Mean Obs Std Dev Est Std Dev Scaled Res.
68 -----
69
70 0 10 320 322 60 44.2 -0.153
71 2.1 10 310 313 50 44.2 -0.237
72 7.1 10 300 292 40 44.2 0.55
73 21.4 10 230 232 30 44.2 -0.159
74

```

Model Descriptions for likelihoods calculated

Model A1:  $Y_{ij} = \mu(i) + e(ij)$   
 $\text{Var}\{e(ij)\} = \sigma^2$

Model A2:  $Y_{ij} = \mu(i) + e(ij)$   
 $\text{Var}\{e(ij)\} = \sigma(i)^2$

Model A3:  $Y_{ij} = \mu(i) + e(ij)$   
 $\text{Var}\{e(ij)\} = \sigma^2$   
 Model A3 uses any fixed variance parameters that were specified by the user

Model R:  $Y_i = \mu + e(i)$   
 $\text{Var}\{e(i)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	# Param's	AIC
A1	-171.357252	5	352.714504
A2	-168.857234	8	353.714467
A3	-171.357252	5	352.714504
fitted	-171.562118	3	349.124237
R	-181.324151	2	366.648303

Explanation of Tests

- Test 1: Do responses and/or variances differ among Dose levels? (A2 vs. R)
- Test 2: Are Variances Homogeneous? (A1 vs A2)
- Test 3: Are variances adequately modeled? (A2 vs. A3)
- Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)
- (Note: When  $\rho=0$  the results of Test 3 and Test 2 will be the same.)

Tests of Interest

Test	$-2 \cdot \log(\text{Likelihood Ratio})$	Test df	p-value
Test 1	24.9338	6	0.0003512
Test 2	5.00004	3	0.1718
Test 3	5.00004	3	0.1718
Test 4	0.409733	2	0.8148

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data.

The p-value for Test 2 is greater than .1. A homogeneous variance model appears to be appropriate here.

The p-value for Test 3 is greater than .1. The modeled variance appears to be appropriate here.

The p-value for Test 4 is greater than .1. The model chosen seems to adequately describe the data.

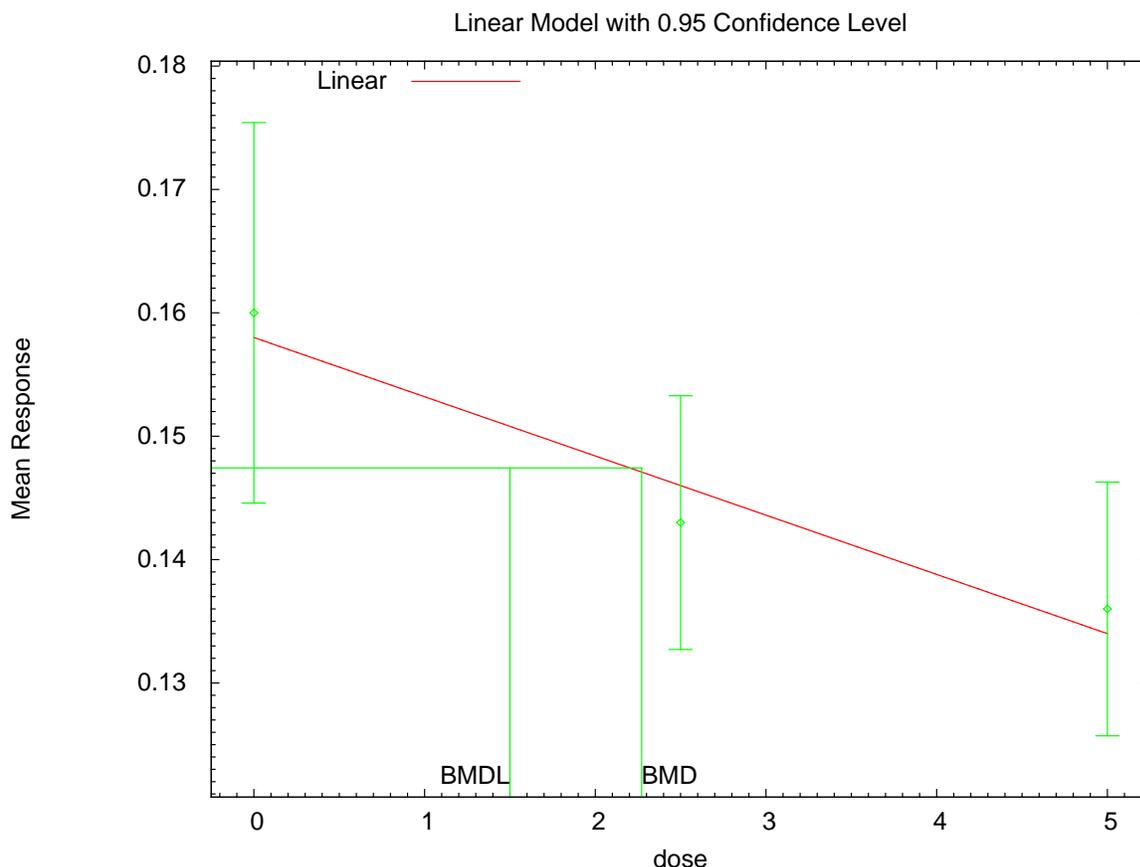
Benchmark Dose Computation

Specified effect = 1  
 Risk Type = Estimated standard deviations from the control mean  
 Confidence level = 0.95  
 BMD = 10.5228  
 BMDL = 7.64037

1 **Table E-5. Summary of BMD modeling results for decreased ovary weight in**  
 2 **female Sprague-Dawley rats exposed to benzo[a]pyrene by gavage for 60 days**  
 3 **([Xu et al., 2010](#)); BMR = 1 SD change from the control mean**

Model	Goodness of fit		BMD <sub>1SD</sub> (mg/kg-d)	BMDL <sub>1SD</sub> (mg/kg-d)
	p-value	AIC		
Power	NA <sup>a</sup>			
Linear, polynomial (1°)	0.39	-138.67	2.27	1.49

4  
 5 <sup>a</sup>NA = not applicable; model failed to generate.



6 16:03 12/14 2010

7 **Figure E-3. Fit of linear/polynomial (1°) model to data on decreased ovary**  
 8 **weight in female Sprague-Dawley rats exposed to benzo[a]pyrene by gavage**  
 9 **for 60 days ([Xu et al., 2010](#)).**

10

**Supplemental Information—Benzo[a]pyrene**

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```

=====
Polynomial Model. (Version: 2.16; Date: 05/26/2010)
Input Data File:
C:/USEPA/BMDS212/Data/benzo[a]pyrene/Bap_AbsOvaryWeight/Xu2010_AbsOvaryWeight_Linear_1SD.(d)
Gnuplot Plotting File:
C:/USEPA/BMDS212/Data/benzo[a]pyrene/Bap_AbsOvaryWeight/Xu2010_AbsOvaryWeight_Linear_1SD.plt
Tue Dec 14 13:51:32 2010
=====

```

The form of the response function is:  
 $Y[\text{dose}] = \beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \dots$

Dependent variable = Mean  
 Independent variable = Dose  
 rho is set to 0  
 Signs of the polynomial coefficients are not restricted  
 A constant variance model is fit

Total number of dose groups = 3  
 Total number of records with missing values = 0  
 Maximum number of iterations = 250  
 Relative Function Convergence has been set to: 1e-008  
 Parameter Convergence has been set to: 1e-008

```

Default Initial Parameter Values
alpha = 0.000136
rho = 0 Specified
beta_0 = 0.158333
beta_1 = -0.0048

```

Asymptotic Correlation Matrix of Parameter Estimates

```

( *** The model parameter(s) -rho
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix )

```

	alpha	beta_0	beta_1
alpha	1	4e-010	-4.5e-010
beta_0	4e-010	1	-0.77
beta_1	-4.5e-010	-0.77	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
alpha	0.000118889	3.96296e-005	4.12162e-005	0.000196562
beta_0	0.158333	0.00406354	0.150369	0.166298
beta_1	-0.0048	0.00125904	-0.00726768	-0.00233232

Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Res.
0	6	0.16	0.158	0.0147	0.0109	0.374
2.5	6	0.143	0.146	0.0098	0.0109	-0.749
5	6	0.136	0.134	0.0098	0.0109	0.374

Model Descriptions for likelihoods calculated

1 Model A1:  $Y_{ij} = \mu(i) + e(ij)$   
 2  $\text{Var}\{e(ij)\} = \sigma^2$   
 3  
 4 Model A2:  $Y_{ij} = \mu(i) + e(ij)$   
 5  $\text{Var}\{e(ij)\} = \sigma(i)^2$   
 6  
 7 Model A3:  $Y_{ij} = \mu(i) + e(ij)$   
 8  $\text{Var}\{e(ij)\} = \sigma^2$   
 9 Model A3 uses any fixed variance parameters that  
 10 were specified by the user  
 11  
 12 Model R:  $Y_i = \mu + e(i)$   
 13  $\text{Var}\{e(i)\} = \sigma^2$   
 14

15  
 16 Likelihoods of Interest

Model	Log(likelihood)	# Param's	AIC
A1	72.766595	4	-137.533190
A2	73.468565	6	-134.937129
A3	72.766595	4	-137.533190
fitted	72.335891	3	-138.671782
R	67.008505	2	-130.017010

25  
 26 Explanation of Tests

27  
 28 Test 1: Do responses and/or variances differ among Dose levels?  
 29 (A2 vs. R)  
 30 Test 2: Are Variances Homogeneous? (A1 vs A2)  
 31 Test 3: Are variances adequately modeled? (A2 vs. A3)  
 32 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)  
 33 (Note: When  $\rho=0$  the results of Test 3 and Test 2 will be the same.)  
 34

35 Tests of Interest

Test	$-2 \cdot \log(\text{Likelihood Ratio})$	Test df	p-value
Test 1	12.9201	4	0.01167
Test 2	1.40394	2	0.4956
Test 3	1.40394	2	0.4956
Test 4	0.861408	1	0.3533

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 44 The p-value for Test 1 is less than .05. There appears to be a  
 45 difference between response and/or variances among the dose levels  
 46 It seems appropriate to model the data  
 47

48 The p-value for Test 2 is greater than .1. A homogeneous variance  
 49 model appears to be appropriate here  
 50

51  
 52 The p-value for Test 3 is greater than .1. The modeled variance appears  
 53 to be appropriate here  
 54

55 The p-value for Test 4 is greater than .1. The model chosen seems  
 56 to adequately describe the data  
 57

58  
 59 Benchmark Dose Computation

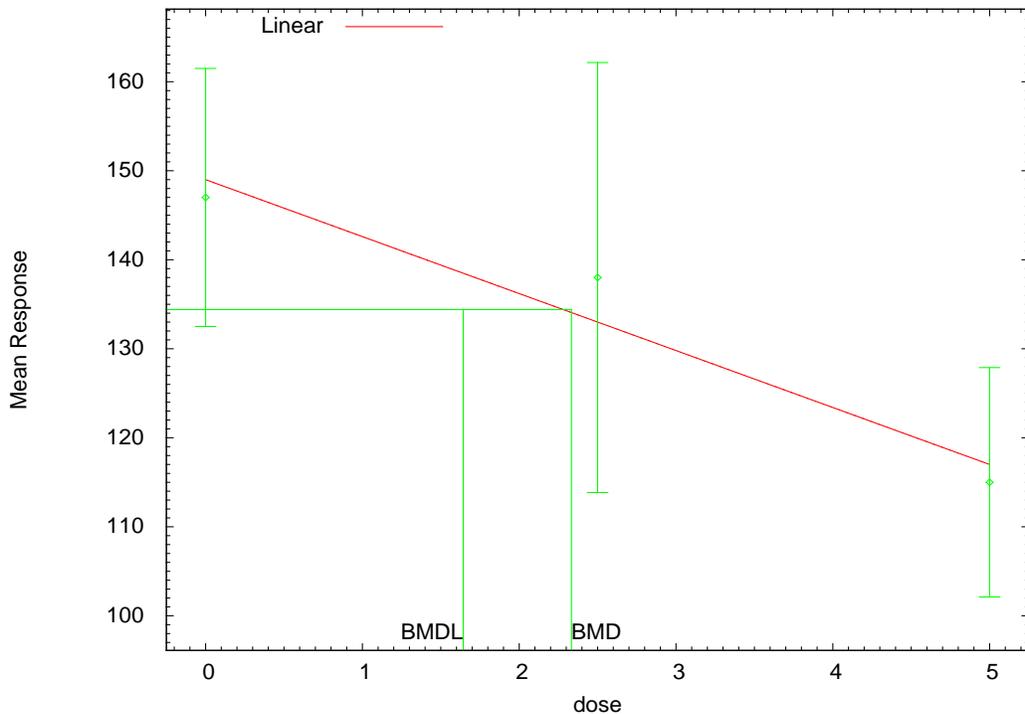
60 Specified effect = 1  
 61  
 62 Risk Type = Estimated standard deviations from the control mean  
 63  
 64 Confidence level = 0.95  
 65  
 66 **BMD = 2.27159**  
 67  
 68  
 69 **BMDL = 1.49968**  
 70  
 71

1 **Table E-6. Summary of BMD modeling results for decreased primordial**  
 2 **follicles in female Sprague-Dawley rats exposed to benzo[a]pyrene by gavage**  
 3 **for 60 days (Xu et al., 2010); BMR = 1 SD change from the control mean**

Model	Goodness of fit		BMD <sub>1SD</sub> mg/kg-d	BMDL <sub>1SD</sub> mg/kg-d	Basis for model selection	
	p-value	AIC				
<b>Constant variance</b>						
Exponential (model 2) <sup>e</sup>	0.31	123.82	2.40	1.47	Among adequately fitting models, with narrow range of BMDLs, Linear model had lowest AIC.	
Exponential (model 3) <sup>e</sup>	NA	124.80	3.35	1.60		
Exponential (model 4) <sup>e</sup>	0.31	123.82	2.40	1.24		
Power <sup>e</sup>	NA	124.80	3.37	1.70	<b>BMD<sub>10RD</sub></b> <b>mg/kg-d</b>	<b>BMDL<sub>10RD</sub></b> <b>mg/kg-d</b>
Polynomial (2°) <sup>d</sup>	NA	124.80	3.39	1.70		
<b>Linear, polynomial (1°)</b>	<b>0.37</b>	<b>123.59</b>	<b>2.48</b>	<b>1.60</b>	<b>2.33</b>	<b>1.64</b>

4  
5

Linear Model, with BMR of 0.1 Rel. Dev. for the BMD and 0.95 Lower Confidence Limit for the BMDI



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7 **Figure E-4. Fit of linear/polynomial (1°) model to primordial follicle count**  
 8 **data for female Sprague-Dawley rats exposed to benzo[a]pyrene by gavage for**  
 9 **60 days (Xu et al., 2010).**

10

Supplemental Information—Benzo[a]pyrene

Exponential Model. (Version: 1.9; Date: 01/29/2013)
Input Data File:
C:/BMDS250\_2014/Data/BenzoPyrene\_iris2016/exp\_IRIS\_BaP\_ovafollicles\_adj\_Exp-ConstantVariance-
BMR1Std-Down.(d)
Gnuplot Plotting File: Wed Apr 20 13:50:20 2016

The form of the response function by Model:
Model 2: Y[dose] = a \* exp{sign \* b \* dose}
Model 3: Y[dose] = a \* exp{sign \* (b \* dose)^d}
Model 4: Y[dose] = a \* [c-(c-1) \* exp{-b \* dose}]
Model 5: Y[dose] = a \* [c-(c-1) \* exp{-(b \* dose)^d}]

Note: Y[dose] is the median response for exposure = dose;
sign = +1 for increasing trend in data;
sign = -1 for decreasing trend.

Model 2 is nested within Models 3 and 4.
Model 3 is nested within Model 5.
Model 4 is nested within Model 5.

Dependent variable = Mean
Independent variable = Dose
Data are assumed to be distributed: normally
Variance Model: exp(lnalpha +rho \*ln(Y[dose]))
rho is set to 0.
A constant variance model is fit.

Total number of dose groups = 3
Total number of records with missing values = 0
Maximum number of iterations = 500
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

MLE solution provided: Exact

Initial Parameter Values

Table with 2 columns: Variable, Model 2. Rows include lnalpha, rho(S), a, b, c, d with corresponding values.

(S) = Specified

Parameter Estimates

Table with 2 columns: Variable, Model 2. Rows include lnalpha, rho, a, b, c, d with corresponding values.

NC = No Convergence

Table of Stats From Input Data

Dose	N	Obs Mean	Obs Std Dev
0	6	147	13.81
2.5	6	138	23.02
5	6	115	12.28

Estimated Values of Interest

Dose	Est Mean	Est Std	Scaled Residual
0	149.3	16	-0.3585
2.5	132.7	16	0.8068
5	118	16	-0.4539

Other models for which likelihoods are calculated:

- Model A1:  $Y_{ij} = \mu(i) + e(ij)$   
 $\text{Var}\{e(ij)\} = \sigma^2$
- Model A2:  $Y_{ij} = \mu(i) + e(ij)$   
 $\text{Var}\{e(ij)\} = \sigma(i)^2$
- Model A3:  $Y_{ij} = \mu(i) + e(ij)$   
 $\text{Var}\{e(ij)\} = \exp(\alpha + \log(\text{mean}(i)) * \rho)$
- Model R:  $Y_{ij} = \mu + e(i)$   
 $\text{Var}\{e(ij)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	DF	AIC
A1	-58.40088	4	124.8018
A2	-56.97516	6	125.9503
A3	-58.40088	4	124.8018
R	-63.43841	2	130.8768
2	-58.90764	3	123.8153

Additive constant for all log-likelihoods = -16.54. This constant added to the above values gives the log-likelihood including the term that does not depend on the model parameters.

Explanation of Tests

- Test 1: Does response and/or variances differ among Dose levels? (A2 vs. R)
- Test 2: Are Variances Homogeneous? (A2 vs. A1)
- Test 3: Are variances adequately modeled? (A2 vs. A3)
- Test 4: Does Model 2 fit the data? (A3 vs. 2)

Tests of Interest

Test	-2*log(Likelihood Ratio)	D. F.	p-value
Test 1	12.93	4	0.01164
Test 2	2.851	2	0.2403
Test 3	2.851	2	0.2403
Test 4	1.014	1	0.3141

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels, it seems appropriate to model the data.

The p-value for Test 2 is greater than .1. A homogeneous variance model appears to be appropriate here.

## Supplemental Information—Benzo[a]pyrene

1 The p-value for Test 3 is greater than .1. The modeled  
2 variance appears to be appropriate here.

3  
4 The p-value for Test 4 is greater than .1. Model 2 seems  
5 to adequately describe the data.

6  
7  
8 Benchmark Dose Computations:

9  
10 Specified Effect = 1.000000

11 Risk Type = Estimated standard deviations from control

12  
13  
14 Confidence Level = 0.950000

15 BMD = 2.40255

16 BMDL = 1.46958  
17  
18

1 **Table E-7. Summary of BMD modeling results for mean number of squares**  
 2 **crossed on PND 69 by male and female Sprague Dawley rats exposed to**  
 3 **benzo[a]pyrene by gavage, PNDs 5–11 (Chen et al., 2012); BMR = 1 SD change**  
 4 **from control mean**

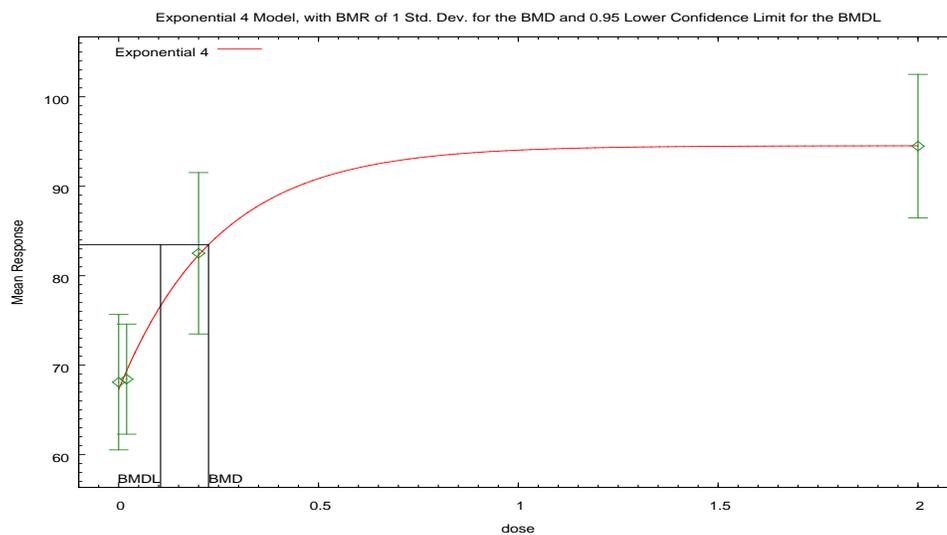
Model <sup>a</sup>	Goodness of fit		BMD <sub>1SD</sub> (mg/kg)	BMDL <sub>1SD</sub> (mg/kg)	Basis for model selection
	p-value	AIC			
Exponential (M2) Exponential (M3) <sup>b</sup>	0.0244	538.60	1.52	1.18	One model provided an adequate fit and a valid BMDL estimate—the Exponential M4 CV model was selected. <sup>f</sup>
<b>Exponential (M4)</b>	<b>0.727</b>	<b>533.30</b>	<b>0.225</b>	<b>0.105</b>	
Exponential (M5)	N/A <sup>c</sup>	535.18	0.221	0.107	
Hill	N/A <sup>c</sup>	535.18	0.229	0.0839	
Linear, Power <sup>d</sup> Polynomial 2°, 3° <sup>d</sup>	0.0285	538.29	1.44	1.08	

5  
 6 <sup>a</sup>Constant variance case presented (BMD5 Test 2 p-value = 0.404), selected model in bold; scaled residuals for  
 7 selected model for doses 0, 0.02, 0.2, and 2 mg/kg were 0.22, -0.27, 0.05, and -0.01, respectively.

8 <sup>b</sup>For the Exponential (M3) model, the estimate of d was 1 (boundary); this model reduced to the Exponential (M2)  
 9 model.

10 <sup>c</sup>No available degrees of freedom to calculate a goodness of fit value.

11 <sup>d</sup>For the Power model, the power parameter estimate was 1; f or the Polynomial 2° and 3° models, the coefficient  
 12 estimates of higher order than b1 were 0 (boundary of parameter space). These models reduced to the Linear  
 13 model.



15  
 16 **Figure E-5. Plot of mean squares crossed on PND 69 by male and female**  
 17 **Sprague Dawley rats exposed to benzo[a]pyrene by gavage on PNDs 5–11, by**  
 18 **dose, with fitted curve for Exponential (M4) model with constant variance**  
 19 **(Chen et al., 2012); BMR = 1 SD change from control mean; dose shown in**  
 20 **mg/kg.**

1 **Exponential Model** (Version: 1.10; Date: 01/12/2015)

2 The form of the response function is:  $Y[\text{dose}] = a * [c - (c-1) * \exp(-b * \text{dose})]$

3 A constant variance model is fit

4  
5 **Benchmark Dose Computation.**

6 BMR = 1.0000 Estimated standard deviations from control

7 BMD = 0.224896

8 BMDL at the 95% confidence level = 0.104872

9  
10 **Parameter Estimates**

Variable	Estimate	Default initial parameter values
Inalpha	5.56624	5.56471
rho	N/A	0
a	67.303	64.695
b	4.00574	1.02094
c	1.4046	1.53357
d	N/A	1

11  
12 **Table of Data and Estimated Values of Interest**

Dose	N	Observed mean	Estimated mean	Observed SD	Estimated SD	Scaled residuals
0	20	68.1	67.3	16.17	16.17	0.2204
0.02	20	68.44	69.4	13.15	16.17	-0.2654
0.2	20	82.51	82.31	19.27	16.17	0.05465
2	20	94.49	94.53	17.13	16.17	-0.009693

13  
14 **Likelihoods of Interest**

Model	Log(likelihood)	Number of parameters	AIC
A1	-262.5886	5	535.1772
A2	-261.1275	8	538.2549
A3	-262.5886	5	535.1772
R	-277.7454	2	559.4908
4	-262.6497	4	533.2994

15  
16 **Tests of Interest**

Test	-2*log(likelihood ratio)	Test df	p-value
Test 1	33.24	6	<0.0001
Test 2	2.922	3	0.4038
Test 3	2.922	3	0.4038
Test 6a	0.1222	1	0.7267

1 **Table E-8. Summary of BMD modeling results for elevated plus maze: open**  
 2 **arm entries at PND 70 for female Sprague Dawley rats exposed to**  
 3 **benzo[a]pyrene by gavage on PNDs 5–11 ([Chen et al., 2012](#)); BMR = 1 SD**

Model <sup>a</sup>	Goodness of fit		BMD <sub>1SD</sub> (mg/kg)	BMDL <sub>1SD</sub> (mg/kg)	Basis for model selection
	p-value	AIC			
Exponential (M2) Exponential (M3) <sup>b</sup>	0.154	132.71	1.17	0.898	Among adequately fitting models, the BMDLs covered a tenfold range. The Exponential 4 model had the lowest BMDL (and the lowest AIC).
<b>Exponential (M4)</b>	<b>0.848</b>	<b>131.00</b>	<b>0.208</b>	<b>0.0917</b>	
Exponential (M5)	N/A <sup>c</sup>	132.96	0.212	0.0921	
Hill	N/A <sup>c</sup>	132.96	0.214	0.0692	
Linear; Power Polynomial 2°, 3° <sup>d</sup>	0.180	132.39	1.04	0.759	

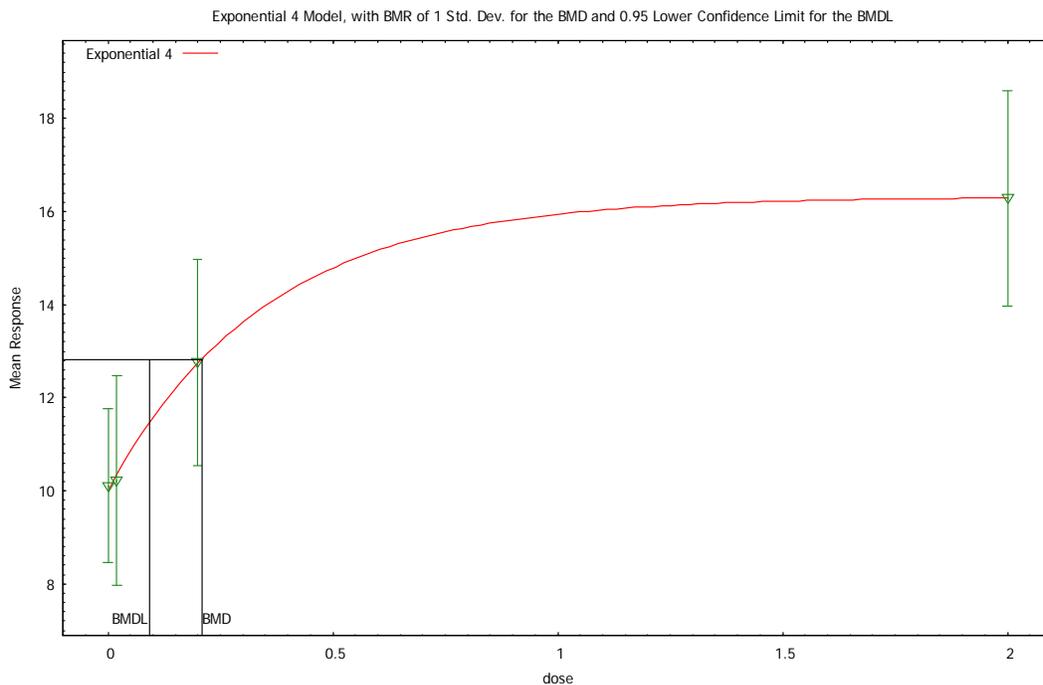
<sup>a</sup> Constant variance case presented (BMD5 Tests 2 and 3 p-value = 0.719).

<sup>b</sup> For the Exponential (M3) model, the estimate of d was 1 (boundary). This model reduced to the Exponential (M2) model.

<sup>c</sup> No available degrees of freedom to calculate a goodness of fit value.

<sup>d</sup> For the Power model, the power parameter estimate was 1; for the Polynomial 2° and 3° models, the coefficient estimates of higher order than b1 were 0 (boundary of parameter space). All models in this row reduced to the Linear model.

4



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6

7 **Figure E-6. Fit of exponential 4 model for elevated plus maze, open arm maze**  
 8 **entries on PND 70 for female Sprague Dawley rats exposed to BaP by oral**  
 9 **gavage PNDs 5 - PND 11 ([Chen et al., 2012](#)); BMR = 1 SD.**

1 **Exponential Model.** (Version: 1.10; Date: 01/12/2015)  
 2 The form of the response function is:  $Y[\text{dose}] = a * [c - (c - 1) * \exp(-b * \text{dose})]$   
 3 A constant variance model is fit

4  
 5 **Benchmark Dose Computation.**  
 6 BMR = 1.0000 Estimated standard deviations from control  
 7 BMD = 0.208365  
 8 BMDL at the 95% confidence level = 0.0916703

9  
 10 **Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
Inalpha	2.07497	2.07406
rho	n/a	0
a	10.0002	9.6045
b	2.84307	1.12639
c	1.63133	1.78088
d	n/a	1

11  
 12 **Table of Data and Estimated Values of Interest**

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Resid
0	10	10.11	10	2.31	2.82	0.123
0.02	10	10.22	10.35	3.16	2.82	-0.1448
0.2	10	12.76	12.74	3.1	2.82	0.0243
2	10	16.29	16.29	3.23	2.82	-0.002547

13  
 14 **Likelihoods of Interest**

Model	Log(likelihood)	# Param's	AIC
A1	-61.48113	5	132.9623
A2	-60.80983	8	137.6197
A3	-61.48113	5	132.9623
R	-73.16117	2	150.3223
4	-61.49948	4	130.999

15  
 16 **Tests of Interest**

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	24.7	6	0.0003876
Test 2	1.343	3	0.719
Test 3	1.343	3	0.719
Test 6a	0.0367	1	0.8481

1

2

3

4

**Table E-9. Summary of BMD Modeling Results for escape latency of male and female Sprague-Dawley rats at PND 71 exposed to benzo[a]pyrene by gavage on PNDs 5–11, (Chen et al., 2012); BMR = 1 SD<sup>a</sup> change from the control mean**

Model <sup>b</sup>	Goodness of fit		BMD <sub>1SD</sub> (mg/kg)	BMDL <sub>1SD</sub> (mg/kg)	Basis for model selection
	p-value	AIC			
Exponential (M2) Exponential (M3) <sup>c</sup>	0.433	461.23	1.24	1.01	Among adequately fitting models, BMDLs ranged up to ~6-fold above that of the Hill model; Hill model selected for POD derivation
Exponential (M4) Exponential (M5) <sup>c</sup>	0.503	462.01	0.466	0.178	
<b>Hill</b>	<b>0.51</b>	<b>461.99</b>	<b>0.494</b>	<b>0.163</b>	
Linear, Power Polynomial 2 <sup>o</sup> , 3 <sup>o</sup> <sup>d</sup>	0.474	461.05	1.14	0.883	

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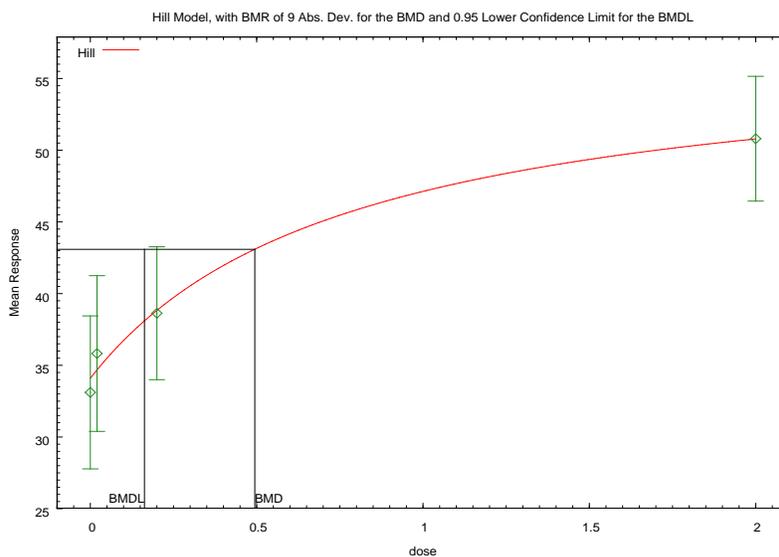
14

<sup>a</sup>A common estimate of SD across all trial days for escape latency, PNDs 71–74, yielded a SD of 9 seconds. In order to implement this value as a BMR across all trial days, the value was treated equivalently as an absolute deviation of 9 seconds. Also see Section 2.1.2.

<sup>b</sup>Constant variance case presented (BMDS Test 2 p-value = 0.711, BMDS Test 3 p-value = 0.711).

<sup>c</sup>For the Exponential (M3) and (M5) models, the estimate of d was 1 (boundary); these models reduced to the (M2) and (M4) models, respectively.

<sup>d</sup>For the Power model, the power parameter estimate was 1. For the Polynomial 2<sup>o</sup> and 3<sup>o</sup> models, the coefficient estimates of higher order than b1 were 0 (boundary of parameter space). The models in this row reduced to the Linear model.



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**Figure E-7. Plot of escape latency at PND 71 by dose, with fitted curve for Hill model using constant variance, for male and female Sprague-Dawley rats exposed to benzo[a]pyrene by gavage on PNDs 5–11 (Chen et al., 2012); BMR = 1 SD from control mean; dose shown in mg/kg.**

**Hill Model** (Version: 2.17; Date: 01/28/2013)

The form of the response function is:  $Y[\text{dose}] = \text{intercept} + v \cdot \text{dose}^n / (k^n + \text{dose}^n)$

A constant variance model is fit

**Benchmark Dose Computation**

BMR = 9 seconds, Absolute deviation

BMD = 0.494368

BMDL at the 95% confidence level = 0.162618

**Parameter Estimates**

Variable	Estimate	Default Initial Parameter Values
alpha	107.223	112.255
rho	N/A	0
intercept	34.087	33.11
v	23.2217	17.69
n	1	0.308231
k	0.781197	3.30822

**Table of Data and Estimated Values of Interest**

Dose	N	Observed mean	Estimated mean	Observed SD	Estimated SD	Scaled residuals
0	20	33.1	34.1	11.4	10.4	-0.422
0.02	20	35.8	34.7	11.6	10.4	0.498
0.2	20	38.6	38.8	9.9	10.4	-0.0822
2	20	50.8	50.8	9.3	10.4	0.00601

**Likelihoods of Interest**

Model	Log(likelihood)	Number of parameters	AIC
A1	-226.779191	5	463.558382
A2	-226.09162	8	468.183241
A3	-226.779191	5	463.558382
fitted	-226.996255	4	461.99251
R	-241.044463	2	486.088927

**Tests of Interest**

Test	-2*log(likelihood ratio)	Test df	p-value
Test 1	29.9057	6	<0.0001
Test 2	1.37514	3	0.7114
Test 3	1.37514	3	0.7114
Test 4	0.434128	1	0.51

1 **Table E-10. Summary of BMD Modeling Results for escape latency of male and**  
 2 **female Sprague-Dawley rats at PND 72 exposed to benzo[a]pyrene by gavage**  
 3 **on PNDs 5–11 (Chen et al., 2012); BMR = 1 SD<sup>a</sup> from control mean**

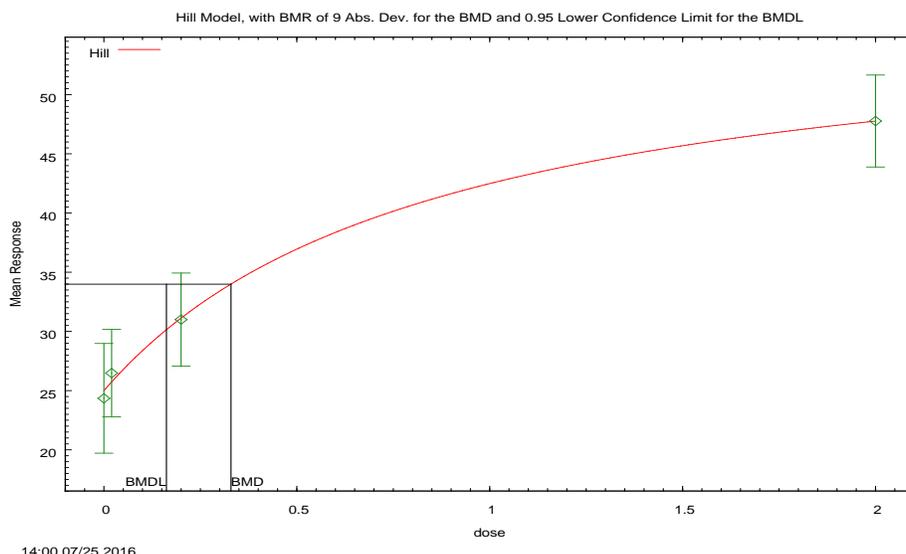
Model <sup>b</sup>	Goodness of fit		BMD <sub>9AD</sub> (mg/kg)	BMDL <sub>9AD</sub> (mg/kg)	Basis for model selection
	p-value	AIC			
Exponential (M2) Exponential (M3) <sup>c</sup>	0.170	430.81	0.991	0.883	Among adequately fitting models, BMDLs ranged up to ~5-fold that of the Hill model; Hill selected for POD derivation
Exponential (M4) Exponential (M5) <sup>c</sup>	0.587	429.56	0.322	0.170	
<b>Hill</b>	<b>0.598</b>	<b>429.54</b>	<b>0.329</b>	<b>0.162</b>	
Linear, Power Polynomial 2°, 3 <sup>o</sup> <sup>d</sup>	0.244	430.08	0.833	0.708	

4  
 5 <sup>a</sup>A common estimate of SD across all trial days for escape latency, PNDs 71–74, yielded a SD of 9 seconds. In order  
 6 to implement this value as a BMR across all trial days, the value was treated equivalently as an absolute deviation  
 7 of 9 seconds. Also see Section 2.1.2.

8 <sup>b</sup>Constant variance case presented (BMDS Test 2 p-value = 0.751, BMDS Test 3 p-value = 0.751).

9 <sup>c</sup>For the Exponential (M3) and (M5) models, the estimate of d was 1 (boundary); these models reduced to the (M2)  
 10 and (M4) models, respectively.

11 <sup>d</sup>For the Power model, the power parameter estimate was 1. For the Polynomial 2° and 3° models, the coefficient  
 12 estimates of higher order than b1 were 0 (boundary of parameter space) The models in this row reduced to the  
 13 Linear model.  
 14



15  
 16 **Figure E-8. Plot of mean escape latency at PND 72 by dose, with fitted curve**  
 17 **for Hill model with constant variance for male and female Sprague-Dawley**  
 18 **rats exposed to benzo[a]pyrene by gavage on PNDs 5–11 (Chen et al., 2012);**  
 19 **BMR = 1 SD from control mean; dose shown in mg/kg.**

**Hill Model** (Version: 2.17; Date: 01/28/2013)

The form of the response function is:  $Y[\text{dose}] = \text{intercept} + v \cdot \text{dose}^n / (k^n + \text{dose}^n)$

A constant variance model is fit

**Benchmark Dose Computation**

BMR = 9 Absolute deviation

BMD = 0.329352

BMDL at the 95% confidence level = 0.162043

**Parameter Estimates**

Variable	Estimate	Default initial parameter values
alpha	71.4666	74.9675
rho	N/A	0
intercept	24.9901	24.35
v	32.6091	23.42
n	1	0.391771
k	0.863966	3.25689

**Table of Data and Estimated Values of Interest**

Dose	N	Observed mean	Estimated mean	Observed SD	Estimated SD	Scaled residuals
0	20	24.4	25	9.9	8.45	-0.339
0.02	20	26.5	25.7	7.9	8.45	0.398
0.2	20	31	31.1	8.4	8.45	-0.0634
2	20	47.8	47.8	8.3	8.45	0.00418

**Likelihoods of Interest**

Model	Log(likelihood)	Number of parameters	AIC
A1	-210.630456	5	431.260911
A2	-210.025963	8	436.051926
A3	-210.630456	5	431.260911
fitted	-210.769197	4	429.538393
R	-241.925097	2	487.850194

**Tests of Interest**

Test	-2*log(likelihood ratio)	Test df	p-value
Test 1	63.7983	6	<0.0001
Test 2	1.20899	3	0.7509
Test 3	1.20899	3	0.7509
Test 4	0.277482	1	0.5984

1 **Table E-11. Summary of BMD Modeling Results for escape latency of male and**  
 2 **female Sprague-Dawley rats at PND 73 exposed to benzo[a]pyrene by gavage**  
 3 **on PNDs 5–11 (Chen et al., 2012); BMR = 1 SD<sup>a</sup> change from control mean**

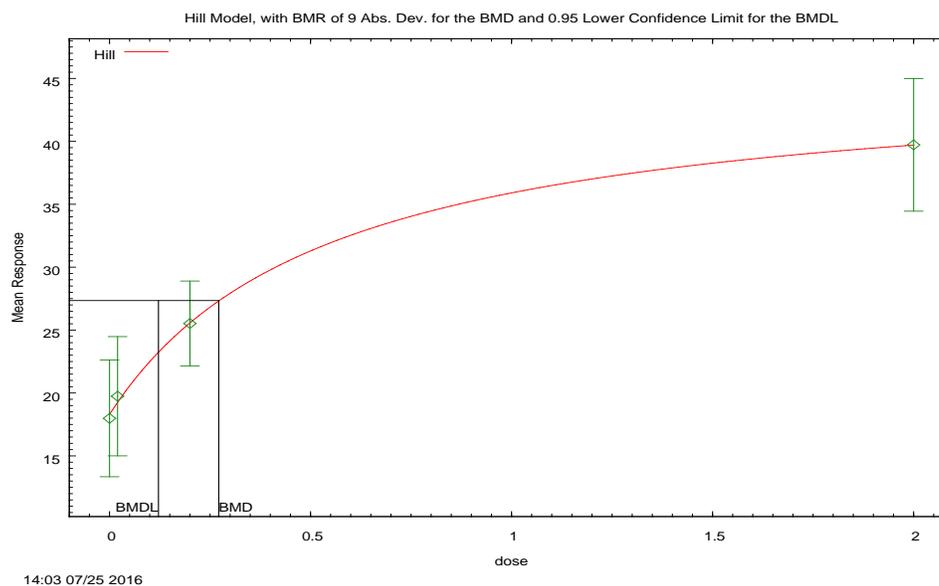
Model <sup>a</sup>	Goodness of fit		BMD <sub>9AD</sub> (mg/kg)	BMDL <sub>9AD</sub> (mg/kg)	Basis for model selection
	p-value	AIC			
Exponential (M2), Exponential (M3) <sup>c</sup>	0.113	450.51	1.09	0.956	Among adequately fitting models, BMDLs ranged ~8-fold from that of the Hill model; Hill selected for POD derivation
Exponential (M4) Exponential (M5) <sup>c</sup>	0.762	448.24	0.266	0.137	
<b>Hill</b>	<b>0.786</b>	<b>448.22</b>	<b>0.272</b>	<b>0.122</b>	
Linear, Power Polynomial 2 <sup>o</sup> , 3 <sup>o</sup> <sup>d</sup>	0.166	449.74	0.909	0.747	

4 <sup>a</sup>A common estimate of SD across all trial days for escape latency, PNDs 71–74, yielded a SD of 9 seconds. In  
 5 order to implement this value as a BMR across all trial days, the value was treated equivalently as an absolute  
 6 deviation of 9 seconds. Also see Section 2.1.2.

7 <sup>b</sup>Constant variance case presented (BMDS Test 2 p-value = 0.262, BMDS Test 3 p-value = 0.262), no model was  
 8 selected as a best-fitting model.

9 <sup>c</sup>For the Exponential (M3) and (M5) models, the estimate of d was 1 (boundary); these models reduced to the (M2)  
 10 and (M4) models, respectively.

11 <sup>d</sup>For the Power model, the power parameter estimate was 1. For the Polynomial 2<sup>o</sup> and 3<sup>o</sup> models, the coefficient  
 12 estimates of higher order than b1 were 0 (boundary of parameter space) The models in this row reduced to the  
 13 Linear model.  
 14  
 15



16  
 17 **Figure E-9. Plot of mean escape latency at PND 73 by dose, with fitted curve**  
 18 **for Hill model with constant variance, for male and female Sprague-Dawley**  
 19 **rats exposed to benzo[a]pyrene by gavage PNDs 5–11 (Chen et al., 2012);**  
 20 **BMR = 1 SD change from control mean; dose shown in mg/kg.**

**Hill Model.** (Version: 2.17; Date: 01/28/2013)

The form of the response function is:  $Y[\text{dose}] = \text{intercept} + v \cdot \text{dose}^n / (k^n + \text{dose}^n)$

A constant variance model is fit

### Benchmark Dose Computation

BMR = 9 Absolute deviation

BMD = 0.271642

BMDL at the 95% confidence level = 0.121722

### Parameter Estimates

Variable	Estimate	Default initial parameter values
alpha	90.2658	94.9287
rho	N/A	0
intercept	18.3451	17.98
v	27.2509	21.74
n	1	0.348791
k	0.550858	3.37789

### Table of Data and Estimated Values of Interest

Dose	N	Observed mean	Estimated mean	Observed SD	Estimated SD	Scaled residuals
0	20	18	18.3	9.91	9.5	-0.172
0.02	20	19.7	19.3	10.1	9.5	0.207
0.2	20	25.5	25.6	7.21	9.5	-0.0394
2	20	39.7	39.7	11.3	9.5	0.00413

### Likelihoods of Interest

Model	Log(likelihood)	Number of parameters	AIC
A1	-220.073327	5	450.146655
A2	-218.073516	8	452.147032
A3	-220.073327	5	450.146655
fitted	-220.11036	4	448.220721
R	-243.776723	2	491.553446

### Tests of Interest

Test	-2*log(likelihood ratio)	Test df	p-value
Test 1	51.4064	6	<0.0001
Test 2	3.99962	3	0.2615
Test 3	3.99962	3	0.2615
Test 4	0.0740662	1	0.7855

1 **Table E-12. Summary of BMD modeling results for escape latency at PND 74**  
 2 **for male and female Sprague-Dawley rats exposed to benzo[a]pyrene by**  
 3 **gavage PNDs 5–11 (Chen et al., 2012); BMR = 1 SD<sup>a</sup> change from control mean**

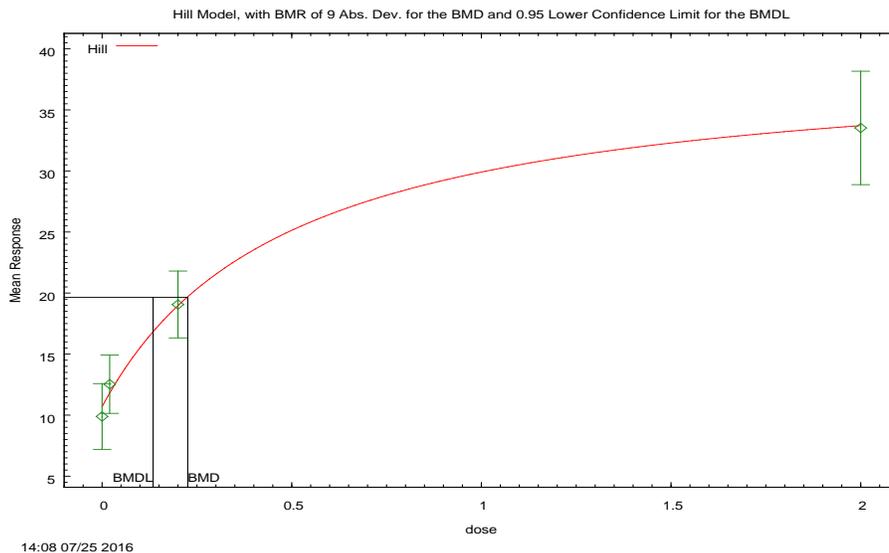
Model <sup>b</sup>	Goodness of fit		BMD <sub>9AD</sub> (mg/kg)	BMDL <sub>9AD</sub> (mg/kg)	Basis for model selection
	p-value	AIC			
Exponential (M2) Exponential (M3) <sup>c</sup>	2.80E-04	400.22	1.10	0.988	Among adequately fitting models, BMDLs ranged up to ~7-fold above that of the Hill model; Hill model selected for POD derivation
Exponential (M4) Exponential (M5) <sup>c</sup>	0.466	386.39	0.227	0.147	
<b>Hill</b>	<b>0.515</b>	<b>386.28</b>	<b>0.226</b>	<b>0.134</b>	
Linear, Power Polynomial 2 <sup>o</sup> , 3 <sup>od</sup>	0.00166	396.66	0.825	0.689	

4  
 5 <sup>a</sup>A common estimate of SD across all trial days for escape latency, PNDs 71–74, yielded a SD of 9 seconds. In order  
 6 to implement this value as a BMR across all trial days, the value was treated equivalently as an absolute deviation  
 7 of 9 seconds. Also see Section 2.1.2.

8 <sup>b</sup>Modeled variance case presented (BMDS Test 2 p-value = 0.00736, BMDS Test 3 p-value = 0.314), no model was  
 9 selected as a best-fitting model.

10 <sup>c</sup>For the Exponential (M3) and (M5) models, the estimate of d was 1 (boundary); these models reduced to the (M2)  
 11 and (M4) models, respectively.

12 <sup>d</sup>For the Power model, the power parameter estimate was 1. For the Polynomial 2<sup>o</sup> and 3<sup>o</sup> models, the coefficient  
 13 estimates of higher order than b1 were 0 (boundary of parameter space) The models in this row reduced to the  
 14 Linear model.



16  
 17 **Figure E-10. Plot of mean response by dose with fitted curve for Hill model**  
 18 **with modeled variance for escape latency of male and female Sprague-Dawley**  
 19 **rats at PND 74 exposed to benzo[a]pyrene by gavage on PNDs 5–11 (Chen et**  
 20 **al., 2012); BMR = 9 absolute deviation from control mean; dose shown in**  
 21 **mg/kg.**

**Hill Model** (Version: 2.17; Date: 01/28/2013)

The form of the response function is:  $Y[\text{dose}] = \text{intercept} + v \cdot \text{dose}^n / (k^n + \text{dose}^n)$

A modeled variance is fit

**Benchmark Dose Computation**

BMR = 9 Absolute deviation

BMD = 0.225851

BMDL at the 95% confidence level = 0.134475

**Parameter Estimates**

Variable	Estimate	Default initial parameter values
lalpha	0.885005	3.87067
rho	0.998715	0
intercept	10.6552	9.89
v	28.6997	23.635
n	1	0.28055
k	0.494355	3.47106

**Table of Data and Estimated Values of Interest**

Dose	N	Observed mean	Estimated mean	Observed SD	Estimated SD	Scaled residuals
0	20	9.89	10.7	5.75	5.07	-0.675
0.02	20	12.5	11.8	5.1	5.33	0.641
0.2	20	19.1	18.9	5.85	6.76	0.0948
2	20	33.5	33.7	9.93	9.01	-0.0704

**Likelihoods of Interest**

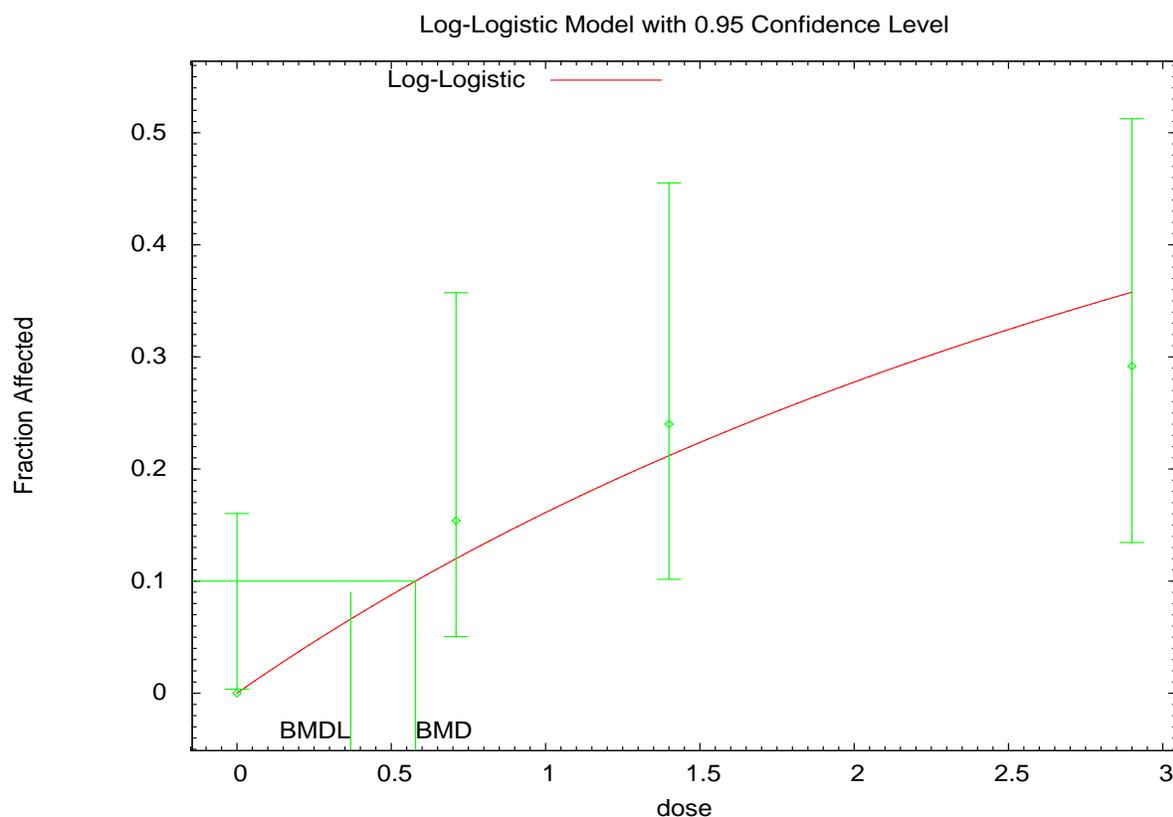
Model	Log(likelihood)	Number of parameters	AIC
A1	-192.775022	5	395.550043
A2	-186.77088	8	389.54176
A3	-187.928576	6	387.857153
fitted	-188.14043	5	386.280859
R	-234.533996	2	473.067991

**Tests of Interest**

Test	-2*log(likelihood ratio)	Test df	p-value
Test 1	95.5262	6	<0.0001
Test 2	12.0083	3	0.007355
Test 3	2.31539	2	0.3142
Test 4	0.423706	1	0.5151

1 **Table E-13. Summary of BMD modeling results for incidence of cervical**  
 2 **epithelial hyperplasia in female ICR mice exposed to benzo[a]pyrene by oral**  
 3 **exposure for 98 days (Gao et al., 2011); BMR = 10% extra risk**

Model	Goodness of fit		BMD <sub>10</sub> (mg/kg-d)	BMDL <sub>10</sub> (mg/kg-d)
	p-value	AIC		
Gamma	0.6874	82.2821	0.659	0.452
Logistic	0.1422	88.4607	1.422	1.052
<b>Log-logistic</b>	<b>0.8360</b>	<b>81.7004</b>	<b>0.578</b>	<b>0.369</b>
Probit	0.1544	88.1151	1.326	0.979
Log-probit	0.0775	88.2004	1.012	0.686
Multistage	0.6874	82.2821	0.659	0.452



4 19:01 08/26 2011

5 **Figure E-11. Fit of log-logistic model to data on cervical epithelial hyperplasia**  
 6 **(Gao et al., 2011)**

7 =====  
 8 Logistic Model. (Version: 2.13; Date: 10/28/2009)  
 9 Input Data File: C:\Users\hclynch\Documents\Active Projects\\_FA498 IRIS\xBaP\IASC Aug  
 10 2011\bmd modeling\lnl\_gao 2011 inflamm cells\_Opt.(d)

**Supplemental Information—Benzo[a]pyrene**

Gnuplot Plotting File: C:\Users\hclynch\Documents\\_Active Projects\\_FA498 IRIS\xBaP\IASC  
 Aug 2011\bmd modeling\lnl\_gao 2011 inflamm cells\_Opt.plt  
 =====

BMDS\_Model\_Run  
 ~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$$

Dependent variable = Col3  
 Independent variable = Col1  
 Slope parameter is restricted as slope >= 1

Total number of observations = 4  
 Total number of records with missing values = 0  
 Maximum number of iterations = 250  
 Relative Function Convergence has been set to: 1e-008  
 Parameter Convergence has been set to: 1e-008

User has chosen the log transformed model

Default Initial Parameter Values  
 background = 0  
 intercept = -1.60901  
 slope = 1

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -background -slope  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

intercept  
 intercept 1

Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| background | 0        | *         | *                              | *                 |
| intercept  | -1.6502  | *         | *                              | *                 |
| slope      | 1        | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value  |
|---------------|-----------------|-----------|----------|-----------|----------|
| Full model    | -39.4267        | 4         |          |           |          |
| Fitted model  | -39.8502        | 1         | 0.847034 | 3         | 0.8382   |
| Reduced model | -45.7739        | 1         | 12.6945  | 3         | 0.005346 |
| AIC:          | 81.7004         |           |          |           |          |

Goodness of Fit

| Dose  | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|-------|------------|----------|----------|------|-----------------|
| ----- |            |          |          |      |                 |

*This document is a draft for review purposes only and does not constitute Agency policy.*

**Supplemental Information—Benzo[a]pyrene**

1           0.0000      0.0000           0.000      0.000           26           0.000  
2           0.7100      0.1200           3.119      4.000           26           0.532  
3           1.4000      0.2119           5.297      6.000           25           0.344  
4           2.9000      0.3577           8.584      7.000           24           -0.675  
5  
6    Chi^2 = 0.86           d.f. = 3           P-value = 0.8360  
7  
8  
9           Benchmark Dose Computation  
10  
11   Specified effect =                    0.1  
12  
13   Risk Type           =           Extra risk  
14  
15   Confidence level =                    0.95  
16  
17                    BMD =                0.578668  
18  
19                    BMDL =                0.368701  
20

1 **Table E-14. Summary of BMD modeling results of embryo/fetal survival for**  
 2 **female F344 rats exposed to benzo[a]pyrene via inhalation on GDs 11–20**  
 3 **(Archibong et al., 2002); BMR = 10 percentage points absolute deviation from**  
 4 **control mean**

| Model <sup>a</sup>                                             | Goodness of fit  |        | BMD <sub>10AD</sub><br>(µg/m <sup>3</sup> ) | BMDL <sub>10AD</sub><br>(µg/m <sup>3</sup> ) | Basis for model selection                                                                                                                   |
|----------------------------------------------------------------|------------------|--------|---------------------------------------------|----------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                | p-value          | AIC    |                                             |                                              |                                                                                                                                             |
| <b>Constant variances assumed<sup>a</sup></b>                  |                  |        |                                             |                                              | No adequate fit: variances for the variability among litter mean percentages could not be fit as a function of exposure; no model selected. |
| Exponential (M2)                                               | 0.0382           | 214.98 | 9.49                                        | 8.40                                         |                                                                                                                                             |
| Exponential (M3)                                               | 0.0239           | 215.55 | 12.6                                        | 8.73                                         |                                                                                                                                             |
| Exponential (M4)                                               | 0.0382           | 214.98 | 9.49                                        | 7.76                                         |                                                                                                                                             |
| Exponential (M5)                                               | N/A <sup>b</sup> | 212.45 | 17.1                                        | 12.3                                         |                                                                                                                                             |
| Hill                                                           | N/A <sup>b</sup> | 212.45 | 18.7                                        | 13.5                                         |                                                                                                                                             |
| Linear, Power<br>Polynomial 2°, 3° <sup>c</sup>                | 0.00240          | 220.52 | 15.1                                        | 13.8                                         |                                                                                                                                             |
| <b>Variances modeled as a function of exposure<sup>a</sup></b> |                  |        |                                             |                                              |                                                                                                                                             |
| Exponential (M2)                                               | 0.0148           | 213.79 | 9.42                                        | 8.32                                         |                                                                                                                                             |
| Exponential (M3)                                               | 0.00515          | 215.18 | 11.7                                        | 8.44                                         |                                                                                                                                             |
| Exponential (M4)                                               | 0.00376          | 215.75 | 9.12                                        | 6.68                                         |                                                                                                                                             |
| Exponential (M5)                                               | N/A <sup>b</sup> | 209.36 | 17.9                                        | 12.4                                         |                                                                                                                                             |
| Hill                                                           | N/A <sup>b</sup> | 209.36 | 19.3                                        | 14.0                                         |                                                                                                                                             |
| Linear, Power,<br>Polynomial 2°, 3° <sup>c</sup>               | 2.61E-04         | 221.86 | 15.4                                        | 14.0                                         |                                                                                                                                             |

5  
6 <sup>a</sup>Under constant variance assumption (BMDS Test 2 p-value = 0.000134) and modeled variances (BMDS Test 3  
7 p-value = 0.00512), no model was selected as a best-fitting model.

8 <sup>b</sup>No available degrees of freedom to calculate a goodness of fit value.

9 <sup>c</sup>For the Power model, the power parameter estimate was 1. For the Polynomial 2° and 3° models, the coefficients  
10 of higher order than b1 were estimated to be 0 (boundary of parameters space). These models reduced to the  
11 Linear model.

12  
13 As detailed in Table E-14, continuous dose-response models were not successful in fitting  
14 the percentage survival data from Archibong et al. (2002) due to non-monotonic variances.  
15 Continuous models rely on assuming that a normal distribution can adequately characterize the  
16 observed data. However, for dichotomous responses that cover a broad range of responses, as  
17 here, the variances may not be straightforward to address. Consequently, characterizing the data in  
18 terms of the underlying binomial responses, in order to apply binomial models, was considered.  
19 However, the individual animal data needed for applying a nested model were not available, and an  
20 approximation of the proportions affected, adjusted for litter effect (the tendency of littermates to  
21 respond more like each other than those in other similarly treated litters), was used.

1 To approximate the underlying incidence data from data reported as the mean of litter  
2 percentages, the following steps were taken:

- 3 • Total number of embryos/fetuses in each group—this was estimated from the number of  
4 litters in each group and the mean number of implantations (see Table E-16).
- 5 • Total number of affected embryos/fetuses in each group—The mean of litter-specific  
6 survival percentages was taken to be the overall estimate of surviving embryos/fetuses for  
7 each exposure group. As BMDS dichotomous models only address increasing responses,  
8 these percentages were converted to the equivalent percentage not surviving (see Table E-  
9 16).
- 10 • Allowance for litter effect, or intralitter correlation—Although the approach of applying  
11 continuous models to means and standard deviations appropriately gave equal weight to  
12 each litter as the experimental unit, the proportion of affected embryos/fetuses among all in  
13 a dose group does not. Consequently, this transformation of the reported data to total  
14 affected embryos/fetuses among total exposed embryos/fetuses needed to address litter  
15 effect. A data adjustment has been developed that reduces the total numbers of fetuses to  
16 account for litter effect (Fox et al., 2016). The adjustment reduces the sample size, here  
17 total number of implantations, as a means of addressing litter effect.

18 Dose-response modeling of the adjusted data by BMDS dichotomous models was carried out  
19 using the percentage affected in the “% Positive” option, which calculates incidence from inputs of  
20 percentage and sample size for each group. The data inputs are bolded in Table E-16.

21 **Table E-15. Derivation of incidence data adjusted for design effect, for**  
22 **embryo/fetal resorption data in Archibong et al. (2002)**

| Endpoint                                        | Exposures and effect data                                        |                        |                        |                        |                        |
|-------------------------------------------------|------------------------------------------------------------------|------------------------|------------------------|------------------------|------------------------|
| Embryo/fetus survival (%)                       | Exposure ( $\mu\text{g}/\text{m}^3$ ), continuous equivalent     | 0<br>(carbon black)    | 4.6                    | 13.8                   | 18.4                   |
|                                                 | Mean $\pm$ SE,<br>Number of litters ( $N_L$ )                    | 96.7 $\pm$ 1.7<br>(10) | 78.3 $\pm$ 4.1<br>(10) | 38.0 $\pm$ 2.1<br>(10) | 33.8 $\pm$ 1.3<br>(10) |
|                                                 | Mean number of implantations                                     | 8.8                    | 8.8                    | 9.0                    | 8.8                    |
| <b>Embryo/fetus resorptions<sup>a</sup> (%)</b> | Estimated percentage, $P_F$ Number of embryos/fetuses, ( $N_F$ ) | <b>3.3</b><br>(88)     | <b>21.7</b><br>(88)    | <b>62.0</b><br>(90)    | <b>66.2</b><br>(88)    |
|                                                 | Design effect $D^b$                                              | 1.87                   | 3.61                   | 5.22                   | 5.34                   |
|                                                 | <b>Adjusted N (<math>N_F/D</math>)</b>                           | <b>47.2</b>            | <b>24.4</b>            | <b>17.3</b>            | <b>16.5</b>            |

23 <sup>a</sup>Embryo/fetus resorptions was calculated by subtracting the reported percentage survival from 100%.  
24 <sup>b</sup>The design effect was estimated using the average of two estimates of the relationship between fetal proportions  
25 and design effect developed from historical data from NTP developmental studies of rats (Fox et al., 2016). Using  
26 the model  $D_i = \exp(a + b \cdot \log(P_F) + 0.5 \cdot \sigma_{\text{res}}^2)$ :  $D_{\text{LS}}$  used the parameter values  $a=1.6852$ ,  $b=0.3310$ , and  $\sigma_{\text{res}}^2=0.1248$ ;  
27  $D_{\text{OR}}$  used the parameter values  $a=1.8327$ ,  $b=0.3690$ , and  $\sigma_{\text{res}}^2=0.1090$ .  
28

1 **Table E-16. Summary of BMD modeling results for estimated incidence of**  
 2 **embryo/fetal resorptions ([Archibong et al., 2002](#)), adjusted for design effect;**  
 3 **BMR=1, 5, or 20% extra risk<sup>a</sup>**

| Model <sup>b</sup>           | Goodness of fit |        | BMD <sub>1%</sub><br>( $\mu\text{g}/\text{m}^3$ ) | BMDL <sub>1%</sub><br>( $\mu\text{g}/\text{m}^3$ ) | BMD <sub>5%</sub><br>( $\mu\text{g}/\text{m}^3$ ) | BMDL <sub>5%</sub><br>( $\mu\text{g}/\text{m}^3$ ) | BMD <sub>20%</sub><br>( $\mu\text{g}/\text{m}^3$ ) | BMDL <sub>20%</sub><br>( $\mu\text{g}/\text{m}^3$ ) |
|------------------------------|-----------------|--------|---------------------------------------------------|----------------------------------------------------|---------------------------------------------------|----------------------------------------------------|----------------------------------------------------|-----------------------------------------------------|
|                              | p-value         | AIC    |                                                   |                                                    |                                                   |                                                    |                                                    |                                                     |
| Gamma                        | 0.634           | 89.529 | 2.17                                              | 0.681                                              | 7.76                                              | 3.47                                               | 25.2                                               | 15.1                                                |
| Dichotomous-Hill             | N/A             | 91.303 | 7.35                                              | 0.430                                              | 13.8                                              | 2.26                                               | 25.7                                               | 18.7                                                |
| Logistic                     | 0.254           | 90.102 | 3.82                                              | 2.53                                               | 15.7                                              | 11.3                                               | 41.9                                               | 33.7                                                |
| LogLogistic                  | 0.716           | 89.436 | 3.23                                              | 0.462                                              | 9.34                                              | 2.41                                               | 25.4                                               | 11.4                                                |
| Probit                       | 0.320           | 89.593 | 3.41                                              | 2.31                                               | 14.2                                              | 10.4                                               | 39.6                                               | 32.2                                                |
| LogProbit                    | 0.721           | 89.431 | 5.34                                              | 0.427                                              | 10.9                                              | 1.85                                               | 25.4                                               | 10.0                                                |
| Weibull                      | 0.621           | 89.548 | 1.81                                              | 0.680                                              | 7.19                                              | 3.47                                               | 24.9                                               | 15.1                                                |
| Multistage 2, 3 <sup>c</sup> | 0.583           | 89.605 | 1.18                                              | 0.677                                              | 5.93                                              | 3.46                                               | 24.2                                               | 15.0                                                |
| Quantal-Linear               | 0.805           | 87.748 | 0.934                                             | 0.672                                              | 4.77                                              | 3.43                                               | 20.7                                               | 14.9                                                |

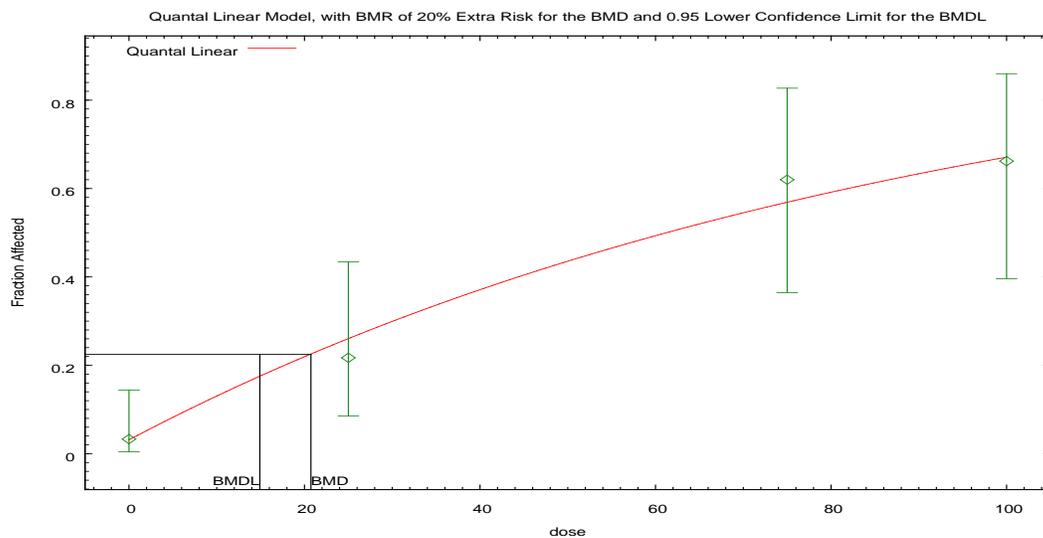
4 N/A=not available

5  
 6 <sup>a</sup>Multiple BMRs provided in order to inform low-dose extrapolation; the BMR of 20% provides the basis for judging  
 7 fit to the observed data. Note that the BMDLs do not reflect allowance for simultaneous predictions; only one  
 8 BMDL from the selected model is used to derive a reference value, depending on the degree of low-dose  
 9 extrapolation that is justified.

10 <sup>b</sup>**Basis for Model Selection:** Among the adequately fitting models, BMDL<sub>20%</sub> ranged close to 3-fold, and the quantal-  
 11 linear model had the lowest AIC. However, in the response range where a POD is needed, model uncertainty  
 12 increases, as shown by increasing range of BMDLs and greater BMD/BMDL range for several models.

13 <sup>c</sup>For Multistage 3°, the b3 parameter was estimated at the boundary of the parameter space (0), and the model  
 14 reduced to Multistage 2°.

15



1  
 2 **Figure E-12. Plot of incidence of embryo/fetal resorptions by dose, with fitted**  
 3 **curve for Quantal-Linear model, for F344 female rats exposed to**  
 4 **benzo[a]pyrene by inhalation on GDs 11–20 ([Archibong et al., 2012](#)); dose**  
 5 **shown in  $\mu\text{g}/\text{m}^3$**

**Quantal Linear Model using Weibull Model** (Version: 2.16; Date: 2/28/2013)

The form of the probability function is:  $P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{slope} * \text{dose})]$

**Benchmark Dose Computation**

BMR = 20% Extra risk

BMD = 20.7381

BMDL at the 95% confidence level = 14.9171

**Parameter Estimates**

| Variable   | Estimate  | Default initial parameter values |
|------------|-----------|----------------------------------|
| Background | 0.0312684 | 0.0519837                        |
| Slope      | 0.0107601 | 0.00980808                       |
| Power      | N/A       | 1                                |

**Analysis of Deviance Table**

| Model         | Log(likelihood) | Number of parameters | Deviance | Test df | p-value |
|---------------|-----------------|----------------------|----------|---------|---------|
| Full model    | -41.65          | 4                    |          |         |         |
| Fitted model  | -41.87          | 2                    | 0.444722 | 2       | 0.8     |
| Reduced model | -61.52          | 1                    | 39.734   | 3       | <0.0001 |

AIC: = 87.7479

**Goodness-of-Fit Table**

| Dose | Est. Prob. | Expected | Observed | Size | Scaled residuals |
|------|------------|----------|----------|------|------------------|
| 0    | 0.0313     | 1.476    | 1.558    | 47.2 | 0.07             |
| 25   | 0.2598     | 6.338    | 5.295    | 24.4 | -0.48            |
| 75   | 0.5678     | 9.822    | 10.726   | 17.3 | 0.44             |
| 100  | 0.6697     | 11.05    | 10.923   | 16.5 | -0.07            |

Chi<sup>2</sup> = 0.43 df = 2 p-value = 0.8052

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**Table E-17. Summary of BMD Modeling Results for ovarian weight in F344 rats exposed to benzo[a]pyrene via inhalation for 14 days prior to mating (Archibong et al., 2012); BMR = 10% relative deviation from control mean**

| Model <sup>a</sup>                                | Goodness of fit  |         | BMD <sub>10RD</sub><br>(µg/m <sup>3</sup> ) | BMDL <sub>10RD</sub><br>(µg/m <sup>3</sup> ) | Basis for model selection                                                                                                                                                                                 |
|---------------------------------------------------|------------------|---------|---------------------------------------------|----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                   | p-value          | AIC     |                                             |                                              |                                                                                                                                                                                                           |
| Exponential (M2)<br>Exponential (M3) <sup>b</sup> | <0.0001          | -140.91 | 74.7                                        | 63.3                                         | No adequate fit; Exponential (M4) fit shown as illustration only. Dropping the high dose group reduced the number of applicable models; no adequate fits. (Equivalent coefficients of variation were <1%) |
| Exponential (M4)                                  | 0.00117          | -165.02 | 41.0                                        | 28.6                                         |                                                                                                                                                                                                           |
| Exponential (M5)                                  | N/A <sup>c</sup> | -167.87 | 49.4                                        | 42.9                                         |                                                                                                                                                                                                           |
| Hill                                              | 0.0170           | -169.86 | 49.6                                        | 44.9                                         |                                                                                                                                                                                                           |
| Power, Polynomial 2°, 3°, Linear <sup>d</sup>     | <0.0001          | -139.36 | 77.0                                        | 65.6                                         |                                                                                                                                                                                                           |

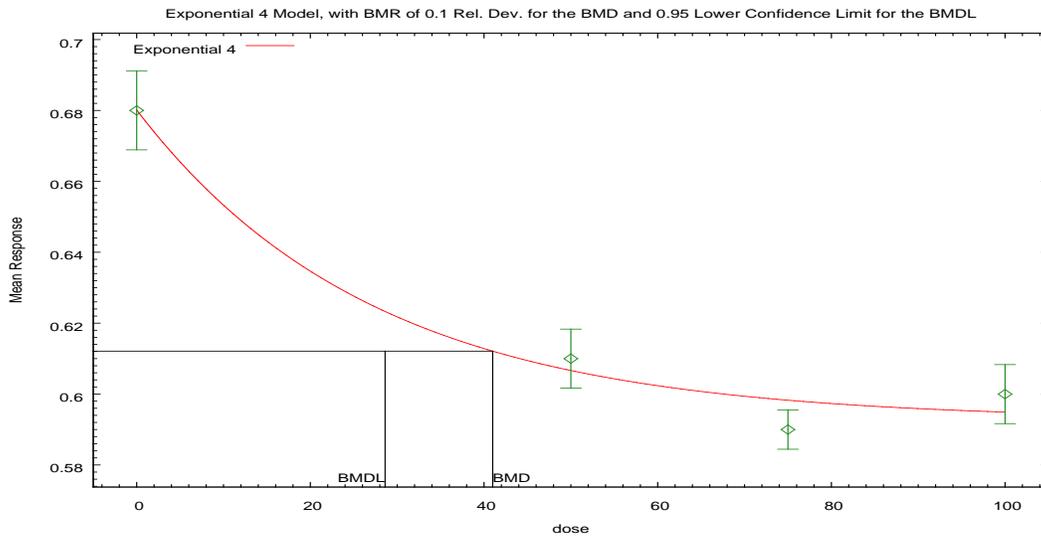
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<sup>a</sup>Constant variance case presented (BMDS Test 2 p-value = 0.520, BMDS Test 3 p-value = 0.520), no model was selected as a best-fitting model.

<sup>b</sup>For the Exponential (M3) model, the estimate of d was 1 (boundary); this model reduced to the Exponential (M2) model.

<sup>c</sup>No available degrees of freedom to calculate a goodness of fit value.

<sup>d</sup>For the Power model, the power parameter estimate was 1. For the Polynomial 2° and 3° models, the coefficient estimates of higher order than b1 were 0 (boundary of parameters space). The models in this row reduced to the Linear model.



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**Figure E-13. Plot of mean ovarian weight by dose, with fitted curve for Exponential (M4) model with constant variance for female F344 rats exposed to benzo[a]pyrene for 14 days prior to mating (Archibong et al., 2012); BMR = 10% relative deviation from control mean; dose shown in µg/m<sup>3</sup>.**

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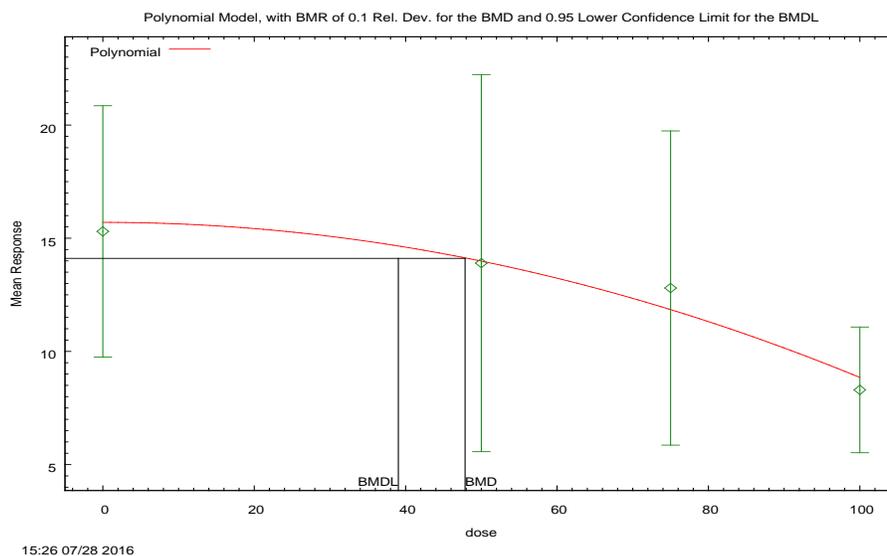
1 **Table E-18. Summary of BMD modeling results for ovulation rate (ovulated**  
 2 **oocytes/dam) in female F344 rats following inhalation exposure to**  
 3 **benzo[a]pyrene for 14 days (Archibong et al., 2012); BMR = 1 or 10% relative**  
 4 **deviation from control mean**

| Model <sup>a</sup>            | Goodness of fit  |               | BMD <sub>1RD</sub><br>(µg/m <sup>3</sup> ) | BMDL <sub>1RD</sub><br>(µg/m <sup>3</sup> ) | BMD <sub>10RD</sub><br>(µg/m <sup>3</sup> ) | BMDL <sub>10RD</sub><br>(µg/m <sup>3</sup> ) | Basis for model selection                                                                                                                                                                                            |
|-------------------------------|------------------|---------------|--------------------------------------------|---------------------------------------------|---------------------------------------------|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                               | p-value          | AIC           |                                            |                                             |                                             |                                              |                                                                                                                                                                                                                      |
| Exponential (M2)              | 0.423            | 87.885        | 2.22                                       | 1.24                                        | 23.3                                        | 13.0                                         | Among adequately fitting models at BMR=10% (omitting Exp. M4 <sup>c</sup> ), the BMDLs covered a 3-fold range; Polynomial 2° had the lowest AIC. However, the BMDL at 1% was excessively low. No model was selected. |
| Exponential (M3)              | 0.721            | 88.291        | 36.9                                       | 1.49                                        | 65.8                                        | 15.6                                         |                                                                                                                                                                                                                      |
| Exponential (M4) <sup>c</sup> | 0.423            | 87.885        | 2.22                                       | 0.011                                       | 23.3                                        | 0.190                                        |                                                                                                                                                                                                                      |
| Exponential (M5)              | 0.721            | 88.291        | 36.9                                       | 1.20                                        | 65.8                                        | 13.5                                         |                                                                                                                                                                                                                      |
| Hill                          | N/A <sup>b</sup> | 90.263        | 32.3                                       | 1.82                                        | 64.1                                        | 18.3                                         |                                                                                                                                                                                                                      |
| Power                         | 0.753            | 88.262        | 32.2                                       | 1.84                                        | 64.1                                        | 18.4                                         |                                                                                                                                                                                                                      |
| Polynomial 3°                 | 0.742            | 88.271        | 26.3                                       | 1.84                                        | 60.2                                        | 18.4                                         |                                                                                                                                                                                                                      |
| Polynomial 2°                 | 0.845            | 86.500        | 15.1                                       | 1.80                                        | 47.9                                        | 39.0                                         |                                                                                                                                                                                                                      |
| <b>Linear</b>                 | <b>0.500</b>     | <b>87.551</b> | <b>2.56</b>                                | <b>1.67</b>                                 | <b>25.6</b>                                 | <b>16.7</b>                                  |                                                                                                                                                                                                                      |

5  
 6 <sup>a</sup>Constant variance case presented (BMDS Test 2 p-value = 0.148, BMDS Test 3 p-value = 0.148), no model was  
 7 selected as a best-fitting model.

8 <sup>b</sup>No available degrees of freedom to calculate a goodness of fit value.

9 <sup>c</sup>Exponential (M4) parameters were identical to Exponential (M2).



**Figure E-14. Plot of mean ovulation rate by dose, with fitted curve for Polynomial 2° model with constant variance, for female F344 rats following inhalation exposure to benzo[a]pyrene for 14 days (Archibong et al., 2012); BMR = 10% relative deviation from control mean; dose shown in µg/m<sup>3</sup>.**

**Polynomial Model** (Version: 2.20; Date: 10/22/2014)

The form of the response function is:  $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$

A constant variance model is fit

**Benchmark Dose Computation**

BMR = 10% Relative deviation

BMD = 47.8549

BMDL at the 95% confidence level = 39.0362

**Parameter Estimates**

| Variable | Estimate     | Default initial parameter values |
|----------|--------------|----------------------------------|
| alpha    | 20.5942      | 25.3125                          |
| rho      | N/A          | 0                                |
| beta_0   | 15.6769      | 15.2145                          |
| beta_1   | -1.37569E-24 | 0                                |
| beta_2   | -0.000684553 | -0.00101091                      |

**Table of Data and Estimated Values of Interest**

| Dose | N | Observed mean | Estimated mean | Observed SD | Estimated SD | Scaled Residual |
|------|---|---------------|----------------|-------------|--------------|-----------------|
| 0    | 5 | 15.3          | 15.7           | 4.47        | 4.54         | -0.186          |
| 50   | 5 | 13.9          | 14             | 6.71        | 4.54         | -0.0323         |
| 75   | 5 | 12.8          | 11.8           | 5.59        | 4.54         | 0.48            |
| 100  | 5 | 8.3           | 8.83           | 2.24        | 4.54         | -0.262          |

**Likelihoods of Interest**

| Model  | Log(likelihood) | Number of parameters | AIC       |
|--------|-----------------|----------------------|-----------|
| A1     | -40.081548      | 5                    | 90.163096 |
| A2     | -37.403195      | 8                    | 90.806389 |
| A3     | -40.081548      | 5                    | 90.163096 |
| fitted | -40.250096      | 3                    | 86.500193 |
| R      | -43.005249      | 2                    | 90.010499 |

**Tests of Interest**

| Test   | -2*log(likelihood ratio) | Test df | p-value |
|--------|--------------------------|---------|---------|
| Test 1 | 11.2041                  | 6       | 0.08227 |
| Test 2 | 5.35671                  | 3       | 0.1475  |
| Test 3 | 5.35671                  | 3       | 0.1475  |
| Test 4 | 0.337097                 | 2       | 0.8449  |

1 **E.1.3. Dosimetry Modeling for Estimation of Human Equivalent Concentrations for**  
 2 **Reference Concentration (RfC)**

3  
 4 As discussed in Section 2.2.2, the human equivalent concentration (HEC) was calculated  
 5 from the  $POD_{ADJ}$  by multiplying by a dosimetric adjustment factor (DAF), which, in this case, was the  
 6 regional deposited dose ratio ( $RDDR_{ER}$ ) for extrarespiratory (i.e., systemic) effects. The observed  
 7 developmental effects are considered systemic in nature (i.e., extrarespiratory) and the normalizing  
 8 factor for extrarespiratory effects of particles is body weight. The  $RDDR_{ER}$  was calculated as  
 9 follows:

$$RDDR_{ER} = \frac{BW_H}{BW_A} \times \frac{(V_E)_A}{(V_E)_H} \times \frac{(F_{TOT})_A}{(F_{TOT})_H}$$

11 where:

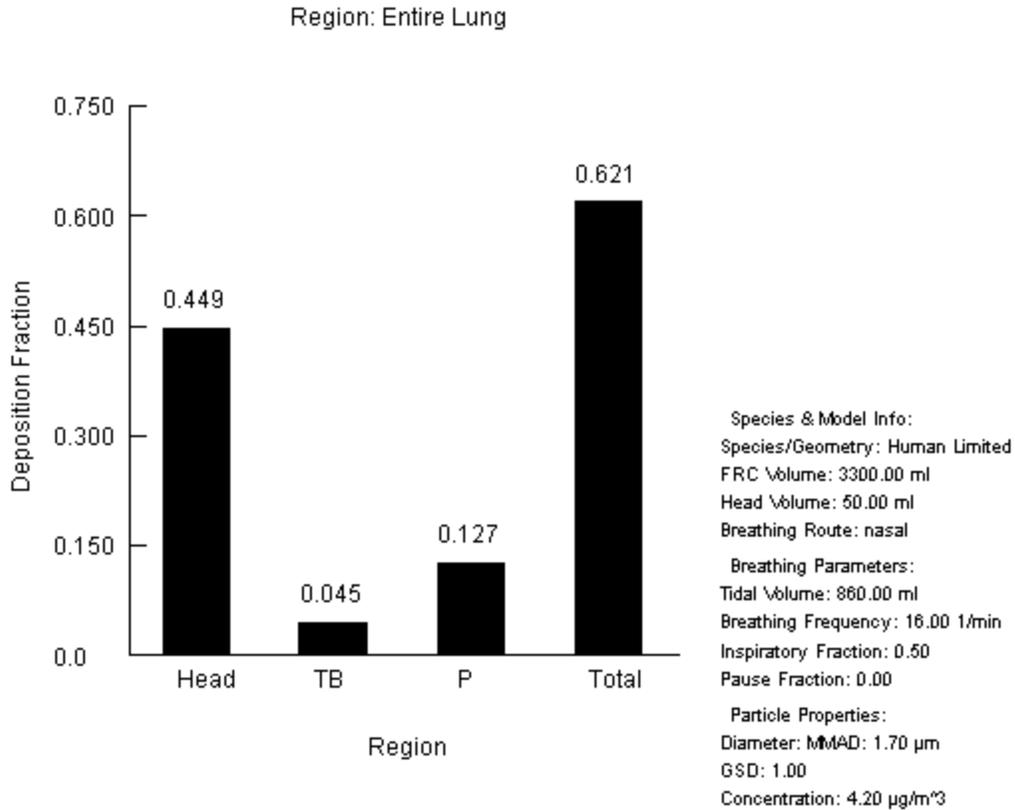
12 BW = body weight (kg)

13  $V_E$  = ventilation rate (L/minute)

14  $F_{TOT}$  = total fractional deposition

15  
 16  
 17 The total fractional deposition includes particle deposition in the nasal-pharyngeal,  
 18 tracheobronchial, and pulmonary regions.  $F_{TOT}$  for both animals and humans was calculated using  
 19 the Multi-Path Particle Dosimetry (MPPD) model, a computational model used for estimating  
 20 human and rat airway particle deposition and clearance (MPPD; Version 2.0 © 2006, publicly  
 21 available through the Hamner Institute). See model output below.

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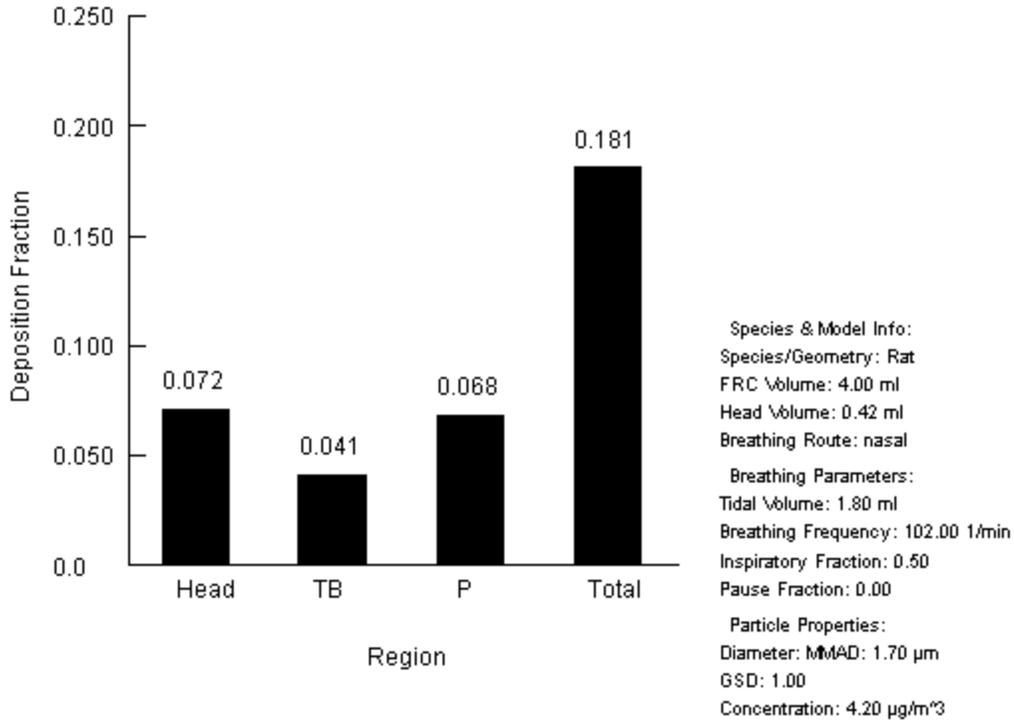
**Figure E-15. Human fractional deposition.**

3 Species = humanlimited  
 4 FRC = 3300.0  
 5 Head volume = 50.0  
 6 Density = 1.0  
 7 Number of particles calculated = single  
 8 Diameter = 1.7000000000000002 µm MMAD  
 9 Inhalability = yes  
 10 GSD = 1.0  
 11 Breathing interval: One single breath  
 12 Concentration = 4.2  
 13 Breathing Frequency = 16.0  
 14 Tidal Volume = 860.0  
 15 Inspiratory Fraction = 0.5  
 16 Pause Fraction = 0.0  
 17 Breathing Route = nasal

18  
 19 Region: Entire Lung  
 20 Region: Entire Lung  
 21 Region Deposition Fraction  
 22 -- --  
 23 **Head 0.449**  
 24 **TB 0.045**  
 25 **P 0.127**  
 26 **Total 0.621**

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Region: Entire Lung



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**Figure E-16. Rat fractional deposition.**

```

Species = rat
FRC = 4.0
Head volume = 0.42
Density = 1.0
Number of particles calculated = single
Diameter = 1.7000000000000002 µm MMAD
Inhalability = yes
GSD = 1.0
Breathing interval: One single breath
Concentration = 4.2
Breathing Frequency = 102.0
Tidal Volume = 1.8
Inspiratory Fraction = 0.5
Pause Fraction = 0.0
Breathing Route = nasal

Region: Entire Lung
Region: Entire Lung
Region Deposition Fraction
-- --
Head 0.072
TB 0.041
P 0.068
Total 0.181
    
```

---

## 1 E.2. Cancer Endpoints

### 2 E.2.1. Dose-Response Modeling for the Oral Slope Factor

#### 3 *Dose-Response Models*

4 Due to the occurrence of multiple tumor types, earlier occurrence with increasing exposure,  
5 and early termination of the high-dose group in the oral carcinogenicity studies (see Appendix D for  
6 study details), methods that can reflect the influence of competing risks and intercurrent mortality  
7 on site-specific tumor incidence rates are preferred. EPA has generally used a model that  
8 incorporates the time at which death-with-tumor occurred as well as the dose; the multistage-  
9 Weibull model is multistage in dose and Weibull in time, and has the form:

$$10 \quad P(d, t) = 1 - \exp[-(q_0 + q_1d + q_2d^2 + \dots + q_kd^k) \times (t \pm t_0)^c],$$

11 where  $P(d, t)$  represents the lifetime risk (probability) of cancer at dose  $d$  (i.e., human equivalent  
12 exposure in this case) and age  $t$  (in bioassay weeks); parameters  $q_i \geq 0$ , for  $i = 0, 1, \dots, k$ ;  $t$  is the time  
13 at which the tumor was observed; and  $c$  is a parameter which characterizes the change in response  
14 with age. The parameter  $t_0$  represents the time between when a potentially fatal tumor becomes  
15 observable and when it causes death, and is generally set to 0 either when all tumors are  
16 considered incidental or because of a lack of data to estimate the time reliably. The dose-response  
17 analyses were conducted using the computer software program MultiStage-Weibull ([U.S. EPA,  
18 2010b](#)), which is based on Weibull models drawn from [Krewski et al. \(1983\)](#). Parameters were  
19 estimated using the method of maximum likelihood. From specific model fits using stages up to  
20  $n - 1$ , where  $n$  is the number of dose groups, the model fit with the lowest AIC was selected.

#### 21 *Data Adjustments Prior to Modeling*

22 Two general characteristics of the observed tumor types were considered prior to  
23 modeling: allowance for different, although unidentified modes of action, and allowance for relative  
24 severity of tumor types. First, etiologically different tumor types were not combined across sites  
25 prior to modeling (i.e., overall counts of tumor-bearing animals were not tabulated) in order to  
26 allow for the possibility that different tumor types could have different dose-response relationships  
27 due to different underlying mechanisms or factors, such as latency. Consequently, all of the tumor  
28 types were also modeled separately.

29 Additionally, the multistage-Weibull model can address relative severity of tumor types to  
30 some extent by distinguishing between tumors as being either fatal or incidental to the death of an  
31 animal in order to adjust partially for competing risks. In contrast to fatal tumors, incidental  
32 tumors are those tumors thought not to have caused the death of an animal. Cause-of-death  
33 information for most early animal deaths was provided by the investigators of both bioassays. In  
34 the rat study of [Kroese et al. \(2001\)](#), tumors of the forestomach or liver were the principal cause of

1 death for most animals dying or sacrificed (due to moribundity) before the end of the study, while  
2 tumors of the forestomach were the most common cause of early deaths in the mouse study of  
3 [Beland and Culp \(1998\)](#). The incidence data modeled are listed in Tables E-19 (male rats), E-20  
4 (female rats), and E-21 (female mice).

5 Consistent with EPA's *Recommended use of body weight 3/4 as the default method in*  
6 *derivation of the oral reference dose*, human-equivalent dose (HED) estimates used for dose-  
7 response modeling were based on scaling by body weight<sup>3/4</sup>, as there were no pharmacokinetic  
8 models or data to inform another approach ([U.S. EPA, 2011](#)). The dose estimates are provided in  
9 Tables E-22 ([Kroese et al., 2001](#)) and E-23 ([Beland and Culp, 1998](#)).

### 10 **Evaluation of Model Fit and Model Selection**

11 Each model was examined for adequacy of fit in the low-dose region and in the vicinity of  
12 the BMR of 10% extra risk. In general, the model fit with the lowest AIC was selected, except when  
13 model fit near the BMR and in the low-dose region was improved by including an additional stage  
14 (parameter) in the model.

15 PODs for estimating low-dose risk were identified at doses at the lower end of the observed  
16 data, generally corresponding to 10% extra risk, where extra risk is defined as  $[P(d) - P(0)] /$   
17  $[1 - P(0)]$ . The lifetime oral cancer slope factor for humans is defined as the slope of the line from  
18 the lower 95% bound on the exposure at the POD to the control response (slope factor =  
19  $0.1/\text{BMDL}_{10}$ ). This slope, a 95% upper confidence limit (UCL), represents a plausible upper bound  
20 on the true risk.

### 21 **Overall Risk**

22 Although the time-to-tumor modeling helps account for competing risks associated with  
23 decreased survival times and other tumors, considering the tumor sites individually still does not  
24 convey the total amount of risk potentially arising from the sensitivity of multiple sites (i.e., the risk  
25 of developing any combination of the increased tumor types, not just the risk of developing all  
26 simultaneously). One approach suggested in the *Guidelines for Carcinogen Risk Assessment* ([U.S.](#)  
27 [EPA, 2005a](#)) would be to estimate cancer risk from tumor-bearing animals. EPA traditionally used  
28 this approach until the National Research Council (NRC) document *Science and Judgment in Risk*  
29 *Assessment* ([NRC, 1994](#)) made a case that this approach would tend to underestimate overall risk  
30 when tumor types occur in a statistically independent manner. In addition, application of one  
31 model to a composite data set does not accommodate biologically relevant information that may  
32 vary across sites or may only be available for a subset of sites. For instance, the time courses of the  
33 multiple tumor types evaluated varied, as is suggested by the variation in estimates of  $c$ , from  
34 1.5 (e.g., male rat skin or mammary gland basal cell tumors), indicating relatively little effect of age  
35 on tumor incidence, to 3.7 (e.g., male mouse alimentary tract tumors), indicating a more rapidly  
36 increasing response with increasing age (in addition to exposure level). The result of fitting a  
37 model with parameters that can reflect underlying mechanisms, such as  $z$  in the multistage-Weibull

1 model, would be difficult to interpret with composite data (i.e., counts of tumor-bearing animals). A  
2 simpler model, such as the multistage model, could be used for the composite data, but relevant  
3 biological information would then be ignored.

4 Following the recommendations of the [NRC \(1994\)](#) regarding combining risk estimates,  
5 statistical methods that can accommodate the underlying distribution of slope factors are optimal,  
6 such as through maximum likelihood estimation or through bootstrapping or Bayesian analysis.  
7 However, these methods have not yet been extended to models such as the multistage-Weibull  
8 model. A method involving the assumption that the variability in the slope factors could be  
9 characterized by a normal distribution is detailed below ([U.S. EPA, 2010b](#)). Using the results in  
10 female rats to illustrate, the overall risk estimate involved the following steps:

- 11 1) It was assumed that the tumor groupings modeled above were statistically independent  
12 (i.e., that the occurrence of a liver tumor was not dependent upon whether there was a  
13 forestomach tumor). This assumption cannot currently be verified, and if not correct, could  
14 lead to an overestimate of risk from summing across tumor sites. However, [NRC \(1994\)](#)  
15 argued that a general assumption of statistical independence of tumor-type occurrences  
16 within animals was not likely to introduce substantial error in assessing carcinogenic  
17 potency from rodent bioassay data.
- 18 2) The models previously fitted to estimate the BMDs and BMDLs were used to extrapolate to a  
19 lower level of risk (R), in order to reach the region of each estimated dose-response  
20 function where the slope was reasonably constant and upper bound estimation was still  
21 numerically stable. For these data, a  $10^{-3}$  risk was generally the lowest risk necessary. The  
22 oral slope factor for each site was then estimated by  $R/BMDL_R$ , as for the estimates for each  
23 tumor site above.
- 24 3) The maximum likelihood estimates (MLE) of unit potency (i.e., risk per unit of exposure)  
25 estimated by  $R/BMD_R$ , were summed across the alimentary tract, liver, and jejunum/  
26 duodenum in female rats.
- 27 4) An estimate of the 95% (one-sided) upper bound on the summed oral slope factor was  
28 calculated by assuming a normal distribution for the individual risk estimates, and deriving  
29 the variance of the risk estimate for each tumor site from its 95% UCL according to the  
30 formula:

$$95\% \text{ UCL} = \text{MLE} + 1.645 \times \text{SD},$$

31 rearranged to:

$$32 \text{SD} = (\text{UCL} - \text{MLE}) / 1.645,$$

33  
34 where 1.645 is the t-statistic corresponding to a one-sided 95% confidence interval (CI) and  
35 >120 degrees of freedom, and the SD is the square root of the variance of the MLE. The variances  
36 (variance =  $\text{SD}^2$ ) for each site-specific estimate were summed across tumor sites to obtain the  
37 variance of the sum of the MLEs. The 95% UCL on the sum of MLEs was calculated from the  
38 expression above for the UCL, using the variance of the sum of the MLE to obtain the relevant SD  
39 ( $\text{SD} = \text{variance}^{1/2}$ ).  
40

1 **Table E-19. Tumor incidence data, with time to death with tumor for male**  
 2 **Wistar rats exposed by gavage to benzo[a]pyrene for 104 weeks ([Kroese et al.](#)**  
 3 **[2001](#))**

| Dose<br>(mg/kg-d) | Wk of<br>death | Total<br>examined | Numbers of animals with:                |                    |              |       |                                  |                          |                            |                                   |
|-------------------|----------------|-------------------|-----------------------------------------|--------------------|--------------|-------|----------------------------------|--------------------------|----------------------------|-----------------------------------|
|                   |                |                   | Oral cavity or<br>forestomach<br>tumors |                    | Liver tumors |       | Duodenum<br>or jejunum<br>tumors | Skin or mammary<br>gland |                            | Kidney<br>urothelial<br>carcinoma |
|                   |                |                   | Incidental <sup>a</sup>                 | Fatal <sup>a</sup> | Incidental   | Fatal |                                  | Basal cell<br>tumors     | Squamous<br>cell<br>tumors |                                   |
|                   |                |                   |                                         |                    |              |       | Incidental                       |                          |                            | Incidental                        |
| 0                 | 44             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 1                        | 0                          | 0                                 |
|                   | 80             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 82             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 84             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 89             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 90             | 3                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 91             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 92             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 93             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 94             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 95             | 2                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 96             | 2                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 97             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 98             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 100            | 3                 | 0                                       | 0                  | 0            | 0     | 0                                | 1                        | 0                          | 0                                 |
|                   | 104            | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 105            | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
| 108               | 7              | 0                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          |                                   |
| 109               | 22             | 0                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          |                                   |
| 3                 | 29             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 40             | 1                 | 1                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 74             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 76             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 79             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 82             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 92             | 2                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 93             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 94             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 95             | 2                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 98             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 107            | 10                | 4                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 108            | 15                | 2                                       | 0                  | 3            | 0     | 0                                | 1                        | 1                          | 0                                 |
| 109               | 14             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          |                                   |

**Supplemental Information—Benzo[a]pyrene**

| Dose<br>(mg/kg-d) | Wk of<br>death | Total<br>examined | Numbers of animals with:                |                    |              |       |                                  |                          |                            |                                   |
|-------------------|----------------|-------------------|-----------------------------------------|--------------------|--------------|-------|----------------------------------|--------------------------|----------------------------|-----------------------------------|
|                   |                |                   | Oral cavity or<br>forestomach<br>tumors |                    | Liver tumors |       | Duodenum<br>or jejunum<br>tumors | Skin or mammary<br>gland |                            | Kidney<br>urothelial<br>carcinoma |
|                   |                |                   | Incidental <sup>a</sup>                 | Fatal <sup>a</sup> | Incidental   | Fatal |                                  | Basal cell<br>tumors     | Squamous<br>cell<br>tumors |                                   |
|                   |                |                   |                                         |                    |              |       | Incidental                       |                          |                            | Incidental                        |
| 10                | 39             | 1                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 47             | 2                 | 0                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 63             | 1                 | 1                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 68             | 2                 | 2                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 69             | 1                 | 1                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 77             | 1                 | 0                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 80             | 1                 | 0                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 81             | 1                 | 1                                       | 0                  | 0            | 0     | 1                                | 0                        | 0                          | 0                                 |
|                   | 84             | 1                 | 1                                       | 0                  | 0            | 1     | 0                                | 0                        | 0                          | 0                                 |
|                   | 86             | 1                 | 0                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 90             | 1                 | 1                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 95             | 3                 | 3                                       | 0                  | 2            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 97             | 1                 | 1                                       | 0                  | 0            | 1     | 0                                | 0                        | 0                          | 0                                 |
|                   | 100            | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 102            | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 103            | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 104            | 3                 | 3                                       | 0                  | 3            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 107            | 12                | 12                                      | 0                  | 11           | 0     | 0                                | 0                        | 1                          | 0                                 |
|                   | 108            | 11                | 11                                      | 0                  | 11           | 0     | 0                                | 1                        | 0                          | 0                                 |
|                   | 109            | 6                 | 5                                       | 0                  | 3            | 0     | 0                                | 0                        | 0                          | 0                                 |

**Supplemental Information—Benzo[a]pyrene**

| Dose<br>(mg/kg-d) | Wk of<br>death | Total<br>examined | Numbers of animals with:                |                    |              |       |                                  |                          |                            |                                   |
|-------------------|----------------|-------------------|-----------------------------------------|--------------------|--------------|-------|----------------------------------|--------------------------|----------------------------|-----------------------------------|
|                   |                |                   | Oral cavity or<br>forestomach<br>tumors |                    | Liver tumors |       | Duodenum<br>or jejunum<br>tumors | Skin or mammary<br>gland |                            | Kidney<br>urothelial<br>carcinoma |
|                   |                |                   | Incidental <sup>a</sup>                 | Fatal <sup>a</sup> | Incidental   | Fatal |                                  | Basal cell<br>tumors     | Squamous<br>cell<br>tumors |                                   |
|                   |                |                   |                                         |                    |              |       | Incidental                       |                          |                            | Incidental                        |
| 30                | 32             | 1                 | 1                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 35             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 37             | 1                 | 1                                       | 0                  | 0            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 44             | 1                 | 0                                       | 1                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 45             | 2                 | 2                                       | 0                  | 2            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 47             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 48             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 49             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 50             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 51             | 1                 | 1                                       | 0                  | 1            | 0     | 1                                | 0                        | 0                          | 0                                 |
|                   | 52             | 4                 | 3                                       | 1                  | 3            | 1     | 0                                | 1                        | 1                          | 0                                 |
|                   | 53             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 1                        | 0                          | 0                                 |
|                   | 56             | 2                 | 1                                       | 1                  | 1            | 1     | 0                                | 0                        | 0                          | 0                                 |
|                   | 58             | 2                 | 2                                       | 0                  | 2            | 0     | 0                                | 1                        | 0                          | 0                                 |
|                   | 59             | 2                 | 2                                       | 0                  | 2            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 60             | 2                 | 1                                       | 1                  | 1            | 1     | 1                                | 0                        | 0                          | 0                                 |
|                   | 61             | 3                 | 2                                       | 1                  | 1            | 2     | 1                                | 0                        | 0                          | 0                                 |
|                   | 62             | 5                 | 5                                       | 0                  | 0            | 4     | 3                                | 0                        | 0                          | 0                                 |
|                   | 63             | 5                 | 5                                       | 0                  | 4            | 1     | 1                                | 2                        | 1                          | 2                                 |
|                   | 64             | 2                 | 2                                       | 0                  | 1            | 1     | 0                                | 0                        | 0                          | 1                                 |
|                   | 65             | 3                 | 2                                       | 1                  | 1            | 2     | 0                                | 3                        | 2                          | 0                                 |
|                   | 66             | 1                 | 1                                       | 0                  | 0            | 1     | 0                                | 0                        | 0                          | 0                                 |
|                   | 67             | 3                 | 1                                       | 2                  | 2            | 1     | 1                                | 1                        | 1                          | 0                                 |
|                   | 68             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 0                        | 0                          | 0                                 |
|                   | 70             | 2                 | 2                                       | 0                  | 1            | 1     | 1                                | 1                        | 0                          | 0                                 |
|                   | 71             | 1                 | 1                                       | 0                  | 1            | 0     | 0                                | 1                        | 1                          | 0                                 |
|                   | 73             | 1                 | 0                                       | 1                  | 1            | 0     | 0                                | 1                        | 0                          | 0                                 |
|                   | 76             | 1                 | 1                                       | 0                  | 0            | 1     | 0                                | 1                        | 0                          | 0                                 |

1  
2 <sup>a</sup>“Incidental” denotes presence of tumors not known to have caused death of particular animals. “Fatal” denotes  
3 incidence of tumors reported by the study investigators to have caused death of particular animals.

1 **Table E-20. Tumor incidence data, with time to death with tumor for female**  
 2 **Wistar rats exposed by gavage to benzo[a]pyrene for 104 weeks ([Kroese et al.](#)**  
 3 **[2001](#))**

| Dose<br>(mg/kg-d) | Wk of<br>death | Total<br>examined | Numbers of animals with:             |                    |              |       |                                  |
|-------------------|----------------|-------------------|--------------------------------------|--------------------|--------------|-------|----------------------------------|
|                   |                |                   | Oral cavity or forestomach<br>tumors |                    | Liver tumors |       | Duodenum or<br>jejunum<br>tumors |
|                   |                |                   | Incidental <sup>a</sup>              | Fatal <sup>a</sup> | Incidental   | Fatal | Incidental                       |
| 0                 | 64             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 69             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 75             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 104            | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 106            | 4                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 107            | 7                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 108            | 7                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 109            | 30                | 1                                    | 0                  | 0            | 0     | 0                                |
| 3                 | 8              | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 47             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 52             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 60             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 65             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 76             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 77             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 83             | 2                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 85             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 86             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 88             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 93             | 2                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 94             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 97             | 1                 | 1                                    | 0                  | 0            | 0     | 0                                |
|                   | 107            | 6                 | 2                                    | 0                  | 1            | 0     | 0                                |
|                   | 108            | 9                 | 2                                    | 0                  | 0            | 0     | 0                                |
|                   | 109            | 21                | 1                                    | 0                  | 0            | 0     | 0                                |

**Supplemental Information—Benzo[a]pyrene**

| Dose<br>(mg/kg-d) | Wk of<br>death | Total<br>examined | Numbers of animals with:             |                    |              |       |                                  |
|-------------------|----------------|-------------------|--------------------------------------|--------------------|--------------|-------|----------------------------------|
|                   |                |                   | Oral cavity or forestomach<br>tumors |                    | Liver tumors |       | Duodenum or<br>jejunum<br>tumors |
|                   |                |                   | Incidental <sup>a</sup>              | Fatal <sup>a</sup> | Incidental   | Fatal | Incidental                       |
| 10                | 42             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 43             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 44             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 45             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 48             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 55             | 1                 | 0                                    | 0                  | 1            | 0     | 0                                |
|                   | 59             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 75             | 1                 | 0                                    | 0                  | 1            | 0     | 0                                |
|                   | 76             | 2                 | 0                                    | 0                  | 1            | 0     | 0                                |
|                   | 77             | 2                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 80             | 1                 | 1                                    | 0                  | 1            | 0     | 0                                |
|                   | 81             | 1                 | 1                                    | 0                  | 0            | 1     | 0                                |
|                   | 82             | 1                 | 1                                    | 0                  | 1            | 0     | 0                                |
|                   | 83             | 1                 | 0                                    | 0                  | 1            | 0     | 0                                |
|                   | 85             | 2                 | 1                                    | 0                  | 1            | 1     | 0                                |
|                   | 86             | 1                 | 1                                    | 0                  | 0            | 1     | 0                                |
|                   | 87             | 1                 | 0                                    | 0                  | 1            | 0     | 0                                |
|                   | 88             | 2                 | 1                                    | 0                  | 1            | 1     | 0                                |
|                   | 89             | 1                 | 1                                    | 0                  | 0            | 1     | 0                                |
|                   | 91             | 1                 | 0                                    | 0                  | 0            | 1     | 0                                |
|                   | 95             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 96             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 98             | 2                 | 2                                    | 0                  | 1            | 1     | 0                                |
|                   | 99             | 3                 | 3                                    | 0                  | 1            | 2     | 0                                |
|                   | 102            | 1                 | 1                                    | 0                  | 0            | 1     | 0                                |
|                   | 104            | 1                 | 1                                    | 0                  | 1            | 0     | 0                                |
|                   | 105            | 2                 | 1                                    | 0                  | 1            | 1     | 0                                |
|                   | 106            | 1                 | 1                                    | 0                  | 0            | 1     | 0                                |
|                   | 107            | 5                 | 5                                    | 0                  | 5            | 0     | 0                                |
|                   | 108            | 7                 | 7                                    | 0                  | 7            | 0     | 0                                |
|                   | 109            | 4                 | 2                                    | 0                  | 2            | 0     | 0                                |

**Supplemental Information—Benzo[a]pyrene**

| Dose<br>(mg/kg-d) | Wk of<br>death | Total<br>examined | Numbers of animals with:             |                    |              |       |                                  |
|-------------------|----------------|-------------------|--------------------------------------|--------------------|--------------|-------|----------------------------------|
|                   |                |                   | Oral cavity or forestomach<br>tumors |                    | Liver tumors |       | Duodenum or<br>jejunum<br>tumors |
|                   |                |                   | Incidental <sup>a</sup>              | Fatal <sup>a</sup> | Incidental   | Fatal | Incidental                       |
| 30                | 26             | 1                 | 0                                    | 0                  | 0            | 0     | 0                                |
|                   | 44             | 4                 | 4                                    | 0                  | 3            | 1     | 0                                |
|                   | 47             | 3                 | 3                                    | 0                  | 2            | 1     | 0                                |
|                   | 48             | 1                 | 1                                    | 0                  | 0            | 1     | 0                                |
|                   | 54             | 1                 | 0                                    | 0                  | 1            | 0     | 0                                |
|                   | 55             | 3                 | 3                                    | 0                  | 1            | 2     | 0                                |
|                   | 56             | 2                 | 2                                    | 0                  | 0            | 2     | 0                                |
|                   | 57             | 2                 | 2                                    | 0                  | 2            | 0     | 0                                |
|                   | 58             | 4                 | 3                                    | 1                  | 0            | 4     | 0                                |
|                   | 59             | 2                 | 1                                    | 1                  | 0            | 2     | 0                                |
|                   | 60             | 1                 | 0                                    | 1                  | 1            | 0     | 0                                |
|                   | 61             | 2                 | 2                                    | 0                  | 0            | 2     | 0                                |
|                   | 62             | 2                 | 2                                    | 0                  | 1            | 1     | 0                                |
|                   | 63             | 3                 | 3                                    | 0                  | 0            | 3     | 0                                |
|                   | 64             | 5                 | 5                                    | 0                  | 0            | 5     | 3                                |
|                   | 66             | 3                 | 3                                    | 0                  | 0            | 3     | 0                                |
|                   | 67             | 2                 | 1                                    | 1                  | 0            | 2     | 0                                |
|                   | 68             | 1                 | 1                                    | 0                  | 0            | 1     | 0                                |
| 69                | 4              | 3                 | 1                                    | 1                  | 3            | 1     |                                  |
| 71                | 4              | 3                 | 1                                    | 1                  | 3            | 0     |                                  |
| 72                | 2              | 1                 | 1                                    | 1                  | 0            | 0     |                                  |

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<sup>a</sup>“Incidental” denotes presence of tumors not known to have caused death of particular animals. “Fatal” denotes incidence of tumors indicated by the study investigators to have caused death of particular animals.

1 **Table E-21. Tumor incidence, with time to death with tumor; B6C3F<sub>1</sub> female**  
 2 **mice exposed to benzo[a]pyrene via diet for 2 years (Beland and Culp, 1998)**

| Dose group (ppm in diet) | Wk of death | Total examined | Number of animals with alimentary tract squamous cell tumors |            | Dose group (ppm in diet) | Wk of death | Total examined | Number of animals with alimentary tract squamous cell tumors |            |
|--------------------------|-------------|----------------|--------------------------------------------------------------|------------|--------------------------|-------------|----------------|--------------------------------------------------------------|------------|
|                          |             |                | Fatal <sup>a</sup>                                           | Incidental |                          |             |                | Fatal <sup>a</sup>                                           | Incidental |
| 0                        | 31          | 1              | 0                                                            | 0          | 5                        | 25          | 1              | 0                                                            | 0          |
|                          | 74          | 1              | 0                                                            | 0          |                          | 55          | 1              | 0                                                            | 0          |
|                          | 89          | 2              | 0                                                            | 0          |                          | 83          | 1              | 0                                                            | 0          |
|                          | 91          | 1              | 0                                                            | 0          |                          | 86          | 1              | 0                                                            | 0          |
|                          | 93          | 2              | 0                                                            | 0          |                          | 87          | 2              | 0                                                            | 0          |
|                          | 94          | 2              | 0                                                            | 0          |                          | 88          | 2              | 0                                                            | 0          |
|                          | 97          | 2              | 0                                                            | 0          |                          | 90          | 1              | 0                                                            | 0          |
|                          | 98          | 2              | 0                                                            | 0          |                          | 94          | 1              | 0                                                            | 0          |
|                          | 99          | 1              | 0                                                            | 0          |                          | 95          | 2              | 0                                                            | 0          |
|                          | 100         | 2              | 0                                                            | 0          |                          | 96          | 1              | 0                                                            | 0          |
|                          | 101         | 2              | 0                                                            | 0          |                          | 97          | 2              | 0                                                            | 0          |
|                          | 104         | 1              | 0                                                            | 0          |                          | 98          | 2              | 0                                                            | 0          |
|                          | 105         | 29             | 0                                                            | 1          |                          | 101         | 2              | 0                                                            | 0          |
|                          |             |                |                                                              |            |                          | 102         | 2              | 0                                                            | 0          |
|                          |             |                |                                                              | 105        | 27                       | 0           | 3              |                                                              |            |
| 25                       | 44          | 1              | 1                                                            | 0          | 100                      | 39          | 1              | 1                                                            | 0          |
|                          | 47          | 1              | 0                                                            | 0          |                          | 40          | 1              | 1                                                            | 0          |
|                          | 64          | 1 <sup>b</sup> | 0                                                            | 0          |                          | 42          | 1              | 1                                                            | 0          |
|                          | 70          | 1              | 1                                                            | 0          |                          | 47          | 2              | 2                                                            | 0          |
|                          | 77          | 1              | 1                                                            | 0          |                          | 49          | 1              | 0                                                            | 0          |
|                          | 80          | 1              | 0                                                            | 0          |                          | 50          | 1              | 1                                                            | 0          |
|                          | 81          | 1              | 1                                                            | 0          |                          | 53          | 1 <sup>b</sup> | 0                                                            | 0          |
|                          | 84          | 2              | 1                                                            | 1          |                          | 55          | 3              | 3                                                            | 0          |
|                          | 85          | 1              | 1                                                            | 0          |                          | 56          | 1              | 1                                                            | 0          |
|                          | 86          | 1              | 1                                                            | 0          |                          | 57          | 1              | 1                                                            | 0          |
|                          | 88          | 1              | 1                                                            | 0          |                          | 58          | 1              | 1                                                            | 0          |
|                          | 89          | 1              | 0                                                            | 0          |                          | 59          | 3              | 3                                                            | 0          |
|                          | 90          | 4              | 4                                                            | 0          |                          | 60          | 1              | 1                                                            | 0          |
|                          | 93          | 3              | 2                                                            | 1          |                          | 61          | 3              | 3                                                            | 0          |
|                          | 94          | 2              | 2                                                            | 0          |                          | 62          | 5              | 5                                                            | 0          |
|                          | 96          | 3              | 0                                                            | 2          |                          | 63          | 4              | 4                                                            | 0          |
|                          | 97          | 1              | 1                                                            | 0          |                          | 64          | 3              | 3                                                            | 0          |
|                          | 98          | 1              | 1                                                            | 0          |                          | 65          | 2              | 2                                                            | 0          |
|                          | 99          | 2              | 1                                                            | 1          |                          | 66          | 3              | 3                                                            | 0          |
|                          | 100         | 1              | 1                                                            | 0          |                          | 68          | 1              | 1                                                            | 0          |
|                          | 101         | 1              | 0                                                            | 0          |                          | 69          | 2              | 2                                                            | 0          |
| 102                      | 2           | 2              | 0                                                            | 70         | 2                        | 2           | 0              |                                                              |            |
| 104                      | 1           | 1              | 0                                                            | 71         | 1                        | 1           | 0              |                                                              |            |
| 105                      | 13          | 0              | 10                                                           | 72         | 1                        | 1           | 0              |                                                              |            |
|                          |             |                |                                                              | 73         | 1                        | 1           | 0              |                                                              |            |
|                          |             |                |                                                              | 74         | 1                        | 1           | 0              |                                                              |            |
|                          |             |                |                                                              | 79         | 1                        | 1           | 0              |                                                              |            |

3  
 4 <sup>a</sup>“Incidental” denotes presence of tumors not known to have caused death of particular animals. “Fatal” denotes  
 5 incidence of tumors indicated by the study investigators to have caused death of particular animals.

1 **Table E-22. Derivation of HEDs to use for BMD modeling of Wistar rat tumor**  
 2 **incidence data from [Kroese et al. \(2001\)](#)**

| Benzo[a]pyrene dose (mg/kg-d) | TWA body weight (kg) | Interspecies scaling factor <sup>a</sup> | HED <sup>b</sup> (mg/kg-d) |
|-------------------------------|----------------------|------------------------------------------|----------------------------|
| <i>Male</i>                   |                      |                                          |                            |
| 3                             | 0.349                | 0.27                                     | 0.54                       |
| 10                            | 0.349                | 0.27                                     | 1.81                       |
| 30                            | 0.288                | 0.25                                     | 5.17                       |
| <i>Female</i>                 |                      |                                          |                            |
| 3                             | 0.222                | 0.24                                     | 0.49                       |
| 10                            | 0.222                | 0.24                                     | 1.62                       |
| 30                            | 0.222                | 0.24                                     | 4.85                       |

3  
 4 <sup>a</sup>Scaling factors were calculated using [U.S. EPA \(1988\)](#) reference body weights for humans (70 kg), and the TWA  
 5 body weights for each dose group: rat-to-human = (TWA body weight/70)<sup>0.25</sup> = scaling factor.  
 6 <sup>b</sup>HED = administered dose × scaling factor.

7 **Table E-23. Derivation of HEDs for dose-response modeling of B6C3F<sub>1</sub> female**  
 8 **mouse tumor incidence data from [Beland and Culp \(1998\)](#)**

| Benzo[a]pyrene dose in diet (ppm) | Intake (µg/d) | TWA body weight average (kg) | Administered dose <sup>a</sup> (mg/kg-d) | Scaling factor <sup>b</sup> | HED <sup>c</sup> (mg/kg-d) |
|-----------------------------------|---------------|------------------------------|------------------------------------------|-----------------------------|----------------------------|
| 5                                 | 21            | 0.032                        | 0.7                                      | 0.15                        | 0.10                       |
| 25                                | 104           | 0.032                        | 3.3                                      | 0.15                        | 0.48                       |
| 100                               | 430           | 0.027                        | 16.5                                     | 0.14                        | 2.32                       |

9  
 10 <sup>a</sup>Administered doses in mg/kg-day were calculated from dietary concentrations of benzo[a]pyrene using the TWA  
 11 body weight and reported food intakes for mice.  
 12 <sup>b</sup>Scaling factors were calculated using [U.S. EPA \(1988\)](#) reference body weights for humans (70 kg), and the TWA  
 13 body weights for each dose group: mouse-to-human = (TWA body weight/70)<sup>0.25</sup> = scaling factor.  
 14 <sup>c</sup>HED = administered dose × scaling factor.

1 ***E.2.1.5. Sensitivity Analyses***

2 Alternative dose-response models were also considered, limited to the most sensitive sites  
3 for male and female rats (alimentary system tumors) and the overall incidence of alimentary  
4 system tumors for female mice. Tumor incidences were adjusted for early mortality using the poly-  
5 3 procedure (Bailer and Portier, 1988); the adjusted incidences are provided in Tables E-25 (male  
6 rats), E-26 (female rats) and E-29 (female mice). Adjusted incidences were fit using dichotomous  
7 models in BMDS (see Section E.1.2. for model fitting methods).

8 ***Dose-Response Modeling Results***

9 Tables E-24 (male and female rats), and E-28 (female mice) summarize the multistage-  
10 Weibull modeling results supporting the oral slope factor for benzo[a]pyrene. The model outputs  
11 and graphs following each of these tables (male rats: Figures E-17 through E-22; female rats:  
12 Figures E-23 through E-25; female mice: Figure E-26) provide more details for the best-fitting  
13 models in each case.

14 Derivations of overall risk estimates for male and female rats are summarized in Table E-27.

15 Alternative dose-response modeling results are provided in Tables E-25 (male rats), E-26  
16 (female rats), and E-29 (female mice).

1 **Table E-24. Summary of BMD modeling results for best-fitting multistage-**  
 2 **Weibull models, using time-to-tumor data for Wistar rats exposed to**  
 3 **benzo[a]pyrene via gavage for 104 weeks ([Kroese et al., 2001](#)); BMR = 10%**  
 4 **extra risk**

|                                              | Endpoints                                         | Model stages | AIC          | BMD <sub>10</sub>  | BMDL <sub>10</sub> – BMDU <sub>10</sub> | Basis for model selection             |
|----------------------------------------------|---------------------------------------------------|--------------|--------------|--------------------|-----------------------------------------|---------------------------------------|
| Male rats                                    | Oral cavity and forestomach: squamous cell tumors | 1            | 577.8        | 0.104              |                                         | Lowest AIC, best fit to low dose data |
|                                              |                                                   | 2            | 407.6        | 0.678              |                                         |                                       |
|                                              |                                                   | 3            | <b>229.0</b> | <b>0.453</b>       | <b>0.281–0.612</b>                      |                                       |
|                                              | Hepatocellular tumors                             | 1            | 367.3        | 0.181              |                                         | Lowest AIC, best fit to low dose data |
|                                              |                                                   | 2            | 301.5        | 0.472              |                                         |                                       |
|                                              |                                                   | 3            | <b>289.1</b> | <b>0.651</b>       | <b>0.449–0.772</b>                      |                                       |
| Duodenum and jejunum tumors                  | 1                                                 | 69.6         | 2.64         |                    | Best fit to data                        |                                       |
|                                              | 2                                                 | 65.9         | 3.04         |                    |                                         |                                       |
|                                              | 3                                                 | <b>66.9</b>  | <b>3.03</b>  | <b>2.38–3.87</b>   |                                         |                                       |
| Kidney: urothelial carcinoma                 | 1                                                 | 31.9         | 9.16         |                    | Best fit to data                        |                                       |
|                                              | 2                                                 | 31.7         | 5.71         |                    |                                         |                                       |
|                                              | 3                                                 | <b>32.8</b>  | <b>4.65</b>  | <b>2.50–9.01</b>   |                                         |                                       |
| Skin and mammary gland: basal cell tumors    | 1                                                 | 110.6        | 1.88         |                    | Lowest AIC, best fit to low dose data   |                                       |
|                                              | 2                                                 | 105.1        | 2.58         |                    |                                         |                                       |
|                                              | 3                                                 | <b>104.7</b> | <b>2.86</b>  | <b>2.35–3.62</b>   |                                         |                                       |
| Skin and mammary gland: squamous cell tumors | 1                                                 | 63.5         | 3.36         |                    | Best fit to low dose data               |                                       |
|                                              | 2                                                 | 64.3         | 2.75         |                    |                                         |                                       |
|                                              | 3                                                 | <b>65.3</b>  | <b>2.64</b>  | <b>1.77–4.42</b>   |                                         |                                       |
| Female rats                                  | Oral cavity and forestomach: squamous cell tumors | 1            | 277.1        | 0.245              |                                         | Lowest AIC, best fit to low dose data |
|                                              |                                                   | 2            | 211.6        | 0.428              |                                         |                                       |
|                                              |                                                   | 3            | <b>201.0</b> | <b>0.539</b>       | <b>0.328–0.717</b>                      |                                       |
| Hepatocellular tumors                        | 1                                                 | 595.5        | 0.146        |                    | Lowest AIC, best fit to low dose data   |                                       |
|                                              | 2                                                 | 774.9        | 0.370        |                    |                                         |                                       |
|                                              | 3                                                 | <b>468.3</b> | <b>0.575</b> | <b>0.507–0.630</b> |                                         |                                       |
| Duodenum and jejunum tumors                  | 1                                                 | 37.9         | 6.00         |                    | Best fit to low dose data               |                                       |
|                                              | 2                                                 | 37.0         | 4.33         |                    |                                         |                                       |
|                                              | 3                                                 | <b>37.8</b>  | <b>3.43</b>  | <b>1.95–5.70</b>   |                                         |                                       |

5

1 **Male Rat (*Kroese et al., 2001*): Squamous Cell Papilloma or Carcinoma in Oral Cavity or**  
 2 **Forestomach**

3 =====  
 4 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 5 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 6 Input Data File: OralForstKroeseM3.(d)  
 7 =====

8 The form of the probability function is:  
 9  $P[\text{response}] = 1 - \exp\left\{ -\left( t - t_0 \right)^c \cdot \left( \beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3 \right) \right\}$   
 10

11 The parameter betas are restricted to be positive

12 Dependent variable = CONTEXT  
 13 Independent variables = DOSE, TIME

14 Total number of observations = 208  
 15 Total number of records with missing values = 0  
 16 Total number of parameters in model = 6  
 17 Total number of specified parameters = 0  
 18 Degree of polynomial = 3

19 Maximum number of iterations = 64  
 20 Relative Function Convergence has been set to: 2.22045e-016  
 21 Parameter Convergence has been set to: 1.49012e-008

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 27  
 28 Default Initial Parameter Values  
 29 c = 3.6  
 30 t\_0 = 39.1111  
 31 beta\_0 = 0  
 32 beta\_1 = 8.8911e-009  
 33 beta\_2 = 1.60475e-031  
 34 beta\_3 = 1.95818e-008

35  
 36  
 37 Asymptotic Correlation Matrix of Parameter Estimates  
 38 ( \*\*\* The model parameter(s) -beta\_0 -beta\_2  
 39 have been estimated at a boundary point, or have been specified by the user,  
 40 and do not appear in the correlation matrix )

|        | c     | t_0   | beta_1 | beta_3 |
|--------|-------|-------|--------|--------|
| c      | 1     | -0.53 | -0.93  | -0.99  |
| t_0    | -0.53 | 1     | 0.47   | 0.57   |
| beta_1 | -0.93 | 0.47  | 1      | 0.9    |
| beta_3 | -0.99 | 0.57  | 0.9    | 1      |

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| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.74559      | 0.447309     | 2.86888                        | 4.6223            |
| t_0      | 41.4581      | 2.14975      | 37.2447                        | 45.6716           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 4.37816e-009 | 1.07528e-008 | -1.6697e-008                   | 2.54533e-008      |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 1.01904e-008 | 1.94164e-008 | -2.78651e-008                  | 4.82458e-008      |

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 63 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 64 and thus has no standard error.

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|              | Log(likelihood) | # Param | AIC     |
|--------------|-----------------|---------|---------|
| Fitted Model | -108.512        | 6       | 229.024 |

Supplemental Information—Benzo[a]pyrene

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Data Summary

|      | CONTEXT |   |    |   | Total | Expected Response |
|------|---------|---|----|---|-------|-------------------|
| DOSE | C       | F | I  | U |       |                   |
| 0    | 52      | 0 | 0  | 0 | 52    | 0.00              |
| 0.54 | 44      | 0 | 8  | 0 | 52    | 6.77              |
| 1.8  | 7       | 0 | 45 | 0 | 52    | 41.69             |
| 5.2  | 0       | 9 | 43 | 0 | 52    | 49.97             |

Minimum observation time for F tumor context = 44

Benchmark Dose Computation

Risk Response = Incidental  
Risk Type = Extra  
Confidence level = 0.9  
Time = 104

|                    |          |           |             |
|--------------------|----------|-----------|-------------|
| Specified effect = | 0.1      | 0.01      | 0.001       |
| BMD =              | 0.453471 | 0.0633681 | 0.00636659  |
| BMDL =             | 0.281044 | 0.0286649 | 0.00285563  |
| BMDU =             | 0.612462 | 0.248377  | > 0.0509326 |



1 **Table E-25. Summary of alternate BMD modeling results for squamous cell**  
 2 **papillomas or carcinomas in oral cavity or forestomach of male rats exposed**  
 3 **orally to benzo[a]pyrene ([Kroese et al., 2001](#)); poly-3 incidences <sup>a</sup>**

4

| Model                      | Goodness of fit |               | BMD <sub>10</sub><br>(mg/kg-d) | BMDL <sub>10</sub><br>(mg/kg-d) | Comments                                                                                                            |
|----------------------------|-----------------|---------------|--------------------------------|---------------------------------|---------------------------------------------------------------------------------------------------------------------|
|                            | p-value         | AIC           |                                |                                 |                                                                                                                     |
| Multistage 3° <sup>b</sup> | 1.000           | 62.662        | 0.406                          | 0.200                           | Among multistage models, two-stage model provided the most parsimonious fit.                                        |
| <b>Multistage 2°</b>       | <b>0.861</b>    | <b>61.467</b> | <b>0.349</b>                   | <b>0.243</b>                    |                                                                                                                     |
| Quantal-Linear             | 0.0012          | 79.862        | 0.106                          | 0.0838                          |                                                                                                                     |
| Gamma                      | 1.000           | 62.662        | 0.439                          | 0.323                           | Other dichotomous models yielded BMD <sub>10S</sub> ranging 0.412–0.470 and BMDL <sub>10S</sub> ranging 0.287–0.367 |
| Dichotomous-Hill           | 0.980           | 62.741        | 0.455                          | 0.364                           |                                                                                                                     |
| LogLogistic                |                 |               |                                |                                 |                                                                                                                     |
| Logistic                   | 0.539           | 64.750        | 0.470                          | 0.357                           |                                                                                                                     |
| Probit                     | 0.657           | 64.053        | 0.454                          | 0.343                           |                                                                                                                     |
| LogProbit                  | 0.999           | 62.665        | 0.459                          | 0.367                           |                                                                                                                     |
| Weibull                    | 1.000           | 62.662        | 0.412                          | 0.287                           |                                                                                                                     |

<sup>a</sup> Dose: 0 mg/kg-d      0/43  
 0.54                    8/45  
 1.8                     45/47  
 5.2                     52/52

<sup>b</sup> Coefficients b<sub>0</sub>, b<sub>1</sub> fit at boundary of permitted values (0).

5

1 **Male Rat ([Kroese et al., 2001](#)): Hepatocellular Adenoma or Carcinoma**

2 =====  
 3 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 4 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 5 Input Data File: LiverKroeseM3.(d)  
 6 =====

7  
 8 The form of the probability function is:  
 9  $P[\text{response}] = 1 - \exp\left\{-(t - t_0)^c \cdot (\beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3)\right\}$   
 10

11 The parameter betas are restricted to be positive

12  
 13 Dependent variable = CONTEXT  
 14 Independent variables = DOSE, TIME

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 17 Total number of observations = 208  
 18 Total number of records with missing values = 0  
 19 Total number of parameters in model = 6  
 20 Total number of specified parameters = 0  
 21 Degree of polynomial = 3  
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 24 Maximum number of iterations = 64  
 25 Relative Function Convergence has been set to: 2.22045e-016  
 26 Parameter Convergence has been set to: 1.49012e-008  
 27

28  
 29 Default Initial Parameter Values

30 c = 3.6  
 31 t\_0 = 34.6667  
 32 beta\_0 = 0  
 33 beta\_1 = 2.73535e-009  
 34 beta\_2 = 8.116e-028  
 35 beta\_3 = 1.43532e-008  
 36

37  
 38 Asymptotic Correlation Matrix of Parameter Estimates

39 ( \*\*\* The model parameter(s) -beta\_0 -beta\_2  
 40 have been estimated at a boundary point, or have been specified by the user,  
 41 and do not appear in the correlation matrix )  
 42

|        | c     | t_0   | beta_1 | beta_3 |
|--------|-------|-------|--------|--------|
| c      | 1     | -0.84 | -0.88  | -1     |
| t_0    | -0.84 | 1     | 0.71   | 0.86   |
| beta_1 | -0.88 | 0.71  | 1      | 0.86   |
| beta_3 | -1    | 0.86  | 0.86   | 1      |

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 53 Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.49582      | 0.629257     | 2.26249                        | 4.72914           |
| t_0      | 40.2211      | 5.65421      | 29.1391                        | 51.3032           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 4.43906e-009 | 1.76051e-008 | -3.00664e-008                  | 3.89445e-008      |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 2.35065e-008 | 6.47999e-008 | -1.03499e-007                  | 1.50512e-007      |

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 63 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 64 and thus has no standard error.

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 66 Log(likelihood) # Param AIC  
 67 Fitted Model -138.544 6 289.088  
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Data Summary

**Supplemental Information—Benzo[a]pyrene**

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| DOSE | CONTEXT |    |    |   | Total | Expected Response |
|------|---------|----|----|---|-------|-------------------|
|      | C       | F  | I  | U |       |                   |
| 0    | 52      | 0  | 0  | 0 | 52    | 0.00              |
| 0.54 | 48      | 0  | 4  | 0 | 52    | 3.38              |
| 1.8  | 14      | 2  | 36 | 0 | 52    | 36.81             |
| 5.2  | 3       | 17 | 32 | 0 | 52    | 49.55             |

Minimum observation time for F tumor context = 52

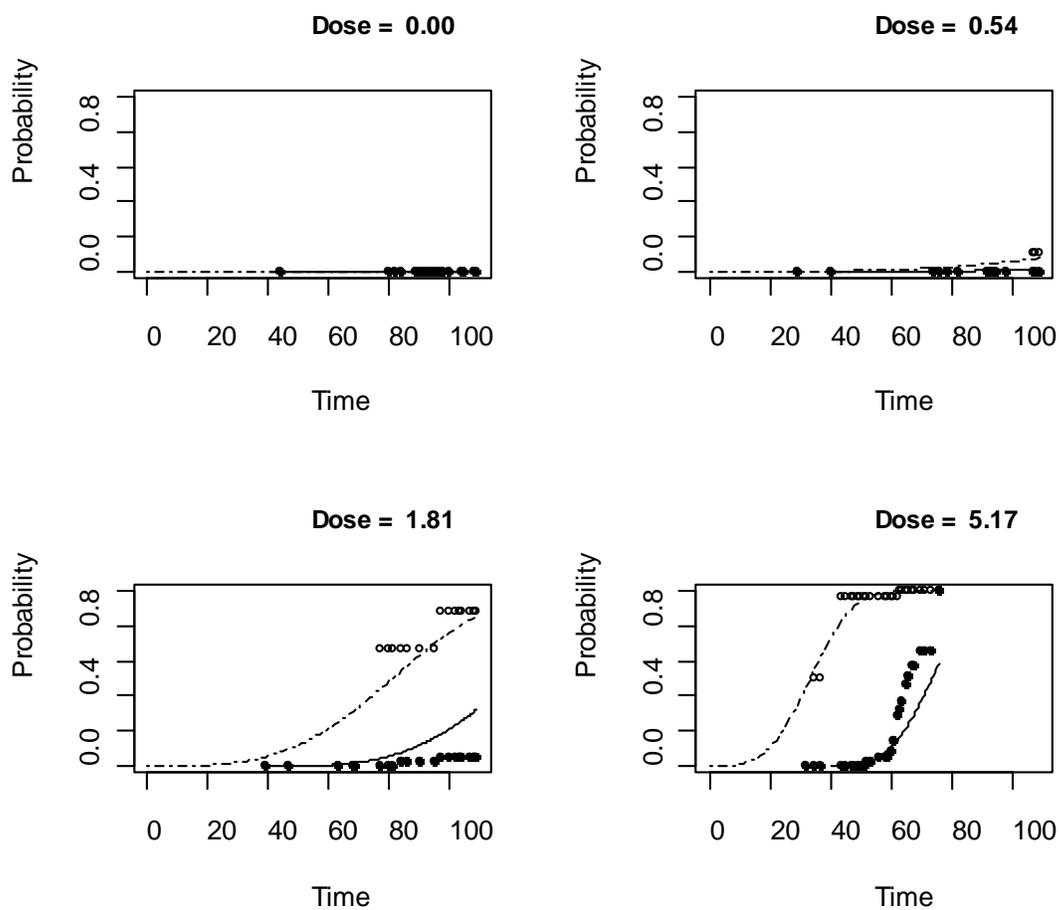
Benchmark Dose Computation

Risk Response = Incidental  
 Risk Type = Extra  
 Confidence level = 0.9  
 Time = 104

|                    |          |           |            |
|--------------------|----------|-----------|------------|
| Specified effect = | 0.1      | 0.01      | 0.001      |
| BMD =              | 0.6507   | 0.173556  | 0.0199908  |
| BMDL =             | 0.44868  | 0.0530469 | 0.00530386 |
| BMDU =             | 0.772467 | 0.352684  | > 0.159927 |

Incidental Risk: Hepatocellular\_Kroese\_M3

points show nonparam. est. for Incidental (unfilled)



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**Figure E-18. Fit of multistage Weibull model to hepatocellular adenomas or carcinomas in male rats exposed orally to benzo[a]pyrene ([Kroese et al., 2001](#)).**

1 **Male Rat (*Kroese et al., 2001*): Duodenum or Jejunum Adenocarcinoma**

2 =====  
 3 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 4 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 5 Input Data File: DuoJeyJKroeseM3.(d)  
 6 =====

7  
 8 The form of the probability function is:  
 9  $P[\text{response}] = 1 - \exp\left\{-(t - t_0)^c \cdot (\beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3)\right\}$   
 10

11 The parameter betas are restricted to be positive

12  
 13 Dependent variable = CONTEXT  
 14 Independent variables = DOSE, TIME

15  
 16 Total number of observations = 208  
 17 Total number of records with missing values = 0  
 18 Total number of parameters in model = 6  
 19 Total number of specified parameters = 1  
 20 Degree of polynomial = 3  
 21

22  
 23  
 24  
 25 User specifies the following parameters:  
 26  $t_0 = 0$   
 27

28 Maximum number of iterations = 64  
 29 Relative Function Convergence has been set to: 2.22045e-016  
 30 Parameter Convergence has been set to: 1.49012e-008  
 31

32  
 33 Default Initial Parameter Values  
 34 c = 1.63636  
 35  $t_0 = 0$  Specified  
 36  $\beta_0 = 4.31119e-027$   
 37  $\beta_1 = 2.96347e-025$   
 38  $\beta_2 = 0$   
 39  $\beta_3 = 1.76198e-006$   
 40

41  
 42 Asymptotic Correlation Matrix of Parameter Estimates  
 43 ( \*\*\* The model parameter(s)  $-t_0$   $-\beta_0$   $-\beta_1$   $-\beta_2$   
 44 have been estimated at a boundary point, or have been specified by the user,  
 45 and do not appear in the correlation matrix )  
 46

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 48  
 49 c                     $\beta_3$   
 50  
 51 c                    1                    -1  
 52  $\beta_3$                 -1                    1  
 53

54  
 55 Parameter Estimates                    95.0% Wald Confidence Interval  
 56 Variable                    Estimate                    Std. Err.                    Lower Conf. Limit                    Upper Conf. Limit  
 57 c                    1.77722                    2.03042                    -2.20233                    5.75677  
 58  $\beta_0$                     0                    NA  
 59  $\beta_1$                     0                    NA  
 60  $\beta_2$                     0                    NA  
 61  $\beta_3$                     9.82635e-007                    8.29355e-006                    -1.52724e-005                    1.72377e-005  
 62

63 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 64 and thus has no standard error.  
 65

66  
 67 Fitted Model                    Log(likelihood)                    # Param                    AIC  
 68                    -28.4387                    5                    66.8773  
 69  
 70

**Supplemental Information—Benzo[a]pyrene**

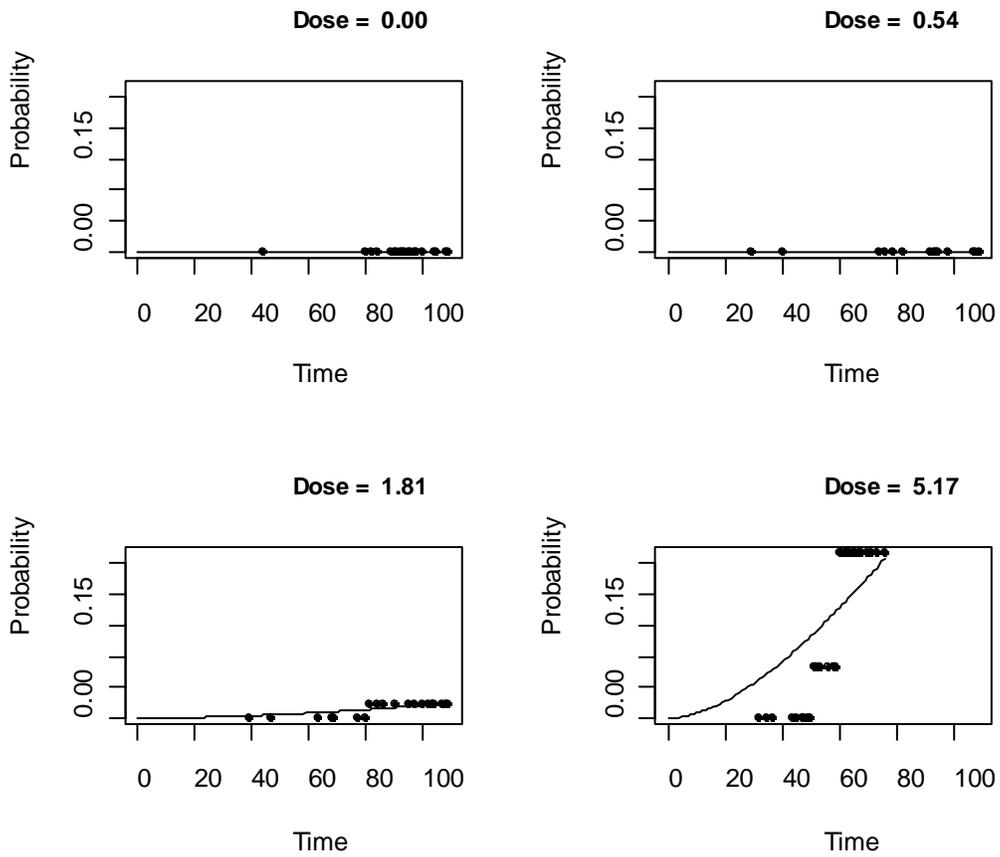
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| Data Summary |    |   |   |   |       |                   |  |
|--------------|----|---|---|---|-------|-------------------|--|
| CONTEXT      |    |   |   |   |       |                   |  |
|              | C  | F | I | U | Total | Expected Response |  |
| DOSE         |    |   |   |   |       |                   |  |
| 0            | 52 | 0 | 0 | 0 | 52    | 0.00              |  |
| 0.54         | 52 | 0 | 0 | 0 | 52    | 0.03              |  |
| 1.8          | 51 | 0 | 1 | 0 | 52    | 1.04              |  |
| 5.2          | 43 | 0 | 9 | 0 | 52    | 8.96              |  |

Benchmark Dose Computation  
 Risk Response = Incidental  
 Risk Type = Extra  
 Specified effect = 0.1  
 Confidence level = 0.9  
 Time = 104

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 3.03291 | 1.38578  | 0.642252  |
| BMDL =             | 2.37782 | 0.418285 | 0.0420835 |
| BMDU =             | 3.87183 | 1.76166  | 0.811476  |

Incidental Risk: DuoJej\_Kroese\_M3



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**Figure E-19. Fit of multistage Weibull model to duodenum or jejunum adenocarcinomas in male rats exposed orally to benzo[a]pyrene (Kroese et al., 2001).**

1 **Male Rat (*Kroese et al., 2001*): Skin or Mammary Gland Basal Cell Tumors**

2 =====  
 3 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 4 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 5 Input Data File: SKinMamBasalKroeseM3.(d)  
 6 =====

7  
 8 The form of the probability function is:  
 9  $P[\text{response}] = 1 - \exp\left\{-\left(t - t_0\right)^c \cdot \left(\beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3\right)\right\}$   
 10

11 The parameter betas are restricted to be positive

12  
 13 Dependent variable = CONTEXT  
 14 Independent variables = DOSE, TIME

15  
 16 Total number of observations = 208  
 17 Total number of records with missing values = 0  
 18 Total number of parameters in model = 6  
 19 Total number of specified parameters = 1  
 20 Degree of polynomial = 3  
 21

22  
 23  
 24  
 25 User specifies the following parameters:  
 26  $t_0 = 0$   
 27

28 Maximum number of iterations = 64  
 29 Relative Function Convergence has been set to: 2.22045e-016  
 30 Parameter Convergence has been set to: 1.49012e-008  
 31

32  
 33 Default Initial Parameter Values  
 34 c = 1.38462  
 35  $t_0 = 0$  Specified  
 36  $\beta_0 = 3.84298e-005$   
 37  $\beta_1 = 1.06194e-028$   
 38  $\beta_2 = 0$   
 39  $\beta_3 = 6.84718e-006$   
 40

41  
 42 Asymptotic Correlation Matrix of Parameter Estimates  
 43 ( \*\*\* The model parameter(s)  $-t_0$   $-\beta_1$   $-\beta_2$   
 44 have been estimated at a boundary point, or have been specified by the user,  
 45 and do not appear in the correlation matrix )  
 46

|           | c  | $\beta_0$ | $\beta_3$ |
|-----------|----|-----------|-----------|
| c         | 1  | -1        | -1        |
| $\beta_0$ | -1 | 1         | 0.99      |
| $\beta_3$ | -1 | 0.99      | 1         |

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| Variable  | Estimate     | Std. Err.   | 95.0% Wald Confidence Interval |                   |
|-----------|--------------|-------------|--------------------------------|-------------------|
|           |              |             | Lower Conf. Limit              | Upper Conf. Limit |
| c         | 1.47227      | 1.76686     | -1.9907                        | 4.93525           |
| $\beta_0$ | 2.54786e-005 | 0.000211261 | -0.000388585                   | 0.000439542       |
| $\beta_1$ | 0            | NA          |                                |                   |
| $\beta_2$ | 0            | NA          |                                |                   |
| $\beta_3$ | 4.81611e-006 | 3.49e-005   | -6.35866e-005                  | 7.32188e-005      |

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 64  
 65 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 66 and thus has no standard error.  
 67

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| Fitted Model | Log(likelihood) | # Param | AIC     |
|--------------|-----------------|---------|---------|
|              | -47.3623        | 5       | 104.725 |

**Supplemental Information—Benzo[a]pyrene**

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Data Summary  
CONTEXT

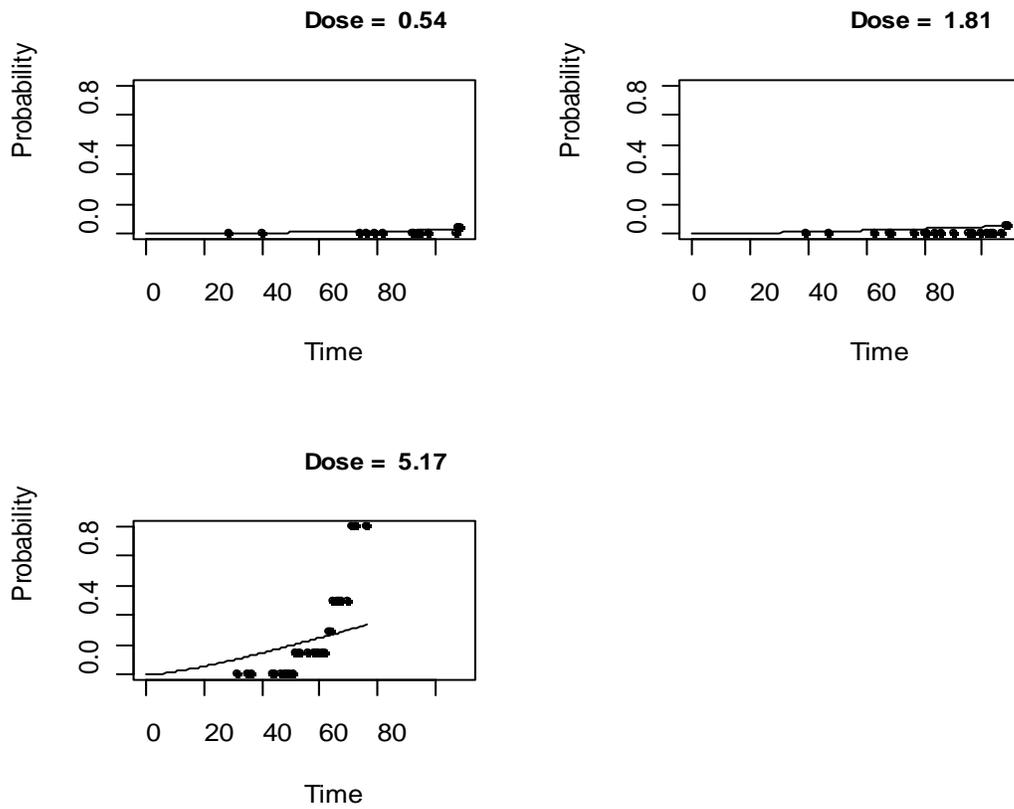
|      | C  | F | I  | U | Total | Expected Response |
|------|----|---|----|---|-------|-------------------|
| DOSE |    |   |    |   |       |                   |
| 0    | 50 | 0 | 2  | 0 | 52    | 1.18              |
| 0.54 | 51 | 0 | 1  | 0 | 52    | 1.22              |
| 1.8  | 51 | 0 | 1  | 0 | 52    | 2.32              |
| 5.2  | 39 | 0 | 13 | 0 | 52    | 12.54             |

Benchmark Dose Computation

Risk Response = Incidental  
 Risk Type = Extra  
 Confidence level = 0.9  
 Time = 104

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 2.86276 | 1.30804  | 0.606222  |
| BMDL =             | 2.35118 | 0.415897 | 0.0424277 |
| BMDU =             | 3.62258 | 1.69571  | 0.761447  |

Incidental Risk: Skin\_Mam\_Basal\_Kroese\_M3



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**Figure E-20. Fit of multistage Weibull model to skin or mammary gland basal cell tumors of male rats exposed orally to benzo[a]pyrene ([Kroese et al., 2001](#)).**

1 **Male Rat (Kroese et al., 2001): Skin or Mammary Gland Squamous Cell Tumors**

2 =====  
 3 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 4 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 5 Input Data File: SKinMamSCCKroeseM3.(d)  
 6 =====

7  
 8 The form of the probability function is:  
 9  $P[\text{response}] = 1 - \exp\left\{-(t - t_0)^c \cdot (\beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3)\right\}$   
 10

11 The parameter betas are restricted to be positive

12  
 13 Dependent variable = CONTEXT  
 14 Independent variables = DOSE, TIME

15  
 16 Total number of observations = 208  
 17 Total number of records with missing values = 0  
 18 Total number of parameters in model = 6  
 19 Total number of specified parameters = 1  
 20 Degree of polynomial = 3  
 21

22  
 23  
 24  
 25 User specifies the following parameters:  
 26  $t_0 = 0$   
 27

28 Maximum number of iterations = 64  
 29 Relative Function Convergence has been set to: 2.22045e-016  
 30 Parameter Convergence has been set to: 1.49012e-008  
 31

32  
 33 Default Initial Parameter Values  
 34 c = 3  
 35  $t_0 = 0$  Specified  
 36  $\beta_0 = 0$   
 37  $\beta_1 = 1.25256e-008$   
 38  $\beta_2 = 1.25627e-030$   
 39  $\beta_3 = 3.34696e-009$   
 40

41  
 42 Asymptotic Correlation Matrix of Parameter Estimates  
 43 ( \*\*\* The model parameter(s)  $t_0$   $\beta_0$   $\beta_2$   
 44 have been estimated at a boundary point, or have been specified by the user,  
 45 and do not appear in the correlation matrix )  
 46

|           | c     | $\beta_1$ | $\beta_3$ |
|-----------|-------|-----------|-----------|
| c         | 1     | -0.99     | -1        |
| $\beta_1$ | -0.99 | 1         | 0.99      |
| $\beta_3$ | -1    | 0.99      | 1         |

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| Variable  | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|-----------|--------------|--------------|--------------------------------|-------------------|
|           |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c         | 2.96213      | 2.591        | -2.11613                       | 8.04039           |
| $\beta_0$ | 0            | NA           |                                |                   |
| $\beta_1$ | 1.50104e-008 | 1.86972e-007 | -3.51447e-007                  | 3.81468e-007      |
| $\beta_2$ | 0            | NA           |                                |                   |
| $\beta_3$ | 3.9084e-009  | 4.15374e-008 | -7.75033e-008                  | 8.53201e-008      |

64 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 65 and thus has no standard error.  
 66

67  
 68 Log(likelihood) # Param AIC  
 69 Fitted Model -27.652 5 65.304  
 70

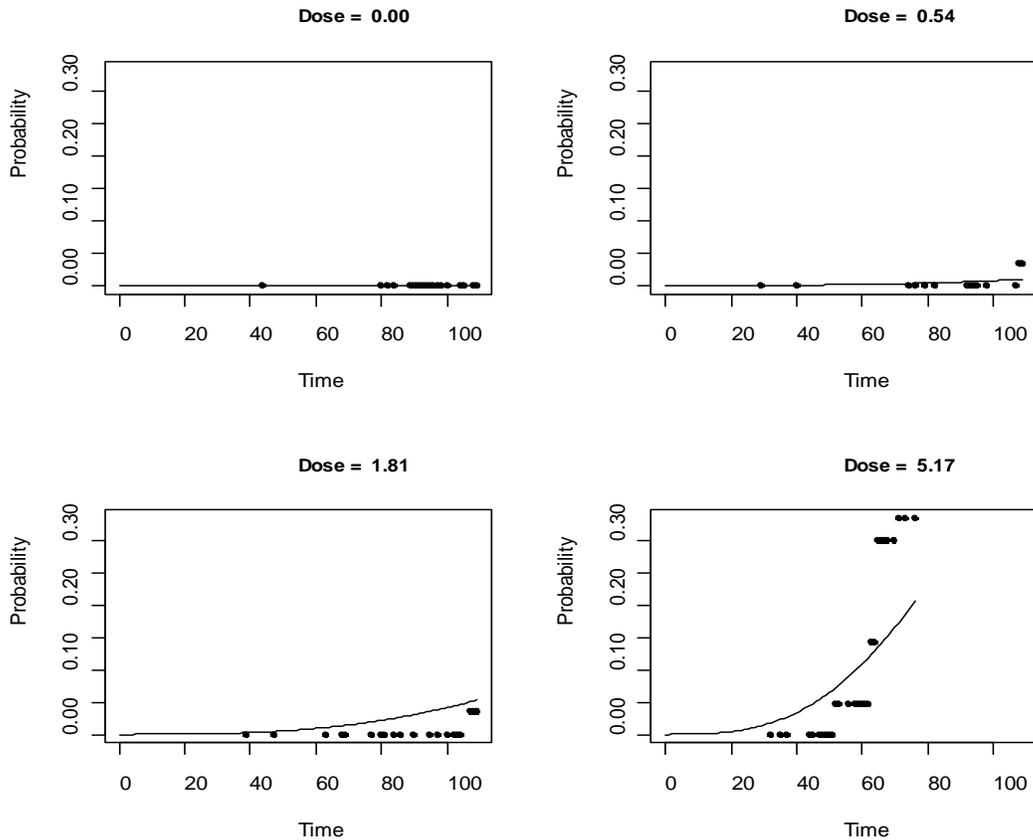
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| DOSE | Data Summary |   |   |   | Total | Expected Response |
|------|--------------|---|---|---|-------|-------------------|
|      | C            | F | I | U |       |                   |
| 0    | 52           | 0 | 0 | 0 | 52    | 0.00              |
| 0.54 | 51           | 0 | 1 | 0 | 52    | 0.42              |
| 1.8  | 51           | 0 | 1 | 0 | 52    | 2.12              |
| 5.2  | 46           | 0 | 6 | 0 | 52    | 5.51              |

Benchmark Dose Computation  
 Risk Response = Incidental  
 Risk Type = Extra  
 Confidence level = 0.9  
 Time = 104

|                    |         |          |            |
|--------------------|---------|----------|------------|
| Specified effect = | 0.1     | 0.01     | 0.001      |
| BMD =              | 2.6414  | 0.64109  | 0.070558   |
| BMDL =             | 1.76931 | 0.211043 | 0.0210552  |
| BMDU =             | 4.42145 | 2.03605  | > 0.564463 |

Incidental Risk: Skin\_Mam\_SCC\_Kroese\_M3



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21  
22

**Figure E-21. Fit of multistage Weibull model to skin or mammary gland squamous cell tumors of male rats exposed orally to benzo[a]pyrene (Kroese et al., 2001).**

1 **Male Rat (*Kroese et al., 2001*): Kidney Urothelial Carcinomas**

2 =====  
 3 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 4 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 5 Input Data File: KidneyUrothelialCarKroeseM3.(d)  
 6 =====

7  
 8 The form of the probability function is:  
 9  $P[\text{response}] = 1 - \exp\left\{-(t - t_0)^c \cdot (\beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3)\right\}$   
 10

11 The parameter betas are restricted to be positive

12  
 13 Dependent variable = CONTEXT  
 14 Independent variables = DOSE, TIME

15  
 16 Total number of observations = 208  
 17 Total number of records with missing values = 0  
 18 Total number of parameters in model = 6  
 19 Total number of specified parameters = 1  
 20 Degree of polynomial = 3  
 21

22  
 23  
 24  
 25 User specifies the following parameters:  
 26  $t_0 = 0$   
 27

28 Maximum number of iterations = 64  
 29 Relative Function Convergence has been set to: 2.22045e-016  
 30 Parameter Convergence has been set to: 1.49012e-008  
 31

32  
 33 Default Initial Parameter Values  
 34 c = 1.63636  
 35  $t_0 = 0$  Specified  
 36  $\beta_0 = 3.78734e-027$   
 37  $\beta_1 = 1.59278e-027$   
 38  $\beta_2 = 2.718e-024$   
 39  $\beta_3 = 4.96063e-007$   
 40

41  
 42 Asymptotic Correlation Matrix of Parameter Estimates  
 43 ( \*\*\* The model parameter(s)  $t_0$   $\beta_0$   $\beta_1$   $\beta_2$   
 44 have been estimated at a boundary point, or have been specified by the user,  
 45 and do not appear in the correlation matrix )  
 46

47  
 48  
 49 c                     $\beta_3$   
 50  
 51 c                    1                    -1  
 52  $\beta_3$                 -1                    1  
 53

54  
 55 Parameter Estimates                    95.0% Wald Confidence Interval  
 56 Variable            Estimate            Std. Err.            Lower Conf. Limit            Upper Conf. Limit  
 57 c                    1.74897            3.79403            -5.68719            9.18512  
 58  $\beta_0$                 0                    NA  
 59  $\beta_1$                 0                    NA  
 60  $\beta_2$                 0                    NA  
 61  $\beta_3$                 3.11107e-007            4.90313e-006            -9.29885e-006            9.92107e-006  
 62

63 NA - Indicates that this parameter has hit a  
 64 bound implied by some inequality constraint  
 65 and thus has no standard error.  
 66

67  
 68 Log(likelihood)            # Param                    AIC  
 69 Fitted Model            -11.3978                    5                    32.7956  
 70

**Supplemental Information—Benzo[a]pyrene**

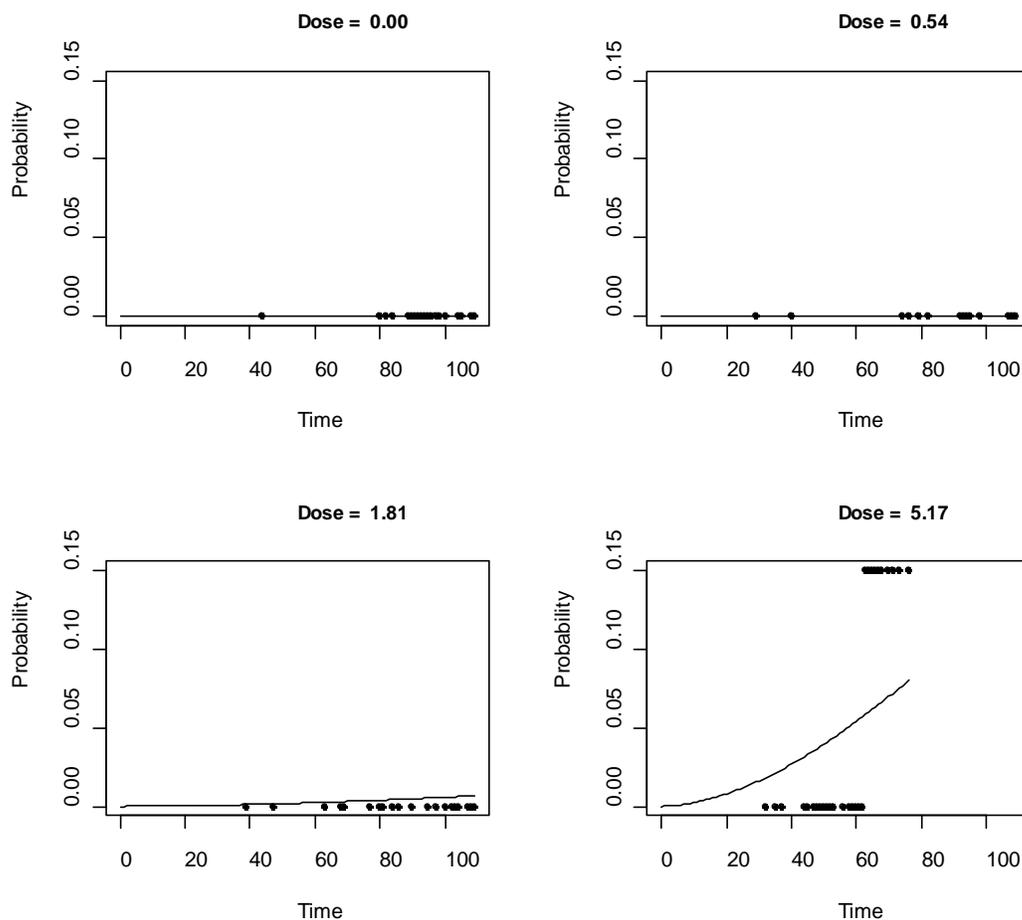
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| Data Summary |    |   |   |   |       |                   |  |
|--------------|----|---|---|---|-------|-------------------|--|
| CONTEXT      |    |   |   |   |       |                   |  |
|              | C  | F | I | U | Total | Expected Response |  |
| DOSE         |    |   |   |   |       |                   |  |
| 0            | 52 | 0 | 0 | 0 | 52    | 0.00              |  |
| 0.54         | 52 | 0 | 0 | 0 | 52    | 0.01              |  |
| 1.8          | 52 | 0 | 0 | 0 | 52    | 0.29              |  |
| 5.2          | 49 | 0 | 3 | 0 | 52    | 2.71              |  |

Benchmark Dose Computation  
 Risk Response = Incidental  
 Risk Type = Extra  
 Confidence level = 0.9  
 Time = 104

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 4.64886 | 2.12413  | 0.984449  |
| BMDL =             | 2.49972 | 0.734665 | 0.0748097 |
| BMDU =             | 9.01023 | 3.49311  | 1.61892   |

Incidental Risk: Kidney\_Kroese\_M3



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Figure E-22. Fit of multistage Weibull model to kidney urothelial tumors of male rats exposed orally to benzo[a]pyrene ([Kroese et al., 2001](#)).

1 **Female Rat ([Kroese et al., 2001](#)): Oral Cavity or Forestomach, Squamous Cell Papilloma or**  
 2 **Carcinoma**

3 =====  
 4 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 5 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 6 Input Data File: OralForstKroeseF3.(d)  
 7 =====

8  
 9 The form of the probability function is:  
 10  $P[\text{response}] = 1 - \exp\left\{ -\left( t - t_0 \right)^c \cdot \right.$   
 11  $\left. \left( \beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3 \right) \right\}$

12  
 13 The parameter betas are restricted to be positive

14  
 15 Dependent variable = CONTEXT  
 16 Independent variables = DOSE, TIME

17  
 18 Total number of observations = 208  
 19 Total number of records with missing values = 0  
 20 Total number of parameters in model = 6  
 21 Total number of specified parameters = 0  
 22 Degree of polynomial = 3

23  
 24 Maximum number of iterations = 64  
 25 Relative Function Convergence has been set to: 2.22045e-016  
 26 Parameter Convergence has been set to: 1.49012e-008

27  
 28  
 29 Default Initial Parameter Values  
 30 c = 3.6  
 31 t\_0 = 45.1111  
 32 beta\_0 = 1.11645e-009  
 33 beta\_1 = 4.85388e-009  
 34 beta\_2 = 0  
 35 beta\_3 = 1.95655e-008

36  
 37 Asymptotic Correlation Matrix of Parameter Estimates  
 38 ( \*\*\* The model parameter(s) -beta\_2  
 39 have been estimated at a boundary point, or have been specified by the user,  
 40 and do not appear in the correlation matrix )

|        | c     | t_0   | beta_0 | beta_1 | beta_3 |
|--------|-------|-------|--------|--------|--------|
| c      | 1     | -0.79 | -0.92  | -0.93  | -1     |
| t_0    | -0.79 | 1     | 0.73   | 0.72   | 0.8    |
| beta_0 | -0.92 | 0.73  | 1      | 0.79   | 0.92   |
| beta_1 | -0.93 | 0.72  | 0.79   | 1      | 0.91   |
| beta_3 | -1    | 0.8   | 0.92   | 0.91   | 1      |

54  
 55 Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.52871      | 0.701117     | 2.15454                        | 4.90287           |
| t_0      | 46.553       | 5.93306      | 34.9244                        | 58.1816           |
| beta_0   | 1.53589e-009 | 5.40523e-009 | -9.05817e-009                  | 1.21299e-008      |
| beta_1   | 7.57004e-009 | 2.9647e-008  | -5.05369e-008                  | 6.5677e-008       |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 2.53126e-008 | 7.66404e-008 | -1.249e-007                    | 1.75525e-007      |

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 64  
 65 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 66 and thus has no standard error.

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 69 Log(likelihood) # Param AIC

**Supplemental Information—Benzo[a]pyrene**

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Fitted Model            -94.5119            6            201.024

Data Summary  
CONTEXT

|      | C  | F | I  | U | Total | Expected Response |
|------|----|---|----|---|-------|-------------------|
| DOSE |    |   |    |   |       |                   |
| 0    | 51 | 0 | 1  | 0 | 52    | 1.14              |
| 0.49 | 46 | 0 | 6  | 0 | 52    | 4.90              |
| 1.6  | 22 | 0 | 30 | 0 | 52    | 31.81             |
| 4.6  | 2  | 7 | 43 | 0 | 52    | 49.43             |

Minimum observation time for F tumor context =            58

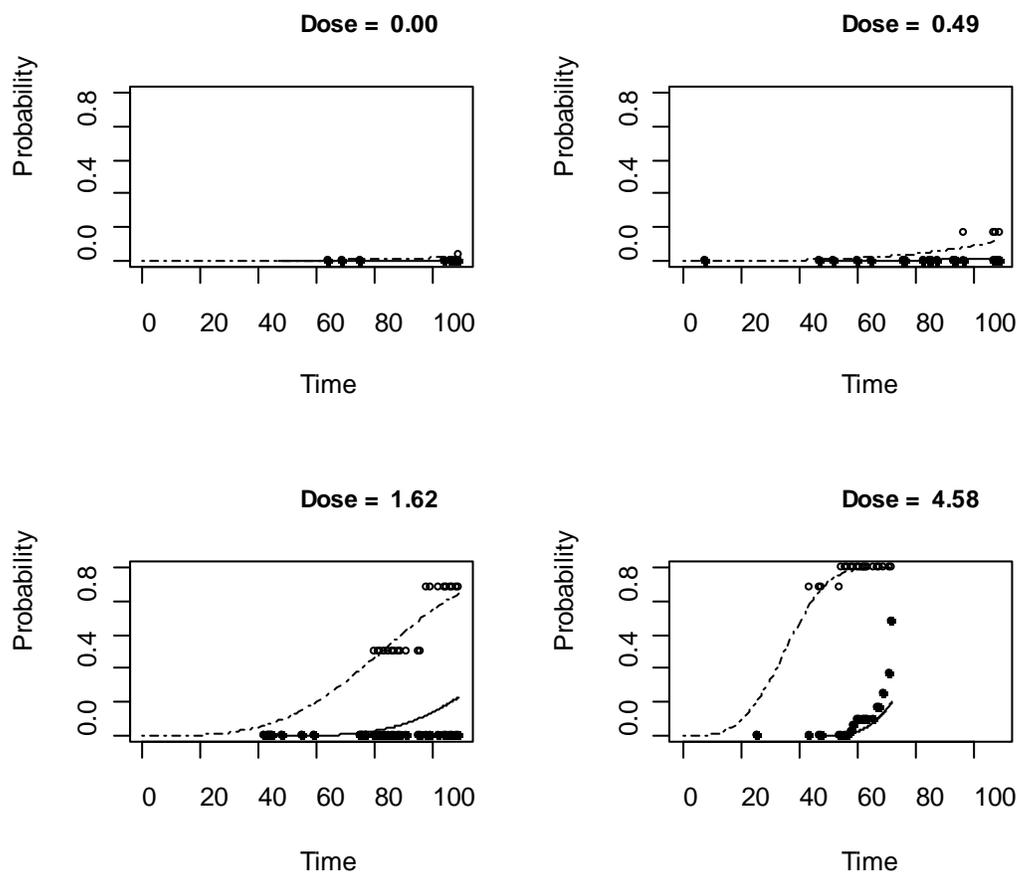
Benchmark Dose Computation

Risk Response =            Incidental  
Risk Type =            Extra  
Confidence level =            0.9  
Time =            104

|                    |          |           |             |
|--------------------|----------|-----------|-------------|
| Specified effect = | 0.1      | 0.01      | 0.001       |
| BMD =              | 0.538801 | 0.0981283 | 0.0100797   |
| BMDL =             | 0.328135 | 0.0345104 | 0.00344714  |
| BMDU =             | 0.717127 | 0.325909  | > 0.0806373 |

Incidental Risk: OralForstKroeseF3

points show nonparam. est. for Incidental (unfillec



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Figure E-23. Fit of multistage Weibull model to squamous cell papillomas or carcinomas in oral cavity or forestomach of female rats exposed orally to benzo[a]pyrene ([Kroese et al., 2001](#)).

1 **Table E-26. Summary of alternative BMD modeling results for squamous cell**  
 2 **papillomas or carcinomas in oral cavity or forestomach of female rats exposed**  
 3 **orally to benzo[a]pyrene ([Kroese et al., 2001](#)): poly-3 adjusted incidences<sup>a</sup>**

4

| Model                           | Goodness of fit |               | BMD <sub>10Pct</sub><br>(mg/kg-d) | BMDL <sub>10Pct</sub><br>(mg/kg-d) | Comments                                                                                                          |
|---------------------------------|-----------------|---------------|-----------------------------------|------------------------------------|-------------------------------------------------------------------------------------------------------------------|
|                                 | p-value         | AIC           |                                   |                                    |                                                                                                                   |
| Multistage 3°                   | 0               | 63912         | 4.92E-07                          | 4.92E-07                           | Among multistage models, only the two-stage model provided an acceptable fit.                                     |
| <b>Multistage 2°</b>            | <b>0.991</b>    | <b>92.349</b> | <b>0.435</b>                      | <b>0.228</b>                       |                                                                                                                   |
| Quantal-Linear                  | 0.0174          | 100.65        | 0.139                             | 0.110                              |                                                                                                                   |
| Gamma                           | 0.873           | 92.397        | 0.446                             | 0.279                              | Among other dichotomous models, BMD <sub>10S</sub> ranged 0.435–0.516 and BMDL <sub>10S</sub> ranged 0.258–0.395. |
| Dichotomous-Hill<br>LogLogistic | 0.369           | 93.694        | 0.474                             | 0.333                              |                                                                                                                   |
| Logistic                        | 0.804           | 90.817        | 0.516                             | 0.395                              |                                                                                                                   |
| Probit                          | 0.938           | 90.482        | 0.471                             | 0.364                              |                                                                                                                   |
| LogProbit                       | 0.559           | 92.913        | 0.466                             | 0.338                              |                                                                                                                   |
| Weibull                         | 0.991           | 92.349        | 0.435                             | 0.258                              |                                                                                                                   |

<sup>a</sup> Dose: 0 mg/kg-d      1/49  
 0.49                    6/42  
 1.6                     30/39  
 4.6                     50/50

5

6

1 **Female Rat ([Kroese et al., 2001](#)): Hepatocellular Adenoma or Carcinoma**

```

2 =====
3 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
4 Solutions are obtained using donlp2-intv, (c) by P. Spellucci
5 Input Data File: LiverKroeseF3.(d)
6 Fri Apr 16 09:08:03 2010
7 =====

```

```

8 The form of the probability function is:
9 P[response] = 1-EXP$$-(t - t_0)^c *
10 (beta_0+beta_1*dose^1+beta_2*dose^2+beta_3*dose^3)}
11
12

```

13 The parameter betas are restricted to be positive

```

14
15 Dependent variable = CONTEXT
16 Independent variables = DOSE, TIME
17

```

```

18 Total number of observations = 208
19 Total number of records with missing values = 0
20 Total number of parameters in model = 6
21 Total number of specified parameters = 0
22 Degree of polynomial = 3
23
24

```

```

25 Maximum number of iterations = 64
26 Relative Function Convergence has been set to: 2.22045e-016
27 Parameter Convergence has been set to: 1.49012e-008
28
29

```

30 Default Initial Parameter Values

```

31 c = 3.6
32 t_0 = 31.7778
33 beta_0 = 0
34 beta_1 = 4.9104e-031
35 beta_2 = 5.45766e-030
36 beta_3 = 3.44704e-008
37
38

```

39 Asymptotic Correlation Matrix of Parameter Estimates

```

40 ( *** The model parameter(s) -beta_0 -beta_1 -beta_2
41 have been estimated at a boundary point, or have been specified by the user,
42 and do not appear in the correlation matrix )
43

```

|        | c    | t_0  | beta_3 |
|--------|------|------|--------|
| c      | 1    | -0.9 | -1     |
| t_0    | -0.9 | 1    | 0.92   |
| beta_3 | -1   | 0.92 | 1      |

53 Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.11076      | 0.549208     | 2.03434                        | 4.18719           |
| t_0      | 38.6965      | 5.21028      | 28.4846                        | 48.9085           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 0            | NA           |                                |                   |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 2.94354e-007 | 7.19418e-007 | -1.11568e-006                  | 1.70439e-006      |

63 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
64 and thus has no standard error.

```

67 Fitted Model Log(likelihood) # Param AIC
68 -228.17 6 468.34
69
70

```

**Supplemental Information—Benzo[a]pyrene**

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| Data Summary |    |    |    |   |       |                   |
|--------------|----|----|----|---|-------|-------------------|
| CONTEXT      |    |    |    |   |       |                   |
|              | C  | F  | I  | U | Total | Expected Response |
| DOSE         |    |    |    |   |       |                   |
| 0            | 52 | 0  | 0  | 0 | 52    | 0.00              |
| 0.49         | 51 | 0  | 1  | 0 | 52    | 3.02              |
| 1.6          | 13 | 12 | 27 | 0 | 52    | 38.36             |
| 4.6          | 1  | 38 | 13 | 0 | 52    | 51.36             |

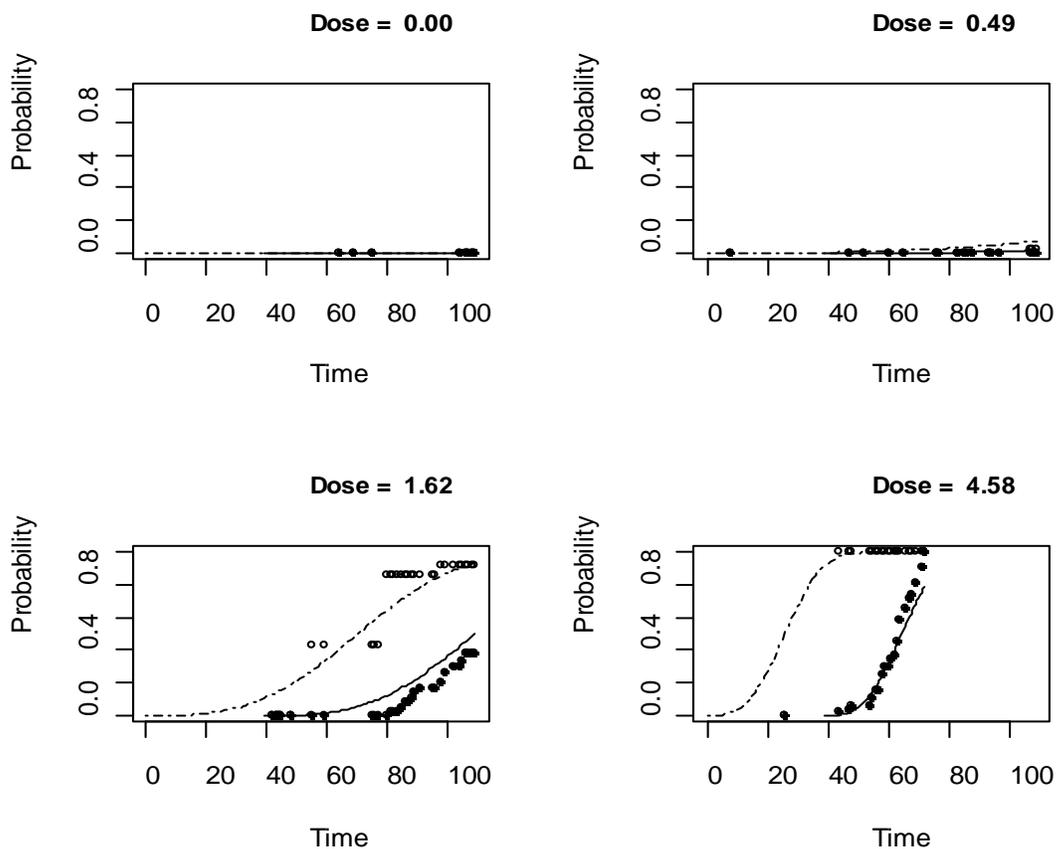
Minimum observation time for F tumor context = 44

Benchmark Dose Computation  
 Risk Response = Incidental  
 Risk Type = Extra  
 Confidence level = 0.9  
 Time = 104

|                    |          |          |           |
|--------------------|----------|----------|-----------|
| Specified effect = | 0.1      | 0.01     | 0.001     |
| BMD =              | 0.575127 | 0.262783 | 0.12179   |
| BMDL =             | 0.506633 | 0.134213 | 0.0152934 |
| BMDU =             | 0.629806 | 0.287232 | 0.133064  |

Incidental Risk: Hepatocellular\_Kroese\_F3

points show nonparam. est. for Incidental (unfillec



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Figure E-24. Fit of multistage Weibull model to hepatocellular adenomas or carcinomas in female rats exposed orally to benzo[a]pyrene ([Kroese et al., 2001](#)).

1 **Female Rat ([Kroese et al., 2001](#)): Duodenum or Jejunum Adenocarcinoma**

2 =====  
 3 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 4 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 5 Input Data File: DuoJeyJKroeseF3.(d)  
 6 =====

7 The form of the probability function is:  
 8  $P[\text{response}] = 1 - \exp\left\{-(t - t_0)^c \cdot \left(\beta_0 + \beta_1 \cdot \text{dose} + \beta_2 \cdot \text{dose}^2 + \beta_3 \cdot \text{dose}^3\right)\right\}$   
 9

10 The parameter betas are restricted to be positive

11  
 12 Dependent variable = CONTEXT  
 13 Independent variables = DOSE, TIME

14  
 15  
 16 Total number of observations = 208  
 17 Total number of records with missing values = 0  
 18 Total number of parameters in model = 6  
 19 Total number of specified parameters = 1  
 20 Degree of polynomial = 3

21  
 22  
 23 User specifies the following parameters:  
 24  $t_0 = 0$   
 25

26 Maximum number of iterations = 64  
 27 Relative Function Convergence has been set to: 2.22045e-016  
 28 Parameter Convergence has been set to: 1.49012e-008  
 29

30  
 31 Default Initial Parameter Values  
 32  $c = 2.25$   
 33  $t_0 = 0$  Specified  
 34  $\beta_0 = 0$   
 35  $\beta_1 = 0$   
 36  $\beta_2 = 0$   
 37  $\beta_3 = 7.289e-008$   
 38  
 39

40 Asymptotic Correlation Matrix of Parameter Estimates  
 41 ( \*\*\* The model parameter(s)  $-t_0$   $-\beta_0$   $-\beta_1$   $-\beta_2$   
 42 have been estimated at a boundary point, or have been specified by the user,  
 43 and do not appear in the correlation matrix )  
 44

45  
 46  
 47  $c$  1 -1  
 48  
 49  $\beta_3$  -1 1  
 50

51  
 52 Parameter Estimates  
 53  
 54 Variable Estimate Std. Err. 95.0% Wald Confidence Interval  
 55 Lower Conf. Limit Upper Conf. Limit  
 56  $c$  2.32531 3.58729 -4.70565 9.35626  
 57  $\beta_0$  0 NA  
 58  $\beta_1$  0 NA  
 59  $\beta_2$  0 NA  
 60  $\beta_3$  5.32209e-008 7.98487e-007 -1.51178e-006 1.61823e-006

61 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 62 and thus has no standard error.

63  
 64  
 65 Log(likelihood) # Param AIC  
 66 Fitted Model -13.8784 5 37.7569  
 67  
 68

69 Data Summary  
 70 CONTEXT

**Supplemental Information—Benzo[a]pyrene**

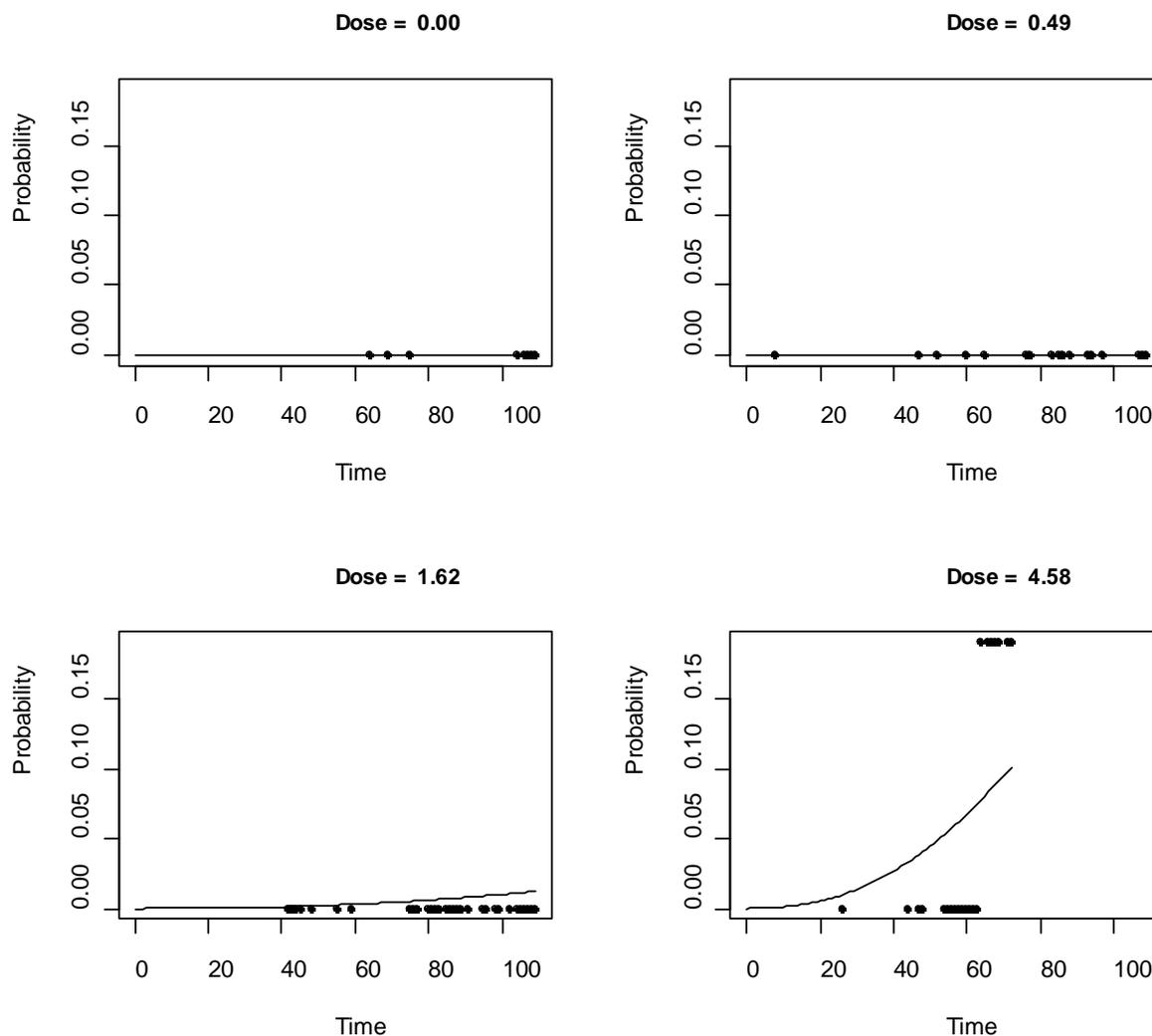
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|      | C  | F | I | U | Total | Expected Response |
|------|----|---|---|---|-------|-------------------|
| DOSE |    |   |   |   |       |                   |
| 0    | 52 | 0 | 0 | 0 | 52    | 0.00              |
| 0.49 | 52 | 0 | 0 | 0 | 52    | 0.01              |
| 1.6  | 52 | 0 | 0 | 0 | 52    | 0.44              |
| 4.6  | 48 | 0 | 4 | 0 | 52    | 3.57              |

Benchmark Dose Computation  
 Risk Response = Incidental  
 Risk Type = Extra  
 Confidence level = 0.9  
 Time = 104

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 3.43129 | 1.56781  | 0.726615  |
| BMDL =             | 1.94745 | 0.560867 | 0.0584891 |
| BMDU =             | 5.70108 | 2.61447  | 1.21046   |

Incidental Risk: DuoJej\_Kroese\_F3



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**Figure E-25. Fit of multistage Weibull model to duodenum or jejunum adenocarcinomas in female rats exposed orally to benzo[a]pyrene ([Kroese et al., 2001](#)).**

1 **Table E-27. Summary of human equivalent overall oral slope factors, based on**  
 2 **tumor incidence in male and female Wistar rats exposed to benzo[a]pyrene by**  
 3 **gavage for 104 weeks ([Kroese et al., 2001](#))**

| Data set | Tumor site                                          | BMD <sub>001</sub>    | BMDL <sub>001</sub>   | Risk value <sup>a</sup> at |                        | SD                    | SD <sup>2</sup>           | Proportion of total variance |
|----------|-----------------------------------------------------|-----------------------|-----------------------|----------------------------|------------------------|-----------------------|---------------------------|------------------------------|
|          |                                                     |                       |                       | BMD <sub>001</sub>         | BMDL <sub>001</sub>    |                       |                           |                              |
| Males    | Oral cavity/forestomach                             | $6.37 \times 10^{-3}$ | $2.86 \times 10^{-3}$ | $1.57 \times 10^{-1}$      | $3.50 \times 10^{-1}$  | $1.17 \times 10^{-1}$ | $1.38 \times 10^{-2}$     | 0.64                         |
|          | Liver                                               | $2.00 \times 10^{-2}$ | $5.30 \times 10^{-3}$ | $5.00 \times 10^{-2}$      | $1.89 \times 10^{-1}$  | $8.42 \times 10^{-2}$ | $7.09 \times 10^{-3}$     | 0.33                         |
|          | Duodenum/jejunum                                    | $6.42 \times 10^{-1}$ | $4.21 \times 10^{-2}$ | $1.56 \times 10^{-3}$      | $2.38 \times 10^{-2}$  | $1.35 \times 10^{-2}$ | $1.82 \times 10^{-4}$     | 0.01                         |
|          | Skin/mammary gland: basal cell                      | $6.06 \times 10^{-1}$ | $4.24 \times 10^{-2}$ | $1.65 \times 10^{-3}$      | $2.36 \times 10^{-2}$  | $1.33 \times 10^{-2}$ | $1.78 \times 10^{-4}$     | 0.01                         |
|          | Skin/mammary gland: squamous cell                   | $7.06 \times 10^{-2}$ | $2.11 \times 10^{-2}$ | $1.42 \times 10^{-2}$      | $4.75 \times 10^{-2}$  | $2.03 \times 10^{-2}$ | $4.10 \times 10^{-4}$     | 0.02                         |
|          | Kidney                                              | $9.84 \times 10^{-1}$ | $7.48 \times 10^{-2}$ | $1.02 \times 10^{-3}$      | $1.34 \times 10^{-2}$  | $7.51 \times 10^{-3}$ | $5.64 \times 10^{-5}$     | 0.00                         |
|          | Sum, risk values at BMD <sub>001</sub> :            |                       |                       | $2.25 \times 10^{-1}$      | Sum, SD <sup>2</sup> : |                       | $2.17 \times 10^{-2}$     |                              |
|          |                                                     |                       |                       |                            |                        |                       | Overall SD <sup>b</sup> : | $1.47 \times 10^{-1}$        |
|          | Upper bound on sum of risk estimates <sup>c</sup> : |                       |                       |                            |                        | $4.68 \times 10^{-1}$ |                           |                              |
| Females  | Oral cavity/forestomach                             | $3.45 \times 10^{-3}$ | $1.01 \times 10^{-2}$ | $2.90 \times 10^{-1}$      | $9.92 \times 10^{-2}$  | $1.16 \times 10^{-1}$ | $1.35 \times 10^{-2}$     | 0.91                         |
|          | Liver                                               | $1.53 \times 10^{-2}$ | $1.22 \times 10^{-1}$ | $6.54 \times 10^{-2}$      | $8.21 \times 10^{-3}$  | $3.48 \times 10^{-2}$ | $1.21 \times 10^{-3}$     | 0.08                         |
|          | Duodenum/jejunum                                    | $5.85 \times 10^{-2}$ | $7.27 \times 10^{-1}$ | $1.71 \times 10^{-2}$      | $1.38 \times 10^{-3}$  | $9.56 \times 10^{-3}$ | $9.13 \times 10^{-5}$     | 0.01                         |
|          | Sum, risk values at BMD <sub>001</sub> :            |                       |                       | $1.09 \times 10^{-1}$      | Sum, SD <sup>2</sup> : |                       | $1.48 \times 10^{-2}$     |                              |
|          |                                                     |                       |                       |                            |                        |                       | Overall SD:               | $1.22 \times 10^{-1}$        |
|          | Upper bound on sum of risk estimates <sup>c</sup> : |                       |                       |                            |                        | $3.09 \times 10^{-1}$ |                           |                              |

4  
5 <sup>a</sup>Risk value = 0.001/BMDL<sub>001</sub>.

6 <sup>b</sup>Overall SD = (sum, SD<sup>2</sup>)<sup>0.5</sup>.

7 <sup>c</sup>Upper bound on the overall risk estimate = sum of BMD<sub>001</sub> risk values + 1.645 × overall SD.

1 **Table E-28. Summary of BMD model selection among multistage-Weibull**  
 2 **models fit to alimentary tract tumor data for female B6C3F<sub>1</sub> mice exposed to**  
 3 **benzo[a]pyrene for 2 years ([Beland and Culp, 1998](#))**

| Model stages | AIC          | BMD <sub>10</sub> <sup>a</sup> | BMDL <sub>10</sub> –BMDU <sub>10</sub> <sup>a</sup> | Basis for model selection                    |
|--------------|--------------|--------------------------------|-----------------------------------------------------|----------------------------------------------|
| 1            | 688.5        | 0.104                          |                                                     |                                              |
| 2            | 629.2        | 0.102                          |                                                     |                                              |
| 3            | <b>624.5</b> | <b>0.127</b>                   | <b>0.071–0.179</b>                                  | <b>Lowest AIC, best fit to low dose data</b> |

4  
 5 <sup>a</sup> Corresponding to lifetime exposure (104 weeks).  
 6  
 7  
 8

9 **Female Mice ([Beland and Culp, 1998](#)): Alimentary Tract Squamous Cell Tumors**

10  
 11 =====  
 12 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 13 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 14 Input Data File: C:\msw10-09\benzo[a]pyrene\_FemaleSquamF3i.(d)  
 15 =====

16  
 17 The form of the probability function is:  
 18 P[response] = 1-EXP\$\$-(t - t<sub>0</sub>)<sup>c</sup> \*  
 19 (beta<sub>0</sub>+beta<sub>1</sub>\*dose<sup>1</sup>+beta<sub>2</sub>\*dose<sup>2</sup>+beta<sub>3</sub>\*dose<sup>3</sup>)}

20  
 21 The parameter betas are restricted to be positive

22  
 23 Dependent variable = Class  
 24 Independent variables = Dose, time

25  
 26 Total number of observations = 191  
 27 Total number of records with missing values = 0  
 28 Total number of parameters in model = 6  
 29 Total number of specified parameters = 0  
 30 Degree of polynomial = 3

31  
 32  
 33 Maximum number of iterations = 64  
 34 Relative Function Convergence has been set to: 2.22045e-016  
 35 Parameter Convergence has been set to: 1.49012e-008

36  
 37  
 38  
 39 User Inputs Initial Parameter Values  
 40 c = 2  
 41 t<sub>0</sub> = 15  
 42 beta<sub>0</sub> = 1.6e-014  
 43 beta<sub>1</sub> = 0  
 44 beta<sub>2</sub> = 5.5e-012  
 45 beta<sub>3</sub> = 4.4e-012

46  
 47  
 48 Asymptotic Correlation Matrix of Parameter Estimates  
 49 c t<sub>0</sub> beta<sub>0</sub> beta<sub>1</sub> beta<sub>2</sub> beta<sub>3</sub>  
 50  
 51 c 1 -0.78 -0.97 -0.42 -0.99 -0.99  
 52 t<sub>0</sub> -0.78 1 0.76 0.39 0.74 0.84  
 53  
 54

**Supplemental Information—Benzo[a]pyrene**

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|        |       |      |      |      |      |      |
|--------|-------|------|------|------|------|------|
| beta_0 | -0.97 | 0.76 | 1    | 0.33 | 0.97 | 0.96 |
| beta_1 | -0.42 | 0.39 | 0.33 | 1    | 0.31 | 0.46 |
| beta_2 | -0.99 | 0.74 | 0.97 | 0.31 | 1    | 0.97 |
| beta_3 | -0.99 | 0.84 | 0.96 | 0.46 | 0.97 | 1    |

Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 6.92317      | 1.33874      | 4.29929                        | 9.54705           |
| t_0      | 13.9429      | 4.96646      | 4.20881                        | 23.677            |
| beta_0   | 2.46916e-016 | 1.47619e-015 | -2.64636e-015                  | 3.14019e-015      |
| beta_1   | 0            | 1.30525e-014 | -2.55825e-014                  |                   |
| beta_2   | 5.85452e-014 | 3.75144e-013 | -6.76723e-013                  | 7.93813e-013      |
| beta_3   | 9.76542e-014 | 5.62017e-013 | -1.00388e-012                  | 1.19919e-012      |

|              |                 |         |        |
|--------------|-----------------|---------|--------|
|              | Log(likelihood) | # Param | AIC    |
| Fitted Model | -306.265        | 6       | 624.53 |

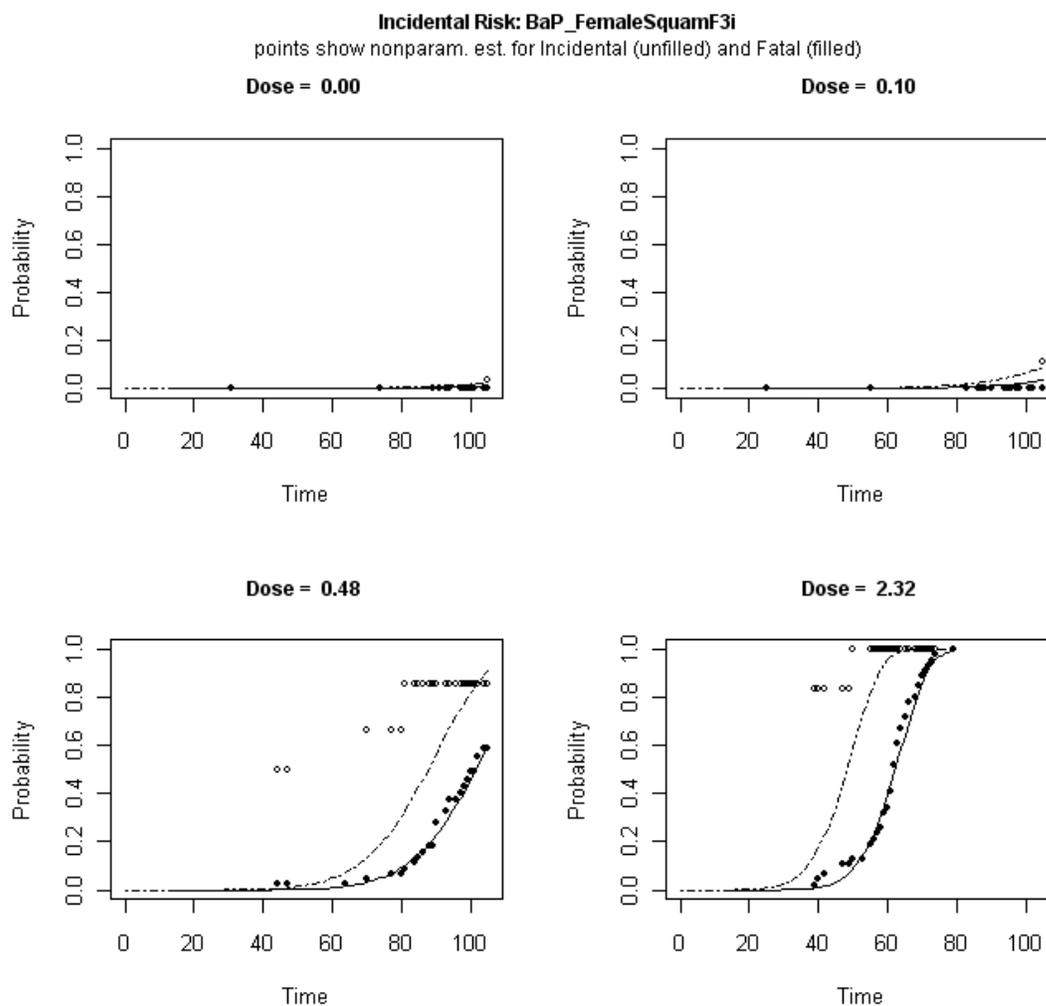
Data Summary

| Dose | Class |    |    |   | Total | Expected Response |
|------|-------|----|----|---|-------|-------------------|
|      | C     | F  | I  | U |       |                   |
| 0    | 47    | 0  | 1  | 0 | 48    | 0.93              |
| 0.1  | 45    | 0  | 3  | 0 | 48    | 3.21              |
| 0.48 | 8     | 23 | 15 | 1 | 47    | 30.82             |
| 2.3  | 1     | 46 | 0  | 1 | 48    | 41.91             |

Minimum observation time for F tumor context = 39

Benchmark Dose Computation

|                  |   |            |
|------------------|---|------------|
| Risk Response    | = | Incidental |
| Risk Type        | = | Extra      |
| Specified effect | = | 0.1        |
| Confidence level | = | 0.9        |
| Time             | = | 104        |
| BMD              | = | 0.126983   |
| BMDL             | = | 0.0706103  |
| BMDU             | = | 0.179419   |



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**Figure E-26. Fit of multistage Weibull model to duodenum or jejunum adenocarcinomas in male rats exposed orally to benzo[a]pyrene (Kroese et al., 2001).**

1 Table E-29. Summary of alternative BMD modeling results for alimentary tract  
 2 squamous cell tumors in female B6C3F<sub>1</sub> mice exposed to benzo[a]pyrene for 2 years  
 3 ([Beland and Culp, 1998](#)): poly-3 adjusted incidences<sup>a</sup>

| Model <sup>a</sup>              | Goodness of fit |               | BMD <sub>10</sub><br>(mg/kg/d) | BMDL <sub>10</sub><br>(mg/kg/d) | Comments                                                                                                               |
|---------------------------------|-----------------|---------------|--------------------------------|---------------------------------|------------------------------------------------------------------------------------------------------------------------|
|                                 | p-value         | AIC           |                                |                                 |                                                                                                                        |
| Multistage 3°                   | 1.000           | 72.015        | 0.138                          | 0.0712                          | Among multistage models, 2-stage model provided most parsimonious fit                                                  |
| <b>Multistage 2°</b>            | <b>0.845</b>    | <b>70.371</b> | <b>0.113</b>                   | <b>0.0730</b>                   |                                                                                                                        |
| Quantal-Linear                  | 0.0049          | 83.200        | 0.0358                         | 0.0274                          |                                                                                                                        |
| Gamma                           | 1.000           | 72.015        | 0.129                          | 0.0815                          | Other dichotomous models provided BMD <sub>10s</sub> ranging 0.123–0.150, and BMDL <sub>10s</sub> ranging 0.079–0.110. |
| Dichotomous-Hill<br>LogLogistic | 0.803           | 72.133        | 0.129                          | 0.0857                          |                                                                                                                        |
| Logistic                        | 0.999           | 70.016        | 0.150                          | 0.110                           |                                                                                                                        |
| Probit                          | 0.972           | 70.070        | 0.134                          | 0.101                           |                                                                                                                        |
| LogProbit                       | 0.956           | 72.021        | 0.123                          | 0.0859                          |                                                                                                                        |
| Weibull                         | 1.000           | 72.015        | 0.135                          | 0.0793                          |                                                                                                                        |

4 <sup>a</sup> Dose: 0 mg/kg-d      1/43  
 5            0.1                3/41  
 6            0.48                    38/44  
 7            2.3                        46/46

8

9

## 1 E.2.2. Dose-Response Modeling for the Inhalation Unit Risk

### 2 *Modeling Methods*

3 As with the tumor data used for the oral slope factor (see Section E.2.1, *Dose Response-*  
4 *modeling for the Oral Slope Factor*), there was earlier occurrence of tumors with increasing  
5 exposure, and early termination of the high-dose group ([Thyssen et al., 1981](#); see [Appendix D for](#)  
6 [study details](#)). The software program Multistage Weibull ([U.S. EPA, 2010b](#)) was used as described  
7 in the analysis of the oral carcinogenicity data. See Section E.2.1 for details of the modeling  
8 methods. A previous time-to-tumor analysis ([U.S. EPA, 1990a](#)) was not used because of several  
9 discrepancies between the summarized dose-response data and the individual pathology reports,  
10 because the use of age at necropsy rather than the time since first exposure, and because multistage  
11 Weibull provides a corrected estimate of the confidence bounds on the BMD.

### 12 *Data Adjustments Prior to Modeling*

13 As with the oral slope factor (see Section E.2.1, *Dose Response-modeling for the Oral Slope*  
14 *Factor*), etiologically similar tumor types (i.e., benign and malignant tumors of the same cell type)  
15 were combined for dose-response modeling. Here the benign tumors (papillomas, polyps, and  
16 papillary polyps) were judged to be of the same cell type as the squamous cell carcinomas (SCCs).  
17 As described in Section 2.4.2, the overall incidences of benign or malignant tumors in the  
18 respiratory tract (larynx, trachea, and nasal cavity) and pharynx were used for dose-response  
19 modeling.

20 [Thyssen et al. \(1981\)](#) did not determine cause of death for any of the animals. Although the  
21 ([U.S. EPA, 1990a](#)) analysis made use of judgments from an independent toxicologist about the likely  
22 causes of death for each animal, these judgments were not available for this assessment. Since the  
23 investigators for the oral bioassays considered the same tumor types to be fatal at least some of the  
24 time, bounding estimates for the [Thyssen et al. \(1981\)](#) data were developed by treating the tumors  
25 alternately as either all incidental or all fatal. In either case, therefore, an estimate of  $t_0$  (the time  
26 between a tumor first becoming observable and causing death) could not be estimated and was set  
27 to 0. The data analyzed are summarized in Table E-30. Animals without confirmation of one or  
28 more of the pharynx or respiratory tract tissues being examined were not included in the  
29 incidences, unless a tumor was diagnosed in those that were examined. Group average TWA  
30 continuous exposures, based on chamber air monitoring data and individual hamsters' time on  
31 study, of 0, 0.25, 1.01, and 4.29 mg/m<sup>3</sup> corresponded to the 0, 2, 10, and 50 mg/m<sup>3</sup> nominal study  
32 concentrations, respectively ([U.S. EPA, 1990a](#)).

33

1 Table E-30. Individual pathology and tumor incidence data for male Syrian  
 2 golden hamsters exposed to benzo[a]pyrene via inhalation for lifetime—  
 3 [Thyssen et al. \(1981\)<sup>a</sup>](#)

| Exposure concentration: target (lifetime average continuous exposure) <sup>b</sup> , mg/m <sup>3</sup> | Time of tumor observed (week) | Incidence of papillomas, polyps, papillary polyps, or carcinomas (total malignant tumors) |                |         |              |           |              | Incidence of respiratory tract or pharynx tumors |
|--------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------------|----------------|---------|--------------|-----------|--------------|--------------------------------------------------|
|                                                                                                        |                               | Larynx                                                                                    | Pharynx        | Trachea | Nasal cavity | Esophagus | Fore-stomach |                                                  |
| 0<br>(0)                                                                                               | 16                            | 0                                                                                         | — <sup>c</sup> | 0       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 39                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 45                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 79                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 82                            | 0                                                                                         | 0              | 0       | —            | 0         | 0            | —                                                |
|                                                                                                        | 85                            | 0                                                                                         | —              | 0       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 85                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 87                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 87                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 88                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 88                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 89                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 101                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 101                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 103                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 106                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 107                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 109                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 111                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 114                           | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
| 115                                                                                                    | 0                             | —                                                                                         | 0              | 0       | 0            | 0         | 0            | —                                                |
| 121                                                                                                    | 0                             | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
| 122                                                                                                    | 0                             | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
| 124                                                                                                    | —                             | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | —                                                |
| 124                                                                                                    | 0                             | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
| 126                                                                                                    | 0                             | —                                                                                         | 0              | 0       | 0            | 0         | 0            | —                                                |
| 131                                                                                                    | 0                             | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
| 2<br>(0.25)                                                                                            | 13                            | —                                                                                         | —              | —       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 35                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 53                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 58                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 70                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 77                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 79                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 84                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 87                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 93                            | 0                                                                                         | 0              | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 97                            | 0                                                                                         | —              | 0       | 0            | 0         | 0            | —                                                |

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*Supplemental Information—Benzo[a]pyrene*

| Exposure concentration: target (lifetime average continuous exposure) <sup>b</sup> , mg/m <sup>3</sup> | Time of tumor observed (week) | Incidence of papillomas, polyps, papillary polyps, or carcinomas (total malignant tumors) |         |         |                    |           |              | Incidence of respiratory tract or pharynx tumors |
|--------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------------|---------|---------|--------------------|-----------|--------------|--------------------------------------------------|
|                                                                                                        |                               | Larynx                                                                                    | Pharynx | Trachea | Nasal cavity       | Esophagus | Fore-stomach |                                                  |
|                                                                                                        | 99                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 102                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 102                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 108                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 113                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 114                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 115                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 115                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 119                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 121                           | —                                                                                         | —       | 0       | 0                  | 0         | 0            | —                                                |
|                                                                                                        | 132                           | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
| 10 (1.01)                                                                                              | 30                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 32                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 51                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 66                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 73                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 76                            | 0                                                                                         | 1 (1)   | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 76                            | 0                                                                                         | 1 (1)   | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 80                            | 1 (1) <sup>d</sup>                                                                        | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 85                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 93                            | 1 (1)                                                                                     | 0       | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 99                            | 0                                                                                         | 0       | 0       | 0                  | 0         | 0            | 0                                                |
|                                                                                                        | 102                           | 0                                                                                         | 1 (0)   | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 105                           | 1 (1)                                                                                     | 1 (1)   | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 110                           | 0                                                                                         | 1 (1)   | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 113                           | 0                                                                                         | 1 (0)   | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 114                           | 1 (1)                                                                                     | 1 (1)   | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 115                           | 1 (1)                                                                                     | —       | 1 (0)   | 1 (0)              | 0         | 0            | 1                                                |
|                                                                                                        | 115                           | 0                                                                                         | —       | 1 (0)   | 1 (1) <sup>e</sup> | 0         | 0            | 1                                                |
|                                                                                                        | 116                           | 1 (0)                                                                                     | —       | 0       | 0                  | 0         | 0            | 1                                                |
|                                                                                                        | 117                           | 1 (1)                                                                                     | 1 (1)   | 0       | 0                  | 0         | 0            | 1                                                |
| 118                                                                                                    | 1 (0)                         | 0                                                                                         | 0       | 0       | 0                  | 0         | 1            |                                                  |
| 118                                                                                                    | 0                             | —                                                                                         | 0       | 0       | 0                  | 0         | —            |                                                  |
| 118                                                                                                    | 1 (1)                         | 0                                                                                         | 0       | 1 (0)   | 0                  | 1 (1)     | 1            |                                                  |
| 121                                                                                                    | 1 (0)                         | 0                                                                                         | 0       | 0       | 0                  | 0         | 1            |                                                  |
| 124                                                                                                    | 1 (1)                         | 1 (1)                                                                                     | 0       | 0       | 0                  | 0         | 1            |                                                  |
| 124                                                                                                    | 0                             | 0                                                                                         | 0       | 1 (0)   | 0                  | 0         | 1            |                                                  |
| 50 (4.29)                                                                                              | 21                            | —                                                                                         | —       | —       | 0                  | 0         | 0            | —                                                |
|                                                                                                        | 22                            | —                                                                                         | —       | —       | 0                  | 0         | 0            | —                                                |
|                                                                                                        | 25                            | —                                                                                         | —       | —       | 0                  | 0         | 0            | —                                                |
|                                                                                                        | 30                            | —                                                                                         | —       | —       | 0                  | 0         | 0            | —                                                |

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Supplemental Information—Benzo[a]pyrene

| Exposure concentration: target (lifetime average continuous exposure) <sup>b</sup> , mg/m <sup>3</sup> | Time of tumor observed (week) | Incidence of papillomas, polyps, papillary polyps, or carcinomas (total malignant tumors) |         |         |              |           |              | Incidence of respiratory tract or pharynx tumors |
|--------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------------|---------|---------|--------------|-----------|--------------|--------------------------------------------------|
|                                                                                                        |                               | Larynx                                                                                    | Pharynx | Trachea | Nasal cavity | Esophagus | Fore-stomach |                                                  |
|                                                                                                        | 30                            | 0                                                                                         | —       | 0       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 30                            | —                                                                                         | —       | —       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 35                            | —                                                                                         | —       | —       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 36                            | 0                                                                                         | 0       | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 36                            | —                                                                                         | —       | —       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 38                            | —                                                                                         | —       | —       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 40                            | 0 <sup>f</sup>                                                                            | 1 (1)   | 1 (0)   | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 41                            | 0                                                                                         | 0       | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 41                            | —                                                                                         | —       | —       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 42                            | 0                                                                                         | 0       | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 42                            | 0                                                                                         | 0       | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 46                            | 1 (1)                                                                                     | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 47                            | 0                                                                                         | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 53                            | 0                                                                                         | —       | 0       | 0            | 0         | 0            | —                                                |
|                                                                                                        | 55                            | 1 (1)                                                                                     | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 56                            | 0                                                                                         | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 60                            | 0                                                                                         | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 62                            | 0                                                                                         | 0       | 0       | 0            | 0         | 0            | 0                                                |
|                                                                                                        | 63                            | 0                                                                                         | 1 (0)   | 0       | 0            | 0         | 1 (0)        | 1                                                |
|                                                                                                        | 66                            | 1 (1)                                                                                     | 1 (1)   | 0       | 0            | —         | —            | 1                                                |
|                                                                                                        | 67                            | 0                                                                                         | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 69                            | 1 (0)                                                                                     | 1 (1)   | 0       | 0            | 1 (0)     | 0            | 1                                                |
|                                                                                                        | 71                            | 1 (1)                                                                                     | 1 (1)   | 1 (1)   | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 71                            | 1 (0)                                                                                     | 1 (1)   | 0       | 0            | 0         | 1 (0)        | 1                                                |
|                                                                                                        | 72                            | 1 (1)                                                                                     | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 72                            | 1 (1)                                                                                     | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 82                            | 0                                                                                         | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 82                            | 1 (1)                                                                                     | 1 (1)   | 0       | 0            | 1 (0)     | 0            | 1                                                |
|                                                                                                        | 83                            | 1 (0)                                                                                     | 1 (1)   | 0       | 0            | 0         | 0            | 1                                                |
|                                                                                                        | 102 <sup>g</sup>              | 1 (1)                                                                                     | 1 (1)   | 1 (0)   | 1 (0)        | 0         | 0            | 1                                                |

1

2 <sup>a</sup>Histopathology incidence from [U.S. EPA \(1990a\)](#); [Clement Associates \(1990\)](#).

3 <sup>b</sup>See Section D.4.2.

4 <sup>c</sup>Tissue was not examined.

5 <sup>d</sup>In situ carcinoma; not included in overall tumor incidence.

6 <sup>e</sup>Adenocarcinoma; not included in overall tumor incidence.

7 <sup>f</sup>Metastasis from pharynx not shown.

8 <sup>g</sup> Necropsy occurred 24 weeks after 79 weeks of exposure.

1 **E.2.2.3 Sensitivity Analyses**

2 Alternative dose-response models and alternative assumptions regarding missing  
3 observations and latency estimates were also conducted. First, alternative dose-response models  
4 were considered through applying dichotomous models in BMDS to summary incidence data for  
5 each exposure group, adjusted for early mortality using the poly-3 technique (Bailer and Portier,  
6 1988).

7 **Dose-Response Modeling Results**

8 Table E-31 summarizes the modeling results supporting the derivation of an inhalation unit  
9 risk value for benzo[a]pyrene. The model outputs and graphs (Figures E-27 and E-28) following  
10 Table E-31 provide more details for the best-fitting models under the conditions of taking all  
11 tumors to be incidental to the cause of death, or to be the cause of death, respectively.

12 The sensitivity analyses of Section E.2.2.3 are summarized in Table E-32.

13 **Table E-31. Summary of BMD model selection among multistage-Weibull**  
14 **models fit to tumor data for male Syrian golden hamsters exposed to**  
15 **benzo[a]pyrene via inhalation for lifetime ([Thyssen et al., 1981](#))**

| Tumor context                                      | Model stages | AIC          | BMD <sub>10</sub> <sup>a</sup> | BMDL <sub>10</sub> <sup>a</sup> | Basis for model selection                                 |
|----------------------------------------------------|--------------|--------------|--------------------------------|---------------------------------|-----------------------------------------------------------|
| All tumors considered incidental to cause of death | 1            | 50.5         | 0.076                          | 0.052                           | Lowest AIC, best fit to data (BMDU <sub>10</sub> = 0.324) |
|                                                    | 2            | <b>40.4</b>  | <b>0.254</b>                   | <b>0.163</b>                    |                                                           |
| All tumors considered to be cause of death         | 1            | 315.0        | 0.135                          | 0.104                           | Lowest AIC; best fit to data (BMDU <sub>10</sub> = 0.544) |
|                                                    | 2            | <b>302.9</b> | <b>0.468</b>                   | <b>0.256</b>                    |                                                           |

16

17 <sup>a</sup> Corresponding to lifetime exposure (104 weeks).

18

1 **Output for Squamous Cell Neoplasia Following Inhalation Exposure to Benzo[a]pyrene: All**  
 2 **Tumors Considered Incidental to Cause of Death**

```

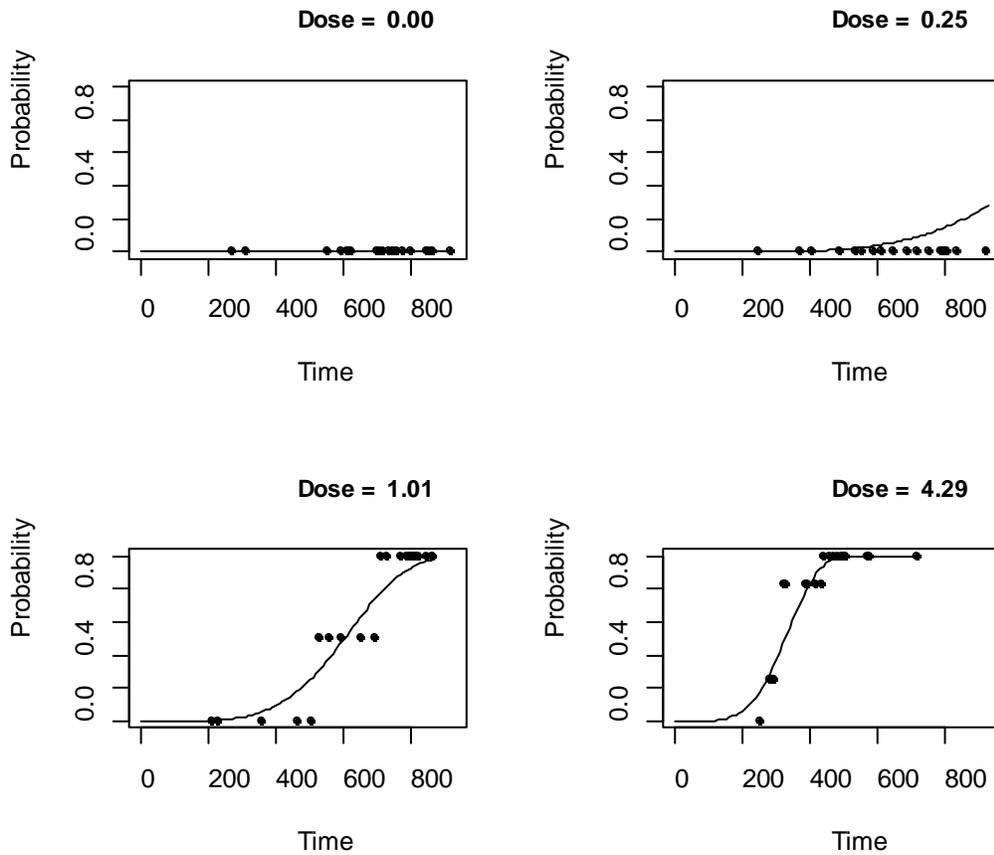
3 =====
4 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
5 Solutions are obtained using donlp2-intv, (c) by P. Spellucci
6 Input Data File: ThyssenI2sL104noUw.(d)
7 Fri Oct 14 10:23:57 2016
8 =====
9
10 The form of the probability function is:
11 P[response] = 1-EXP{-(t - t_0)^c *
12 (beta_0+beta_1*dose^1+beta_2*dose^2)}
13
14 The parameter betas are restricted to be positive
15
16 Dependent variable = CONTEXT
17 Independent variables = DOSE, TIME
18
19 Total number of observations = 88
20 Total number of records with missing values = 0
21 Total number of parameters in model = 5
22 Total number of specified parameters = 1
23 Degree of polynomial = 2
24
25
26
27 User specifies the following parameters:
28 t_0 = 0
29
30 Maximum number of iterations = 64
31 Relative Function Convergence has been set to: 2.22045e-016
32 Parameter Convergence has been set to: 1.49012e-008
33
34
35 Default Initial Parameter Values
36 c = 4.5
37 t_0 = 0 Specified
38 beta_0 = 8.02969e-034
39 beta_1 = 5.12551e-032
40 beta_2 = 1.30309e-009
41
42
43 Asymptotic Correlation Matrix of Parameter Estimates
44 ( *** The model parameter(s) -t_0 -beta_0 -beta_1
45 have been estimated at a boundary point, or have been specified by the user,
46 and do not appear in the correlation matrix )
47
48 c beta_2
49
50 c 1 -1
51
52 beta_2 -1 1
53
54
55 Parameter Estimates
56
57 Variable Estimate Std. Err. 95.0% Wald Confidence Interval
58 c 4.70606 0.953708 Lower Conf. Limit Upper Conf. Limit
59 beta_0 0 NA 2.83682 6.57529
60 beta_1 0 NA
61 beta_2 5.29609e-010 2.21617e-009 -3.81401e-009 4.87323e-009
62
63 NA - Indicates that this parameter has hit a
64 bound implied by some inequality constraint
65 and thus has no standard error.
66
67
68 Log(likelihood) # Param AIC
69 Fitted Model -16.18 4 40.36
70
71
72 Data Summary
73 CONTEXT
    
```

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19

|      | C  | F | I  | U | Total |
|------|----|---|----|---|-------|
| DOSE |    |   |    |   |       |
| 0    | 21 | 0 | 0  | 0 | 21    |
| 0.25 | 19 | 0 | 0  | 0 | 19    |
| 1    | 8  | 0 | 17 | 0 | 25    |
| 4.3  | 5  | 0 | 18 | 0 | 23    |

Benchmark Dose Computation  
 Risk Response = Incidental  
 Risk Type = Extra  
 Specified effect = 0.1  
 Confidence level = 0.9  
 Time = 104  
 BMD = 0.253061  
 BMDL = 0.163183  
 BMDU = 0.318982

Incidental Risk: ThyssenInc2sL104noU



20  
21  
22  
23

**Figure E-27. Fit of multistage Weibull model to respiratory tract tumors in male hamsters exposed via inhalation to benzo[a]pyrene (Thyssen et al., 1981); tumors treated as incidental to death.**

1 **Output for Respiratory Tract Tumors: All Tumors Considered to be Cause Of Death**

2  
3  
4 =====  
5 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
6 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
7 Input Data File: ThyssenF2sL104noU.(d)  
8 Thu Mar 13 14:30:45 2014  
9 =====

10 The form of the probability function is:  
11  $P[\text{response}] = 1 - \text{EXP}\{-(t - t_0)^c * (\beta_0 + \beta_1 * \text{dose} + \beta_2 * \text{dose}^2)\}$   
12  
13

14 The parameter betas are restricted to be positive

15  
16 Dependent variable = CONTEXT  
17 Independent variables = DOSE, TIME

18  
19 Total number of observations = 88  
20 Total number of records with missing values = 0  
21 Total number of parameters in model = 5  
22 Total number of specified parameters = 1  
23 Degree of polynomial = 2

24  
25  
26  
27 User specifies the following parameters:  
28  $t_0 = 0$   
29

30 Maximum number of iterations = 64  
31 Relative Function Convergence has been set to: 2.22045e-016  
32 Parameter Convergence has been set to: 1.49012e-008  
33

34  
35 Default Initial Parameter Values  
36  $c = 6$   
37  $t_0 = 0$  Specified  
38  $\beta_0 = 2.0496e-036$   
39  $\beta_1 = 4.12988e-014$   
40  $\beta_2 = 3.37033e-013$   
41

42  
43 Asymptotic Correlation Matrix of Parameter Estimates  
44 ( \*\*\* The model parameter(s)  $-t_0$   $-\beta_0$   $-\beta_1$   
45 have been estimated at a boundary point, or have been specified by the user,  
46 and do not appear in the correlation matrix )  
47

48  
49  
50  
51  
52  
53  
54

|        | c  | beta_2 |
|--------|----|--------|
| c      | 1  | -1     |
| beta_2 | -1 | 1      |

55  
56  
57  
58  
59  
60  
61  
62

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 6.61992      | 0.915036     | 4.82649                        | 8.41336           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 0            | NA           |                                |                   |
| beta_2   | 2.13816e-014 | 8.96466e-014 | -1.54323e-013                  | 1.97086e-013      |

63 NA - Indicates that this parameter has hit a  
64 bound implied by some inequality constraint  
65 and thus has no standard error.  
66

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|              | Log(likelihood) | # Param | AIC     |
|--------------|-----------------|---------|---------|
| Fitted Model | -147.66         | 4       | 303.319 |

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| Data Summary |    |    |   |   |       |
|--------------|----|----|---|---|-------|
| CONTEXT      |    |    |   |   |       |
| DOSE         | C  | F  | I | U | Total |
| 0            | 21 | 0  | 0 | 0 | 21    |
| 0.25         | 19 | 0  | 0 | 0 | 19    |
| 1            | 8  | 17 | 0 | 0 | 25    |
| 4.3          | 5  | 18 | 0 | 0 | 23    |

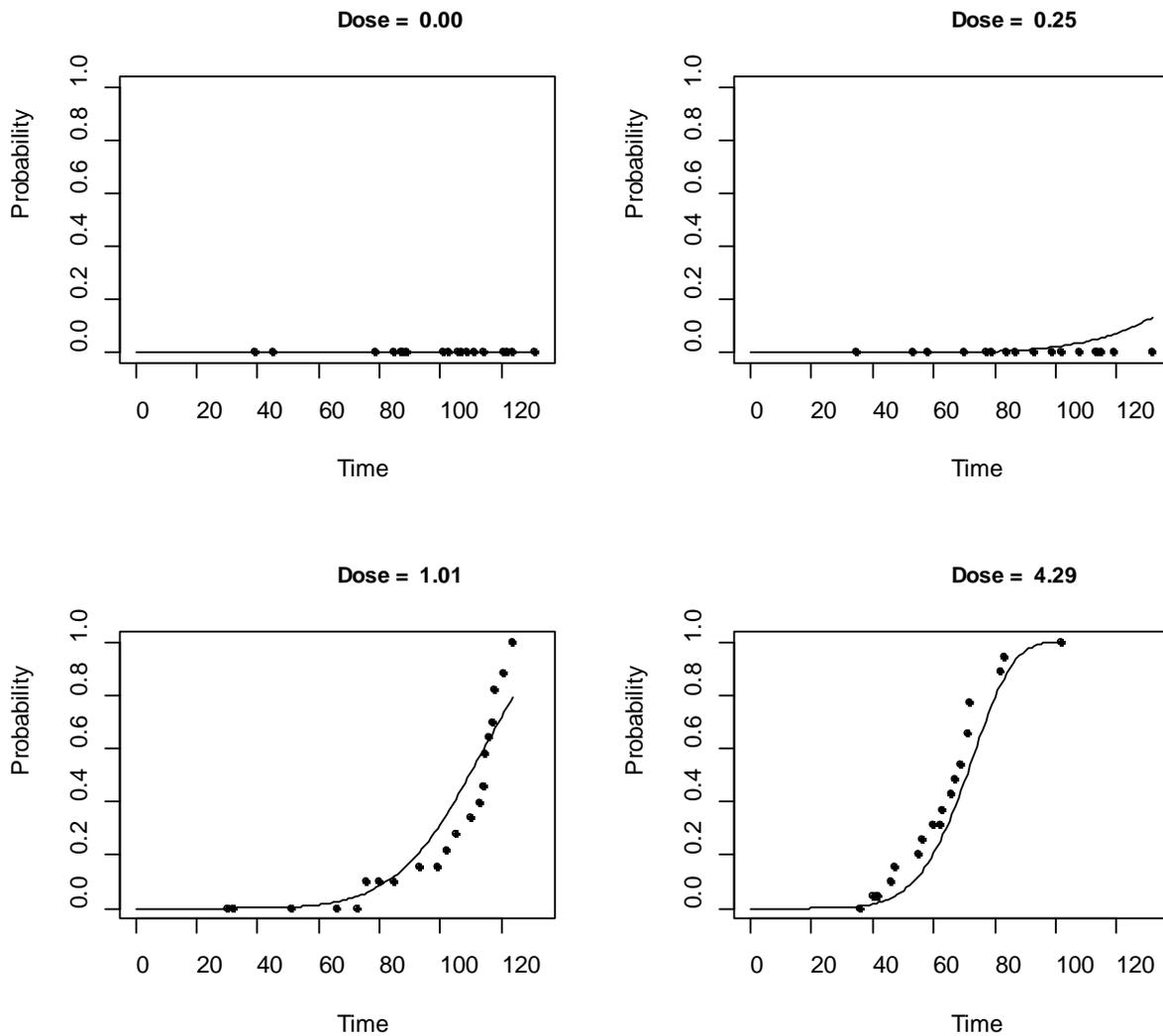
Minimum observation time for F tumor context = 40

Benchmark Dose Computation

|                    |          |
|--------------------|----------|
| Risk Response =    | Fatal    |
| Risk Type =        | Extra    |
| Specified effect = | 0.1      |
| Confidence level = | 0.9      |
| Time =             | 104      |
| BMD =              | 0.467752 |
| BMDL =             | 0.256206 |
| BMDU =             | 0.543965 |

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Fatal Risk:



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**Figure E-28. Fit of multistage Weibull model to respiratory tract tumors in male hamsters exposed via inhalation to benzo[a]pyrene ([Thyssen et al., 1981](#)); tumors treated as cause of death.**

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**Table E-32. Summary of alternative dose-response modeling results for respiratory tumors in male Syrian golden hamsters exposed to benzo[a]pyrene via inhalation for lifetime ([Thyssen et al., 1981](#))**

| Model                                                                             | Goodness of fit |         | BMD <sub>10</sub><br>(mg/m <sup>3</sup> ) | BMDL <sub>10</sub><br>(mg/m <sup>3</sup> ) | Comments                                                                                                                                                                                                                                                                         |          |                       |
|-----------------------------------------------------------------------------------|-----------------|---------|-------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------------------|
|                                                                                   | p-value         | AIC     |                                           |                                            |                                                                                                                                                                                                                                                                                  |          |                       |
| <b>Dichotomous models applied to poly-3 adjusted group incidences<sup>a</sup></b> |                 |         |                                           |                                            |                                                                                                                                                                                                                                                                                  |          |                       |
| Gamma                                                                             | 0.0057          | 52.800  | 0.175                                     | 0.0990                                     | Excepting the dichotomous Hill model, no models fit adequately. The dichotomous Hill model fit 4 parameters to the observed data, with the exponent set at the lower allowable limit (1), and yielded an implausible shape in the region near the BMR ( $\leq 10\%$ extra risk). |          |                       |
| Dichotomous-Hill                                                                  | 1.000           | 42.606  | 0.784                                     | 0.635                                      |                                                                                                                                                                                                                                                                                  |          |                       |
| Logistic                                                                          | 0               | 69.973  | 0.395                                     | 0.278                                      |                                                                                                                                                                                                                                                                                  |          |                       |
| LogLogistic                                                                       | 0.0374          | 46.943  | 0.291                                     | 0.149                                      |                                                                                                                                                                                                                                                                                  |          |                       |
| Probit                                                                            | 0               | 71.796  | 0.465                                     | 0.343                                      |                                                                                                                                                                                                                                                                                  |          |                       |
| LogProbit                                                                         | 0.0334          | 47.964  | 0.276                                     | 0.142                                      |                                                                                                                                                                                                                                                                                  |          |                       |
| Weibull <sup>b</sup> , Multistage 2°, 1°                                          | 0.0246          | 50.980  | 0.136                                     | 0.0979                                     |                                                                                                                                                                                                                                                                                  |          |                       |
| Multistage 3° <sup>c</sup>                                                        | 0.0246          | 50.980  | 0.136                                     | 0.0979                                     |                                                                                                                                                                                                                                                                                  |          |                       |
| Multistage 2°: highest dose group dropped                                         | 0.449           | 29.98   | 0.290                                     | 0.186                                      | Adequate fit                                                                                                                                                                                                                                                                     |          |                       |
| <b>Multistage Weibull model, with alternative assumptions</b>                     |                 |         |                                           |                                            | Parameter estimates <sup>e</sup>                                                                                                                                                                                                                                                 |          |                       |
|                                                                                   |                 |         |                                           |                                            | <b>t0 (wks)</b>                                                                                                                                                                                                                                                                  | <b>c</b> | <b>b2</b>             |
| Benign tumors->incidental, malignant tumors->fatal                                | NA <sup>d</sup> | 281.108 | 0.337                                     | 0.198                                      | 14.5 <sup>f</sup>                                                                                                                                                                                                                                                                | 5.4      | $1.4 \times 10^{-11}$ |
| All tumors fatal <sup>g</sup>                                                     | NA              | 302.9   | 0.468                                     | 0.256                                      | 0                                                                                                                                                                                                                                                                                | 6.6      | $2.1 \times 10^{-14}$ |
| All tumors considered incidental, latency fixed <sup>e</sup>                      | NA              | 191.29  | 0.431                                     | 0.245                                      | 5                                                                                                                                                                                                                                                                                | 6.5      | $5.4 \times 10^{-14}$ |
|                                                                                   | NA              | 115.39  | 0.364                                     | 0.238                                      | 15                                                                                                                                                                                                                                                                               | 6.2      | $2.3 \times 10^{-13}$ |
|                                                                                   | NA              | 51.62   | 0.261                                     | 0.184                                      | 45                                                                                                                                                                                                                                                                               | 5.4      | $1.8 \times 10^{-11}$ |
|                                                                                   | NA              | 40.45   | 0.252                                     | 0.164                                      | 90                                                                                                                                                                                                                                                                               | 4.7      | $4.8 \times 10^{-10}$ |
| Incidental tumors; missing diagnoses assumed negative                             | NA              | 50.68   | 0.286                                     | 0.190                                      | 0                                                                                                                                                                                                                                                                                | 4.8      | $2.3 \times 10^{-14}$ |
| All tumors incidental <sup>g</sup>                                                | NA              | 40.36   | 0.254                                     | 0.163                                      | 0                                                                                                                                                                                                                                                                                | 4.7      | $5.2 \times 10^{-14}$ |

<sup>a</sup>

|                                    |                                                                                            |
|------------------------------------|--------------------------------------------------------------------------------------------|
| <u>Exposure (mg/m<sup>3</sup>)</u> | Poly-3 adjusted <u>Incidence (denominators address all animals with missing diagnoses)</u> |
| 0                                  | 0/24                                                                                       |
| 0.25                               | 0/18                                                                                       |
| 1.01                               | 17/23                                                                                      |
| 4.29                               | 18/20                                                                                      |

<sup>b</sup> For the Weibull model, the power parameter estimate was 1 (boundary of parameter space). The models in this row all reduced to the Quantal-Linear model.

<sup>c</sup> The multistage 3° model differs from the models in the line just above (Weibull etc.) in additional digits not displayed in the table.

<sup>d</sup> NA—Goodness of fit tests are not available for the multistage Weibull model.

<sup>e</sup> Models estimated b0 and b1 at 0; latency (t0) fixed at listed values unless noted otherwise.

<sup>f</sup> Maximum likelihood estimate.

<sup>g</sup> Repeated from Table E-31, for comparison.

4

*This document is a draft for review purposes only and does not constitute Agency policy.*

## APPENDIX F. SUMMARY OF SAB PEER REVIEW COMMENTS AND EPA'S DISPOSITION

The draft *Toxicological Review of Benzo[a]pyrene*, dated September 2014, underwent a formal external peer review in accordance with EPA guidance on peer review ([U.S. EPA, 2006](#)). This peer review was conducted by the Chemical Assessment Advisory Committee (CAAC) Augmented for the IRIS benzo[a]pyrene assessment (CAAC benzo[a]pyrene panel) of EPA's Science Advisory Board (SAB). An external peer review workshop was held on April 15–17, 2015. Public teleconferences of the SAB-CAAC benzo[a]pyrene panel were held on March 4, August 21, and September 2, 2015. The SAB held a public teleconference on January 26, 2016 to conduct a quality review of the draft peer review report. The final report of the SAB was released in April 5, 2016.

The SAB was tasked with providing feedback in response to charge questions that addressed scientific issues related to the hazard identification and dose-response assessment of benzo[a]pyrene, as well as EPA's disposition of major public comments. A summary of major recommendations of the SAB and EPA's responses to these recommendations, organized by charge question, follow.

**Charge Question 1. The process for identifying and selecting pertinent studies for consideration in developing the assessment is detailed in the Literature Search Strategy/Study Selection and Evaluation section. Please comment on whether the literature search approach, screening, evaluation, and selection of studies for inclusion in the assessment are clearly described and supported. Please comment on whether EPA has clearly identified the criteria (e.g. study quality, risk of bias) used for selection of studies to review and for the selection of key studies to include in the assessment. Please identify any additional peer-reviewed studies from the primary literature that should be considered in the assessment of noncancer and cancer health effects of benzo[a]pyrene.**

**Comment:** The EPA should specify whether the literature search strategy included a review of the references in the primary and secondary literature as a means to identify potentially relevant articles not identified through the systematic searching and manual screening processes, and EPA should conduct secondary literature searches as evidence for additional effects (e.g., cardio) or specific data gaps (e.g., mechanistic, *in vitro* studies) that emerged.

**Response:** Comprehensive literature searches of several databases were performed for benzo[a]pyrene in 2008 and 2012 (see Table LS-1 in the Toxicological Review). In addition to EPA's search of online databases, secondary references, primarily assessments by other health agencies, were consulted to ensure that critical studies were not missed by the literature search.

The database literature searches performed for benzo[a]pyrene were designed to search for all possible health outcomes of benzo[a]pyrene exposure, and as such did not include terms for

1 specific organs or endpoints. Instead, the literature search strategy used for benzo[a]pyrene was  
2 designed to use fewer, more comprehensive terms that capture many health outcomes, such as  
3 “benzo[a]pyrene”, “toxicity” and “adverse effect”. The use of these broad terms captures the vast  
4 majority of studies, likely more than would be identified with a more targeted literature search.

5 Many of the cardiovascular studies identified by the SAB as missing from the assessment were  
6 identified in early literature searches. However, these studies were not included because the  
7 assessment focused on endpoints that were established in subchronic or chronic oral and  
8 inhalation studies, rather than in vitro studies, studies using less environmentally-relevant routes  
9 of exposure, and studies in genetically modified animals or non-mammalian species.

10 In addition to the comprehensive search, iterative literature searches were conducted during the  
11 draft development process. For example, specialized searches were conducted during draft  
12 development to provide additional context for potential mechanisms of hazards identified from in  
13 vivo subchronic, chronic, and developmental studies. These additional searches of PubMed were  
14 conducted to fill data gaps and to help address peer review comments.

15 The assessment section entitled “Literature Search Strategy/Study Selection has been updated to  
16 clarify these aspects of the literature search strategy.

17 Comment: The EPA should provide sufficiently detailed criteria for each step of the process leading  
18 to the selection of key studies for the point of departure (POD) assessment while the handbook  
19 which will outline the tools and processes is being developed.

20  
21 Response: General considerations for the identification of pertinent studies, credible health  
22 hazards, and informative studies for dose-response analysis are discussed in the IRIS preamble  
23 which is included in the front matter of the IRIS Toxicological Review of Benzo[a]pyrene. Sections  
24 especially pertinent to the SAB comment include: Section 3. Identifying and Selecting Pertinent  
25 Studies, Section 4. Evaluating Study Methods and Quality, Section 6, Selecting Studies for Derivation  
26 of Toxicity Values, and Section 7, Deriving Toxicity Values.

27  
28 Rationales specific to the benzo[a]pyrene database, which lead to the selection of key studies and  
29 the points of departure, are discussed throughout the document starting with considerations for  
30 literature screening and evaluation in the Literature Search Strategy/Study Selection section of the  
31 document. Considerations for the selection of studies for dose-response analysis specific to the  
32 benzo[a]pyrene database are discussed in Sections 2.1.1 (for the oral database) and 2.2.1 (for the  
33 inhalation database) of the Toxicological Review.

34  
35 **Charge Question 2a. The draft assessment concludes that developmental toxicity and**  
36 **developmental neurotoxicity are human hazards of benzo[a]pyrene exposure. Do the**  
37 **available human and animal studies support this conclusion?**

38  
39 The SAB concurred that the available human studies support the conclusion that benzo[a]pyrene  
40 exposure contributes to human developmental toxicity and that the available animal studies  
41 support this conclusion. The SAB subdivided this Charge Question into two parts: developmental

1 neurotoxicity and developmental toxicity other than neurodevelopment. The SAB had the following  
2 specific recommendations:

3  
4 *Developmental neurotoxicity*

5  
6 Comment: The SAB recommended that rather than relying only on the elevated plus maze data and  
7 dismissing the Morris water maze data, all the data in [Chen et al. \(2012\)](#) should be considered  
8 collectively, and viewed in their totality as evidence of a developmental neurobehavioral effect of  
9 neonatal benzo[a]pyrene exposure. The SAB also commented that the Least Significant Difference  
10 (LSD) test may have been inappropriate for establishing the weight of evidence for developmental  
11 neurobehavioral effects.

12  
13 Response: EPA agrees with this recommendation and the revised assessment gives further  
14 consideration to all of the behavioral outcomes reported in [Chen et al. \(2012\)](#) for use in hazard  
15 identification and dose-response analyses. Specifically, text within Section 1.1.1 (e.g.  
16 “Neurodevelopmental Effects” and “Summary of Developmental Effects”) of the revised assessment  
17 provides increased consideration of the following endpoints as collectively providing evidence of a  
18 neurodevelopmental effect: surface righting, negative geotaxis, open field activity, elevated plus  
19 maze, and Morris water maze.

20  
21 Concerning the LSD test, EPA agrees that this test can over-emphasize differences as significant that  
22 may not be (i.e., by underestimating p-values). Statistical significance testing was one of several  
23 factors in evaluating the weight of evidence, including evaluating magnitudes of effect, overall  
24 biological significance across the various time points evaluated, and consistency of the effects  
25 across similar protocols. Clarification to this effect was added to Table 1-4.

26  
27 Ultimately, the revised assessment emphasized the totality of the evidence for behavioral effects  
28 assessed by [Chen et al. \(2012\)](#) for dose-response analyses. (See also response to Charge Question  
29 3a).

30  
31 Comment: EPA should consider the significant exposure gaps in brain development in existing  
32 studies in the overall evaluation of benzo[a]pyrene developmental neurotoxicity.

33  
34 Response: The EPA agrees that this is an important point. In the revised assessment, a figure  
35 arraying the exposure paradigms used across the available studies evaluating developmental  
36 neurotoxicity has been added to Section 1.1.1. This figure provides a visual representation of  
37 exposure gaps across the available developmental neurotoxicity studies.

38  
39 Neurodevelopmental exposure gaps identified are now summarized in the Summary of Section  
40 1.1.1 and considered more carefully in Section 2. Overall, the exposure gaps indicate that the  
41 available benzo[a]pyrene studies do not comprehensively cover the exposure periods pertinent to  
42 assessing the potential vulnerability of the developing nervous system to toxic insult, namely from  
43 implantation through adolescence.

1 Furthermore, since developmental neurotoxicity can be expressed differentially depending on both  
2 the timing of exposure and the endpoint measures assessed (noting that the mode-of-action for  
3 benzo[a]pyrene-induced neurotoxicity remains unknown), and because many studies in the  
4 database did not evaluate multiple parameters of nervous system structure and function, it is likely  
5 that at least some of the exposure periods examined were not adequately assessed. However, the  
6 available studies include a detailed evaluation of exposure during several developmental ages  
7 known to be sensitive for detecting developmental neurotoxicity. These include late gestation and  
8 the early neonatal period (although exposures combining these periods were not evaluated), during  
9 which substantial brain region-specific changes in proliferation, synaptogenesis, and perhaps most  
10 noticeably, growth, occur. These exposure gaps during sensitive periods of brain development  
11 were considered in the application of a database UF to help address residual uncertainty associated  
12 with the potential for neurodevelopmental effects at lower doses (see also response to Charge  
13 Question 3a).

14

15 *Developmental toxicity other than neurodevelopment*

16

17 Comment: The SAB recommended EPA conduct a more complete literature search on  
18 developmental toxicity of benzo[a]pyrene to characterize benzo[a]pyrene-mediated developmental  
19 toxicity. Specifically, several older teratology studies were suggested for inclusion ([Shum et al.,](#)  
20 [1979](#); [Nebert et al., 1977](#); [Rigdon and Rennels, 1964](#)). In addition, the SAB recommended  
21 consideration of a publication by [Thakur et al. \(2014\)](#), evaluating fetal benzo[a]pyrene-related  
22 effects on fetal lung growth and function.

23

24 Response: Several teratology studies were suggested for inclusion by the SAB ([Shum et al., 1979](#);  
25 [Nebert et al., 1977](#); [Rigdon and Rennels, 1964](#)). Two oral, high dose teratology studies in rats  
26 ([Rigdon and Rennels, 1964](#)) and mice ([Rigdon and Neal, 1965](#)) were identified in the original  
27 comprehensive literature search for benzo[a]pyrene and were discussed in the supplementary  
28 material in Appendix D. However, these older, high dose teratology studies were generally limited  
29 in terms of study design, documentation of methods, and reporting of results (see Appendix D for  
30 details).

31 Two additional studies recommended by the SAB ([Shum et al., 1979](#); [Nebert et al., 1977](#)),  
32 were considered to provide mechanistic information. [Shum et al. \(1979\)](#), a high dose IP study (200  
33 mg/kg), suggests that developmental effects of benzo[a]pyrene may occur via the AhR pathway.  
34 Similar developmental findings were reported in [Nebert et al. \(1977\)](#) which looked at  
35 developmental toxicity of two PAHs (3-methylcholanthrene and 7,12-dimethylbenz[a]anthracene)  
36 in AhR responsive and non-responsive mice. These studies have been included in Section 1.1.1,  
37 *Mode of Action Analysis—Developmental Toxicity and Developmental Neurotoxicity*.

38 Regarding the study by [Thakur et al. \(2014\)](#) (also discussed under Charge Question 2e), this  
39 study reported increased susceptibility to lung injury in offspring of rat dams treated with high  
40 dose intraperitoneal exposure (25 mg/kg) to benzo[a]pyrene on GDs 18-20 and subsequent  
41 challenge with hyperoxic air (85% O<sub>2</sub>). However, interpretation of this study is complicated due to  
42 the route of exposure and the high doses employed, which were one to two magnitudes greater  
43 than doses at which effects were observed in the oral developmental database. Discussion of this  
44 study's implications regarding increased susceptibility to oxidative stress subsequent to

1 benzo[a]pyrene exposure has been added to Section 1.1.1, *Mode of Action Analysis—Developmental*  
2 *Toxicity and Developmental Neurotoxicity*.

3  
4 Comment: Adverse outcomes resulting from benzo[a]pyrene exposure should take into context the  
5 susceptible window of exposure [i.e., whether exposure occurs in early gestation, late gestation (GD  
6 6-12/15), or postnatal exposure].

7  
8 Response: EPA agrees that the timing of developmental exposures can be a critical determinant of  
9 health effects observed in a particular study. The available developmental studies in the  
10 benzo[a]pyrene database often exposed animals during different windows of development. Specific  
11 durations of exposure are listed in the relevant evidence tables (See Tables 1-2 and 1-4) and  
12 discussed in the text. However, conclusions regarding the windows of development most relevant  
13 to benzo[a]pyrene-induced developmental effects cannot be made due to varying study design  
14 across studies. Increased discussion regarding the exposure timing of developmental studies has  
15 been added to the document in Section 1.1.1 and Section 2.1.3.

16  
17 Comment: The EPA should consider including mechanistic studies that provide perspectives on the  
18 likely mode of action leading to benzo[a]pyrene-related developmental toxicity. Specifically, the  
19 SAB recommended the addition of studies investigating the role of mechanisms such as  
20 genotoxicity and oxidative stress.

21  
22 Response: Mechanistic information potentially informative of benzo[a]pyrene-related  
23 developmental effects is included in Section 1.1.1. “Developmental Toxicity” under the subsection  
24 “Mode of Action Analysis—Developmental Toxicity and Neurodevelopmental Toxicity”. Additional  
25 consideration has been given to the studies suggested for consideration. This section has been  
26 expanded to acknowledge potential developmental effects subsequent to genotoxic and mutagenic  
27 mechanisms in germline and fetal cells, as well as changes in oxidative stress as a possible  
28 contributing mechanism to developmental toxicity. Mechanistic references suggested by the peer  
29 reviewers have been considered and incorporated where relevant.

30  
31 Comment: Toxicokinetic information regarding fetal exposures and lactational transfer should be  
32 included in the consideration of developmental hazard.

33  
34 Response: Information regarding the potential for lactational transfer of benzo[a]pyrene has been  
35 added to the toxicokinetic information in Section D.1 of the Supplemental Information. In addition,  
36 a concise discussion of this information, as well as information on fetal distribution has been added  
37 to Section 1.1.1 Developmental Toxicity.

38  
39 **Charge Question 2b. The draft assessment concludes that male and female reproductive**  
40 **effects are a human hazard of benzo[a]pyrene exposure. Do the available human, animal and**  
41 **mechanistic studies support this conclusion?**

42  
43 The SAB agreed that the data support the conclusion that benzo[a]pyrene is a male and female  
44 reproductive toxicant, with rodent data demonstrating convincingly that benzo[a]pyrene affects

1 fertility and fecundity. The SAB had the following specific recommendations:  
2

3 Comment: The SAB recommends that the EPA consider additional female reproductive endpoints  
4 for point of departure/BMD analyses and RfD derivation. The SAB suggested that decreased  
5 follicular counts be considered as well as uterine hyperplasia and inflammation observed in the Gao  
6 et al. (2011) study. The SAB recommends that the EPA either include these endpoints, or provide  
7 appropriate justification as to why that they are not suitable for RfD determination.  
8

9 Response: In response to the SAB recommendation to consider ovarian follicular counts further,  
10 decreased primordial follicles reported by [Xu et al. \(2010\)](#) were considered supportive of  
11 reproductive toxicity, as a depletion of follicles can result in shortening of a woman’s reproductive  
12 lifespan ([U.S. EPA, 1996](#)). Means and standard deviation were obtained from this graphically  
13 reported endpoint, modeled, and included for candidate value derivation in Section 2 (also see  
14 Appendix E.1).  
15

16 A single study ([Gao et al., 2011](#)) reported increased inflammatory cells in the uterine cervix as well  
17 as hyperplasia at higher doses. Effects in the uterus were not evaluated in other noncancer or  
18 cancer bioassays in the database, except perhaps grossly in the cancer bioassay by Kroese et al.  
19 (2001). Furthermore, it is unclear that the observed histological changes in the cervix are  
20 associated with impaired reproductive function. This study also observed a depression of  
21 bodyweight (10, 15, and 30%) and elevated mortality in the two higher dose groups (4 and 8%),  
22 suggesting general systemic toxicity. Overall, benzo[a]pyrene-related effects in the uterus are less  
23 supported than ovarian/oocyte effects reported in subchronic and gestational studies ([Xu et al.,](#)  
24 [2010](#); [Kristensen et al., 1995](#); [Mackenzie and Angevine, 1981](#)) and supported by a large body of  
25 studies by other routes of exposure (IP) as well as in vitro mechanistic data (see Section 1.1.2,  
26 “Mode-of-action analysis—female reproductive effects”). Therefore, although uterine hyperplasia  
27 reported by [Gao et al. \(2011\)](#), was modeled and considered as a candidate toxicity value,  
28 ovotoxicity, including decreased ovarian weight and decreased ovarian follicles, was deemed to be  
29 more representative of the current body of evidence regarding benzo[a]pyrene-induced female  
30 reproductive toxicity.  
31

32 Sections 1.1.2 and 2.1 have been clarified to reflect the above considerations.  
33

34 Comment: The SAB recommended that the EPA consider other male reproductive endpoints in  
35 addition to the classical reproductive hazard endpoints included in the draft assessment. The SAB  
36 specifically recommended considering germline mutagenesis as an endpoint.

37 Response: Studies which evaluated germ cell mutagenesis in experimental animals following oral  
38 exposure were not identified in the benzo[a]pyrene database. However, discussion of increased  
39 male germ cell mutation in transgenic lac I mice treated with high dose intraperitoneal doses ([Xu et](#)  
40 [al., 2014](#)) has been added to the document in *Section 1.1.2 Mode-of-action analysis—male*  
41 *reproductive effects*). The derivation of candidate toxicity values based on other effects in male  
42 germ cells, such as decreased sperm count and mobility, which may be related to genotoxic  
43 mechanisms of benzo[a]pyrene (also reviewed in *Section 1.1.2 Mode-of-action analysis—male*

1 *reproductive effects*) were considered in the assessment (see Section 2.1.1). The stronger of the  
2 available studies reporting these sperm endpoints, [Mohamed et al. \(2010\)](#), still involved too much  
3 overall uncertainty as reflected in the composite uncertainty factor (see Table 2-2), therefore a  
4 candidate value to represent effects on male germ cells could not be derived.

5  
6 Comment: For male reproductive studies, the SAB recommends considering the recovery time after  
7 treatment prior to endpoint measurement since the testis is proliferative and new rounds of  
8 spermatogenesis could change the outcome. The SAB also noted that because the testis matures  
9 after birth, additional consideration be given to the life stage at which the animals are exposed to  
10 benzo[a]pyrene. The SAB specifically recommended consideration of studies demonstrating that  
11 exposure at different life stages (e.g., pre-adult vs. adult), can have differential effects on reproductive  
12 health.

13  
14 Response: The discussion of studies which evaluated reproductive endpoints in male rodents has  
15 been clarified to note the age of the animals at treatment (see Table 1-5). For the male  
16 reproductive studies evaluated in Section 1.1.2, all but two of the studies ([Mohamed et al., 2010](#);  
17 [Archibong et al., 2008](#)) evaluated endpoints directly following the exposure period. A footnote has  
18 been added to the evidence table to clarify that endpoints were assessed directly following the  
19 exposure period unless otherwise indicated.

20  
21 Furthermore, additional discussion of studies indicating differential effects on male reproductive  
22 endpoints following early life exposure ([Xu et al., 2014](#); [Liang et al., 2012](#)) has been added to Section  
23 1.1.2 in the subsection Susceptible Populations and Lifestages.

24  
25 Comment: The SAB recommends that genotoxic and mutagenic aspects of reproductive hazard be  
26 addressed, especially as they provide perspective on likely mode of action.

27  
28 Response: Additional discussion of genotoxic and mutagenic properties of benzo[a]pyrene and the  
29 corresponding endpoint of germline mutagenesis and its potential impact on reproductive hazard  
30 has been added to the mode of action analysis sections for male and female reproductive effects  
31 (see Section 1.1.2).

32  
33 Comment: Several publications were recommended regarding inform sperm effects ([Jeng et al.,](#)  
34 [2013](#)), ovarian effects ([Kummer et al., 2013](#); [Sadeu and Foster, 2011](#); [Mattison and Nightingale,](#)  
35 [1980](#); [Mattison, 1980](#)), and the mode of action for female reproductive effects ([Young et al., 2014](#);  
36 [Sadeu and Foster, 2013](#)).

37  
38 Response: Two of these studies were already discussed in the assessment ([Sadeu and Foster, 2011](#);  
39 [Mattison and Nightingale, 1980](#)). Of the other suggested studies, [Jeng et al. \(2013\)](#), was identified as  
40 a new subchronic study. The sperm effects observed in this study were supportive of the existing  
41 characterization of benzo[a]pyrene as a male reproductive hazard, but were seen at higher doses  
42 than other studies investigating sperm parameters. This study has been added to the text and  
43 evidence table informing male reproductive effects (see Section 1.1.2 and Table 1-5). The  
44 additional studies informing potential mechanisms of ovarian follicle toxicity studies suggested by

1 the SAB ([Kummer et al., 2013](#); [Sadeu and Foster, 2011](#); [Mattison, 1980](#)) support the hazard  
2 conclusions in the assessment and support suspected mechanistic pathways of benzo[a]pyrene and  
3 have been added to Section 1.1.2 *Mode-of-action analysis—female reproductive effects*. [Young et al.](#)  
4 [\(2014\)](#) was not considered, as it was available as an abstract only.

5  
6 **Charge Question 2c. The draft assessment concludes that immunotoxicity is a potential**  
7 **human hazard of benzo[a]pyrene exposure. Do the available human, animal, and**  
8 **mechanistic studies support this conclusion?**

9  
10 The SAB agreed that the available immunotoxicity data from animal models and humans exposed to  
11 complex PAH mixtures exposures support the claim that benzo[a]pyrene is a human hazard for the  
12 immune system. The SAB listed several recommendations:

13  
14 Comment: The SAB noted concerns that sensitive immune function endpoints (e.g. functional  
15 immune tests) are not available to permit proper evaluation of benzo[a]pyrene immunotoxicity in  
16 animal models, especially in developing animals. In addition, potential gender differences in  
17 immunotoxicity were not addressed. The SAB recommended that these data gaps be acknowledged  
18 in the draft assessment.

19  
20 Response: The available benzo[a]pyrene animal and mechanistic studies, as well as supportive data  
21 from PAH mixture exposures in humans indicate that immune toxicity is a hazard of  
22 benzo[a]pyrene exposure. However as pointed out by the SAB, data gaps exist in the assessment of  
23 immune hazard from benzo[a]pyrene. Discussion of the lack of functional endpoints to assess  
24 immunotoxicity of benzo[a]pyrene following subchronic or chronic exposure has been added in the  
25 assessment in Section 1.1.3 and 2.1.1. In addition, the lack of studies evaluating functional changes  
26 in the immune system following developmental exposure is discussed in Section 1.1.3 of the  
27 Toxicological Review under “Susceptible Populations and Lifestages”.

28  
29 Scarce data is available to inform gender differences in immunotoxicity of benzo[a]pyrene.  
30 However, increased discussion of the available studies has been added in Section 1.1.3 under  
31 “Susceptible Populations and Lifestages”.

32  
33 Comment: The SAB recommended that the EPA consider developing guidelines for immunotoxicity  
34 risk assessment, as has been done by the [WHO \(2012\)](#).

35  
36 Response: The development of EPA Immunotoxicity guidelines would be helpful in the  
37 consideration of immunotoxicity data, however, such an effort is outside of the scope of the  
38 benzo[a]pyrene IRIS Toxicological Review.

39  
40 Comment: The SAB recommended the consideration of additional studies including *in vitro* studies  
41 in human peripheral blood mononuclear cells (no specific references were suggested) which may  
42 inform mode of action, as well as three epidemiological studies which have investigated the  
43 association of benzo[a]pyrene-adducts and immune endpoints ([Jung et al., 2015](#); [Tang et al., 2012](#);  
44 [Jedrychowski et al., 2011](#)).

1  
2 Response: Additional literature was considered and incorporated into the assessment where  
3 relevant.

4  
5 **Charge Question 2d. The draft assessment concludes that benzo[a]pyrene is “carcinogenic to  
6 humans” by all routes of exposure. Do the available human, animal, and mechanistic studies  
7 support this conclusion?**

8  
9 The SAB concurred that the EPA has demonstrated that benzo[a]pyrene is a human carcinogen in  
10 accordance with the Guidelines for Carcinogen Risk Assessment ([U.S. EPA, 2005a](#)). The SAB had the  
11 following specific recommendations:

12  
13 Comment: The Supplemental Material document contains only 6 papers in which DNA adduct  
14 formation has been measured in humans. There are many more such papers in the literature and  
15 this draft assessment would be more balanced if at least 20 of the most significant papers could be  
16 included.

17  
18 Response: In Section D.5.1., “Genotoxicity Information,” of the Supplemental Information document,  
19 Table D-33, “In vivo genotoxicity studies of benzo[a]pyrene,” has been split into two tables: Table  
20 D-34, “Studies of benzo[a]pyrene-induced genotoxicity in humans exposed to PAHs,” and Table D-  
21 35, “Non-human in vivo genotoxicity studies of benzo[a]pyrene.” The previous table contained a  
22 selection of studies in humans; the new Table D-34 contains all studies measuring BPDE-DNA  
23 adduct formation in humans exposed to PAHs, along with the methods used, that are cited in the  
24 mode of action for carcinogenicity in Section 1.1.5.

25  
26 Comment: The current version of the draft assessment does not make a clear case for the pathway  
27 of benzo[a]pyrene biotransformation that results in a mutagenic MOA. A series of the classical  
28 critical papers, and their findings, have been listed as bullet points (under the discussion of EPA  
29 Criterion 2), and this material should be included in the final benzo[a]pyrene document.

30  
31 Response: EPA has revised the “Mode of Action Analysis—Carcinogenicity” in Section 1.1.5 to more  
32 clearly describe the sequence of key events leading to cancer following benzo[a]pyrene exposure  
33 and to include the following references suggested in the bullet points in the SAB comments on EPA  
34 Criterion 2 ([Hussain et al., 2001](#); [Sticha et al., 2000](#); [Beland and Culp, 1998](#); [Culp et al., 1998](#); [Wei et al., 1995](#); [Manchester et al., 1988](#); [Marshall et al., 1984](#); [Grover et al., 1976](#); [Jeffrey et al., 1976](#); [King et al., 1976](#); [Osborne et al., 1976](#); [Daudel et al., 1975](#); [Sims et al., 1974](#)). Two references were not  
37 added: [Boysen and Hecht \(2003\)](#) is a review of methods for analyzing DNA adducts, and [Pratt et al. \(2011\)](#) utilized an immunoassay for detecting PAH-DNA adducts that was not specific to  
38 benzo[a]pyrene.

39  
40  
41 Comment: There is evidence of a strong association (Relative Risk or Odds Ratio) between  
42 increased human cancer risk in particular organs, such as lung ([Tang et al., 1995](#)) and colon ([Gunter et al., 2007](#)) and high levels of BPdG or PAH-DNA adduct formation in human nucleated blood cells.  
43 It would be useful to have these mentioned in a paragraph.  
44

1  
2 Response: EPA recognizes the importance of correlations between levels of PAH-DNA adducts in  
3 humans and cancer risk. However, for the assessment of benzo[a]pyrene, more weight has been  
4 given to studies specifically detecting BPDE-DNA adducts (and primarily BPdG adducts) that  
5 strengthen the causal relationship between benzo[a]pyrene exposure and cancer risk. [Tang et al.](#)  
6 [\(1995\)](#) and [Gunter et al. \(2007\)](#) utilized methods of detecting PAH-DNA adducts that were not  
7 specific to benzo[a]pyrene. Therefore, these studies were not added to the Mode of Action  
8 discussion.

9  
10 Comment: A table describing the nomenclature, characteristics, specificity, sensitivity range, and  
11 detection limit for the various methodologies used for human BPdG and PAH-DNA adduct  
12 measurements could be easily assembled.

13  
14 Response: EPA added Table D-31 to Section D.5.1., “Genotoxicity Information,” in the Supplemental  
15 Information document, which summarizes the nomenclature, characteristics, specificity, sensitivity  
16 range, and detection limit on the various methodologies for adduct detection.

17  
18 **Charge Question 2e. The draft assessment concludes that the evidence does not support**  
19 **other types of noncancer toxicity as a potential human hazard. Are there other types of**  
20 **noncancer toxicity that can be credibly associated with benzo[a]pyrene exposure?**

21  
22 With respect to the health endpoints discussed in Section 1.1.4, “Other Toxicity”, the SAB concurs  
23 with the conclusion that the evidence presented does not support liver, kidney, and hematological  
24 effects as human hazards. However, the SAB requested additional clarification on the hazard  
25 conclusions regarding additional endpoints (such as forestomach toxicity, cardiovascular toxicity,  
26 and adult nervous system effects) as discussed in the following comments:

27  
28 Comment: The EPA should evaluate the missing references identified by the SAB on cardiovascular,  
29 pulmonary, and kidney toxicity of benzo[a]pyrene. The SAB suggested several specific references  
30 and opined that the literature search and study selection process may not have been sufficiently  
31 comprehensive to identify all potential hazards credibly associated with benzo[a]pyrene exposure.

32  
33 Response: The literature search performed for benzo[a]pyrene was designed to search for all  
34 possible health outcomes of benzo[a]pyrene exposure, and as such did not include individual terms  
35 for all organs or endpoints. (See discussion under Charge Question 1 – Literature Search, Study  
36 Selection and Evaluation.). For example, hazard identification for chronic health effects, such as  
37 cardiovascular toxicity, gave preference to studies that examined animal models translatable to  
38 humans ([e.g. Jules et al., 2012](#)), rather than on studies of genetically modified animals with  
39 heightened disease susceptibility ([Knaapen et al., 2007](#); [Curfs et al., 2005](#); [Curfs et al., 2004](#)) or non-  
40 mammalian species ([Hough et al., 1993](#); [Albert et al., 1977](#)).

41  
42 In other comments made by the SAB in response to this charge question, adult and developmental  
43 pulmonary toxicity were proposed as additional noncancer endpoints potentially associated with  
44 benzo[a]pyrene exposure. However, little data exist to evaluate noncancer pulmonary effects in

1 adult or developing animals. As noted in the assessment, a 4-week inhalation study in adult rats is  
2 available that investigated, but did not detect, lung injury ([Wolff et al., 1989](#)). Regarding pulmonary  
3 effects in developing animals, a recent developmental study (highlighted for consideration by the  
4 SAB) suggests pulmonary effects with high dose (25 mg/kg), intraperitoneal exposure to  
5 benzo[a]pyrene and subsequent challenge with hyperoxic air ([Thakur et al., 2014](#)). However,  
6 interpretation of this study is complicated due to the route of exposure and the high doses  
7 employed, which were one to two orders of magnitudes greater than doses at which effects were  
8 observed in the oral developmental database. Therefore, the evidence available for pulmonary  
9 noncancer effects was judged too sparse to make a hazard determination. The document has been  
10 clarified to reflect these points in Section 1.1.4 and 1.2.1.

11  
12 Additional references suggested by the SAB regarding cardiovascular, pulmonary, and kidney  
13 toxicity of benzo[a]pyrene were reviewed and incorporated in the document where relevant.

14  
15 Comment: The EPA should be explicit as to the rationale for concluding that the available evidence  
16 either does or does not support adult nervous system effects as a potential human hazard. The SAB  
17 also states that the basis for arriving at the hazard conclusions for the other endpoints identified in  
18 Section 1.1.4 “Other Toxicities”, be expanded (e.g. for hematological toxicity, liver toxicity, kidney  
19 toxicity, and cardiovascular toxicity). They state that the current text does not provide an adequate  
20 rationale for the characterization (in Section 1.2.1) that the evidence does not support these  
21 noncancer effects as potential human hazards. The SAB suggested additional clarification be  
22 provided as to whether this conclusion is due to insufficient data, inconsistent data, or sufficient  
23 data to conclude that these health endpoints are not sensitive endpoints.

24  
25 Response: The characterizations of hazard summarized in Section 1.2.1. “Weight of Evidence for  
26 Effects Other than Cancer” have been expanded for organ/systems discussed in Section 1.1.4 to  
27 further clarify the overall hazard characterization.

28 • Specifically regarding the potential for benzo[a]pyrene exposure to cause adult nervous  
29 system toxicity, this evidence is now more explicitly considered in the context of the totality  
30 of the evidence available for potential nervous system effects of benzo[a]pyrene exposure in  
31 Sections 1.1.4 and 1.2.1. As a result, while the adult neurotoxicity data are discussed as  
32 consistent with the developmental neurotoxicity endpoints and indicated as suggestive of a  
33 potential hazard in themselves, these data were comparably less robust than the studies  
34 and data supporting developmental neurotoxicity as a hazard, and additional studies are  
35 needed to draw a stronger conclusion regarding the identification of adult neurotoxicity as  
36 a human hazard.

37  
38 • Regarding the hazard characterization of forestomach toxicity (specifically forestomach  
39 hyperplasia), EPA agrees with SAB that forestomach toxicity in animal models is credibly  
40 associated with benzo[a]pyrene exposure and that it likely reflects early events in  
41 benzo[a]pyrene-induced carcinogenicity. As benzo[a]pyrene-induced forestomach  
42 hyperplasia was determined to be a preneoplastic lesion, it was relocated from Section 1.1.4

1 “Other Toxicities” to the discussion of forestomach tumors and related lesions in Section  
2 1.1.5 “Carcinogenicity”.

- 3 • Regarding the hazard characterization for cardiovascular effects of benzo[a]pyrene, the  
4 interpretation of hazard is complicated by issues of co-exposure in human studies of  
5 cardiovascular effects in populations highly exposed to benzo[a]pyrene as a component of a  
6 complex PAH mixtures as well as the paucity of studies examining cardiovascular endpoints  
7 in wild-type laboratory animals exposed by environmentally relevant routes for subchronic  
8 or chronic durations. Short duration animal studies and studies by other routes of exposure  
9 (e.g. IP and installation), as well as studies in genetically modified, highly susceptible animal  
10 strains (e.g. APOE<sup>-/-</sup> mice), contribute to the plausibility of cardiovascular effects providing  
11 suggestive evidence of cardiovascular toxicity due to benzo[a]pyrene exposure. The  
12 cardiovascular endpoints were not considered for dose-response due to the relatively lower  
13 confidence in this hazard. The discussion of cardiovascular hazard in Section 1.1.4 and  
14 Section 1.2.1 has been expanded and clarified.
- 15 • In addition, as hematological effects can inform the weight of evidence for immunotoxicity  
16 (WHO 2012), the tables and discussion regarding hematological effects observed in  
17 subchronic and chronic studies have been relocated from Section 1.1.4 “Other Toxicity” to  
18 Section 1.1.3 “Immune Toxicity”. Hematological changes are therefore considered within  
19 the context of the overall body of immune system changes.

20  
21 **Charge Question 3a. The draft assessment proposes an overall reference dose of 3x10<sup>-4</sup>**  
22 **mg/kg-d based on developmental toxicity during a critical window of development. Is this**  
23 **value scientifically supported, giving due consideration to the intermediate steps of**  
24 **selecting studies appropriate for dose-response analysis, calculating points of departure,**  
25 **and applying uncertainty factors? Does the discussion of exposure scenarios (section 2.1.5)**  
26 **reflect the scientific considerations that are inherent for exposures during a critical window**  
27 **of development?**

28  
29 Comment: The EPA should specifically consider the overall picture of neurodevelopmental impact  
30 from all of the neurodevelopmental endpoints in [Chen et al. \(2012\)](#), including plus maze, reflex,  
31 locomotor activity and water maze to justify and support the choice of the critical endpoint. In  
32 particular, the SAB suggests that the EPA reconsider or provide stronger justification for not using  
33 escape latency from the Morris water maze.

34  
35 Response: As summarized in EPA’s response to Charge Question 2a, EPA has further evaluated the  
36 collection of neurodevelopmental behavioral effects reported by [Chen et al. \(2012\)](#), rather than  
37 relying on the elevated plus maze alone. In the revised assessment, modeling results in PND 69-74  
38 rats for open arm entries in the elevated plus maze (female rats), locomotor activity in the open  
39 field (both sexes), and escape latency in the Morris water maze (both sexes) are used to define the  
40 overall effect on behavior. Together, these results represent the most reliable and persistent  
41 behavioral effects of benzo[a]pyrene exposure detected by [Chen et al. \(2012\)](#).

1 Comment: The EPA should explain how the BMD was calculated for escape latency in the Morris  
2 water maze.

3  
4 Response: The external peer review draft was not clear that escape latency for males and females  
5 combined at PND 74 was used to calculate the BMD. Following the SAB's recommendation to  
6 consider the overall impact on neurodevelopmental effects, and in considering the lack of  
7 differences in escape latency across sexes as a result of changes in learning (as inferred by the EPA  
8 and corroborated by the SAB), the revised assessment considers all four trial days. A more  
9 transparent description of the BMD calculation for escape latency is provided (see Section 2.1.2 and  
10 Appendix E.1). Specifically, EPA performed BMD modeling for escape latency at each of the four  
11 trial days, PNDs 71-74, for males and females combined. EPA interpreted the trial day results to  
12 equally represent the observed behavioral effect (although the underlying behavior affected  
13 remains unidentified), and the revised assessment presents the ranges of the BMD and BMDL  
14 values to characterize this effect.

15  
16 Comment: EPA should consider data on reproductive outcomes, including cervical hyperplasia and  
17 inflammation from [Gao et al. \(2011\)](#), and clearly articulate the rationale for a candidate RfD based  
18 on an ovarian effect.

19  
20 Response: See response to Charge Question 2b, which summarizes the evidence for hazard among  
21 the reproductive outcomes. The revised assessment provides candidate RfDs for uterine  
22 hyperplasia of the cervix, reduced ovarian weight, and reduced ovarian follicle count.

23  
24 Comment: The EPA should consider application of a BW<sup>3/4</sup> adjustment for extrapolation from  
25 neonatal animal to neonatal human.

26  
27 Response: The peer review draft benzo[a]pyrene assessment did not perform allometric scaling in  
28 the calculation of an RfD based on animals directly dosed on PND 5-11 ([Chen et al. 2012](#)). This was  
29 due to several areas of uncertainty. The first issue was whether allometric (i.e., body weight<sup>3/4</sup>)  
30 scaling, originally derived from data in adult animals and adult humans, holds when extrapolating  
31 from doses in neonatal animals to neonatal humans. This uncertainty arises because of the absence  
32 of quantitative information to characterize the toxicokinetic and toxicodynamic differences  
33 between animals and humans in early life stages ([U.S. EPA, 2011](#)). In addition, interspecies  
34 extrapolation across early life stages is complicated by differences in temporal patterns of  
35 development across species. [U.S. EPA \(2011\)](#), *Recommended Use of Body Weight 3/4 as the Default*  
36 *Method in Derivation of the Oral Reference Dose*, states that when such an extrapolation is  
37 considered, key developmental processes need to be matched in a species-dependent manner,  
38 because the temporal pattern of development differs across species. In the study at issue, [Chen et](#)  
39 [al. \(2012\)](#) Chen et al (2012), neurobehavioral changes were observed in adult rats after dosing on  
40 PND 5-11. This postnatal period of brain development in rats is believed to be more akin to human  
41 brain development occurring in the third trimester of pregnancy ([Dobbing and Sands, 1979, 1973](#)),  
42 thus challenging the suitability of extrapolating exposure doses from rats directly exposed through  
43 gavage on PND 5-11 to the equivalent developmental period in third trimester humans (where  
44 exposure would occur transplacentally).

1 Therefore, due to several associated uncertainties, EPA did not apply a BW<sup>3/4</sup> adjustment for  
2 extrapolation from neonatal animal to neonatal human. Additional clarification of these  
3 considerations has been added to the assessment in Section 2.1.2.

4  
5 Comment: The SAB recommended EPA further justify whether the application of a UF of 3 for  
6 database deficiency is adequate. The SAB specifically highlighted endpoints which may  
7 qualitatively support a hazard, but lack dose-response data sufficient for developing toxicity values  
8 (such as cardiovascular effects and developmental immunotoxicity). The SAB also requested  
9 additional consideration of the database UF in the context of potential effects such as miscarriage,  
10 birth defects, and genetic disease.

11  
12 Response: The database UF is intended to account for the potential for deriving an under protective  
13 reference value as a result of an incomplete characterization of the chemical's toxicity ([U.S. EPA,](#)  
14 [2002](#)). In addition to identifying toxicity information that is lacking, existing data may also suggest  
15 that a lower reference value might result if additional data were available. When applying this  
16 uncertainty factor, both the data lacking and the data available are considered. For benzo[a]pyrene,  
17 a database uncertainty factor, UF<sub>D</sub>, of 3 was applied to account for database deficiencies, including  
18 the lack of a standard multigenerational study or extended 1-generation study that includes  
19 exposure from pre-mating through lactation. These types of studies would be useful to  
20 understanding the full potential for benzo[a]pyrene exposure to cause reproductive and  
21 neurodevelopmental effects. Considering that benzo[a]pyrene has been shown to affect fertility in  
22 adult male and female animals by multiple routes of exposure and that decreased fertility in adult  
23 male and female mice is observed both following pre-mating exposure and following gestational  
24 exposure (see Section 1.1.2), it is plausible that exposure occurring over this more comprehensive  
25 period of development or over multiple generations could result in a more sensitive POD, than the  
26 POD selected for developmental neurotoxicity.

27  
28 Some additional uncertainties exist in the benzo[a]pyrene database, including the paucity of  
29 sensitive studies evaluating endpoints of immune and cardiovascular toxicity. The lack of  
30 developmental immune toxicity studies, especially those examining functional endpoints, is a  
31 notable uncertainty in the benzo[a]pyrene database. Some consideration was given to  
32 cardiovascular effects through the candidate value derived for developmental effects of the  
33 cardiovascular system ([Jules et al., 2012](#)). Although this candidate value was not as sensitive as the  
34 candidate value derived from the neurodevelopmental study selected as the basis of the overall RfD.

35  
36 As the SAB suggests, genotoxic effects of benzo[a]pyrene could potentially manifest through  
37 miscarriage, birth defects, and genetic disease. However, several developmental studies are  
38 available which do not report birth defects at doses much higher than the POD used for the RfD  
39 ([Kristensen et al., 1995](#); [Mackenzie and Angevine, 1981](#)). A decrease in pups per litter/decreased  
40 fetal survival was observed in F0 dams at 60 mg/kg-day ([Mackenzie and Angevine, 1981](#)), but has  
41 not be observed in oral exposure studies at lower doses closer to the POD used for the RfD (see  
42 Table 1-2). While consequences of genotoxic aspects of reproductive hazard are plausible due to  
43 the genotoxic and mutagenic MOA of benzo[a]pyrene, endpoints of fetal survival and birth defects,

1 while affected at high doses, have not been detected at studies near the POD for  
2 neurodevelopmental changes.

3 The POD for the overall RfD was based on several sensitive neurobehavioral endpoints observed  
4 following treatment during a sensitive period of brain development and were among the lowest  
5 effect levels observed in the benzo[a]pyrene database, even among other developmental studies  
6 utilizing low doses of benzo[a]pyrene ([such as Jules et al., 2012](#)); thus, application of a full database  
7 UF of 10 was not judged to be warranted. However, because studies following a more  
8 comprehensive period of developmental exposure (i.e., early gestation through lactation, if not  
9 through adolescence) were not available, a database UF of 3 was applied to address residual  
10 uncertainty associated with the potential for effects at lower doses (see also response to Charge  
11 Question 2a).

12  
13 Additional justification of the database uncertainty factor has been added to Section 2.1.3.

14

15 **Charge Question 3b. The draft assessment proposes an overall reference concentration of 2 x**  
16 **10<sup>-6</sup> mg/m<sup>3</sup> based on decreased fetal survival during a critical window of development. Is**  
17 **this value scientifically supported, giving due consideration to the intermediate steps of**  
18 **selecting studies appropriate for dose-response analysis, calculating points of departure,**  
19 **and applying uncertainty factors? Does the discussion of exposure scenarios (section 2.2.5)**  
20 **reflect the scientific considerations that are inherent for exposures during a critical window**  
21 **of development?**

22

23 Comment: The study used to derive the overall RfC ([Archibong et al., 2002](#)) reported decreases in  
24 fetal survival that occurred at all concentrations. EPA reported that the data for this endpoint were  
25 not amenable to dose-response modeling, therefore the point of departure for this endpoint was  
26 derived from the LOAEL for decreased fetal survival (a 19% response relative to control). The peer  
27 reviewers noted that the rationale for not employing benchmark dose modeling was unclear and  
28 that the LOAEL provides a weaker basis than a NOAEL for the derivation of the RfC.

29 Response: EPA agrees that the rationale for not employing benchmark dose modeling for  
30 decreased fetal survival was unclear, and that a LOAEL is a less desirable POD. Since the release of  
31 the External Peer Review draft, a recently published approach by Fox et al. (2016) has facilitated  
32 the dose-response modeling of the above embryo/fetal survival data using dichotomous BMDS  
33 models. In the revised assessment, points of departure derived from this newer approach, including  
34 derivation of adjustment factors for each exposure group, are provided for comparison. Further  
35 details of the modeling calculations are provided in the Supplemental Information (see Appendix  
36 E.1.2).

37 To clarify, in the External Peer Review draft, benchmark dose modeling was attempted by applying  
38 continuous dose-response models because the data for this endpoint were reported as means and  
39 standard deviations of litter-specific percentages of fetuses surviving at birth. These models relied  
40 on the assumption that a normal approximation for binomial responses was adequate to  
41 characterize the underlying (dichotomous) survival incidence data. However, the non-

1 monotonicity of the observed variances, which showed maximum variability near 50% response  
2 levels, typical of binomial variability, could not be addressed, and there were no adequate fits.  
3 These issues have been clarified in Appendix E.1.2.

4 Accordingly, a LOAEL was judged to be the only feasible approach for this data set at the time. EPA  
5 agrees that a LOAEL is a less desirable POD than a NOAEL, but the study has no NOAEL. However,  
6 an approach for approximating the underlying dichotomous data from reported percentages has  
7 been developed, thus facilitating application of more relevant dichotomous dose-response models  
8 ([Fox et al., 2016](#)). The approach, which is included in Appendix E.1.2 for comparative purposes, is  
9 based on the work of [Rao and Scott \(1992\)](#), which relies on the incidence among total offspring in  
10 each group. While this measure is known to estimate means of effect adequately, it also  
11 underestimates variability by overestimating effective sample sizes. [Rao and Scott \(1992\)](#)  
12 developed a data transformation that relies on individual litter data to correct for this  
13 overestimation through estimating “design effect.” ([Fox et al., 2016](#)) extended this approach for  
14 data sets without individual litter data, through analysis of historical data sets of developmental  
15 toxicity.

16 Dose-response modeling of the adjusted data yielded adequate fits to the observed data with all but  
17 one of the dichotomous BMDs models. Further details are provided in the Supplemental  
18 Information (see Appendix E.1.2), including derivation of the adjustment factors for each exposure  
19 group.

20 Comment: The RfC was based on one outcome in one study (decreased fetal survival noted  
21 following gestational exposure to rat dams). Peer reviewers suggested two additional studies, [Wu](#)  
22 [et al. \(2003a\)](#) and [Archibong et al. \(2012\)](#), as potentially useful in developing a more  
23 comprehensive dose-response relationship for the RfC and suggested consideration of these  
24 endpoints for BMD analysis, potentially increasing confidence in the RfC.

25 Response: The gestational exposure study by [Wu et al. \(2003a\)](#) followed a protocol similar to that  
26 of an associated group of collaborators ([Archibong et al., 2002](#)), including using the same strain of  
27 rats (Sprague-Dawley). However the publication omitted the numbers of dams treated in each  
28 group as well as the resulting number of offspring. Following exposure on GDs 11–20, Wu et al.  
29 reported statistically significant decreases in fetal survival at 75 and 100  $\mu\text{g}/\text{m}^3$  benzo[a]pyrene,  
30 and an apparent decrease in fetal survival of approximately 9% (relative to the pooled carbon black  
31 control groups) at 25  $\mu\text{g}/\text{m}^3$ . If it can be assumed that there were 10 dams per group, as used by  
32 [Archibong et al. \(2002\)](#), there would be a statistically significant trend in decreased survival  
33 ( $p=0.0004$ ) across the pooled carbon black control groups and the exposure levels tested.  
34 Therefore, [Wu et al. \(2003a\)](#) showed very similar fetal mortality to that observed by [Archibong et](#)  
35 [al. \(2002\)](#) and adds to the weight of evidence for this outcome, but was not considered for dose-  
36 response modeling due to incomplete reporting of data.

37 The 14-day prematuring study of F344 rats exposed to 50, 75, 100  $\mu\text{g}/\text{m}^3$  nose-only inhalation for 4  
38 hours/day ([Archibong et al., 2012](#)) showed reductions in ovarian function (ovulation rate), ovarian  
39 weight, mean numbers of pups born, and fetal survival with increasing exposure concentration  
40 (100  $\mu\text{g}/\text{m}^3$ ), and has been added to the Table 1-7 pertaining to female reproductive effects. This  
41 study covered an exposure period distinct from the developmental period covered in [Archibong et](#)

1 [al. \(2002\)](#) (GDs 11-20), and suggests that exposure prior to mating could result in a decreased  
2 number of pups per litter distinct from that observed following gestation-only exposure.

3 The assessment has been clarified to reflect these considerations [see Section 1.1.1] and outcomes  
4 reported by [Archibong et al. \(2012\)](#) are considered for RfC derivation in Section 2.2.1.

5 **Comment:** The SAB specifically commented on the use of 3 instead of 10 for interspecies  
6 extrapolation. The SAB noted that the UF of 3 to address residual uncertainty for interspecies  
7 extrapolation in the inhalation reference concentration may be too low as the rat to human  
8 dosimetric adjustment may not completely account for systemic toxicokinetics leading to a non-  
9 respiratory effect of decreased fetal survival following an inhalation exposure. Furthermore, the  
10 SAB expressed concern that the dosimetric adjustment used by EPA inadequately accounts for  
11 interspecies differences in filtration of the aerosol (based on particle size) by the upper respiratory  
12 tract.

13 **Response:** EPA agrees that there is uncertainty in the dosimetric adjustment. Since the mode of  
14 action leading to decreased fetal survival is not known, it appears reasonable to consider the dose  
15 to the entire respiratory tract in either species instead of, for instance, the dose only to the deep  
16 lung (in which case the more efficient filtration of 2.5  $\mu\text{m}$  particles by the rat nose compared to the  
17 human nose would have to be accounted for in the extrapolation.) Secondly, data for modeling  
18 species differences in clearance and metabolism of the deposited particles are not available.  
19 Therefore, given these uncertainties, EPA assumes the relevant dose metric to be the mass of  
20 benzo[a]pyrene deposited per day in the entire respiratory tract normalized by the body weight.  
21 This metric would be more accurate than using exposure concentration as the default even if it does  
22 not fully account for the toxicokinetics. Accordingly, as per EPA policy, an uncertainty factor of 3 is  
23 used to account for species differences in toxicodynamics and residual differences in toxicokinetics  
24 not accounted for in the dosimetric adjustment. Consideration of the above uncertainties in the  
25 interspecies adjustment for the RfC has been added to Section 2.2.3, *Derivation of Candidate Values*.

26 **Comment:** SAB recommends that the EPA include a brief discussion of the rationale for selection of  
27 the allometric scaling factor in the context of inhalation exposure to benzo[a]pyrene leading to  
28 decreased fetal survival. In particular, the SAB highlighted text from EPA's RfC methodology (US  
29 EPA, 1994) that suggests that EPA used  $\text{BW}^1$ -scaling for this outcome, rather than the  $\text{BW}^{3/4}$ -scaling  
30 used for the oral toxicity values.

31 **Response:** EPA's RfC methodology for estimating human equivalent doses resulting from particle  
32 exposure distinguishes between portal of entry effects, for which the mass of chemical deposited in  
33 the respiratory tract is normalized by the surface area of the affected region, from remote effects,  
34 for which body weight is the normalizing factor (analogously to  $\text{mg}/\text{kg}\text{-day}$  for oral exposure). The  
35 overall dosimetric adjustment factor also involves estimating the mass of particles deposited from  
36 minute volume ( $\text{mL}/\text{min}$ ) and the fraction of inhaled dose that is deposited in the respiratory tract,  
37 which in turn relies on functional residual capacity and upper respiratory tract volume ( $\text{mL}$ ); all of  
38 these considerations incorporate allometric differences between humans and the experimental  
39 animals.

---

1 The dosimetric adjustment factor for extrarespiratory effects observed in rats was estimated to be  
2 1.1, which is nearly equivalent to assuming that concentrations in air are equipotent across species.  
3 Since intakes scale by  $BW^{3/4}$ , this allometric scaling factor was consistent with the  $BW^{3/4}$ -scaling  
4 used for the oral toxicity values. Additional clarification has been added to the assessment (see  
5 Sections 2.2.2 and 2.2.8).

---

6 **Charge Question 3c. The draft assessment proposes an oral slope factor of 1 per mg/kg-d**  
7 **based on alimentary tract tumors in mice. Is this value scientifically supported, giving due**  
8 **consideration to the intermediate steps of selecting studies appropriate for dose-response**  
9 **analysis and calculating points of departure?**

10 Comment: The SAB noted that if no biological basis exists for concluding that the mouse study is  
11 more representative of human response than the rat study, the EPA should consider averaging over  
12 both studies to derive the oral slope factor for benzo[a]pyrene.

13 Response: EPA is not aware of a biological basis for concluding that the mouse study is more  
14 representative of human response than the rat study. The three estimated slope factors fall within  
15 a fivefold range (before rounding to one significant digit). Under the assumption that the three data  
16 sets have equal relevance for extrapolating to humans, a geometric mean of the three slope factors  
17 is 0.60 per mg/kg-day, and a geometric mean that gives equal weight to rats and mice is 0.74 per  
18 mg/kg-day, about 50% of the highest slope factor (1.4 per mg/kg-day).

19  
20 EPA notes that slope factors are intended to provide an upper bound on the cancer risk of a  
21 randomly selected individual ([U.S. EPA, 2005a](#)). EPA's approach to quantifying low-dose cancer risk  
22 relies on a 95% upper bound on the cancer risk that typically addresses only the experimental  
23 variability in homogeneous laboratory animals. The [NRC \(2009\)](#) has pointed out that when cancer  
24 risk is expected to be linear at low exposures, as with benzo[a]pyrene, EPA's cancer risk values tend  
25 not to address human variability and susceptibility adequately. Concern for sensitive  
26 subpopulations supports use of the higher value here as an overall upper bound, twofold higher  
27 than the geometric mean slope factor.

28  
29 Comment: The SAB recommended that EPA should compare oral slope factors derived from fitting a  
30 range of models to dose-response data.

31  
32 Response: Given that low-dose linearity is expected for benzo[a]pyrene carcinogenicity due to its  
33 mutagenic mode of action, the multistage-Weibull model is preferred because it can incorporate the  
34 individual animal data that were available for time and cause of death. For comparison purposes,  
35 however, an approximate survival adjustment was applied to summary incidence data using the  
36 poly-3 technique ([Bailer and Portier, 1988](#)), in order to take into account reductions in animals at  
37 risk while using a range of dichotomous models. The incidence data for the alimentary system  
38 tumors only were used as basis of comparison across the three data sources. All of the  
39 dichotomous BMDs models fit the adjusted summary incidence data well. For each data set, the  
40 ranges of  $BMD_{10S}$  and  $BMDL_{10S}$  derived from these models, including models that allow low-dose  
41 nonlinearity, were found to include the time-to-tumor estimates and varied less than 1.5-fold from

1 the time-to-tumor estimates. These analyses are summarized in Section 2.3.3 and Appendix E.2.1  
2 (Cancer Endpoints).

3  
4 Comment: The SAB recommends that the EPA provide an explanation of the rationale for its  
5 selection of an allometric scaling factor for the benzo[a]pyrene oral cancer slope factor given what  
6 is known about the benzo[a]pyrene mode of action for carcinogenicity, reaction rates, and  
7 toxicokinetics, and specifically, how the selection of the allometric scaling factor applies when there  
8 is a portal of entry effect.

9  
10 Response: Despite extensive research into benzo[a]pyrene toxicokinetics, very little information  
11 directly informs estimates of human-equivalent benzo[a]pyrene doses. It is understood that  
12 benzo[a]pyrene carcinogenicity involves a mutagenic MOA mediated by DNA-reactive metabolites  
13 in the tissues where tumors appear, both at the portal of entry and those involving systemic  
14 distribution (i.e., liver, kidney, and skin in rats). While the metabolites are highly reactive (likely  
15 not limited by processes consistent with  $BW^{3/4}$  proportionality), distribution of benzo[a]pyrene to  
16 these tissues and formation of the metabolites are limited by processes likely to be consistent with  
17  $BW^{3/4}$  proportionality.

18  
19 EPA guidance ([U.S. EPA, 2011](#)) observed that because a “ $BW^{3/4}$  relationship exists among species in  
20 studies where dose is administered in food, because interspecies food consumption follows a  $BW^{3/4}$   
21 relationship ... it is reasonable to apply the  $BW^{3/4}$  approach for gastrointestinally related, portal-of-  
22 entry effects.” [Beland and Culp \(1998\)](#) administered benzo[a]pyrene in the diet, while [Kroese et al.  
23 \(2001\)](#) administered benzo[a]pyrene via gavage. It is not clear what impact this difference in  
24 administration has on estimating human equivalent doses of benzo[a]pyrene. This issue has been  
25 clarified in the assessment (see Section 2.3.2.).

26  
27 EPA’s guidance also emphasizes that for a portal-of-entry scenario, “the most appropriate dose  
28 metric would likely be mass of agent per surface area, e.g.,  $\text{mg}/\text{cm}^2$ ,” but acknowledges that  
29 implementation of this approach involves such issues as “the lack of a human anatomical parallel to  
30 the rodent forestomach,” surface areas of the GI tract in rodents and humans, rates and scenarios of  
31 ingestion, and diffusion rates (US EPA, 2011). These considerations have yet to be developed;  
32 therefore, EPA has utilized  $BW^{3/4}$  for interspecies extrapolation, as recommended by the guidance  
33 document. Section 2.3.2 includes clarification of this issue.

34  
35 **Charge Question 3d. The draft assessment proposes an inhalation unit risk of 0.6 per  $\text{mg}/\text{m}^3$   
36 based on a combination of several types of benign and malignant tumors in hamsters. Is this  
37 value scientifically supported, giving due consideration to the intermediate steps of  
38 selecting studies appropriate for dose-response analysis and calculating points of  
39 departure?**

40  
41 Comment: The SAB noted that EPA should conduct supplemental sensitivity analyses using other  
42 dose-response models, alternative assumptions, and not eliminating from the analysis all animals  
43 without confirmed examination of one or more of the pharynx or respiratory tract tissues.

1 Response: EPA agrees that supplemental sensitivity analyses provide useful perspective for the  
2 estimated unit risk and has included a summary of analyses addressing the issues that the SAB  
3 identified (see Appendix E.3.2, Table E-32).

4  
5 First, concerning the incidence of animals without confirmed examination of one or more of the  
6 pharynx or respiratory tract tissues, the MSW software does not take into account the durations of  
7 exposure corresponding to unknown tumor status. An alternative analysis was conducted to  
8 evaluate the impact of assuming that each animal with unknown tumor status had no tumors, a  
9 possible underestimate of the true situation; the resulting unit risk was about 20% lower than that  
10 based on complete data. The other extreme, assuming that all animals with unknown dispositions  
11 actually had tumors, was not considered due to its higher implausibility.

12  
13 Concerning other dose-response models, BMDS dichotomous dose-response models were applied  
14 to poly-3 adjusted incidence data to address intercurrent mortality. These adjusted estimates also  
15 considered the length of time on study for the animals with incomplete histopathology. Only one  
16 model, a two-degree multistage model applied after dropping the high-exposure group, provided an  
17 adequate fit.

18  
19 Concerning latency in the time-to-tumor model, the lack of information in the scientific literature  
20 for respiratory tumors and lack of cause-of-death information in the [Thyssen et al. \(1981\)](#) data set  
21 limited the options for sensitivity analyses. One approach involved making assumptions about  
22 which tumors were or were not the cause death, and yielded a latency estimate of 14.5 weeks. In  
23 another approach, latency was fixed at several values in the range 2 – 90 weeks; the best-fitting  
24 model, as judged by AICs, assumed a latency of 90 weeks yet yielded a BMD<sub>10</sub> and BMDL<sub>10</sub> very  
25 similar to those for the recommended unit risk. These results suggest some insensitivity to latency,  
26 or possibly that a constant value for latency across exposure levels is not supported.

27  
28 Alternatives for cross-species equivalence of exposures were considered independently of  
29 additional modeling, because there is no information to suggest that this equivalence changes with  
30 exposure level. The recommended unit risk relies on the assumption that the amount inhaled,  
31 normalized by body weight, leads to comparable cancer risk across species. The alternatives  
32 comprised consideration of scaling inhaled doses, in mg/kg-day units, by bodyweight<sup>3/4</sup>  
33 (highlighting allometric differences in metabolism and clearance rates over their lifetimes) and by  
34 bodyweight<sup>2/3</sup> (highlighting species differences proportional to relative surface areas). Both  
35 considerations suggest higher risks to humans than to hamsters at the same exposure level, by  
36 about fivefold and eightfold, respectively.

37  
38 Comment: The SAB recommended that EPA give further consideration to occupational studies,  
39 specifically, studies of lung cancer with airborne inhalation exposures to PAHs in coke oven and  
40 aluminum smelter workers (or meta-analysis of occupational studies), to develop unit risk  
41 estimate(s) for inclusion in Table 2-9 alongside the benzo[a]pyrene unit risk estimates calculated  
42 from the chronic inhalation cancer bioassay in hamsters ([Thyssen et al., 1981](#)). The panel  
43 acknowledged that interpretation of the epidemiological evidence is challenging given that  
44 exposures are to mixtures of PAHs with poorly understood interactions, but suggest that a model

1 using relative potency factors and an assumption of dose additivity could be considered for  
2 adjustment of epidemiological results in estimation of the unit risk attributable to benzo[a]pyrene  
3 alone.

4  
5 Response: EPA agrees that some occupational studies of benzo[a]pyrene-containing PAH mixtures  
6 may support development of unit risk estimates, given suitable information to characterize the  
7 contributions of other chemicals in the mixtures. Although the SAB suggested a framework for this  
8 analysis ([U.S. EPA, 1990b](#)), EPA is currently revising its relative potency factor (RPF) approach for  
9 PAH mixtures, and will defer any indirect benzo[a]pyrene unit risk derivation from human studies  
10 of PAH mixtures until revised estimates, currently underway, are available.

11  
12 EPA agrees that studies of cancer in occupations that are highly exposed to PAHs could be used  
13 quantitatively to develop unit risk estimates. However, the resulting cancer risk estimate would  
14 represent the carcinogenic potential of the entire mixture including a spectrum of PAHs as well as  
15 other potentially carcinogenic components, and would not be representative of benzo[a]pyrene  
16 alone. The establishment of an IUR for benzo[a]pyrene alone is important as it serves as the index  
17 chemical for the EPA's relative potency factor approach for assessing the carcinogenic potential of  
18 PAH mixtures ([U.S. EPA, 1990b](#)) which allows for the estimation of carcinogenic potential of PAH  
19 mixtures when unit risk estimates for the whole mixture is not available. While the exercise  
20 suggested by the SAB might provide interesting comparisons that could roughly inform the  
21 plausibility of the IUR calculated from the available animal data, the results would likely be highly  
22 uncertain and inconclusive.

23  
24 Comment: The SAB also suggests the inclusion of an explicit conclusion statement regarding overall  
25 uncertainty of the unit risk value, and a brief discussion of the applicability of this value to typical  
26 environmental exposures (especially for sensitive populations).

27  
28 Response: Criteria to weigh the confidence in cancer risk values have not yet been developed for  
29 IRIS Toxicological Reviews, thus explicit conclusion statements regarding the overall confidence in  
30 cancer risk values were not added to this assessment. However, specific uncertainties in the unit  
31 risk value for benzo[a]pyrene are discussed in Section 2.4.4 and outlined in Table 2-10.

32  
33 Regarding the applicability of the IUR to typical environmental exposures including those in early  
34 life, statements have been added in Section 2.3.3 to clarify the intended use of this value.  
35 Specifically, because cancer risk values calculated for benzo[a]pyrene were derived from adult  
36 animal exposures, and because benzo[a]pyrene carcinogenicity occurs via a mutagenic MOA,  
37 exposures which occur during early life would require the application of age-dependent-  
38 adjustment-factors (see Section 2.6). In addition, the IUR for benzo[a]pyrene is derived with the  
39 intention that it will be paired with EPA's relative potency factors (RPFs) for the assessment of the  
40 carcinogenicity of PAH mixtures.

1 **Charge Question 3e. The draft assessment proposes a dermal slope factor of 0.006 per**  
2 **µg/day based on skin tumors in mice. Is this value scientifically supported, giving due**  
3 **consideration to the intermediate steps of selecting studies appropriate for dose-response**  
4 **analysis, calculating points of departure, and scaling from mice to humans? Does the method**  
5 **for cross-species scaling (section 2.5.4 and appendix E) reflect the appropriate scientific**  
6 **considerations?**

7  
8 Comment: The SAB commended the EPA's efforts to derive the IRIS Program's first dermal slope  
9 factor. However, they noted that the proposed dermal slope factor and the proposed method for  
10 cross-species scaling was not sufficiently supported. The SAB did not have a specific  
11 recommendation as to dose metric, except to note that it should be based on absorbed dose. They  
12 went on to recommend that in the absence of empirical data, the decision be based upon a clearly  
13 articulated, logical, scientific structure that includes what is known about the dermal absorption of  
14 benzo[a]pyrene under in laboratory animal bioassays and anticipated human exposures.

15  
16 Response: EPA is reviewing the SAB panel's specific advice and is initiating further scientific  
17 discussions to gather a broad range of scientific perspectives in order to further refine EPA's  
18 approach for deriving a benzo[a]pyrene dermal slope factor. In the interest of timeliness and in  
19 consideration of the support for the cancer characterization and the other toxicity values within the  
20 benzo[a]pyrene assessment, the continuing efforts to refine the dermal slope factor methodology  
21 will be addressed in a separate assessment.

22  
23 **Charge Question 3f. The draft assessment proposes the application of age-dependent**  
24 **adjustment factors based on a determination that benzo(a)pyrene induces cancer through a**  
25 **mutagenic mode of action. Do the available mechanistic studies in humans and animals**  
26 **support a mutagenic mode of action for cancer induced by benzo(a)pyrene?**

27  
28 The SAB agreed that the available mechanistic studies in humans and animals support a mutagenic  
29 mode of action for benzo[a]pyrene-induced cancers. They also supported the proposed use of age-  
30 dependent adjustment factors (ADAFs), as established in EPA's Supplemental Guidance for  
31 Assessing Susceptibility from Early-Life Exposures to Carcinogens ([U.S. EPA, 2005b](#)), for the  
32 adjustment of tumor risk from childhood exposures to carcinogens with a mutagenic mode of  
33 action.

34  
35 **Charge Question 4. Does the executive summary clearly and appropriately present the major**  
36 **conclusions of the assessment?**

37  
38 The SAB found that the major conclusions of the draft assessment were clearly and appropriately  
39 presented in the Executive Summary.

40  
41 **Charge Question 5. In August 2013, EPA asked for public comments on an earlier draft of this**  
42 **assessment. Appendix G summarizes the public comments and this assessment's responses**  
43 **to them. Please comment on EPA's responses to the scientific issues raised in the public**  
44 **comments. Please consider in your review whether there are scientific issues that were**

1 **raised by the public as described in Appendix G that may not have been adequately**  
2 **addressed by EPA.**

3  
4 The SAB found that most of the scientific issues raised by the public, as described in Appendix G of  
5 the peer review draft Supplemental Information document, were adequately addressed by EPA.  
6 However, there were some issues for which the SAB provided additional discussion in the report  
7 under Charge Questions 2e and 3e, and EPA responded accordingly.

8  
9 Comment: The SAB recommended that major science issues pointed out by public commenters  
10 should be included in the relevant charge questions, allowing the SAB to weigh in on EPA's  
11 approach. The SAB recommended that in the future, they should not be asked if EPA has  
12 adequately addressed all public comments.

13  
14 Response: Going forward, EPA will capture major science issues expressed in the public comments  
15 within the body of related charge questions.

16  
17

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