Term

**ACCESS** 

Definition 1: The availability of, or the permission to consult, records. Definition 2: The ability or opportunity to obtain security-classified or administratively controlled information or records. See also ADMINISTRATIVELY CONTROLLED INFORMATION, CLASSIFIED INFORMATION.

**ACCESSION** 

Definition 1: The transfer of the legal and physical custody of permanent records from an agency to the National Archives. See also BLOCK (1), PERMANENT RECORDS, SCHEDULED TRANSFER, TRANSFER (1). Definition 2: The transfer of agency records to a Federal records center for temporary storage. The agency retains legal custody of the records. See also RETIREMENT, TRANSFER (1). (3) The records so transferred. Also called accessioned records. Definition 3: The records so transferred. Also called accessioned records.

ACCESSIONED RECORDS

Definition: See ACCESSION (3) Preferred Term: ACCESSION

ACCOUNTABLE OFFICERS' ACCOUNTS

Definition: Specified records accumulated by collecting, disbursing, and certifying officers and required by the General Accounting Office (GAO) for audit purposes. Also known as site audit records.

**ACCRETION** 

Definition: Records adding to, or extending, a series or system of permanent records previously accessioned by the National

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Term

Archives.

**ACTION COPY** 

Definition: The copy of a document sent to the agency, office, or individual responsible for taking action. See also COPY (1).

**ACTIVE RECORDS** 

Preferred Term: CURRENT RECORDS

ADEQUACY OF DOCUMENTATION

Definition: A standard of sufficiently and properly recording actions and/or decisions. See also DOCUMENTATION (1).

ADEQUATE AND PROPER DOCUMENTATION

Definition: A record of the conduct of U.S. Government business that is complete and accurate to the extent required to document the organization, functions, policies, decisions, procedures, and essential transactions of the agency and that is designed to furnish the information necessary to protect the legal and financial rights of the Government and of persons directly affected by the agency's activities.

#### ADMINISTRATIVE RECORD

Definition: A set of documents that is the basis for any Federal agency administrative action, including, but not limited to, rulemaking. Under the Administrative Procedure Act (APA), any judicial review of a final agency action is based on the administrative record. Administrative actions that are not rules may include denials of citizens' petitions, individual permit decisions, and exemption decisions. These actions are typically based in an administrative record.

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#### Term

#### ADMINISTRATIVE RECORD - SUPERFUND

Definition: A set of documents which form the basis for selection of a response action under Section 113(j) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended by the Superfund Amendments and Reauthorization Act of 1986 (SARA). Judicial review of any issue concerning the adequacy of any response action is limited to the record.

#### ADMINISTRATIVE RECORDS

Definition: Those records created by several or all Federal agencies in performing common facilitative functions that support the agency's mission activities, but do not directly document the performance of mission functions. Administrative records relate to activities such as budget and finance, human resources, equipment and supplies, facilities, public and congressional relations, and contracting.

#### ADMINISTRATIVE VALUE

Definition: The usefulness of records in conducting an agency's current business. Includes fiscal value and legal value, which are usually analyzed separately when records are evaluated for disposition.

### ADMINISTRATIVELY CONTROLLED INFORMATION

Definition: Privileged or other nonsecurity-classified information in records sometimes bearing designations, such as "For Official Use Only," to prevent its unauthorized disclosure. See also CLOSED FILE (2).

## **ADP MANAGER**

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Term

Preferred Term: INFORMATION TECHNOLOGY MANAGER

**AERIAL PHOTOGRAPHS** 

Definition: Photographs of the earth, other planetary bodies, or the atmosphere that have been taken from airborne vehicles to evaluate, measure, or map selected features of the landscape or the sky. Also involve related indexes.

**AGENCY** 

AGENCY RECORDS

Definition: Documentary materials of an executive agency that, based on Federal case law, are subject to the Freedom of Information Act (FOIA).

AGENCY RECORDS OFFICER

Preferred Term: RECORDS MANAGEMENT OFFICER

AGENCY RECORDS SCHEDULE

Preferred Term: COMPREHENSIVE RECORDS SCHEDULE, RECORDS SCHEDULE

AGGREGATED DATA

Preferred Term: MACRODATA

AGING BLEMISHES

#### Term

Definition: In microform records, very small spots forming on silver gelatin film as a result of air pollution, improper packaging, or inadequate storage conditions. Also called measles, microspots, red spots, or redox blemishes.

## **ALIENATION**

Definition: Unlawfully transferring records or losing custody of them to an unauthorized organization or person.

### ALPHABETIC-SUBJECT FILING SYSTEM

Definition: A classification system in which subjects are arranged in alphabetical order regardless of their relationship to one another. For example, the subjects "adobe houses" and "adrenalin" would immediately follow "administration."

### ALPHANUMERIC FILING SYSTEM

Definition: A classification system in which letters are assigned to main divisions and numbers to subdivisions. For example, "P5" might stand for "Personnel -- Employment."

## AMERICAN NATIONAL STANDARDS INSTITUTE

Definition: A U.S. standards organization composed of representatives from industry, technical societies, consumer organizations, and government agencies.

Acronym: ANSI

AMERICAN STANDARD CODE FOR INFORMATION INTERCHANGE

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#### Term

Definition: A binary code representing each letter, number, or other symbol with a unique 7-bit code. See also CODE (1).

Acronym: ASCII

## **ANALOG**

Definition: Representing data by measuring a continuous physical variable, such as the rotation of hands on a clock, in contrast to a digital clock.

### APERTURE CARD

Definition: An 80-column tabulating sized card with a hole, or aperture, containing usually one frame of 35-mm microfilm. Generally used for engineering drawings, maps and charts, and x rays.

## **APPLICATION**

Definition: A specific use of computer, micrographic, or other information technology, such as in payroll or inventory control.

## **APPRAISAL**

Definition: The process of determining the value and thus the final disposition of records, making them either temporary or permanent. See also EVALUATION (1).

## ARCHITECTURAL AND ENGINEERING RECORDS

Definition: Drawings and related records depicting the concepts and precise measurements needed to plan and build static structures, such as buildings, bridges, and canals, as well as those needed to complete other public works projects and produce such objects as weapons and machines. Include design and construction drawings and related records. Also include computer-aided

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#### Term

design (CAD), computer-aided manufacturing (CAM), and computer-aided engineering (CAE) system records that relate to architecture and engineering and need to be managed like other electronic records.

ARCHIVAL AGENCY

Definition: See ARCHIVES (2). Preferred Term: ARCHIVES

ARCHIVAL DEPOSITORY

Definition: See ARCHIVES (3). Preferred Term: ARCHIVES

ARCHIVAL MICROFILM

Definition: A photographic film that meets the standards for archival film described in NARA's micrographic regulations and that is suitable for the preservation of permanent records when stored in accordance with those regulations.

ARCHIVAL QUALITY

Definition: The ability of material, such as processed prints or film, to resist deterioration sufficiently to meet standards for permanent records.

**ARCHIVAL RECORDS** 

Definition: See ARCHIVES (1). Preferred Term: PERMANENT RECORDS

ARCHIVAL REPOSITORY

#### Term

Definition: See ARCHIVES (3). Preferred Term: ARCHIVES

ARCHIVAL STANDARDS

Definition: The standards to be met by a type of recording material or process in order for this material to have and retain specified characteristics necessary for permanent records.

ARCHIVAL STORAGE CONDITIONS

Definition: Conditions suitable for preserving permanent records.

**ARCHIVES** 

Definition 1: The noncurrent records of an organization preserved because of their continuing, or enduring, value. "National Archives of the United States" means those records that have been determined by the Archivist of the United States to have sufficient historical or other value to warrant their continued preservation by the Federal Government and that have been transferred to the Archivist's legal custody. See also PERMANENT RECORDS, STANDARD FORM 258. Definition 2: The organization or agency responsible for appraising, accessioning, preserving, and making available permanent records. Also called archival agency. In the U.S. Government, the National Archives and Records Administration (NARA). Definition 3: One or more buildings, or portions thereof, where permanent records are located after being accessioned by an archival agency. Also called archival depository or archival repository. See also DEPOSITORY.

ARCHIVES ADMINISTRATION

Definition: The management or direction of the program of an archival agency, including the following basic functions: appraisal, accessioning, preservation, arrangement, description, reference service, and such public programs as education, exhibits, and

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Term
publications.
ARCHIVING
Definition: In electronic records, the process of creating a backup copy of computer files, especially for long-term storage.
ARCHIVIST
Definition: A person responsible for, or engaged in, one or more of the functions listed under archives administration.
ARCHIVIST OF THE UNITED STATES
Definition: The head of the National Archives and Records Administration (NARA).
ARRANGEMENT
Definition: In files management, the act or result of placing records in a particular order or sequence. See also CLASSIFICATION (1) ASSOCIATION FOR INFORMATION AND IMAGE MANAGEMENT
Definition: A trade and professional organization concentrating on applications of micrographic, optical, and computer technology and systems. Formerly the National Micrographics Association. See also AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI), INTERNATIONAL STANDARDIZATION ORGANIZATION (ISO).  Acronym: AIIM
AUDIOVISUAL RECORDS

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#### Term

Definition: Records in pictorial or aural form. Include still and motion pictures; graphic materials, such as posters and original art; audio and video recordings; and combinations of media, such as slide-tape productions.

**AUDIT** 

Definition: See EVALUATION (2). Preferred Term: EVALUATION

AUTOMATED DATA PROCESSING

Preferred Term: AUTOMATIC DATA PROCESSING

AUTOMATED INFORMATION SYSTEM

Definition: An information system that usually involves the use of a computer. Often used as a synonym for an electronic records

system.

Acronym: AIS

AUTOMATIC DATA PROCESSING

Definition: Systematically performing a series of actions with data by using automatic machines, primarily electronic digital computers. Also called automated data processing. Often used as a synonym for electronic data processing (EDP). See also DATA PROCESSING, ELECTRONIC RECORDS, INFORMATION TECHNOLOGY MANAGER.

Acronym: ADP

**BACKGROUND DENSITY** 

Definition: The opacity of the noninformation area of a microform. See also DENSITY (2).

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Term

**BACKING UP** 

Definition: Making a copy of a computer file for use if the original is lost, damaged, or destroyed. Sometimes called archiving.

**BAR CODE** 

Definition: A series of rectangular marks and spaces in a planned pattern. Used in records management to encode indexing information.

**BASE** 

Definition: In microform records, a transparent plastic material, such as cellulose triacetate or polyester, on which a photographic emulsion or other material may be placed.

**BINARY CODE** 

Definition: A code using two distinct characters, normally 0 and 1. See also CODE (1).

BIT

Definition: The small unit of information (usually either a 0 or a 1) recognizable by a computer. A combination of binary digit.

**BIT-MAPPED GRAPHICS** 

Definition: A method of generating images by representing a picture image as a matrix of dots or picture elements, such as in an optical disk system. Also called raster graphics.

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Term

**BLIP** 

Definition: In microform records, an optical mark, usually rectangular, located below and/or above an image on a roll of microfilm and used to count images or frames automatically.

**BLOCK** 

Definition 1: One or more chronological segments of cutoff, or closed, records that are in the same series and are dealt with as a unit for disposition purposes, especially during the transfer of permanent records to the National Archives. For example, a transfer of records in 5-year blocks. Definition 2: In electronic records, a grouping of data stored as a unit on an external storage medium and dealt with as a unit by the computer for input or output. Definition 3: The records of an agency, organizational component, or functional area. See also ACCESSION, CUTOFF, PERMANENT RECORDS, TRANSFER.

### **BUSINESS NEEDS**

Definition: An agency's need to conduct its business, maintain a record of its essential activities and decisions for its own use, support oversight and audit of those activities, fulfill legal requirements, and permit appropriate public access.

**BYTE** 

Definition: The number of bits representing a character to a computer, normally 8 bits.

**CAMERA** 

Definition: A photographic device with an optical system that is used for exposing light-sensitive material.

CAMERA MICROFILM

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#### Term

Definition: First-generation microfilm.

CAMERA-PROCESSOR

Definition: A device that functions as both a camera and a processor. See also PROCESSOR (3).

CARTOGRAPHIC RECORDS

Definition: Graphic representations drawn to scale of selected features of the earth's surface and atmosphere and of other planets and planetary satellites. Include maps, charts (hydrographic/nautical, weather, and aeronautical), photomaps, orthophotomaps, atlases, cartograms, globes, relief models, and related records, such as field survey notes, map history case files, and finding aids. Also include digital cartographic records, such as geographic information system records, which are managed like other electronic records. See also REMOTE-SENSING IMAGERY RECORDS.

CASE FILES

Definition: Records, regardless of media, documenting a specific action, event, person, place, project, or other matter. Include personnel, project, and transaction files, which are types of case files.

CASE WORKING FILES

Definition: Background or support files, such as worksheets, questionnaires, rough notes, calculations, or drafts, used to prepare or analyze case file documents. Often bulky when in paper form.

**CELLULOSE NITRATE FILM** 

Term

Preferred Term: NITRATE FILM

CELLULOSE TRIACETATE FILM

Definition: A film base of transparent plastic that is relatively nonflammable but has some difficulty keeping its original size and shape.

**CENTRAL FILES** 

Definition: Files accumulated by several offices or organizational units and maintained and supervised in one location. Also called centralized files.

CENTRAL PROCESSING UNIT

Definition: The component of a computer system that interprets and carries out program instructions.

Acronym: CPU

**CENTRALIZED FILES** 

Preferred Term: CENTRAL FILES

**CHARACTER** 

Definition: In electronic records, any symbol, such as a number, letter, or punctuation mark, that represents data and that, when encoded, can be processed or stored by a computer system.

**CHARGEOUT** 

#### Term

Definition: The act and result of recording the removal and loan of a document or a file to indicate its location. Usually involves the use of a form, such as OF 23.

**CHARTS** 

Preferred Term: CARTOGRAPHIC RECORDS

**CHRON FILES** 

Preferred Term: READING FILES

CHRONOLOGICAL FILES

Preferred Term: READING FILES

**CLASSIFICATION** 

Definition 1: The process of determining the sequence or order in which to arrange documents. See also ARRANGEMENT, FILE DESIGNATION. Definition 2: The process or result of identifying records containing national security information. See also CLASSIFIED INFORMATION, DECLASSIFICATION.

**CLASSIFIED INFORMATION** 

Definition: Records or information requiring, for national security reasons, safeguards against unauthorized disclosure. See also CLOSED FILE (2).

**CLOSED FILE** 

#### Term

Definition 1: A file unit or series containing documents on which action has been completed and to which more documents are not likely to be added. Definition 2: A file unit or series to which access is limited or denied. See also ADMINISTRATIVELY CONTROLLED INFORMATION, CLASSIFIED INFORMATION.

### **CLOUD COMPUTING**

Definition: A technology that allows users to access and use shared data and computing services via the Internet or a Virtual Private Network (VPN). It gives users access to resources without having to build infrastructure to support these resources within their own environments or networks.

## CODE

Definition 1: See FILE CODES. Definition 2: In electronic records, a set of rules to convert data to a form that computers can process. Also called a computer code. Examples include ASCII (American Standard Code for Information Interchange) and EBCDIC (Extended Binary Coded Decimal Interchange Code). Definition 3: A computer program. Definition 4: A systematically arranged collection of laws or regulations, such as the United States Code (U.S.C.) or the Code of Federal Regulations (CFR).

## CODE OF FEDERAL REGULATIONS

Definition: See CODE (3).

Acronym: CFR

## CODEBOOK

Definition: In electronic records, a guidebook identifying and explaining the codes used in a computer file or data base. See also

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#### Term

DOCUMENTATION (3).

#### CODING

Definition 1: The process of putting abbreviated file designations on documents. See also FILE CODES, FILE DESIGNATION.

Definition 2: The process of converting data to a form that a computer can process. See also CODE (2) and (3).

#### COMPACT DISK

Definition: A relatively small optical disk on which text, data, sounds, visual images, and the like can be recorded digitally and then scanned, decoded, and transmitted by a laser beam to a computer monitor, television set, or playback device.

Acronym: CD

## COMPACT DISK--INTERACTIVE

Definition: A compact disk combining audiovisual, text/data, software storage, and retrieval capabilities.

Acronym: CD-I

### COMPREHENSIVE RECORDS SCHEDULE

Definition: A schedule or collection of schedules based on NARA-approved disposition authorities and issued as a directive or manual to cover all the records of an independent agency or department, or those of a bureau, service, or office within a department. Should also include instructions for nonrecord materials, whose disposition is based on agency needs.

## **COMPUTER**

Definition: An electronic device designed to accept data (input), perform prescribed mathematical and logical operations at high

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#### Term

speed (processing), and supply the results of these operations (output). A digital computer processes data as numbers and includes mainframe computers, minicomputers, and microcomputers. In contrast, an analog computer represents data by measurable quantities, such as voltages.

**COMPUTER-AIDED DESIGN** 

Acronym: CAD

**COMPUTER-AIDED ENGINEERING** 

Acronym: CAE

COMPUTER-AIDED MANUFACTURING

Acronym: CAM

**COMPUTER ARCHITECTURE** 

Definition: The organizational structure of a computer system, including hardware and software.

COMPUTER-ASSISTED LOCATER SYSTEM

Definition: A computer system that keeps track of documents as they circulate through an agency.

Acronym: CAL SYSTEM

Term

## COMPUTER-ASSISTED RETRIEVAL SYSTEM

Definition: A records storage and retrieval system, normally microfilm-based, that uses a computer for indexing, automatic markings such as blips or bar codes for identification, and automatic devices for reading those markings and, in some applications, for transporting the film for viewing.

Acronym: CAR SYSTEM

**COMPUTER CODE** 

Definition: See CODE (2) and CODE (3). Preferred Term: CODE

COMPUTER INPUT MICROFILM

Definition: Microfilm containing data suitable for direct input into a computer.

Acronym: CIM

COMPUTER OUTPUT MICROFILM

Definition: Microfilm containing data converted and recorded directly from a computer. Generally used instead of hard copy printouts.

Acronym: COM

COMPUTER OUTPUT MICROFILMER

Definition: A device for converting data from a computer into human-readable language and recording it onto microfilm.

**COMPUTER PRINTOUT** 

Term

Preferred Term: PRINTOUT

**COMPUTER PROGRAM** 

Definition 1: A systematic plan for the automatic solution of a problem by a computer. Definition 2: A sequence of instructions enabling a computer to solve a problem. See also SOFTWARE.

**COMPUTER SECURITY** 

Definition: The protection of the information and physical assets of a computer system.

**COMPUTER SYSTEM** 

Definition: A configuration, or working combination, of hardware, software, and data communication devices.

**CONSTRUCTION DRAWINGS** 

Preferred Term: ARCHITECTURAL AND ENGINEERING RECORDS

**CONTINGENCY PLANNING** 

Definition: Instituting policies and procedures to mitigate the effects of potential emergencies or disasters on an agency's operations and records. Contingency planning is part of the continuity of operations planning required under Federal Preparedness Circulars and other guidance issued by the Federal Emergency Management Agency (FEMA) and Executive Order 12656.

**CONTINGENT RECORDS** 

#### Term

Definition: Records scheduled for final disposition at some unspecified future time after the occurrence of a particular event, such as the decommissioning of a vessel, the sale of property, or the destruction of a building.

### **CONTINUED PRESERVATION**

Definition: See PERMANENT RECORDS, PRESERVATION, RECORDS.

**CONTINUING VALUE** 

Definition: The lasting value of records, especially of permanent records.

### **CONTINUITY OF OPERATIONS**

Definition: The capability to continue to operate, or rapidly resume operations, in an emergency or threat of an emergency. Activities may include the activation of a crisis management headquarters at the national or regional level; the evacuation of work force personnel from their normal operating locations; the selection and occupation of a relocation site able to support the continuation of essential activities; and the provisioning of ADP, logistics, and telecommunications support by temporary use of nonstandard or alternate means.

### CONTINUITY REFERENCE FORM

Definition: A form, such as OF 22, used to replace a record that has been moved to another location in the file. Often indicates that correspondence has been brought forward from a cutoff subject file for attachment to correspondence in the current year's file.

## **CONTRACTOR DATA**

Preferred Term: CONTRACTOR RECORDS

#### Term

#### CONTRACTOR RECORDS

Definition: Data produced and/or maintained by a contractor for a Federal agency and required to provide adequate and proper documentation of that agency's programs and to manage them effectively. Also called contractor data.

## **CONVENIENCE FILES**

Definition: Nonrecord copies of correspondence, completed forms, and other documents kept solely for ease of access and reference.

## **COPY**

Definition 1: A reproduction of the contents of an original document, prepared simultaneously or separately and usually identified by function or by method of creation. Copies identified by function include action copy, information or reference copy, official file copy, reading or chronological file copy, suspense or tickler file copy, and stock copy. Copies identified by method of creation include carbon copy, electrostatic copy, mimeograph copy, and ribbon copy. Definition 2: In electronic records, the action or result of reading data from a source, leaving the source data unchanged, and writing the same data elsewhere on a medium that may differ from the source.

## **CORRESPONDENCE**

Definition: Letters, postcards, memorandums, notes, telecommunications, and any other form of addressed, written communications that are sent and received. See also GENERAL CORRESPONDENCE FILES, TRANSITORY CORRESPONDENCE FILES.

## **CORRESPONDENCE FILES**

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Term

Preferred Term: GENERAL CORRESPONDENCE FILES

**CREATION** 

Preferred Term: RECORDS CREATION

CROSS-REFERENCE

Definition: In files management, a finding aid, such as OF 21, directing a user from one place in a file to another when a particular document must be retrievable under more than one filing feature. See also INDEX (1).

**CURRENT RECORDS** 

Definition: Records necessary to conduct the current business of an office and therefore generally maintained in office space and equipment.

CUSTODY

Definition: Guardianship, or control, of records, including both physical possession (physical custody) and legal responsibility (legal custody), unless one or the other is specified.

**CUTOFF** 

Definition: Breaking, or ending, files at regular intervals, usually at the close of a fiscal or calendar year, to permit their disposal or transfer in complete blocks and, for correspondence files, to permit the establishment of new files. Case files are generally cut off at the end of the year in which the case is closed. Cutoff is sometimes abbreviated as COFF and is also called file cutoff or file break. See also BLOCK (1).

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Term

Acronym: COFF

**CYCLE** 

Definition: The periodic removal of obsolete copies of vital records and their replacement with copies of current vital records. This may occur daily, weekly, quarterly, annually or at other designated intervals.

DATA

Definition: Symbols, or representations, of facts or ideas that can be communicated, interpreted, or processed by manual or automated means. Often associated with electronic data or with statistics or measurements.

#### DATA ADMINISTRATION

Definition: The comprehensive management of an organization's data, such as by ensuring consistent definitions of data elements and coordinating the development of data dictionaries.

**DATA BASE** 

Definition: In electronic records, a set of data, consisting of at least one file or of a group of integrated files, usually stored in one location and made available to several users at the same time for various applications.

DATA BASE MANAGEMENT SYSTEM

Definition: A software system used to access and retrieve data stored in a data base.

Acronym: DBMS

Term

### **DATA DICTIONARY**

Definition: An organized collection of information about the definition, structure, and use of data in an organization.

## **DATA ELEMENT**

Definition: In electronic records, a combination of characters or bytes referring to one separate item of information, such as name, address, or age.

## **DATA FIELD**

Definition: A specific area of an electronic record allocated for a particular category of data, usually one data element, such as a name.

### **DATA FILE**

Definition 1: In electronic records, an organized collection of data, usually arranged in logical records that are stored together and treated as a unit by a computer. Definition 2: In electronic records, related numeric, textual, or graphic information that is organized in a strictly prescribed form and format. Used in contrast to text documents that may be recorded on electronic media. See also TEXT DOCUMENTS.

### **DATA PROCESSING**

Definition: Systematically performing a series of actions with data. May be done by manual, mechanical, electromechanical, or electronic (primarily computer) means. Often used interchangeably with automatic data processing (ADP).

Acronym: DP

Term

**DATA RECORDS** 

**DATA SET** 

Definition: A group of related records that are organized and treated as a unit. Also used interchangeably with data file.

**DAY FILES** 

Preferred Term: READING FILES

**DEBUGGING** 

Definition: The process of detecting and eliminating mistakes in computer programs or other software. Also called troubleshooting.

**DECIMAL FILING SYSTEM** 

Definition: A system for classifying records by subject, developed in units of 10 and coded for arrangement in numerical order.

**DECLASSIFICATION** 

Definition: The process or result of determining that information no longer requires classification for national security reasons. See also CLASSIFICATION (2).

**DELETING** 

Definition: The process of permanently removing, erasing, or obliterating recorded information from a medium, especially a magnetic

#### Term

tape or disk, which then may be reused. In electronic records, sometimes called scratching or erasing.

#### **DENSITY**

Definition 1: In electronic records, the compactness of data stored on a computer medium, such as a disk or tape, or displayed on a computer screen. Definition 2: In microform records, the light-absorbing or light-reflecting quality of microimages.

## **DEPOSITORY**

Definition: A place where records are kept and made available for use. Also called repository. See also ARCHIVES (3).

### **DESCRIPTION**

Definition 1: In records management, the process of giving a written account of the contents and characteristics of a record series or system. Definition 2: In archives administration, the process of preparing finding aids. See also INVENTORY (3).

## **DESIGN DRAWINGS**

Preferred Term: ARCHITECTURAL AND ENGINEERING RECORDS

### **DESTRUCTION**

Definition: In records management, the major type of disposal action. Methods of destroying records include selling or salvaging the record medium and burning, pulping, shredding, macerating, or discarding with other waste materials.

## **DETAIL FILES**

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Term

Preferred Term: VALID TRANSACTION FILES

**DEVELOPING** 

Definition: A film-processing step that makes visible the latent image of an exposed photographic emulsion.

**DIAZO FILM** 

Definition: In microform records, film containing images produced by exposing an emulsion of diazonium salts to ultraviolet light and then ammonia fumes for the purpose of copying a master microform.

**DIGITAL** 

Definition: Representing data as discrete variables in the form of numerical characters, as in a digital clock or a digital computer.

DIGITAL ARCHITECTURAL AND ENGINEERING RECORDS

**DIGITAL AUDIOTAPE** 

Acronym: DAT

DIGITAL CARTOGRAPHIC RECORDS

Definition: See CARTOGRAPHIC RECORDS, ELECTRONIC RECORDS.

**DIRECTIVE** 

#### Term

Definition: A written instruction communicating policy and/or procedure in the form of orders, regulations, bulletins, circulars, handbooks, manuals, notices, numbered memorandums, and similar issuances.

## **DISASTER**

Definition: An unexpected occurrance inflicting widespread destruction and distress and having long-term adverse effects on agency operations. Each agency defines what a long-term adverse effect is in relation to its most critical program.

DISASTER PREVENTION AND RECOVERY

#### DISCLOSURE-FREE EXTRACT

Definition: A copy of a record that excludes all exempted information; that is, information deleted or otherwise concealed under provisions of the Freedom of Information Act (FOIA) or the Privacy Act. Also called a public use file.

**DISPOSABLE RECORDS** 

Preferred Term: TEMPORARY RECORDS

**DISPOSAL** 

Definition 1: The actions taken regarding temporary records after their retention periods expire and consisting usually of destruction or occasionally of donation. See also DESTRUCTION, DISPOSING OF, DISPOSITION (1), DONATION (1), FINAL DISPOSITION, TEMPORARY RECORDS. Definition 2: Also, when so specified, the actions taken regarding nonrecord materials when no longer

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#### Term

needed, especially their destruction. See also DISPOSITION (2).

**DISPOSAL AUTHORITY** 

Preferred Term: DISPOSITION AUTHORITY

DISPOSAL LIST

Definition: A document providing one-time approval for the disposal of records no longer accumulating and having no further value. Now incorporated into an SF 115. See also DISPOSAL (1).

DISPOSAL SCHEDULE

Preferred Term: RECORDS SCHEDULE

**DISPOSING OF** 

Definition: Carrying out disposal, which includes either the destruction or the donation of temporary records but not the transfer of permanent records to the National Archives. See also DISPOSAL (1).

**DISPOSITION** 

Definition 1: The actions taken regarding records no longer needed for current Government business. These actions include transfer to agency storage facilities or Federal records centers, transfer from one Federal agency to another, transfer of permanent records to the National Archives, and disposal of temporary records. Disposition is the third stage of the records life cycle. See also DISPOSAL (1), FINAL DISPOSITION, LIFE CYCLE OF RECORDS, RETENTION PERIOD, RETIREMENT, SCHEDULED RECORDS, SCHEDULING, TRANSFER, UNSCHEDULED RECORDS. Definition 2: The actions taken regarding nonrecord materials when no

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#### Term

longer needed, including screening and destruction. See also DISPOSITION INSTRUCTIONS (2), SCHEDULING.

#### **DISPOSITION AUTHORITY**

Definition 1: Legal approval empowering an agency to transfer permanent records to the National Archives or carry out the disposal of temporary records. Must be obtained from NARA and also, for certain records proposed as temporary, from the General Accounting Office (GAO). Definition 2: The agency's approval of disposition instructions for nonrecord materials.

#### **DISPOSITION INSTRUCTIONS**

Definition 1: Directions for cutting off records and carrying out their disposition in compliance with NARA's regulations. Definition 2: Directions for screening nonrecord materials and carrying out their disposal when no longer needed by the agency.

**DISPOSITION ITEM** 

Definition: See ITEM (2). Preferred Term: ITEM

**DISPOSITION PROGRAM** 

Definition: Policies and practices designed to achieve effective and efficient disposition of records by scheduling them, ensuring their proper storage, and ensuring the prompt disposal of temporary records and the timely transfer of permanent records to the National Archives. Essential elements include issuing an up-to-date program directive; developing, implementing, and maintaining an accurate, current, and comprehensive records schedule; properly training those taking part in disposition activities; publicizing the program; and evaluating the results.

**DISPOSITION SCHEDULE** 

Term

Preferred Term: RECORDS SCHEDULE

DISPOSITION STANDARD

#### **DOCKET**

Definition: A docket serves as the repository for the collection of documents or information related to a particular agency action or activity (more information http://www.epa.gov/dockets/).

#### **DOCUMENT**

Definition 1: Recorded information regardless of physical form or characteristics. Often used interchangeably with record. Definition 2: An individual record or an item of nonrecord materials or of personal papers. See also NONRECORD MATERIALS, PERSONAL PAPERS, RECORDS.

## **DOCUMENTARY**

Definition 1: In audiovisual records, a nonfiction motion picture film having a theme or viewpoint but drawing its material from actual events and using editing and sound to enhance the theme. Definition 2: In audiovisual records, still photographs having a theme or viewpoint but showing actual situations realistically. See also AUDIOVISUAL RECORDS.

## **DOCUMENTARY MATERIALS**

Definition: A collective term for records, nonrecord materials, and personal papers that refers to all media on which information is recorded, regardless of the nature of the medium or the method or circumstances of recording.

Term

#### **DOCUMENTATION**

Definition 1: The act or process of substantiating by recording actions and/or decisions. See also ADEQUACY OF DOCUMENTATION. Definition 2: See DOCUMENT (1) Definition 3: Records required to plan, develop, operate, maintain, and use electronic records. Included are systems specifications, file specifications, codebooks, file layouts, user guides, and output specifications. See also CODEBOOK, MASTER FILES, PROCESSING FILES, USER GUIDE.

**DOCUMENTATION REQUIREMENTS** 

Preferred Term: RECORDKEEPING REQUIREMENTS

DOCUMENTATION STANDARDS

Preferred Term: RECORDKEEPING REQUIREMENTS

**DONATION** 

Definition 1: In records management, the transfer of temporary records from a Federal agency to an eligible person or organization after the authorized retention period has expired. Requires NARA's approval. See also DISPOSAL (1) Definition 2: The process of transferring recorded information from one storage device to another, such as from a disk to a tape. See also BACKING UP, PRINTOUT.

DRY-PROCESS SILVER FILM

Preferred Term: DRY SILVER FILM

DRY SILVER FILM

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#### Term

Definition: In microform records, a nongelatin silver film developed by applying heat rather than a liquid. Also called dry-process silver film. In contrast to silver gelatin film, it is of nonarchival quality. See also SILVER GELATIN FILM.

## **DRYING**

Definition: A film-processing step of removing water from photographic material.

## **DUMPING**

Definition 1: In electronic records, the process of copying recorded information from internal memory to an external storage medium, such as a magnetic tape or a printout, for backup, analysis, or some other purpose. Definition 2: In electronic records, the process of transferring recorded information from one storage device to another, such as from a disk to a tape. See also BACKING UP, PRINTOUT

#### **DUPLEX-NUMERIC FILING SYSTEM**

Definition: A system for arranging records by adding assigned numbers associated with subordinate headings to those associated with main headings. For example, 2 Communications; 2-1 Mail; and 2-1-1 Postage.

## **DUPLICATE**

Definition: A copy of a paper document, microform, or magnetic tape or disk.

## **DUPLICATE ORIGINAL FILM**

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Term

Definition: In microform records, either of two camera films exposed at the same time.

**EDP** 

Preferred Term: AUTOMATIC DATA PROCESSING

**ELECTRONIC COPIES** 

Definition: As used in this bulletin, an electronic record created using word processing or electronic mail software that remains in storage on the computer system after the recordkeeping copy is produced.

**ELECTRONIC DATA INTERCHANGE** 

Definition: The communication or transmission of data as electronic messages according to established rules and formats in order to transact business.

Acronym: EDI

**ELECTRONIC DATA PROCESSING** 

Definition: See AUTOMATIC DATA PROCESSING (ADP). Preferred Term: AUTOMATIC DATA PROCESSING

**ELECTRONIC DOCUMENTS** 

Definition: Recorded information that is recorded in a form that requires a computer or other machine to process it. Includes word processing documents; electronic mail messages; documents released under the Electronic Freedom of Information Act amendments; documents transmitted via Electronic Data Interchange; Internet and intranet postings; numerical and textual spreadsheets and databases; electronic files; optical images; software; and information systems.

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Term

**ELECTRONIC MAIL** 

Definition: The process or result of sending and receiving messages in electronic form via remote computer terminals.

Acronym: E-MAIL

**ELECTRONIC MICROIMAGE TRANSMISSION** 

Definition: The video transmission of digitized images. Also called microfacsimile or videomicrographics.

**ELECTRONIC RECORD** 

Definition: Any information that is recorded in a form that only a computer can process and that satisfies the definition of a Federal record in 44 U.S.C. 3301.

**ELECTRONIC RECORDKEEPING** 

Definition: The creation, maintenance and use, and disposition of records created and stored by using a computer.

ELECTRONIC RECORDKEEPING SYSTEM

Definition: An electronic system in which records are collected, organized, and categorized to facilitate their preservation, retrieval, use, and disposition.

**ELECTRONIC RECORDS SYSTEM** 

Definition: Any information system that produces, processes, or stores records by using a computer. Often called an automated

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Term

information system.

**EMERGENCY** 

Definition: A situation or an occurrance of a serious nature, developing suddenly and unexpectedly, and demanding immediate action. This is generally of short duration, for example, an interruption of normal agency operations for a week or less. It may involve electrical failure or minor flooding caused by broken pipes.

**EMERGENCY DESTRUCTION** 

Definition: Eliminating records under abnormal circumstances, as provided by law or regulations. These circumstances involve a state of war or impending hostilities and also a continuing menace to life, health, or property.

**EMERGENCY-OPERATING RECORDS** 

Definition: That type of vital records essential to the continued functioning or reconstitution of an organization during and after an emergency. Included are emergency plans and directive(s), orders of successions, delegations of authority, staffing assignments, selected program records needed to continue the most critical agency operations, as well as related policy or procedural records that assist agency staff in conducting operations under emergency conditions and for resuming normal operations after an emergency.

**EMULSION** 

Definition: A suspension of light-sensitive chemicals in a viscous medium to form a coating on photographic film or plates.

**ENGINEERING RECORDS** 

Preferred Term: ARCHITECTURAL AND ENGINEERING RECORDS

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Term
ERASING
Preferred Term: DELETING
ESSENTIAL RECORDS
Preferred Term: VITAL RECORDS
EVALUATION
Definition 1: In records disposition, the process of assessing the value of records to recommend or determine their retention periods, making the records either temporary or permanent. Definition 2: The inspection, audit, or review of records management programs, either by the agency or by NARA and/or GSA, to ensure compliance with applicable laws and regulations.
EVIDENTIAL VALUE
Definition: The usefulness of records in documenting the organization, functions, and activities of the agency creating or receiving them. Considered by NARA in appraising records for permanent retention.
EXECUTIVE AGENCY
Definition: Any executive department or independent establishment in the executive branch of the U.S. Government, including any wholly owned Government corporation.
EXPOSURE

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#### Term

Definition: The act, process, or result of allowing light to reach photosensitive material.

EXTENDED BINARY CODED DECIMAL INTERCHANGE CODE

Definition: A binary code representing each letter, number, or other symbol with a unique 8-bit code. See also CODE (1).

Acronym: EBCDIC

**FACILITATIVE DOCUMENTS** 

Definition: Facilitative documents are documents or messages that are exchanged to facilitate an action, decision, or transaction, but are not needed for the adequate and proper documentation of the Agency's activities.

**FACSIMILE** 

Definition 1: An exact copy of a document, drawing, photograph, or the like. Definition 2: A method or device for transmitting such a copy via telephone or radio for reproduction elsewhere. See also TELECOMMUNICATIONS.

**FAX** 

Preferred Term: FACSIMILE

FEDERAL AGENCY

Definition: Any executive agency or any establishment in the legislative or judicial branch of the U.S. Government, except for the Supreme Court, the Senate, the House of Representatives, and the Architect of the Capitol and any activities under the Architect's direction.

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Term

#### FEDERAL INFORMATION PROCESSING STANDARDS

Definition: U.S. Government standards issued by the Department of Commerce's National Institute of Standards and Technology

(NIST) for computer hardware, software, and systems.

Acronym: FIPS

FEDERAL INFORMATION RESOURCES MANAGEMENT REGULATIONS

Definition: Regulations on information resources management issued by GSA and applicable to Federal agencies.

Acronym: FIRMR

FEDERAL PROPERTY MANAGEMENT REGULATIONS

Definition: GSA regulations. The part formerly applying to records management has been superseded by the Federal Information

Resources Management Regulations and NARA records management regulations.

Acronym: FPMR

FEDERAL RECORDS

FEDERAL RECORDS CENTER

Definition: A records center operated by NARA. See also RECORDS CENTER, STANDARD FORM 135.

Acronym: FRC

**FICHE** 

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Term
Preferred Term: MICROFICHE
FIELD
Preferred Term: DATA FIELD
FILE
Definition 1: Usually an accumulation of records or nonrecord materials arranged according to a plan. Sometimes an accumulation of personal papers so arranged. Definition 2: A unit, such as a folder, microform, or electronic medium, containing such records, nonrecord materials, or personal papers. Definition 3: Storage equipment, such as a filing cabinet.
FILE BREAK
Preferred Term: CUTOFF
FILE CODES
Definition: Numbers or symbols used to abbreviate lengthy file titles. See also CODING (1).
FILE COPY
Definition: See COPY (1).
FILE CUTOFF
Preferred Term: CUTOFF

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Term

FILE DESIGNATION

Definition: A distinguishing symbol, subject, name, number, or date controlling the placement of a document in a filing system. See also CLASSIFICATION (1), CODING (1).

FILE GROUPS

Preferred Term: FILE TYPES

FILE LAYOUT

Definition: In electronic records, the arrangement and structure of data in a file, including the sequence and size of its components.

**FILE PLAN** 

Definition 1: A plan designating the physical location(s) at which an agency's files are to be maintained, the specific types of files to be maintained there, and the organizational element(s) having custodial responsibility. Definition 2: A document containing the identifying number, title or description, and disposition authority of files held in an office.

**FILE SERIES** 

**FILE STATION** 

Definition: Any location in an organization at which records are maintained for current use.

**FILE TYPES** 

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#### Term

Definition: Categories or classes of files. Include case, case working, general correspondence, reading, transitory correspondence, convenience, and technical reference files, along with vital records and special records. Each of these types is defined in this glossary.

**FILES** 

Definition: A collective term usually applied to all records and nonrecord materials of an office or agency.

FILES CUSTODIAN

Definition: A files custodian, or records custodian, is an individual who has been assigned responsibility or is accountable for the operation of a file station or, in some cases, a particular records series.

FILES IMPROVEMENT

Definition: Taking corrective action to make sure that office files are properly organized and maintained, rapidly retrievable, complete, and ready for appropriate disposition.

FILES INVENTORY

Preferred Term: INVENTORY

FILES MAINTENANCE

Preferred Term: FILES MANAGEMENT

Term

#### FILES MANAGEMENT

Definition: Applying records management principles and techniques to filing practices in order to organize and maintain documentary materials properly, retrieve them rapidly, ensure their completeness, and make their disposition easier.

**FILES MANUAL** 

Preferred Term: DIRECTIVE

FILES OPERATIONS

Preferred Term: FILES MANAGEMENT

FILING

Definition: Putting documents into their place in accordance with a plan, or filing system.

FILING FEATURE

Definition: A characteristic by which a document is filed and found, such as a number, date, title, name, or subject.

FILING SYSTEM

Definition: A set of policies and procedures for organizing and identifying files or documents to speed their retrieval, use, and disposition. Sometimes called recordkeeping system.

FINAL DISPOSITION

#### Term

Definition: The end of the records life cycle in which temporary records are disposed of and permanent records are transferred to the National Archives. See also DISPOSAL (1).

**FINDING AIDS** 

Definition: Indexes or other lists, whether manual or automated, that are designed to make it easier to locate relevant files or retrieve information. See also INDEX (2).

FISCAL VALUE

Definition: The usefulness of records in documenting an agency's financial transactions and obligations. See also ADMINISTRATIVE VALUE, LEGAL VALUE.

**FIXING** 

Definition: A film-processing step that makes the material no longer light-sensitive in order to stabilize the developed image.

FLASH CARD

Definition: A target, generally with markings, photographed to index microfilm. Also called flash target.

FLASH TARGET

Preferred Term: FLASH CARD

FLAT FILE

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#### Term

Definition: In electronic records, a simple two-dimensional arrangement of data elements used in data bases to store all necessary descriptive information about the data in a location within the file itself.

FLAT MICROFORM

Definition: Microform consisting of microfiche, microfilm jackets, aperture cards, or microcards (micro-opaques).

FLATBED CAMERA

Preferred Term: PLANETARY CAMERA

FOLLOWUP FILES

Preferred Term: SUSPENSE FILES

FOR OFFICIAL USE ONLY

Preferred Term: ADMINISTRATIVELY CONTROLLED INFORMATION

**FORM** 

Definition: A document with a fixed arrangement of captioned spaces designed for entering and extracting prescribed information. Categories of forms include internal, interagency, public use, standard, and optional.

**FORMAT** 

#### Term

Definition 1: The shape, size, style, and general makeup of a particular record. Definition 2: In electronic records, the arrangement of data for computer input or output, such as the number and size of data fields in a logical record or the spacing and letter size used in a document. Also called layout. See also FILE LAYOUT, RECORD LAYOUT. Definition 3: In microform records, the placement of microimages within a given microform (image arrangement) or the arrangement of images in relation to the edges of the film (image orientation).

#### FROZEN RECORDS

Definition: In records disposition, those temporary records that cannot be destroyed on schedule because special circumstances, such as a court order or an investigation, require a temporary extension of the approved retention period.

### **FUNCTION**

Definition: The characteristic action or specific purpose of an organization or person.

#### **FUNCTIONAL CLASSIFICATION**

Definition: The division of records into categories and subcategories to reflect the programs, activities, and transactions carried out by the organization accumulating the records.

### **FUNCTIONAL REQUIREMENTS**

Definition: In electronic records, a description of an organization's computer processing needs to fulfill its responsibilities and to support users in performing tasks relating to those responsibilities.

#### **FUNCTIONAL SPECIFICATIONS**

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#### Term

Definition: In electronic records, a detailed description of the hardware, software, communications, and human resources needed for an information system to be built, installed, tested, operated, and maintained. Also called specifications.

GENERAL ACCOUNTING OFFICE

Definition: See DISPOSITION AUTHORITY (1).

Acronym: GAO

GENERAL CORRESPONDENCE FILES

Definition: Records arranged and filed according to their general informational, or subject, content. Mainly letters and memorandums but also forms, reports, and other material, all relating to program and administrative functions, not to specific cases. Also called central, correspondence, or subject files. See also ADMINISTRATIVE RECORDS, CORRESPONDENCE, PROGRAM RECORDS, READING FILES, TRANSITORY CORRESPONDENCE FILES.

GENERAL RECORDS SCHEDULE

Definition: A NARA-issued schedule governing the disposition of specified records common to several or all agencies.

Acronym: GRS

GENERAL SERVICES ADMINISTRATION

Definition: See EVALUATION (2).

Acronym: GSA

GEOGRAPHIC INFORMATION SYSTEM RECORDS

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#### Term

Definition: See CARTOGRAPHIC RECORDS, ELECTRONIC RECORDS.

Acronym: GIS RECORDS

**GOVERNMENT INFORMATION** 

Definition: Information created, collected, processed, transmitted, disseminated, used, stored, preserved permanently, or disposed of by the Federal Government.

**GRAPHIC RECORDS** 

### HARD COPY

Definition 1: Recorded information copied from a computer onto paper or some other durable surface, such as microfilm. To be distinguished from a temporary image on a display screen and from the electronic information on a magnetic tape or disk(ette) or in the computer's main memory. See also OUTPUT RECORDS. Definition 2: Recorded information copied from microfilm onto paper and made readable without a special device. Definition 3: A paper record that may later be filmed or digitized.

#### **HARDWARE**

Definition: A computer system's physical equipment, including the central processing unit (CPU), control unit, memory, input/output devices, and storage devices.

### HIERARCHICAL SYSTEM

Definition: Any classification system in which records are arranged under primary (first-level) categories and then, as necessary, under secondary (second-level) and further subdivisions.

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Term

HISTORICAL RECORDS

Preferred Term: PERMANENT RECORDS

HISTORY FILES

Definition: Electronic files copied from inactive master files for long-term or permanent retention.

**HOLDING AREA** 

Definition: Agency space assigned for the temporary storage of records after their cutoff and removal from office space but before their destruction or their transfer to a Federal or agency records center or to the National Archives. Also called staging area.

HOUSEKEEPING RECORDS

Preferred Term: ADMINISTRATIVE RECORDS

**IMAGE** 

Definition 1: A representation of information produced by radiant energy, such as light. Definition 2: A unit of information, such as a drawing or a page of text. Definition 3: An object's optical counterpart produced by a lens, mirror, or other optical system.

**IMAGE MANAGEMENT** 

Preferred Term: MICROIMAGING SYSTEMS

**IMAGE MANAGEMENT SYSTEMS** 

Term

Preferred Term: MICROIMAGING SYSTEMS

**IMAGE PROCESSING** 

Preferred Term: MICROIMAGING SYSTEMS

**IMAGE SCANNING** 

Preferred Term: SCANNING

**INACTIVE RECORDS** 

Preferred Term: NONCURRENT RECORDS

**INDEX** 

Definition 1: A separate collection of cards, extra copies of documents, cross-reference sheets, or other forms arranged differently from the related record series to make it easier to locate relevant documents. See also CROSS-REFERENCE. Definition 2: A manual or automated listing arranged differently from a related record series or system to speed retrieval of relevant files. See also FINDING AIDS.

**INFORMATION** 

Definition 1: Facts or data communicated or received. See also RECORDED INFORMATION. Definition 2: Processed data. See also DATA, GOVERNMENT INFORMATION.

Term

INFORMATION AND RECORDS MANAGEMENT

#### INFORMATION CONTROL/DOCUMENT CONTROL

Definition: Information control is the safeguarding of EPA information to protect confidentiality and ensure integrity of the records, and at the same time, make the information accessible for use by EPA organizations, staff, and the public, as appropriate. Document control is the system used to facilitate access to, retrieval and follow-up, and return of the information.

### INFORMATION COPY

Definition: A nonrecord copy sent to individuals or offices interested in, but not acting on, a matter. See also COPY (1).

#### INFORMATION MANAGEMENT

Definition: The administration, use, and transmission of information and the application of theories and techniques of information science to create, modify, or improve information handling systems.

#### INFORMATION RESOURCES MANAGEMENT

Definition: The planning, budgeting, organizing, directing, training, and controlling associated with the creation, maintenance and use, and disposition of information as well as with related resources, or assets, such as personnel, equipment, funds, and technology. Includes data processing, telecommunications, and records management.

Acronym: IRM

INFORMATION SECURITY

#### Term

#### INFORMATION SYSTEM

Definition: The organized collection, processing, transmission, and dissemination of information in accordance with defined procedures, whether automated or manual. Also called a record system or a system. Most often refers to a system containing electronic records, which involves input or source documents, records on electronic media, and output records, along with related documentation and any indexes. See also DOCUMENTATION (3).

#### INFORMATION SYSTEM ARCHITECTURE

Definition: The organizational structure of an information system, including necessary application systems, computer equipment, a communications network, and related software.

INFORMATION SYSTEM DESCRIPTION

Preferred Term: NA FORM 14028, INFORMATION SYSTEM DESCRIPTION

INFORMATION SYSTEM MANAGER

Definition: The program official responsible for overseeing the creation and use of records in an information system. Also called program manager.

INFORMATION TECHNOLOGY MANAGER

Definition: The ADP official responsible for overseeing the purchase and technical operation of an information system. Also called ADP manager.

#### Term

#### INFORMATIONAL VALUE

Definition: The usefulness of records in documenting the persons, places, things, or matters dealt with by an agency, in contrast to documenting the agency's organization, functions, and activities. Considered by NARA in appraising records for permanent retention.

**INPUT** 

Definition: In electronic records, data to be entered into a computer for processing.

### **INPUT RECORDS**

Definition: Nonelectronic documents designed and used to create, update, or modify records in an electronic medium; or electronic records containing data used to update a separate computer file. Sometimes called source records or source documents.

**INSPECTION** 

Definition: See EVALUATION (2). Preferred Term: EVALUATION

**INTEGRATION** 

Definition 1: In electronic records: Combining various pieces of hardware and software, often acquired from different vendors, into a unified system. Definition 2: In electronic records: Combining computer programs into a unified software package so that all programs can share common data.

INTERACTIVE DISK

Term

Preferred Term: COMPACT DISK--INTERACTIVE

**INTERMEDIATE** 

Definition: Duplicate film used to make more copies.

INTERNATIONAL STANDARDIZATION ORGANIZATION

Definition: A standards-setting organization with representatives from many nations. See also AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI), ASSOCIATION FOR INFORMATION AND IMAGE MANAGEMENT (AIIM).

Acronym: ISO

**INTRINSIC VALUE** 

Definition: In archives administration, the value of those permanent records that should be preserved in their original form rather than as copies.

**INVENTORY** 

Definition 1: A survey of agency records and nonrecord materials that is conducted primarily to develop records schedules and also to identify various records management problems, such as improper applications of recordkeeping technology. Definition 2: The results of such a survey. Definition 3: In archives administration, a type of finding aid for accessioned permanent records. See also DESCRIPTION (2).

**ITEM** 

Definition 1: A document. Definition 2: A separately numbered entry describing records on an SF 115, Request for Records

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Term

Disposition Authority. Usually consists of a record series or part of an information system. See also STANDARD FORM 115.

JUKEBOX

Definition: A cabinet that is part of an automated optical disk system storing disks, using robotics for retrieval, and having one or more computer drives.

LAYOUT

Preferred Term: FORMAT

LEGAL AND FINANCIAL RIGHTS RECORDS

Definition: Legal and financial rights records are that type of vital records essential to protect the legal and financial rights of the Government and of the individuals directly affected by its activities. Examples include accounts receivable records, social security records, payroll records, retirement records, and insurance records.

LEGAL CUSTODY

Preferred Term: CUSTODY

LEGAL VALUE

Definition: The usefulness of records in documenting legally enforceable rights or obligations, both those of the Federal Government and those of persons directly affected by the agency's activities.

LIFE CYCLE OF RECORDS

#### Term

Definition: The management concept that records pass through three stages: creation, maintenance and use, and disposition. See also DISPOSITION (1).

LOCAL AREA NETWORK

Definition: A system for linking together computers, terminals, printers, and other equipment, usually within the same office or building.

Acronym: LAN

LOGICAL RECORD

Definition: In electronic records, a collection of related data elements, referring to one person, place, thing, or event, that are treated as a unit and have either a fixed or variable length.

MACHINE-READABLE RECORDS

Preferred Term: ELECTRONIC RECORD

**MACRODATA** 

Definition: Aggregated, or summarized, data.

**MAGNIFICATION RATIO** 

Definition: In microform records, the expression of how much an optical device enlarges an object. Usually stated as 16x, 24x, etc. or

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Term

as 16:1, 24:1, etc.

#### MAINFRAME COMPUTER

Definition: A large digital computer, normally able to process and store more data than a minicomputer and far more than a microcomputer, designed to do so faster than a minicomputer and much faster than a microcomputer, and often serving as the center of a system with many users. Also called a mainframe. See also COMPUTER, MICROCOMPUTER, MINICOMPUTER.

MAINTENANCE AND USE

#### MANAGEMENT INFORMATION SYSTEM

Definition 1: An organization's procedures for accumulating, processing, storing, and retrieving valuable information. Definition 2: Management aided by automatic data processing (ADP).

Acronym: MIS

MANAGEMENT OF FEDERAL INFORMATION RESOURCES

Preferred Term: OMB CIRCULAR NO. A-130, MANAGEMENT OF FEDERAL INFORMATION RESOURCES

**MANIFOLD** 

Definition: A paper form made up of a number of sheets interleaved with carbon paper.

MAPS AND CHARTS

Term

Preferred Term: CARTOGRAPHIC RECORDS

MASTER COPIES

#### **MASTER FILES**

Definition: In electronic records, relatively long-lived computer files containing an organized and consistent set of complete and accurate data. Usually updated periodically. See also DOCUMENTATION (3).

MASTER MICROFORM

Definition: A microform, usually first-generation or camera film, used to produce duplicates or intermediates.

**MEASLES** 

Preferred Term: AGING BLEMISHES

**MEDIUM** 

Definition: The physical form of recorded information. Includes paper, film, disk, magnetic tape, and other materials on which information can be recorded.

**MEMORANDUM** 

Definition: A written communication much like a letter but having no salutation or complimentary ending. Usually used within or between offices of the same organization.

#### Term

#### **METADATA**

Definition: Data about the data, that is, the description of the data resources, its characteristics, location, usage, and so on. Metadata is used to identify, describe, and define user data.

### METHYLENE BLUE

Definition: A chemical dye formed during the testing of the archival (permanent) quality of processed microimages.

### MICRO-OPAQUE

Definition: A sheet of translucent paper stock with multiple microimages in a grid pattern. See also MICROCARD.

#### **MICROCARD**

Definition: An opaque card containing miniaturized pages photographically reproduced in a grid pattern by rows, like the numbers on a calendar.

## MICROCOMPUTER

Definition: A very small digital computer, normally able to process and store less data than a minicomputer and far less than a mainframe while doing so less rapidly than a minicomputer and far less rapidly than a mainframe. Includes desktop, laptop, and hand-held models. Also called a personal computer. See also COMPUTER, MAINFRAME COMPUTER, MINICOMPUTER.

## **MICROCOPY**

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#### Term

Definition: A photographic reproduction so small that a magnifying device is needed to read or view the image. Also called a microphotograph.

MICROCOPY RESOLUTION TEST CHART

Preferred Term: RESOLUTION TARGET

**MICRODATA** 

Definition: Unaggregated, or unsummarized, data.

**MICROFACSIMILE** 

Preferred Term: ELECTRONIC MICROIMAGE TRANSMISSION

**MICROFICHE** 

Definition: A card-sized transparent sheet of film with miniaturized images (microimages) arranged in a grid pattern. Usually contains a title readable without a magnifying device. Sometimes abbreviated as fiche. See also ULTRAFICHE.

**MICROFILM** 

Definition 1: Raw (unexposed and unprocessed) fine-grain, high-resolution film suitable for use in micrographics. Definition 2: Fine-grain, high-resolution film containing microimages. See also CAMERA FILM, DIAZO FILM, DRY SILVER FILM, SAFETY FILM, SILVER GELATIN FILM, VESICULAR FILM.

**MICROFILMING** 

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#### Term

Definition: The process of recording microimages on film.

#### **MICROFORM**

Definition: Any form containing greatly reduced images, or microimages, usually on microfilm. Roll, or generally serialized, microforms include microfilm on reels, cartridges, and cassettes. Flat, or generally unitized, microforms include microfiche, microfilm jackets, aperture cards, and microcards, or micro-opaques. See also MICROIMAGE.

### MICROGRAPHICS

Definition: A records management technology concerned with producing and using microforms.

#### **MICROIMAGE**

Definition: An image too small to be read without a magnifying device or other special equipment.

### MICROIMAGING SYSTEMS

Definition: Systems constituting a bridge between traditional microforms and electronic records. Include stand- alone micrographics, computer-assisted retrieval (CAR), computer input microfilm (CIM), computer output microfilm (COM), electronic microimage transmission, and optical disk (OD) systems. Also called image processing or image management systems.

### **MICROPHOTOGRAPH**

Preferred Term: MICROCOPY

Term

**MICROSPOTS** 

Preferred Term: AGING BLEMISHES

**MIGRATION** 

Definition: In electronic records, the process or result of moving files from one computer system to another.

**MINICOMPUTER** 

Definition: A small digital computer, normally able to process and store less data than a mainframe but more than a microcomputer while doing so less rapidly than a mainframe but more rapidly than a microcomputer.

MNEMONIC FILING SYSTEM

Definition: A classification system in which records are coded by symbols to remind the user of the subject; for example, COM for communications and PER for personnel. These symbols are usually arranged alphabetically.

NA FORM 14028, INFORMATION SYSTEM DESCRIPTION

Definition: An electronic records inventory form that may be used to provide the necessary data for NARA's initial appraisal of agency information systems not covered by the General Records Schedules. This or a similar form is to be attached to a Standard Form 115 when scheduling such electronic records.

NA FORM 14097, TECHNICAL DESCRIPTION FOR TRANSFER OF ELECTRONIC RECORDS TO THE NATIONAL ARCHIVES

#### Term

Definition: A form that may be used to provide information to accompany the transfer of permanent electronic records to the National Archives. This or a similar form is to be attached to a Standard Form 258 when transferring such records. This information was formerly provided on the Standard Form 277, Computer Magnetic Tape File Properties, which has been discontinued.

NATIONAL ARCHIVES

Preferred Term: ARCHIVES

NATIONAL AUDIOVISUAL CENTER

Definition: A NARA-operated central clearinghouse for most current audiovisual materials produced by or for the Federal Government. These materials are made available for sale and rental to Federal agencies and the public.

Acronym: NAC

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY

Acronym: NIST

NATIONAL SECURITY EMERGENCY

Definition: Any occurrence, including natural disaster, military attack, technological emergency, or other emergency, that seriously degrades or threatens the national security of the United States, as defined in Executive Order 12656.

**NEGATIVE FILM** 

Definition: Film in which the dark portions of the original image appear light and the light portions dark. Used as the master copy from

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Term

which positive copies can be made.

NITRATE FILM

Definition: Transparent plastic no longer produced as a film base because it is very flammable. Also called cellulose nitrate or nitrocellulose film.

NITROCELLULOSE FILM

Preferred Term: NITRATE FILM

NONCURRENT RECORDS

Definition: Records no longer required to conduct agency business and therefore ready for final disposition. See also CURRENT RECORDS, FINAL DISPOSITION, PERMANENT RECORDS, SEMICURRENT RECORDS.

**NONOFFICIAL PAPERS** 

Preferred Term: PERSONAL PAPERS

NONPERMANENT RECORDS

Preferred Term: TEMPORARY RECORDS

NONRECORD COPY

Preferred Term: NONRECORD MATERIALS

Term

#### NONRECORD MATERIALS

Definition: U.S. Government-owned documentary materials excluded from the legal definition of records or not meeting the requirements of that definition. Include extra copies of documents kept only for convenience of reference, stocks of publications and of processed documents, and library or museum materials intended solely for reference or exhibition. Also called nonrecords. See also DISPOSITION AUTHORITY (2), DISPOSITION INSTRUCTIONS (2).

**NONRECORDS** 

Preferred Term: NONRECORD MATERIALS

NONTEXTUAL RECORDS

Definition: A collective term usually applied to electronic, audiovisual, cartographic, remote-sensing imagery, architectural, and engineering records, in contrast to manuscript and typescript paper records.

NUMERIC-ALPHABETIC FILING SYSTEM

Definition: A classification system in which numbers are assigned to main divisions and letters and numbers to succeeding subdivisions and the records are arranged accordingly. For example, "1" might stand for "administration," "1C" for the subdivision "personnel," and "1C4" for the further subdivision "retirement."

NUMERIC FILING SYSTEM

Definition: Any classification system in which numbers are assigned to main divisions and subdivisions and the records are arranged accordingly.

Term

OFF-LINE

Definition: Not under the direct control of a computer. Refers to data on a medium, such as a magnetic tape, not directly accessible for immediate processing by a computer.

**OFF-SITE STORAGE** 

Definition: A facility other than an agency's normal place of business where vital records are stored for protection.

**OFFER** 

Definition: A term formerly used to describe the act of requesting NARA's one-time approval of the immediate transfer of unscheduled records to the National Archives ("direct offer") or the act of initiating the transfer to the National Archives of records already scheduled as permanent ("scheduled offer"). Direct offers have been discontinued, and scheduled offers are now called scheduled transfers.

OFFICE AUTOMATION

Definition: The use of automated or electronic equipment, such as computers, for office operations.

Acronym: OA

OFFICE OF MANAGEMENT AND BUDGET

OFFICIAL FILE COPY

Term Definition: See COPY (1). OFFICIAL FILES Preferred Term: RECORDS OFFICIAL RECORD COPY Definition: See COPY (1). OFFICIAL RECORDS Preferred Term: RECORDS OMB CIRCULAR NO. A-130, MANAGEMENT OF FEDERAL INFORMATION RESOURCES Definition: A policy statement issued by the Office of Management and Budget regarding the management of Federal information resources, including records. Acronym: A-130, CIRCULAR NO. A-130, OMB CIRCULAR NO. A-130 ON-LINE Definition: Under the direct control of a computer. Refers to data on a medium, usually a disk, directly accessible for immediate processing by a computer. **OPERATING SYSTEM** 

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Term

Definition: In electronic records, software directing a computer's operation.

OPTICAL CHARACTER RECOGNITION

Definition: A method of entering data into a computer by using an optical scanning device to read the contents of documents.

Acronym: OCR

OPTICAL DIGITAL DATA DISK

Preferred Term: OPTICAL DISK

OPTICAL DIGITAL DISK

Preferred Term: OPTICAL DISK

OPTICAL DISK

Definition: A noncontact, random-access disk typically tracked by optical laser beams and used for mass storage and retrieval of generally digitized text and graphics. Sometimes called an optical digital disk or optical digital data disk. Types include WORM (write once read many), CD-ROM (compact disk--read only memory), CD-I (compact disk--interactive), and erasable optical disks.

Acronym: OD

**OPTIONAL FORM** 

Definition: A form developed by a Federal agency for use by two or more agencies and approved by GSA for nonmandatory use throughout the U.S. Government. See also FORM (1), STANDARD FORM.

Acronym: OF

Term

OPTIONAL FORM 11, REFERENCE REQUEST--FEDERAL RECORDS CENTERS

Definition: A form that an agency may use to obtain reference service involving records it has stored in a Federal records center.

OPTIONAL FORM 21, CROSS-REFERENCE

OPTIONAL FORM 22, CONTINUITY REFERENCE

OPTIONAL FORM 23, CHARGEOUT RECORD

**ORAL HISTORY MATERIALS** 

Definition: All documents, regardless of media, relating to interviews conducted expressly for historical purposes by, or on behalf of, an agency.

**OUTPUT** 

Definition: In electronic records, information transmitted from internal to external units of a computer, or to an outside medium.

**OUTPUT RECORDS** 

Definition: In electronic records, information generated by a computer and placed on an outside medium, such as paper, microform, or an electronic storage medium.

**PAPER** 

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#### Term

Definition: Documentary materials belonging to an individual that are not used to conduct agency business. Related solely to an individual's own affairs or used exclusively for that individual's convenience. Must be clearly designated as such and kept separate from the agency's records. Also called personal files or personal records.

PERSONAL RECORDS

Preferred Term: PERSONAL PAPERS

**PHOTOGRAPH** 

Definition: An image recorded on light-sensitive material.

PHOTOGRAPHIC RECORDS

Definition: Records taking the form of pictures, or photographs, sometimes with related textual records.

PHYSICAL CUSTODY

Preferred Term: CUSTODY

PICTURE ELEMENT

Definition: The smallest dot, or image element, that can be processed individually for display on a video screen. Often abbreviated as pixel or sometimes as PEL.

Acronym: PEL, PIXEL

Term

#### PLANETARY CAMERA

Definition: A type of microfilm camera in which the document being photographed is on a flat surface and both the document and the film remain stationary during exposure. Also called a flatbed camera.

#### **POLARITY**

Definition: The change or retention of the dark-to-light relationship of an image. For example, going from a first- generation negative to a second-generation positive reverses polarity, whereas going from a first-generation negative to a second-generation negative maintains polarity.

#### POLYESTER FILM

Definition: A film base of transparent plastic that keeps its original size and shape, resists tearing, and is strong and relatively nonflammable. Sometimes called polyethylene terephthalate film.

#### POLYETHYLENE TEREPHTHALATE FILM

Preferred Term: POLYESTER FILM

POSITIVE FILM

Definition: Film in which the dark portions of the original image appear dark and the light portions light.

**POSTERS** 

#### Term

#### **PRESERVATION**

Definition 1: The provision of adequate facilities to protect, care for, or maintain records. Definition 2: Specific measures, individual and collective, undertaken to maintain, repair, restore, or protect records. See also RECORDS MAINTENANCE AND USE.

PRESERVATION MASTER COPIES

#### PRESIDENTIAL RECORDS

Definition: According to 44 U.S.C. 2201, the term "means documentary materials, or any reasonably segregable portion thereof, created or received by the President, his immediate staff, or a unit or individual of the Executive Office of the President whose function is to advise and assist the President, in the course of conducting activities which relate to or have an effect upon the carrying out of the constitutional, statutory, or other official or ceremonial duties of the President." Excluded are Federal agency records (called "records" in this glossary), personal papers, stocks of publications and stationery, and extra copies of documents produced only for convenience of reference and clearly identified as such.

#### PRINTED RECORDS

Definition: Published materials (such as books, maps, and posters) or serial issuances (such as directives and press releases) produced by or for a particular agency, in contrast to extra copies kept in stock or distributed inside or outside that agency.

# **PRINTER**

Definition 1: In electronic records, a computer output device that makes a paper copy of alphanumeric or graphic information.

Definition 2: In microform records, a device used to produce a paper copy of an enlarged image. See also HARD COPY (1),

Term

READER-PRINTER.

**PRINTOUT** 

Definition: Output produced by a computer printer, generally on continuous paper sheets.

PRIVATE PAPERS

Preferred Term: PERSONAL PAPERS

PRIVILEGED INFORMATION

Preferred Term: ADMINISTRATIVELY CONTROLLED INFORMATION

PROCESSED DOCUMENTS

Definition 1: Documents other than publications produced by electrostatic, stencil, or other duplicating methods. Definition 2: Documents prepared for use and/or storage.

**PROCESSING** 

Definition 1: Handling files to prepare them for use and/or storage. Definition 2: See AUTOMATIC DATA PROCESSING. Definition 3: Taking a series of steps to treat exposed photographic material in order to make the latent image visible and preservable. These steps include developing, fixing, washing, and drying. See also DEVELOPING, DRYING, FIXING, WASHING.

PROCESSING FILES

#### Term

Definition: In electronic records, those data files used to produce a master file. Include work files, test files, input/source files, intermediate input/output files, valid transaction files, and audit trail files. See also DOCUMENTATION (3), MASTER FILES, VALID TRANSACTION FILES, WORK FILES.

**PROCESSOR** 

Definition 1: Someone who prepares files for use and/or storage. Definition 2: A computer processor. Definition 3: A device for treating exposed photographic film to make the latent image visible and preservable. See also CAMERA-PROCESSOR.

**PROGRAM** 

Definition: A mission, function, or activity carried out by an organization.

**PROGRAM AUDIT** 

Definition: See EVALUATION (2). Preferred Term: EVALUATION

PROGRAM INSPECTION

Definition: See EVALUATION (2). Preferred Term: EVALUATION

PROGRAM MANAGER

Definition: An official responsible for overseeing an agency function, especially a unique function rather than a function common to many agencies.

PROGRAM RECORDS

#### Term

Definition: Those records created by each Federal agency in performing the unique functions that stem from the distinctive mission of the agency. The agency's mission is defined in enabling legislation and further delineated in formal regulations.

PROGRAM REVIEW

Definition: See EVALUATION (2). Preferred Term: EVALUATION

PROJECT FILES

Preferred Term: CASE FILES

PROPRIETARY INFORMATION

Definition: Information owned by a business organization.

**PUBLIC RECORDS** 

Definition 1: In general usage, records accumulated by Government agencies. Definition 2: Records open to public inspection by law or custom. See also ACCESS (1), RECORDS.

**PUBLIC USE FILE** 

Preferred Term: DISCLOSURE-FREE EXTRACT

**PUBLICATIONS** 

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#### Term

Definition: Documents printed or otherwise produced for wide distribution inside or outside an agency. Include annual reports, brochures, pamphlets, posters, books, handbooks, and maps. Also include instructional and informational materials in audiovisual form. According to 44 U.S.C. 1901, a U.S. Government publication is "informational matter which is published as an individual document at Government expense, or as required by law."

**PURGING** 

Definition: See SCREENING (2). Preferred Term: SCREENING

RANDOM ACCESS MEMORY

Definition: Computer memory used to process and store data that can be accessed directly rather than sequentially.

Acronym: RAM

**RASTER GRAPHICS** 

Preferred Term: BIT-MAPPED GRAPHICS

READ ONLY MEMORY

Definition: Computer memory whose contents cannot be changed by the user.

Acronym: ROM

**READER** 

Definition: A device that enlarges microimages for viewing.

Term

READER-PRINTER

Definition: A device used to enlarge microimages for viewing and to produce a hard copy of the enlarged image. See also PRINTER (2).

**READING FILES** 

Definition: Outgoing correspondence records arranged chronologically, in contrast to those arranged by subject. Sometimes called chronological (chron) or day files.

RECORD COMPONENTS

Definition: In records disposition, those elements of audiovisual, microform, and certain other special records, needed for long-term preservation and required or requested when permanent records are transferred to the National Archives. Also called record elements. For example, record components for color photographs include the original color transparency or color negative, a captioned print, and an internegative if one exists.

**RECORD COPY** 

Preferred Term: RECORDS

RECORD ELEMENTS

Preferred Term: RECORD COMPONENTS

**RECORD GROUP** 

#### Term

Definition: A body of organizationally related records established by an archival agency after considering the organization's administrative history and complexity and the volume of its records. NARA uses record group numbers to keep track of agency records during and after the scheduling process, including those transferred to Federal records centers and/or the National Archives.

**RECORD LAYOUT** 

Definition: In electronic records, a diagram or list of the contents of a logical record describing each data field's informational content, length, and position.

**RECORD MEDIUM** 

Preferred Term: MEDIUM

**RECORD SERIES** 

Definition: A records series consists of documents or file units arranged according to a filing system or kept together because they relate to a particular subject or function or result from the same activity. In addition to paper documents, records series may contain records in other nonpaper formats, including electronic, microform, audio or video recordings, photographs, motion pictures, maps, charts, aerial photographs, or remote sensing imagery.

**RECORD SET** 

**RECORD SYSTEM** 

Preferred Term: INFORMATION SYSTEM

Term

**RECORD VALUES** 

Definition: See EVALUATION (1).

RECORDED INFORMATION

Definition: Information placed on a medium, such as paper, computer disk, or microform, to be available for later retrieval and use.

RECORDING DENSITY

Definition: In electronic records, the number of bits in a single linear track per unit of length of a recording medium. For example, 6250 bits per inch (bpi). See also DENSITY (1).

RECORDKEEPING

Definition: The act or process of creating and maintaining records. Assumes the need for their proper disposition.

RECORDKEEPING COPY

Definition: The copy of a record that is captured and maintained in a recordkeeping system.

RECORDKEEPING REQUIREMENTS

Definition: Statements in statutes, regulations, or agency directives providing general and specific guidance on particular records to be created and maintained by an agency. Since each agency is legally obligated to create and maintain adequate and proper documentation of its organization, functions, and activities, it needs to issue recordkeeping requirements for all activities at all levels

#### Term

and for all media and to distinguish records from nonrecord materials and personal papers.

#### RECORDKEEPING SYSTEM

Definition: A manual or automated system in which records are collected, organized, and categorized to facilitate their preservation, retrieval, use, and disposition.

#### **RECORDS**

Definition: Includes all books, papers, maps, photographs, machine-readable materials, or other documentary materials, regardless of physical form or characteristics, made or received by an agency of the United States Government under Federal law or in connection with the transaction of public business and preserved or appropriate for preservation by that agency or its legitimate successor as evidence of the organization, functions, policies, decisions, procedures, operations, or other activities of the Government or because of the informational value of data in them. Library and museum material made or acquired and preserved solely for reference or exhibition purposes, extra copies of documents preserved only for convenience of reference, and stocks of publications and of processed documents are not included.

RECORDS ADMINISTRATION

Preferred Term: RECORDS MANAGEMENT

RECORDS ADMINISTRATION INFORMATION CENTER

Definition: An information clearinghouse operated by NARA for Federal records managers and others.

Acronym: RAIC

**RECORDS CENTER** 

#### Term

Definition: A facility for the low-cost storage and servicing of records pending their disposal or transfer to the National Archives. Includes NARA-authorized agency records centers and NARA-operated Federal records centers.

RECORDS CONTROL SCHEDULE

Preferred Term: RECORDS SCHEDULE

**RECORDS CREATION** 

Definition: The first stage of the records life cycle in which records are made (or received) by an office.

RECORDS CREATION AND MAINTENANCE PROGRAM

Definition: Policies and practices designed to ensure the creation and preservation of complete and accurate records documenting agency business and furnishing information necessary to protect legal and financial rights. Essential elements include assigning and coordinating program responsibility; issuing directives regarding agency recordkeeping requirements; involving records managers in the development or revision of agency programs, systems, and procedures; providing adequate training; and preparing to develop and implement records schedules.

**RECORDS CUSTODIAN** 

Preferred Term: FILES CUSTODIAN

RECORDS DISASTER PREVENTION AND RECOVERY

Term

**RECORDS DISPOSAL** 

Definition: See DISPOSAL (1). Preferred Term: DISPOSAL

**RECORDS DISPOSITION** 

Definition: See DISPOSITION (1). Preferred Term: DISPOSITION

RECORDS DISPOSITION AUTHORITY

Definition: See DISPOSITION AUTHORITY (1). Preferred Term: DISPOSITION AUTHORITY

RECORDS DISPOSITION PROGRAM

Preferred Term: DISPOSITION PROGRAM

RECORDS DISPOSITION REQUEST

Preferred Term: STANDARD FORM 115, REQUEST FOR RECORDS DISPOSITION AUTHORITY

RECORDS DISPOSITION SCHEDULE

Preferred Term: RECORDS SCHEDULE

RECORDS LIAISON OFFICER

Definition: A person responsible for overseeing a records management program in a headquarters or field office in cooperation with

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Term

the agency records management officer.

Acronym: RLO

RECORDS LIFE CYCLE

Preferred Term: LIFE CYCLE OF RECORDS

RECORDS MAINTENANCE AND USE

Definition: Any action involving the storage, retrieval, and handling of records kept in offices by, or for, a Federal agency. This is the second stage of the records life cycle.

RECORDS MANAGEMENT

Definition: The planning, controlling, directing, organizing, training, promoting, and other managerial activities related to the creation, maintenance and use, and disposition of records to achieve adequate and proper documentation of Federal policies and transactions and effective and economical management of agency operations. Also called records administration.

RECORDS MANAGEMENT OFFICER

Definition: The person assigned responsibility by the agency head for overseeing an agency wide records management program.

Also called records officer or records manager.

Acronym: RMO

RECORDS MANAGEMENT PROGRAM

Definition: A planned, coordinated set of policies, procedures, and activities needed to manage an agency's recorded information.

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#### Term

Encompasses the creation, maintenance and use, and disposition of records, regardless of media. Essential elements include issuing up-to-date program directives, properly training those responsible for implementation, publicizing the program, and carefully evaluating the results to ensure adequacy, effectiveness, and efficiency.

RECORDS MANAGEMENT PROGRAM DIRECTIVE

**RECORDS MANAGER** 

Preferred Term: RECORDS MANAGEMENT OFFICER

**RECORDS MANUAL** 

RECORDS OFFICER

Preferred Term: RECORDS MANAGEMENT OFFICER

RECORDS RETENTION AND DISPOSITION SCHEDULE

Preferred Term: RECORDS SCHEDULE

RECORDS RETENTION SCHEDULE

Preferred Term: RECORDS SCHEDULE

RECORDS RETIREMENT

Term

Preferred Term: RETIREMENT

RECORDS SCHEDULE

Definition: Also called records disposition schedule, records control schedule, records retention schedule, records retention and disposition schedule, or schedule. A document that describes agency records, establishes a period for their retention by the agency, and provides mandatory instructions for what to do with them when they are no longer needed for current Government business. The term refers to: (1) an SF 115, Request for Records Disposition Authority, that has been approved by NARA to authorize the disposition of Federal records; (2) a General Records Schedule (GRS) issued by NARA; and (3) a printed agency manual or directive containing the records descriptions and disposition instructions approved by NARA on one or more SF 115s or issued by NARA in the GRS. (Source: 36 CFR 1220.14)

RECORDS SCHEDULE INSTRUCTIONS

Definition: See DISPOSITION INSTRUCTIONS (1). Preferred Term: DISPOSITION INSTRUCTIONS

**RECORDS SECURITY** 

RECORDS STORAGE FACILITY

Definition: See ARCHIVES (3).

RECYCLING

Preferred Term: DESTRUCTION

Term

**RED SPOTS** 

Preferred Term: AGING BLEMISHES

**REDOX BLEMISHES** 

Preferred Term: AGING BLEMISHES

**REDUCTION RATIO** 

Definition: In microform records, the relationship between the size of a document and the size of a microimage. Usually expressed as 16x, 24x, etc. or as 16:1, 24:1, etc. Varies from low (below 15x), medium (15x through 30x), high (above 30x through 60x), very high (above 60x through 90x), and ultra high (above 90x).

REFERENCE COPY

Preferred Term: INFORMATION COPY

REFERENCE REQUEST--FEDERAL RECORDS CENTERS

Preferred Term: OPTIONAL FORM 11, REFERENCE REQUEST--FEDERAL RECORDS CENTERS

REMOTE-SENSING IMAGERY RECORDS

Definition: Aerial photographs and other visual images of the surface of the earth or other planets taken from airborne or space borne vehicles to evaluate, measure, or map the cultural and/or physical features of the landscape. Also include related indexes.

Term

#### **REPORT**

Definition: A narrative, statistical, graphic, or other account of operations, conditions, or plans that is recorded on any medium for submission by one person, office, or organization to another.

**REPOSITORY** 

Preferred Term: DEPOSITORY

REQUEST FOR RECORDS DISPOSITION AUTHORITY

Preferred Term: STANDARD FORM 115, REQUEST FOR RECORDS DISPOSITION AUTHORITY

RESOLUTION

Definition 1: The ability of a microform or optical disk system to record and display fine detail. Definition 2: The sharpness of words, numbers, and lines, as in images or microimages.

**RESOLUTION TARGET** 

Definition: A chart containing a number of increasingly smaller resolution test patterns consisting of sets of horizontal and vertical lines of specific size and spacing. Also called resolution test chart or microcopy resolution test chart. For example, NIST-SRM 1010a, Microcopy Resolution Test Chart (ISO Test Chart No. 2), which is certified by the National Institute of Standards and Technology (NIST).

RESOLUTION TEST CHART

Term

Preferred Term: RESOLUTION TARGET

RETAINED RECORDS

Definition: In NARA's usage, older records in an agency's custody that are scheduled as permanent but overdue for transfer to the National Archives.

**RETENTION** 

Preferred Term: PRESERVATION

**RETENTION PERIOD** 

Definition: The length of time that records are to be kept. See also DISPOSITION (1).

RETENTION SCHEDULE

Preferred Term: RECORDS SCHEDULE

**RETENTION STANDARD** 

Preferred Term: RETENTION PERIOD

RETIREMENT

Definition: The transfer of records to agency storage facilities or a Federal records center. See also ACCESSION (2), DISPOSITION (1), TRANSFER (1).

Term

RIGHTS-AND-INTERESTS RECORDS

Preferred Term: LEGAL AND FINANCIAL RIGHTS RECORDS

**ROLL MICROFORM** 

Definition: Microform consisting of microfilm on reels, cartridges, or cassettes.

**ROTARY CAMERA** 

Definition: A microfilm camera that photographs documents while they are being moved by one device in connection with another device that moves the film at the same relative rate.

**RULEMAKING DOCKET** 

Definition: A set of documents collected and maintained specifically to provide regulatory development staff and the public with ready access to copies of the Agency records that support the basis for rulemaking actions.

SAFETY FILM

Definition: A relatively nonflammable film base that meets ANSI criteria for safety photographic film.

**SAMPLING** 

Definition: Selection for retention of part of a body of similar records to serve as a representation of the whole body. Usually associated with scheduling case files.

Term

**SCANNING** 

Definition: In electronic records, the process of converting alphanumeric or graphic information on paper or microforms to picture elements, or bit-mapped representation. Also called image scanning.

**SCANNING RESOLUTION** 

**SCHEDULE** 

Preferred Term: RECORDS SCHEDULE

SCHEDULE INSTRUCTIONS

Preferred Term: DISPOSITION INSTRUCTIONS

SCHEDULE ITEM

Definition: See ITEM (2). Preferred Term: ITEM

SCHEDULED OFFER

Preferred Term: SCHEDULED TRANSFER

SCHEDULED RECORDS

Definition: Records whose final disposition has been approved by NARA. See also DISPOSITION (1).

Term

#### SCHEDULED TRANSFER

Definition: The act or process of moving permanent records to the National Archives for preservation, as authorized by a NARA-approved records schedule and as implemented by NARA's approval of an agency-submitted Standard Form 258 and by NARA's receipt of the records. Formerly called a scheduled offer. See also ACCESSION (1).

**SCHEDULING** 

Definition: The process of developing a document that provides mandatory instructions for what to do with records (and nonrecord materials) no longer needed for current Government business.

**SCRATCHING** 

Preferred Term: DELETING

**SCREENING** 

Definition 1: Reviewing files to apply access restrictions. See also ACCESS. Definition 2: Examining files to identify and remove documents of short-term value, especially those eligible for immediate destruction. Also called weeding or purging.

SECURITY-CLASSIFIED RECORDS

Preferred Term: CLASSIFIED INFORMATION

SEMIACTIVE RECORDS

Term

Preferred Term: SEMICURRENT RECORDS

SEMICURRENT RECORDS

Definition: Records required so seldom to conduct agency business that they should be moved to a holding area or directly to a records center.

**SERIES** 

Definition: See RECORDS SERIES.

SERIES-BASED REVIEW

Definition: The basis on which NARA appraises the potential research value of records by taking into account the value of whole series or systems of records. A records series is generally described as documents arranged according to a filing system or kept together because they relate to a particular organizational unit or function, result from the same activity, document a specific kind of transaction, take a particular physical form, or have some other relationship arising out of their creation, receipt, or use.

SILVER GELATIN FILM

Definition: In microform records, archival-quality film containing images produced by using light-sensitive silver halide crystals suspended in a gelatin emulsion. Silver gelatin film should be distinguished from dry silver film, which is of nonarchival quality.

SILVER HALIDE FILM

Preferred Term: SILVER GELATIN FILM

Term

SITE AUDIT RECORDS

Preferred Term: ACCOUNTABLE OFFICERS' ACCOUNTS

**SOFTWARE** 

Definition: The programs, procedures, and rules used to operate a computer.

**SORTING** 

Definition: In files management, the process of separating records into groups for filing according to a classification system.

SOURCE DOCUMENT MICROFILMING

Definition: Converting documents, usually paper-based, to microimages.

SOURCE DOCUMENTS

Definition: Documents containing images and/or data entered into a microform or electronic records system. Also called source records.

SOURCE RECORDS

Preferred Term: SOURCE DOCUMENTS

SPECIAL RECORDS

#### Term

Definition: Types of records maintained separately from textual/paper records because their physical form or characteristics require unusual care and/or because they have nonstandard sizes. Include electronic, audiovisual, microform, cartographic and remotesensing imagery, architectural and engineering, printed, and card records.

**SPECIFICATIONS** 

Preferred Term: FUNCTIONAL SPECIFICATIONS

STAGING AREA

Preferred Term: HOLDING AREA

STANDARD FORM

Definition: A form prescribed by a Federal agency and approved by GSA for mandatory use throughout the U.S. Government. See

also FORM (1).

Acronym: SF

STANDARD FORM 115, REQUEST FOR RECORDS DISPOSITION AUTHORITY

Definition: The form used by Federal agencies to obtain disposition authority from NARA for records to which the General Records Schedules are inapplicable. See also DISPOSAL LIST, GENERAL RECORDS SCHEDULE, ITEM (2), RECORDS SCHEDULE.

STANDARD FORM 135, RECORDS TRANSMITTAL AND RECEIPT

Definition: The form to be submitted by agencies to a Federal records center before transferring records there.

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#### Term

STANDARD FORM 258, REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES

Definition: The form used by Federal agencies to transfer legal custody of permanent records to the National Archives. See also ARCHIVES (1).

STANDARD FORM 277, COMPUTER MAGNETIC TAPE FILE PROPERTIES

Preferred Term: NA FORM 14097, TECHNICAL DESCRIPTION FOR TRANSFER OF ELECTRONIC RECORDS TO THE NATIONAL ARCHIVES

STEP-AND-REPEAT CAMERA

Definition: A microfilm camera that exposes a series of separate images on an area of film according to a set format, usually in orderly rows and columns, such as in a microfiche.

STOCK COPY

Definition: An extra copy of printed records or of other published materials. See also COPY (1).

STOCK FOOTAGE

Definition: Unedited motion picture film or videotape of scenery and action that is retained for future use.

SUBJECT CLASSIFICATION SYSTEM

#### Term

Definition: A plan used for identifying and coding documents by topic to provide an orderly and accurate way of filing and finding. Examples include alphanumeric, alphabetic-subject, decimal, duplex-numeric, mnemonic, and subject-numeric.

SUBJECT FILES

Preferred Term: GENERAL CORRESPONDENCE FILES

SUBJECT-NUMERIC FILING SYSTEM

Definition: A subject classification system in which the main topics are arranged alphabetically and the subdivisions are coded numerically. For example, "Personnel 8" might stand for "Hours of Duty." This system may be modified by combining it with the mnemonic filing system so that, for example, "Personnel 8" would become "PER 8."

SUBJECT OUTLINE

Definition: In files management, a list of topics arranged hierarchically (i.e., by primary, secondary, tertiary, and quaternary topics) and serving as the source for selecting file designations for arranging, or classifying, the documents of a subject file. Normally includes file codes.

SUMMARIZED DATA

Preferred Term: MACRODATA

SUSPENSE FILES

Definition: Files arranged chronologically to remind officials of actions to be completed by a specific date. Also called follow-up files or tickler files. See also COPY (1).

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Term

**SYSTEM** 

SYSTEM INTEGRATION

Preferred Term: INTEGRATION

TECHNICAL DESCRIPTION FOR TRANSFER OF ELECTRONIC RECORDS TO THE NATIONAL ARCHIVES

Preferred Term: NA FORM 14097, TECHNICAL DESCRIPTION FOR TRANSFER OF ELECTRONIC RECORDS TO THE NATIONAL ARCHIVES

**TECHNICAL REFERENCE FILES** 

Definition: Nonrecord copies of articles, periodicals, reports, studies, vendor catalogs, and similar materials that are needed for reference and information but are not properly part of the office's records.

**TELECOMMUNICATIONS** 

Definition: The preparation, transmission, communication, or related processing of information by electrical, electromagnetic, electromechanical, or electro-optical means.

TEMPORARY RECORDS

Definition: Records approved by NARA for disposal, either immediately or after a specified retention period. Also called disposable records or nonpermanent records.

#### Term

#### TEXT DOCUMENTS

Definition: In electronic records, narrative or tabular documents, such as letters, memorandums, and reports, organized in a loosely prescribed form and format. See also DATA FILE (2).

**TEXTUAL RECORDS** 

Definition: The term usually applied to manuscript and typescript paper records, as distinct from electronic, audiovisual, cartographic, remote-sensing imagery, architectural, and engineering records.

**TICKLER FILES** 

Preferred Term: SUSPENSE FILES

TRANSACTION FILES

Preferred Term: VALID TRANSACTION FILES

**TRANSFER** 

Definition 1: The act or process of moving records from one location to another, especially from office space to agency storage facilities or Federal records centers, from one Federal agency to another, or from office or storage space to the National Archives for permanent preservation. Definition 2: The records involved in such a relocation. See also ACCESSION, BLOCK, DISPOSITION (1), RETIREMENT, SCHEDULED TRANSFER.

TRANSITORY CORRESPONDENCE FILES

Term

#### TRANSITORY DOCUMENTS

Definition: Transitory documents are documents of short-term interest which have no documentary or evidential value. They are disposable because they clearly do not pertain to the official activities of the Agency. The most common types are: (1) Routine requests for information or publications. An example would be an electronic mail message requesting nominations for a workgroup, and the replies to the request. (2) Letters of transmittal that do not add information to the transmitted materials. An example would be a message transmitting a copy of a document to a requestor. (3) Quasi-official notices that do not act as the basis for official actions. Examples include notices of holidays, bond campaigns, etc.

TROUBLESHOOTING

Preferred Term: DEBUGGING

**TURNKEY SYSTEM** 

Definition: In electronic records, a computer system that is ready to run, typically with all necessary software already installed.

**ULTRAFICHE** 

Definition: Microfiche with images reduced more than 90 times the original.

**UNAGGREGATED DATA** 

Preferred Term: MICRODATA

UNSCHEDULED RECORDS

#### Term

Definition: Records whose final disposition has not been approved by NARA. See also DISPOSITION (1), FINAL DISPOSITION, RECORDS SCHEDULE.

**UNSUMMARIZED DATA** 

Preferred Term: MICRODATA

**UPDATABLE MICROFORM** 

Definition: A microform to which more images can be added at any time.

U.S.C.

Acronym: UNITED STATES CODE

**USE COPIES** 

Definition: Duplicates of a magnetic master or of original film that are prepared for use as reference copies or as duplication masters for recurring or large-scale duplication. Also called work copies. To be distinguished from preservation master copies, which, if the records are permanent, must be stored under archival conditions and not be used for reference purposes.

**USER GUIDE** 

Definition: In electronic records, a package of descriptive and technical documentation prepared for use with a data file. See also

Term

DOCUMENTATION (3).

**VALID TRANSACTION FILES** 

Definition: In electronic records, files containing new data to be processed together with master files to create updated master files. Also called transaction files or detail files.

**VESICULAR FILM** 

Definition: In microform records, film containing images produced by exposure to ultraviolet light and then heat for the purpose of copying the microform master.

**VIDEODISK** 

Definition: A disk on which pictures or pictures and sounds are recorded and stored as analog signals.

**VIDEOMICROGRAPHICS** 

Preferred Term: ELECTRONIC MICROIMAGE TRANSMISSION

VITAL RECORDS

Definition: Records essential to the continued functioning or reconstitution of an organization during and after an emergency and also those records essential to protecting the legal and financial rights of that organization and of the individuals directly affected by its activities. Sometimes called essential records. Include both emergency-operating and rights-and-interests records. Vital records considerations are part of an agency's records disaster prevention and recovery program.

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#### Term

#### VITAL RECORDS PROGRAM

Definition: Policies, plans, and procedures developed and implemented and the resources needed to identify, use, and protect the essential records needed to meet operational responsibilities under national security emergencies or other emergency or disaster conditions or to protect the Government's rights or the rights of its citizens.

**WASHING** 

Definition: A film-processing step that uses water to remove unwanted soluble chemicals from photographic material.

**WEEDING** 

Definition: See SCREENING (2). Preferred Term: SCREENING

WIDE AREA NETWORK

Definition: A system for linking together computers, terminals, printers, and other equipment that are located in extensively separated offices or buildings.

Acronym: WAN

WORD PROCESSING

Definition: Creating and modifying documents by using a computer, along with other hardware and related software.

Acronym: WP

**WORK COPIES** 

#### Term

Preferred Term: USE COPIES

**WORK FILES** 

Definition: In electronic records, temporary files in auxiliary storage. Sometimes called working files.

**WORKING FILES** 

Definition: Documents such as rough notes, calculations, or drafts assembled or created and used to prepare or analyze other documents. Also called working papers.

**WORKING PAPERS** 

Preferred Term: WORKING FILES

WRITE ONCE READ MANY

Definition: Of or relating to a type of optical disk on which information can be recorded only once but from which it can be retrieved and read many times.

Acronym: WORM